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All Recipients of the Serviced Grid Code

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01 July 2014

Dear Sir/Madam

THE SERVICED GRID CODE - ISSUE 5 REVISION 9

Issue 5 Revision 9 of the Grid Code has been approved by the Authority for implementation on **01 July 2014**.

In order to ensure your copy of the Grid Code remains up to date, you will need to replace the sections affected with the revised versions available on the National Grid website.

The revisions document provides an overview of the changes made to the Grid Code since the previous issue.

Yours faithfully,

Lucy Hudson Frameworks Administrator Transmission Network Service - Operations (Governance)

THE GRID CODE - ISSUE 5 REVISION 9

INCLUSION OF REVISED SECTIONS

Cover Page

Operating Code No 6 (OC6)

Balancing Code No 2 (BC2)

SUMMARY OF CHANGES

The changes arise from the implementation of modifications proposed in the following Consultation Papers:

GC0050 - Demand Control and OC6

Summary of Proposal

This proposal seeks to modify the Grid Code to meet the existing capabilities and requirements of the Distribution Network Operators to implement Demand Control Instructions.

The categories of Users affected by this revision to the Grid Code are:

• Network Operators

GC0068 - Grid Code New & Revised Unit Data & Instructions

Summary of Proposal

This proposal seeks to modify the Grid Code to capture changes to unit data and instructions resulting from functionality introduced with National Grid's new Electricity Balancing System.

Phase 1 implementation – fax forms in BC2

Revisions are being made to the fax forms in BC2 Appendices 3 and 4 for Reactive Power Capability and Availability of Frequency Sensitive Mode information submitted to National Grid. These changes are intended to improve clarity and remove ambiguity regarding interpretation of some of the fields set out in the existing fax forms.

As the proposed revisions to the fax forms are not dependent on EBS implementation and will deliver benefits to the industry, the changes are being made as part of Phase 1 implementation.

Please note that Phase 2 implementation (Changes to Parameters and Instructions) is proposed to be implemented on or around the date of the go-live of the Electricity Balancing System to align with the introduction of the new system.

The categories of Users affected by this revision to the Grid Code are:

- BM Participants
- National Grid

THE GRID CODE

ISSUE 5

REVISION 9

01 July 2014

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OPERATING CODE NO. 6

(OC6)

DEMAND CONTROL

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OC6.1 INTRODUCTION

- OC6.1.1 Operating Code No.6 ("OC6") is concerned with the provisions to be made by Network Operators, and in relation to Non-Embedded Customers by NGET, to permit the reduction of Demand in the event of insufficient Active Power generation being available to meet Demand, or in the event of breakdown or operating problems (such as in respect of System Frequency, System voltage levels or System thermal overloads) on any part of the National Electricity Transmission System.
- OC6.1.2 **OC6** deals with the following:
 - (a) **Customer** voltage reduction initiated by **Network Operators** (other than following the instruction of **NGET**);
 - (b) **Customer Demand** reduction by **Disconnection** initiated by **Network Operators** (other than following the instruction of **NGET**);
 - (c) **Demand** reduction instructed by **NGET**;
 - (d) automatic low frequency Demand Disconnection; and
 - (e) emergency manual **Demand Disconnection**.

The term "**Demand Control**" is used to describe any or all of these methods of achieving a **Demand** reduction.

- OC6.1.3 The procedure set out in **OC6** includes a system of warnings to give advance notice of **Demand Control** that may be required by **NGET** under this **OC6**.
- OC6.1.4 Data relating to **Demand Control** should include details relating to MW
- OC6.1.5 The Electricity Supply Emergency Code issued by the Department of Trade and Industry, Energy Utilities Directorate, on 30 November 1999 provides that in certain circumstances consumers are given a certain degree of "protection" when rota disconnections are implemented pursuant to a direction under the Energy Act 1976. No such protection can be given in relation to **Demand Control** under the **Grid Code**.
- OC6.1.6 Connections between Large Power Stations and the National Electricity Transmission System and between such Power Stations and a User System will not, as far as possible, be disconnected by NGET pursuant to the provisions of OC6 insofar as that would interrupt supplies
 - (a) for the purposes of operation of the **Power Station** (including **Start-Up** and shutting down);
 - (b) for the purposes of keeping the **Power Station** in a state such that it could be Started-up when it is off-**Load** for ordinary operational reasons; or
 - (c) for the purposes of compliance with the requirements of a Nuclear Site Licence.

Demand Control pursuant to this **OC6** therefore applies subject to this exception.

OC6.2 <u>OBJECTIVE</u>

OC6.2.1 The overall objective of **OC6** is to require the provision of facilities to enable **NGET** to achieve reduction in **Demand** that will either avoid or relieve operating problems on the **National Electricity Transmission System**, in whole or in part, and thereby to enable **NGET** to instruct **Demand Control** in a manner that does not unduly discriminate against, or unduly prefer, any one or any group of **Suppliers** or **Network Operators** or **Non-Embedded Customers**. It is also to ensure that **NGET** is notified of any **Demand Control** utilised by **Users** other than following an instruction from **NGET**. OC6.2.2 For certain **Grid Supply Points** in Scotland it is recognised that it may not be possible to meet the requirements in OC6.4.5(b), OC6.5.3(b) (in respect of **Demand Disconnection** only), OC6.5.6 (ii), OC6.6.2 (c) and OC6.7.2 (b). In these circumstances **NGET** and the relevant **Network Operator(s)** will agree equivalent requirements covering a number of **Grid Supply Points**. If **NGET** and the relevant **Network Operator** fail to agree equivalent requirements covering a number of **Grid Supply Points**, then the relevant **Network Operator** will apply the provisions of OC6.4.5(b), OC6.5.3(b) (in respect of **Demand Disconnection** only), OC6.5.6(ii), OC6.6.2(c) and OC6.7.2(b) as evenly as reasonably practicable over the relevant **Network Operator's** entire **System**.

OC6.3 <u>SCOPE</u>

- OC6.3.1 OC6 applies to NGET and to Users which in OC6 means:
 - (a) Generators; and

(b) Network Operators.

It also applies to **NGET** in relation to **Non-Embedded Customers**.

- OC6.3.2 Explanation
- OC6.3.2.1 (a) Although OC6 does not apply to Suppliers, the implementation of Demand Control may affect their Customers.
 - (b) In all situations envisaged in OC6, Demand Control is exercisable:
 - (i) by reference to a Network Operator's System; or
 - (ii) by NGET in relation to Non-Embedded Customers.
 - (c) **Demand Control** in all situations relates to the physical organisation of the **Total System**, and not to any contractual arrangements that may exist.
- OC6.3.2.2 (a) Accordingly, **Demand Control** will be exercisable with reference to, for example, five per cent (or such other figure as may be utilised under OC6.5) tranches of **Demand** by a **Network Operator**.
 - (b) For a **Supplier**, whose **Customers** may be spread throughout a number of **User Systems** (and the **National Electricity Transmission System**), to split its **Customers** into five per cent (or such other figure as may be utilised under OC6.5) tranches of **Demand** would not result in **Demand Control** being implemented effectively on the **Total System**.
 - (c) Where **Demand Control** is needed in a particular area, **NGET** would not know which **Supplier** to contact and (even if it were to) the resulting **Demand Control** implemented, because of the diversity of contracts, may well not produce the required result.
- OC6.3.2.3 (a) **Suppliers** should note, however, that, although implementation of **Demand Control** in respect of their **Customers** is not exercisable by them, their **Customers** may be affected by **Demand Control**.
 - (b) This will be implemented by **Network Operators** where the **Customers** are within **User Systems** directly connected to the **National Electricity Transmission System** and by **NGET** where they are **Non-Embedded Customers**.
 - (c) The contractual arrangements relating to **Customers** being supplied by **Suppliers** will, accordingly, need to reflect this.
 - (d) The existence of a commercial arrangement for the provision of Customer Demand Management or Commercial Ancillary Services does not relieve a Network Operator from the Demand Control provisions of OC6.5, OC6.6 and OC6.7, which may be exercised from time to time.

OC6.4 PROCEDURE FOR THE NOTIFICATION OF DEMAND CONTROL INITIATED BY NETWORK OPERATORS (OTHER THAN FOLLOWING THE INSTRUCTION OF NGET)

- OC6.4.1 Pursuant to the provisions of OC1, in respect of the time periods prior to 1100 hours each day, each Network Operator will notify NGET of all Customer voltage reductions and/or restorations and Demand Disconnection or reconnection, on a Grid Supply Point and half-hourly basis, which will or may, either alone or when aggregated with any other Demand Control planned by that Network Operator, result in a Demand change equal to or greater than the Demand Control Notification Level averaged over any half hour on any Grid Supply Point, which is planned to be instructed by the Network Operator other than following an instruction from NGET relating to Demand reduction.
- OC6.4.2 Under OC6, each Network Operator will notify NGET in writing by 1100 hours each day (or such other time specified by NGET from time to time) for the next day (except that it will be for the next 3 days on Fridays and 2 days on Saturdays and may be longer (as specified by NGET at least one week in advance) to cover holiday periods) of Customer voltage reduction or Demand Disconnection which will or may result in a Demand change equal to or greater than the Demand Control Notification Level averaged over any half hour on any Grid Supply Point, (or which when aggregated with any other Demand Control planned by that Network Operator is equal to or greater than the Demand Control Notification Level), planned to take place during the next Operational Day.
- OC6.4.3 When the **Customer** voltage reduction or **Demand Disconnection** which may result in a **Demand** change equal to or greater than the **Demand Control Notification Level** averaged over any half hour on any **Grid Supply Point** (or which when aggregated with any other **Demand Control** planned or implemented by that **Network Operator** is equal to or greater than the **Demand Control Notification Level**) is planned after 1100 hours, each **Network Operator** must notify **NGET** as soon as possible after the decision to implemented immediately after the decision to implement is made, each **Network Operator** must notify **NGET** within five minutes of implementation.
- OC6.4.4 Where, after **NGET** has been notified, whether pursuant to **OC1**, OC6.4.2 or OC6.4.3, the planned **Customer** voltage reduction or **Demand Disconnection** is changed, the **Network Operator** will notify **NGET** as soon as possible of the new plans, or if the **Customer** voltage reduction or **Demand Disconnection** implemented is different to that notified, the **Network Operator** will notify **NGET** of what took place within five minutes of implementation.
- OC6.4.5 Any notification under OC6.4.2, OC6.4.3 or OC6.4.4 will contain the following information on a **Grid Supply Point** and half hourly basis:
 - (a) the proposed (in the case of prior notification) and actual (in the case of subsequent notification) date, time and duration of implementation of the **Customer** voltage reduction or **Demand Disconnection**; and
 - (b) the proposed reduction in **Demand** by use of the **Customer** voltage reduction or **Demand Disconnection**.
- OC6.4.6 Pursuant to the provisions of OC1.5.6, each **Network Operator** will supply to **NGET** details of the amount of **Demand** reduction actually achieved by use of the **Customer** voltage reduction or **Demand Disconnection**.

OC6.5 PROCEDURE FOR THE IMPLEMENTATION OF DEMAND CONTROL ON THE INSTRUCTIONS OF NGET

- OC6.5.1 A National Electricity Transmission System Warning High Risk of Demand Reduction will, where possible, be issued by NGET, as more particularly set out in OC6.5.4, OC7.4.8 and BC1.5.4 when NGET anticipates that it will or may instruct a Network Operator to implement Demand reduction. It will, as provided in OC6.5.10 and OC7.4.8.2, also be issued to Non-Embedded Customers.
- OC6.5.2 Where NGET expects to instruct **Demand** reduction within the following 30 minutes, NGET will where possible, issue a National Electricity Transmission System Warning Demand Control Imminent in accordance with OC7.4.8.2(c) and OC7.4.8.6.
- OC6.5.3 (a) Whether a National Electricity Transmission System Warning High Risk of Demand Reduction or National Electricity Transmission System Warning - Demand Control Imminent has been issued or not:
 - (i) provided the instruction relates to not more than 20 per cent of its total **Demand** (measured at the time the **Demand** reduction is required); and
 - (ii) if the instruction relates to less than 20 per cent of its total **Demand**, is in
 - two voltage reduction stages of between 2 and 4 percent, each of which can be expected to deliver around 1.5 percent **Demand** reduction; and
 - up to three **Demand Disconnection** stages, each of which can reasonably be expected to deliver between four and six percent **Demand** reduction,

each **Network Operator** will abide by the instructions of **NGET**, which should specify whether a voltage reduction or **Demand Disconnection** stage is required; or

(iii) if the instruction relates to less than 20 per cent of its total **Demand**, is in four **Demand Disconnection** stages each of which can reasonably be expected to deliver between four and six per cent **Demand** reduction,

each **Network Operator** will abide by the instructions of **NGET** with regard to **Demand** reduction under OC6.5 without delay.

- (b) The **Demand** reduction must be achieved within the **Network Operator's System** as far as possible uniformly across all **Grid Supply Points** (unless otherwise specified in the **National Electricity Transmission System Warning High Risk of Demand Reduction**) either by **Customer** voltage reduction or by **Demand Disconnection**.
- (c) **Demand Control** initiated by voltage reduction shall be initiated as soon as possible but in any event no longer than two minutes from the instruction being received from **NGET**, and completed within 10 minutes of the instruction being received from **NGET**.
- (d) **Demand Control** initiated by **Demand Disconnection** shall be initiated as soon as possible but in any event no longer than two minutes from the instruction being received from **NGET**, and completed within five minutes of the instruction being received from **NGET**.
- (e) Each **Network Operator** must notify **NGET** in writing by calendar week 24 each year, for the succeeding **Financial Year** onwards, whether **Demand Control** is to be implemented either:
 - i) by a combination of voltage reduction and **Demand Disconnection**; or
 - ii) Demand Disconnection alone;

together with the magnitude of the voltage reduction stages (where applicable) and for **Demand Disconnection** stages, the demand reduction anticipated. Thereafter, any changes must be notified in writing to **NGET** at least 10 **Business Days** prior to the change coming into effect.

