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All Recipients of the Serviced Grid Code

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Dear Sir/Madam

THE SERVICED GRID CODE - ISSUE 5 REVISION 13

Issue 5 Revision 13 of the Grid Code has been approved by the Authority for implementation on **22 January 2015.**

In order to ensure your copy of the Grid Code remains up to date, you will need to replace the sections affected with the revised versions available on the National Grid website.

The revisions document provides an overview of the changes made to the Grid Code since the previous issue.

Yours faithfully,

Lucy Hudson
Frameworks Administrator
Transmission Network Service - Operations (Governance)

THE GRID CODE - ISSUE 5 REVISION 13

INCLUSION OF REVISED SECTIONS

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Glossary and Definitions

Connection Conditions

Balancing Code No. 1

General Conditions

SUMMARY OF CHANGES

The changes arise from the implementation of modifications proposed in the following Consultation Papers:

GC0063 - Power Available

Summary of Proposal

This proposal seeks to modify the Grid Code to require intermittent generators to provide a power available signal. This will assist market participation of renewable generation and will improve system security.

The categories of Users affected by this revision to the Grid Code are:

- Owners, Operators and Developers of Power Park Modules or other generation with an uncontrollable energy source
- Owners and Developers of Offshore Networks

GC0080 - Relevant Electrical Standards (RES) Updates

Summary of Proposal

This proposal updates the Grid Code in relation to the Relevant Electrical Standards as outlined in the Annex to the General Conditions. The Relevant Electrical Standards (RES) have been updated following the process outlined in GC.11 of the Grid Code and the revisions have been loaded onto National Grid's website.

The Categories of User's affected by this revision to the Grid Code are:

- All Users who are directly connected by the Transmission System in England and Wales
- All Users who are required to provide Dynamic System Monitoring or Ancillary Services Monitoring Equipment as specified under the terms of the Bilateral Connection Agreement. For the avoidance of doubt, Users affected by this change will only be required to satisfy these elements of the Relevant Electrical Standards (ie TS.3.24.70_RES (Dynamic System Monitoring) and TS.3.24.95_RES (Ancillary Services Monitoring))

THE GRID CODE

ISSUE 5

REVISION 13

22 January 2015

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GLOSSARY & DEFINITIONS

(GD)

GD.1 In the Grid Code the following words and expressions shall, unless the subject matter or context otherwise requires or is inconsistent therewith, bear the following meanings:

Access Group

A group of **Connection Points** within which a **User** declares under the **Planning Code**

- (a) An interconnection and/or
- (b) A need to redistribute **Demand** between those **Connection Points** either pre-fault or post-fault

Where a single **Connection Point** does not form part of an **Access Group** in accordance with the above, that single **Connection Point** shall be considered to be an **Access Group** in its own right.

Access Period

A period of time in respect of which each **Transmission Interface Circuit** is to be assessed as whether or not it is capable of being maintained as derived in accordance with PC.A.4.1.4. The period shall commence and end on specified calendar weeks.

Act

The Electricity Act 1989 (as amended by the Utilities Act 2000 and the Energy Act 2004).

Active Energy

The electrical energy produced, flowing or supplied by an electric circuit during a time interval, being the integral with respect to time of the instantaneous power, measured in units of watt-hours or standard multiples thereof, ie:

1000 Wh = 1 kWh 1000 kWh = 1 MWh 1000 MWh = 1 GWh 1000 GWh = 1 TWh

Active Power

The product of voltage and the in-phase component of alternating current measured in units of watts and standard multiples thereof, ie:

1000 Watts = 1 kW 1000 kW = 1 MW 1000 MW = 1 GW 1000 GW = 1 TW

Affiliate

In relation to any person, any holding company or subsidiary of such person or any subsidiary of a holding company of such person, in each case within the meaning of Section 736, 736A and 736B of the Companies Act 1985 as substituted by section 144 of the Companies Act 1989 and, if that latter section is not in force at the **Transfer Date**, as if such section were in force at such date.

AF Rules

Has the meaning given to "allocation framework" in section 13(2) of the Energy Act 2013.

Ancillary Service and/or a Commercial Ancillary Service,

as the case may be.

Ancillary Services

Agreement

An agreement between a **User** and **NGET** for the payment by **NGET** to that **User** in respect of the provision by such **User** of **Ancillary Services**.

Annual Average Cold Spell Conditions or ACS Conditions A particular combination of weather elements which gives rise to a level of peak **Demand** within a **Financial Year** which has a 50% chance of being exceeded as a result of weather variation alone.

Apparent Power

The product of voltage and of alternating current measured in units of voltamperes and standard multiples thereof, ie:

1000 VA = 1 kVA 1000 kVA = 1 MVA

Apparatus

Other than in **OC8**, means all equipment in which electrical conductors are used, supported or of which they may form a part. In **OC8** it means **High Voltage** electrical circuits forming part of a **System** on which **Safety Precautions** may be applied to allow work and/or testing to be carried out on a **System**.

Authorised Electricity Operator

Any person (other than **NGET** in its capacity as operator of the **National Electricity Transmission System**) who is authorised under the **Act** to generate, participate in the transmission of, distribute or supply electricity.

Automatic Voltage Regulator or AVR The continuously acting automatic equipment controlling the terminal voltage of a **Synchronous Generating Unit** by comparing the actual terminal voltage with a reference value and controlling by appropriate means the output of an **Exciter**, depending on the deviations.

Authority for Access

An authority which grants the holder the right to unaccompanied access to sites containing exposed **HV** conductors.

Authority, The

The **Authority** established by section 1 (1) of the Utilities Act 2000.

Auxiliaries

Any item of **Plant** and/or **Apparatus** not directly a part of the boiler plant or **Generating Unit** or **DC Converter** or **Power Park Module**, but required for the boiler plant's or **Generating Unit's** or **DC Converter's** or **Power Park Module's** functional operation.

Auxiliary Diesel Engine

A diesel engine driving a **Generating Unit** which can supply a **Unit Board** or **Station Board**, which can start without an electrical power supply from outside the **Power Station** within which it is situated.

Auxiliary Gas Turbine

A Gas Turbine Unit, which can supply a Unit Board or Station Board, which can start without an electrical power supply from outside the **Power Station** within which it is situated.

Average Conditions

That combination of weather elements within a period of time which is the average of the observed values of those weather elements during equivalent periods over many years (sometimes referred to as normal weather).

Back-Up Protection

Protection equipment or system which is intended to operate when a system fault is not cleared in due time because of failure or inability of the **Main Protection** to operate or in case of failure to operate of a circuit-breaker other than the associated circuit breaker.

Balancing and Settlement Code or BSC The code of that title as from time to time amended.

Balancing Code or BC

That portion of the Grid Code which specifies the **Balancing Mechanism** process.

Balancing Mechanism

Has the meaning set out in NGET's Transmission Licence

Balancing Mechanism Reporting Agent or BMRA Has the meaning set out in the **BSC**.

Balancing Mechanism Reporting Service or BMRS Has the meaning set out in the BSC.

Balancing Principles Statement

A statement prepared by **NGET** in accordance with Condition C16 of **NGET's Transmission Licence**.

Baseline Forecast

Has the meaning given to the term 'baseline forecase' in Section G of the **BSC**.

Bid-Offer Acceptance

- (a) A communication issued by **NGET** in accordance with **BC2.7**; or
- (b) an **Emergency Instruction** to the extent provided for in BC2.9.2.3.

Bid-Offer Data

Has the meaning set out in the BSC.

Bilateral Agreement

Has the meaning set out in the CUSC

Black Start

The procedure necessary for a recovery from a **Total Shutdown** or **Partial Shutdown**.

Black Start Capability

An ability in respect of a **Black Start Station**, for at least one of its **Gensets** to **Start-Up** from **Shutdown** and to energise a part of the **System** and be **Synchronised** to the **System** upon instruction from **NGET**, within two hours, without an external electrical power supply.

Black Start Stations

Power Stations which are registered, pursuant to the **Bilateral Agreement** with a **User**, as having a **Black Start Capability**.

Black Start Test

A Black Start Test carried out by a Generator with a Black Start Station, on the instructions of NGET, in order to demonstrate that a Black Start Station has a Black Start Capability.

Block Load Capability

The incremental **Active Power** steps, from no load to **Rated MW**, which a generator can instantaneously supply without causing it to trip or go outside the **Frequency** range of 47.5 – 52Hz (or an otherwise agreed **Frequency** range). The time between each incremental step shall also be provided.

BM Participant

A person who is responsible for and controls one or more **BM Units** or where a **Bilateral Agreement** specifies that a **User** is required to be treated as a **BM Participant** for the purposes of the Grid Code. For the avoidance of doubt, it does not imply that they must be active in the **Balancing Mechanism**.

BM Unit

Has the meaning set out in the **BSC**, except that for the purposes of the Grid Code the reference to "Party" in the **BSC** shall be a reference to **User**.

BM Unit Data The collection of parameters associated with each BM Unit, as described

in Appendix 1 of BC1.

Boiler Time Constant Determined at Registered Capacity, the boiler time constant will be

construed in accordance with the principles of the IEEE Committee Report "Dynamic Models for Steam and Hydro Turbines in Power System

Studies" published in 1973 which apply to such phrase.

British Standards or BS Those standards and specifications approved by the British Standards

Institution.

BSCCo Has the meaning set out in the **BSC**.

BSC Panel Has meaning set out for "Panel" in the **BSC**.

BS Station Test A Black Start Test carried out by a Generator with a Black Start

Station while the Black Start Station is disconnected from all external

alternating current electrical supplies.

the case may be, at a **Black Start Station** while the **Black Start Station** remains connected to an external alternating current electrical supply.

Business Day Any week day (other than a Saturday) on which banks are open for

domestic business in the City of London.

Cancellation of National Electricity Transmission System Warning

The notification given to **Users** when a **National Electricity Transmission System Warning** is cancelled.

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Capacity Market Documents

The Capacity Market Rules, The Electricity Capacity Regulations 2014 and any other Regulations made under Chapter 3 of Part 2 of the Energy

Act 2013 which are in force from time to time.

Capacity Market Rules

The rules made under section 34 of the Energy Act 2013 as modified from time to time in accordance with that section and The Electricity

Capacity Regulations 2014.

Cascade Hydro Scheme

Two or more hydro-electric **Generating Units**, owned or controlled by the same **Generator**, which are located in the same water catchment area and are at different ordnance datums and which depend upon a common source of water for their operation, known as:

(a) Moriston

(b) Killin

I Garry

(d) Conon

(e) Clunie

(f) Beauly

which will comprise more than one Power Station.

Cascade Hydro Scheme Matrix

The matrix described in Appendix 1 to **BC1** under the heading **Cascade Hydro Scheme Matrix**.

Caution Notice A notice conveying a warning against interference.

Category 1 Intertripping Scheme

A System to Generator Operational Intertripping Scheme arising from a Variation to Connection Design following a request from the relevant User which is consistent with the criteria specified in the Security and Quality of Supply Standard.

Category 2 Intertripping Scheme

A System to Generator Operational Intertripping Scheme which is:-

- (i) required to alleviate an overload on a circuit which connects the **Group** containing the **User's** Connection Site to the **National Electricity Transmission System**; and
- (ii) installed in accordance with the requirements of the planning criteria of the Security and Quality of Supply Standard in order that measures can be taken to permit maintenance access for each transmission circuit and for such measures to be economically justified,

and the operation of which results in a reduction in **Active Power** on the overloaded circuits which connect the **User's Connection Site** to the rest of the **National Electricity Transmission System** which is equal to the reduction in **Active Power** from the **Connection Site** (once any system losses or third party system effects are discounted).

Category 3 Intertripping Scheme

A System to Generator Operational Intertripping Scheme which, where agreed by NGET and the User, is installed to alleviate an overload on, and as an alternative to, the reinforcement of a third party system, such as the Distribution System of a Public Distribution System Operator.

Category 4 Intertripping Scheme

A System to Generator Operational Intertripping Scheme installed to enable the disconnection of the Connection Site from the National Electricity Transmission System in a controlled and efficient manner in order to facilitate the timely restoration of the National Electricity Transmission System.

CENELEC

European Committee for Electrotechnical Standardisation.

CfD Counterparty

A person designated as a "CfD counterparty" under section 7(1) of the Energy Act 2013.

CfD Documents

The **AF Rules**, The Contracts for Difference (Allocation) Regulations 2014, The Contracts for Difference (Definition of Eligible Generator) Regulations 2014 and The Contracts for Difference (Electricity Supplier Obligations) Regulations 2014 and any other regulations made under Chapter 2 of Part 2 of the Energy Act 2013 which are in force from time to time.

CfD Settlement Services Provider

means any person:

- (i) appointed for the time being and from time to time by a **CfD Counterparty**; or
- (ii) who is designated by virtue of Section C1.2.1B of the Balancing and Settlement Code,

in either case to carry out any of the CFD settlement activities (or any successor entity performing CFD settlement activities).

CCGT Module Matrix

The matrix described in Appendix 1 to BC1 under the heading **CCGT Module Matrix**.

CCGT Module Planning Matrix

A matrix in the form set out in Appendix 3 of OC2 showing the combination of **CCGT Units** within a **CCGT Module** which would be running in relation to any given MW output.

Cluster

(a) Before Telemetry

A cluster of wind turbines will be formed when the total wind capacity within any circle of five kilometre radius has a **Registered Capacity** of not less than 5MW

(b) After Telemetry

Any wind turbine installed within a five kilometre radius of the anemometer position (whether installed before or after the installation of that anemometer) will be deemed to be within the cluster for that anemometer and will not count towards the creation of any new cluster. All other wind turbines may count towards the creation of further clusters.

CM Administrative Parties

The Secretary of State, the CM Settlement Body, and any CM Settlement Services Provider.

CM Settlement Body

the Electricity Settlements Company Ltd or such other person as may from time to time be appointed as Settlement Body under regulation 80 of the Electricity Capacity Regulations 2014.

CM Settlement Services Provider

any person with whom the **CM Settlement Body** has entered into a contract to provide services to it in relation to the performance of its functions under the **Capacity Market Documents**.

Code Administration Code of Practice

Means the code of practice approved by the Authority and:

- (a) developed and maintained by the code administrators in existence from time to time; and
- (b) amended subject to the **Authority's** approval from time to time; and
- (c) re-published from time to time;

Code Administrator

Means **NGET** carrying out the role of **Code Administrator** in accordance with the General Conditions.

Combined Cycle Gas Turbine Module or CCGT Module

A collection of **Generating Units** (registered as a **CCGT Module** under the PC) comprising one or more **Gas Turbine Units** (or other gas based engine units) and one or more **Steam Units** where, in normal operation, the waste heat from the **Gas Turbines** is passed to the water/steam system of the associated **Steam Unit** or **Steam Units** and where the component units within the **CCGT Module** are directly connected by steam or hot gas lines which enable those units to contribute to the efficiency of the combined cycle operation of the **CCGT Module**.

Combined Cycle Gas Turbine Unit or CCGT Unit

A Generating Unit within a CCGT Module.

Commercial Ancillary Services

Ancillary Services, other than System Ancillary Services, utilised by NGET in operating the Total System if a User (or other person) has agreed to provide them under an Ancillary Services Agreement or under a Bilateral Agreement with payment being dealt with under an Ancillary Services Agreement or in the case of Externally Interconnected System Operators or Interconnector Users, under any other agreement (and in the case of Externally Interconnected System Operators and Interconnector Users includes ancillary services equivalent to or similar to System Ancillary Services).

Commercial Boundary

Has the meaning set out in the CUSC

Committed Project Planning Data

Data relating to a **User Development** once the offer for a **CUSC Contract** is accepted.

Common Collection Busbar

A busbar within a **Power Park Module** to which the higher voltage side of two or more **Power Park Unit** generator transformers are connected.

Completion Date

Has the meaning set out in the **Bilateral Agreement** with each **User** to that term or in the absence of that term to such other term reflecting the date when a **User** is expected to connect to or start using the **National Electricity Transmission System**. In the case of an **Embedded Medium Power Station** or **Embedded DC Converter Station** having a similar meaning in relation to the **Network Operator's System** as set out in the **Embedded Development Agreement**.

Complex

A Connection Site together with the associated Power Station and/or Network Operator substation and/or associated Plant and/or Apparatus, as appropriate.

Compliance Processes or CP

That portion of the Grid Code which is identified as the **Compliance Processes**.

Compliance Statement

A statement completed by the relevant **User** confirming compliance with each of the relevant Grid Code provisions, and the supporting evidence in respect of such compliance, of its:

Generating Unit(s); or, CCGT Module(s); or,

Power Park Module(s); or,

DC Converter(s)

in the form provided by **NGET** to the relevant **User** or another format as agreed between the **User** and **NGET**.

Connection Conditions or CC

That portion of the Grid Code which is identified as the **Connection Conditions**.

Connection Entry Capacity

Has the meaning set out in the CUSC

Connected Planning Data

Data which replaces data containing estimated values assumed for planning purposes by validated actual values and updated estimates for the future and by updated forecasts for **Forecast Data** items such as **Demand**.

Connection Point

A **Grid Supply Point** or **Grid Entry Point**, as the case may be.

Connection Site A **Transmission Site** or **User Site**, as the case may be.

Construction Agreement

Has the meaning set out in the CUSC

Contingency Reserve

The margin of generation over forecast **Demand** which is required in the period from 24 hours ahead down to real time to cover against uncertainties in **Large Power Station** availability and against both weather forecast and **Demand** forecast errors.

Control Calls

A telephone call whose destination and/or origin is a key on the control desk telephone keyboard at a **Transmission Control Centre** and which, for the purpose of **Control Telephony**, has the right to exercise priority over (ie. disconnect) a call of a lower status.

Control Centre

A location used for the purpose of control and operation of the **National Electricity Transmission System** or **DC Converter Station** owner's **System** or a **User System** other than a **Generator's System** or an **External System**.

Control Engineer

A person nominated by the relevant party for the control of its **Plant** and **Apparatus**.

Control Person

The term used as an alternative to "Safety Co-ordinator" on the Site Responsibility Schedule only.

Control Phase

The **Control Phase** follows on from the **Programming Phase** and covers the period down to real time.

Control Point

The point from which:-

- (a) A **Non-Embedded Customer's Plant** and **Apparatus** is controlled; or
- (b) A BM Unit at a Large Power Station or at a Medium Power Station or representing a Cascade Hydro Scheme or with a Demand Capacity with a magnitude of:
 - (i) 50MW or more in NGET's Transmission Area; or
 - (ii) 30MW or more in SPT's Transmission Area; or
 - (iii) 10MW or more in SHETL's Transmission Area,
 - (iv) 10MW or more which is connected to an **Offshore**Transmission System

is physically controlled by a BM Participant; or

(c) In the case of any other BM Unit or Generating Unit, data submission is co-ordinated for a BM Participant and instructions are received from NGET,

as the case may be. For a **Generator** this will normally be at a **Power Station** but may be at an alternative location agreed with **NGET**. In the case of a **DC Converter Station**, the **Control Point** will be at a location agreed with **NGET**. In the case of a **BM Unit** of an **Interconnector User**, the **Control Point** will be the **Control Centre** of the relevant **Externally Interconnected System Operator**.

Control Telephony

The principal method by which a **User's Responsible Engineer/Operator** and **NGET Control Engineer(s)** speak to one another for the purposes of control of the **Total System** in both normal and emergency operating conditions.

CUSC

Has the meaning set out in NGET's Transmission Licence

CUSC Contract

One or more of the following agreements as envisaged in Standard Condition C1 of **NGET's Transmission Licence**:

- (a) the CUSC Framework Agreement;
- (b) a Bilateral Agreement;
- (c) a Construction Agreement

or a variation to an existing **Bilateral Agreement** and/or **Construction Agreement**;

CUSC Framework Agreement

Has the meaning set out in NGET's Transmission Licence

Customer

A person to whom electrical power is provided (whether or not he is the same person as the person who provides the electrical power).

Customer Demand Management

Reducing the supply of electricity to a **Customer** or disconnecting a **Customer** in a manner agreed for commercial purposes between a **Supplier** and its **Customer**.

Customer Demand Management Notification Level

The level above which a **Supplier** has to notify **NGET** of its proposed or achieved use of **Customer Demand Management** which is 12 MW in England and Wales and 5 MW in Scotland.

Customer Generating Plant

A **Power Station** or **Generating Unit** of a **Customer** to the extent that it operates the same exclusively to supply all or part of its own electricity requirements, and does not export electrical power to any part of the **Total System**.

Data Registration Code or **DRC**

That portion of the Grid Code which is identified as the **Data Registration Code**.

Data Validation, Consistency and Defaulting Rules

The rules relating to validity and consistency of data, and default data to be applied, in relation to data submitted under the **Balancing Codes**, to be applied by **NGET** under the **Grid Code** as set out in the document "Data Validation, Consistency and Defaulting Rules" - Issue 8, dated 25th January 2012. The document is available on the National Grid website or upon request from **NGET**.

DC Converter

Any Onshore DC Converter or Offshore DC Converter.

DC Converter Station

An installation comprising one or more **Onshore DC Converters** connecting a direct current interconnector:

to the NGET Transmission System; or,

(if the installation has a rating of 50MW or more) to a **User System**,

and it shall form part of the **External Interconnection** to which it relates.

DC Network

All items of **Plant** and **Apparatus** connected together on the direct current side of a **DC Converter**.

DCUSA

The Distribution Connection and Use of System Agreement approved by the **Authority** and required to be maintained in force by each **Electricity Distribution Licence** holder.

De-Load

The condition in which a **Genset** has reduced or is not delivering electrical power to the **System** to which it is **Synchronised**.

Demand

The demand of MW and Mvar of electricity (i.e. both **Active** and **Reactive Power**), unless otherwise stated.

Demand Capacity

Has the meaning as set out in the BSC.

Demand Control

Any or all of the following methods of achieving a **Demand** reduction:

- (a) **Customer** voltage reduction initiated by **Network Operators** (other than following an instruction from **NGET**);
- (b) Customer Demand reduction by Disconnection initiated by Network Operators (other than following an instruction from NGET);
- (c) **Demand** reduction instructed by **NGET**;
- (d) automatic low Frequency Demand Disconnection;
- (e) emergency manual **Demand Disconnection**.

Demand Control Notification Level

The level above which a **Network Operator** has to notify **NGET** of its proposed or achieved use of **Demand Control** which is 12 MW in England and Wales and 5 MW in Scotland.

Designed Minimum Operating Level The output (in whole MW) below which a **Genset** or a **DC Converter** at a **DC Converter Station** (in any of its operating configurations) has no **High Frequency Response** capability.

De-Synchronise

- (a) The act of taking a **Generating Unit**, **Power Park Module** or **DC Converter** off a **System** to which it has been **Synchronised**, by opening any connecting circuit breaker; or
- (b) The act of ceasing to consume electricity at an importing **BM Unit**; and the term "**De-Synchronising**" shall be construed accordingly.

De-synchronised Island(s)

Has the meaning set out in OC9.5.1(a)

Detailed Planning Data

Detailed additional data which **NGET** requires under the **PC** in support of **Standard Planning Data**, comprising **DPD I** and **DPD II**

Detailed Planning Data Category I or DPD I

The **Detailed Planning Data** categorised as such in the **DRC**, and submitted in accordance with PC.4.4.2 or PC.4.4.4 as applicable.

Detailed Planning Data Category II or DPD II

The **Detailed Planning Data** categorised as such in the **DRC**, and submitted in accordance with PC.4.4.2 or PC.4.4.4 as applicable.

Discrimination

The quality where a relay or protective system is enabled to pick out and cause to be disconnected only the faulty **Apparatus**.

Disconnection

The physical separation of **Users** (or **Customers**) from the **National Electricity Transmission System** or a **User System** as the case may be.

Disputes Resolution Procedure

The procedure described in the **CUSC** relating to disputes resolution.

Distribution Code

The distribution code required to be drawn up by each **Electricity Distribution Licence** holder and approved by the **Authority**, as from time to time revised with the approval of the **Authority**.

Droop

The ratio of the per unit steady state change in speed, or in **Frequency** to the per unit steady state change in power output.

Dynamic Parameters

Those parameters listed in Appendix 1 to **BC1** under the heading **BM** Unit Data – Dynamic Parameters.

E&W Offshore Transmission System

An **Offshore Transmission System** with an **Interface Point** in England and Wales.

E&W Offshore Transmission Licensee

A person who owns or operates an **E&W Offshore Transmission System** pursuant to a **Transmission Licence**.

E&W Transmission System

Collectively NGET's Transmission System and any E&W Offshore Transmission Systems.

E&W User

A User in England and Wales or any Offshore User who owns or operates Plant and/or Apparatus connected (or which will at the OTSUA Transfer Time be connected) to an E&W Offshore Transmission System.

Earth Fault Factor

At a selected location of a three-phase **System** (generally the point of installation of equipment) and for a given **System** configuration, the ratio of the highest root mean square phase-to-earth power **Frequency** voltage on a sound phase during a fault to earth (affecting one or more phases at any point) to the root mean square phase-to-earth power **Frequency** voltage which would be obtained at the selected location without the fault.

Earthing

A way of providing a connection between conductors and earth by an **Earthing Device** which is either:

- (a) Immobilised and Locked in the earthing position. Where the Earthing Device is Locked with a Safety Key, the Safety Key must be secured in a Key Safe and the Key Safe Key must be, where reasonably practicable, given to the authorised site representative of the Requesting Safety Co-ordinator and is to be retained in safe custody. Where not reasonably practicable the Key Safe Key must be retained by the authorised site representative of the Implementing Safety Co-ordinator in safe custody; or
- (b) maintained and/or secured in position by such other method which must be in accordance with the Local Safety Instructions of NGET or the Safety Rules of the Relevant Transmission Licensee or that User, as the case may be.

Earthing Device

A means of providing a connection between a conductor and earth being of adequate strength and capability.

Electrical Standard

A standard listed in the Annex to the **General Conditions**.

Electricity Council

That body set up under the Electricity Act, 1957.

Electricity Distribution Licence

The licence granted pursuant to Section 6(1) (c) of the Act.

Electricity Supply Industry Arbitration Association

The unincorporated members' club of that name formed inter alia to promote the efficient and economic operation of the procedure for the resolution of disputes within the electricity supply industry by means of arbitration or otherwise in accordance with its arbitration rules.

Electricity Supply Licence

The licence granted pursuant to Section 6(1) (d) of the Act.

Electromagnetic Compatibility Level

Has the meaning set out in Engineering Recommendation G5/4.

Embedded

Having a direct connection to a **User System** or the **System** of any other **User** to which **Customers** and/or **Power Stations** are connected, such connection being either a direct connection or a connection via a busbar of another **User** or of a **Transmission Licensee** (but with no other connection to the **National Electricity Transmission System**).

Embedded Development

Has the meaning set out in PC.4.4.3(a)

Embedded Development Agreement An agreement entered into between a **Network Operator** and an **Embedded Person**, identifying the relevant site of connection to the **Network Operator's System** and setting out other site specific details in relation to that use of the **Network Operator's System**.

Embedded Person

The party responsible for a **Medium Power Station** not subject to a **Bilateral Agreement** or **DC Converter Station** not subject to a **Bilateral Agreement** connected to or proposed to be connected to a **Network Operator's System**.

Emergency Deenergisation Instruction an Emergency Instruction issued by NGET to De-Synchronise a Generating Unit, Power Park Module or DC Converter in circumstances specified in the CUSC.

Emergency Instruction

An instruction issued by **NGET** in emergency circumstances, pursuant to BC2.9, to the **Control Point** of a **User**. In the case of such instructions applicable to a **BM Unit**, it may require an action or response which is outside the **Dynamic Parameters**, **QPN** or **Other Relevant Data**, and may include an instruction to trip a **Genset**.

EMR Administrative Parties

Has the meaning given to "administrative parties" in The Electricity Capacity Regulations 2014 and each CfD Counterparty and CfD Settlement Services Provider.

EMR Documents

The Energy Act 2013, The Electricity Capacity Regulations 2014, the **Capacity Market Rules**, The Contracts for Difference (Allocation) Regulations 2014, The Contracts for Difference (Definition of Eligible Generator) Regulations 2014, The Contracts for Difference (Electricity Supplier Obligations) Regulations 2014, The Electricity Market Reform (General) Regulations 2014, the **AF Rules** and any other regulations or instruments made under Chapter 2 (contracts for difference), Chapter 3 (capacity market) or Chapter 4 (investment contracts) of Part 2 of the Energy Act 2013 which are in force from time to time.

EMR Functions

Has the meaning given to "EMR functions" in Chapter 5 of Part 2 of the Energy Act 2013.

Engineering Recommendations

The documents referred to as such and issued by the Energy Networks Association or the former Electricity Council.

Energisation Operational Notificationor **EON**

A notification (in respect of Plant and Apparatus (including OTSUA) which is directly connected to the National Electricity Transmission System) from NGET to a User confirming that the User can in accordance with the Bilateral Agreement and/or Construction Agreement, energise such User's Plant and Apparatus (including OTSUA) specified in such notification.

Estimated Registered Data

Those items of **Standard Planning Data** and **Detailed Planning Data** which either upon connection will become **Registered Data**, or which for the purposes of the **Plant** and/or **Apparatus** concerned as at the date of submission are **Registered Data**, but in each case which for the seven succeeding **Financial Years** will be an estimate of what is expected.

EU Transparency Availability Data

Such data as Customers and Generators are required to provide under Articles 7.1(a) and 7.1(b) and Articles 15.1(a), 15.1(b), 15.1(c), 15.1(d) of European Commission Regulation (EU) No. 543/2013 respectively (known as the Transparency Regulation), and which also forms part of **DRC** Schedule 6 (Users' Outage Data).

European Specification

A common technical specification, a **British Standard** implementing a European standard or a European technical approval. The terms "common technical specification", "European standard" and "European technical approval" shall have the meanings respectively ascribed to them in the **Regulations**.

Event

An unscheduled or unplanned (although it may be anticipated) occurrence on, or relating to, a **System** (including **Embedded Power Stations**) including, without limiting that general description, faults, incidents and breakdowns and adverse weather conditions being experienced.

Exciter

The source of the electrical power providing the field current of a synchronous machine.

Excitation System

The equipment providing the field current of a machine, including all regulating and control elements, as well as field discharge or suppression equipment and protective devices.

Excitation System No-Load Negative Ceiling Voltage

The minimum value of direct voltage that the **Excitation System** is able to provide from its terminals when it is not loaded, which may be zero or a negative value.

Excitation System Nominal Response

Shall have the meaning ascribed to that term in **IEC** 34-16-1:1991 [equivalent to **British Standard BS**4999 Section 116.1 : 1992]. The time interval applicable is the first half-second of excitation system voltage response.

Excitation System On-Load Positive Ceiling Voltage

Shall have the meaning ascribed to the term 'Excitation system on load ceiling voltage' in **IEC** 34-16-1:1991[equivalent to **British Standard BS**4999 Section 116.1 : 1992].

Excitation System No-Load Positive Ceiling Voltage

Shall have the meaning ascribed to the term 'Excitation system no load ceiling voltage' in **IEC** 34-16-1:1991[equivalent to **British Standard BS**4999 Section 116.1 : 1992].

Exemptable Has the meaning set out in the **CUSC**.

Existing AGR Plant

The following nuclear advanced gas cooled reactor plant (which was commissioned and connected to the **Total System** at the **Transfer Date**):-

- (a) Dungeness B
- (b) Hinkley Point B
- (c) Heysham 1
- (d) Heysham 2
- (e) Hartlepool
- (f) Hunterston B
- (g) Torness

Existing AGR Plant Flexibility Limit

In respect of each **Genset** within each **Existing AGR Plant** which has a safety case enabling it to so operate, 8 (or such lower number which when added to the number of instances of reduction of output as instructed by **NGET** in relation to operation in **Frequency Sensitive Mode** totals 8) instances of flexibility in any calendar year (or such lower or greater number as may be agreed by the Nuclear Installations Inspectorate and notified to **NGET**) for the purpose of assisting in the period of low **System NRAPM** and/or low **Localised NRAPM** provided that in relation to each **Generating Unit** each change in output shall not be required to be to a level where the output of the reactor is less than 80% of the reactor thermal power limit (as notified to **NGET** and which corresponds to the limit of reactor thermal power as contained in the "Operating Rules" or "Identified Operating Instructions" forming part of the safety case agreed with the Nuclear Installations Inspectorate).

Existing Gas Cooled Reactor Plant

Both Existing Magnox Reactor Plant and Existing AGR Plant.

Existing Magnox Reactor Plant

The following nuclear gas cooled reactor plant (which was commissioned and connected to the **Total System** at the **Transfer Date**):-

- (a) Calder Hall
- (b) Chapelcross
- (c) Dungeness A
- (d) Hinkley Point A
- (e) Oldbury-on-Severn
- (f) Bradwell
- (g) Sizewell A
- (h) Wylfa

Export and Import Limits

Those parameters listed in Appendix 1 to **BC1** under the heading **BM** Unit Data – Export and Import Limits.

External Interconnection

Apparatus for the transmission of electricity to or from the National Electricity Transmission System or a User System into or out of an External System. For the avoidance of doubt, a single External Interconnection may comprise several circuits operating in parallel.

External Interconnection Circuit

Plant or Apparatus which comprises a circuit and which operates in parallel with another circuit and which forms part of the External Interconnection.

Externally Interconnected System Operator or EISO

A person who operates an External System which is connected to the National Electricity Transmission System or a User System by an External Interconnection.

External System

In relation to an Externally Interconnected System Operator means the transmission or distribution system which it owns or operates which is located outside the National Electricity Transmission System Operator Area any Apparatus or Plant which connects that system to the External Interconnection and which is owned or operated by such Externally Interconnected System Operator.

Fault Current Interruption Time

The time interval from fault inception until the end of the break time of the circuit breaker (as declared by the manufacturers).

Fast Start

A start by a **Genset** with a **Fast Start Capability**.

Fast Start Capability

The ability of a **Genset** to be **Synchronised** and **Loaded** up to full **Load** within 5 minutes.

Final Generation Outage Programme

An outage programme as agreed by **NGET** with each **Generator** and each **Interconnector Owner** at various stages through the **Operational Planning Phase** and **Programming Phase** which does not commit the parties to abide by it, but which at various stages will be used as the basis on which **National Electricity Transmission System** outages will be planned.

Final Operational Notification or FON

A notification from **NGET** to a **Generator** or **DC Converter Station** owner confirming that the **User** has demonstrated compliance:

- (a) with the Grid Code, (or where they apply, that relevant derogations have been granted), and
- (b) where applicable, with Appendices F1 to F5 of the **Bilateral Agreement**,

in each case in respect of the **Plant** and **Apparatus** specified in such notification.

Final Physical Notification Data

Has the meaning set out in the BSC.

Final Report

A report prepared by the **Test Proposer** at the conclusion of a **System Test** for submission to **NGET** (if it did not propose the **System Test**) and other members of the **Test Panel**.

Financial Year

Bears the meaning given in Condition A1 (Definitions and Interpretation) of **NGET's Transmission Licence**.

Flicker Severity

(Long Term)

A value derived from 12 successive measurements of **Flicker Severity** (**Short Term**) (over a two hour period) and a calculation of the cube root of the mean sum of the cubes of 12 individual measurements, as further set out in **Engineering Recommendation** P28 as current at the **Transfer Date**.

Flicker Severity

(Short Term)

A measure of the visual severity of flicker derived from the time series output of a flickermeter over a 10 minute period and as such provides an indication of the risk of **Customer** complaints.

Forecast Data

Those items of **Standard Planning Data** and **Detailed Planning Data** which will always be forecast.

Frequency

The number of alternating current cycles per second (expressed in Hertz) at which a **System** is running.

Frequency Sensitive AGR Unit

Each Generating Unit in an Existing AGR Plant for which the Generator has notified NGET that it has a safety case agreed with the Nuclear Installations Inspectorate enabling it to operate in Frequency Sensitive Mode, to the extent that such unit is within its Frequency Sensitive AGR Unit Limit. Each such Generating Unit shall be treated as if it were operating in accordance with BC3.5.1 provided that it is complying with its Frequency Sensitive AGR Unit Limit.

Frequency Sensitive AGR Unit Limit

In respect of each Frequency Sensitive AGR Unit, 8 (or such lower number which when added to the number of instances of flexibility for the purposes of assisting in a period of low System or Localised NRAPM totals 8) instances of reduction of output in any calendar year as instructed by NGET in relation to operation in Frequency Sensitive Mode (or such greater number as may be agreed between NGET and the Generator), for the purpose of assisting with Frequency control, provided the level of operation of each Frequency Sensitive AGR Unit in Frequency Sensitive Mode shall not be outside that agreed by the Nuclear Installations Inspectorate in the relevant safety case.

Frequency Sensitive Mode

A **Genset** operating mode which will result in **Active Power** output changing, in response to a change in **System Frequency**, in a direction which assists in the recovery to **Target Frequency**, by operating so as to provide **Primary Response** and/or **Secondary Response** and/or **High Frequency Response**.

Fuel Security Code

The document of that title designated as such by the **Secretary of State**, as from time to time amended.

Gas Turbine Unit

A **Generating Unit** driven by a gas turbine (for instance by an aero-engine).

Gas Zone Diagram

A single line diagram showing boundaries of, and interfaces between, gas-insulated HV Apparatus modules which comprise part, or the whole, of a substation at a Connection Site (or in the case of OTSDUW Plant and Apparatus, Transmission Interface Site), together with the associated stop valves and gas monitors required for the safe operation of the National Electricity Transmission System or the User System, as the case may be.

Gate Closure

Has the meaning set out in the **BSC**.

GC Modification Proposal

A proposal to modify the **Grid Code** which is not rejected pursuant to the terms of the **Grid Code** and has not yet been implemented.

General Conditions or **GC**

That portion of the Grid Code which is identified as the **General Conditions**.

Generating Plant Demand Margin The difference between **Output Usable** and forecast **Demand**.

Generating Unit

An Onshore Generating Unit and/or an Offshore Generating Unit.

Generating Unit Data

The Physical Notification, Export and Import Limits and Other Relevant Data only in respect of each Generating Unit:

- (a) which forms part of the **BM Unit** which represents that **Cascade Hydro Scheme**;
- (b) at an Embedded Exemptable Large Power Station, where the relevant Bilateral Agreement specifies that compliance with BC1 and/or BC2 is required:
 - (i) to each **Generating Unit**, or
 - (ii) to each **Power Park Module** where the **Power Station** comprises **Power Park Modules**

Generation Capacity

Has the meaning set out in the BSC.

Generation Planning Parameters

Those parameters listed in Appendix 2 of OC2.

Generator

A person who generates electricity under licence or exemption under the **Act** acting in its capacity as a generator in **Great Britain** or **Offshore**.

Generator Performance Chart

A diagram which shows the MW and Mvar capability limits within which a **Generating Unit** will be expected to operate under steady state conditions.

Genset

A Generating Unit, Power Park Module or CCGT Module at a Large Power Station or any Generating Unit, Power Park Module or CCGT Module which is directly connected to the National Electricity Transmission System.

Good Industry Practice

The exercise of that degree of skill, diligence, prudence and foresight which would reasonably and ordinarily be expected from a skilled and experienced operator engaged in the same type of undertaking under the same or similar circumstances.

Governor Deadband

The total magnitude of the change in steady state speed (expressed as a range of Hz (\pm x Hz) where "x" is a numerical value) within which there is no resultant change in the position of the governing valves of the speed/load Governing System.

Great Britain or GB

The landmass of England and Wales and Scotland, including internal waters.

Grid Code Review Panel or Panel

The panel with the functions set out in GC.4.

Grid Entry Point

An Onshore Grid Entry Point or an Offshore Grid Entry Point.

Grid Supply Point

A point of supply from the **National Electricity Transmission System** to **Network Operators** or **Non-Embedded Customers**.

Group

Those National Electricity Transmission System sub-stations bounded solely by the faulted circuit(s) and the overloaded circuit(s) excluding any third party connections between the Group and the rest of the National Electricity Transmission System, the faulted circuit(s) being a Secured Event.

Headroom

The **Power Available** (in MW) less the actual **Active Power** exported from the **Power Park Module** (in MW).

High Frequency Response

An automatic reduction in **Active Power** output in response to an increase in **System Frequency** above the **Target Frequency** (or such other level of **Frequency** as may have been agreed in an **Ancillary Services Agreement**). This reduction in **Active Power** output must be in accordance with the provisions of the relevant **Ancillary Services Agreement** which will provide that it will be released increasingly with time over the period 0 to 10 seconds from the time of the **Frequency** increase on the basis set out in the **Ancillary Services Agreement** and fully achieved within 10 seconds of the time of the start of the **Frequency** increase and it must be sustained at no lesser reduction thereafter. The interpretation of the **High Frequency Response** to a + 0.5 Hz frequency change is shown diagrammatically in Figure CC.A.3.3.

High Voltage or HV

For **E&W Transmission Systems**, a voltage exceeding 650 volts. For **Scottish Transmission Systems**, a voltage exceeding 1000 volts.

HV Connections

Apparatus connected at the same voltage as that of the National Electricity Transmission System, including Users' circuits, the higher voltage windings of Users' transformers and associated connection Apparatus.

HP Turbine Power Fraction

Ratio of steady state mechanical power delivered by the HP turbine to the total steady state mechanical power delivered by the total steam turbine at **Registered Capacity**.

IEC

International Electrotechnical Commission.

IEC Standard

A standard approved by the International Electrotechnical Commission.

Implementing Safety Co-ordinator

The Safety Co-ordinator implementing Safety Precautions.

Import Usable

That portion of **Registered Import Capacity** which is expected to be available and which is not unavailable due to a **Planned Outage**.

Incident Centre

A centre established by **NGET** or a **User** as the focal point in **NGET** or in that **User**, as the case may be, for the communication and dissemination of information between the senior management representatives of **NGET**, or of that **User**, as the case may be, and the relevant other parties during a **Joint System Incident** in order to avoid overloading **NGET's**, or that **User's**, as the case may be, existing operational/control arrangements.

Indicated Constraint Boundary Margin

The difference between a constraint boundary transfer limit and the difference between the sum of **BM Unit** Maximum Export Limits and the forecast of local **Demand** within the constraint boundary.

Indicated Imbalance

The difference between the sum of **Physical Notifications** for **BM Units** comprising **Generating Units** or **CCGT Modules** and the forecast of **Demand** for the whole or any part of the **System**.

Indicated Margin

The difference between the sum of **BM Unit** Maximum Export Limits submitted and the forecast of **Demand** for the whole or any part of the **System**

Instructor Facilities

A device or system which gives certain **Transmission Control Centre** instructions with an audible or visible alarm, and incorporates the means to return message acknowledgements to the **Transmission Control Centre**

Integral Equipment Test or IET

A test on equipment, associated with **Plant** and/or **Apparatus**, which takes place when that **Plant** and/or **Apparatus** forms part of a **Synchronised System** and which, in the reasonable judgement of the person wishing to perform the test, may cause an **Operational Effect**.

Interconnection Agreement

An agreement made between NGET and an Externally Interconnected System Operator and/or an Interconnector User and/or other relevant persons for the External Interconnection relating to an External Interconnection and/or an agreement under which an Interconnector User can use an External Interconnection.

Interconnector Export Capacity

In relation to an **External Interconnection** means the (daily or weekly) forecast value (in MW) at the time of the (daily or weekly) peak demand, of the maximum level at which the **External Interconnection** can export to the **Grid Entry Point**.

Interconnector Import Capacity

In relation to an **External Interconnection** means the (daily or weekly) forecast value (in MW) at the time of the (daily or weekly) peak demand of the maximum level at which the **External Interconnection** can import from the **Grid Entry Point**.

Interconnector Owner

Has the meaning given to the term in the Connection and Use of System Code.

Interconnector User

Has the meaning set out in the BSC.

Interface Agreement

Has the meaning set out in the CUSC.

Interface Point

As the context admits or requires either;

- (a) the electrical point of connection between an **Offshore Transmission System** and an **Onshore Transmission System**,
 or
- (b) the electrical point of connection between an **Offshore Transmission System** and a **Network Operator's User System**.

Interface Point Capacity

The maximum amount of **Active Power** transferable at the **Interface Point** as declared by a **User** under the **OTSDUW Arrangements** expressed in whole MW.

Interface Point Target Voltage/Power factor

The nominal target voltage/power factor at an Interface Point which a Network Operator requires NGET to achieve by operation of the relevant Offshore Transmission System.

Interim Operational Notification or ION

A notification from **NGET** to a **Generator** or **DC Converter Station** owner acknowledging that the **User** has demonstrated compliance, except for the **Unresolved Issues**;

- (a) with the Grid Code, and
- (b) where applicable, with Appendices F1 to F5 of the **Bilateral Agreement**,

in each case in respect of the Plant and Apparatus (including OTSUA) specified in such notification and provided that in the case of the OTSDUW Arrangements such notification shall be provided to a Generator in two parts dealing with the OTSUA and Generator's Plant and Apparatus (called respectively "Interim Operational Notification Part A" or "ION A" and "Interim Operational Notification Part B" or "ION B") as provided for in the CP.

Intermittent Power Source

The primary source of power for a **Generating Unit** that can not be considered as controllable, e.g. wind, wave or solar.

Intertripping

- (a) The tripping of circuit-breaker(s) by commands initiated from **Protection** at a remote location independent of the state of the local **Protection**; or
- (b) **Operational Intertripping**.

Intertrip Apparatus

Apparatus which performs Intertripping.

IP Turbine Power Fraction

Ratio of steady state mechanical power delivered by the IP turbine to the total steady state mechanical power delivered by the total steam turbine at **Registered Capacity**.

Isolating Device

A device for achieving **Isolation**.

Isolation

The disconnection of **HV Apparatus** (as defined in OC8A.1.6.2 and OC8B.1.7.2) from the remainder of the **System** in which that **HV Apparatus** is situated by either of the following:

- (a) an **Isolating Device** maintained in an isolating position. The isolating position must either be:
 - (i) maintained by immobilising and Locking the Isolating Device in the isolating position and affixing a Caution Notice to it. Where the Isolating Device is Locked with a Safety Key, the Safety Key must be secured in a Key Safe and the Key Safe Key must be, where reasonably practicable, given to the authorised site representative of the Requesting Safety Co-Ordinator and is to be retained in safe custody. Where not reasonably practicable the Key Safe Key must be retained by the authorised site representative of the Implementing Safety Co-ordinator in safe custody; or
 - (ii) maintained and/or secured by such other method which must be in accordance with the Local Safety Instructions of NGET or the Safety Rules of the Relevant Transmission Licensee or that User, as the case may be;
- (b) an adequate physical separation which must be in accordance with and maintained by the method set out in the Local Safety Instructions of NGET or the Safety Rules of the Relevant Transmission Licensee or that User, as the case may be.

Joint BM Unit Data

Has the meaning set out in the **BSC**.

Joint System Incident

An Event wherever occurring (other than on an Embedded Medium Power Station or an Embedded Small Power Station) which, in the opinion of NGET or a User, has or may have a serious and/or widespread effect, in the case of an Event on a User(s) System(s) (other than on an Embedded Medium Power Station or Embedded Small Power Station), on the National Electricity Transmission System, and in the case of an Event on the National Electricity Transmission System, on a User(s) System(s) (other than on an Embedded Medium Power Station or Embedded Small Power Station).

Key Safe

A device for the secure retention of keys.

Key Safe Key

A key unique at a **Location** capable of operating a lock, other than a control lock, on a **Key Safe**.

Large Power Station

A Power Station which is

- (a) directly connected to:
 - (i) **NGET's Transmission System** where such **Power Station** has a **Registered Capacity** of 100MW or more; or
 - (ii) SPT's Transmission System where such Power Station has a Registered Capacity of 30MW or more; or
 - (iii) SHETL's Transmission System where such Power Station has a Registered Capacity of 10MW or more; or
 - (iv) an **Offshore Transmission System** where such **Power Station** has a **Registered Capacity** of 10MW or more;

or,

- (b) **Embedded** within a **User System** (or part thereof) where such **User System** (or part thereof) is connected under normal operating conditions to:
 - (i) NGET's Transmission System and such Power Station has a Registered Capacity of 100MW or more; or
 - (ii) SPT's Transmission System and such Power Station has a Registered Capacity of 30MW or more; or
 - (iii) SHETL's Transmission System and such Power Station has a Registered Capacity of 10MW or more;

or,

- (c) Embedded within a User System (or part thereof) where the User System (or part thereof) is not connected to the National Electricity Transmission System, although such Power Station is in:
 - (i) NGET's Transmission Area where such Power Station has a Registered Capacity of 100MW or more; or
 - (ii) SPT's Transmission Area where such Power Station has a Registered Capacity of 30MW or more; or
 - (iii) SHETL's Transmission Area where such Power Station has a Registered Capacity of 10MW or more;

Licence

Any licence granted to **NGET** or a **Relevant Transmission Licensee** or a **User**, under Section 6 of the **Act**.

Licence Standards

Those standards set out or referred to in Condition C17 of NGET's Transmission Licence and/or Condition D3 and/or Condition E16 of a Relevant Transmission Licensee's Transmission Licence.

Limited Frequency Sensitive Mode

A mode whereby the operation of the **Genset** (or **DC Converter at a DC Converter Station** exporting **Active Power** to the **Total System)** is **Frequency** insensitive except when the **System Frequency** exceeds 50.4Hz, from which point **Limited High Frequency Response** must be provided.

Limited High Frequency Response

A response of a **Genset** (or **DC Converter** at a **DC Converter Station** exporting **Active Power** to the **Total System**) to an increase in **System Frequency** above 50.4Hz leading to a reduction in **Active Power** in accordance with the provisions of BC3.7.2.

Limited Operational Notification or LON

A notification from **NGET** to a **Generator** or **DC Converter Station** owner stating that the **User's Plant** and/or **Apparatus** specified in such notification may be, or is, unable to comply:

- (a) with the provisions of the Grid Code specified in the notice, and
- (b) where applicable, with Appendices F1 to F5 of the **Bilateral Agreement**,

and specifying the Unresolved Issues.

Load

The **Active**, **Reactive** or **Apparent Power**, as the context requires, generated, transmitted or distributed.

Loaded

Supplying electrical power to the **System**.

Load Factor

The ratio of the actual output of a **Generating Unit** to the possible maximum output of that **Generating Unit**.

Load Management Block

A block of **Demand** controlled by a **Supplier** or other party through the means of radio teleswitching or by some other means.

Local Joint Restoration Plan

A plan produced under OC9.4.7.12 detailing the agreed method and procedure by which a **Genset** at a **Black Start Station** (possibly with other **Gensets** at that **Black Start Station**) will energise part of the **Total System** and meet complementary blocks of local **Demand** so as to form a **Power Island**.

In Scotland, the plan may also: cover more than one **Black Start Station**; include **Gensets** other than those at a **Black Start Station** and cover the creation of one or more **Power Islands**.

Local Safety Instructions

For safety co-ordination in England and Wales, instructions on each User Site and Transmission Site, approved by the relevant NGET or User's manager, setting down the methods of achieving the objectives of NGET's or the User's Safety Rules, as the case may be, to ensure the safety of personnel carrying out work or testing on Plant and/or Apparatus on which his Safety Rules apply and, in the case of a User, any other document(s) on a User Site which contains rules with regard to maintaining or securing the isolating position of an Isolating Device, or maintaining a physical separation or maintaining or securing the position of an Earthing Device.

Local Switching Procedure

A procedure produced under OC7.6 detailing the agreed arrangements in respect of carrying out of **Operational Switching** at **Connection Sites** and parts of the **National Electricity Transmission System** adjacent to those **Connection Sites**.

Localised Negative Reserve Active Power Margin or Localised NRAPM That margin of **Active Power** sufficient to allow transfers to and from a **System Constraint Group** (as the case may be) to be contained within such reasonable limit as **NGET** may determine.

Location

Any place at which **Safety Precautions** are to be applied.

Locked A condition of **HV Apparatus** that cannot be altered without the operation

of a locking device.

Locking The application of a locking device which enables HV Apparatus to be

Locked.

Low Frequency Relay Has the same meaning as Under Frequency Relay.

Low Voltage or LV For E&W Transmission Systems a voltage not exceeding 250 volts. For

Scottish Transmission Systems, a voltage exceeding 50 volts but not

exceeding 1000 volts.

LV Side of the Offshore

Platform

Unless otherwise specified in the **Bilateral Agreement**, the busbar on the **Offshore Platform** (typically 33kV) at which the relevant **Offshore**

Grid Entry Point is located.

Main Protection Protection equipment or system expected to have priority in initiating

either a fault clearance or an action to terminate an abnormal condition in

a power system.

Manufacturer's Data & Performance Report

A report submitted by a manufacturer to **NGET** relating to a specific version of a **Power Park Unit** demonstrating the performance characteristics of such **Power Park Unit** in respect of which **NGET** has evaluated its relevance for the purposes of the **Compliance Processes**.

Market Operation Data Interface System (MODIS) A computer system operated by **NGET** and made available for use by **Customers** connected to or using the **National Electricity Transmission System** for the purpose of submitting **EU Transparency Availability Data** to **NGET**.

Market Suspension Threshold Has the meaning given to the term 'Market Suspension Threshold' in Section G of the **BSC**.

Material Effect

An effect causing **NGET** or a **Relevant Transmission Licensee** to effect any works or to alter the manner of operation of **Transmission Plant** and/or **Transmission Apparatus** at the **Connection Site** (which term shall, in this definition and in the definition of "**Modification**" only, have the meaning ascribed thereto in the **CUSC**) or the site of connection or a **User** to effect any works or to alter the manner of operation of its **Plant** and/or **Apparatus** at the **Connection Site** or the site of connection which in either case involves that party in expenditure of more than £10,000.

Maximum Export Capacity

The maximum continuous **Apparent Power** expressed in MVA and maximum continuous **Active Power** expressed in MW which can flow from an **Offshore Transmission System** connected to a **Network Operator's User System**, to that **User System**.

Maximum Generation Service or MGS A service utilised by **NGET** in accordance with the **CUSC** and the **Balancing Principles Statement** in operating the **Total System**.

Maximum Generation Service Agreement An agreement between a **User** and **NGET** for the payment by **NGET** to that **User** in respect of the provision by such **User** of a **Maximum Generation Service**.

Maximum Import Capacity

The maximum continuous **Apparent Power** expressed in MVA and maximum continuous **Active Power** expressed in MW which can flow from an **Offshore Transmission System** connected to a **Network Operator's User System**, to that **User System**.

Medium Power Station

A Power Station which is

(a) directly connected to NGET's Transmission System where such Power Station has a Registered Capacity of 50MW or more but less than 100MW:

or.

(b) Embedded within a User System (or part thereof) where such User System (or part thereof) is connected under normal operating conditions to NGET's Transmission System and such Power Station has a Registered Capacity of 50MW or more but less than 100MW;

or,

(c) Embedded within a User System (or part thereof) where the User System (or part thereof) is not connected to the National Electricity Transmission System, although such Power Station is in NGET's Transmission Area and such Power Station has a Registered Capacity of 50MW or more but less than 100MW.

Medium Voltage or MV

For **E&W Transmission Systems** a voltage exceeding 250 volts but not exceeding 650 volts.

Mills

Milling plant which supplies pulverised fuel to the boiler of a coal fired **Power Station**.

Minimum Generation

The minimum output (in whole MW) which a **Genset** can generate or **DC Converter** at a **DC Converter Station** can import or export to the **Total System** under stable operating conditions, as registered with **NGET** under the **PC** (and amended pursuant to the **PC**). For the avoidance of doubt, the output may go below this level as a result of operation in accordance with BC3.7.

Minimum Import Capacity

The minimum input (in whole MW) into a DC Converter at a DC Converter Station (in any of its operating configurations) at the Onshore Grid Entry Point (or in the case of an Embedded DC Converter at the User System Entry Point) at which a DC Converter can operate in a stable manner, as registered with NGET under the PC (and amended pursuant to the PC).

Modification

Any actual or proposed replacement, renovation, modification, alteration or construction by or on behalf of a **User** or **NGET** to either that **User's Plant** or **Apparatus** or **Transmission Plant** or **Apparatus**, as the case may be, or the manner of its operation which has or may have a **Material Effect** on **NGET** or a **User**, as the case may be, at a particular **Connection Site**.

Mothballed DC Converter at a DC Converter Station

A **DC** Converter at a **DC** Converter Station that has previously imported or exported power which the **DC** Converter Station owner plans not to use to import or export power for the remainder of the current **Financial Year** but which could be returned to service.

Mothballed Generating Unit

A **Generating Unit** that has previously generated which the **Generator** plans not to use to generate for the remainder of the current **NGET Financial Year** but which could be returned to service.

Mothballed Power Park Module

A **Power Park Module** that has previously generated which the **Generator** plans not to use to generate for the remainder of the current **Financial Year** but which could be returned to service.

Multiple Point of Connection

A double (or more) **Point of Connection**, being two (or more) **Points of Connection** interconnected to each other through the **User's System**.

National Demand

The amount of electricity supplied from the Grid Supply Points plus:-

- that supplied by Embedded Large Power Stations, and
- National Electricity Transmission System Losses,

minus:-

• the **Demand** taken by **Station Transformers** and **Pumped Storage Units**'

and, for the purposes of this definition, does not include:-

• any exports from the National Electricity Transmission System across External Interconnections.

National Electricity Transmission System

The Onshore Transmission System and, where owned by Offshore Transmission Licensees, Offshore Transmission Systems.

National Electricity Transmission System Demand

The amount of electricity supplied from the **Grid Supply Points** plus:-

- that supplied by Embedded Large Power Stations, and
- exports from the **National Electricity Transmission System** across **External Interconnections**, and
- National Electricity Transmission System Losses,

and, for the purposes of this definition, includes:-

 the Demand taken by Station Transformers and Pumped Storage Units.

National Electricity Transmission System Losses

The losses of electricity incurred on the **National Electricity Transmission System**.

National Electricity Transmission System Operator Area

Has the meaning set out in Schedule 1 of **NGET's Transmission** Licence.

National Electricity Transmission System Study Network Data File

A computer file produced by NGET which in NGET's view provides an appropriate representation of the National Electricity Transmission System for a specific point in time. The computer file will contain information and data on Demand on the National Electricity Transmission System and on Large Power Stations including Genset power output consistent with Output Usable and NGET's view of prevailing system conditions.

National Electricity Transmission System Warning

A warning issued by **NGET** to **Users** (or to certain **Users** only) in accordance with OC7.4.8.2, which provides information relating to **System** conditions or **Events** and is intended to :

- (a) alert **Users** to possible or actual **Plant** shortage, **System** problems and/or **Demand** reductions;
- (b) inform of the applicable period;
- (c) indicate intended consequences for Users; and
- (d) enable specified **Users** to be in a state of readiness to receive instructions from **NGET**.

National Electricity Transmission System Warning - Demand Control Imminent A warning issued by **NGET**, in accordance with OC7.4.8.7, which is intended to provide short term notice, where possible, to those **Users** who are likely to receive **Demand** reduction instructions from **NGET** within 30 minutes.

National Electricity Transmission System Warning - High Risk of Demand Reduction A warning issued by **NGET**, in accordance with OC7.4.8.6, which is intended to alert recipients that there is a high risk of **Demand** reduction being implemented and which may normally result from an inadequate **System Margin**.

National Electricity Transmission System Warning - Inadequate System Margin A warning issued by **NGET**, in accordance with OC7.4.8.5, which is intended to alert recipients of an inadequate **System Margin** and which if not improved may result in **Demand** reduction being instructed.

National Electricity Transmission System Warning - Risk of System Disturbance A warning issued by **NGET**, in accordance with OC7.4.8.8, which is intended to alert **Users** of the risk of widespread and serious **System** disturbance which may affect **Users**.

Network Data

The data to be provided by **NGET** to **Users** in accordance with the **PC**, as listed in Part 3 of the Appendix to the **PC**.

Network Operator

A person with a **User System** directly connected to the **National Electricity Transmission System** to which **Customers** and/or **Power Stations** (not forming part of the **User System**) are connected, acting in its capacity as an operator of the **User System**, but shall not include a person acting in the capacity of an **Externally Interconnected System Operator** or a **Generator** in respect of **OTSUA**.

NGET

National Grid Electricity Transmission plc (NO: 2366977) whose registered office is at 1-3 Strand, London, WC2N 5EH.

NGET Control Engineer

The nominated person employed by **NGET** to direct the operation of the **National Electricity Transmission System** or such person as nominated by **NGET**.

NGET Operational Strategy

NGET's operational procedures which form the guidelines for operation of the **National Electricity Transmission System**.

No-Load Field Voltage

Shall have the meaning ascribed to that term in **IEC** 34-16-1:1991 [equivalent to **British Standard BS**4999 Section 116.1 : 1992].

No System Connection

As defined in OC8A.1.6.2 and OC8B.1.7.2

Notification of User's Intention to Synchronise

A notification from a **Generator** or **DC Converter Station** owner to **NGET** informing **NGET** of the date upon which any **OTSUA**, a **Generating Unit**(s), **CCGT Module**(s), **Power Park Module**(s) or **DC Converter**(s) will be ready to be **Synchronised** to the **Total System**.

Non-Embedded Customer

A Customer in Great Britain, except for a Network Operator acting in its capacity as such, receiving electricity direct from the Onshore Transmission System irrespective of from whom it is supplied.

Non-Synchronous Generating Unit An Onshore Non-Synchronous Generating Unit or Offshore Non-Synchronous Generating Unit.

Normal CCGT Module

A CCGT Module other than a Range CCGT Module.

Novel Unit A tidal, wave, wind, geothermal, or any similar, Generating Unit.

OC9 De-synchronised Island Procedure

Has the meaning set out in OC9.5.4.

Offshore

Means wholly or partly in **Offshore Waters**, and when used in conjunction with another term and not defined means that the associated term is to be read accordingly.

Offshore DC Converter

Any **User Apparatus** located **Offshore** used to convert alternating current electricity to direct current electricity, or vice versa. An **Offshore DC Converter** is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion.

Offshore Development Information Statement

A statement prepared by **NGET** in accordance with Special Condition C4 of **NGET's Transmission Licence**.

Offshore Generating Unit

Unless otherwise provided in the Grid Code, any **Apparatus** located **Offshore** which produces electricity, including, an **Offshore Synchronous Generating Unit** and **Offshore Non-Synchronous Generating Unit**.

Offshore Grid Entry Point

In the case of:-

- (a) an Offshore Generating Unit or an Offshore DC Converter, as the case may be, which is directly connected to an Offshore Transmission System, the point at which it connects to that Offshore Transmission System, or;
- (b) an Offshore Power Park Module which is directly connected to an Offshore Transmission System, the point where one Power Park String (registered by itself as a Power Park Module) or the collection of points where a number of Offshore Power Park Strings (registered as a single Power Park Module) connects to that Offshore Transmission System, or;
- (c) an External Interconnection which is directly connected to an Offshore Transmission System, the point at which it connects to that Offshore Transmission System.

Offshore Non-Synchronous Generating Unit

An **Offshore Generating Unit** that is not an **Offshore Synchronous Generating Unit** including for the avoidance of doubt a **Power Park Unit** located **Offshore**.

Offshore Platform

A single structure comprising of **Plant** and **Apparatus** located **Offshore** which includes one or more **Offshore Grid Entry Points**.

Offshore Power Park Module

A collection of one or more **Offshore Power Park Strings** (registered as a **Power Park Module** under the **PC**). There is no limit to the number of **Power Park Strings** within the **Power Park Module**, so long as they either:

- (a) connect to the same busbar which cannot be electrically split; or
- (b) connect to a collection of directly electrically connected busbars of the same nominal voltage and are configured in accordance with the operating arrangements set out in the relevant **Bilateral Agreement**.

Offshore Power Park String

A collection of **Offshore Generating Units** that are powered by an **Intermittent Power Source**, joined together by cables forming part of a **User System** with a single point of connection to an **Offshore Transmission System**. The connection to an **Offshore Transmission System** may include a **DC Converter**.