- OC6.5.4 (a) Where NGET wishes to instruct a Demand reduction of more than 20 per cent of a Network Operator's Demand (measured at the time the Demand reduction is required), it shall, if it is able, issue a National Electricity Transmission System Warning High Risk of Demand Reduction to the Network Operator by 1600 hours on the previous day. The warning will state the percentage level of Demand reduction that NGET may want to instruct (measured at the time the Demand reduction is required).
 - (b) The National Electricity Transmission System Warning High Risk of Demand Reduction will specify the percentage of Demand reduction that NGET may require in integral multiples of the percentage levels notified by Users under OC6.5.3(c) up to (and including) 20 per cent and of five per cent above 20 per cent and will not relate to more than 40 per cent of Demand (measured at the time the Demand reduction is required) of the Demand on the User System of a Network Operator.
 - (c) If NGET has issued the National Electricity Transmission System Warning High Risk of Demand Reduction by 1600 hours on the previous day, on receipt of it the relevant Network Operator shall make available the percentage reduction in Demand specified for use within the period of the National Electricity Transmission System Warning.
 - (d) If NGET has not issued the National Electricity Transmission System Warning High Risk of Demand Reduction by 1600 hours the previous day, but after that time, the Network Operator shall make available as much of the required Demand reduction as it is able, for use within the period of the National Electricity Transmission System Warning.
- OC6.5.5 (a) If NGET has given a National Electricity Transmission System Warning High Risk of Demand Reduction to a Network Operator, and has issued it by 1600 hours on the previous day, it can instruct the Network Operator to reduce its Demand by the percentage specified in the National Electricity Transmission System Warning.
 - (b) NGET accepts that if it has not issued the National Electricity Transmission System Warning - High Risk of Demand Reduction by 1600 hours on the previous day or if it has issued it by 1600 hours on the previous day, but it requires a further percentage of Demand reduction (which may be in excess of 40 per cent of the total Demand on the User System of the Network Operator (measured at the time the Demand reduction is required) from that set out in the National Electricity Transmission System Warning, it can only receive an amount that can be made available at that time by the Network Operator.
 - (c) Other than with regard to the proviso, the provisions of OC6.5.3 shall apply to those instructions.
- OC6.5.6 Once a **Demand** reduction has been applied by a **Network Operator** at the instruction of **NGET**, the **Network Operator** may interchange the **Customers** to whom the **Demand** reduction has been applied provided that,
 - (i) the percentage of **Demand** reduction at all times within the **Network Operator's System** does not change; and
 - (ii) at all times it is achieved within the Network Operator's System as far as possible uniformly across all Grid Supply Points (unless otherwise specified in the National Electricity Transmission System Warning - High Risk of Demand Reduction if one has been issued),

until NGET instructs that Network Operator in accordance with OC6.

OC6.5.7 Each **Network Operator** will abide by the instructions of **NGET** with regard to the restoration of **Demand** under OC6.5 without delay. It shall not restore **Demand** until it has received such instruction. The restoration of **Demand** must be achieved as soon as possible and the process of restoration must begin within 2 minutes of the instruction being given by **NGET**.

- OC6.5.8 In circumstances of protracted shortage of generation or where a statutory instruction has been given (eg. a fuel security period) and when a reduction in **Demand** is envisaged by **NGET** to be prolonged, **NGET** will notify the **Network Operator** of the expected duration.
- OC6.5.9 The **Network Operator** will notify **NGET** in writing that it has complied with **NGET's** instruction under OC6.5, within five minutes of so doing, together with an estimation of the **Demand** reduction or restoration achieved, as the case may be.
- OC6.5.10 NGET may itself implement Demand reduction and subsequent restoration on Non-Embedded Customers as part of a Demand Control requirement and it will organise the National Electricity Transmission System so that it will be able to reduce Demand by Disconnection of, or Customer voltage reduction to, all or any Non-Embedded Customers. Equivalent provisions to those in OC6.5.4 shall apply to issuing a National Electricity Transmission System Warning - High Risk of Demand Reduction to Non-Embedded Customers, as envisaged in OC7.4.8.
- OC6.5.11 Pursuant to the provisions of OC1.5.6, the **Network Operator** will supply to **NGET** details of the amount of **Demand** reduction or restoration actually achieved.

OC6.6 <u>AUTOMATIC LOW FREQUENCY DEMAND DISCONNECTION</u>

- OC6.6.1 Each **Network Operator** will make arrangements that will enable automatic low **Frequency Disconnection** of at least:
 - 60 per cent of its total Demand (based on Annual ACS Conditions) at the time of forecast National Electricity Transmission System peak Demand where such Network Operator's System is connected to the National Electricity Transmission System in NGET's Transmission Area
 - (ii) 40 per cent of its total Demand (based on Annual ACS Conditions) at the time of forecast National Electricity Transmission System peak where such Network Operator's System is connected to the National Electricity Transmission System in either SPT's or SHETL's Transmission Area

in order to seek to limit the consequences of a major loss of generation or an **Event** on the **Total System** which leaves part of the **Total System** with a generation deficit. Where a **Network Operator's System** is connected to the **National Electricity Transmission System** in more than one **Transmission Area**, the figure above for the **Transmission Area** in which the majority of the **Network Operator's Demand** is connected shall apply.

- (a) The **Demand** of each **Network Operator** which is subject to automatic low **Frequency Disconnection** will be split into discrete MW blocks.
 - (b) The number, size (% **Demand**) and the associated low **Frequency** settings of these blocks, will be as specified in Table CC.A.5.5.1a. **NGET** will keep the settings under review.
 - (c) The distribution of the blocks will be such as to give a reasonably uniform **Disconnection** within the **Network Operator's System**, as the case may be, across all **Grid Supply Points**.
 - (d) Each Network Operator will notify NGET in writing by calendar week 24 each year of the details of the automatic low Frequency Disconnection on its User System. The information provided should identify, for each Grid Supply Point at the date and time of the annual peak of the National Electricity Transmission System Demand at Annual ACS Conditions (as notified pursuant to OC1.4.2), the frequency settings at which Demand Disconnection will be initiated and amount of Demand disconnected at each such setting.
- OC6.6.3 Where conditions are such that, following automatic low **Frequency Demand Disconnection**, and the subsequent **Frequency** recovery, it is not possible to restore a large proportion of the total **Demand** so disconnected within a reasonable period of time, **NGET** may instruct a **Network Operator** to implement additional **Demand Disconnection** manually, and restore an equivalent amount of the **Demand** that had been disconnected automatically. The purpose of such action is to ensure that a subsequent fall in **Frequency** will again be contained by the operation of automatic low **Frequency Demand Disconnection**.
- OC6.6.4 Once an automatic low **Frequency Demand Disconnection** has taken place, the **Network Operator** on whose **User System** it has occurred, will not reconnect until **NGET** instructs that **Network Operator** to do so in accordance with **OC6**.
- OC6.6.5 Once the **Frequency** has recovered, each **Network Operator** will abide by the instructions of **NGET** with regard to reconnection under OC6.6 without delay. Reconnection must be achieved as soon as possible and the process of reconnection must begin within 2 minutes of the instruction being given by **NGET**.
- OC6.6.6 (a) **Non-Embedded Customers** (including a **Pumped Storage Generator**) must provide automatic low **Frequency** disconnection, which will be split into discrete blocks.
 - (b) The number and size of blocks and the associated low Frequency settings will be as specified by NGET by week 24 each calendar year following discussion with the Non-Embedded Customers (including a Pumped Storage Generator) in accordance with the relevant Bilateral Agreement.

OC6.6.2

- OC6.6.7 (a) In addition, **Generators** may wish to disconnect **Generating Units** from the **System**, either manually or automatically, should they be subject to **Frequency** levels which could result in **Generating Unit** damage.
 - (b) This **Disconnection** facility on such **Generating Unit** directly connected to the **National Electricity Transmission System**, will be agreed with **NGET** in accordance with the **Bilateral Agreement**.
 - (c) Any **Embedded Power Stations** will need to agree this **Disconnection** facility with the relevant **User** to whose **System** that **Power Station** is connected, which will then need to notify **NGET** of this.
- OC6.6.8 The **Network Operator** or **Non-Embedded Customer**, as the case may be, will notify **NGET** with an estimation of the **Demand** reduction which has occurred under automatic low **Frequency Demand Disconnection** and similarly notify the restoration, as the case may be, in each case within five minutes of the **Disconnection** or restoration.
- OC6.6.9 Pursuant to the provisions of OC1.5.6 the **Network Operator** and **Non-Embedded Customer** will supply to **NGET** details of the amount of **Demand** reduction or restoration actually achieved.
- OC6.6.10 (a) In the case of a User, it is not necessary for it to provide automatic low Frequency disconnection under OC6.6 only to the extent that it is providing, at the time it would be so needed, low Frequency disconnection at a higher level of Frequency as an Ancillary Service, namely if the amount provided as an Ancillary Service is less than that required under OC6.6 then the User must provide the balance required under OC6.6 at the time it is so needed.
 - (b) The provisions of OC7.4.8 relating to the use of **Demand Control** should be borne in mind by **Users**.

OC6.7 EMERGENCY MANUAL DISCONNECTION

- OC6.7.1 Each **Network Operator** will make arrangements that will enable it, following an instruction from **NGET**, to disconnect **Customers** on its **User System** under emergency conditions irrespective of **Frequency** within 30 minutes. It must be possible to apply the **Demand Disconnections** to individual or specific groups of **Grid Supply Points**, as determined by **NGET**.
- OC6.7.2 (a) Each **Network Operator** shall provide **NGET** in writing by week 24 in each calendar year, in respect of the next following year beginning week 24, on a **Grid Supply Point** basis, with the following information (which is set out in a tabular format in the Appendix):
 - (i) its total peak **Demand** (based on **Annual ACS Conditions**); and
 - (ii) the percentage value of the total peak **Demand** that can be disconnected (and must include that which can also be reduced by voltage reduction, where applicable) within timescales of 5/10/15/20/25/30 minutes.
 - (b) The information should include, in relation to the first 5 minutes, as a minimum, the 20% of **Demand** that must be reduced on instruction under OC6.5.
- OC6.7.3 Each **Network Operator** will abide by the instructions of **NGET** with regard to **Disconnection** under OC6.7 without delay, and the **Disconnection** must be achieved as soon as possible after the instruction being given by **NGET**, and in any case, within the timescale registered in OC6.7. The instruction may relate to an individual **Grid Supply Point** and/or groups of **Grid Supply Points**.
- OC6.7.4 **NGET** will notify a **Network Operator** who has been instructed under OC6.7, of what has happened on the **National Electricity Transmission System** to necessitate the instruction, in accordance with the provisions of **OC7** and, if relevant, **OC10**.
- OC6.7.5 Once a **Disconnection** has been applied by a **Network Operator** at the instruction of **NGET**, that **Network Operator** will not reconnect until **NGET** instructs it to do so in accordance with **OC6**.

- OC6.7.6 Each **Network Operator** will abide by the instructions of **NGET** with regard to reconnection under OC6.7 without delay, and shall not reconnect until it has received such instruction and reconnection must be achieved as soon as possible and the process of reconnection must begin within 2 minutes of the instruction being given by **NGET**.
- OC6.7.7 **NGET** may itself disconnect manually and reconnect **Non-Embedded Customers** as part of a **Demand Control** requirement under emergency conditions.
- OC6.7.8 If **NGET** determines that emergency manual **Disconnection** referred to in OC6.7 is inadequate, **NGET** may disconnect **Network Operators** and/or **Non-Embedded Customers** at **Grid Supply Points**, to preserve the security of the **National Electricity Transmission System**.
- OC6.7.9 Pursuant to the provisions of OC1.5.6 the **Network Operator** will supply to **NGET** details of the amount of **Demand** reduction or restoration actually achieved.

OC6.8 OPERATION OF THE BALANCING MECHANISM DURING DEMAND CONTROL

Demand Control will constitute an **Emergency Instruction** in accordance with BC2.9 and it may be necessary to depart from normal **Balancing Mechanism** operation in accordance with BC2 in issuing **Bid-Offer Acceptances**. **NGET** will inform affected **BM Participants** in accordance with the provisions of **OC7**.

APPENDIX 1 - EMERGENCY MANUAL DEMAND REDUCTION/DISCONNECTION SUMMARY SHEET

(As set out in OC6.7)

NETWORK OPERATOR: _____ [YEAR] PEAK: _____

grid Supply Point	PEAK MW		% OF GROUP DEMAND DISCONNECTION (AND/OR REDUCTION IN THE CASE OF THE FIRST 5 MINUTES) (CUMULATIVE)						
(Name)		TIME (MINS)							
(Name)	(Name)		5	10	15	20	25	30	

Notes:

1. Data to be provided annually by week 24 to cover the following year.

< END OF OPERATING CODE NO. 6 >

BALANCING CODE NO. 2

(BC2)

POST GATE CLOSURE PROCESS

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BC2.1 INTRODUCTION

Balancing Code No 2 (BC2) sets out the procedure for:

- (a) the physical operation of BM Units and Generating Units in the absence of any instructions from NGET;
- (b) the acceptance by NGET of Balancing Mechanism Bids and Offers,
- (c) the calling off by NGET of Ancillary Services;
- (d) the issuing and implementation of Emergency Instructions; and
- (e) the issuing by **NGET** of other operational instructions and notifications.

In addition, **BC2** deals with any information exchange between **NGET** and **BM Participants** or specific **Users** that takes place after **Gate Closure**.

In this BC2, "consistent" shall be construed as meaning to the nearest integer MW level.

In this **BC2**, references to "a **BM Unit** returning to its **Physical Notification**" shall take account of any **Bid-Offer Acceptances** already issued to the **BM Unit** in accordance with BC2.7 and any **Emergency Instructions** already issued to the **BM Unit** or **Generating Unit** in accordance with BC2.9.

BC2.2 <u>OBJECTIVE</u>

The procedure covering the operation of the **Balancing Mechanism** and the issuing of instructions to **Users** is intended to enable **NGET** as far as possible to maintain the integrity of the **National Electricity Transmission System** together with the security and quality of supply.

Where reference is made in this **BC2** to **Generating Units** (unless otherwise stated) it only applies:

- (a) to each Generating Unit which forms part of the BM Unit of a Cascade Hydro Scheme; and
- (b) at an **Embedded Exemptable Large Power Station** where the relevant **Bilateral Agreement** specifies that compliance with **BC2** is required:
 - (i) to each Generating Unit, or
 - (ii) to each **Power Park Module** where the **Power Station** comprises **Power Park Modules**.