Offshore Synchronous Generating Unit

An **Offshore Generating Unit** in which, under all steady state conditions, the rotor rotates at a mechanical speed equal to the electrical frequency of the **National Electricity Transmission System** divided by the number of pole pairs of the **Generating Unit**.

Offshore Tender Process

The process followed by the **Authority** to make, in prescribed cases, a determination on a competitive basis of the person to whom an offshore transmission licence is to be granted.

Offshore Transmission Distribution Connection Agreement

An agreement entered into by **NGET** and a **Network Operator** in respect of the connection to and use of a **Network Operator's User System** by an **Offshore Transmission System**.

Offshore Transmission Licensee

Such person in relation to whose **Transmission Licence** the standard conditions in Section E (offshore transmission owner standard conditions) of such **Transmission Licence** have been given effect, or any person in that prospective role who has acceded to the **STC**.

Offshore Transmission System

A system consisting (wholly or mainly) of high voltage electric lines and used for the transmission of electricity from one **Power Station** to a substation or to another **Power Station** or between sub-stations, and includes any **Plant** and **Apparatus** (including **OTSUA**) and meters in connection with the transmission of electricity but does not include any **Remote Transmission Assets**. An **Offshore Transmission System** extends from the **Interface Point**, or the **Offshore Grid Entry Point(s)** and may include **Plant** and **Apparatus** located **Onshore** and **Offshore** and, where the context permits, references to the **Offshore Transmission System** includes **OTSUA**.

Offshore Waters

Has the meaning given to "offshore waters" in Section 90(9) of the Energy Act 2004.

Offshore Works Assumptions

In relation to a particular **User** means those assumptions set out in Appendix P of the relevant **Construction Agreement** as amended from time to time.

Onshore

Means within **Great Britain**, and when used in conjunction with another term and not defined means that the associated term is to be read accordingly.

Onshore DC Converter

Any **User Apparatus** located **Onshore** with a **Completion Date** after 1st April 2005 used to convert alternating current electricity to direct current electricity, or vice versa. An **Onshore DC Converter** is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion. In a bipolar arrangement, an **Onshore DC Converter** represents the bipolar configuration.

Onshore Generating Unit

Unless otherwise provided in the Grid Code, any Apparatus located Onshore which produces electricity, including, an Onshore Synchronous Generating Unit and Onshore Non-Synchronous Generating Unit.

Onshore Grid Entry Point

A point at which a Onshore Generating Unit or a CCGT Module or a CCGT Unit or a Onshore DC Converter or a Onshore Power Park Module or an External Interconnection, as the case may be, which is directly connected to the Onshore Transmission System connects to the Onshore Transmission System.

Onshore Non-Synchronous Generating Unit

A Generating Unit located Onshore that is not a Synchronous Generating Unit including for the avoidance of doubt a Power Park Unit located Onshore.

Onshore Power Park Module

A collection of Non-Sychronous Generating Units (registered as a Power Park Module under the PC) that are powered by an Intermittent Power Source, joined together by a System with a single electrical point of connection directly to the Onshore Transmission System (or User System if Embedded) with no intermediate Offshore Transmission System connections. The connection to the Onshore Transmission System (or User System if Embedded) may include a DC Converter.

Onshore Synchronous Generating Unit

An **Onshore Generating Unit** including, for the avoidance of doubt, a **CCGT Unit** in which, under all steady state conditions, the rotor rotates at a mechanical speed equal to the electrical frequency of the **National Electricity Transmission System** divided by the number of pole pairs of the **Generating Unit**.

Onshore Transmission Licensee

NGET, SPT, or SHETL.

Onshore Transmission System

The system consisting (wholly or mainly) of high voltage electric lines owned or operated by **Onshore Transmission Licensees** and used for the transmission of electricity from one **Power Station** to a substation or to another **Power Station** or between substations or to or from **Offshore Transmission Systems** or to or from any **External Interconnection**, and includes any **Plant** and **Apparatus** and meters owned or operated by any **Onshore Transmission Licensee** in connection with the transmission of electricity but does not include any **Remote Transmission Assets**.

On-Site Generator Site

A site which is determined by the **BSC Panel** to be a Trading Unit under the **BSC** by reason of having fulfilled the Class 1 or Class 2 requirements as such terms are used in the **BSC**.

Operating Code or OC

That portion of the Grid Code which is identified as the **Operating Code**.

Operating Margin

Contingency Reserve plus Operating Reserve.

Operating Reserve

The additional output from Large Power Stations or the reduction in **Demand**, which must be realisable in real-time operation to respond in order to contribute to containing and correcting any **System Frequency** fall to an acceptable level in the event of a loss of generation or a loss of import from an **External Interconnection** or mismatch between generation and **Demand**.

Operation

A scheduled or planned action relating to the operation of a **System** (including an **Embedded Power Station**).

Operational Data

Data required under the **Operating Codes** and/or **Balancing Codes**.

Operational Day

The period from 0500 hours on one day to 0500 on the following day.

Operation Diagrams

Diagrams which are a schematic representation of the HV Apparatus and the connections to all external circuits at a Connection Site (and in the case of OTSDUW, Transmission Interface Site), incorporating its numbering, nomenclature and labelling.

Operational Effect

Any effect on the operation of the relevant other **System** which causes the **National Electricity Transmission System** or the **System** of the other **User** or **Users**, as the case may be, to operate (or be at a materially increased risk of operating) differently to the way in which they would or may have operated in the absence of that effect.

Operational Intertripping

The automatic tripping of circuit-breakers to prevent abnormal system conditions occurring, such as over voltage, overload, **System** instability, etc. after the tripping of other circuit-breakers following power **System** fault(s) which includes **System** to **Generating Unit**, **System** to **CCGT Module**, **System** to **Power Park Module**, **System** to **DC Converter** and **System** to **Demand** intertripping schemes.

Operational Notifications

Any Energisation Operational Notification, Interim Operational Notification, Final Operational Notification or Limited Operational Notification issued from NGET to a User.

Operational Planning

Planning through various timescales the matching of generation output with forecast National Electricity Transmission System Demand together with a reserve of generation to provide a margin, taking into account outages of certain Generating Units, of parts of the National Electricity Transmission System and of parts of User Systems to which Power Stations and/or Customers are connected, carried out to achieve, so far as possible, the standards of security set out in NGET's Transmission Licence, each Relevant Transmission Licensee's Transmission Licence or Electricity Distribution Licence, as the case may be.

Operational Planning Margin

An operational planning margin set by **NGET**.

Operational Planning Phase

The period from 8 weeks to the end of the 5th year ahead of real time operation.

Operational Procedures

Management instructions and procedures, both in support of the **Safety Rules** and for the local and remote operation of **Plant** and **Apparatus**, issued in connection with the actual operation of **Plant** and/or **Apparatus** at or from a **Connection Site**.

Operational Switching

Operation of **Plant** and/or **Apparatus** to the instruction of the relevant **Control Engineer**. For the avoidance of doubt, the operation of **Transmission Plant** and/or **Apparatus** forming part of the **National Electricity Transmission System** in England and Wales, will be to the instruction of **NGET** and in Scotland and **Offshore** will be to the instruction of the **Relevant Transmission Licensee**.

Other Relevant Data

The data listed in BC1.4.2(f) under the heading **Other Relevant Data**.

Offshore Transmission System Development User Works or OTSDUW

In relation to a particular **User** where the **OTSDUW Arrangements** apply, means those activities and/or works for the design, planning, consenting and/or construction and installation of the **Offshore Transmission System** to be undertaken by the **User** as identified in Part 2 of Appendix I of the relevant **Construction Agreement**.

OTSDUW Arrangements

The arrangements whereby certain aspects of the design, consenting, construction, installation and/or commissioning of transmission assets are capable of being undertaken by a **User** prior to the transfer of those assets to a **Relevant Transmission Licensee** under an **Offshore Tender Process**.

OTSDUW Data and Information

The data and information to be provided by **Users** undertaking **OTSDUW**, to **NGET** in accordance with Appendix F of the **Planning Code**.

OTSDUW DC Converter

A **Transmission DC Converter** designed and/or constructed and/or installed by a **User** under the **OTSDUW Arrangements** and/or operated by the **User** until the **OTSUA Transfer Time**.

OTSDUW Development and Data Timetable

The timetable for both the delivery of **OTSDUW Data and Information** and **OTSDUW Network Data and Information** as referred to in Appendix F of the **Planning Code** and the development of the scope of the **OTSDUW**.

OTSDUW Network Data and Information

The data and information to be provided by **NGET** to **Users** undertaking **OTSDUW** in accordance with Appendix F of the **Planning Code**.

OTSDUW Plant and Apparatus

Plant and **Apparatus**, including any **OTSDUW DC Converter**, designed by the **User** under the **OTSDUW Arrangements**.

Offshore Transmission System User Assets or OTSUA

OTSDUW Plant and Apparatus constructed and/or installed by a User under the OTSDUW Arrangements which form an Offshore Transmission System that once transferred to a Relevant Transmission Licensee under an Offshore Tender Process will become part of the National Electricity Transmission System.

OTSUA Transfer Time

The time and date at which the **OTSUA** are transferred to a **Relevant Transmission Licensee**.

Out of Synchronism

The condition where a **System** or **Generating Unit** cannot meet the requirements to enable it to be **Synchronised**.

Output Usable or OU

The (daily or weekly) forecast value (in MW), at the time of the (daily or weekly) peak demand, of the maximum level at which the **Genset** can export to the **Grid Entry Point**, or in the case of **Embedded Power Stations**, to the **User System Entry Point**. In addition, for a **Genset** powered by an **Intermittent Power Source** the forecast value is based upon the **Intermittent Power Source** being at a level which would enable the **Genset** to generate at **Registered Capacity**.

For the purpose of OC2 only, the term **Output Usable** shall include the terms **Interconnector Export Capacity** and **Interconnector Import Capacity** where the term **Output Usable** is being applied to an **External Interconnection**.

Over-excitation Limiter

Shall have the meaning ascribed to that term in **IEC** 34-16-1:1991 [equivalent to **British Standard BS**4999 Section 116.1 : 1992].

Part 1 System Ancillary Services

Ancillary Services which are required for System reasons and which must be provided by Users in accordance with the Connection Conditions. An exhaustive list of Part 1 System Ancillary Services is included in that part of CC.8.1 headed Part 1.

Part 2 System Ancillary Services

Ancillary Services which are required for System reasons and which must be provided by a User if the User has agreed to provide them under a Bilateral Agreement. A non-exhaustive list of Part 2 System Ancillary Services is included in that part of CC.8.1 headed Part 2.

Part Load

The condition of a **Genset**, or **Cascade Hydro Scheme** which is **Loaded** but is not running at its Maximum Export Limit.

Permit for Work for proximity work

In respect of **E&W Transmission Systems**, a document issued by the **Relevant E&W Transmission Licensee** or an **E&W User** in accordance with its respective **Safety Rules** to enable work to be carried out in accordance with OC8A.8 and which provides for **Safety Precautions** to be applied and maintained. An example format of a **Relevant E&W Transmission Licensee**'s permit for work is attached as Appendix E to **OC8A**.

In respect of Scottish Transmission Systems, a document issued by a Relevant Scottish Transmission Licensee or a Scottish User in accordance with its respective Safety Rules to enable work to be carried out in accordance with OC8B.8 and which provides for Safety Precautions to be applied and maintained. Example formats of Relevant Scottish Transmission Licensees' permits for work are attached as Appendix E to OC8B.

Partial Shutdown

The same as a **Total Shutdown** except that all generation has ceased in a separate part of the **Total System** and there is no electricity supply from **External Interconnections** or other parts of the **Total System** to that part of the **Total System** and, therefore, that part of the **Total System** is shutdown, with the result that it is not possible for that part of the **Total System** to begin to function again without **NGET's** directions relating to a **Black Start**.

Phase (Voltage) Unbalance The ratio (in percent) between the rms values of the negative sequence component and the positive sequence component of the voltage.

Physical Notification

Data that describes the **BM Participant**'s best estimate of the expected input or output of **Active Power** of a **BM Unit** and/or (where relevant) **Generating Unit**, the accuracy of the **Physical Notification** being commensurate with **Good Industry Practice**.

Planning Code or PC

That portion of the Grid Code which is identified as the **Planning Code**.

Planned Maintenance Outage

An outage of **NGET** electronic data communication facilities as provided for in CC.6.5.8 and **NGET's** associated computer facilities of which normally at least 5 days notice is given, but in any event of which at least twelve hours notice has been given by **NGET** to the **User** and which is anticipated to last no longer than 2 hours. The length of such an outage may in exceptional circumstances be extended where at least 24 hours notice has been given by **NGET** to the **User**. It is anticipated that normally any planned outage would only last around one hour.

Planned Outage

An outage of a Large Power Station or of part of the National Electricity Transmission System, or of part of a User System, coordinated by NGET under OC2.

Plant

Fixed and movable items used in the generation and/or supply and/or transmission of electricity, other than **Apparatus**.

Point of Common Coupling

That point on the National Electricity Transmission System electrically nearest to the User installation at which either Demands or Loads are.

or may be, connected.

Point of Connection

An electrical point of connection between the National Electricity Transmission System and a User's System.

Point of Isolation

The point on Apparatus (as defined in OC8A.1.6.2 and OC8B.1.7.2) at which **Isolation** is achieved.

Post-Control Phase

The period following real time operation.

Power Available

A signal prepared in accordance with good industry practice. representing the instantaneous sum of the potential Active Power available from each individual Power Park Unit within the Power Park Module calculated using any applicable combination of meteorological (including wind speed), electrical or mechanical data measured at each Power Park Unit at a specified time. Power Available shall be a value between 0MW and Registered Capacity which is the sum of the potential Active Power available of each Power Park Unit within the Power Park Module. A turbine that is not generating will be considered as not available. For the avoidance of doubt, the Power Available signal would be the Active Power output that a Power Park Module could reasonably be expected to export at the Grid Entry Point or User System Entry Point taking all the above criteria into account including Power Park Unit constraints such as optimisation modes but would exclude a reduction in the Active Power export of the Power Park Module instructed by NGET (for example) for the purposes selecting a Power Park Module to operate in Frequency Sensitive Mode or when an Emergency Instruction has been issued.

Power Factor

The ratio of **Active Power** to **Apparent Power**.

Power Island

Gensets at an isolated Power Station, together with complementary local Demand. In Scotland a Power Island may include more than one Power Station.

Power Park Module

Any Onshore Power Park Module or Offshore Power Park Module.

Power Park Module Availability Matrix

The matrix described in Appendix 1 to BC1 under the heading Power Park Module Availability Matrix.

Power Park Module Planning Matrix

A matrix in the form set out in Appendix 4 of OC2 showing the combination of Power Park Units within a Power Park Module which would be expected to be running under normal conditions.

Power Park Unit

A Generating Unit within a Power Park Module.

Power Station

An installation comprising one or more **Generating Units** or **Power Park** Modules (even where sited separately) owned and/or controlled by the same Generator, which may reasonably be considered as being managed as one Power Station.

Power System Stabiliser or **PSS**

Equipment controlling the **Exciter** output via the voltage regulator in such a way that power oscillations of the synchronous machines are dampened. Input variables may be speed, frequency or power (or a combination of these).

Preface

The preface to the Grid Code (which does not form part of the Grid Code and therefore is not binding).

Preliminary Notice

A notice in writing, sent by **NGET** both to all **Users** identified by it under OC12.4.2.1 and to the **Test Proposer**, notifying them of a proposed **System Test**.

Preliminary Project Planning Data

Data relating to a proposed **User Development** at the time the **User** applies for a **CUSC Contract** but before an offer is made and accepted.

Primary Response

The automatic increase in **Active Power** output of a **Genset** or, as the case may be, the decrease in **Active Power Demand** in response to a **System Frequency** fall. This increase in **Active Power** output or, as the case may be, the decrease in **Active Power Demand** must be in accordance with the provisions of the relevant **Ancillary Services Agreement** which will provide that it will be released increasingly with time over the period 0 to 10 seconds from the time of the start of the **Frequency** fall on the basis set out in the **Ancillary Services Agreement** and fully available by the latter, and sustainable for at least a further 20 seconds. The interpretation of the **Primary Response** to a – 0.5 Hz frequency change is shown diagrammatically in Figure CC.A.3.2.

Programming Phase

The period between **Operational Planning Phase** and the **Control Phase**. It starts at the 8 weeks ahead stage and finishes at 17:00 on the day ahead of real time.

Proposal Notice

A notice submitted to **NGET** by a **User** which would like to undertake a **System Test**.

Proposal Report

A report submitted by the **Test Panel** which contains:

- (a) proposals for carrying out a **System Test** (including the manner in which the **System Test** is to be monitored);
- (b) an allocation of costs (including un-anticipated costs) between the affected parties (the general principle being that the **Test Proposer** will bear the costs); and
- (c) such other matters as the **Test Panel** considers appropriate.

The report may include requirements for indemnities to be given in respect of claims and losses arising from a **System Test**.

Protection

The provisions for detecting abnormal conditions on a **System** and initiating fault clearance or actuating signals or indications.

Protection Apparatus

A group of one or more **Protection** relays and/or logic elements designated to perform a specified **Protection** function.

Pumped Storage Generator

A Generator which owns and/or operates any Pumped Storage Plant.

Pumped Storage Plant

The Dinorwig, Ffestiniog, Cruachan and Foyers **Power Stations**.

Pumped Storage Unit

A Generating Unit within a Pumped Storage Plant.

Quiescent Physical Notification or QPN Data that describes the MW levels to be deducted from the **Physical Notification** of a **BM Unit** to determine a resultant operating level to which the **Dynamic Parameters** associated with that **BM Unit** apply, and the associated times for such MW levels. The MW level of the **QPN** must always be set to zero.

Range CCGT Module

A **CCGT Module** where there is a physical connection by way of a steam or hot gas main between that **CCGT Module** and another **CCGT Module** or other **CCGT Modules**, which connection contributes (if open) to efficient modular operation, and which physical connection can be varied by the operator.

Rated Field Voltage

Shall have the meaning ascribed to that term in **IEC** 34-16-1:1991 [equivalent to **British Standard BS**4999 Section 116.1 : 1992].

Rated MW

The "rating-plate" MW output of a **Generating Unit**, **Power Park Module** or **DC Converter**, being:

- (a) that output up to which the **Generating Unit** was designed to operate (Calculated as specified in **British Standard BS** EN 60034 1: 1995); or
- (b) the nominal rating for the MW output of a Power Park Module being the maximum continuous electric output power which the Power Park Module was designed to achieve under normal operating conditions; or
- (c) the nominal rating for the MW import capacity and export capacity (if at a **DC Converter Station**) of a **DC Converter**.

Reactive Despatch Instruction

Has the meaning set out in the CUSC.

Reactive Despatch Network Restriction

A restriction placed upon an Embedded Generating Unit, Embedded Power Park Module or DC Converter at an Embedded DC Converter Station by the Network Operator that prevents the Generator or DC Converter Station owner in question (as applicable) from complying with any Reactive Despatch Instruction with respect to that Generating Unit, Power Park Module or DC Converter at a DC Converter Station, whether to provide Mvars over the range referred to in CC 6.3.2 or otherwise.

Reactive Energy

The integral with respect to time of the **Reactive Power**.

Reactive Power

The product of voltage and current and the sine of the phase angle between them measured in units of voltamperes reactive and standard multiples thereof, ie:

1000 VAr = 1 kVAr 1000 kVAr = 1 Mvar

Record of Inter-System Safety Precautions or RISSP

A written record of inter-system **Safety Precautions** to be compiled in accordance with the provisions of **OC8**.

Registered Capacity

- (a) In the case of a **Generating Unit** other than that forming part of a **CCGT Module** or **Power Park Module**, the normal full load capacity of a **Generating Unit** as declared by the **Generator**, less the MW consumed by the **Generating Unit** through the **Generating Unit's Unit Transformer** when producing the same (the resultant figure being expressed in whole MW, or in MW to one decimal place).
- (b) In the case of a CCGT Module or Power Park Module, the normal full load capacity of the CCGT Module or Power Park Module (as the case may be) as declared by the Generator, being the Active Power declared by the Generator as being deliverable by the CCGT Module or Power Park Module at the Grid Entry Point (or in the case of an Embedded CCGT Module or Power Park Module, at the User System Entry Point), expressed in whole MW, or in MW to one decimal place.
- (c) In the case of a **Power Station**, the maximum amount of **Active Power** deliverable by the **Power Station** at the **Grid Entry Point** (or in the case of an **Embedded Power Station** at the **User System Entry Point**), as declared by the **Generator**, expressed in whole **MW**, or in MW to one decimal place. The maximum **Active Power** deliverable is the maximum amount deliverable simultaneously by the **Generating Units** and/or **CCGT Modules** and/or **Power Park Modules** less the **MW** consumed by the **Generating Units** and/or **CCGT Modules** in producing that **Active Power**.
- (d) In the case of a DC Converter at a DC Converter Station, the normal full load amount of Active Power transferable from a DC Converter at the Onshore Grid Entry Point (or in the case of an Embedded DC Converter Station at the User System Entry Point), as declared by the DC Converter Station owner, expressed in whole MW, or in MW to one decimal place.
- (e) In the case of a DC Converter Station, the maximum amount of Active Power transferable from a DC Converter Station at the Onshore Grid Entry Point (or in the case of an Embedded DC Converter Station at the User System Entry Point), as declared by the DC Converter Station owner, expressed in whole MW, or in MW to one decimal place.

Registered Data

Those items of **Standard Planning Data** and **Detailed Planning Data** which upon connection become fixed (subject to any subsequent changes).

Registered Import Capability

In the case of a DC Converter Station containing DC Converters connected to an External System, the maximum amount of Active Power transferable into a DC Converter Station at the Onshore Grid Entry Point (or in the case of an Embedded DC Converter Station at the User System Entry Point), as declared by the DC Converter Station owner, expressed in whole MW.

In the case of a **DC Converter** connected to an **External System** and in a **DC Converter Station**, the normal full load amount of **Active Power** transferable into a **DC Converter** at the **Onshore Grid Entry Point** (or in the case of an **Embedded DC Converter Station** at the **User System Entry Point**), as declared by the **DC Converter** owner, expressed in whole MW.

Regulations

The Utilities Contracts Regulations 1996, as amended from time to time.

Reheater Time Constant

Determined at **Registered Capacity**, the reheater time constant will be construed in accordance with the principles of the IEEE Committee Report "Dynamic Models for Steam and Hydro Turbines in Power System Studies" published in 1973 which apply to such phrase.

Relevant E&W Transmission Licensee

As the context requires **NGET** and/or an **E&W Offshore Transmission Licensee**.

Relevant Scottish Transmission Licensee

As the context requires SPT and/or SHETL and/or a Scottish Offshore Transmission Licensee.

Relevant Transmission Licensee

Means SP Transmission Ltd (SPT) in its Transmission Area or Scottish Hydro-Electric Transmission Ltd (SHETL) in its Transmission Area or any Offshore Transmission Licensee in its Transmission Area.

Relevant Unit

As defined in the STC, Schedule 3.

Remote Transmission Assets

Any **Plant** and **Apparatus** or meters owned by **NGET** which:

- (a) are Embedded in a User System and which are not directly connected by Plant and/or Apparatus owned by NGET to a substation owned by NGET; and
- (b) are by agreement between **NGET** and such **User** operated under the direction and control of such **User**.

Requesting Safety Coordinator

The Safety Co-ordinator requesting Safety Precautions.

Responsible Engineer/ Operator

A person nominated by a **User** to be responsible for **System** control.

Responsible Manager

A manager who has been duly authorised by a **User** or **NGET** to sign **Site Responsibility Schedules** on behalf of that **User** or **NGET**, as the case may be.

For Connection Sites in Scotland and Offshore a manager who has been duly authorised by the Relevant Transmission Licensee to sign Site Responsibility Schedules on behalf of that Relevant Transmission Licensee.

Re-synchronisation

The bringing of parts of the **System** which have become **Out of Synchronism** with any other **System** back into **Synchronism**, and like terms shall be construed accordingly.

Safety Co-ordinator

A person or persons nominated by a Relevant E&W Transmission Licensee and each E&W User in relation to Connection Points (or in the case of OTSUA operational prior to the OTSUA Transfer Time, Transmission Interface Points) on an E&W Transmission System and/or by the Relevant Scottish Transmission Licensee and each Scottish User in relation to Connection Points (or in the case of OTSUA operational prior to the OTSUA Transfer Time, Transmission Interface Points) on a Scottish Transmission System to be responsible for the co-ordination of Safety Precautions at each Connection Point (or in the case of OTSUA operational prior to the OTSUA Transfer Time, Transmission Interface Points) when work (which includes testing) is to be carried out on a System which necessitates the provision of Safety Precautions on HV Apparatus (as defined in OC8A.1.6.2 and OC8B.1.7.2), pursuant to OC8.

Safety From The System That condition which safeguards persons when work is to be carried out

on or near a **System** from the dangers which are inherent in the **System**.

Safety Key A key unique at the Location capable of operating a lock which will

cause an Isolating Device and/or Earthing Device to be Locked.

Safety Log A chronological record of messages relating to safety co-ordination sent

and received by each Safety Co-ordinator under OC8.

Safety Precautions Isolation and/or Earthing.

Safety Rules The rules of NGET (in England and Wales) and the Relevant

Transmission Licensee (in Scotland or **Offshore**) or a **User** that seek to ensure that persons working on **Plant** and/or **Apparatus** to which the rules apply are safeguarded from hazards arising from the **System**.

Scottish Offshore Transmission System An Offshore Transmission System with an Interface Point in Scotland.

Scottish Offshore Transmission Licensee A person who owns or operates a **Scottish Offshore Transmission System** pursuant to a **Transmission Licence**.

Scottish Transmission

System

Collectively SPT's Transmission System and SHETL's Transmission System and any Scottish Offshore Transmission Systems.

Scottish User A User in Scotland or any Offshore User who owns or operates Plant

and/or **Apparatus** connected (or which will at the **OTSUA Transfer Time** be connected) to a **Scottish Offshore Transmission System**

Secondary Response The

The automatic increase in **Active Power** output of a **Genset** or, as the case may be, the decrease in **Active Power Demand** in response to a **System Frequency** fall. This increase in **Active Power** output or, as the case may be, the decrease in **Active Power Demand** must be in accordance with the provisions of the relevant **Ancillary Services Agreement** which will provide that it will be fully available by 30 seconds from the time of the start of the **Frequency** fall and be sustainable for at least a further 30 minutes. The interpretation of the **Secondary Response** to a -0.5 Hz frequency change is shown diagrammatically in

Figure CC.A.3.2.

Secretary of State Has the same meaning as in the **Act**.

Secured Event Has the meaning set out in the Security and Quality of Supply

Standard.

Security and Quality of Supply Standard

Setpoint Voltage

The version of the document entitled 'Security and Quality of Supply Standard' established pursuant to the **Transmission Licence** in force at

the time of entering into the relevant Bilateral Agreement.

The value of voltage at the **Grid Entry Point**, or **User System Entry Point** if **Embedded**, on the automatic control system steady state operating characteristic, as a percentage of the nominal voltage, at which the transfer of **Reactive Power** between a **Power Park Module**, **DC Converter** or **Non-Synchronous Generating Unit** and the **Transmission System**, or **Network Operator's** system if **Embedded**, is

zero.

Settlement Period A period of 30 minutes ending on the hour and half-hour in each hour

during a day.

Seven Year Statement

A statement, prepared by **NGET** in accordance with the terms of **NGET**'s **Transmission Licence**, showing for each of the seven succeeding **Financial Years**, the opportunities available for connecting to and using the **National Electricity Transmission System** and indicating those parts of the **National Electricity Transmission System** most suited to new connections and transport of further quantities of electricity.

SF₆ Gas Zone

A segregated zone surrounding electrical conductors within a casing containing SF₆ gas.

SHETL

Scottish Hydro-Electric Transmission Limited

Shutdown

The condition of a **Generating Unit** where the generator rotor is at rest or on barring.

Significant Code Review

Means a review of one or more matters which the **Authority** considers is likely to:

- (a) relate to the **Grid Code** (either on its own or in conjunction with any other industry codes); and
- (b) be of particular significance in relation to its principal objective and/or general duties (under section 3A of the Act), statutory functions and/or relevant obligations arising under EU law, and concerning which the Authority has issued a notice to NGET (among others, as appropriate) stating:
 - (i) that the review will constitute a **Significant Code Review**;
 - (ii) the start date of the Significant Code Review; and
 - (iii) the matters that will fall within the scope of the review;

Significant Code Review Phase

Means the period commencing on the start date of a **Significant Code Review** as stated in the notice issued by the **Authority**, and ending either:

- (a) on the date on which the **Authority** issues a statement that no directions will be issued in relation to the **Grid Code**; or
- (b) if no statement is made under (a), and the Authority has directed NGET to raise GC Modification Proposal associated with the Significant Code Review, on the date on which NGET has raise such a GC Modification Proposal; or
- (c) immediately, if neither a statement nor directions are issued by the **Authority** within (and including) twenty eight (28) days from the **Authority's** publication of its **Significant Code Review** conclusions.

Significant Incident

An Event which either:

- (a) was notified by a User to NGET under OC7, and which NGET considers has had or may have had a significant effect on the National Electricity Transmission System, and NGET requires the User to report that Event in writing in accordance with OC10 and notifies the User accordingly; or
- (b) was notified by NGET to a User under OC7, and which that User considers has had or may have had a significant effect on that User's System, and that User requires NGET to report that Event in writing in accordance with the provisions of OC10 and notifies NGET accordingly.

Simultaneous Tap Change

A tap change implemented on the generator step-up transformers of **Synchronised Gensets**, effected by **Generators** in response to an instruction from **NGET** issued simultaneously to the relevant **Power Stations**. The instruction, preceded by advance notice, must be effected as soon as possible, and in any event within one minute of receipt from **NGET** of the instruction.

Single Line Diagram

A schematic representation of a three-phase network in which the three phases are represented by single lines. The diagram shall include (but not necessarily be limited to) busbars, overhead lines, underground cables, power transformers and reactive compensation equipment. It shall also show where **Large Power Stations** are connected, and the points at which **Demand** is supplied.

Single Point of Connection

A single **Point of Connection**, with no interconnection through the **User's System** to another **Point of Connection**.

Site Common Drawings

Drawings prepared for each **Connection Site** (and in the case of **OTSDUW**, **Transmission Interface Site**) which incorporate **Connection Site** (and in the case of **OTSDUW**, **Transmission Interface Site**) layout drawings, electrical layout drawings, common protection/ control drawings and common services drawings.

Site Responsibility Schedule

A schedule containing the information and prepared on the basis of the provisions set out in Appendix 1 of the **CC**.

Slope

The ratio of the steady state change in voltage, as a percentage of the nominal voltage, to the steady state change in **Reactive Power** output, in per unit of **Reactive Power** capability. For the avoidance of doubt, the value indicates the percentage voltage reduction that will result in a 1 per unit increase in **Reactive Power** generation.

Small Power Station A Power Station which is

- (a) directly connected to:
 - (i) NGET's Transmission System where such Power Station has a Registered Capacity of less than 50MW; or
 - (ii) SPT's Transmission System where such Power Station has a Registered Capacity of less than 30MW; or
 - (iii) SHETL's Transmission System where such a Power Station has a Registered Capacity of less than 10 MW; or
 - (iv) an Offshore Transmission System where such Power Station has a Registered Capacity of less than 10MW;

or,

- (b) **Embedded** within a **User System** (or part thereof) where such **User System** (or part thereof) is connected under normal operating conditions to:
 - (i) NGET's Transmission System and such Power Station has a Registered Capacity of less than 50MW; or
 - (ii) SPT's Transmission System and such Power Station has a Registered Capacity of less than 30MW; or
 - (iii) SHETL's Transmission System and such Power Station has a Registered Capacity of less than 10MW;

or,

- (c) Embedded within a User System (or part thereof) where the User System (or part thereof) is not connected to the National Electricity Transmission System, although such Power Station is in:
 - (i) NGET's Transmission Area and such Power Station has a Registered Capacity of less than 50MW; or
 - (ii) SPT's Transmission Area and such Power Station has a Registered Capacity of less than 30MW; or
 - (iii) SHETL's Transmission Area and such Power Station has a Registered Capacity of less than 10MW;

Speeder Motor Setting Range

The minimum and maximum no-load speeds (expressed as a percentage of rated speed) to which the turbine is capable of being controlled, by the speeder motor or equivalent, when the **Generating Unit** terminals are on open circuit.

SPT

SP Transmission Limited

Standard Planning Data

The general data required by **NGET** under the **PC**. It is generally also the data which **NGET** requires from a new **User** in an application for a **CUSC Contract**, as reflected in the **PC**.

Start Time

The time named as such in an instruction issued by **NGET** pursuant to the **BC**.

Start-Up

The action of bringing a **Generating Unit** from **Shutdown** to **Synchronous Speed**.

Statement of Readiness

Has the meaning set out in the **Bilateral Agreement** and/or **Construction Agreement**.

Station Board

A switchboard through which electrical power is supplied to the **Auxiliaries** of a **Power Station**, and which is supplied by a **Station Transformer**. It may be interconnected with a **Unit Board**.

Station Transformer

A transformer supplying electrical power to the Auxiliaries of

- (a) a Power Station, which is not directly connected to the Generating Unit terminals (typical voltage ratios being 132/11kV) or 275/11kV),or
- (b) a DC Converter Station.

STC Committee

The committee established under the STC.

Steam Unit

A **Generating Unit** whose prime mover converts the heat-energy in steam to mechanical energy.

Subtransmission System

The part of a **User's System** which operates at a single transformation below the voltage of the relevant **Transmission System**.

Supergrid Voltage

Any voltage greater than 200kV.

Supplier

- (a) A person supplying electricity under an **Electricity Supply Licence**; or
- (b) A person supplying electricity under exemption under the **Act**;

in each case acting in its capacity as a supplier of electricity to **Customers** in **Great Britain**.

Surplus

A MW figure relating to a **System Zone** equal to the total **Output Usable** in the **System Zone**:

- (a) minus the forecast of **Active Power Demand** in the **System Zone**, and
- (b) minus the export limit in the case of an export limited **System Zone**.

or

plus the import limit in the case of an import limited **System Zone**, and

(c) (only in the case of a **System Zone** comprising the **National Electricity Transmission System**) minus the **Operational Planning Margin**.

For the avoidance of doubt, a **Surplus** of more than zero in an export limited **System Zone** indicates an excess of generation in that **System Zone**; and a **Surplus** of less than zero in an import limited **System Zone** indicates insufficient generation in that **System Zone**.

Synchronised

- (a) The condition where an incoming Generating Unit or Power Park Module or DC Converter or System is connected to the busbars of another System so that the Frequencies and phase relationships of that Generating Unit, Power Park Module, DC Converter or System, as the case may be, and the System to which it is connected are identical, like terms shall be construed accordingly e.g. "Synchronism".
- (b) The condition where an importing **BM Unit** is consuming electricity.

Synchronising The amount of MW (in whole MW) produced at the moment of Generation synchronising. **Synchronising Group** A group of two or more **Gensets**) which require a minimum time interval between their Synchronising or De-Synchronising times. **Synchronous** The operation of rotating synchronous Apparatus for the specific Compensation purpose of either the generation or absorption of Reactive Power. **Synchronous** Anv Onshore **Synchronous** Generating Unit Offshore or **Generating Unit** Synchronous Generating Unit. **Synchronous Speed** That speed required by a Generating Unit to enable it to be Synchronised to a System. **System** Any User System and/or the National Electricity Transmission System, as the case may be. **System Ancillary** Collectively Part 1 System Ancillary Services and Part 2 System Services **Ancillary Services. System Constraint** A limitation on the use of a System due to lack of transmission capacity or other System conditions. System Constrained That portion of Registered Capacity or Registered Import Capacity not Capacity available due to a System Constraint. **System Constraint** A part of the National Electricity Transmission System which, because Group of System Constraints, is subject to limits of Active Power which can flow into or out of (as the case may be) that part. **System Fault** A measure of the ability of **Protection** to initiate successful tripping of **Dependability Index** or circuit-breakers which are associated with a faulty item of Apparatus. It Dp is calculated using the formula: **Dp** $= 1 - F_1/A$

Where:

A = Total number of **System** faults

F₁ = Number of **System** faults where there was a failure to trip a circuit-breaker.

System Margin The margin in any period between

- (a) the sum of Maximum Export Limits and
- (b) forecast **Demand** and the **Operating Margin**,

for that period.

System Negative Reserve Active Power Margin or System NRAPM That margin of **Active Power** sufficient to allow the largest loss of **Load** at any time.

System Operator -Transmission Owner Code or STC Has the meaning set out in NGET's Transmission Licence

System Telephony

An alternative method by which a **User's Responsible Engineer/Operator** and **NGET Control Engineer(s)** speak to one and another for the purposes of control of the **Total System** in both normal operating conditions and where practicable, emergency operating conditions.

System Tests

Tests which involve simulating conditions, or the controlled application of irregular, unusual or extreme conditions, on the **Total System**, or any part of the **Total System**, but which do not include commissioning or recommissioning tests or any other tests of a minor nature.

System to Demand Intertrip Scheme

An intertrip scheme which disconnects **Demand** when a **System** fault has arisen to prevent abnormal conditions occurring on the **System**.

System to Generator Operational Intertripping

A Balancing Service involving the initiation by a System to Generator Operational Intertripping Scheme of automatic tripping of the User's circuit breaker(s), or Relevant Transmission Licensee's circuit breaker(s) where agreed by NGET, the User and the Relevant Transmission Licensee, resulting in the tripping of BM Unit(s) or (where relevant) Generating Unit(s) comprised in a BM Unit to prevent abnormal system conditions occurring, such as over voltage, overload, System instability, etc, after the tripping of other circuit-breakers following power System fault(s).

System to Generator Operational Intertripping Scheme

A System to Generating Unit or System to CCGT Module or System to Power Park Module Intertripping Scheme forming a condition of connection and specified in Appendix F3 of the relevant Bilateral Agreement, being either a Category 1 Intertripping Scheme, Category 2 Intertripping Scheme, Category 3 Intertripping Scheme or Category 4 Intertripping Scheme.

System Zone

A region of the **National Electricity Transmission System** within a described boundary or the whole of the **National Electricity Transmission System**, as further provided for in OC2.2.4, and the term "**Zonal**" will be construed accordingly.

Target Frequency

That **Frequency** determined by **NGET**, in its reasonable opinion, as the desired operating **Frequency** of the **Total System**. This will normally be 50.00Hz plus or minus 0.05Hz, except in exceptional circumstances as determined by **NGET**, in its reasonable opinion when this may be 49.90 or 50.10Hz. An example of exceptional circumstances may be difficulties caused in operating the **System** during disputes affecting fuel supplies.

Technical Specification

In relation to Plant and/or Apparatus,

- (a) the relevant European Specification; or
- (b) if there is no relevant **European Specification**, other relevant standards which are in common use in the European Community.

Test Co-ordinator

A person who co-ordinates System Tests.

Test Panel

A panel, whose composition is detailed in **OC12**, which is responsible, inter alia, for considering a proposed **System Test**, and submitting a **Proposal Report** and a **Test Programme**.

Test Programme A programme submitted by the **Test Panel** to **NGET**, the **Test Proposer**,

> and each User identified by NGET under OC12.4.2.1, which states the switching sequence and proposed timings of the switching sequence, a list of those staff involved in carrying out the System Test (including those responsible for the site safety) and such other matters as the Test

Panel deems appropriate.

Test Proposer The person who submits a **Proposal Notice**.

Total Shutdown The situation existing when all generation has ceased and there is no

electricity supply from External Interconnections and, therefore, the Total System has shutdown with the result that it is not possible for the Total System to begin to function again without NGET's directions

relating to a Black Start.

Total System The National Electricity Transmission System and all User Systems

in the National Electricity Transmission System Operator Area.

Trading Point A commercial and, where so specified in the Grid Code, an operational

interface between a User and NGET, which a User has notified to NGET.

Transfer Date Such date as may be appointed by the Secretary of State by order

under section 65 of the Act.

Transmission Means, when used in conjunction with another term relating to equipment

or a site, whether defined or not, that the associated term is to be read as being part of or directly associated with the National Electricity

Transmission System, and not of or with the User System.

Transmission Area Has the meaning set out in the Transmission Licence of a

Transmission Licensee.

Transmission DC Any Transmission Licensee Apparatus (or OTSUA that will become Converter Transmission Licensee Apparatus at the OTSUA Transfer Time) used

to convert alternating current electricity to direct current electricity, or vice versa. A Transmission Network DC Converter is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and

auxiliaries, if any, used for conversion.

Transmission Entry

Has the meaning set out in the CUSC. Capacity

Transmission Interface

Circuit

In NGET's Transmission Area, a Transmission circuit which connects a System operating at a voltage above 132kV to a System operating at

a voltage of 132kV or below

In SHETL's Transmission Area and SPT's Transmission Area, a Transmission circuit which connects a System operating at a voltage of

132kV or above to a **System** operating at a voltage below 132kV.

Transmission Interface

Point

means the electrical point of connection between the Offshore Transmission System and an Onshore Transmission System.

Transmission Interface

Site

the site at which the **Transmission Interface Point** is located.

Transmission Licence A licence granted under Section 6(1)(b) of the **Act**. Transmission Licensee Any Onshore Transmission Licensee or Offshore Transmission Licensee

Transmission SiteIn England and Wales, means a site owned (or occupied pursuant to a lease, licence or other agreement) by **NGET** in which there is a

Connection Point. For the avoidance of doubt, a site owned by a User

but occupied by NGET as aforesaid, is a Transmission Site.

In Scotland and **Offshore**, means a site owned (or occupied pursuant to a lease, licence or other agreement) by a **Relevant Transmission Licensee** in which there is a **Connection Point**. For the avoidance of doubt, a site owned by a **User** but occupied by the **Relevant**

Transmission Licensee as aforesaid, is a Transmission Site.

Transmission System Has the same meaning as the term "licensee's transmission system" in

the Transmission Licence of a Transmission Licensee.

Turbine Time Constant Determined at Registered Capacity, the turbine time constant will be

construed in accordance with the principles of the IEEE Committee Report "Dynamic Models for Steam and Hydro Turbines in Power System

Studies" published in 1973 which apply to such phrase.

Unbalanced Load The situation where the **Load** on each phase is not equal.

Under-excitation Limiter Shall have the meaning ascribed to that term in **IEC** 34-16-1:1991

[equivalent to **British Standard BS**4999 Section 116.1 : 1992].

Under Frequency Relay An electrical measuring relay intended to operate when its characteristic

quantity (Frequency) reaches the relay settings by decrease in

Frequency.

Unit Board A switchboard through which electrical power is supplied to the

Auxiliaries of a Generating Unit and which is supplied by a Unit

Transformer. It may be interconnected with a **Station Board**.

Unit Transformer A transformer directly connected to a Generating Unit's terminals, and

which supplies power to the Auxiliaries of a Generating Unit. Typical

voltage ratios are 23/11kV and 15/6.6Kv.

Unit Load Controller Response Time

Constant

The time constant, expressed in units of seconds, of the power output increase which occurs in the **Secondary Response** timescale in

response to a step change in **System Frequency**.

Unresolved Issues Any relevant Grid Code provisions or Bilateral Agreement requirements

identified by NGET with which the relevant User has not demonstrated compliance to NGET's reasonable satisfaction at the date of issue of the Interim Operational Notification and/or Limited Operational Notification and which are detailed in such Interim Operational

Notification and/or Limited Operational Notification.

User A term utilised in various sections of the Grid Code to refer to the persons

using the **National Electricity Transmission System**, as more particularly identified in each section of the Grid Code concerned. In the **Preface** and the **General Conditions** the term means any person to

whom the Grid Code applies.

User Data File Structure

The file structure given at **DRC 18** which will be specified by **NGET** which a **Generator** or **DC Converter Station** owner must use for the purposes of **CP** to submit **DRC** data Schedules and information demonstrating compliance with the Grid Code and, where applicable, with the **CUSC Contract(s)**, unless otherwise agreed by **NGET**.

User Development

In the PC means either User's Plant and/or Apparatus to be connected to the National Electricity Transmission System, or a Modification relating to a User's Plant and/or Apparatus already connected to the National Electricity Transmission System, or a proposed new connection or Modification to the connection within the User System.

User Self Certification of Compliance

A certificate, in the form attached at CP.A.2.(1) completed by a Generator or DC Converter Station owner to which the Compliance Statement is attached which confirms that such Plant and Apparatus complies with the relevant Grid Code provisions and where appropriate, with the CUSC Contract(s), as identified in the Compliance Statement and, if appropriate, identifies any Unresolved Issues and/or any exceptions to such compliance and details the derogation(s) granted in respect of such exceptions.

User Site

In England and Wales, a site owned (or occupied pursuant to a lease, licence or other agreement) by a **User** in which there is a **Connection Point**. For the avoidance of doubt, a site owned by **NGET** but occupied by a **User** as aforesaid, is a **User Site**.

In Scotland and **Offshore**, a site owned (or occupied pursuant to a lease, licence or other agreement) by a **User** in which there is a **Connection Point**. For the avoidance of doubt, a site owned by a **Relevant Transmission Licensee** but occupied by a **User** as aforesaid, is a **User Site**.

User System

Any system owned or operated by a User comprising:-

- (a) Generating Units; and/or
- (b) Systems consisting (wholly or mainly) of electric lines used for the distribution of electricity from Grid Supply Points or Generating Units or other entry points to the point of delivery to Customers, or other Users;

and Plant and/or Apparatus Apparatus (including prior to the OTSUA Transfer Time, any OTSUA) connecting:-

- (c) The system as described above; or
- (d) Non-Embedded Customers equipment;

to the **National Electricity Transmission System** or to the relevant other **User System**, as the case may be.

The User System includes any Remote Transmission Assets operated by such User or other person and any Plant and/or Apparatus and meters owned or operated by the User or other person in connection with the distribution of electricity but does not include any part of the National Electricity Transmission System.

User System Entry Point

A point at which a **Generating Unit**, a **CCGT Module** or a **CCGT Unit** or a **Power Park Module** or a **DC Converter**, as the case may be, which is **Embedded** connects to the **User System**.

Water Time Constant

Bears the meaning ascribed to the term "Water inertia time" in IEC308.

Weekly ACS Conditions

Means that particular combination of weather elements that gives rise to a level of peak **Demand** within a week, taken to commence on a Monday and end on a Sunday, which has a particular chance of being exceeded as a result of weather variation alone. This particular chance is determined such that the combined probabilities of **Demand** in all weeks of the year exceeding the annual peak **Demand** under **Annual ACS Conditions** is 50%, and in the week of maximum risk the weekly peak **Demand** under **Weekly ACS Conditions** is equal to the annual peak **Demand** under **Annual ACS Conditions**.

Zonal System Security Requirements

That generation required, within the boundary circuits defining the **System Zone**, which when added to the secured transfer capability of the boundary circuits exactly matches the **Demand** within the **System Zone**.

A number of the terms listed above are defined in other documents, such as the **Balancing and Settlement Code** and the **Transmission Licence**. Appendix 1 sets out the current definitions from the other documents of those terms so used in the Grid Code and defined in other documents for ease of reference, but does not form part of the Grid Code.

GD.2.1 In the Grid Code:

- a table of contents, a Preface, a Revision section, headings, and the Appendix to this Glossary and Definitions are inserted for convenience only and shall be ignored in construing the Grid Code;
- (ii) unless the context otherwise requires, all references to a particular paragraph, subparagraph, Appendix or Schedule shall be a reference to that paragraph, sub-paragraph Appendix or Schedule in or to that part of the Grid Code in which the reference is made;
- (iii) unless the context otherwise requires, the singular shall include the plural and vice versa, references to any gender shall include all other genders and references to persons shall include any individual, body corporate, corporation, joint venture, trust, unincorporated association, organisation, firm or partnership and any other entity, in each case whether or not having a separate legal personality;
- (iv) references to the words "include" or "including" are to be construed without limitation to the generality of the preceding words;
- (v) unless there is something in the subject matter or the context which is inconsistent therewith, any reference to an Act of Parliament or any Section of or Schedule to, or other provision of an Act of Parliament shall be construed at the particular time, as including a reference to any modification, extension or re-enactment thereof then in force and to all instruments, orders and regulations then in force and made under or deriving validity from the relevant Act of Parliament;
- (vi) where the Glossary and Definitions refers to any word or term which is more particularly defined in a part of the Grid Code, the definition in that part of the Grid Code will prevail (unless otherwise stated) over the definition in the Glossary & Definitions in the event of any inconsistency;
- (vii) a cross-reference to another document or part of the Grid Code shall not of itself impose any additional or further or co-existent obligation or confer any additional or further or co-existent right in the part of the text where such cross-reference is contained;
- (viii) nothing in the Grid Code is intended to or shall derogate from NGET's statutory or licence obligations;
- (ix) a "holding company" means, in relation to any person, a holding company of such person within the meaning of section 736, 736A and 736B of the Companies Act 1985 as substituted by section 144 of the Companies Act 1989 and, if that latter section is not in force at the **Transfer Date**, as if such latter section were in force at such date;
- (x) a "subsidiary" means, in relation to any person, a subsidiary of such person within the meaning of section 736, 736A and 736B of the Companies Act 1985 as substituted by section 144 of the Companies Act 1989 and, if that latter section is not in force at the Transfer Date, as if such latter section were in force at such date;
- (xi) references to time are to London time; and
- (xii) (a) Save where (b) below applies, where there is a reference to an item of data being expressed in a whole number of MW, fractions of a MW below 0.5 shall be rounded down to the nearest whole MW and fractions of a MW of 0.5 and above shall be rounded up to the nearest whole MW;
 - (b) In the case of the definition of **Registered Capacity**, fractions of a MW below 0.05 shall be rounded down to one decimal place and fractions of a MW of 0.05 and above shall be rounded up to one decimal place.

< END OF GLOSSARY & DEFINITIONS >

CONNECTION CONDITIONS

(CC)

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CC.1 INTRODUCTION

CC.1.1 The Connection Conditions ("CC") specify both:

- (a) the minimum technical, design and operational criteria which must be complied with by:
 - any User connected to or seeking connection with the National Electricity Transmission System, or
 - Generators (other than in respect of Small Power Stations) or DC Converter Station owners connected to or seeking connection to a User's System which is located in Great Britain or Offshore, and
- (b) the minimum technical, design and operational criteria with which NGET will comply in relation to the part of the National Electricity Transmission System at the Connection Site with Users. In the case of any OTSDUW Plant and Apparatus, the CC also specify the minimum technical, design and operational criteria which must be complied with by the User when undertaking OTSDUW.

CC.2 **OBJECTIVE**

- CC.2.1 The objective of the CC is to ensure that by specifying minimum technical, design and operational criteria the basic rules for connection to the National Electricity Transmission System and (for certain Users) to a User's System are similar for all Users of an equivalent category and will enable NGET to comply with its statutory and Transmission Licence obligations.
- CC.2.2 In the case of any OTSDUW the objective of the CC is to ensure that by specifying the minimum technical, design and operational criteria the basic rules relating to an Offshore Transmission System designed and constructed by an Offshore Transmission Licensee and designed and/or constructed by a User under the OTSDUW Arrangements are equivalent.
- CC.2.3 Provisions of the CC which apply in relation to OTSDUW and OTSUA, and/or a Transmission Interface Site, shall (in any particular case) apply up to the OTSUA Transfer Time, whereupon such provisions shall (without prejudice to any prior non-compliance) cease to apply, without prejudice to the continuing application of provisions of the CC applying in relation to the relevant Offshore Transmission System and/or Connection Site. It is the case therefore that in cases where the OTSUA become operational prior to the OTSUA Transfer Time that a Generator is required to comply with this CC both as it applies to its Plant and Apparatus at a Connection Site\Connection Point and the OTSUA at the Transmission Interface Site/Transmission Interface Point until the OTSUA Transfer Time and this CC shall be construed accordingly.
- CC.2.4 In relation to OTSDUW, provisions otherwise to be contained in a Bilateral Agreement may be contained in the Construction Agreement, and accordingly a reference in the CC to a relevant Bilateral Agreement includes the relevant Construction Agreement.

CC.3 SCOPE

- CC.3.1 The CC applies to NGET and to Users, which in the CC means:
 - (a) Generators (other than those which only have Embedded Small Power Stations), including those undertaking **OTSDUW**;
 - (b) Network Operators;
 - (c) Non-Embedded Customers:
 - (d) DC Converter Station owners; and
 - (e) BM Participants and Externally Interconnected System Operators in respect of CC.6.5 only.

- CC.3.2 The above categories of **User** will become bound by the **CC** prior to them generating, distributing, supplying or consuming, as the case may be, and references to the various categories should, therefore, be taken as referring to them in that prospective role as well as to **Users** actually connected.
- CC.3.3 Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded DC Converter Stations not subject to a Bilateral Agreement Provisions.

The following provisions apply in respect of **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations** not subject to a **Bilateral Agreement**.

- CC.3.3.1 The obligations within the CC that are expressed to be applicable to Generators in respect of Embedded Medium Power Stations not subject to a Bilateral Agreement and DC Converter Station Owners in respect of Embedded DC Converter Stations not subject to a Bilateral Agreement (where the obligations are in each case listed in CC.3.3.2) shall be read and construed as obligations that the Network Operator within whose System any such Medium Power Station or DC Converter Station is Embedded must ensure are performed and discharged by the Generator or the DC Converter Station owner. Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded DC Converter Stations not subject to a Bilateral Agreement which are located Offshore and which are connected to an Onshore User System will be required to meet the applicable requirements of the Grid Code as though they are an Onshore Generator or Onshore DC Converter Station Owner connected to an Onshore User System Entry Point.
- CC.3.3.2 The Network Operator within whose System a Medium Power Station not subject to a Bilateral Agreement is Embedded or a DC Converter Station not subject to a Bilateral Agreement is Embedded must ensure that the following obligations in the CC are performed and discharged by the Generator in respect of each such Embedded Medium Power Station or the DC Converter Station owner in the case of an Embedded DC Converter Station:

CC.5.1

CC.5.2.2

CC.5.3

CC.6.1.3

CC.6.1.5 (b)

CC.6.3.2, CC.6.3.3, CC.6.3.4, CC.6.3.6, CC.6.3.7, CC.6.3.8, CC.6.3.9, CC.6.3.10, CC.6.3.12, CC.6.3.13, CC.6.3.15, CC.6.3.16

CC.6.4.4

CC.6.5.6 (where required by CC.6.4.4)

In respect of CC.6.2.2.2, CC.6.2.2.3, CC.6.2.2.5, CC.6.1.5(a), CC.6.1.5(b) and CC.6.3.11 equivalent provisions as co-ordinated and agreed with the **Network Operator** and **Generator** or **DC Converter Station** owner may be required. Details of any such requirements will be notified to the **Network Operator** in accordance with CC.3.5.

CC.3.3.3 In the case of **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations** not subject to a **Bilateral Agreement** the requirements in:

CC.6.1.6

CC.6.3.8

CC.6.3.12

CC.6.3.16

that would otherwise have been specified in a **Bilateral Agreement** will be notified to the relevant **Network Operator** in writing in accordance with the provisions of the **CUSC** and the **Network Operator** must ensure such requirements are performed and discharged by the **Generator** or the **DC Converter Station** owner.

- CC.3.4 In the case of Offshore Embedded Power Stations connected to an Offshore User's System which directly connects to an Offshore Transmission System, any additional requirements in respect of such Offshore Embedded Power Stations may be specified in the relevant Bilateral Agreement with the Network Operator or in any Bilateral Agreement between NGET and such Offshore Embedded Power Station.
- In the case of a Generator undertaking OTSDUW connecting to an Onshore Network Operator's System, any additional requirements in respect of such OTSDUW Plant and Apparatus will be specified in the relevant Bilateral Agreement with the Generator. For the avoidance of doubt, requirements applicable to Generators undertaking OTSDUW and connecting to a Network Operator's User System, shall be consistent with those applicable requirements of Generators undertaking OTSDUW and connecting to a Transmission Interface Point.

CC.4 PROCEDURE

CC.4.1 The CUSC contains certain provisions relating to the procedure for connection to the National Electricity Transmission System or, in the case of Embedded Power Stations or Embedded DC Converter Stations, becoming operational and includes provisions relating to certain conditions to be complied with by Users prior to and during the course of NGET notifying the User that it has the right to become operational. The procedure for a User to become connected is set out in the Compliance Processes.

CC.5 CONNECTION

- CC.5.1 The provisions relating to connecting to the National Electricity Transmission System (or to a User's System in the case of a connection of an Embedded Large Power Station or Embedded Medium Power Station or Embedded DC Converter Station) are contained in:
 - (a) the CUSC and/or CUSC Contract (or in the relevant application form or offer for a CUSC Contract);
 - (b) or, in the case of an **Embedded Development**, the relevant **Distribution Code** and/or the **Embedded Development Agreement** for the connection (or in the relevant application form or offer for an **Embedded Development Agreement**).

and include provisions relating to both the submission of information and reports relating to compliance with the relevant Connection Conditions for that User, Safety Rules, commissioning programmes, Operation Diagrams and approval to connect (and their equivalents in the case of Embedded Medium Power Stations not subject to a Bilateral Agreement or Embedded DC Converter Stations not subject to a Bilateral Agreement). References in the CC to the "Bilateral Agreement" and/or "Construction Agreement" and/or "Embedded Development Agreement" shall be deemed to include references to the application form or offer therefor.

CC.5.2 <u>Items For Submission</u>

CC.5.2.1 Prior to the Completion Date (or, where the Generator is undertaking OTSDUW, any later date specified) under the Bilateral Agreement and/or Construction Agreement, the following is submitted pursuant to the terms of the Bilateral Agreement and/or Construction Agreement:

- (a) updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand, pursuant to the requirements of the Planning Code;
- (b) details of the **Protection** arrangements and settings referred to in CC.6;
- (c) copies of all Safety Rules and Local Safety Instructions applicable at Users' Sites which will be used at the NGET/User interface (which, for the purpose of OC8, must be to NGET's satisfaction regarding the procedures for Isolation and Earthing. For User Sites in Scotland and Offshore NGET will consult the Relevant Transmission Licensee when determining whether the procedures for Isolation and Earthing are satisfactory);
- (d) information to enable NGET to prepare Site Responsibility Schedules on the basis of the provisions set out in Appendix 1;
- (e) an **Operation Diagram** for all **HV Apparatus** on the **User** side of the **Connection Point** as described in CC.7;
- (f) the proposed name of the **User Site** (which shall not be the same as, or confusingly similar to, the name of any **Transmission Site** or of any other **User Site**);
- (g) written confirmation that **Safety Co-ordinators** acting on behalf of the **User** are authorised and competent pursuant to the requirements of **OC8**;
- (h) **RISSP** prefixes pursuant to the requirements of **OC8**. **NGET** is required to circulate prefixes utilising a proforma in accordance with **OC8**;
- a list of the telephone numbers for Joint System Incidents at which senior management representatives nominated for the purpose can be contacted and confirmation that they are fully authorised to make binding decisions on behalf of the User, pursuant to OC9;
- (j) a list of managers who have been duly authorised to sign **Site Responsibility Schedules** on behalf of the **User**;
- (k) information to enable **NGET** to prepare **Site Common Drawings** as described in CC.7;
- (I) a list of the telephone numbers for the **Users** facsimile machines referred to in CC.6.5.9; and
- (m) for Sites in Scotland and Offshore a list of persons appointed by the User to undertake operational duties on the User's System (including any OTSDUW prior to the OTSUA Transfer Time) and to issue and receive operational messages and instructions in relation to the User's System (including any OTSDUW prior to the OTSUA Transfer Time); and an appointed person or persons responsible for the maintenance and testing of User's Plant and Apparatus.
- CC.5.2.2 Prior to the **Completion Date** the following must be submitted to **NGET** by the **Network Operator** in respect of an **Embedded Development**:
 - (a) updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand, pursuant to the requirements of the Planning Code;
 - (b) details of the **Protection** arrangements and settings referred to in CC.6;
 - (c) the proposed name of the Embedded Medium Power Station or Embedded DC Converter Station Site (which shall be agreed with NGET unless it is the same as, or confusingly similar to, the name of other Transmission Site or User Site);

- CC.5.2.3 Prior to the Completion Date contained within an Offshore Transmission Distribution Connection Agreement the following must be submitted to NGET by the Network Operator in respect of a proposed new Interface Point within its User System:
 - (a) updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand, pursuant to the requirements of the Planning Code;
 - (b) details of the **Protection** arrangements and settings referred to in CC.6;
 - (c) the proposed name of the **Interface Point** (which shall not be the same as, or confusingly similar to, the name of any **Transmission Site** or of any other **User Site**);
- CC.5.2.4 In the case of **OTSDUW Plant and Apparatus** (in addition to items under CC.5.2.1 in respect of the **Connection Site**), prior to the **Completion Date** (or any later date specified) under the **Construction Agreement** the following must be submitted to **NGET** by the **User** in respect of the proposed new **Connection Point** and **Interface Point**:
 - (a) updated Planning Code data (Standard Planning Data, Detailed Planning Data and OTSDUW Data and Information), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand, pursuant to the requirements of the Planning Code;
 - (b) details of the **Protection** arrangements and settings referred to in CC.6;
 - (c) information to enable preparation of the **Site Responsibility Schedules** at the **Transmission Interface Site** on the basis of the provisions set out in Appendix 1.
 - (d) the proposed name of the **Interface Point** (which shall not be the same as, or confusingly similar to, the name of any **Transmission Site** or of any other **User Site**);
- CC.5.3 (a) Of the items CC.5.2.1 (c), (e), (g), (h), (k) and (m) need not be supplied in respect of **Embedded Power Stations** or **Embedded DC Converter Stations**,
 - (b) item CC.5.2.1(i) need not be supplied in respect of **Embedded Small Power Stations** and **Embedded Medium Power Stations** or **Embedded DC Converter Stations** with a **Registered Capacity** of less than 100MW, and
 - (c) items CC.5.2.1(d) and (j) are only needed in the case where the **Embedded Power** Station or the **Embedded DC Converter Station** is within a **Connection Site** with another **User**.
- CC.5.4 In addition, at the time the information is given under CC.5.2(g), **NGET** will provide written confirmation to the **User** that the **Safety Co-ordinators** acting on behalf of **NGET** are authorised and competent pursuant to the requirements of **OC8**.
- CC.6 <u>TECHNICAL, DESIGN AND OPERATIONAL CRITERIA</u>
- CC.6.1 National Electricity Transmission System Performance Characteristics
- CC.6.1.1 NGET shall ensure that, subject as provided in the Grid Code, the National Electricity Transmission System complies with the following technical, design and operational criteria in relation to the part of the National Electricity Transmission System at the Connection Site with a User and in the case of OTSDUW Plant and Apparatus, a Transmission Interface Point (unless otherwise specified in CC.6) although in relation to operational criteria NGET may be unable (and will not be required) to comply with this obligation to the extent that there are insufficient Power Stations or User Systems are not available or Users do not comply with NGET's instructions or otherwise do not comply with the Grid Code and each User shall ensure that its Plant and Apparatus complies with the criteria set out in CC.6.1.5.

Grid Frequency Variations

- CC.6.1.2 The Frequency of the National Electricity Transmission System shall be nominally 50Hz and shall be controlled within the limits of 49.5 - 50.5Hz unless exceptional circumstances prevail.
- CC.6.1.3 The **System Frequency** could rise to 52Hz or fall to 47Hz in exceptional circumstances. Design of User's Plant and Apparatus and OTSDUW Plant and Apparatus must enable operation of that Plant and Apparatus within that range in accordance with the following:

Frequency Range	Requirement
51.5Hz - 52Hz	Operation for a period of at least 15 minutes is required
	each time the Frequency is above 51.5Hz.
51Hz - 51.5Hz	Operation for a period of at least 90 minutes is required
	each time the Frequency is above 51Hz.
49.0Hz - 51Hz	Continuous operation is required
47.5Hz - 49.0Hz	Operation for a period of at least 90 minutes is required
	each time the Frequency is below 49.0Hz.
47Hz - 47.5Hz	Operation for a period of at least 20 seconds is required
	each time the Frequency is below 47.5Hz.