BC2.3 SCOPE

BC2 applies to NGET and to Users, which in this BC2 means:-

- (a) **BM Participants**;
- (b) Externally Interconnected System Operators, and
- (c) Network Operators.

BC2.4 INFORMATION USED

- BC2.4.1 The information which **NGET** shall use, together with the other information available to it, in assessing:
 - (a) which bids and offers to accept;
 - (b) which BM Units and/or Generating Units to instruct to provide Ancillary Services;
 - (c) the need for and formulation of Emergency Instructions; and
 - (d) other operational instructions and notifications which NGET may need to issue

will be:

- (a) the **Physical Notification** and **Bid-Offer Data** submitted under **BC1**;
- (b) Export and Import Limits, QPNs, and Joint BM Unit Data in respect of that BM Unit and/or Generating Unit supplied under BC1 (and any revisions under BC1 and BC2 to the data); and
- (c) **Dynamic Parameters** submitted or revised under this **BC2**.
- BC2.4.2 As provided for in BC1.5.4, **NGET** will monitor the total of the Maximum Export Limit component of the **Export and Import Limits** against forecast **Demand** and the **Operating Margin** and will take account of **Dynamic Parameters** to see whether the anticipated level of **System Margin** is insufficient. This will reflect any changes in **Export and Import Limits** which have been notified to **NGET**, and will reflect any **Demand Control** which has also been so notified. **NGET** may issue new or revised **National Electricity Transmission System Warnings – Inadequate System Margin** or **High Risk of Demand Reduction** in accordance with BC1.5.4.

BC2.5 PHYSICAL OPERATION OF BM UNITS

BC2.5.1 Accuracy Of Physical Notifications

As described in BC1.4.2(a), **Physical Notifications** must represent the **BM Participant's** best estimate of expected input or output of **Active Power** and shall be prepared in accordance with **Good Industry Practice**.

Each **BM Participant** must, applying **Good Industry Practice**, ensure that each of its **BM Units** follows the **Physical Notification** in respect of that **BM Unit** (and each of its **Generating Units** follows the **Physical Notification** in the case of **Physical Notifications** supplied under BC1.4.2(a)(2)) that is prevailing at **Gate Closure** (the data in which will be utilised in producing the **Final Physical Notification Data** in accordance with the **BSC**) subject to variations arising from:

- (a) the issue of **Bid-Offer Acceptances** which have been confirmed by the **BM Participant**; or
- (b) instructions by NGET in relation to that BM Unit (or a Generating Unit) which require, or compliance with which would result in, a variation in output or input of that BM Unit (or a Generating Unit); or
- (c) compliance with provisions of **BC1**, **BC2** or **BC3** which provide to the contrary.

Except where variations from the **Physical Notification** arise from matters referred to at (a),(b or (c) above, in respect only of **BM Units** (or **Generating Units**) powered by an **Intermittent Power Source**, where there is a change in the level of the **Intermittent Power Source** from that forecast and used to derive the **Physical Notification**, variations from the **Physical Notification** prevailing at **Gate Closure** may, subject to remaining within the **Registered Capacity**, occur providing that the **Physical Notification** prevailing at **Gate Closure** was prepared in accordance with **Good Industry Practice**.

If variations and/or instructions as described in (a),(b) or (c) apply in any instance to **BM Units** (or **Generating Units**) powered by an **Intermittent Power Source** (e.g. a **Bid Offer Acceptance** is issued in respect of such a **BM Unit** and confirmed by the **BM Participant**) then such provisions will take priority over the third paragraph of BC2.5.1 above such that the **BM Participant** must ensure that the **Physical Notification** as varied in accordance with (a), (b) or (c) above applies and must be followed, subject to this not being prevented as a result of an unavoidance event as described below. For the avoidance of doubt, this gives rise to an obligation on each **BM Participant** (applying **Good Industry Practice**) to ensure that each of its **BM Units** (and **Generating Units**), follows the **Physical Notifications** prevailing at **Gate Closure** as amended by such variations and/or instructions unless in relation to any such obligation it is prevented from so doing as a result of an unavoidable event (existing or anticipated) in relation to that **BM Unit** (or a **Generating Unit**) which requires a variation in output or input of that **BM Unit** (or a **Generating Unit**).

Examples (on a non-exhaustive basis) of such an unavoidable event are:

- plant breakdowns;
- events requiring a variation of input or output on safety grounds (relating to personnel or plant);
- events requiring a variation of input or output to maintain compliance with the relevant Statutory Water Management obligations; and
- uncontrollable variations in output of **Active Power**.

Any anticipated variations in input or output post **Gate Closure** from the **Physical Notification** for a **BM Unit** (or a **Generating Unit**) prevailing at **Gate Closure** (except for those arising from instructions as outlined in (a), (b) or (c) above) must be notified to **NGET** without delay by the relevant **BM Participant** (or the relevant person on its behalf). For the avoidance of doubt, where a change in the level of the **Intermittent Power Source** from that forecast and used to derive the **Physical Notification** results in the **Shutdown** or **Shutdown** of part of the **BM Unit** (or **Generating Unit**), the change must be notified to **NGET** without delay by the relevant **BM Participant** (or the relevant person on its behalf).

Implementation of this notification should normally be achieved by the submission of revisions to the **Export and Import Limits** in accordance with BC2.5.3 below.

BC2.5.2 Synchronising And De-Synchronising Times

BC2.5.2.1 The Final Physical Notification Data provides indicative Synchronising and De-Synchronising times to NGET in respect of any BM Unit which is De-Synchronising or is anticipated to be Synchronising post Gate Closure.

Any delay of greater than five minutes to the **Synchronising** or any advancement of greater than five minutes to the **De-Synchronising** of a **BM Unit** must be notified to **NGET** without delay by the submission of a revision of the **Export and Import Limits**.

- BC2.5.2.2 Except in the circumstances provided for in BC2.5.2.3, BC2.5.2.4, BC2.5.5.1 or BC2.9, no **BM Unit** (nor a **Generating Unit**) is to be **Synchronised** or **De-Synchronised** unless:-
 - (a) a **Physical Notification** had been submitted to **NGET** prior to **Gate Closure** indicating that a **Synchronisation** or **De-Synchronisation** is to occur; or
 - (b) NGET has issued a Bid-Offer Acceptance requiring Synchronisation or De-Synchronisation of that BM Unit (or a Generating Unit).

BC2.5.2.3 BM Participants must only Synchronise or De-Synchronise BM Units (or a Generating Unit);

- (a) at the times indicated to NGET, or
- (b) at times consistent with variations in output or input arising from provisions described in BC2.5.1,

(within a tolerance of +/- 5 minutes) or unless that occurs automatically as a result of **Operational Intertripping** or **Low Frequency Relay** operations or an **Ancillary Service** pursuant to an **Ancillary Services Agreement**

BC2.5.2.4 **De-Synchronisation** may also take place without prior notification to **NGET** as a result of plant breakdowns or if it is done purely on safety grounds (relating to personnel or plant). If that happens **NGET** must be informed immediately that it has taken place and a revision to **Export and Import Limits** must be submitted in accordance with BC2.5.3.3. Following any **De-Synchronisation** occurring as a result of plant failure, no **Synchronisation** of that **BM Unit** (or a **Generating Unit**) is to take place without **NGET's** agreement, such agreement not to be unreasonably withheld.

In the case of **Synchronisation** following an unplanned **De-Synchronisation** within the preceding 15 minutes, a minimum of 5 minutes notice of its intention to **Synchronise** should normally be given to **NGET** (via a revision to **Export and Import Limits**). In the case of any other unplanned **De-Synchronisation** where the **User** plans to **Synchronise** before the expiry of the current **Balancing Mechanism** period, a minimum of 15 minutes notice of **Synchronisation** should normally be given to **NGET** (via a revision to **Export and Import Limits**). In addition, the rate at which the **BM Unit** is returned to its **Physical Notification** is not to exceed the limits specified in **BC1**, Appendix 1 without **NGET**'s agreement.

NGET will either agree to the **Synchronisation** or issue a **Bid-Offer Acceptance** in accordance with BC2.7 to delay the **Synchronisation**. **NGET** may agree to an earlier **Synchronisation** if **System** conditions allow.

BC2.5.2.5 Notification Of Times To Network Operators

NGET will make changes to the **Synchronising** and **De-Synchronising** times available to each **Network Operator**, but only relating to **BM Units Embedded** within its **User System** and those **BM Units** directly connected to the **National Electricity Transmission System** which **NGET** has identified under **OC2** and/or **BC1** as being those which may, in the reasonable opinion of **NGET**, affect the integrity of that **User System** and shall inform the relevant **BM Participant** that it has done so, identifying the **BM Unit** concerned.

Each **Network Operator** must notify **NGET** of any changes to its **User System** Data as soon as practicable in accordance with BC1.6.1(c).

BC2.5.3 Revisions To BM Unit Data

Following Gate Closure for any Settlement Period, no changes to the Physical Notification, to the QPN data or to Bid-Offer Data for that Settlement Period may be submitted to NGET.

BC2.5.3.1 At any time, any **BM Participant** (or the relevant person on its behalf) may, in respect of any of its **BM Units**, submit to **NGET** the data listed in **BC1**, Appendix 1 under the heading of **Dynamic Parameters** from the **Control Point** of its **BM Unit** to amend the data already held by **NGET** (including that previously submitted under this BC2.5.3.1) for use in preparing for and operating the **Balancing Mechanism**. The change will take effect from the time that it is received by **NGET**. For the avoidance of doubt, the **Dynamic Parameters** submitted to **NGET** under BC1.4.2(e) are not used within the current **Operational Day**. The **Dynamic Parameters** submitted under this BC2.5.3.1 shall reasonably reflect the true current operating characteristics of the **BM Unit** and shall be prepared in accordance with **Good Industry Practice**.

Following the **Operational Intertripping** of a **System** to **Generating Unit** or a **System** to **CCGT Module**, the **BM Participant** shall as soon as reasonably practicable re-declare its MEL to reflect more accurately its output capability.

BC2.5.3.2 Revisions to Export and Import Limits or Other Relevant Data supplied (or revised) under BC1 must be notified to NGET without delay as soon as any change becomes apparent to the BM Participant (or the relevant person on its behalf) via the Control Point for the BM Unit (or a Generating Unit) to ensure that an accurate assessment of BM Unit (or a Generating Unit) capability is available to NGET at all times. These revisions should be prepared in accordance with Good Industry Practice and may be submitted by use of electronic data communication facilities or by telephone. BC2.5.3.3 Revisions to **Export and Import Limits** must be made by a **BM Participant** (or the relevant person on its behalf) via the Control Point in the event of any De-Synchronisation of a BM Unit (or a Generating Unit) in the circumstances described in BC2.5.2.4 if the BM Unit (or a Generating Unit) is no longer available for any period of time. Revisions must also be submitted in the event of plant failures causing a reduction in input or output of a **BM Unit** (or a Generating Unit) even if that does not lead to De-Synchronisation. Following the correction of a plant failure, the **BM Participant** (or the relevant person on its behalf) must notify NGET via the Control Point of a revision to the Export and Import Limits, if appropriate, of the BM Unit (or a Generating Unit), using reasonable endeavours to give a minimum of 5 minutes notice of its intention to return to its **Physical Notification**. The rate at which the **BM Unit** (or a **Generating Unit**) is returned to its **Physical Notification** is not to exceed the limits specified in BC1, Appendix 1 without NGET's agreement.

BC2.5.4 Operation In The Absence Of Instructions From NGET

In the absence of any Bid-Offer Acceptances, Ancillary Service instructions issued pursuant to BC2.8 or Emergency Instructions issued pursuant to BC2.9:

- (a) as provided for in BC3, each Synchronised Genset producing Active Power must operate at all times in Limited Frequency Sensitive Mode (unless instructed in accordance with BC3.5.4 to operate in Frequency Sensitive Mode);
- in the absence of any MVAr Ancillary Service instructions, the MVAr output of (b) (i) each Synchronised Genset located Onshore should be 0 MVAr upon Synchronisation at the circuit-breaker where the Genset is Synchronised. For the avoidance of doubt, in the case of a Genset located Onshore comprising of Non-Synchronous Generating Units, Power Park Modules or DC Converters the steady state tolerance allowed in CC.6.3.2(b) may be applied
 - In the absence of any MVAr Ancillary Service instructions, the MVAr output of (ii) each Synchronised Genset comprising Synchronous Generating Units located Offshore should be 0MVAr at the Grid Entry Point upon Synchronisation. For the avoidance of doubt, in the case of a Genset located Offshore comprising of Non-Synchronous Generating Units, Power Park Modules or DC Converters the steady state tolerance allowed in CC.6.3.2(e) may be applied;
- subject to the provisions of 2.5.4(c) (ii) and 2.5.4 (c) (iii) below, the excitation (c) (i) system or the voltage control system of a Genset located Offshore which has agreed an alternative **Reactive Power** capability range under CC.6.3.2 (e) (iii) or a Genset located Onshore, unless otherwise agreed with NGET, must be operated only in its constant terminal voltage mode of operation with VAR limiters in service, with any constant Reactive Power output control mode or constant Power Factor output control mode always disabled, unless agreed otherwise with NGET. In the event of any change in **System** voltage, a **Generator** must not take any action to override automatic MVAr response which is produced as a result of constant terminal voltage mode of operation of the automatic excitation control system unless instructed otherwise by **NGET** or unless immediate action is necessary to comply with Stability Limits or unless constrained by plant operational limits or safety grounds (relating to personnel or plant);
 - (ii) In the case of all Gensets comprising Non-Synchronous Generating Units, DC Converters and Power Park Modules that are located Offshore and which have agreed an alternative Reactive Power capability range under CC.6.3.2 (e) (iii), or that are located Onshore only when operating below 20 % of the Rated MW output, the voltage control system shall maintain the reactive power transfer at the Grid Entry Point (or User System Entry Point if Embedded) to 0 MVAr. For the avoidance of doubt the relevant steady state tolerance allowed in CC.6.3.2(b) or CC.6.3.2 (e) may be applied. In the case of any such **Gensets** comprising current source DC Converter technology or comprising Power Park Modules connected to the Total System by a current source DC Converter when operating at any power output the voltage control system shall maintain the reactive power transfer at the Grid Entry Point (or User System Entry Point if Embedded) to 0 MVAr. BC2

For the avoidance of doubt the relevant steady state tolerance allowed in CC.6.3.2(b) or CC.6.3.2 (c) (i) may be applied.

- (iii) In the case of all Gensets located Offshore which are not subject to the requirements of BC2.5.4 (c) (i) or BC2.5.4 (c) (ii) the control system shall maintain the Reactive Power transfer at the Offshore Grid Entry Point at 0MVAr. For the avoidance of doubt the steady state tolerance allowed by CC.6.3.2 (e) may be applied.
- (d) In the absence of any MVAr Ancillary Service instructions,
 - (i) the MVAr output of each Genset located Onshore should be 0 MVAr immediately prior to De-Synchronisation at the circuit-breaker where the Genset is Synchronised, other than in the case of a rapid unplanned De-Synchronisation or in the case of a Genset comprising of Non-Synchronous Generating Units, Power Park Modules or DC Converters which is operating at less than 20% of its Rated MW output where the requirements of BC2.5.4 (c) part (ii) apply, or;
 - (ii) the MVAr output of each Genset located Offshore should be 0MVAr immediately prior to De-Synchronisation at the Offshore Grid Entry Point, other than in the case of a rapid unplanned De-Synchronisation or in the case of a Genset comprising of Non-Synchronous Generating Units, Power Park Modules or DC Converters which is operating at less than 20% of its Rated MW output and which has agreed an alternative Reactive Power capability range under CC.6.3.2 (e) (iii) where the requirements of BC2.5.4 (c) (ii) apply.
- (e) a **Generator** should at all times operate its **CCGT Units** in accordance with the applicable **CCGT Module Matrix**;
- (f) in the case of a Range CCGT Module, a Generator must operate that CCGT Module so that power is provided at the single Grid Entry Point identified in the data given pursuant to PC.A.3.2.1 or at the single Grid Entry Point to which NGET has agreed pursuant to BC1.4.2(f);
- (g) in the event of the System Frequency being above 50.3Hz or below 49.7Hz, BM Participants must not commence any reasonably avoidable action to regulate the input or output of any BM Unit in a manner that could cause the System Frequency to deviate further from 50Hz without first using reasonable endeavours to discuss the proposed actions with NGET. NGET shall either agree to these changes in input or output or issue a Bid-Offer Acceptance in accordance with BC2.7 to delay the change.
- (h) a **Generator** should at all times operate its **Power Park Units** in accordance with the applicable **Power Park Module Availability Matrix**.

BC2.5.5 Commencement Or Termination Of Participation In The Balancing Mechanism

- BC2.5.5.1 In the event that a **BM Participant** in respect of a **BM Unit** with a **Demand Capacity** with a magnitude of less than 50MW in **NGET's Transmission Area** or less than 10MW in **SHETL's Transmission Area** or less than 30MW in **SPT's Transmission Area** or comprising **Generating Units** (as defined in the Glossary and Definitions and not limited by BC2.2) and/or **CCGT Modules** and/or **Power Park Modules** at a **Small Power Station** notifies **NGET** at least 30 days in advance that from a specified **Operational Day** it will:
 - (a) no longer submit Bid-Offer Data under BC1.4.2(d), then with effect from that Operational Day that BM Participant no longer has to meet the requirements of BC2.5.1 nor the requirements of CC.6.5.8(b) in relation to that BM Unit. Also, with effect from that Operational Day, any defaulted Physical Notification and defaulted Bid-Offer Data in relation to that BM Unit arising from the Data Validation, Consistency and Defaulting Rules will be disregarded and the provisions of BC2.5.2 will not apply;
 - (b) submit **Bid-Offer Data** under BC1.4.2(d), then with effect from that **Operational Day** that **BM Participant** will need to meet the requirements of BC2.5.1 and the requirements of CC.6.5.8(b) in relation to that **BM Unit**.

- BC2.5.5.2 In the event that a **BM Participant** in respect of a **BM Unit** with a **Demand Capacity** with a magnitude of 50MW or more in **NGET's Transmission Area** or 10MW or more in **SHETL's Transmission Area** or 30MW or more in **SPT's Transmission Area** or comprising **Generating Units** (as defined in the Glossary and Definitions and not limited by BC2.2) and/or **CCGT Modules** and/or **Power Park Modules** at a **Medium Power Station** or **Large Power Station** notifies **NGET** at least 30 days in advance that from a specified **Operational Day** it will:
 - (a) no longer submit Bid-Offer Data under BC1.4.2(d), then with effect from that Operational Day that BM Participant no longer has to meet the requirements of CC.6.5.8(b) in relation to that BM Unit; Also, with effect from that Operational Day, any defaulted Bid-Offer Data in relation to that BM Unit arising from the Data Validation, Consistency and Defaulting Rules will be disregarded;
 - (b) submit **Bid-Offer Data** under BC1.4.2(d), then with effect from that **Operational Day** that **BM Participant** will need to meet the requirements of CC.6.5.8(b) in relation to that **BM Unit**.

BC2.6 <u>COMMUNICATIONS</u>

Electronic communications are always conducted in GMT. However, the input of data and display of information to **Users** and **NGET** and all other communications are conducted in London time.

BC2.6.1 Normal Communication With Control Points

- (a) With the exception of BC2.6.1(c) below, Bid-Offer Acceptances and, unless otherwise agreed with NGET, Ancillary Service instructions shall be given by automatic logging device and will be given to the Control Point for the BM Unit. For all Planned Maintenance Outages the provisions of BC2.6.5 will apply. For Generating Units communications under BC2 shall be by telephone unless otherwise agreed by NGET and the User.
- (b) Bid-Offer Acceptances and Ancillary Service instructions must be formally acknowledged immediately by the BM Participant (or the relevant person on its behalf) via the Control Point for the BM Unit or Generating Unit in respect of that BM Unit or that Generating Unit. The acknowledgement and subsequent confirmation or rejection, within two minutes of receipt, is normally given electronically by automatic logging device. If no confirmation or rejection is received by NGET within two minutes of the Bid-Offer Acceptance, then NGET will contact the Control Point for the BM Unit by telephone to determine the reason for the lack of confirmation or rejection. Any rejection must be given in accordance with BC2.7.3 or BC2.8.3.
- (c) In the event of a failure of the logging device or a **NGET** computer system outage, **Bid-Offer Acceptances** and instructions will be given, acknowledged, and confirmed or rejected by telephone. The provisions of BC2.9.7 are also applicable.
- (d) In the event that in carrying out the Bid-Offer Acceptances or providing the Ancillary Services, or when operating at the level of the Final Physical Notification Data as provided in BC2.5.1, an unforeseen problem arises, caused on safety grounds (relating to personnel or plant), NGET must be notified without delay by telephone.
- (e) The provisions of BC2.5.3 are also relevant.
- (f) Submissions of revised MVAr capability may be made by facsimile transmission, using the format given in Appendix 3 to **BC2**.
- (g) Communication will normally be by telephone for any purpose other than **Bid-Offer Acceptances**, in relation to **Ancillary Services** or for revisions of MVAr Data.

- (h) Submissions of revised availability of Frequency Sensitive Mode may be made by facsimile transmission, using the format given in Appendix 4 to BC2. This process should only be used for technical restrictions to the availability of Frequency Sensitive Mode.
- BC2.6.2 Communication With Control Points In Emergency Circumstances

NGET will issue **Emergency Instructions** direct to the **Control Point** for each **BM Unit** [or **Generating Unit**] in **Great Britain**. **Emergency Instructions** to a **Control Point** will normally be given by telephone (and will include an exchange of operator names).

BC2.6.3 Communication With Network Operators In Emergency Circumstances

NGET will issue **Emergency Instructions** direct to the **Network Operator** at each **Control Centre** in relation to special actions and **Demand Control**. **Emergency Instructions** to a **Network Operator** will normally be given by telephone (and will include an exchange of operator names). **OC6** contains further provisions relating to **Demand Control** instructions.

BC2.6.4 <u>Communication With Externally Interconnected System Operators In Emergency</u> <u>Circumstances</u>

NGET will issue Emergency Instructions directly to the Externally Interconnected System Operator at each Control Centre. Emergency Instructions to an Externally Interconnected System Operator will normally be given by telephone (and will include an exchange of operator names).

BC2.6.5 Communications During Planned Outages Of Electronic Data Communication Facilities

Planned Maintenance Outages will normally be arranged to take place during periods of low data transfer activity. Upon any such **Planned Maintenance Outage** in relation to a post **Gate Closure** period:-

- (a) BM Participants should operate in relation to any period of time in accordance with the Physical Notification prevailing at Gate Closure current at the time of the start of the Planned Maintenance Outage in relation to each such period of time. Such operation shall be subject to the provisions of BC2.5.1, which will apply as if set out in this BC2.6.5. No further submissions of BM Unit Data (other than data specified in BC1.4.2(c) and BC1.4.2(e)) should be attempted or Generating Unit Data. Plant failure or similar problems causing significant deviation from Physical Notification should be notified to NGET by the submission of a revision to Export and Import Limits in relation to the BM Unit or Generating Unit so affected;
- (b) during the outage, revisions to the data specified in BC1.4.2(c) and BC1.4.2(e) may be submitted. Communication between Users' Control Points and NGET during the outage will be conducted by telephone;
- (c) NGET will issue Bid-Offer Acceptances by telephone; and
- (d) no data will be transferred from **NGET** to the **BMRA** until the communication facilities are re-established.
- (e) The provisions of BC2.9.7 may also be relevant.

BC2.7 BID-OFFER ACCEPTANCES

BC2.7.1 Acceptance Of Bids And Offers By NGET

Bid-Offer Acceptances may be issued to the **Control Point** at any time following **Gate Closure**. Any **Bid-Offer Acceptance** will be consistent with the **Dynamic Parameters**, **QPNs**, **Export and Import Limits**, and **Joint BM Unit Data** of the **BM Unit** in so far as the **Balancing Mechanism** timescales will allow (see BC2.7.2).

(a) **NGET** is entitled to assume that each **BM Unit** is available in accordance with the **BM Unit Data** submitted unless and until it is informed of any changes.

- (b) Bid-Offer Acceptances sent to the Control Point will specify the data necessary to define a MW profile to be provided (ramp rate break-points are not normally explicitly sent to the Control Point) and to be achieved consistent with the respective BM Unit's Export and Import Limits, QPNs and Joint BM Unit Data provided or modified under BC1 or BC2, and Dynamic Parameters given under BC2.5.3 or, if agreed with the relevant User, such rate within those Dynamic Parameters as is specified by NGET in the Bid-Offer Acceptances.
- (c) All **Bid-Offer Acceptances** will be deemed to be at the current "**Target Frequency**", namely where a **Genset** is in **Frequency Sensitive Mode** they refer to target output at **Target Frequency**.
- (d) The form of and terms to be used by NGET in issuing Bid-Offer Acceptances together with their meanings are set out in Appendix 1 in the form of a non-exhaustive list of examples.

BC2.7.2 Consistency With Export And Import Limits, QPNs And Dynamic Parameters

- (a) Bid-Offer Acceptances will be consistent with the Export and Import Limits, QPNs, and Joint BM Unit Data provided or modified under BC1 or BC2 and the Dynamic Parameters provided or modified under BC2. Bid-Offer Acceptances may also recognise Other Relevant Data provided or modified under BC1 or BC2
- (b) In the case of consistency with **Dynamic Parameters** this will be limited to the time until the end of the Settlement Period for which Gate Closure has most recently occurred. If NGET intends to issue a Bid-Offer Acceptance covering a period after the end of the Settlement Period for which Gate Closure has most recently occurred, based upon the then submitted Dynamic Parameters, QPN's, Export and Import Limits, Bid-Offer Data and Joint BM Unit Data applicable to that period, NGET will indicate this to the **BM Participant** at the **Control Point** for the **BM Unit**. The intention will then be reflected in the issue of a Bid-Offer Acceptance to return the BM Unit to its previously notified Physical Notification after the relevant Gate Closure provided the submitted data used to formulate this intention has not changed and subject to System conditions which may affect that intention. Subject to that, assumptions regarding Bid-Offer Acceptances may be made by BM Participants for Settlement Periods for which Gate Closure has not yet occurred when assessing consistency with Dynamic Parameters in Settlement Periods for which Gate Closure has occurred. If no such subsequent Bid-Offer Acceptance is issued, the original Bid-Offer Acceptance will include an instantaneous return to Physical Notification at the end of the Balancing Mechanism period.

BC2.7.3 Confirmation And Rejection Of Acceptances

Bid-Offer Acceptances may only be rejected by a BM Participant :

- (a) on safety grounds (relating to personnel or plant) as soon as reasonably possible and in any event within five minutes; or
- (b) because they are not consistent with the **Export and Import Limits**, **QPNs**, **Dynamic Parameters** or **Joint BM Unit Data** applicable at the time of issue of the **Bid-Offer Acceptance**.

A reason must always be given for rejection by telephone.

Where a **Bid-Offer Acceptance** is not confirmed within two minutes or is rejected, **NGET** will seek to contact the **Control Point** for the **BM Unit**. **NGET** must then, within 15 minutes of issuing the **Bid-Offer Acceptance**, withdraw the **Bid-Offer Acceptance** or log the **Bid-Offer Acceptance** as confirmed. **NGET** will only log a rejected **Bid-Offer Acceptance** as confirmed following discussion and if the reason given is, in **NGET's** reasonable opinion, not acceptable and **NGET** will inform the **BM Participant** accordingly.