For the avoidance of doubt, disconnection, by frequency or speed based relays is not permitted within the frequency range 47.5Hz to 51.5Hz, unless agreed with NGET in accordance with CC.6.3.12.

Grid Voltage Variations

CC.6.1.4 Subject as provided below, the voltage on the 400kV part of the National Electricity Transmission System at each Connection Site with a User (and in the case of OTSDUW Plant and Apparatus, a Transmission Interface Point) will normally remain within ±5% of the nominal value unless abnormal conditions prevail. The minimum voltage is -10% and the maximum voltage is +10% unless abnormal conditions prevail, but voltages between +5% and +10% will not last longer than 15 minutes unless abnormal conditions prevail. Voltages on the 275kV and 132kV parts of the National Electricity Transmission System at each Connection Site with a User (and in the case of OTSDUW Plant and Apparatus, a Transmission Interface Point) will normally remain within the limits ±10% of the nominal value unless abnormal conditions prevail. At nominal System voltages below 132kV the voltage of the National Electricity Transmission System at each Connection Site with a User (and in the case of OTSDUW Plant and Apparatus, a Transmission Interface Point) will normally remain within the limits ±6% of the nominal value unless abnormal conditions prevail. Under fault conditions, voltage may collapse transiently to zero at the point of fault until the fault is cleared. The normal operating ranges of the National Electricity Transmission System are summarised below:

National Electricity Transmission System	Normal Operating Range
Nominal Voltage	
400kV	400kV ±5%
275kV	275kV ±10%
132kV	132kV ±10%

NGET and a User may agree greater or lesser variations in voltage to those set out above in relation to a particular Connection Site, and insofar as a greater or lesser variation is agreed, the relevant figure set out above shall, in relation to that User at the particular **Connection Site**, be replaced by the figure agreed.

Voltage Waveform Quality

CC.6.1.5 All **Plant** and **Apparatus** connected to the **National Electricity Transmission System**, and that part of the **National Electricity Transmission System** at each **Connection Site** or, in the case of **OTSDUW Plant and Apparatus**, at each **Interface Point**, should be capable of withstanding the following distortions of the voltage waveform in respect of harmonic content and phase unbalance:

(a) Harmonic Content

The Electromagnetic Compatibility Levels for harmonic distortion on the Onshore Transmission System from all sources under both Planned Outage and fault outage conditions, (unless abnormal conditions prevail) shall comply with the levels shown in the tables of Appendix A of Engineering Recommendation G5/4. The Electromagnetic Compatibility Levels for harmonic distortion on an Offshore Transmission System will be defined in relevant Bilateral Agreements.

Engineering Recommendation G5/4 contains planning criteria which NGET will apply to the connection of non-linear Load to the National Electricity Transmission System, which may result in harmonic emission limits being specified for these Loads in the relevant Bilateral Agreement. The application of the planning criteria will take into account the position of existing and prospective Users' Plant and Apparatus (and OTSDUW Plant and Apparatus) in relation to harmonic emissions. Users must ensure that connection of distorting loads to their User Systems do not cause any harmonic emission limits specified in the Bilateral Agreement, or where no such limits are specified, the relevant planning levels specified in Engineering Recommendation G5/4 to be exceeded.

(b) Phase Unbalance

Under Planned Outage conditions, the maximum Phase (Voltage) Unbalance on the National Electricity Transmission System should remain, in England and Wales, below 1%, and in Scotland, below 2%, unless abnormal conditions prevail and Offshore (or in the case of OTSDUW, OTSDUW Plant and Apparatus) will be defined in relevant Bilateral Agreements.

In England and Wales, under the **Planned Outage** conditions stated in CC.6.1.5(b) infrequent short duration peaks with a maximum value of 2% are permitted for **Phase** (Voltage) Unbalance, subject to the prior agreement of NGET under the **Bilateral Agreement** and in relation to OTSDUW, the Construction Agreement. NGET will only agree following a specific assessment of the impact of these levels on **Transmission Apparatus** and other **Users Apparatus** with which it is satisfied.

Voltage Fluctuations

- CC.6.1.7 Voltage fluctuations at a **Point of Common Coupling** with a fluctuating **Load** directly connected to the **Onshore Transmission System** shall not exceed:
 - (a) In England and Wales, 1% of the voltage level for step changes which may occur repetitively. Any large voltage excursions other than step changes may be allowed up to a level of 3% provided that this does not constitute a risk to the National Electricity Transmission System or, in NGET's view, to the System of any User. In Scotland, the limits for voltage level step changes are as set out in Engineering Recommendation P28
 - (b) For voltages above 132kV, Flicker Severity (Short Term) of 0.8 Unit and a Flicker Severity (Long Term) of 0.6 Unit, for voltages 132kV and below, Flicker Severity (Short Term) of 1.0 Unit and a Flicker Severity (Long Term) of 0.8 Unit, as set out in Engineering Recommendation P28 as current at the Transfer Date.
- CC.6.1.8 Voltage fluctuations at a **Point of Common Coupling** with a fluctuating **Load** directly connected to an **Offshore Transmission System** (or in the case of **OTSDUW**, **OTSDUW Plant and Apparatus**) shall not exceed the limits set out in the **Bilateral Agreement**.

CC.6.2 Plant and Apparatus relating to Connection Site and Interface Point

The following requirements apply to **Plant** and **Apparatus** relating to the **Connection Point**, and **OTSDUW Plant and Apparatus** relating to the **Interface Point** (until the **OTSUA Transfer Time**) and **Connection Point** which (except as otherwise provided in the relevant paragraph) each **User** must ensure are complied with in relation to its **Plant** and **Apparatus** and which in the case of CC.6.2.2.2.2, CC.6.2.3.1.1 and CC.6.2.1.1(b) only, **NGET** must ensure are complied with in relation to **Transmission Plant** and **Apparatus**, as provided in those paragraphs.

CC.6.2.1 General Requirements

- CC.6.2.1.1 (a) The design of connections between the **National Electricity Transmission System** and:
 - (i) any Generating Unit (other than a CCGT Unit or Power Park Unit) DC Converter, Power Park Module or CCGT Module, or
 - (ii) any Network Operator's User System, or
 - (iii) Non-Embedded Customers equipment;

will be consistent with the Licence Standards.

In the case of **OTSDUW**, the design of the **OTSUA's** connections at the **Interface Point** and **Connection Point** will be consistent with **Licence Standards**.

- (b) The National Electricity Transmission System (and any OTSDUW Plant and Apparatus) at nominal System voltages of 132kV and above is/shall be designed to be earthed with an Earth Fault Factor of, in England and Wales or Offshore, below 1.4 and in Scotland, below 1.5. Under fault conditions the rated Frequency component of voltage could fall transiently to zero on one or more phases or, in England and Wales, rise to 140% phase-to-earth voltage, or in Scotland, rise to 150% phase-to-earth voltage. The voltage rise would last only for the time that the fault conditions exist. The fault conditions referred to here are those existing when the type of fault is single or two phase-to-earth.
- (c) For connections to the **National Electricity Transmission System** at nominal **System** voltages of below 132kV the earthing requirements and voltage rise conditions will be advised by **NGET** as soon as practicable prior to connection and in the case of **OTSDUW Plant and Apparatus** shall be advised to **NGET** by the **User**.

CC.6.2.1.2 Substation Plant and Apparatus

- (a) The following provisions shall apply to all Plant and Apparatus which is connected at the voltage of the Connection Point (and OTSDUW Plant and Apparatus at the Interface Point) and which is contained in equipment bays that are within the Transmission busbar Protection zone at the Connection Point. This includes circuit breakers, switch disconnectors, disconnectors, Earthing Devices, power transformers, voltage transformers, reactors, current transformers, surge arresters, bushings, neutral equipment, capacitors, line traps, coupling devices, external insulation and insulation co-ordination devices. Where necessary, this is as more precisely defined in the Bilateral Agreement.
 - (i) Plant and/or Apparatus prior to 1st January 1999

Each item of such **Plant** and/or **Apparatus** which at 1st January 1999 is either:

installed; or

owned (but is either in storage, maintenance or awaiting installation); or ordered:

and is the subject of a **Bilateral Agreement** with regard to the purpose for which it is in use or intended to be in use, shall comply with the relevant

standards/specifications applicable at the time that the **Plant** and/or **Apparatus** was designed (rather than commissioned) and any further requirements as specified in the **Bilateral Agreement**.

(ii) Plant and/or Apparatus post 1st January 1999 for a new Connection Point (including OTSDUW Plant and Apparatus at the Interface Point)

Each item of such Plant and/or Apparatus installed in relation to a new Connection Point (or OTSDUW Plant and Apparatus at the Interface Point) after 1st January 1999 shall comply with the relevant Technical Specifications and any further requirements identified by NGET, acting reasonably, to reflect the options to be followed within the Technical Specifications and/or to complement if necessary the Technical Specifications so as to enable NGET to comply with its obligations in relation to the National Electricity Transmission System or, in Scotland or Offshore, the Relevant Transmission Licensee to comply with its obligations in relation to its Transmission System. This information, including the application dates of the relevant Technical Specifications, will be as specified in the Bilateral Agreement.

(iii) New Plant and/or Apparatus post 1st January 1999 for an existing Connection Point (including OTSDUW Plant and Apparatus at the Interface Point)

Each new additional and/or replacement item of such Plant and/or Apparatus installed in relation to a change to an existing Connection Point (or OTSDUW Plant and Apparatus at the Interface Point and Connection Point) after 1st January 1999 shall comply with the standards/specifications applicable when the change was designed, or such other standards/specifications as necessary to ensure that the item of Plant and/or Apparatus is reasonably fit for its intended purpose having due regard to the obligations of NGET, the relevant User and, in Scotland, or Offshore, also the Relevant Transmission Licensee under their respective Licences. Where appropriate this information, including the application dates of the relevant standards/specifications, will be as specified in the varied Bilateral Agreement.

(iv) Used Plant and/or Apparatus being moved, re-used or modified

If, after its installation, any such item of **Plant** and/or **Apparatus** is subsequently:

moved to a new location; or used for a different purpose; or otherwise modified;

then the standards/specifications as described in (i), (ii), or (iii) above as applicable will apply as appropriate to such **Plant** and/or **Apparatus**, which must be reasonably fit for its intended purpose having due regard to the obligations of **NGET**, the relevant **User** and, in Scotland or **Offshore**, also the **Relevant Transmission Licensee** under their respective **Licences**.

- (b) NGET shall at all times maintain a list of those Technical Specifications and additional requirements which might be applicable under this CC.6.2.1.2 and which may be referenced by NGET in the Bilateral Agreement. NGET shall provide a copy of the list upon request to any User. NGET shall also provide a copy of the list to any new User upon receipt of an application form for a Bilateral Agreement for a new Connection Point.
- (c) Where the User provides NGET with information and/or test reports in respect of Plant and/or Apparatus which the User reasonably believes demonstrate the compliance of such items with the provisions of a Technical Specification then NGET shall promptly and without unreasonable delay give due and proper consideration to such information.

- (d) Plant and Apparatus shall be designed, manufactured and tested in premises with an accredited certificate in accordance with the quality assurance requirements of the relevant standard in the BS EN ISO 9000 series (or equivalent as reasonably approved by NGET) or in respect of test premises which do not include a manufacturing facility premises with an accredited certificate in accordance with BS EN 45001.
- (e) Each connection between a User and the National Electricity Transmission System must be controlled by a circuit-breaker (or circuit breakers) capable of interrupting the maximum short circuit current at the point of connection. The Seven Year Statement gives values of short circuit current and the rating of Transmission circuit breakers at existing and committed Connection Points for future years.
- (f) Each connection between a Generator undertaking OTSDUW or an Onshore Transmission Licensee, must be controlled by a circuit breaker (or circuit breakers) capable of interrupting the maximum short circuit current at the Transmission Interface Point. The Seven Year Statement gives values of short circuit current and the rating of Transmission circuit breakers at existing and committed Transmission Interface Points for future years.
- CC.6.2.2 Requirements at Connection Points or, in the case of OTSDUW at Interface Points that relate to Generators or OTSDUW Plant and Apparatus or DC Converter Station owners
- CC.6.2.2.1 Not Used.
- CC.6.2.2.2 <u>Generating Unit, OTSDUW Plant and Apparatus and Power Station Protection Arrangements</u>
- CC.6.2.2.2.1 Minimum Requirements

Protection of Generating Units (other than Power Park Units), DC Converters, OTSDUW Plant and Apparatus or Power Park Modules and their connections to the National Electricity Transmission System must meet the minimum requirements given below. These are necessary to reduce to a practical minimum the impact on the National Electricity Transmission System of faults on OTSDUW Plant and Apparatus circuits or circuits owned by Generators or DC Converter Station owners.

CC.6.2.2.2.2 Fault Clearance Times

- (a) The fault clearance times for faults on the Generator's or DC Converter Station owner's equipment directly connected to the National Electricity Transmission System or OTSDUW Plant and Apparatus and for faults on the National Electricity Transmission System directly connected to the Generator or DC Converter Station owner's equipment or OTSDUW Plant and Apparatus, from fault inception to the circuit breaker arc extinction, shall be set out in accordance with the Bilateral Agreement. The times specified in accordance with the Bilateral Agreement shall not be faster than:
 - (i) 80mS at 400kV
 - (ii) 100mS at 275kV
 - (iii) 120mS at 132kV and below

but this shall not prevent a **User** or **NGET** or a **Generator** in respect of **OTSDUW Plant** and **Apparatus** having faster fault clearance times.

Slower fault clearance times may be specified in accordance with the **Bilateral Agreement** for faults on the **National Electricity Transmission System**. Slower fault clearance times for faults on the **Generator** or **DC Converter Station** owner's equipment or **OTSDUW Plant and Apparatus** may be agreed in accordance with the terms of the **Bilateral Agreement** but only if **System** requirements, in **NGET's** view, permit. The probability that the fault clearance times stated in accordance with the **Bilateral Agreement** will be exceeded by any given fault, must be less than 2%.

(b) For the event that the above fault clearance times are not met as a result of failure to operate on the Main Protection System(s) provided, the Generators or DC Converter Station owners or Generators in the case of OTSDUW Plant and Apparatus shall provide Back-Up Protection. NGET will also provide Back-Up Protection and these Back-Up Protections will be co-ordinated so as to provide Discrimination.

On a Generating Unit (other than Power Park Units), DC Converter or Power Park Module or OTSDUW Plant and Apparatus connected to the National Electricity Transmission System where only one Main Protection is provided to clear faults on the HV Connections within the required fault clearance time, the Back-Up Protection provided by the Generators (including in respect of OTSDUW Plant and Apparatus) and DC Converter Station owners shall operate to give a fault clearance time of no slower than 300 ms at the minimum infeed for normal operation for faults on the HV Connections. On Generating Units (other than Power Park Units), DC Converters or Power Park Modules or OTSDUW Plant and Apparatus connected to the National Electricity Transmission System at 400 kV and 275 kV where two Main Protections are provided and on Generating Units (other than Power Park Units), DC Converters or Power Park Modules or OTSDUW Plant and Apparatus connected to the National Electricity Transmission System at 132 kV and below, the Back-Up Protection shall operate to give a fault clearance time of no slower than 800 ms in England and Wales or Offshore and 300 ms in Scotland at the minimum infeed for normal operation for faults on the HV Connections.

Generators' (including in respect of OTSDUW Plant and Apparatus) and DC Converter Station owners' Back-Up Protection will also be required to withstand, without tripping, the loading incurred during the clearance of a fault on the National Electricity Transmission System by breaker fail Protection at 400kV or 275kV or of a fault cleared by Back-Up Protection where the Generator (including in the case of OTSDUW Plant and Apparatus) or DC Converter is connected at 132kV and below. This will permit Discrimination between Generator or DC Converter Back-Up Protection and Back-Up Protection provided on the National Electricity Transmission System and other Users' Systems.

- (c) When the Generating Unit (other than Power Park Units), or the DC Converter or Power Park Module or OTSDUW Plant and Apparatus is connected to the National Electricity Transmission System at 400kV or 275kV, and in Scotland and Offshore also at 132kV, and a circuit breaker is provided by the Generator (including in respect of OTSDUW Plant and Apparatus) or the DC Converter Station owner, or NGET, as the case may be, to interrupt fault current interchange with the National Electricity Transmission System, or Generator's System, or DC Converter Station owner's System, as the case may be, circuit breaker fail Protection shall be provided by the Generator (including in respect of OTSDUW Plant and Apparatus) or DC Converter Station owner, or NGET, as the case may be, on this circuit breaker. In the event, following operation of a Protection system, of a failure to interrupt fault current by these circuit-breakers within the Fault Current Interruption Time, the circuit breaker fail Protection is required to initiate tripping of all the necessary electrically adjacent circuit-breakers so as to interrupt the fault current within the next 200 ms.
- (d) The target performance for the **System Fault Dependability Index** shall be not less than 99%. This is a measure of the ability of **Protection** to initiate successful tripping of circuit breakers which are associated with the faulty item of **Apparatus**.

CC.6.2.2.3 Equipment to be provided

CC.6.2.2.3.1 Protection of Interconnecting Connections

The requirements for the provision of **Protection** equipment for interconnecting connections will be specified in the **Bilateral Agreement**. In this **CC** the term "interconnecting connections" means the primary conductors from the current transformer accommodation on the circuit side of the circuit breaker to the **Connection Point** or the primary conductors from the current transformer accommodation on the circuit side of the **OTSDUW Plant and Apparatus** of the circuit breaker to the **Transmission Interface Point**.

CC.6.2.2.3.2 Circuit-breaker fail Protection

The Generator or DC Converter Station owner will install circuit breaker fail Protection equipment in accordance with the requirements of the Bilateral Agreement. The Generator or DC Converter Station owner will also provide a back-trip signal in the event of loss of air from its pressurised head circuit breakers, during the Generating Unit (other than a CCGT Unit or Power Park Unit) or CCGT Module or DC Converter or Power Park Module run-up sequence, where these circuit breakers are installed.

CC.6.2.2.3.3 Loss of Excitation

The **Generator** must provide **Protection** to detect loss of excitation on a **Generating Unit** and initiate a **Generating Unit** trip.

CC.6.2.2.3.4 Pole-Slipping Protection

Where, in **NGET's** reasonable opinion, **System** requirements dictate, **NGET** will specify in the **Bilateral Agreement** a requirement for **Generators** to fit pole-slipping **Protection** on their **Generating Units**.

CC.6.2.2.3.5 Signals for Tariff Metering

Generators and **DC Converter Station** owners will install current and voltage transformers supplying all tariff meters at a voltage to be specified in, and in accordance with, the **Bilateral Agreement**.

CC.6.2.2.4 Work on Protection Equipment

No busbar **Protection**, mesh corner **Protection**, circuit-breaker fail **Protection** relays, AC or DC wiring (other than power supplies or DC tripping associated with the **Generating Unit**, **DC Converter** or **Power Park Module** itself) may be worked upon or altered by the **Generator** or **DC Converter Station** owner personnel in the absence of a representative of **NGET** or in Scotland or **Offshore**, a representative of **NGET**, or written authority from **NGET** to perform such work or alterations in the absence of a representative of **NGET**.

CC.6.2.2.5 Relay Settings

Protection and relay settings will be co-ordinated (both on connection and subsequently) across the Connection Point in accordance with the Bilateral Agreement and in relation to OTSDUW Plant and Apparatus, across the Interface Point in accordance with the Bilateral Agreement to ensure effective disconnection of faulty Apparatus.

- CC.6.2.3 Requirements at Connection Points relating to Network Operators and Non-Embedded Customers
- CC.6.2.3.1 Protection Arrangements for Network Operators and Non-Embedded Customers
- CC.6.2.3.1.1 **Protection** of **Network Operator** and **Non-Embedded Customers User Systems** directly supplied from the **National Electricity Transmission System**, must meet the minimum requirements referred to below:

Fault Clearance Times

- (a) The fault clearance times for faults on Network Operator and Non-Embedded Customer equipment directly connected to the National Electricity Transmission System, and for faults on the National Electricity Transmission System directly connected to the Network Operator's or Non-Embedded Customer's equipment, from fault inception to the circuit breaker arc extinction, shall be set out in accordance with each Bilateral Agreement. The times specified in accordance with the Bilateral Agreement shall not be faster than:
 - (i) 80mS at 400kV
 - (ii) 100mS at 275kV
 - (iii) 120mS at 132kV and below

but this shall not prevent a User or NGET having a faster fault clearance time.

Slower fault clearance times may be specified in accordance with the **Bilateral Agreement** for faults on the **National Electricity Transmission System**. Slower fault clearance times for faults on the **Network Operator** and **Non-Embedded Customers** equipment may be agreed in accordance with the terms of the **Bilateral Agreement** but only if **System** requirements in **NGET's** view permit. The probability that the fault clearance times stated in accordance with the **Bilateral Agreement** will be exceeded by any given fault must be less than 2%.

- (b) (i) For the event of failure of the **Protection** systems provided to meet the above fault clearance time requirements, **Back-Up Protection** shall be provided by the **Network Operator** or **Non-Embedded Customer** as the case may be.
 - (ii) NGET will also provide Back-Up Protection, which will result in a fault clearance time slower than that specified for the Network Operator or Non-Embedded Customer Back-Up Protection so as to provide Discrimination.
 - (iii) For connections with the **National Electricity Transmission System** at 132kV and below, it is normally required that the **Back-Up Protection** on the **National Electricity Transmission System** shall discriminate with the **Network Operator** or **Non-Embedded Customer's Back-Up Protection**.
 - (iv) For connections with the National Electricity Transmission System at 400kV or 275kV, the Back-Up Protection will be provided by the Network Operator or Non-Embedded Customer, as the case may be, with a fault clearance time not slower than 300mS for faults on the Network Operator's or Non-Embedded Customer's Apparatus.
 - (v) Such Protection will also be required to withstand, without tripping, the loading incurred during the clearance of a fault on the National Electricity Transmission System by breaker fail Protection at 400kV or 275kV. This will permit Discrimination between Network Operator or Non-Embedded Customer, as the case may be, Back-Up Protection and Back-Up Protection provided on the National Electricity Transmission System and other User Systems. The requirement for and level of Discrimination required will be specified in the Bilateral Agreement.
- (c) (i) Where the Network Operator or Non-Embedded Customer is connected to the National Electricity Transmission System at 400kV or 275kV, and in Scotland also at 132kV, and a circuit breaker is provided by the Network Operator or Non-Embedded Customer, or NGET, as the case may be, to interrupt the interchange of fault current with the National Electricity Transmission System or the System of the Network Operator or Non-Embedded Customer, as the case may be, circuit breaker fail Protection will be provided by the Network Operator or Non-Embedded Customer, or NGET, as the case may be, on this circuit breaker.
 - (ii) In the event, following operation of a **Protection** system, of a failure to interrupt fault current by these circuit-breakers within the **Fault Current Interruption Time**, the circuit breaker fail **Protection** is required to initiate tripping of all the necessary electrically adjacent circuit-breakers so as to interrupt the fault current within the next 200 ms.
- (d) The target performance for the System Fault Dependability Index shall be not less than 99%. This is a measure of the ability of Protection to initiate successful tripping of circuit breakers which are associated with the faulty items of Apparatus.

CC.6.2.3.2 **Fault Disconnection Facilities**

- (a) Where no **Transmission** circuit breaker is provided at the **User's** connection voltage, the User must provide NGET with the means of tripping all the User's circuit breakers necessary to isolate faults or System abnormalities on the National Electricity Transmission System. In these circumstances, for faults on the User's System, the User's Protection should also trip higher voltage Transmission circuit breakers. These tripping facilities shall be in accordance with the requirements specified in the Bilateral Agreement.
- (b) NGET may require the installation of a System to Generator Operational Intertripping Scheme in order to enable the timely restoration of circuits following power System fault(s). These requirements shall be set out in the relevant Bilateral Agreement.

CC.6.2.3.3 **Automatic Switching Equipment**

Where automatic reclosure of **Transmission** circuit breakers is required following faults on the User's System, automatic switching equipment shall be provided in accordance with the requirements specified in the Bilateral Agreement.

CC.6.2.3.4 Relay Settings

Protection and relay settings will be co-ordinated (both on connection and subsequently) across the Connection Point in accordance with the Bilateral Agreement to ensure effective disconnection of faulty **Apparatus**.

CC.6.2.3.5 Work on Protection equipment

Where a Transmission Licensee owns the busbar at the Connection Point, no busbar Protection, mesh corner Protection relays, AC or DC wiring (other than power supplies or DC tripping associated with the Network Operator or Non-Embedded Customer's Apparatus itself) may be worked upon or altered by the Network Operator or Non-Embedded Customer personnel in the absence of a representative of NGET or in Scotland, a representative of NGET, or written authority from NGET to perform such work or alterations in the absence of a representative of **NGET**.

CC.6.2.3.6 Equipment to be provided

CC.6.2.3.6.1 Protection of Interconnecting Connections

The requirements for the provision of **Protection** equipment for interconnecting connections will be specified in the Bilateral Agreement.

CC.6.3 GENERAL GENERATING UNIT (AND OTSDUW) REQUIREMENTS

CC.6.3.1 This section sets out the technical and design criteria and performance requirements for Generating Units, DC Converters and Power Park Modules (whether directly connected to the National Electricity Transmission System or Embedded) and (where provided in this section) OTSDUW Plant and Apparatus which each Generator or DC Converter Station owner must ensure are complied with in relation to its Generating Units, DC Converters and Power Park Modules and OTSDUW Plant and Apparatus but does not apply to Small Power Stations or individually to Power Park Units. References to Generating Units, DC Converters and Power Park Modules in this CC.6.3 should be read accordingly. The performance requirements that OTSDUW Plant and Apparatus must be capable of providing at the Interface Point under this section may be provided using a combination of Generator Plant and Apparatus and/or OTSDUW Plant and Apparatus.

Plant Performance Requirements

CC.6.3.2 (a) When supplying Rated MW all Onshore Synchronous Generating Units must be capable of continuous operation at any point between the limits 0.85 Power Factor lagging and 0.95 Power Factor leading at the Onshore Synchronous Generating Unit terminals. At Active Power output levels other than Rated MW, all Onshore Synchronous Generating Units must be capable of continuous operation at any point between the Reactive Power capability limits identified on the Generator

Performance Chart.

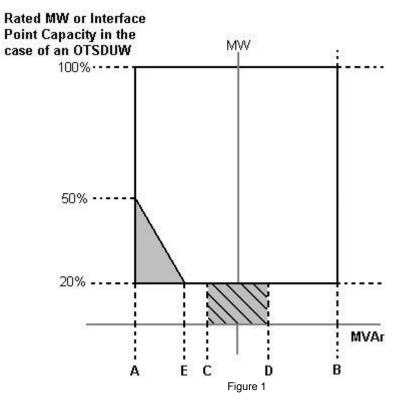
In addition to the above paragraph, where **Onshore Synchronous Generating Unit(s)**:

- (i) have a Connection Entry Capacity which has been increased above Rated MW (or the Connection Entry Capacity of the CCGT module has increased above the sum of the Rated MW of the Generating Units compromising the CCGT module), and such increase takes effect after 1st May 2009, the minimum lagging Reactive Power capability at the terminals of the Onshore Synchronous Generating Unit(s) must be 0.9 Power Factor at all Active Power output levels in excess of Rated MW. Further, the User shall comply with the provisions of and any instructions given pursuant to BC1.8 and the relevant Bilateral Agreement; or
- (ii) have a Connection Entry Capacity in excess of Rated MW (or the Connection Entry Capacity of the CCGT module exceeds the sum of Rated MW of the Generating Units comprising the CCGT module) and a Completion Date before 1st May 2009, alternative provisions relating to Reactive Power capability may be specified in the Bilateral Agreement and where this is the case such provisions must be complied with.

The short circuit ratio of **Onshore Synchronous Generating Units** with an **Apparent Power** rating of less than 1600MVA shall be not less than 0.5. The short circuit ratio of **Onshore Synchronous Generating Units** with a rated **Apparent Power** of 1600MVA or above shall be not less than 0.4.

(b) Subject to paragraph (c) below, all Onshore Non-Synchronous Generating Units, Onshore DC Converters and Onshore Power Park Modules must be capable of maintaining zero transfer of Reactive Power at the Onshore Grid Entry Point (or User System Entry Point if Embedded) at all Active Power output levels under steady state voltage conditions. For Onshore Non-Synchronous Generating Units and Onshore Power Park Modules the steady state tolerance on Reactive Power transfer to and from the National Electricity Transmission System expressed in MVAr shall be no greater than 5% of the Rated MW. For Onshore DC Converters the steady state tolerance on Reactive Power transfer to and from the National Electricity Transmission System shall be specified in the Bilateral Agreement.

(c) Subject to the provisions of CC.6.3.2(d) below, all Onshore Non-Synchronous Generating Units, Onshore DC Converters (excluding current source technology) and Onshore Power Park Modules (excluding those connected to the Total System by a current source Onshore DC Converter) and OTSDUW Plant and Apparatus at the Interface Point with a Completion Date on or after 1 January 2006 must be capable of supplying Rated MW output or Interface Point Capacity in the case of OTSDUW Plant and Apparatus at any point between the limits 0.95 Power Factor lagging and 0.95 Power Factor leading at the Onshore Grid Entry Point in England and Wales or Interface Point in the case of OTSDUW Plant and Apparatus or at the HV side of the 33/132kV or 33/275kV or 33/400kV transformer for Generators directly connected to the Onshore Transmission System in Scotland (or User System Entry Point if Embedded). With all Plant in service, the Reactive Power limits defined at Rated MW or Interface Point Capacity in the case of OTSDUW Plant and Apparatus at Lagging Power Factor will apply at all Active Power output levels above 20% of the Rated MW or Interface Point Capacity in the case of OTSDUW Plant and Apparatus output as defined in Figure 1. With all Plant in service, the Reactive Power limits defined at Rated MW at Leading Power Factor will apply at all Active Power output levels above 50% of the Rated MW output or Interface Point Capacity in the case of OTSDUW Plant and Apparatus as defined in Figure 1. With all Plant in service, the Reactive Power limits will reduce linearly below 50% Active Power output as shown in Figure 1 unless the requirement to maintain the Reactive Power limits defined at Rated MW or Interface Point Capacity in the case of OTSDUW Plant and Apparatus at Leading Power Factor down to 20% Active Power output is specified in the Bilateral Agreement. These Reactive Power limits will be reduced pro rata to the amount of Plant in service.