- BC2.7.4 Action Required From BM Participants
 - (a) Each BM Participant in respect of its BM Units will comply in accordance with BC2.7.1 with all Bid-Offer Acceptances given by NGET with no more than the delay allowed for by the Dynamic Parameters unless the BM Unit has given notice to NGET under the provisions of BC2.7.3 regarding non-acceptance of a Bid-Offer Acceptance.
 - (b) Where a **BM Unit's** input or output changes in accordance with a **Bid-Offer Acceptance** issued under BC2.7.1, such variation does not need to be notified to **NGET** in accordance with BC2.5.1.
 - (c) In the event that while carrying out the **Bid-Offer Acceptance** an unforeseen problem arises caused by safety reasons (relating to personnel or plant), **NGET** must be notified immediately by telephone and this may lead to revision of **BM Unit Data** in accordance with BC2.5.3

BC2.7.5 Additional Action Required From Generators

- (a) When complying with **Bid-Offer Acceptances** for a **CCGT Module** a **Generator** will operate its **CCGT Units** in accordance with the applicable **CCGT Module Matrix**.
- (b) When complying with Bid-Offer Acceptances for a CCGT Module which is a Range CCGT Module, a Generator must operate that CCGT Module so that power is provided at the single Grid Entry Point identified in the data given pursuant to PC.A.3.2.1 or at the single Grid Entry Point to which NGET has agreed pursuant to BC1.4.2 (f).
- (c) On receiving a new MW Bid-Offer Acceptance, no tap changing shall be carried out to change the MVAr output unless there is a new MVAr Ancillary Service instruction issued pursuant to BC2.8.
- (d) When complying with Bid-Offer Acceptances for a Power Park Module a Generator will operate its Power Park Units in accordance with the applicable Power Park Module Availability Matrix.

BC2.8 ANCILLARY SERVICES

This section primarily covers the call-off of **System Ancillary Services**. The provisions relating to **Commercial Ancillary Services** will normally be covered in the relevant **Ancillary Services Agreement**.

BC2.8.1 Call-Off Of Ancillary Services By NGET

- (a) **Ancillary Service** instructions may be issued at any time.
- (b) **NGET** is entitled to assume that each **BM Unit** (or **Generating Unit**) is available in accordance with the **BM Unit Data** (or the **Generating Unit Data**) and data contained in the **Ancillary Services Agreement** unless and until it is informed of any changes.
- (c) **Frequency** control instructions may be issued in conjunction with, or separate from, a **Bid-Offer Acceptance**.
- (d) The form of and terms to be used by NGET in issuing Ancillary Service instructions together with their meanings are set out in Appendix 2 in the form of a non-exhaustive list of examples including Reactive Power and associated instructions.
- (e) In the case of Generating Units that do not form part of a BM Unit any change in Active Power as a result of, or required to enable, the provision of an Ancillary Service will be dealt with as part of that Ancillary Service Agreement and/or provisions under the CUSC.
- (f) A System to Generator Operational Intertripping Scheme will be armed in accordance with BC2.10.2(a)

BC2.8.2 Consistency With Export And Import Limits, **QPNs** And Dynamic Parameters

Ancillary Service instructions will be consistent with the Export and Import Limits, QPNs, and Joint BM Unit Data provided or modified under BC1 or BC2 and the Dynamic Parameters provided or modified under BC2. Ancillary Service instructions may also recognise Other Relevant Data provided or modified under BC1 or BC2

BC2.8.3 Rejection Of Ancillary Service Instructions

- (a) Ancillary Service instructions may only be rejected, by automatic logging device or by telephone, on safety grounds (relating to personnel or plant) or because they are not consistent with the applicable Export and Import Limits, QPNs, Dynamic Parameters, Joint BM Unit Data, Other Relevant Data or data contained in the Ancillary Services Agreement and a reason must be given immediately for non-acceptance.
- (b) The issue of Ancillary Service instructions for Reactive Power will be made with due regard to any resulting change in Active Power output. The instruction may be rejected if it conflicts with any Bid-Offer Acceptance issued in accordance with BC2.7 or with the Physical Notification.
- (c) Where Ancillary Service instructions relating to Active Power and Reactive Power are given together, and to achieve the Reactive Power output would cause the BM Unit to operate outside Dynamic Parameters as a result of the Active Power instruction being met at the same time, then the timescale of implementation of the Reactive Power instruction may be extended to be no longer than the timescale for implementing the Active Power instruction but in any case to achieve the MVAr Ancillary Service instruction as soon as possible.

BC2.8.4 Action Required From BM Units

- (a) Each BM Unit (or Generating Unit) will comply in accordance with BC2.8.1 with all Ancillary Service instructions relating to Reactive Power properly given by NGET within 2 minutes or such longer period as NGET may instruct, and all other Ancillary Service instructions without delay, unless the BM Unit or Generating Unit has given notice to NGET under the provisions of BC2.8.3 regarding non-acceptance of Ancillary Service instructions.
- (b) Each BM Unit may deviate from the profile of its Final Physical Notification Data, as modified by any Bid-Offer Acceptances issued in accordance with BC2.7.1, only as a result of responding to Frequency deviations when operating in Frequency Sensitive Mode in accordance with the Ancillary Services Agreement.
- (c) Each **Generating Unit** that does not form part of a **BM Unit** may deviate from the profile of its **Final Physical Notification Data** where agreed by **NGET** and the **User**, including but not limited to, as a result of providing **an Ancillary Service** in accordance with the **Ancillary Service Agreement**.
- (d) In the event that while carrying out the Ancillary Service instructions an unforeseen problem arises caused by safety reasons (relating to personnel or plant), NGET must be notified immediately by telephone and this may lead to revision of BM Unit Data or Generating Unit Data in accordance with BC2.5.3.

BC2.8.5 Reactive Despatch Network Restrictions

Where NGET has received notification pursuant to the Grid Code that a Reactive Despatch to Zero MVAr Network Restriction is in place with respect to any Embedded Generating Unit, Embedded Power Park Module or DC Converter at an Embedded DC Converter Station, then NGET will not issue any Reactive Despatch Instruction with respect to that Generating Unit, Power Park Module or DC Converter until such time as notification is given to NGET pursuant to the Grid Code that such Reactive Despatch to Zero MVAr Network Restriction is no longer affecting that Generating Unit, Power Park Module or DC Converter Despatch to Zero MVAr Network Restriction is no longer affecting that Generating Unit, Power Park Module or DC Converter.

BC2.9 EMERGENCY CIRCUMSTANCES

BC2.9.1 Emergency Actions

- BC2.9.1.1 In certain circumstances (as determined by NGET in its reasonable opinion) it will be necessary, in order to preserve the integrity of the National Electricity Transmission System and any synchronously connected External System, for NGET to issue Emergency Instructions. In such circumstances, it may be necessary to depart from normal Balancing Mechanism operation in accordance with BC2.7 in issuing Bid-Offer Acceptances. BM Participants must also comply with the requirements of BC3.
- BC2.9.1.2 Examples of circumstances that may require the issue of **Emergency Instructions** include:-
 - (a) **Events** on the **National Electricity Transmission System** or the **System** of another **User**; or
 - (b) the need to maintain adequate **System** and **Localised NRAPM** in accordance with BC2.9.4 below; or
 - (c) the need to maintain adequate frequency sensitive **Gensets** in accordance with BC2.9.5 below; or
 - (d) the need to implement **Demand Control** in accordance with OC6; or
 - (e) (i) the need to invoke the **Black Start** process or the **Re-Synchronisation of De-Synchronised Island** process in accordance with OC9; or
 - (ii) the need to request provision of a Maximum Generation Service; or
 - (iii) the need to issue an Emergency Deenergisation Instruction in circumstances where the condition or manner of operation of any Transmission Plant and/or Apparatus is such that it may cause damage or injury to any person or to the National Electricity Transmission System.
- BC2.9.1.3 In the case of **BM Units** and **Generating Units** in **Great Britain**, **Emergency Instructions** will be issued by **NGET** direct to the **User** at the **Control Point** for the **BM Unit** or **Generating Unit** and may require an action or response which is outside its **Other Relevant Data**, **QPN**s, or **Export and Import Limits** submitted under **BC1**, or revised under **BC1** or **BC2**, or **Dynamic Parameters** submitted or revised under **BC2**.
- BC2.9.1.4 In the case of a **Network Operator** or an **Externally Interconnected System Operator**, **Emergency Instructions** will be issued to its **Control Centre**.
- BC2.9.2 Implementation Of Emergency Instructions
- BC2.9.2.1 Users will respond to Emergency Instructions issued by NGET without delay and using all reasonable endeavours to so respond. Emergency Instructions may only be rejected by an User on safety grounds (relating to personnel or plant) and this must be notified to NGET immediately by telephone.
- BC2.9.2.2 **Emergency Instructions** will always be prefixed with the words "This is an **Emergency Instruction**" except in the case of:
 - (i) **Maximum Generation Service** instructed by electronic data communication facilities where the instruction will be issued in accordance with the provisions of the **Maximum Generation Service Agreement**; and
 - (ii) an Emergency Deenergisation Instruction, where the Emergency Deenergisation Instruction will be pre-fixed with the words 'This is an Emergency Deenergisation Instruction'; and
 - (iii) during a Black Start situation where the Balancing Mechanism has been suspended, any instruction given by NGET will (unless NGET specifies otherwise) be deemed to be an Emergency Instruction and need not be pre-fixed with the words 'This is an Emergency Instruction'; and

(iv) during a Black Start situation where the Balancing Mechanism has not been suspended, any instruction in relation to Black Start Stations and to Network Operators which are part of an invoked Local Joint Restoration Plan will (unless NGET specifies otherwise) be deemed to be an Emergency Instruction and need not be prefixed with the words 'This is an Emergency Instruction'.

In Scotland, any instruction in relation to **Gensets** that are not at **Black Start Stations**, but which are part of an invoked **Local Joint Restoration Plan** and are instructed in accordance with the provisions of that **Local Joint Restoration Plan**, will be deemed to be an **Emergency Instruction** and need not be prefixed with the words 'This is an **Emergency Instruction**'.

- BC2.9.2.3 In all cases under this BC2.9 except BC2.9.1.2 (e) where **NGET** issues an **Emergency Instruction** to a **BM Participant** which is not rejected under BC2.9.2.1, the **Emergency Instruction** shall be treated as a **Bid-Offer Acceptance**. For the avoidance of doubt, any **Emergency Instruction** issued to a **Network Operator** or to an **Externally Interconnected System Operator** or in respect of a **Generating Unit** that does not form part of a **BM Unit**, will not be treated as a **Bid-Offer Acceptance**.
- BC2.9.2.4 In the case of BC2.9.1.2 (e) (ii) where **NGET** issues an **Emergency Instruction** pursuant to a **Maximum Generation Service Agreement** payment will be dealt with in accordance with the **CUSC** and the **Maximum Generation Service Agreement**.
- BC2.9.2.5 In the case of BC2.9.1.2 (e) (iii) where **NGET** issues an **Emergency Deenergisation Instruction** payment will be dealt with in accordance with the **CUSC**, Section 5.
- BC2.9.2.6 In the of BC2.9.1.2 (e) (i) upon receipt of an **Emergency Instruction** by a **Generator** during a **Black Start** the provisions of Section G of the **BSC** relating to compensation shall apply.
- BC2.9.3 Examples Of Emergency Instructions
- BC2.9.3.1 In the case of a **BM Unit** or a **Generating Unit**, **Emergency Instructions** may include an instruction for the **BM Unit** or the **Generating Unit** to operate in a way that is not consistent with the **Dynamic Parameters**, **QPNs** and/or **Export and Import Limits**.
- BC2.9.3.2 In the case of a **Generator**, **Emergency Instructions** may include:
 - (a) an instruction to trip one or more Gensets (excluding Operational Intertripping); or
 - (b) an instruction to trip **Mills** or to **Part Load** a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2); or
 - (c) an instruction to Part Load a CCGT Module or Power Park Module; or
 - (d) an instruction for the operation of CCGT Units within a CCGT Module (on the basis of the information contained within the CCGT Module Matrix) when emergency circumstances prevail (as determined by NGET in NGET's reasonable opinion); or
 - (e) an instruction to generate outside normal parameters, as allowed for in 4.2 of the **CUSC**; or
 - (f) an instruction for the operation of Generating Units within a Cascade Hydro Scheme (on the basis of the additional information supplied in relation to individual Generating Units) when emergency circumstances prevail (as determined by NGET in NGET's reasonable opinion); or
 - (g) an instruction for the operation of a **Power Park Module** (on the basis of the information contained within the **Power Park Module Availability Matrix**) when emergency circumstances prevail (as determined by **NGET** in **NGET's** reasonable opinion).
- BC2.9.3.3 Instructions to **Network Operators** relating to the **Operational Day** may include:
 - (a) a requirement for **Demand** reduction and disconnection or restoration pursuant to **OC6**;
 - (b) an instruction to effect a load transfer between Grid Supply Points;
 - (c) an instruction to switch in a System to Demand Intertrip Scheme;

- (d) an instruction to split a network;
- (e) an instruction to disconnect an item of **Plant** or **Apparatus** from the **System**.
- BC2.9.4 <u>Maintaining Adequate System And Localised NRAPM (Negative Reserve Active Power</u> <u>Margin)</u>
- BC2.9.4.1 Where **NGET** is unable to satisfy the required **System NRAPM** or **Localised NRAPM** by following the process described in BC1.5.5, **NGET** will issue an **Emergency Instruction** to exporting **BM Units** for **De-Synchronising** on the basis of **Bid-Offer Data** submitted to **NGET** in accordance with BC1.4.2(d).
- BC2.9.4.2 In the event that **NGET** is unable to differentiate between exporting **BM Units** according to **Bid-Offer Data**, **NGET** will instruct a **BM Participant** to **Shutdown** a specified exporting **BM Unit** for such period based upon the following factors:
 - (a) effect on power flows (resulting in the minimisation of transmission losses);
 - (b) reserve capability;
 - (c) **Reactive Power** worth;
 - (d) Dynamic Parameters;
 - (e) in the case of **Localised NRAPM**, effectiveness of output reduction in the management of the **System Constraint**.
- BC2.9.4.3 Where NGET is still unable to differentiate between exporting BM Units, having considered all the foregoing, NGET will decide which exporting BM Unit to Shutdown by the application of a quota for each BM Participant in the ratio of each BM Participant's Physical Notifications.
- BC2.9.4.4 Other than as provided in BC2.9.4.5 and BC2.9.4.6 below, in determining which exporting **BM Units** to **De-Synchronise** under this BC2.9.4, **NGET** shall not consider in such determination (and accordingly shall not instruct to **De-Synchronise**) any **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) within an **Existing Gas Cooled Reactor Plant**.
- BC2.9.4.5 **NGET** shall be permitted to instruct a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) within an **Existing AGR Plant** to **De-Synchronise** if the relevant **Generating Unit** within the **Existing AGR Plant** has failed to offer to be flexible for the relevant instance at the request of **NGET** within the **Existing AGR Plant Flexibility Limit**.
- BC2.9.4.6 Notwithstanding the provisions of BC2.9.4.5 above, if the level of **System NRAPM** (taken together with **System** constraints) or **Localised NRAPM** is such that it is not possible to avoid instructing a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) within an **Existing Magnox Reactor Plant** and/or an **Existing AGR Plant** whether or not it has met requests within the **Existing AGR Flexibility Limit** to **De-Synchronise NGET** may, provided the power flow across each **External Interconnection** is either at zero or results in an export of power from the **Total System**, so instruct a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) within an **Existing Magnox Reactor Plant** and/or an **Existing AGR Plant** to **De-Synchronise** in the case of **System NRAPM**, in all cases and in the case of **Localised NRAPM**, when the power flow would have a relevant effect.
- BC2.9.4.7 When instructing exporting **BM Units** which form part of an **On-Site Generator Site** to reduce generation under this BC2.9.4, **NGET** will not issue an instruction which would reduce generation below the reasonably anticipated **Demand** of the **On-Site Generator Site**. For the avoidance of doubt, it should be noted that the term "**On-Site Generator Site**" only relates to Trading Units which have fulfilled the Class 1 or Class 2 requirements.

BC2.9.5 Maintaining Adequate Frequency Sensitive Generation

- BC2.9.5.1 If, post **Gate Closure**, **NGET** determines, in its reasonable opinion, from the information then available to it (including information relating to **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) breakdown) that the number of and level of **Primary**, **Secondary** and **High Frequency Response** available from **Gensets** (other than those units within **Existing Gas Cooled Reactor Plant**, which are permitted to operate in **Limited Frequency Sensitive Mode** at all times under BC3.5.3) available to operate in **Frequency Sensitive Mode** is such that it is not possible to avoid **De-Synchronising Existing Gas Cooled Reactor Plant** then provided that:
 - (a) there are (or, as the case may be, that NGET anticipates, in its reasonable opinion, that at the time that the instruction is to take effect there will be) no other Gensets generating and exporting on to the Total System which are not operating in Frequency Sensitive Mode (or which are operating with only a nominal amount in terms of level and duration) (unless, in NGET's reasonable opinion, necessary to assist the relief of System constraints or necessary as a result of other System conditions); and
 - (b) the power flow across each **External Interconnection** is (or, as the case may be, is anticipated to be at the time that the instruction is to take effect) either at zero or result in an export of power from the **Total System**,

then **NGET** may instruct such of the **Existing Gas Cooled Reactor Plant** to **De-Synchronise** as it is, in **NGET's** reasonable opinion, necessary to **De-Synchronise** and for the period for which the **De-Synchronising** is, in **NGET's** reasonable opinion, necessary.

BC2.9.5.2 If in **NGET's** reasonable opinion it is necessary for both the procedure in BC2.9.4 and that set out in BC2.9.5.1 to be followed in any given situation, the procedure in BC2.9.4 will be followed first, and then the procedure set out in BC2.9.5.1. For the avoidance of doubt, nothing in this sub-paragraph shall prevent either procedure from being followed separately and independently of the other.

BC2.9.6 Emergency Assistance To And From External Systems

- (a) An Externally Interconnected System Operator (in its role as operator of the External System) may request that NGET takes any available action to increase the Active Energy transferred into its External System, or reduce the Active Energy transferred into the National Electricity Transmission System by way of emergency assistance if the alternative is to instruct a demand reduction on all or part of its External System). Such request must be met by NGET providing this does not require a reduction of Demand on the National Electricity Transmission System.
- (b) NGET may request that an Externally Interconnected System Operator takes any available action to increase the Active Energy transferred into the National Electricity Transmission System, or reduce the Active Energy transferred into its External System by way of emergency assistance if the alternative is to instruct a Demand reduction on all or part of the National Electricity Transmission System. Such request must be met by the Externally Interconnected System Operator providing this does not require a reduction of Demand on its External System (or on the system of Interconnector Users using its External System), or lead to a reduction in security on such External System or system.

BC2.9.7 Unplanned Outages Of Electronic Communication And Computing Facilities

- BC2.9.7.1 In the event of an unplanned outage of the electronic data communication facilities or of **NGET**'s associated computing facilities or in the event of a **Planned Maintenance Outage** lasting longer than the planned duration, in relation to a post-**Gate Closure** period **NGET** will, as soon as it is reasonably able to do so, issue a **NGET** Computing System Failure notification by telephone or such other means agreed between **Users** and **NGET** indicating the likely duration of the outage.
- BC2.9.7.2 During the period of any such outage, the following provisions will apply:
 - (a) NGET will issue further NGET Computing System Failure notifications by telephone or such other means agreed between Users and NGET to all BM Participants to provide updates on the likely duration of the outage;
 - (b) BM Participants should operate in relation to any period of time in accordance with the Physical Notification prevailing at Gate Closure current at the time of the computer system failure in relation to each such period of time. Such operation shall be subject to the provisions of BC2.5.1, which will apply as if set out in this BC2.9.7.2. No further submissions of BM Unit Data or Generating Unit Data (other than data specified in BC1.4.2(c) (Export and Import Limits) and BC1.4.2(e) (Dynamic Parameters) should be attempted. Plant failure or similar problems causing significant deviation from Physical Notification should be notified to NGET by telephone by the submission of a revision to Export and Import Limits in relation to the BM Unit or Generating Unit Data so affected;
 - (c) Revisions to **Export and Import Limits** and to **Dynamic Parameters** should be notified to **NGET** by telephone and will be recorded for subsequent use;
 - (d) **NGET** will issue **Bid-Offer Acceptances** by telephone which will be recorded for subsequent use;
 - (e) No data will be transferred from **NGET** to the **BMRA** until the communication facilities are re-established.
- BC2.9.7.3 **NGET** will advise **BM Participants** of the withdrawal of the **NGET** Computing System Failure notification following the re-establishment of the communication facilities.

BC2.10 OTHER OPERATIONAL INSTRUCTIONS AND NOTIFICATIONS

- BC2.10.1 **NGET** may, from time to time, need to issue other instructions or notifications associated with the operation of the **National Electricity Transmission System**.
- BC2.10.2 Such instructions or notifications may include:

Intertrips

(a) an instruction to arm or disarm an **Operational Intertripping** scheme;

Tap Positions

(b) a request for a **Genset** step-up transformer tap position (for security assessment);

<u>Tests</u>

 (c) an instruction to carry out tests as required under OC5, which may include the issue of an instruction regarding the operation of CCGT Units within a CCGT Module at a Large Power Station;

Future BM Unit Requirements

 (d) a reference to any implications for future BM Unit requirements and the security of the National Electricity Transmission System, including arrangements for change in output to meet post fault security requirements;

Changes to Target Frequency

- (e) a notification of a change in **Target Frequency**, which will normally only be 49.95, 50.00, or 50.05Hz but in exceptional circumstances as determined by **NGET** in its reasonable opinion, may be 49.90 or 50.10Hz.
- BC2.10.3 Where an instruction or notification under BC2.10.2 (c) or (d) results in a change to the input or output level of the **BM Unit** then **NGET** shall issue a **Bid-Offer Acceptance** or **Emergency Instruction** as appropriate.

BC2.11 LIAISON WITH GENERATORS FOR RISK OF TRIP AND AVR TESTING

- BC2.11.1 A Generator at the Control Point for any of its Large Power Stations may request NGET's agreement for one of the Gensets at that Power Station to be operated under a risk of trip. NGET's agreement will be dependent on the risk to the National Electricity Transmission System that a trip of the Genset would constitute.
- BC2.11.2 (a) Each Generator at the Control Point for any of its Large Power Stations will operate its Synchronised Gensets (excluding Power Park Modules) with:
 - AVRs in constant terminal voltage mode with VAR limiters in service at all times.
 AVR constant Reactive Power or Power Factor mode should, if installed, be disabled; and
 - (ii) its generator step-up transformer tap changer selected to manual mode,

unless released from this obligation in respect of a particular Genset by NGET.

- (b) Each Generator at the Control Point for any of its Large Power Stations will operate its Power Park Modules with a Completion Date before 1st January 2006 at unity power factor at the Grid Entry Point (or User System Entry Point if Embedded).
- (c) Each Generator at the Control Point for any of its Large Power Stations will operate its Power Park Modules with a Completion Date on or after 1st January 2006 in voltage control mode at the Grid Entry Point (or User System Entry Point if Embedded). Constant Reactive Power or Power Factor mode should, if installed, be disabled.

- (d) Where a Power System Stabiliser is fitted as part of the excitation system or voltage control system of a Genset, it requires on-load commissioning which must be witnessed by NGET. Only when the performance of the Power System Stabiliser has been approved by NGET shall it be switched into service by a Generator and then it will be kept in service at all times unless otherwise agreed with NGET. Further reference is made to this in CC.6.3.8.
- BC2.11.3 A Generator at the Control Point for any of its Power Stations may request NGET's agreement for one of its Gensets at that Power Station to be operated with the AVR in manual mode, or Power System Stabiliser switched out, or VAR limiter switched out. NGET's agreement will be dependent on the risk that would be imposed on the National Electricity Transmission System and any User System. Provided that in any event a Generator may take such action as is reasonably necessary on safety grounds (relating to personnel or plant).
- BC2.11.4 Each Generator shall operate its dynamically controlled OTSDUW Plant and Apparatus to ensure that the reactive capability and voltage control performance requirements as specified in CC.6.3.2, CC.6.3.8, CC.A.7 and the Bilateral Agreement can be satisfied in response to the Setpoint Voltage and Slope as instructed by NGET at the Transmission Interface Point.

BC2.12 LIAISON WITH EXTERNALLY INTERCONNECTED SYSTEM OPERATORS

- BC2.12.1 Co-Ordination Role Of Externally Interconnected System Operators
 - (a) The Externally Interconnected System Operator will act as the Control Point for Bid-Offer Acceptances on behalf of Interconnector Users and will co-ordinate instructions relating to Ancillary Services and Emergency Instructions on behalf of Interconnector Users using its External System in respect of each Interconnector User's BM Units.
 - (b) NGET will issue Bid-Offer Acceptances and instructions for Ancillary Services relating to Interconnector Users' BM Units to each Externally Interconnected System Operator in respect of each Interconnector User using its External System.
 - (c) If, as a result of a reduction in the capability (in MW) of the External Interconnection, the total of the Physical Notifications and Bid-Offer Acceptances issued for the relevant period using that External Interconnection, as stated in the BM Unit Data exceeds the reduced capability (in MW) of the respective External Interconnection in that period then NGET shall notify the Externally Interconnected System Operator accordingly. The Externally Interconnected System Operator should seek a revision of Export and Import Limits from one or more of its Interconnector Users for the remainder of the Balancing Mechanism period during which Physical Notifications cannot be revised.

APPENDIX 1 - FORM OF BID-OFFER ACCEPTANCES

- BC2.A.1.1 This Appendix describes the forms of **Bid-Offer Acceptances**. As described in BC2.6.1 **Bid-Offer Acceptances** are normally given by an automatic logging device, but in the event of failure of the logging device, **Bid-Offer Acceptances** will be given by telephone.
- BC2.A.1.2 For each **BM Unit** the **Bid-Offer Acceptance** will consist of a series of MW figures and associated times.
- BC2.A.1.3 The **Bid-Offer Acceptances** relating to **CCGT Modules** will assume that the **CCGT Units** within the **CCGT Module** will operate in accordance with the **CCGT Module Matrix**, as required by **BC1**. The **Bid-Offer Acceptances** relating to **Cascade Hydro Schemes** will assume that the **Generating Unit** forming part of the **Cascade Hydro Scheme** will operate, where submitted, in accordance with the **Cascade Hydro Scheme Matrix** submitted under **BC1**.

BC2.A.1.4 Bid-Offer Acceptances Given By Automatic Logging Device

- (a) The complete form of the **Bid-Offer Acceptance** is given in the EDL Message Interface Specification which can be made available to **Users** on request.
- (b) Bid-Offer Acceptances will normally follow the form:
 - (i) **BM Unit** Name
 - (ii) Instruction Reference Number
 - (iii) Time of instruction
 - (iv) Type of instruction
 - (v) BM Unit Bid-Offer Acceptance number
 - (vi) Number of MW/Time points making up instruction (minimum 2, maximum 5)
 - (vii) MW value and Time value for each point identified in (vi)

The times required in the instruction are input and displayed in London time, but communicated electronically in GMT.

BC2.A.1.5 Bid-Offer Acceptances Given By Telephone

- (a) All run-up/run-down rates will be assumed to be constant and consistent with Dynamic Parameters. Each Bid-Offer Acceptance will, wherever possible, be kept simple, drawing as necessary from the following forms and BC2.7
- (b) **Bid-Offer Acceptances** given by telephone will normally follow the form:
 - (i) an exchange of operator names;
 - (ii) BM Unit Name;
 - (iii) Time of instruction;
 - (iv) Type of instruction;
 - (v) Number of MW/Time points making up instruction (minimum 2, maximum 5)
 - (vi) MW value and Time value for each point identified in (v)

The times required in the instruction are expressed in London time.

For example, for a **BM Unit** ABCD-1 acceptance logged with a start time at 1400 hours and with a FPN at 300MW:

"BM Unit ABCD-1 **Bid-Offer Acceptance** timed at 1400 hours. Acceptance consists of 4 MW/Time points as follows:

300MW at 1400 hours 400MW at 1415 hours 400MW at 1450 hours 300MW at 1500 hours"

BC2.A.1.6 Submission Of Bid-Offer Acceptance Data To The Bmra

The relevant information contained in **Bid-Offer Acceptances** issued by **NGET** will be converted into "from" and "to" MW levels and times before they are submitted to the **BMRA** by **NGET**.