Point A is equivalent (in MVAr) to

0.95 leading Power Factor at Rated MW output or **Interface Point Capacity** in the case of **OTSDUW Plant and Apparatus**

Point B is equivalent (in MVAr) to:

0.95 lagging Power Factor at Rated MW output or Interface Point Capacity in the case of OTSDUW Plant and Apparatus

Point C is equivalent (in MVAr) to:

-5% of Rated MW output or **Interface Point Capacity** in the case of **OTSDUW Plant and Apparatus**

Point D is equivalent +5% of Rated MW output or **Interface Point Capacity** in the case of **OTSDUW Plant and Apparatus**

Point E is equivalent -12% of Rated MW output or **Interface Point Capacity** in the case (in MVAr) to: of **OTSDUW Plant and Apparatus**

- (d) All Onshore Non-Synchronous Generating Units and Onshore Power Park Modules in Scotland with a Completion Date after 1 April 2005 and before 1 January 2006 must be capable of supplying Rated MW at the range of power factors either:
 - (i) from 0.95 lead to 0.95 lag as illustrated in Figure 1 at the User System Entry Point for Embedded Generators or at the HV side of the 33/132kV or 33/275kV or 33/400kV transformer for Generators directly connected to the Onshore Transmission System. With all Plant in service, the Reactive Power limits defined at Rated MW will apply at all Active Power output levels above 20% of the Rated MW output as defined in Figure 1. These Reactive Power limits will be reduced pro rata to the amount of Plant in service, or
 - (ii) from 0.95 lead to 0.90 lag at the **Onshore Non-Synchronous Generating Unit** (including **Power Park Unit**) terminals. For the avoidance of doubt **Generators** complying with this option (ii) are not required to comply with CC.6.3.2(b).
- (e) The short circuit ratio of Offshore Synchronous Generating Units at a Large Power Station shall be not less than 0.5. At a Large Power Station all Offshore Synchronous Generating Units, Offshore Non-Synchronous Generating Units, Offshore DC Converters and Offshore Power Park Modules must be capable of maintaining:
 - (i) zero transfer of Reactive Power at the Offshore Grid Entry Point for all Generators with an Offshore Grid Entry Point at the LV Side of the Offshore Platform at all Active Power output levels under steady state voltage conditions. The steady state tolerance on Reactive Power transfer to and from an Offshore Transmission System expressed in MVAr shall be no greater than 5% of the Rated MW, or
 - (ii) a transfer of Reactive Power at the Offshore Grid Entry Point at a value specified in the Bilateral Agreement that will be equivalent to zero at the LV Side of the Offshore Platform. In addition, the steady state tolerance on Reactive Power transfer to and from an Offshore Transmission System expressed in MVAr at the LV Side of the Offshore Platform shall be no greater than 5% of the Rated MW, or
 - (iii) the **Reactive Power** capability (within associated steady state tolerance) specified in the **Bilateral Agreement** if any alternative has been agreed with the **Generator**, **Offshore Transmission Licensee** and **NGET**.
- CC.6.3.3 Each Generating Unit, DC Converter (including an OTSDUW DC Converter), Power Park Module and/or CCGT Module must be capable of:
 - (a) continuously maintaining constant **Active Power** output for **System Frequency** changes within the range 50.5 to 49.5 Hz; and

(b) (subject to the provisions of CC.6.1.3) maintaining its Active Power output at a level not lower than the figure determined by the linear relationship shown in Figure 2 for System Frequency changes within the range 49.5 to 47 Hz, such that if the System Frequency drops to 47 Hz the Active Power output does not decrease by more than 5%. In the case of a CCGT Module, the above requirement shall be retained down to the Low Frequency Relay trip setting of 48.8 Hz, which reflects the first stage of the Automatic Low Frequency Demand Disconnection scheme notified to Network Operators under OC6.6.2. For System Frequency below that setting, the existing requirement shall be retained for a minimum period of 5 minutes while System Frequency remains below that setting, and special measure(s) that may be required to meet this requirement shall be kept in service during this period. After that 5 minutes period, if System Frequency remains below that setting, the special measure(s) must be discontinued if there is a materially increased risk of the Gas Turbine tripping. The need for special measure(s) is linked to the inherent Gas Turbine Active Power output reduction caused by reduced shaft speed due to falling System Frequency.

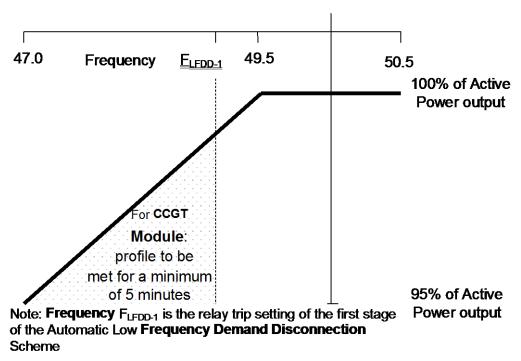
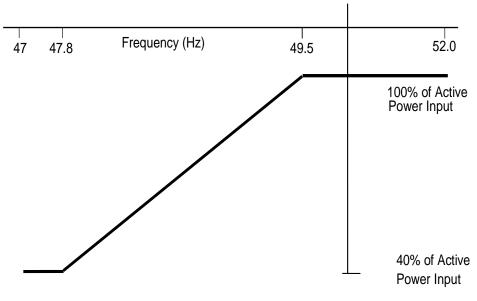


Figure 2

- (c) For the avoidance of doubt in the case of a Generating Unit or Power Park Module (or OTSDUW DC Converters at the Interface Point) using an Intermittent Power Source where the mechanical power input will not be constant over time, the requirement is that the Active Power output shall be independent of System Frequency under (a) above and should not drop with System Frequency by greater than the amount specified in (b) above.
- (d) A DC Converter Station must be capable of maintaining its Active Power input (i.e. when operating in a mode analogous to Demand) from the National Electricity Transmission System (or User System in the case of an Embedded DC Converter Station) at a level not greater than the figure determined by the linear relationship shown in Figure 3 for System Frequency changes within the range 49.5 to 47 Hz, such that if the System Frequency drops to 47.8 Hz the Active Power input decreases by more than 60%.



- Figure 3
- (e) At a Large Power Station, in the case of an Offshore Generating Unit, Offshore Power Park Module, Offshore DC Converter and OTSDUW DC Converter, the Generator shall comply with the requirements of CC.6.3.3. Generators should be aware that Section K of the STC places requirements on Offshore Transmission Licensees which utilise a Transmission DC Converter as part of their Offshore Transmission System to make appropriate provisions to enable Generators to fulfil their obligations.
- (f) In the case of an OTSDUW DC Converter the OTSDUW Plant and Apparatus shall provide a continuous signal indicating the real time frequency measured at the Interface Point to the Offshore Grid Entry Point.
- At the **Grid Entry Point**, the **Active Power** output under steady state conditions of any **Generating Unit**, **DC Converter** or **Power Park Module** directly connected to the **National Electricity Transmission System** or in the case of **OTSDUW**, the **Active Power** transfer at the **Interface Point**, under steady state conditions of any **OTSDUW Plant and Apparatus** should not be affected by voltage changes in the normal operating range specified in paragraph CC.6.1.4 by more than the change in **Active Power** losses at reduced or increased voltage. In addition:
 - (a) For any Onshore Generating Unit, Onshore DC Converter and Onshore Power Park Module or OTSDUW the Reactive Power output under steady state conditions should be fully available within the voltage range ±5% at 400kV, 275kV and 132kV and lower voltages, except for an Onshore Power Park Module or Onshore Non-Synchronous Generating Unit if Embedded at 33kV and below (or directly connected to the Onshore Transmission System at 33kV and below) where the requirement shown in Figure 4 applies.
 - (b) At a Large Power Station, in the case of an Offshore Generating Unit, Offshore DC Converter and Offshore Power Park Module where an alternative reactive capability has been agreed with the Generator, as specified in CC.6.3.2(e) (iii), the voltage / Reactive Power requirement shall be specified in the Bilateral Agreement. The Reactive Power output under steady state conditions shall be fully available within the voltage range ±5% at 400kV, 275kV and 132kV and lower voltages.

Voltage at an **Onshore Grid Entry Point** or **User System Entry Point** if **Embedded** (% of Nominal) at 33 kV and below

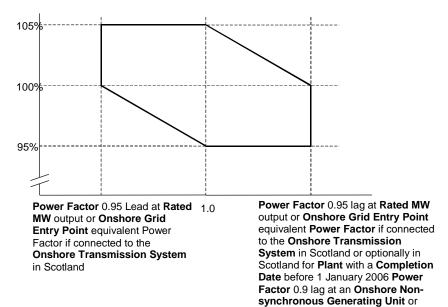


Figure 4

Onshore Power Park Unit Terminals

CC.6.3.5 It is an essential requirement that the National Electricity Transmission System must incorporate a Black Start Capability. This will be achieved by agreeing a Black Start Capability at a number of strategically located Power Stations. For each Power Station NGET will state in the Bilateral Agreement whether or not a Black Start Capability is required.

Control Arrangements

CC.6.3.6 (a) Each:

- (i) Offshore Generating Unit in a Large Power Station or Onshore Generating Unit: or.
- (ii) Onshore DC Converter with a Completion Date on or after 1 April 2005 or Offshore DC Converter at a Large Power Station; or,
- (iii) Onshore Power Park Module in England and Wales with a Completion Date on or after 1 January 2006; or,
- (iv) Onshore Power Park Module in operation in Scotland on or after 1 January 2006 (with a Completion Date after 1 July 2004 and in a Power Station with a Registered Capacity of 50MW or more); or,
- (v) Offshore Power Park Module in a Large Power Station with a Registered Capacity of 50MW or more;

must be capable of contributing to **Frequency** control by continuous modulation of **Active Power** supplied to the **National Electricity Transmission System** or the **User System** in which it is **Embedded**. For the avoidance of doubt each **OTSDUW DC Converter** shall provide each **User** in respect of its **Offshore Power Stations** connected to and/or using an **Offshore Transmission System** a continuous signal indicating the real time **Frequency** measured at the **Transmission Interface Point**.

(b) Each:

- (i) Onshore Generating Unit; or,
- (ii) Onshore DC Converter (with a Completion Date on or after 1 April 2005 excluding current source technologies); or
- (iii) Onshore Power Park Module in England and Wales with a Completion Date on

or after 1 January 2006; or,

- (iv) Onshore Power Park Module in Scotland irrespective of Completion Date; or,
- (v) Offshore Generating Unit at a Large Power Station, Offshore DC Converter at a Large Power Station or Offshore Power Park Module at a Large Power Station which provides a reactive range beyond the minimum requirements specified in CC.6.3.2(e) (iii); or,
- (vi) OTSDUW Plant and Apparatus at a Transmission Interface Point

must be capable of contributing to voltage control by continuous changes to the **Reactive Power** supplied to the **National Electricity Transmission System** or the **User System** in which it is **Embedded**.

- (a) Each Generating Unit, DC Converter or Power Park Module (excluding Onshore Power Park Modules in Scotland with a Completion Date before 1 July 2004 or Onshore Power Park Modules in a Power Station in Scotland with a Registered Capacity less than 50MW or Offshore Power Park Modules in a Large Power Station located Offshore with a Registered Capacity less than 50MW) must be fitted with a fast acting proportional Frequency control device (or turbine speed governor) and unit load controller or equivalent control device to provide Frequency response under normal operational conditions in accordance with Balancing Code 3 (BC3). In the case of a Power Park Module the Frequency or speed control device(s) may be on the Power Park Module or on each individual Power Park Unit or be a combination of both. The Frequency control device(s) (or speed governor(s)) must be designed and operated to the appropriate:
 - (i) European Specification; or
 - (ii) in the absence of a relevant European Specification, such other standard which is in common use within the European Community (which may include a manufacturer specification);

as at the time when the installation of which it forms part was designed or (in the case of modification or alteration to the **Frequency** control device (or turbine speed governor)) when the modification or alteration was designed.

The **European Specification** or other standard utilised in accordance with sub-paragraph CC.6.3.7 (a) (ii) will be notified to **NGET** by the **Generator** or **DC Converter Station** owner or, in the case of an **Embedded Medium Power Station** not subject to a **Bilateral Agreement** or **Embedded DC Converter Station** not subject to a **Bilateral Agreement**, the relevant **Network Operator**:

- (i) as part of the application for a **Bilateral Agreement**; or
- (ii) as part of the application for a varied Bilateral Agreement; or
- (iii) in the case of an Embedded Development, within 28 days of entry into the Embedded Development Agreement (or such later time as agreed with NGET);
- (iv) as soon as possible prior to any modification or alteration to the **Frequency** control device (or governor); and
- (b) The Frequency control device (or speed governor) in co-ordination with other control devices must control the Generating Unit, DC Converter or Power Park Module Active Power Output with stability over the entire operating range of the Generating Unit, DC Converter or Power Park Module; and
- (c) The **Frequency** control device (or speed governor) must meet the following minimum requirements:
 - (i) Where a Generating Unit, DC Converter or Power Park Module becomes isolated from the rest of the Total System but is still supplying Customers, the

Frequency control device (or speed governor) must also be able to control System Frequency below 52Hz unless this causes the Generating Unit, DC Converter or Power Park Module to operate below its Designed Minimum Operating Level when it is possible that it may, as detailed in BC 3.7.3, trip after a time. For the avoidance of doubt the Generating Unit, DC Converter or Power Park Module is only required to operate within the System Frequency range 47 - 52 Hz as defined in CC.6.1.3;

- (ii) the Frequency control device (or speed governor) must be capable of being set so that it operates with an overall speed Droop of between 3% and 5%. For the avoidance of doubt, in the case of a Power Park Module the speed Droop should be equivalent of a fixed setting between 3% and 5% applied to each Power Park Unit in service;
- (iii) in the case of all **Generating Units**, **DC Converter** or **Power Park Module** other than the **Steam Unit** within a **CCGT Module** the **Frequency** control device (or speed governor) deadband should be no greater than 0.03Hz (for the avoidance of doubt, ±0.015Hz). In the case of the **Steam Unit** within a **CCGT Module**, the speed **Governor Deadband** should be set to an appropriate value consistent with the requirements of CC.6.3.7(c)(i) and the requirements of BC3.7.2 for the provision of **Limited High Frequency Response**;

For the avoidance of doubt, the minimum requirements in (ii) and (iii) for the provision of **System Ancillary Services** do not restrict the negotiation of **Commercial Ancillary Services** between **NGET** and the **User** using other parameters; and

- (d) A facility to modify, so as to fulfil the requirements of the **Balancing Codes**, the **Target Frequency** setting either continuously or in a maximum of 0.05 Hz steps over at least the range 50 ±0.1 Hz should be provided in the unit load controller or equivalent device.
- (e) (i) Each Onshore Generating Unit and/or CCGT Module which has a Completion Date after 1 January 2001 in England and Wales, and after 1 April 2005 in Scotland, must be capable of meeting the minimum Frequency response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (ii) Each DC Converter at a DC Converter Station which has a Completion Date on or after 1 April 2005 and each Offshore DC Converter at a Large Power Station must be capable of meeting the minimum Frequency response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (iii) Each Onshore Power Park Module in operation in England and Wales with a Completion Date on or after 1 January 2006 must be capable of meeting the minimum Frequency response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (iv) Each Onshore Power Park Module in operation on or after 1 January 2006 in Scotland (with a Completion Date on or after 1 April 2005 and a Registered Capacity of 50MW or more) must be capable of meeting the minimum Frequency response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (v) Each Offshore Generating Unit in a Large Power Station must be capable of meeting the minimum Frequency response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (vi) Each Offshore Power Park Module in a Large Power Station with a Registered Capacity of 50 MW or greater, must be capable of meeting the minimum Frequency response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (vii) Subject to the requirements of CC.6.3.7(e), Offshore Generating Units at a Large Power Station, Offshore Power Park Modules at a Large Power Station and Offshore DC Converters in a Large Power Station shall comply with the requirements of CC.6.3.7. Generators should be aware that Section K of the STC

- places requirements on **Offshore Transmission Licensees** which utilise a **Transmission DC Converter** as part of their **Offshore Transmission System** to make appropriate provisions to enable **Generators** to fulfil their obligations.
- (viii) Each OTSDUW DC Converter must be capable of providing a continuous signal indicating the real time frequency measured at the Interface Point to the Offshore Grid Entry Point.
- (f) For the avoidance of doubt, the requirements of Appendix 3 do not apply to:
 - (i) Generating Units and/or CCGT Modules which have a Completion Date before 1 January 2001 in England and Wales, and before 1 April 2005 in Scotland, for whom the remaining requirements of this clause CC.6.3.7 shall continue to apply unchanged: or
 - (ii) **DC Converters** at a **DC Converter Station** which have a **Completion Date** before 1 April 2005; or
 - (iii) Onshore Power Park Modules in England and Wales with a Completion Date before 1 January 2006 for whom only the requirements of Limited Frequency Sensitive Mode (BC3.5.2) operation shall apply; or
 - (iv) Onshore Power Park Modules in operation in Scotland before 1 January 2006 for whom only the requirements of Limited Frequency Sensitive Mode (BC3.5.2) operation shall apply; or
 - (v) Onshore Power Park Modules in operation after 1 January 2006 in Scotland which have a Completion Date before 1 April 2005 for whom the remaining requirements of this clause CC.6.3.7 shall continue to apply unchanged; or
 - (vi) Offshore Power Park Modules which are in a Large Power Station with a Registered Capacity less than 50MW for whom only the requirements of Limited Frequency Sensitive Mode (BC3.5.2) operation shall apply; or

Excitation and Voltage Control Performance Requirements

- CC.6.3.8
- (a) Excitation and voltage control performance requirements applicable to **Onshore**Generating Units, Onshore Power Park Modules, Onshore DC Converters and

 OTSDUW Plant and Apparatus.
 - (i) A continuously-acting automatic excitation control system is required to provide constant terminal voltage control of the Onshore Synchronous Generating Unit without instability over the entire operating range of the Onshore Generating Unit.
 - (ii) In respect of Onshore Synchronous Generating Units with a Completion Date before 1 January 2009, the requirements for excitation control facilities, including Power System Stabilisers, where in NGET's view these are necessary for system reasons, will be specified in the Bilateral Agreement. If any Modification to the excitation control facilities of such Onshore Synchronous Generating Units is made on or after 1 January 2009 the requirements that shall apply may be specified in the Bilateral Agreement as varied. To the extent that the Bilateral Agreement does not specify, the requirements given or referred to in CC.A.6 shall apply. The performance requirements for a continuously acting automatic excitation control system that shall be complied with by the User in respect of such Onshore Synchronous Generating Units with a Completion Date on or after 1 January 2009 are given or referred to in CC.A.6. Reference is made to on-load commissioning witnessed by NGET in BC2.11.2.
 - (iii) In the case of an Onshore Non-Synchronous Generating Unit, Onshore DC Converter, Onshore Power Park Module or OTSDUW Plant and Apparatus at the Interface Point a continuously-acting automatic control system is required to provide control of the voltage (or zero transfer of Reactive Power as applicable to CC.6.3.2) at the Onshore Grid Entry Point or User System Entry Point or in the case of OTSDUW Plant and Apparatus at the Interface Point without instability over the entire operating range of the Onshore Non-Synchronous Generating

Unit, Onshore DC Converter, Onshore Power Park Module or OTSDUW Plant and Apparatus. Any Plant or Apparatus used in the provisions of such voltage control within an Onshore Power Park Module may be located at the Power Park Unit terminals, an appropriate intermediate busbar or the Connection Point. OTSDUW Plant and Apparatus used in the provision of such voltage control may be located at the Offshore Grid Entry Point, an appropriate intermediate busbar or at the Interface Point. In the case of an Onshore Power Park Module in Scotland with a Completion Date before 1 January 2009, voltage control may be at the Power Park Unit terminals, an appropriate intermediate busbar or the Connection Point as specified in the Bilateral Agreement. When operating below 20% Rated MW the automatic control system may continue to provide voltage control utilising any available reactive capability. If voltage control is not being provided the automatic control system shall be designed to ensure a smooth transition between the shaded area bound by CD and the non shaded area bound by AB in Figure 1 of CC.6.3.2 (c).

- (iv) The performance requirements for a continuously acting automatic voltage control system in respect of Onshore Power Park Modules, Onshore Non-Synchronous Generating Units and Onshore DC Converters with a Completion Date before 1 January 2009 will be specified in the Bilateral Agreement. If any Modification to the continuously acting automatic voltage control system of such Onshore Power Park Modules, Onshore Non-Synchronous Generating Units and Onshore DC Converters is made on or after 1 January 2009 the requirements that shall apply may be specified in the Bilateral Agreement as varied. To the extent that the Bilateral Agreement does not specify, the requirements given or referred to in CC.A.7 shall apply. The performance requirements for a continuously acting automatic voltage control system that shall be complied with by the User in respect of Onshore Power Park Modules, Onshore Non-Synchronous Generating Units and Onshore DC Converters or OTSDUW Plant and Apparatus at the Interface Point with a Completion Date on or after 1 January 2009 are given or referred to in CC.A.7.
- (v) In particular, other control facilities, including constant Reactive Power output control modes and constant Power Factor control modes (but excluding VAR limiters) are not required. However, if present in the excitation or voltage control system they will be disabled unless the Bilateral Agreement records otherwise. Operation of such control facilities will be in accordance with the provisions contained in BC2.
- (b) Excitation and voltage control performance requirements applicable to Offshore Generating Units at a Large Power Station, Offshore Power Park Modules at a Large Power Station and Offshore DC Converters at a Large Power Station.

A continuously acting automatic control system is required to provide either:

- (i) control of Reactive Power (as specified in CC.6.3.2(e) (i) (ii)) at the Offshore Grid Entry Point without instability over the entire operating range of the Offshore Generating Unit, Offshore DC Converter or Offshore Power Park Module. The performance requirements for this automatic control system will be specified in the Bilateral Agreement or;
- (ii) where an alternative reactive capability has been specified in the Bilateral Agreement, in accordance with CC.6.3.2 (e) (iii), the Offshore Generating Unit, Offshore Power Park Module or Offshore DC Converter will be required to control voltage and / or Reactive Power without instability over the entire operating range of the Offshore Generating Unit, Offshore Power Park Module or Offshore DC Converter. The performance requirements of the control system will be specified in the Bilateral Agreement.

In addition to CC.6.3.8(b) (i) and (ii) the requirements for excitation control facilities, including **Power System Stabilisers**, where in **NGET's** view these are necessary for system reasons, will be specified in the **Bilateral Agreement**. Reference is made to onload commissioning witnessed by **NGET** in BC2.11.2.

Steady state Load Inaccuracies

CC.6.3.9 The standard deviation of **Load** error at steady state **Load** over a 30 minute period must not exceed 2.5 per cent of a **Genset's Registered Capacity**. Where a **Genset** is instructed to **Frequency** sensitive operation, allowance will be made in determining whether there has been an error according to the governor droop characteristic registered under the **PC**.

For the avoidance of doubt in the case of a **Power Park Module** allowance will be made for the full variation of mechanical power output.

Negative Phase Sequence Loadings

CC.6.3.10 In addition to meeting the conditions specified in CC.6.1.5(b), each **Synchronous Generating Unit** will be required to withstand, without tripping, the negative phase sequence loading incurred by clearance of a close-up phase-to-phase fault, by **System Back-Up Protection** on the **National Electricity Transmission System** or **User System** located **Onshore** in which it is **Embedded**.

Neutral Earthing

CC.6.3.11 At nominal **System** voltages of 132kV and above the higher voltage windings of a transformer of a **Generating Unit**, **DC Converter**, **Power Park Module** or transformer resulting from **OTSDUW** must be star connected with the star point suitable for connection to earth. The earthing and lower voltage winding arrangement shall be such as to ensure that the **Earth Fault Factor** requirement of paragraph CC.6.2.1.1 (b) will be met on the **National Electricity Transmission System** at nominal **System** voltages of 132kV and above.

Frequency Sensitive Relays

- As stated in CC.6.1.3, the System Frequency could rise to 52Hz or fall to 47Hz. Each Generating Unit, DC Converter, OTSDUW Plant and Apparatus, Power Park Module or any constituent element must continue to operate within this Frequency range for at least the periods of time given in CC.6.1.3 unless NGET has agreed to any Frequency-level relays and/or rate-of-change-of-Frequency relays which will trip such Generating Unit, DC Converter, OTSDUW Plant and Apparatus, Power Park Module and any constituent element within this Frequency range, under the Bilateral Agreement.
- CC.6.3.13 Generators (including in respect of OTSDUW Plant and Apparatus) and DC Converter Station owners will be responsible for protecting all their Generating Units (and OTSDUW Plant and Apparatus), DC Converters or Power Park Modules against damage should Frequency excursions outside the range 52Hz to 47Hz ever occur. Should such excursions occur, it is up to the Generator or DC Converter Station owner to decide whether to disconnect his Apparatus for reasons of safety of Apparatus, Plant and/or personnel.
- CC.6.3.14 It may be agreed in the **Bilateral Agreement** that a **Genset** shall have a **Fast-Start Capability**. Such **Gensets** may be used for **Operating Reserve** and their **Start-Up** may be initiated by **Frequency**-level relays with settings in the range 49Hz to 50Hz as specified pursuant to **OC2**.

CC.6.3.15 Fault Ride Through

This section sets out the fault ride through requirements on Generating Units, Power Park Modules, DC Converters and OTSDUW Plant and Apparatus. Onshore Generating Units, Onshore Power Park Modules, Onshore DC Converters (including Embedded Medium Power Stations and Embedded DC Converter Stations not subject to a Bilateral Agreement and with an Onshore User System Entry Point (irrespective of whether they are located Onshore or Offshore)) and OTSDUW Plant and Apparatus are required to operate through System faults and disturbances as defined in CC.6.3.15.1 (a), CC.6.3.15.1 (b) and CC.6.3.15.3. Offshore Generating Units at a Large Power Station, Offshore Power Park Modules at a Large Power Station and Offshore DC Converters at a Large Power Station shall have the option of meeting either:

- (i) CC.6.3.15.1 (a), CC.6.3.15.1 (b) and CC.6.3.15.3, or:
- (ii) CC.6.3.15.2 (a), CC.6.3.15.2 (b) and CC.6.3.15.3

Offshore Generators and Offshore DC Converter owners, should notify NGET which option they wish to select within 28 days (or such longer period as NGET may agree, in any event this being no later than 3 months before the Completion Date of the offer for a final CUSC Contract which would be made following the appointment of the Offshore Transmission Licensee).

- CC.6.3.15.1 Fault Ride through applicable to Generating Units, Power Park Modules and DC Converters and OTSDUW Plant and Apparatus
 - (a) Short circuit faults on the **Onshore Transmission System** (which may include an **Interface Point**) at **Supergrid Voltage** up to 140ms in duration.
 - Each Generating Unit, DC Converter, or Power Park Module and any constituent Power Park Unit thereof and OTSDUW Plant and Apparatus shall remain transiently stable and connected to the System without tripping of any Generating Unit, DC Converter or Power Park Module and / or any constituent Power Park Unit and OTSDUW Plant and Apparatus, for a close-up solid threephase short circuit fault or any unbalanced short circuit fault on the Onshore Transmission System (including in respect of OTSDUW Plant and Apparatus, the Interface Point) operating at Supergrid Voltages for a total fault clearance time of up to 140 ms. A solid three-phase or unbalanced earthed fault results in zero voltage on the faulted phase(s) at the point of fault. The duration of zero voltage is dependent on local **Protection** and circuit breaker operating times. This duration and the fault clearance times will be specified in the Bilateral Agreement. Following fault clearance, recovery of the Supergrid Voltage on the Onshore Transmission System to 90% may take longer than 140ms as illustrated in Appendix 4A Figures CC.A.4A.1 (a) and (b). It should be noted that in the case of an Offshore Generating Unit, Offshore DC Converter or Offshore Power Park Module (including any Offshore Power Park Unit thereof) which is connected to an Offshore Transmission System which includes a Transmission DC Converter as part of that Offshore Transmission System, the Offshore Grid Entry Point voltage may not indicate the presence of a fault on the Onshore Transmission System. The fault will affect the level of Active Power that can be transferred to the Onshore Transmission System and therefore subject the Offshore Generating Unit. Offshore DC Converter or Offshore Power Park Module (including any Offshore Power Park Unit thereof) to a load rejection.
 - (ii) Each Generating Unit, Power Park Module and OTSDUW Plant and Apparatus, shall be designed such that upon both clearance of the fault on the Onshore Transmission System as detailed in CC.6.3.15.1 (a) (i) and within 0.5 seconds of the restoration of the voltage at the Onshore Grid Entry Point (for Onshore Generating Units or Onshore Power Park Modules) or Interface Point (for Offshore Generating Units, Offshore Power Park Modules or OTSDUW Plant and Apparatus) to the minimum levels specified in CC.6.1.4 (or within 0.5 seconds of restoration of the voltage at the User System Entry Point to 90% of

nominal or greater if **Embedded**), **Active Power** output or in the case of **OTSDUW Plant and Apparatus**, **Active Power** transfer capability, shall be restored to at least 90% of the level available immediately before the fault. Once the **Active Power** output, or in the case of **OTSDUW Plant and Apparatus**, **Active Power** transfer capability, has been restored to the required level, **Active Power** oscillations shall be acceptable provided that:

- the total Active Energy delivered during the period of the oscillations is at least that which would have been delivered if the Active Power was constant
- the oscillations are adequately damped

During the period of the fault as detailed in CC.6.3.15.1 (a) (i) for which the voltage at the Grid Entry Point (or Interface Point in the case of OTSDUW Plant and Apparatus) is outside the limits specified in CC.6.1.4, each Generating Unit or Power Park Module or OTSDUW Plant and Apparatus shall generate maximum reactive current without exceeding the transient rating limit of the Generating Unit, OTSDUW Plant and Apparatus or Power Park Module and / or any constituent Power Park Unit.

- (iii) Each DC Converter shall be designed to meet the Active Power recovery characteristics (and OTSDUW DC Converter shall be designed to meet the Active Power transfer capability at the Interface Point) as specified in the Bilateral Agreement upon clearance of the fault on the Onshore Transmission System as detailed in CC.6.3.15.1 (a) (i).
- (b) **Supergrid Voltage** dips on the **Onshore Transmission System** greater than 140ms in duration

In addition to the requirements of CC.6.3.15.1 (a) each **Generating Unit**, **OTSDUW Plant and Apparatus**, or each **Power Park Module** and / or any constituent **Power Park Unit**, each with a **Completion Date** on or after the 1 April 2005 shall:

(i) remain transiently stable and connected to the System without tripping of any Generating Unit, OTSDUW Plant and Apparatus, or Power Park Module and / or any constituent Power Park Unit, for balanced Supergrid Voltage dips and associated durations on the Onshore Transmission System (which could be at the Interface Point) anywhere on or above the heavy black line shown in Figure 5. Appendix 4A and Figures CC.A.4A.3 (a), (b) and (c) provide an explanation and illustrations of Figure 5; and,

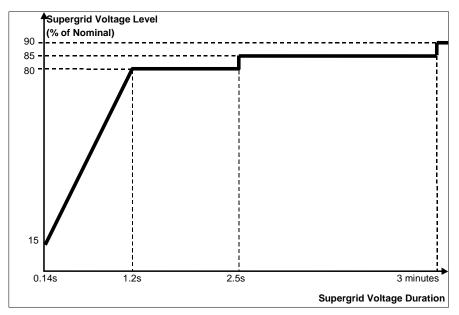


Figure 5

(ii) provide Active Power output at the Grid Entry Point or in the case of an

OTSDUW, Active Power transfer capability at the Transmission Interface Point, during Supergrid Voltage dips on the Onshore Transmission System as described in Figure 5, at least in proportion to the retained balanced voltage at the Onshore Grid Entry Point (for Onshore Generating Units and Onshore Power Park Modules) or Interface Point (for Offshore Generating Units, OTSDUW Plant and Apparatus and Offshore Power Park Modules) (or the retained balanced voltage at the User System Entry Point if Embedded) except in the case of a Non-Synchronous Generating Unit or OTSDUW Plant and Apparatus or Power Park Module where there has been a reduction in the Intermittent Power Source or in the case of OTSDUW Active Power transfer capability in the time range in Figure 5 that restricts the Active Power output or in the case of an OTSDUW Active Power transfer capability below this level and shall generate maximum reactive current (where the voltage at the Grid Entry Point, or in the case of an OTSDUW Plant and Apparatus, the Interface Point voltage, is outside the limits specified in CC.6.1.4) without exceeding the transient rating limits of the Generating Unit, OTSDUW Plant and Apparatus or Power Park Module and any constituent Power Park Unit; and,

(iii) restore Active Power output (or, in the case of OTSDUW, Active Power transfer capability), following Supergrid Voltage dips on the Onshore Transmission System as described in Figure 5, within 1 second of restoration of the voltage at the:

Onshore Grid Entry Point for directly connected Onshore Generating Units and Onshore Power Park Modules or,

Interface Point for Offshore Generating Units, OTSDUW Plant and Apparatus and Offshore Power Park Modules or,

User System Entry Point for Embedded Onshore Generating Units and Embedded Onshore Power Park Modules or,

User System Entry Point for Embedded Medium Power Stations and Embedded DC Converter Stations not subject to a Bilateral Agreement and with an Onshore User System Entry Point (irrespective of whether they are located Onshore or Offshore)

to the minimum levels specified in CC.6.1.4 to at least 90% of the level available immediately before the occurrence of the dip except in the case of a Non-Synchronous Generating Unit, OTSDUW Plant and Apparatus or Power Park Module where there has been a reduction in the Intermittent Power Source in the time range in Figure 5 that restricts the Active Power output or, in the case of OTSDUW, Active Power transfer capability below this level. Once the Active Power output or, in the case of OTSDUW, Active Power transfer capability has been restored to the required level, Active Power oscillations shall be acceptable provided that:

- the total **Active Energy** delivered during the period of the oscillations is at least that which would have been delivered if the **Active Power** was constant
- the oscillations are adequately damped.

For the avoidance of doubt a balanced **Onshore Transmission System Supergrid Voltage** meets the requirements of CC.6.1.5 (b) and CC.6.1.6.

CC.6.3.15.2 Fault Ride Through applicable to **Offshore Generating Units** at a **Large Power Station**, **Offshore Power Park Modules** at a **Large Power Station** and **Offshore DC Converters** at a **Large Power Station** who choose to meet the fault ride through requirements at the **LV** side of the **Offshore Platform**

- (a) Requirements on Offshore Generating Units, Offshore Power Park Modules and Offshore DC Converters to withstand voltage dips on the LV Side of the Offshore Platform for up to 140ms in duration as a result of faults and / or voltage dips on the Onshore Transmission System operating at Supergrid Voltage
 - Each Offshore Generating Unit, Offshore DC Converter, or Offshore Power Park Module and any constituent Power Park Unit thereof shall remain transiently stable and connected to the System without tripping of any Offshore Generating Unit, or Offshore DC Converter or Offshore Power Park Module and / or any constituent Power Park Unit, for any balanced or unbalanced voltage dips on the LV Side of the Offshore Platform whose profile is anywhere on or above the heavy black line shown in Figure 6. For the avoidance of doubt, the profile beyond 140ms in Figure 6 shows the minimum recovery in voltage that will be seen by the generator following clearance of the fault at 140ms. Appendix 4B and Figures CC.A.4B.2 (a) and (b) provide further illustration of the voltage recovery profile that may be seen. It should be noted that in the case of an Offshore Generating Unit, Offshore DC Converter or Offshore Power Park Module (including any Offshore Power Park Unit thereof) which is connected to an Offshore Transmission System which includes a Transmission DC Converter as part of that Offshore Transmission System, the Offshore Grid Entry Point voltage may not indicate the presence of a fault on the Onshore Transmission System. The voltage dip will affect the level of Active Power that can be transferred to the Onshore Transmission System and therefore subject the Offshore Generating Unit, Offshore DC Converter or Offshore Power Park Module (including any Offshore Power Park Unit thereof) to a load rejection.

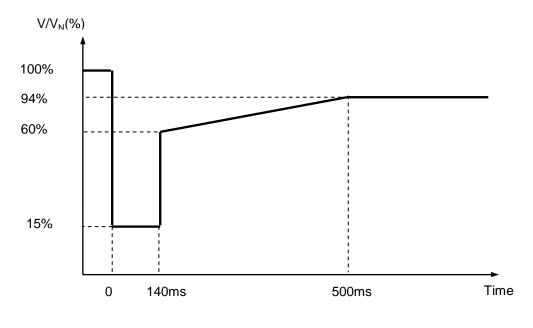


Figure 6

 V/V_N is the ratio of the actual voltage on one or more phases at the LV Side of the Offshore Platform to the nominal voltage of the LV Side of the Offshore Platform.

(ii) Each Offshore Generating Unit, or Offshore Power Park Module and any constituent Power Park Unit thereof shall provide Active Power output, during voltage dips on the LV Side of the Offshore Platform as described in Figure 6, at least in proportion to the retained voltage at the LV Side of the Offshore Platform except in the case of an Offshore Non-Synchronous Generating Unit or Offshore Power Park Module where there has been a reduction in the Intermittent Power Source in the time range in Figure 6 that restricts the Active Power output below this level and shall generate maximum reactive current without exceeding the transient rating limits of the Offshore Generating Unit or

Offshore Power Park Module and any constituent Power Park Unit. Once the Active Power output has been restored to the required level, Active Power oscillations shall be acceptable provided that:

- the total Active Energy delivered during the period of the oscillations is at least that which would have been delivered if the Active Power was constant
- the oscillations are adequately damped

and:

- (iii) Each Offshore DC Converter shall be designed to meet the Active Power recovery characteristics as specified in the Bilateral Agreement upon restoration of the voltage at the LV Side of the Offshore Platform.
- (b) Requirements of **Offshore Generating Units**, **Offshore Power Park Modules** to withstand voltage dips on the **LV Side of the Offshore Platform** greater than 140ms in duration.

In addition to the requirements of CC.6.3.15.2. (a) each **Offshore Generating Unit** or **Offshore Power Park Module** and / or any constituent **Power Park Unit**, shall:

(i) remain transiently stable and connected to the **System** without tripping of any **Offshore Generating Unit** or **Offshore Power Park Module** and / or any constituent **Power Park Unit**, for any balanced voltage dips on the **LV side of the Offshore Platform** and associated durations anywhere on or above the heavy black line shown in Figure 7. Appendix 4B and Figures CC.A.4B.3. (a), (b) and (c) provide an explanation and illustrations of Figure 7. It should be noted that in the case of an **Offshore Generating Unit**, or **Offshore Power Park Module** (including any **Offshore Power Park Unit** thereof) which is connected to an **Offshore Transmission System** which includes a **Transmission DC Converter** as part of that **Offshore Transmission System**, the **Offshore Grid Entry Point** voltage may not indicate the presence of a voltage dip on the **Onshore Transmission System**. The voltage dip will affect the level of **Active Power** that can be transferred to the **Onshore Transmission System** and therefore subject the **Offshore Generating Unit**, or **Offshore Power Park Module** (including any **Offshore Power Park Unit** thereof) to a load rejection.

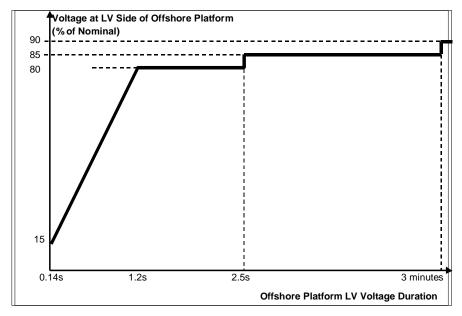


Figure 7

(ii) provide Active Power output, during voltage dips_on the LV Side of the Offshore Platform as described in Figure 7, at least in proportion to the retained balanced or unbalanced voltage at the LV Side of the Offshore Platform except in the case of an Offshore Non-Synchronous Generating Unit or Offshore Power Park Module where there has been a reduction in the Intermittent Power Source in the time range in Figure 7 that restricts the Active Power output below this level and shall generate maximum reactive current (where the voltage at the Offshore Grid Entry Point is outside the limits specified in CC.6.1.4) without exceeding the transient rating limits of the Offshore Generating Unit or Offshore Power Park Module and any constituent Power Park Unit; and,

- (iii) within 1 second of the restoration of the voltage at the LV Side of the Offshore Platform (to the minimum levels specified in CC.6.1.4) restore Active Power to at least 90% of the Offshore Generating Unit's or Offshore Power Park Module's immediate pre-disturbed value, unless there has been a reduction in the Intermittent Power Source in the time range in Figure 7 that restricts the Active Power output below this level. Once the Active Power output has been restored to the required level, Active Power oscillations shall be acceptable provided that:
 - the total **Active Energy** delivered during the period of the oscillations is at least that which would have been delivered if the **Active Power** was constant
 - the oscillations are adequately damped

CC.6.3.15.3 Other Requirements

- (i) In the case of a Power Park Module (comprising of wind-turbine generator units), the requirements in CC.6.3.15.1 and CC.6.3.15.2 do not apply when the Power Park Module is operating at less than 5% of its Rated MW or during very high wind speed conditions when more than 50% of the wind turbine generator units in a Power Park Module have been shut down or disconnected under an emergency shutdown sequence to protect User's Plant and Apparatus.
- (ii) In addition to meeting the conditions specified in CC.6.1.5(b) and CC.6.1.6, each Non-Synchronous Generating Unit, OTSDUW Plant and Apparatus or Power Park Module with a Completion Date after 1 April 2005 and any constituent Power Park Unit thereof will be required to withstand, without tripping, the negative phase sequence loading incurred by clearance of a close-up phase-to-phase fault, by System Back-Up Protection on the Onshore Transmission System operating at Supergrid Voltage.
- (iii) In the case of an Onshore Power Park Module in Scotland with a Completion Date before 1 January 2004 and a Registered Capacity less than 30MW the requirements in CC.6.3.15.1 (a) do not apply. In the case of an Onshore Power Park Module in Scotland with a Completion Date on or after 1 January 2004 and before 1 July 2005 and a Registered Capacity less than 30MW the requirements in CC.6.3.15.1 (a) are relaxed from the minimum Onshore Transmission System Supergrid Voltage of zero to a minimum Onshore Transmission System Supergrid Voltage of 15% of nominal. In the case of an Onshore Power Park Module in Scotland with a Completion Date before 1 January 2004 and a Registered Capacity of 30MW and above the requirements in CC.6.3.15.1 (a) are relaxed from the minimum Onshore Transmission System Supergrid Voltage of zero to a minimum Onshore Transmission System Supergrid Voltage of 15% of nominal.
- (iv) To avoid unwanted island operation, Non-Synchronous Generating Units in Scotland (and those directly connected to a Scottish Offshore Transmission System), Power Park Modules in Scotland (and those directly connected to a Scottish Offshore Transmission System), or OTSDUW Plant and Apparatus with an Interface Point in Scotland shall be tripped for the following conditions:
 - (1) **Frequency** above 52Hz for more than 2 seconds
 - (2) Frequency below 47Hz for more than 2 seconds
 - (3) Voltage as measured at the Onshore Connection Point or Onshore User System Entry Point or Offshore Grid Entry Point or Interface Point in the case of OTSDUW Plant and Apparatus is below 80% for more than 2.5 seconds
 - (4) Voltage as measured at the Onshore Connection Point or Onshore User

System Entry Point or Offshore Grid Entry Point or Interface Point in the case of OTSDUW Plant and Apparatus is above 120% (115% for 275kV) for more than 1 second.

The times in sections (1) and (2) are maximum trip times. Shorter times may be used to protect the Non-Synchronous Generating Units, or OTSDUW Plant and Apparatus or Power Park Modules.

Additional Damping Control Facilities for DC Converters

- CC.6.3.16
- (a) DC Converter owners, or Generators in respect of OTSDUW DC Converters or Network Operators in the case of an Embedded DC Converter Station not subject to a Bilateral Agreement must ensure that any of their Onshore DC Converters or OTSDUW DC Converters will not cause a sub-synchronous resonance problem on the Total System. Each DC Converter or OTSDUW DC Converter is required to be provided with sub-synchronous resonance damping control facilities.
- (b) Where specified in the Bilateral Agreement, each DC Converter or OTSDUW DC Converter is required to be provided with power oscillation damping or any other identified additional control facilities.

System to Generator Operational Intertripping Scheme

- CC.6.3.17
- **NGET** may require that a **System to Generator Operational Intertripping Scheme** be installed as part of a condition of the connection of the **Generator**. Scheme specific details shall be included in the relevant **Bilateral Agreement** and shall, in respect of **Bilateral Agreements** entered into on or after 16th March 2009 include the following information:
- (1) the relevant category(ies) of the scheme (referred to as Category 1 Intertripping Scheme, Category 2 Intertripping Scheme, Category 3 Intertripping Scheme and Category 4 Intertripping Scheme);
- (2) the **Generating Unit(s)** or **CCGT Module(s)** or **Power Park Module(s)** to be either permanently armed or that can be instructed to be armed in accordance with BC2.8;
- (3) the time within which the **Generating Unit(s)** or **CCGT Module(s)** or **Power Park Module(s)** circuit breaker(s) are to be automatically tripped;
- (4) the location to which the trip signal will be provided by NGET. Such location will be provided by NGET prior to the commissioning of the Generating Unit(s) or CCGT Module(s) or Power Park Module(s).

Where applicable, the **Bilateral Agreement** shall include the conditions on the **National Electricity Transmission System** during which **NGET** may instruct the **System to Generator Operational Intertripping Scheme** to be armed and the conditions that would initiate a trip signal.

- CC.6.3.18
- The time within which the **Generating Unit(s)** or **CCGT Module** or **Power Park Module** circuit breaker(s) need to be automatically tripped is determined by the specific conditions local to the **Generator**. This 'time to trip' (defined as time from provision of the trip signal by **NGET** to the specified location, to circuit breaker main contact opening) can typically range from 100ms to 10sec. A longer time to trip may allow the initiation of an automatic reduction in the **Generating Unit(s)** or **CCGT Module(s)** or **Power Park Module(s)** output prior to the automatic tripping of the **Generating Unit(s)** or **CCGT Module(s)** or **Power Park Module(s)** circuit breaker. Where applicable **NGET** may provide separate trip signals to allow for either a longer or shorter 'time to trip' to be initiated.
- CC.6.4 General Network Operator And Non-Embedded Customer Requirements
- CC.6.4.1 This part of the **Grid Code** describes the technical and design criteria and performance requirements for **Network Operators** and **Non-Embedded Customers**.

Neutral Earthing

CC.6.4.2 At nominal **System** voltages of 132kV and above the higher voltage windings of three phase transformers and transformer banks connected to the National Electricity Transmission System must be star connected with the star point suitable for connection to earth. The earthing and lower voltage winding arrangement shall be such as to ensure that the Earth Fault Factor requirement of paragraph CC.6.2.1.1 (b) will be met on the National Electricity Transmission System at nominal System voltages of 132kV and above.

Frequency Sensitive Relays

CC.6.4.3 As explained under OC6, each Network Operator, will make arrangements that will facilitate automatic low Frequency Disconnection of Demand (based on Annual ACS Conditions). CC.A.5.5. of Appendix 5 includes specifications of the local percentage **Demand** that shall be disconnected at specific frequencies. The manner in which Demand subject to low Frequency disconnection will be split into discrete MW blocks is specified in OC6.6. Technical requirements relating to Low Frequency Relays are also listed in Appendix 5.

Operational Metering

CC.6.4.4 Where NGET can reasonably demonstrate that an Embedded Medium Power Station or Embedded DC Converter Station has a significant effect on the National Electricity Transmission System, it may require the Network Operator within whose System the Embedded Medium Power Station or Embedded DC Converter Station is situated to ensure that the operational metering equipment described in CC.6.5.6 is installed such that NGET can receive the data referred to in CC.6.5.6. In the case of an Embedded Medium Power Station subject to, or proposed to be subject to a Bilateral Agreement NGET shall notify such Network Operator of the details of such installation in writing within 3 months of being notified of the application to connect under CUSC and in the case of an Embedded Medium Power Station not subject to, or not proposed to be subject to a Bilateral Agreement in writing as a Site Specific Requirement in accordance with the timescales in CUSC 6.5.5. In either case the Network Operator shall ensure that the data referred to in CC.6.5.6 is provided to NGET.

CC.6.5 Communications Plant

- In order to ensure control of the National Electricity Transmission System, CC.6.5.1 telecommunications between Users and NGET must (including in respect of any OTSDUW Plant and Apparatus at the OTSUA Transfer Time), if required by NGET, be established in accordance with the requirements set down below.
- CC.6.5.2 Control Telephony and System Telephony
- CC.6.5.2.1 Control Telephony is the principle method by which a User's Responsible Engineer/Operator and NGET Control Engineers speak to one another for the purposes of control of the Total System in both normal and emergency operating conditions. Control Telephony provides secure point to point telephony for routine Control Calls, priority Control Calls and emergency Control Calls.
- CC.6.5.2.2 System Telephony is an alternate method by which a User's Responsible Engineer/Operator and NGET Control Engineers speak to one another for the purposes of control of the Total System in both normal operating conditions and where practicable, emergency operating conditions. System Telephony uses the Public Switched Telephony Network to provide telephony for Control Calls, inclusive of emergency Control Calls.
- CC.6.5.2.3 Calls made and received over Control Telephony and System Telephony may be recorded and subsequently replayed for commercial and operational reasons.
- CC.6.5.3 Supervisory Tones
- CC.6.5.3.1 Control Telephony supervisory tones indicate to the calling and receiving parties dial, engaged, ringing, secondary engaged (signifying that priority may be exercised) and priority disconnect tones.

- CC.6.5.3.2 **System Telephony** supervisory tones indicate to the calling and receiving parties dial, engaged and ringing tones.
- CC.6.5.4 Obligations in respect of Control Telephony and System Telephony
- CC.6.5.4.1 Where NGET requires Control Telephony, Users are required to use the Control Telephony with NGET in respect of all Connection Points with the National Electricity Transmission System and in respect of all Embedded Large Power Stations and Embedded DC Converter Stations. NGET will install Control Telephony at the User's Control Point where the User's telephony equipment is not capable of providing the required facilities or is otherwise incompatible with the Transmission Control Telephony. Details of and relating to the Control Telephony required are contained in the Bilateral Agreement.
- CC.6.5.4.2 Where in **NGET**'s sole opinion the installation of **Control Telephony** is not practicable at a **User's Control Point(s)**, **NGET** shall specify in the **Bilateral Agreement** whether **System Telephony** is required. Where **System Telephony** is required by **NGET**, the **User** shall ensure that **System Telephony** is installed.
- CC.6.5.4.3 Where **System Telephony** is installed, **Users** are required to use the **System Telephony** with **NGET** in respect of those **Control Point(s)** for which it has been installed. Details of and relating to the **System Telephony** required are contained in the **Bilateral Agreement**.
- CC.6.5.4.4 Where **Control Telephony** or **System Telephony** is installed, routine testing of such facilities may be required by **NGET** (not normally more than once in any calendar month). The **User** and **NGET** shall use reasonable endeavours to agree a test programme and where **NGET** requests the assistance of the **User** in performing the agreed test programme the **User** shall provide such assistance.
- CC.6.5.4.5 **Control Telephony** and **System Telephony** shall only be used for the purposes of operational voice communication between **NGET** and the relevant **User**.
- CC.6.5.4.6 **Control Telephony** contains emergency calling functionality to be used for urgent operational communication only. Such functionality enables **NGET** and **Users** to utilise a priority call in the event of an emergency. **NGET** and **Users** shall only use such priority call functionality for urgent operational communications.
- CC.6.5.5 <u>Technical Requirements for Control Telephony and System Telephony</u>
- CC.6.5.5.1 Detailed information on the technical interfaces and support requirements for **Control Telephony** applicable in **NGET's Transmission Area** is provided in the **Control Telephony Electrical Standard** identified in the Annex to the **General Conditions**. Where additional information, or information in relation to **Control Telephony** applicable in Scotland, is requested by **Users**, this will be provided, where possible, by **NGET**.
- CC.6.5.5.2 System Telephony shall consist of a dedicated Public Switched Telephone Network telephone line that shall be installed and configured by the relevant User. NGET shall provide a dedicated free phone number (UK only), for the purposes of receiving incoming calls to NGET, which Users shall utilise for System Telephony. System Telephony shall only be utilised by the NGET Control Engineer and the User's Responsible Engineer/Operator for the purposes of operational communications.

Operational Metering

CC.6.5.6

(a) NGET shall provide system control and data acquisition (SCADA) outstation interface equipment. The User shall provide such voltage, current, Frequency, Active Power and Reactive Power measurement outputs and plant status indications and alarms to the Transmission SCADA outstation interface equipment as required by NGET in accordance with the terms of the Bilateral Agreement. In the case of OTSDUW, the User shall provide such SCADA outstation interface equipment and voltage, current, Frequency, Active Power and Reactive Power measurement outputs and plant status indications and alarms to the SCADA outstation interface equipment as required by NGET in accordance with the terms of the Bilateral Agreement.

- (b) For the avoidance of doubt, for **Active Power** and **Reactive Power** measurements, circuit breaker and disconnector status indications from:
 - (i) CCGT Modules at Large Power Stations, the outputs and status indications must each be provided to NGET on an individual CCGT Unit basis. In addition, where identified in the Bilateral Agreement, Active Power and Reactive Power measurements from Unit Transformers and/or Station Transformers must be provided.
 - (ii) DC Converters at DC Converter Stations and OTSDUW DC Converters, the outputs and status indications must each be provided to NGET on an individual DC Converter basis. In addition, where identified in the Bilateral Agreement, Active Power and Reactive Power measurements from converter and/or station transformers must be provided.
 - (iii) Power Park Modules at Embedded Large Power Stations and at directly connected Power Stations, the outputs and status indications must each be provided to NGET on an individual Power Park Module basis. In addition, where identified in the Bilateral Agreement, Active Power and Reactive Power measurements from station transformers must be provided.
 - (iv) In respect of OTSDUW Plant and Apparatus, the outputs and status indications must be provided to NGET for each piece of electrical equipment. In addition, where identified in the Bilateral Agreement, Active Power and Reactive Power measurements at the Interface Point must be provided.
- (c) For the avoidance of doubt, the requirements of CC.6.5.6(a) in the case of a Cascade Hydro Scheme will be provided for each Generating Unit forming part of that Cascade Hydro Scheme. In the case of Embedded Generating Units forming part of a Cascade Hydro Scheme the data may be provided by means other than a NGET SCADA outstation located at the Power Station, such as, with the agreement of the Network Operator in whose system such Embedded Generating Unit is located, from the Network Operator's SCADA system to NGET. Details of such arrangements will be contained in the relevant Bilateral Agreements between NGET and the Generator and the Network Operator.
- (d) In the case of a Power Park Module, additional energy input signals (e.g. wind speed, and wind direction) may be specified in the Bilateral Agreement. For Power Park Modules with a Completion Date on or after 1st April 2016 a Power Available signal will also be specified in the Bilateral Agreement. The signals would be used to establish the potential level of energy input from the Intermittent Power Source for monitoring pursuant to CC.6.6.1 and Ancillary Services and will, in the case of a wind farm, be used to provide NGET with advanced warning of excess wind speed shutdown and to determine the level of Headroom available from Power Park Modules for the purposes of calculating response and reserve. For the avoidance of doubt, the Power Available signal would be automatically provided to NGET and represent the sum of the potential output of all available and operational Power Park Units within the Power Park Module. The refresh rate of the Power Available signal shall be specified in the Bilateral Agreement.

Instructor Facilities

CC.6.5.7 The **User** shall accommodate **Instructor Facilities** provided by **NGET** for the receipt of operational messages relating to **System** conditions.

Electronic Data Communication Facilities

- CC.6.5.8 (a) All **BM Participants** must ensure that appropriate electronic data communication facilities are in place to permit the submission of data, as required by the **Grid Code**, to **NGET**.
 - (b) In addition,
 - (1) any User that wishes to participate in the Balancing Mechanism;

or

(2) any BM Participant in respect of its BM Units at a Power Station where the Construction Agreement and/or a Bilateral Agreement has a Completion Date on or after 1 January 2013 and the BM Participant is required to provide all Part 1 System Ancillary Services in accordance with CC.8.1 (unless NGET has otherwise agreed)

must ensure that appropriate automatic logging devices are installed at the **Control Points** of its **BM Units** to submit data to and to receive instructions from **NGET**, as required by the **Grid Code**. For the avoidance of doubt, in the case of an **Interconnector User** the **Control Point** will be at the **Control Centre** of the appropriate **Externally Interconnected System Operator**.

(c) Detailed specifications of these required electronic facilities will be provided by NGET on request and they are listed as Electrical Standards in the Annex to the General Conditions.

Facsimile Machines

- CC.6.5.9 Each **User** and **NGET** shall provide a facsimile machine or machines:
 - (a) in the case of **Generators**, at the **Control Point** of each **Power Station** and at its **Trading Point**;
 - (b) in the case of NGET and Network Operators, at the Control Centre(s); and
 - (c) in the case of **Non-Embedded Customers** and **DC Converter Station** owners at the **Control Point**.

Each User shall notify, prior to connection to the System of the User's Plant and Apparatus, NGET of its or their telephone number or numbers, and will notify NGET of any changes. Prior to connection to the System of the User's Plant and Apparatus NGET shall notify each User of the telephone number or numbers of its facsimile machine or machines and will notify any changes.

CC.6.5.10 Busbar Voltage

NGET shall, subject as provided below, provide each Generator or DC Converter Station owner at each Grid Entry Point where one of its Power Stations or DC Converter Stations is connected with appropriate voltage signals to enable the Generator or DC Converter Station owner to obtain the necessary information to permit its Gensets or DC Converters to be Synchronised to the National Electricity Transmission System. The term "voltage signal" shall mean in this context, a point of connection on (or wire or wires from) a relevant part of Transmission Plant and/or Apparatus at the Grid Entry Point, to which the Generator or DC Converter Station owner, with NGET's agreement (not to be unreasonably withheld) in relation to the Plant and/or Apparatus to be attached, will be able to attach its Plant and/or Apparatus (normally a wire or wires) in order to obtain measurement outputs in relation to the busbar.

CC.6.5.11 Bilingual Message Facilities

- (a) A Bilingual Message Facility is the method by which the User's Responsible Engineer/Operator, the Externally Interconnected System Operator and NGET Control Engineers communicate clear and unambiguous information in two languages for the purposes of control of the Total System in both normal and emergency operating conditions.
- (b) A Bilingual Message Facility, where required, will provide up to two hundred pre-defined messages with up to five hundred and sixty characters each. A maximum of one minute is allowed for the transmission to, and display of, the selected message at any destination. The standard messages must be capable of being displayed at any combination of locations and can originate from any of these locations. Messages displayed in the UK will be displayed in the English language.

(c) Detailed information on a Bilingual Message Facility and suitable equipment required for individual **User** applications will be provided by **NGET** upon request.

CC.6.6 System Monitoring

- CC.6.6.1 Monitoring equipment is provided on the National Electricity Transmission System to enable NGET to monitor its power system dynamic performance conditions. Where this monitoring equipment requires voltage and current signals on the Generating Unit (other than Power Park Unit), DC Converter or Power Park Module circuit from the User or from OTSDUW Plant and Apparatus, NGET will inform the User and they will be provided by the User with both the timing of the installation of the equipment for receiving such signals and its exact position being agreed (the User's agreement not to be unreasonably withheld) and the costs being dealt with, pursuant to the terms of the Bilateral Agreement.
- CC.6.6.2 For all on site monitoring by **NGET** of witnessed tests pursuant to the **CP** or **OC5** the **User** shall provide suitable test signals as outlined in OC5.A.1.
- CC.6.6.2.1 The signals which shall be provided by the **User** to **NGET** for onsite monitoring shall be of the following resolution, unless otherwise agreed by **NGET**:
 - (i) 1 Hz for reactive range tests
 - (ii) 10 Hz for frequency control tests
 - (iii) 100 Hz for voltage control tests
- CC.6.6.2.2 The **User** will provide all relevant signals for this purpose in the form of d.c. voltages within the range -10V to +10V. In exceptional circumstances some signals may be accepted as d.c. voltages within the range -60V to +60V with prior agreement between the **User** and **NGET**. All signals shall:
 - (i) in the case of an Onshore Power Park Module, DC Convertor Station or Synchronous Generating Unit, be suitably terminated in a single accessible location at the Generator or DC Converter Station owner's site.
 - (ii) in the case of an Offshore Power Park Module and OTSDUW Plant and Apparatus, be transmitted onshore without attenuation, delay or filtering which would result in the inability to fully demonstrate the objectives of the test, or identify any potential safety or plant instability issues, and be suitably terminated in a single robust location normally located at or near the onshore Interface Point of the Offshore Transmission System to which it is connected.
- CC.6.6.2.3 All signals shall be suitably scaled across the range. The following scaling would (unless **NGET** notify the **User** otherwise) be acceptable to **NGET**:
 - (a) 0MW to Registered Capacity or Interface Point Capacity 0-8V dc
 - (b) Maximum leading Reactive Power to maximum lagging Reactive Power -8 to 8V dc
 - (c) 48 52Hz as -8 to 8V dc
 - (d) Nominal terminal or connection point voltage -10% to +10% as -8 to 8V dc $\,$
- CC.6.6.2.4 The **User** shall provide to **NGET** a 230V power supply adjacent to the signal terminal location.
- CC.7 <u>SITE RELATED CONDITIONS</u>
- CC.7.1 Not used.
- CC.7.2 Responsibilities For Safety
- CC.7.2.1 In England and Wales, any **User** entering and working on its **Plant** and/or **Apparatus** (including, until the **OTSUA Transfer Time**, any **OTSUA**) on a **Transmission Site** will work to the **Safety Rules** of **NGET**.

In Scotland or Offshore, any User entering and working on its Plant and/or Apparatus (including, until the OTSUA Transfer Time, any OTSUA) on a Transmission Site will work to the Safety Rules of the Relevant Transmission Licensee, as advised by NGET.

- CC.7.2.2 NGET entering and working on Transmission Plant and/or Apparatus on a User Site will work to the User's Safety Rules. For User Sites in Scotland or Offshore, NGET shall procure that the Relevant Transmission Licensee entering and working on Transmission Plant and/or Apparatus on a User Site will work to the User's Safety Rules.
- A User may, with a minimum of six weeks notice, apply to NGET for permission to work according to that Users own Safety Rules when working on its Plant and/or Apparatus on a Transmission Site rather than those set out in CC.7.2.1. If NGET is of the opinion that the User's Safety Rules provide for a level of safety commensurate with those set out in CC.7.2.1, NGET will notify the User, in writing, that, with effect from the date requested by the User, the User may use its own Safety Rules when working on its Plant and/or Apparatus on the Transmission Site. For a Transmission Site in Scotland or Offshore, in forming its opinion, NGET will seek the opinion of the Relevant Transmission Licensee. Until receipt of such written approval from NGET, the User will continue to use the Safety Rules as set out in CC.7.2.1.
- In the case of a **User Site** in England and Wales, **NGET** may, with a minimum of six weeks notice, apply to a **User** for permission to work according to **NGET's Safety Rules** when working on **Transmission Plant** and/or **Apparatus** on that **User Site**, rather than the **User's Safety Rules**. If the **User** is of the opinion that **NGET's Safety Rules** provide for a level of safety commensurate with that of that **User's Safety Rules**, it will notify **NGET**, in writing, that, with the effect from the date requested by **NGET**, **NGET** may use its own **Safety Rules** when working on its **Transmission Plant** and/or **Apparatus** on that **User Site**. Until receipt of such written approval from the **User**, **NGET** shall continue to use the **User's Safety Rules**.

In the case of a User Site in Scotland or Offshore, NGET may, with a minimum of six weeks notice, apply to a User for permission for the Relevant Transmission Licensee to work according to the Relevant Transmission Licensee's Safety Rules when working on Transmission Plant and/or Apparatus on that User Site, rather than the User's Safety Rules. If the User is of the opinion that the Relevant Transmission Licensee's Safety Rules, provide for a level of safety commensurate with that of that User's Safety Rules, it will notify NGET, in writing, that, with effect from the date requested by NGET, that the Relevant Transmission Licensee may use its own Safety Rules when working on its Transmission Plant and/or Apparatus on that User's Site. Until receipt of such written approval from the User, NGET shall procure that the Relevant Transmission Licensee shall continue to use the User's Safety Rules.

CC.7.2.5 For a Transmission Site in England and Wales, if NGET gives its approval for the User's Safety Rules to apply to the User when working on its Plant and/or Apparatus, that does not imply that the User's Safety Rules will apply to entering the Transmission Site and access to the User's Plant and/or Apparatus on that Transmission Site. Bearing in mind NGET's responsibility for the whole Transmission Site, entry and access will always be in accordance with NGET's site access procedures. For a User Site in England and Wales, if the User gives its approval for NGET's Safety Rules to apply to NGET when working on its Plant and Apparatus, that does not imply that NGET's Safety Rules will apply to entering the User Site, and access to the Transmission Plant and Apparatus on that User Site. Bearing in mind the User's responsibility for the whole User Site, entry and access will always be in accordance with the User's site access procedures.