APPENDIX 2 - TYPE AND FORM OF ANCILLARY SERVICE INSTRUCTIONS

BC2.A.2.1 This part of the Appendix consists of a non-exhaustive list of the forms and types of instruction for a **Genset** to provide **System Ancillary Services**. There may be other types of **Commercial Ancillary Services** and these will be covered in the relevant **Ancillary Services Agreement**. In respect of the provision of **Ancillary Services** by **Generating Units** the forms and types of instruction will be in the form of this Appendix 2 unless amended in the **Ancillary Services Agreement**.

As described in CC.8, System Ancillary Services consist of Part 1 and Part 2 System Ancillary Services.

Part 1 System Ancillary Services Comprise:

- (a) Reactive Power supplied other than by means of synchronous or static compensators. This is required to ensure that a satisfactory System voltage profile is maintained and that sufficient Reactive Power reserves are maintained under normal and fault conditions. Ancillary Service instructions in relation to Reactive Power may include:
 - (i) MVAr Output
 - (ii) Target Voltage Levels
 - (iii) Tap Changes
 - (iv) Maximum MVAr Output ('maximum excitation')
 - (v) Maximum MVAr Absorption ('minimum excitation')
- (b) Frequency Control by means of Frequency sensitive generation. Gensets may be required to move to or from Frequency Sensitive Mode in the combinations agreed in the relevant Ancillary Services Agreement. They will be specifically requested to operate so as to provide Primary Response and/or Secondary Response and/or High Frequency Response.

Part 2 System Ancillary Services Comprise:

- (c) Frequency Control by means of Fast Start.
- (d) Black Start Capability
- (e) System to Generator Operational Intertripping
- BC2.A.2.2 As **Ancillary Service** instructions are not part of **Bid-Offer Acceptances** they do not need to be closed instructions and can cover any period of time, not just limited to the period of the **Balancing Mechanism**.
- BC2.A.2.3 As described in BC2.6.1, unless otherwise agreed with **NGET**, **Ancillary Service** instructions are normally given by automatic logging device, but in the absence of, or in the event of failure of the logging device, instructions will be given by telephone.
- BC2.A.2.4 Instructions Given By Automatic Logging Device
 - (a) The complete form of the **Ancillary Service** instruction is given in the EDL Message Interface Specification which is available to **Users** on request from **NGET**.
 - (b) Ancillary Service instructions for Frequency Control will normally follow the form:
 - (i) BM Unit Name
 - (ii) Instruction Reference Number
 - (iii) Time of instruction
 - (iv) Type of instruction (REAS)
 - (v) Reason Code
 - (vi) Start Time

- (c) **Ancillary Service** instructions for **Reactive Power** will normally follow the form:
 - (i) **BM Unit** Name
 - (ii) Instruction Reference Number
 - (iii) Time of instruction
 - (iv) Type of instruction (MVAr, VOLT or TAPP)
 - (v) Target Value
 - (vi) Target Time

The times required in the instruction are input and displayed in London time, but communicated electronically in GMT.

BC2.A.2.5 Instructions Given By Telephone

- (a) Ancillary Service instructions for Frequency Control will normally follow the form:
 - (i) an exchange of operator names;
 - (ii) **BM Unit** Name;
 - (iii) Time of instruction;
 - (iv) Type of instruction;
 - (v) Start Time.

The times required in the instruction are expressed in London time.

For example, for **BM Unit** ABCD-1 instructed at 1400 hours to provide Primary and **High Frequency** response starting at 1415 hours:

"BM Unit ABCD-1 message timed at 1400 hours. Unit to **Primary and High Frequency Response** at 1415 hours"

- (b) **Ancillary Service** instructions for **Reactive Power** will normally follow the form:
 - (a) an exchange of operator names;
 - (b) **BM Unit** Name;
 - (c) Time of instruction;
 - (d) Type of instruction (MVAr, VOLT, SETPOINT, **SLOPE** or TAPP)
 - (e) Target Value
 - (f) Target Time.

The times required in the instruction are expressed as London time.

For example, for **BM Unit** ABCD-1 instructed at 1400 hours to provide 100MVAr by 1415 hours:

"BM Unit ABCD-1 message timed at 1400 hours. MVAr instruction. Unit to plus 100 MVAr target time 1415 hours."

BC2.A.2.6 Reactive Power

As described in BC2.A.2.4 and BC2.A.2.5 instructions for **Ancillary Services** relating to **Reactive Power** may consist of any of several specific types of instruction. The following table describes these instructions in more detail:

Instruction Name	Description	Type of Instruction
MVAr Output	The individual MVAr output from the Genset onto the National Electricity Transmission System at the Grid Entry Point (or onto the User System at the User System Entry Point in the case of Embedded Power Stations), namely on the higher voltage side of the generator step-up transformer. In relation to each Genset , where there is no HV indication, NGET and the Generator will discuss and agree equivalent MVAr levels for the corresponding LV indication.	MVAr
	Where a Genset is instructed to a specific MVAr output, the Generator must achieve that output within a tolerance of +/-25 MVAr (for Gensets in England and Wales) or the lesser of +/-5% of rated output or 25MVAr (for Gensets in Scotland) (or such other figure as may be agreed with NGET) by tap changing on the generator step-up transformer, unless agreed otherwise. Once this has been achieved, the Generator will not tap again without prior consultation with and the agreement of NGET , on the basis that MVAr output will be allowed to vary with System conditions.	
Target Voltage Levels	Target voltage levels to be achieved by the Genset on the National Electricity Transmission System at the Grid Entry Point (or on the User System at the User System Entry Point in the case of Embedded Power Stations , namely on the higher voltage side of the generator step-up transformer. Where a Genset is instructed to a specific target voltage, the Generator must achieve that target within a tolerance of $\pm 1 \text{ kV}$ (or such other figure as may be agreed with NGET) by tap changing on the generator step-up transformer, unless agreed otherwise with NGET . In relation to each Genset , where there is no HV indication, NGET and the Generator will discuss and agree equivalent voltage levels for the corresponding LV indication.	VOLT
	Under normal operating conditions, once this target voltage level has been achieved the Generator will not tap again without prior consultation with, and with the agreement of, NGET .	
	However, under certain circumstances the Generator may be instructed to maintain a target voltage until otherwise instructed and this will be achieved by tap changing on the generator step-up transformer without reference to NGET .	

Instruction Name	Description	Type of Instruction
Setpoint Voltage	Where a Non-Synchronous Generating Unit, DC Converter or Power Park Module is instructed to a specific Setpoint Voltage, the Generator must achieve that Setpoint Voltage within a tolerance of ±0.25% (or such other figure as may be agreed with NGET). The Generator must maintain the specified Setpoint Voltage target until an alternative target is received from NGET.	SETPOINT
Slope	 Where a Non-Synchronous Generating Unit, DC Converter or Power Park Module is instructed to a specific Slope, the Generator must achieve that Slope within a tolerance of ±0.5% (or such other figure as may be agreed with NGET). The Generator must maintain the specified Slope target until an alternative target is received from NGET. The Generator will not be required to implement a new Plane and the plane at the plane at the plane. 	SLOPE
	Slope setting in a time of less than 1 week from the time of the instruction.	
Tap Changes	Details of the required generator step-up transformer tap changes in relation to a Genset . The instruction for tap changes may be a Simultaneous Tap Change instruction, whereby the tap change must be effected by the Generator in response to an instruction from NGET issued simultaneously to relevant Power Stations . The instruction, which is normally preceded by advance notice, must be effected as soon as possible, and in any event within one minute of receipt from NGET of the instruction. For a Simultaneous Tap Change , change Genset generator step-up transformer tap position by one [two] taps to raise or lower (as relevant) System voltage, to be executed at time of instruction.	TAPP
Maximum MVAr Output ("maximum excitation")	Under certain conditions, such as low System voltage, an instruction to maximum MVAr output at instructed MW output ("maximum excitation") may be given, and a Generator should take appropriate actions to maximise MVAr output unless constrained by plant operational limits or safety grounds (relating to personnel or plant).	
Maximum MVAr Absorption ("minimum excitation")	Under certain conditions, such as high System voltage, an instruction to maximum MVAr absorption at instructed MW output ("minimum excitation") may be given, and a Generator should take appropriate actions to maximise MVAr absorption unless constrained by plant operational limits or safety grounds (relating to personnel or plant).	

BC2.A.2.7 In addition, the following provisions will apply to **Reactive Power** instructions:

- (a) In circumstances where NGET issues new instructions in relation to more than one BM Unit at the same Power Station at the same time tapping will be carried out by the Generator one tap at a time either alternately between (or in sequential order, if more than two), or at the same time on, each BM Unit.
- (b) Where the instructions require more than two taps per **BM Unit** and that means that the instructions cannot be achieved within 2 minutes of the instruction time (or such longer period at **NGET** may have instructed), the instructions must each be achieved with the minimum of delay after the expiry of that period.
- (c) It should be noted that should **System** conditions require, **NGET** may need to instruct maximum MVAr output to be achieved as soon as possible, but (subject to the provisions of paragraph (BC2.A.2.7(b) above) in any event no later than 2 minutes after the instruction is issued.
- (d) An Ancillary Service instruction relating to Reactive Power may be given in respect of CCGT Units within a CCGT Module at a Power Station where running arrangements and/or System conditions require, in both cases where exceptional circumstances apply and connection arrangements permit.
- (e) In relation to MVAr matters, MVAr generation/output is an export onto the **System** and is referred to as "lagging MVAr", and MVAr absorption is an import from the **System** and is referred to as "leading MVAr".
- (f) It should be noted that the excitation control system constant **Reactive Power** output control mode or constant power factor output control mode will always be disabled, unless agreed otherwise with **NGET**.

APPENDIX 3 - SUBMISSION OF REVISED MVAr CAPABILITY

- BC2.A.3.1 For the purpose of submitting revised MVAr data the following terms shall apply:
 - Full Output In the case of a Synchronous Generating Unit (as defined in the Glossary and Definitions and not limited by BC2.2) is the MW output measured at the generator stator terminals representing the LV equivalent of the Registered Capacity at the Grid Entry Point, and in the case of a Non-Synchronous Generating Unit (excluding Power Park Units), DC Converter or Power Park Module is the Registered Capacity at the Grid Entry Point
 - Minimum Output In the case of a **Synchronous Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) is the MW output measured at the generator stator terminals representing the LV equivalent of the **Minimum Generation** at the **Grid Entry Point**, and in the case of a **Non-Synchronous Generating Unit** (excluding **Power Park Units**), **DC Converter** or **Power Park Module** is the **Minimum Generation** at the **Grid Entry Point**
- BC2.A.3.2 The following provisions apply to faxed submission of revised MVAr data:
 - (a) The fax must be transmitted to NGET (to the relevant location in accordance with GC6) and must contain all the sections from the relevant part of Annexure 1 and from either Annexure 2 or 3 (as applicable) but with only the data changes set out. The "notification time" must be completed to refer to the time of transmission, where the time is expressed as London time.
 - (b) Upon receipt of the fax, NGET will acknowledge receipt by sending a fax back to the User. The acknowledgement will either state that the fax has been received and is legible or will state that it (or part of it) is not legible and will request re-transmission of the whole (or part) of the fax.
 - (c) Upon receipt of the acknowledging fax the **User** will, if requested, re-transmit the whole or the relevant part of the fax.
 - (d) The provisions of paragraphs (b) and (c) then apply to that re-transmitted fax.

APPENDIX 3 - ANNEXURE 1

Optional Logo

Company name REVISED REACTIVE POWER CAPABILITY DATA

TO:	National Electricity Transmission System Control Centre	Fax telephone No.
Numb	er of pages inc. header:	
Sent By	/:	
Return	Acknowledgement Fax to	
For Ret	ransmission or Clarification ring	

Acknowledged by **NGET**: (Signature)

Acknowledgement time and date

.....

.....

Legibility of FAX :

Acceptable

Unacceptable (List pages if appropriate)

(Resend FAX)

APPENDIX 3 - ANNEXURE 2

To: National Electricity Transmission System Control Centre

From : [Company Name & Location]

<u>REVISED REACTIVE POWER CAPABILITY DATA – GENERATING UNITS EXCLUDING POWER PARK</u> <u>MODULES AND DC CONVERTERS</u>

Notification Time (HH:MM):	Notification Date (DD/MM/YY):
Start Time (HH:MM):	Start Date (DD/MM/YY):
Generating Unit*	

* For a CCGT Module or a Cascade Hydro Scheme, the redeclaration is for a Generating Unit within a CCGT Module or Cascade Hydro Scheme. For BM Units quote the NGET BM Unit id, for other units quote the **Generating Unit** id used for OC2.4.1.2 Outage Planning submissions. **Generating Unit** has the meaning given in the Glossary and Definitions and is not limited by BC2.2.

REVISION TO THE REACTIVE POWER CAPABILITY AT THE GENERATING UNIT STATOR TERMINALS (at rated terminal volts) **AS STATED IN THE RELEVANT ANCILLARY SERVICES AGREEMENT:**

	MW	MINIMUM (MVAr +ve for lag, -ve for lead)	MAXIUM (MVAr +ve for lag, -ve for lead)
AT RATED MW			
AT FULL OUTPUT (MW)			
AT MINIMUM OUTPUT (MW)			

COMMENTS *e.g.* generator transformer tap restrictions, predicted end time if known

Redeclaration made by (Signature)

APPENDIX 3 - ANNEXURE 3

To: National Electricity Transmission System Control Centre

From : [Company Name & Location]

REVISED REACTIVE POWER CAPABILITY DATA – POWER PARK MODULES AND DC CONVERTERS

Notification Time (HH:MM):	Notification Date (DD/MM/YY):
Start Time (HH:MM):	Start Date (DD/MM/YY):
Power Park Module / DC Converter*	

* For BM Units quote the NGET BM Unit id, for other units quote the id used for OC2.4.1.2 Outage Planning submissions

Start Time/Date (if not effective immediately)

REVISION TO THE REACTIVE POWER CAPABILITY AT THE COMMERCIAL BOUNDARY AS STATED IN THE RELEVANT ANCILLARY SERVICES AGREEMENT:

	MINIMUM (MVAr +ve for lag, -ve for lead)	MAXIMUM (MVAr +ve for lag, -ve for lead)
AT RATED MW		
AT 50% OF RATED		
MW		
AT 20% OF RATED MW		
BELOW 20% OF RATED MW		
AT 0% OF RATED		
MW		

COMMENTS *e.g.* generator transformer tap restrictions, predicted end time if known

Redeclaration made by (Signature)

APPENDIX 4 - SUBMISSION OF AVAILABILITY OF FREQUENCY SENSITIVE MODE

- BC2.A.4.1 For the purpose of submitting availability of **Frequency Sensitive Mode**, this process only relates to the provision of response under the **Frequency Sensitive Mode** and does not cover the provision of response under the **Limited Frequency Sensitive Mode**.
- BC2.A.4.2 The following provisions apply to the faxed submission of the **Frequency Sensitive Mode** availability;
 - (a) The fax must be transmitted to NGET (to the relevant location in accordance with GC6) and must contain all the sections relevant to Appendix 4 Annexure1 but with only the data changes set out. The "notification time" must be completed to refer to the time and date of transmission, where the time is expressed in London time.
 - (b) Upon receipt of the fax, NGET will acknowledge receipt by sending a fax back to the User. This acknowledging fax should be in the format of Appendix 4 Annexure 1. The acknowledgement will either state that the fax has been received and is legible or will state that it (or part of it) is not legible and will request re-transmission of the whole (or part) of the fax.
 - (c) Upon receipt of the acknowledging fax the **User** will, if requested re-transmit the whole or the relevant part of the fax.
 - (d) The provisions of paragraph (b) and (c) then apply to the re-transmitted fax.
- BC2.A.4.3 The User shall ensure the availability of operating in the Frequency Sensitive Mode is restored as soon as reasonably practicable and will notify NGET using the format of Appendix 4 Annexure 1. In the event of a sustained unavailability of Frequency Sensitive Mode NGET may seek to confirm compliance with the relevant requirements in the CC through the process in OC5.

APPENDIX 4 - ANNEXURE 1

To: National Electricity Transmission System Control Centre

From : [Company Name & Location]

Submission of availability of Frequency Sensitive Mode

Notification Time (HH:MM):	Notification Date (DD/MM/YY):
Start Time (HH:MM):	Start Date (DD/MM/YY):
Genset or DC Converter	

The availability of the above unit to operate in Frequency Sensitive Mode is as follows:

All contract modes: Available / Unavailable [delete as applicable]; or

Change to the availability of individual contract modes:

Contract Mode e.g. A	Availability for operation in Frequency Sensitive Mode [Y/N]

COMMENTS e.g. reason for submission, predicted end time if known

Redeclaration made by (Signature)_____

Receipt Acknowledgement from NGET

Legible (tick box)	Illegible (tick box)	
Explanation:		•
Time:		
Date:		
Signature:		
-		

REVISIONS

(R)

(This section does not form part of the Grid Code)

- R.1 **NGET's Transmission Licence** sets out the way in which changes to the Grid Code are to be made and reference is also made to **NGET's** obligations under the General Conditions.
- R.2 All pages re-issued have the revision number on the lower left hand corner of the page and date of the revision on the lower right hand corner of the page.
- R.3 The Grid Code was introduced in March 1990 and the first issue was revised 31 times. In March 2001 the New Electricity Trading Arrangements were introduced and Issue 2 of the Grid Code was introduced which was revised 16 times. At British Electricity Trading and Transmission Arrangements (BETTA) Go-Active Issue 3 of the Grid Code was introduced and subsequently revised 35 times. At Offshore Go-active Issue 4 of the Grid Code was introduced and has been revised 13 times since its original publication. Issue 5 of the Grid Code was published to accommodate the changes made by Grid Code Modification A/10 which has incorporated the Generator compliance process into the Grid Code.
- R.4 This Revisions section provides a summary of the sections of the Grid Code changed by each revision to Issue 5.
- R.5 All enquiries in relation to revisions to the Grid Code, including revisions to Issues 1, 2, 3, 4, 5 and 6 should be addressed to the Grid Code development team at the following email address:

Grid.Code@nationalgrid.com

Revision	Section	Related Modification	Effective Date
0	Glossary and Definitions	A/10 and G/11	17 August 2012
0	Planning Code – PC.2.1	G/11	17 August 2012
0	Planning Code – PC.5.4	G/11	17 August 2012
0	Planning Code – PC.8	G/11	17 August 2012
0	Planning Code – PC.8.2	G/11	17 August 2012
0	Planning Code – PC.A.1	G/11	17 August 2012
0	Planning Code – PC.A.2	A/10 and G/11	17 August 2012
0	Planning Code – PC.A.3	G/11	17 August 2012
0	Planning Code – PC.A.5	A/10 and G/11	17 August 2012
0	Compliance Processes	A/10	17 August 2012
0	Connection Conditions – CC.1.1	A/10	17 August 2012
0	Connection Conditions – CC.2.2	G/11	17 August 2012
0	Connection Conditions – CC.3.3	A/10	17 August 2012
0	Connection Conditions – CC.4.1	A/10	17 August 2012
0	Connection Conditions – CC.5.2	G/11	17 August 2012
0	Connection Conditions – CC.6.1	G/11	17 August 2012
0	Connection Conditions – CC.6.3	G/11	17 August 2012
0	Connection Conditions – CC.6.6	A/10	17 August 2012
0	Connection Conditions – CC.7.2	G/11	17 August 2012

Revision	Section	Related Modification	Effective Date
0	Connection Conditions – CC.7.4	G/11	17 August 2012
0	Connection Conditions – CC.A.1	G/11	17 August 2012
0	Connection Conditions – CC.A.2	G/11	17 August 2012
0	Connection Conditions – CC.A.3	G/11	17 August 2012
0	Connection Conditions – CC.A.4	G/11	17 August 2012
0	Connection Conditions – CC.A.6	A/10	17 August 2012
0	Connection Conditions – CC.A.7	A/10 and G/11	17 August 2012
0	Connection Conditions – Figure CC.A.3.1	G/11	17 August 2012
0	Operating Code No. 2 – OC2.4	G/11	17 August 2012
0	Operating Code No. 2 – OC2.A.1	G/11	17 August 2012
0	Operating Code No. 5 – OC5.3	A/10	17 August 2012
0	Operating Code No. 5 – OC5.5	A/10 and G/11	17 August 2012
0	Operating Code No. 5 – OC5.7	G/11	17 August 2012
0	Operating Code No. 5 – OC5.8	A/10 and G/11	17 August 2012
0	Operating Code No. 5 – OC5.A.1	A/10	17 August 2012
0	Operating Code No. 5 – OC5.A.2	A/10	17 August 2012
0	Operating Code No. 5 – OC5.A.3	A/10	17 August 2012
0	Operating Code No. 5 – OC5.A.4	A/10	17 August 2012
0	Operating Code No. 7 – OC7.4	G/11	17 August 2012
0	Operating Code No. 8 – OC8.2	G/11	17 August 2012

Revision	Section	Related Modification	Effective Date
0	Operating Code No. 8 – OC8A.1	G/11	17 August 2012
0	Operating Code No. 8 – OC8A.5	G/11	17 August 2012
0	Operating Code No. 8 – OC8B.1	G/11	17 August 2012
0	Operating Code No. 8 – OC8B.4	G/11	17 August 2012
0	Operating Code No. 8 – OC8B.5	G/11	17 August 2012
0	Operating Code No. 8 – OC8B Appendix E	G/11	17 August 2012
0	Operating Code No. 9 – OC9.2	G/11	17 August 2012
0	Operating Code No. 9 – OC9.4	G/11	17 August 2012
0	Operating Code No. 9 – OC9.5	G/11	17 August 2012
0	Operating Code No. 12 – OC12.3	G/11	17 August 2012
0	Operating Code No. 12 – OC12.4	G/11	17 August 2012
0	Balancing Code No. 1 – BC1.5	G/11	17 August 2012
0	Balancing Code No. 1 – BC1.8	G/11	17 August 2012
0	Balancing Code No. 1 – BC1.A.1	G/11	17 August 2012
0	Balancing Code No. 2 – BC2.5	G/11	17 August 2012
0	Balancing Code No. 2 – BC2.8	G/11	17 August 2012
0	Balancing Code No. 2 – BC2.A.2	G/11	17 August 2012
0	Balancing Code No. 2 – BC2.A.3	G/11	17 August 2012
0	Balancing Code No. 2 – BC2.A.4	G/11	17 August 2012
0	Balancing Code No. 3 – BC3.5	G/11	17 August 2012

Revision	Section	Related Modification	Effective Date
0	Balancing Code No. 3 – BC3.7	G/11	17 August 2012
0	Data Registration Code – DRC.1.5	G/11	17 August 2012
0	Data Registration Code – DRC.4.2	G/11	17 August 2012
0	Data Registration Code – DRC.4.4	G/11	17 August 2012
0	Data Registration Code – DRC.5.2	A/10 and G/11	17 August 2012
0	Data Registration Code – DRC.5.5	G/11	17 August 2012
0	Data Registration Code – DRC.6.1	A/10 and G/11	17 August 2012
0	Data Registration Code – DRC.6.2	A/10	17 August 2012
0	Data Registration Code – Schedule 1	A/10 and G/11	17 August 2012
0	Data Registration Code – Schedule 2	G/11	17 August 2012
0	Data Registration Code – Schedule 3	G/11	17 August 2012
0	Data Registration Code – Schedule 4	G/11	17 August 2012
0	Data Registration Code – Schedule 5	G/11	17 August 2012
0	Data Registration Code – Schedule 10	G/11	17 August 2012
0	Data Registration Code – Schedule 12A	G/11	17 August 2012
0	Data Registration Code – Schedule 14	A/10 and G/11	17 August 2012
0	Data Registration Code – Schedule 15	G/11	17 August 2012
0	Data Registration Code – Schedule 19	A/10	17 August 2012
0	General Conditions – GC.4	G/11	17 August 2012
0	General Conditions – GC.12	G/11	17 August 2012

Revision	Section	Related Modification	Effective Date
0	General Conditions – GC.15	G/11	17 August 2012
0	General Conditions – GC.A1	G/11	17 August 2012
0	General Conditions – GC.A2	G/11	17 August 2012
0	General Conditions – GC.A3	G/11	17 August 2012
1	Operating Code No. 8 – OC8A.5.3.4	C/12	6 November 2012
1	Operating Code No. 8 – OC8B.5.3.4	C/12	6 November 2012
2	Balancing Code No. 1 – BC1.2.1	B/12	31 January 2013
2	Balancing Code No. 1 – BC1.4.2	B/12	31 January 2013
2	Balancing Code No. 1 – BC1.A.1.5	B/12	31 January 2013
2	Connection Conditions – CC.7.7	D/12	31 January 2013
3	Glossary and Definitions	C/11	2 April 2013
3	Operating Code No. 8 – OC8A.4.3.5	B/10	2 April 2013
3	Operating Code No. 8 – OC8B.4.3.5	B/10	2 April 2013
3	Balancing Code No. 2 – BC2.5	C/11	2 April 2013
4	Glossary and Definitions	GC0060 (F/12)	19 August 2013
4	Planning Code - PC.A.5	GC0040 (A/12)	19 August 2013
4	Operating Code No. 2 – OC2.A.10	GC0060 (F/12)	19 August 2013
4	Data Registration Code – Schedule 1	GC0040 (A/12)	19 August 2013
4	Data Registration Code – Schedule 2	GC0060 (F/12)	19 August 2013
5	Glossary and Definitions	GC0033, 71, 72 and 73	05 November 2013

Revision	Section	Related Modification	Effective Date
5	General Conditions – GC.4	GC0071, 72 and 73	05 November 2013
5	General Conditions – GC.14	GC0071, 72 and 73	05 November 2013
5	General Conditions – GC.16	GC0071, 72 and 73	05 November 2013
6	Connection Conditions – CC.A.7	GC0065	13 December 2013
6	Planning Code – PC.A.3	GC0037	13 December 2013
6	Operating Code No. 2 – OC2.4.2	GC0037	13 December 2013
6	Operating Code No. 2 – Appendix 4	GC0037	13 December 2013
6	Balancing Code No. 1 – BC1.4.2	GC0037	13 December 2013
6	Balancing Code No. 1 – BC1.A.1.8	GC0037	13 December 2013
7	Glossary and Definitions	GC0044	31 March 2014
7	Operating Code No. 9 – OC9.2.5	GC0044	31 March 2014
7	Operating Code No. 9 – OC9.4.6	GC0044	31 March 2014
7	Operating Code No. 9 – OC9.4.7.4	GC0044	31 March 2014
7	Operating Code No. 9 – OC9.4.7.9	GC0044	31 March 2014
7	Operating Code No. 9 – OC9.4.7.10	GC0044	31 March 2014
7	Balancing Code No. 2 – BC2.9.2.2	GC0044	31 March 2014
8	Glossary and Definitions	Secretary of State direction - Generator	10 June 2014

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Revision	Section	Related Modification	Effective Date
		Commissioning Clause	
8	Planning Code	Secretary of State direction - Generator Commissioning Clause	10 June 2014
8	Connection Conditions	Secretary of State direction - Generator Commissioning Clause	10 June 2014
8	Compliance Processes	Secretary of State direction - Generator Commissioning Clause	10 June 2014
8	Operating Code No. 5	Secretary of State direction - Generator Commissioning Clause	10 June 2014
8	Operating Code No. 7	Secretary of State direction - Generator Commissioning Clause	10 June 2014
8	Operating Code No. 8	Secretary of State direction - Generator Commissioning Clause	10 June 2014
8	Operating Code No. 8A	Secretary of State direction - Generator Commissioning Clause	10 June 2014
8	Operating Code No. 8B	Secretary of State direction - Generator Commissioning Clause	10 June 2014
8	Balancing Code No. 2	Secretary of State direction - Generator Commissioning Clause	10 June 2014

Revision	Section	Related Modification	Effective Date
9	Operating Code No. 6 – OC6.5	GC0050	01 July 2014
9	Operating Code No. 6 – OC6.7	GC0050	01 July 2014
9	Balancing Code No. 2 – Appendix 3 Annexures	GC0068	01 July 2014
9	Balancing Code No. 2 – Appendix 4 Annexure	GC0068	01 July 2014

< END OF REVISIONS >