For a Transmission Site in Scotland or Offshore, if NGET gives its approval for the User's Safety Rules to apply to the User when working on its Plant and/or Apparatus, that does not imply that the User's Safety Rules will apply to entering the Transmission Site and access to the User's Plant and/or Apparatus on that Transmission Site. Bearing in mind the Relevant Transmission Licensee's responsibility for the whole Transmission Site, entry and access will always be in accordance with the Relevant Transmission Licensee's site access procedures. For a User Site in Scotland or Offshore, if the User gives its approval for Relevant Transmission Licensee Safety Rules to apply to the Relevant Transmission Licensee when working on its Plant and Apparatus, that does not imply that the Relevant Transmission Licensee's Safety Rules will apply to entering the User Site, and access to the Transmission Plant and Apparatus on that User Site. Bearing in mind the User's responsibility for the whole User Site, entry and access will always be in accordance with the User's site access procedures.

CC.7.2.6 For **User Sites** in England and Wales, **Users** shall notify **NGET** of any **Safety Rules** that apply to **NGET**'s staff working on **User Sites**. For **Transmission Sites** in England and Wales, **NGET** shall notify **Users** of any **Safety Rules** that apply to the **User's** staff working on the **Transmission Site**.

For User Sites in Scotland or Offshore, Users shall notify NGET of any Safety Rules that apply to the Relevant Transmission Licensee's staff working on User Sites. For Transmission Sites in Scotland or Offshore NGET shall procure that the Relevant Transmission Licensee shall notify Users of any Safety Rules that apply to the User's staff working on the Transmission Site.

- CC.7.2.7 Each **Site Responsibility Schedule** must have recorded on it the **Safety Rules** which apply to each item of **Plant** and/or **Apparatus**.
- CC.7.2.8 In the case of **OTSUA** a **User Site** or **Transmission Site** shall, for the purposes of this CC.7.2, include a site at which there is an **Interface Point** until the **OTSUA Transfer Time** when it becomes part of the **National Electricity Transmission System**.
- CC.7.3 <u>Site Responsibility Schedules</u>
- In order to inform site operational staff and NGET Control Engineers of agreed responsibilities for Plant and/or Apparatus at the operational interface, a Site Responsibility Schedule shall be produced for Connection Sites (and in the case of OTSUA, until the OTSUA Transfer Time, Interface Sites) in England and Wales for NGET and Users with whom they interface, and for Connection Sites (and in the case of OTSUA, until the OTSUA Transfer Time, Interface Sites) in Scotland or Offshore for NGET, the Relevant Transmission Licensee and Users with whom they interface.
- CC.7.3.2 The format, principles and basic procedure to be used in the preparation of **Site Responsibility Schedules** are set down in Appendix 1.
- CC.7.4 <u>Operation And Gas Zone Diagrams</u>

Operation Diagrams

An **Operation Diagram** shall be prepared for each **Connection Site** at which a **Connection Point** exists (and in the case of **OTSDUW Plant and Apparatus**, by **User's** for each **Interface Point**) using, where appropriate, the graphical symbols shown in Part 1A of Appendix 2. **Users** should also note that the provisions of **OC11** apply in certain circumstances.

- The Operation Diagram shall include all HV Apparatus and the connections to all external circuits and incorporate numbering, nomenclature and labelling, as set out in OC11. At those Connection Sites (or in the case of OTSDUW Plant and Apparatus, Interface Points) where gas-insulated metal enclosed switchgear and/or other gas-insulated HV Apparatus is installed, those items must be depicted within an area delineated by a chain dotted line which intersects gas-zone boundaries. The nomenclature used shall conform with that used on the relevant Connection Site and circuit (and in the case of OTSDUW Plant and Apparatus, Interface Point and circuit). The Operation Diagram (and the list of technical details) is intended to provide an accurate record of the layout and circuit interconnections, ratings and numbering and nomenclature of HV Apparatus and related Plant.
- CC.7.4.3 A non-exhaustive guide to the types of **HV Apparatus** to be shown in the **Operation Diagram** is shown in Part 2 of Appendix 2, together with certain basic principles to be followed unless equivalent principles are approved by **NGET**.

Gas Zone Diagrams

- CC.7.4.4 A **Gas Zone Diagram** shall be prepared for each **Connection Site** at which a **Connection Point** (and in the case of **OTSDUW Plant and Apparatus**, by **User's** for an **Interface Point**) exists where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is utilised. They shall use, where appropriate, the graphical symbols shown in Part 1B of Appendix 2.
- CC.7.4.5 The nomenclature used shall conform with that used in the relevant **Connection Site** and circuit (and in the case of **OTSDUW Plant and Apparatus**, relevant **Interface Point** and circuit).
- CC.7.4.6 The basic principles set out in Part 2 of Appendix 2 shall be followed in the preparation of **Gas Zone Diagrams** unless equivalent principles are approved by **NGET**.

<u>Preparation of Operation and Gas Zone Diagrams for Users' Sites and Transmission Interface Sites</u>

- In the case of a User Site, the User shall prepare and submit to NGET, an Operation Diagram for all HV Apparatus on the User side of the Connection Point (and in the case of OTSDUW Plant and Apparatus, on what will be the Offshore Transmission side of the Connection Point and the Interface Point) and NGET shall provide the User with an Operation Diagram for all HV Apparatus on the Transmission side of the Connection Point (and in the case of OTSDUW Plant and Apparatus on what will be the Onshore Transmission side of the Interface Point, in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement prior to the Completion Date under the Bilateral Agreement and/or Construction Agreement.
- CC.7.4.8 The User will then prepare, produce and distribute, using the information submitted on the User's Operation Diagram and NGET Operation Diagram, a composite Operation Diagram for the complete Connection Site (and in the case of OTSDUW Plant and Apparatus, Interface Point), also in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement.
- CC.7.4.9 The provisions of CC.7.4.7 and CC.7.4.8 shall apply in relation to **Gas Zone Diagrams** where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is utilised.

Preparation of Operation and Gas Zone Diagrams for Transmission Sites

- CC.7.4.10 In the case of an **Transmission Site**, the **User** shall prepare and submit to **NGET** an **Operation Diagram** for all **HV Apparatus** on the **User** side of the **Connection Point**, in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.
- CC.7.4.11 NGET will then prepare, produce and distribute, using the information submitted on the User's Operation Diagram, a composite Operation Diagram for the complete Connection Site, also in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement.
- CC.7.4.12 The provisions of CC.7.4.10 and CC.7.4.11 shall apply in relation to **Gas Zone Diagrams** where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is utilised.

- CC.7.4.13 Changes to Operation and Gas Zone Diagrams
- CC.7.4.13.1 When NGET has decided that it wishes to install new HV Apparatus or it wishes to change the existing numbering or nomenclature of Transmission HV Apparatus at a Transmission Site, NGET will (unless it gives rise to a Modification under the CUSC, in which case the provisions of the CUSC as to the timing apply) one month prior to the installation or change, send to each such User a revised Operation Diagram of that Transmission Site, incorporating the new Transmission HV Apparatus to be installed and its numbering and nomenclature or the changes, as the case may be. OC11 is also relevant to certain Apparatus.
- When a **User** has decided that it wishes to install new **HV Apparatus**, or it wishes to change the existing numbering or nomenclature of its **HV Apparatus** at its **User Site**, the **User** will (unless it gives rise to a **Modification** under the **CUSC**, in which case the provisions of the **CUSC** as to the timing apply) one month prior to the installation or change, send to **NGET** a revised **Operation Diagram** of that **User Site** incorporating the new **User HV Apparatus** to be installed and its numbering and nomenclature or the changes as the case may be. **OC11** is also relevant to certain **Apparatus**.
- CC.7.4.13.3 The provisions of CC.7.4.13.1 and CC.7.4.13.2 shall apply in relation to **Gas Zone Diagrams** where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is installed.

Validity

- CC.7.4.14 (a) The composite **Operation Diagram** prepared by **NGET** or the **User**, as the case may be, will be the definitive **Operation Diagram** for all operational and planning activities associated with the **Connection Site**. If a dispute arises as to the accuracy of the composite **Operation Diagram**, a meeting shall be held at the **Connection Site**, as soon as reasonably practicable, between **NGET** and the **User**, to endeavour to resolve the matters in dispute.
 - (b) The composite Operation Diagram prepared by NGET or the User, as the case may be, will be the definitive Operation Diagram for all operational and planning activities associated with the Interface Point until the OTSUA Transfer Time. If a dispute arises as to the accuracy of the composite Operation Diagram prior to the OTSUA Transfer Time, a meeting shall be held at the Interface Point, as soon as reasonably practicable, between NGET and the User, to endeavour to resolve the matters in dispute.
 - (c) An equivalent rule shall apply for **Gas Zone Diagrams** where they exist for a **Connection Site**.
- In the case of OTSUA, a User Site and Transmission Site shall, for the purposes of this CC.7.4, include a site at which there is an Interface Point until the OTSUA Transfer Time when it becomes part of the National Electricity Transmission System and references to HV Apparatus in this CC.7.4 shall include references to HV OTSUA.
- CC.7.5 Site Common Drawings
- CC.7.5.1 Site Common Drawings will be prepared for each Connection Site (and in the case of OTSDUW, each Interface Point) and will include Connection Site (and in the case of OTSDUW, Interface Point) layout drawings, electrical layout drawings, common Protection/control drawings and common services drawings.

Preparation of Site Common Drawings for a User Site and Transmission Interface Site

In the case of a User Site, NGET shall prepare and submit to the User, Site Common Drawings for the Transmission side of the Connection Point (and in the case of OTSDUW Plant and Apparatus, on what will be the Onshore Transmission side of the Interface Point,) and the User shall prepare and submit to NGET, Site Common Drawings for the User side of the Connection Point (and in the case of OTSDUW, on what will be the Offshore Transmission side of the Interface Point) in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement.

CC.7.5.3 The User will then prepare, produce and distribute, using the information submitted on the Transmission Site Common Drawings, Site Common Drawings for the complete Connection Site (and in the case of OTSDUW, Interface Point) in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement.

Preparation of Site Common Drawings for a Transmission Site

- CC.7.5.4 In the case of a **Transmission Site**, the **User** will prepare and submit to **NGET Site Common Drawings** for the **User** side of the **Connection Point** in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.
- CC.7.5.5 NGET will then prepare, produce and distribute, using the information submitted in the User's Site Common Drawings, Site Common Drawings for the complete Connection Site in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement.
- CC.7.5.6 When a **User** becomes aware that it is necessary to change any aspect of the **Site Common Drawings** at a **Connection Site** (and in the case of **OTSDUW**, **Interface Point**) it will:
 - (a) if it is a User Site, as soon as reasonably practicable, prepare, produce and distribute revised Site Common Drawings for the complete Connection Site (and in the case of OTSDUW, Interface Point); and
 - (b) if it is a Transmission Site, as soon as reasonably practicable, prepare and submit to NGET revised Site Common Drawings for the User side of the Connection Point (and in the case of OTSDUW, Interface Point) and NGET will then, as soon as reasonably practicable, prepare, produce and distribute, using the information submitted in the User's Site Common Drawings, revised Site Common Drawings for the complete Connection Site (and in the case of OTSDUW, Interface Point).

In either case, if in the **User's** reasonable opinion the change can be dealt with by it notifying **NGET** in writing of the change and for each party to amend its copy of the **Site Common Drawings** (or where there is only one set, for the party holding that set to amend it), then it shall so notify and each party shall so amend. If the change gives rise to a **Modification** under the **CUSC**, the provisions of the **CUSC** as to timing will apply.

- CC.7.5.7 When **NGET** becomes aware that it is necessary to change any aspect of the **Site Common Drawings** at a **Connection Site**(and in the case of **OTSDUW**, **Interface Point**) it will:
 - (a) if it is a Transmission Site, as soon as reasonably practicable, prepare, produce and distribute revised Site Common Drawings for the complete Connection Site (and in the case of OTSDUW, Interface Point); and
 - (b) if it is a User Site, as soon as reasonably practicable, prepare and submit to the User revised Site Common Drawings for the Transmission side of the Connection Point (in the case of OTSDUW, Interface Point) and the User will then, as soon as reasonably practicable, prepare, produce and distribute, using the information submitted in the Transmission Site Common Drawings, revised Site Common Drawings for the complete Connection Site (and in the case of OTSDUW, Interface Point).

In either case, if in **NGET's** reasonable opinion the change can be dealt with by it notifying the **User** in writing of the change and for each party to amend its copy of the **Site Common Drawings** (or where there is only one set, for the party holding that set to amend it), then it shall so notify and each party shall so amend. If the change gives rise to a **Modification** under the **CUSC**, the provisions of the **CUSC** as to timing will apply.

Validity

CC.7.5.8 (a) The **Site Common Drawings** for the complete **Connection Site** prepared by the **User** or **NGET**, as the case may be, will be the definitive **Site Common Drawings** for all operational and planning activities associated with the **Connection Site**. If a dispute arises as to the accuracy of the **Site Common Drawings**, a meeting shall be held at the **Site**, as soon as reasonably practicable, between **NGET** and the **User**, to endeavour to resolve the matters in dispute.

- (b) The Site Common Drawing prepared by NGET or the User, as the case may be, will be the definitive Site Common Drawing for all operational and planning activities associated with the Interface Point until the OTSUA Transfer Time. If a dispute arises as to the accuracy of the composite Operation Diagram prior to the OTSUA Transfer Time, a meeting shall be held at the Interface Point, as soon as reasonably practicable, between NGET and the User, to endeavour to resolve the matters in dispute.
- CC.7.5.9 In the case of **OTSUA**, a **User Site** and **Transmission Site** shall, for the purposes of this CC.7.5, include a site at which there is an **Interface Point** until the **OTSUA Transfer Time** when it becomes part of the **National Electricity Transmission System**.

CC.7.6 Access

- CC.7.6.1 The provisions relating to access to **Transmission Sites** by **Users**, and to **Users' Sites** by **Transmission Licensees**, are set out in each **Interface Agreement** (or in the case of **Interfaces Sites** prior to the **OTSUA Transfer Time** agreements in similar form) with, for **Transmission Sites** in England and Wales, **NGET** and each **User**, and for **Transmission Sites** in Scotland and **Offshore**, the **Relevant Transmission Licensee** and each **User**.
- CC.7.6.2 In addition to those provisions, where a **Transmission Site** in England and Wales contains exposed **HV** conductors, unaccompanied access will only be granted to individuals holding an **Authority for Access** issued by **NGET** and where a **Transmission Site** in Scotland or **Offshore** contains exposed **HV** conductors, unaccompanied access will only be granted to individuals holding an **Authority for Access** issued by the **Relevant Transmission Licensee**.
- CC.7.6.3 The procedure for applying for an **Authority for Access** is contained in the **Interface Agreement**.

CC.7.7 Maintenance Standards

- It is the **User's** responsibility to ensure that all its **Plant** and **Apparatus** (including, until the **OTSUA Transfer Time**, any **OTSUA**) on a **Transmission Site** is tested and maintained adequately for the purpose for which it is intended, and to ensure that it does not pose a threat to the safety of any **Transmission Plant**, **Apparatus** or personnel on the **Transmission Site**. **NGET** will have the right to inspect the test results and maintenance records relating to such **Plant** and **Apparatus** at any time
- CC.7.7.2 For **User Sites** in England and Wales, **NGET** has a responsibility to ensure that all **Transmission Plant** and **Apparatus** on a **User Site** is tested and maintained adequately for the purposes for which it is intended and to ensure that it does not pose a threat to the safety of any **User's Plant**, **Apparatus** or personnel on the **User Site**.

For User Sites in Scotland and Offshore, NGET shall procure that the Relevant Transmission Licensee has a responsibility to ensure that all Transmission Plant and Apparatus on a User Site is tested and maintained adequately for the purposes for which it is intended and to ensure that it does not pose a threat to the safety of any User's Plant, Apparatus or personnel on the User Site.

The **User** will have the right to inspect the test results and maintenance records relating to such **Plant** and **Apparatus** on its **User Site** at any time.

CC.7.8 Site Operational Procedures

CC.7.8.1 **NGET** and **Users** with an interface with **NGET**, must make available staff to take necessary **Safety Precautions** and carry out operational duties as may be required to enable work/testing to be carried out and for the operation of **Plant** and **Apparatus** (including, prior to the **OTSUA Transfer Time**, any **OTSUA**) connected to the **Total System**.

CC.7.9 Generators and DC Converter Station owners shall provide a Control Point in respect of each Power Station directly connected to the National Electricity Transmission System and Embedded Large Power Station or DC Converter Station to receive an act upon instructions pursuant to OC7 and BC2 at all times that Generating Units or Power Park Modules at the Power Station are generating or available to generate or DC Converters at the DC Converter Station are importing or exporting or available to do so. The Control Point shall be continuously manned except where the Bilateral Agreement in respect of such Embedded Power Station specifies that compliance with BC2 is not required, where the Control Point shall be manned between the hours of 0800 and 1800 each day.

CC.8 ANCILLARY SERVICES

CC.8.1 <u>System Ancillary Services</u>

The CC contain requirements for the capability for certain Ancillary Services, which are needed for System reasons ("System Ancillary Services"). There follows a list of these System Ancillary Services, together with the paragraph number of the CC (or other part of the Grid Code) in which the minimum capability is required or referred to. The list is divided into two categories: Part 1 lists the System Ancillary Services which

- (a) Generators in respect of Large Power Stations are obliged to provide (except Generators in respect of Large Power Stations which have a Registered Capacity of less than 50MW and comprise Power Park Modules); and,
- (b) Generators in respect of Large Power Stations with a Registered Capacity of less than 50MW and comprise Power Park Modules are obliged to provide in respect of Reactive Power only; and,
- (c) **DC Converter Station** owners are obliged to have the capability to supply; and
- (d) Generators in respect of Medium Power Stations (except Embedded Medium Power Stations) are obliged to provide in respect of Reactive Power only:

and Part 2 lists the **System Ancillary Services** which **Generators** will provide only if agreement to provide them is reached with **NGET**:

Part 1

- (a) Reactive Power supplied (in accordance with CC.6.3.2) otherwise than by means of synchronous or static compensators (except in the case of a Power Park Module where synchronous or static compensators within the Power Park Module may be used to provide Reactive Power)
- (b) **Frequency** Control by means of **Frequency** sensitive generation CC.6.3.7 and BC3.5.1

Part 2

- (c) Frequency Control by means of Fast Start CC.6.3.14
- (d) Black Start Capability CC.6.3.5
- (e) System to Generator Operational Intertripping

CC.8.2 Commercial Ancillary Services

Other Ancillary Services are also utilised by NGET in operating the Total System if these have been agreed to be provided by a User (or other person) under an Ancillary Services Agreement or under a Bilateral Agreement, with payment being dealt with under an Ancillary Services Agreement or in the case of Externally Interconnected System Operators or Interconnector Users, under any other agreement (and in the case of Externally Interconnected System Operators and Interconnector Users includes ancillary services equivalent to or similar to System Ancillary Services) ("Commercial Ancillary Services"). The capability for these Commercial Ancillary Services is set out in the relevant Ancillary Services Agreement or Bilateral Agreement (as the case may be).

APPENDIX 1 - SITE RESPONSIBILITY SCHEDULES

FORMAT, PRINCIPLES AND BASIC PROCEDURE TO BE USED IN THE PREPARATION OF SITE RESPONSIBILITY SCHEDULES

CC.A.1.1 Principles

Types of Schedules

- CC.A.1.1.1 At all Complexes (which in the context of this CC shall include, Interface Sites until the OTSUA Transfer Time) the following Site Responsibility Schedules shall be drawn up using the relevant proforma attached or with such variations as may be agreed between NGET and Users, but in the absence of agreement the relevant proforma attached will be used. In addition, in the case of OTSDUW Plant and Apparatus, and in readiness for the OTSUA Transfer Time, the User shall provide NGET with the necessary information such that Site Responsibility Schedules in this form can be prepared by the Relevant Transmission Licensees for the Transmission Interface Site:
 - (a) Schedule of HV Apparatus
 - (b) Schedule of Plant, LV/MV Apparatus, services and supplies;
 - (c) Schedule of telecommunications and measurements **Apparatus**.

Other than at Generating Unit, DC Converter, Power Park Module and Power Station locations, the schedules referred to in (b) and (c) may be combined.

New Connection Sites

CC.A.1.1.2 In the case of a new Connection Site each Site Responsibility Schedule for a Connection Site shall be prepared by NGET in consultation with relevant Users at least 2 weeks prior to the Completion Date (or, where the OTSUA is to become Operational prior to the OTSUA Transfer Time, an alternative date) under the Bilateral Agreement and/or Construction Agreement for that Connection Site (which may form part of a Complex). In the case of a new Interface Site where the OTSUA is to become Operational prior to the OTSUA Transfer Time each Site Responsibility Schedule for an Interface Site shall be prepared by NGET in consultation with relevant Users at least 2 weeks prior to the Completion Date under the Bilateral Agreement and/or Construction Agreement for that Interface Site (which may form part of a Complex) (and references to and requirements placed on "Connection Site" in this CC shall also be read as "Interface Site" where the context requires and until the OTSUA Transfer Time). Each User shall, in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement, provide information to NGET to enable it to prepare the Site Responsibility Schedule.

Sub-division

CC.A.1.1.3 Each **Site Responsibility Schedule** will be subdivided to take account of any separate **Connection Sites** on that **Complex**.

Scope

- CC.A.1.1.4 Each Site Responsibility Schedule shall detail for each item of Plant and Apparatus:
 - (a) Plant/Apparatus ownership;
 - (b) Site Manager (Controller) (except in the case of **Plant/Apparatus** located in **SPT's Transmission Area**);
 - (c) Safety issues comprising applicable **Safety Rules** and **Control Person** or other responsible person (**Safety Co-ordinator**), or such other person who is responsible for safety;
 - (d) Operations issues comprising applicable **Operational Procedures** and control engineer;
 - (e) Responsibility to undertake statutory inspections, fault investigation and maintenance.

Each Connection Point shall be precisely shown.

Detail

- CC.A.1.1.5 (a) In the case of **Site Responsibility Schedules** referred to in CC.A.1.1.1(b) and (c), with the exception of **Protection Apparatus** and **Intertrip Apparatus** operation, it will be sufficient to indicate the responsible **User** or **Transmission Licensee**, as the case may be.
 - (b) In the case of the Site Responsibility Schedule referred to in CC.A.1.1.1(a) and for Protection Apparatus and Intertrip Apparatus, the responsible management unit must be shown in addition to the User or Transmission Licensee, as the case may be.
- CC.A.1.1.6 The **HV Apparatus Site Responsibility Schedule** for each **Connection Site** must include lines and cables emanating from or traversing¹ the **Connection Site**.

Issue Details

CC.A.1.1.7 Every page of each **Site Responsibility Schedule** shall bear the date of issue and the issue number.

Accuracy Confirmation

- CC.A.1.1.8 When a **Site Responsibility Schedule** is prepared it shall be sent by **NGET** to the **Users** involved for confirmation of its accuracy.
- CC.A.1.1.9 The **Site Responsibility Schedule** shall then be signed on behalf of **NGET** by its **Responsible Manager** (see CC.A.1.1.16) and on behalf of each **User** involved by its **Responsible Manager** (see CC.A.1.1.16), by way of written confirmation of its accuracy. For **Connection Sites** in Scotland or **Offshore**, the **Site Responsibility Schedule** will also be signed on behalf of the **Relevant Transmission Licensee** by its **Responsible Manager**.

Distribution and Availability

- CC.A.1.1.10 Once signed, two copies will be distributed by **NGET**, not less than two weeks prior to its implementation date, to each **User** which is a party on the **Site Responsibility Schedule**, accompanied by a note indicating the issue number and the date of implementation.
- CC.A.1.1.11 **NGET** and **Users** must make the **Site Responsibility Schedules** readily available to operational staff at the **Complex** and at the other relevant control points.

Alterations to Existing Site Responsibility Schedules

- CC.A 1.1.12 Without prejudice to the provisions of CC.A.1.1.15 which deals with urgent changes, when a User identified on a Site Responsibility Schedule becomes aware that an alteration is necessary, it must inform NGET immediately and in any event 8 weeks prior to any change taking effect (or as soon as possible after becoming aware of it, if less than 8 weeks remain when the User becomes aware of the change). This will cover the commissioning of new Plant and/or Apparatus at the Connection Site, whether requiring a revised Bilateral Agreement or not, de-commissioning of Plant and/or Apparatus, and other changes which affect the accuracy of the Site Responsibility Schedule.
- CC.A 1.1.13 Where **NGET** has been informed of a change by a **User**, or itself proposes a change, it will prepare a revised **Site Responsibility Schedule** by not less than six weeks prior to the change taking effect (subject to it having been informed or knowing of the change eight weeks prior to that time) and the procedure set out in CC.A.1.1.8 shall be followed with regard to the revised **Site Responsibility Schedule**.
- CC.A 1.1.14 The revised **Site Responsibility Schedule** shall then be signed in accordance with the procedure set out in CC.A.1.1.9 and distributed in accordance with the procedure set out in CC.A.1.1.10, accompanied by a note indicating where the alteration(s) has/have been made, the new issue number and the date of implementation.

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¹ Details of circuits traversing the **Connection Site** are only needed from the date which is the earlier of the date when the **Site Responsibility Schedule** is first updated and 15th October 2004. In Scotland or **Offshore**, from a date to be agreed between **NGET** and **the Relevant Transmission Licensee**.

Urgent Changes

- CC.A.1.1.15 When a **User** identified on a **Site Responsibility Schedule**, or **NGET**, as the case may be, becomes aware that an alteration to the **Site Responsibility Schedule** is necessary urgently to reflect, for example, an emergency situation which has arisen outside its control, the **User** shall notify **NGET**, or **NGET** shall notify the **User**, as the case may be, immediately and will discuss:
 - (a) what change is necessary to the Site Responsibility Schedule;
 - (b) whether the **Site Responsibility Schedule** is to be modified temporarily or permanently;
 - (c) the distribution of the revised **Site Responsibility Schedule**.

NGET will prepare a revised **Site Responsibility Schedule** as soon as possible, and in any event within seven days of it being informed of or knowing the necessary alteration. The **Site Responsibility Schedule** will be confirmed by **Users** and signed on behalf of **NGET** and **Users** (by the persons referred to in CC.A.1.1.9) as soon as possible after it has been prepared and sent to **Users** for confirmation.

Responsible Managers

CC.A.1.1.16 Each User shall, prior to the Completion Date under each Bilateral Agreement and/or Construction Agreement, supply to NGET a list of Managers who have been duly authorised to sign Site Responsibility Schedules on behalf of the User and NGET shall, prior to the Completion Date under each Bilateral Agreement and/or Construction Agreement, supply to that User the name of its Responsible Manager and for Connection Sites in Scotland or Offshore, the name of the Relevant Transmission Licensee's Responsible Manager and each shall supply to the other any changes to such list six weeks before the change takes effect where the change is anticipated, and as soon as possible after the change, where the change was not anticipated.

De-commissioning of Connection Sites

CC.A.1.1.17 Where a **Connection Site** is to be de-commissioned, whichever of **NGET** or the **User** who is initiating the de-commissioning must contact the other to arrange for the **Site Responsibility Schedule** to be amended at the relevant time.

PROFORMA FOR SITE RESPONSIBILITY SCHEDULE

		_				AREA		
COMPLEX	:					SCHEDUL	_E:	
CONNECTI	ON SITE:							
				SAFETY	OPER#	ATIONS	PARTY	
ITEM OF PLANT/ APPARATUS	PLANT APPARATUS OWNER	SITE MANAGER	SAFETY RULES	CONTROL OR OTHER RESPONSIBLE PERSON (SAFETY CO- ORDINATOR	OPERATIONAL PROCEDURES	CONTROL OR OTHER RESPONSIBLE ENGINEER	RESPONSIBLE FOR UNDERTAKING STATUTORY INSPECTIONS, FAULT INVESTIGATION & MAINTENANCE	REMARKS
PAGE:			ISSUE N	IO:		DATE:		

PROFORMA FOR SITE RESPONSIBILITY SCHEDULE

ITEM OF PLANT/	PLANT APPARATUS OWNER	SITE		SAFETY	OPERA	TIONS	PARTY	
PLANT/	APPARATUS	SITE						
		MANAGER	SAFETY RULES	CONTROL OR OTHER RESPONSIBLE PERSON (SAFETY CO- ORDINATOR	OPERATIONAL PROCEDURES	CONTROL OR OTHER RESPONSIBLE ENGINEER	RESPONSIBLE FOR UNDERTAKING STATUTORY INSPECTIONS, FAULT INVESTIGATION & MAINTENANCE	REMARKS
TES:								
GNED:		NAME	E:		COMPANY:		DATE:	
GNED:		NAMI	E:		COMPANY:		DATE:	
GNED:		NAMI	E:		COMPANY:		DATE:	
GNED:		NAMI	E:		_ COMPANY:		DATE:	

ACCESS REQUIRED:- SPECIAL CONDITIONS:- LOCATION OF SUPPLY TERMINALS:- APPLICABLE Tripping APPLICABLE TRIPP	Network Area:	Sheet No.	on:
SPECIAL CONDITIONS: LOCATION OF SUPPLY TERMINALS: TERMINALS: APPLICABLE Tripping APPLICABLE TRIPPING TELEPHONE NUMBER TELEPHONE NUMB	SECTION 'B' C	SECTION 'B' CUSTOMER OR OTHER PARTY	<u>}</u>
SPECIAL CONDITIONS. LOCATION OF SUPPLY TELEPHONE NUMBER REMARKS SHILTY TELEPHONE NUMBER REMARKS STRBUTION SYSTEM			
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URATION AND CONTROL TELEPHONE NUMBER TELEPHONE	ADDRESS:-		
URATION AND CONTROL TELEPHONE NUMBER TELEPHONE			
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IDENTIFICATION OWNER APPLICABLE TRIPINAL APPLICABLE TELEPHONE NUMBER FEMARKS SILTY TELEPHONE NUMBER FEMARKS FREMARKS	OPERATION	TESTING	-
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TELEPHONE NUMBER REMARKS SILITY TELEPHONE NUMBER REMARKS STRIBUTION SYSTEM	SECTION 'E' ADDITIONAL INFORMATION		
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STRIBUTION SYSTEM			
STRIBUTION SYSTEM MUNICATIONS			
MINICATIONS	SIGNED FOR SH ITANSMISSION	mission DATE	
COMMUNICATIONS	stoned For SP Distribution	udion	
			X.
T - SP AUTHORISED PERSON - TRAKSMISSION SYSTEM SIGNED	SIGNED FOR POWERSY	PowerSystems/User	9

Scottish Hydro-Electric Transmission Limited

Site Responsibility Schedule

APPENDIX 2 - OPERATION DIAGRAMS

PART 1A - PROCEDURES RELATING TO OPERATION DIAGRAMS

FIXED CAPACITOR	<u></u>	SWITCH DISCONNECTOR	
EARTH	<u>_</u>		
EARTHING RESISTOR	1 -	SWITCH DISCONNECTOR WITH INCORPORATED EARTH SWITCH	5
LIQUID EARTHING RESISTOR	<u>+</u>	DISCONNECTOR (CENTRE ROTATING POST)	
ARC SUPPRESSION COIL			
FIXED MAINTENANCE EARTHING DEVI	CE ±	DISCONNECTOR (SINGLE BREAK DOUBLE ROTATING)	
CARRIER COUPLING EQUIPMENT (WITHOUT VT)	R&Y	DISCONNECTOR (SINGLE BREAK)	
CARRIER COUPLING EQUIPMENT (WITH VT ON ONE PHASE)	Y R&Y	DISCONNECTOR (NON-INTERLOCKED)	 NI
CARRIER COUPLING EQUIPMENT (WITH VT ON 3 PHASES)	R8Y	DISCONNECTOR (POWER OPERATED) NA - NON-AUTOMATIC A - AUTOMATIC SO - SEQUENTIAL OPERATION FI - FAULT INTERFERING OPERATI	ON ON
AC GENERATOR	(G)	EARTH SWITCH	1
SYNCHRONOUS COMPENSATOR	SC		<u> </u>
CIRCUIT BREAKER		FAULT THROWING SWITCH (PHASE TO PHASE)	FT
CIRCUIT BREAKER WITH DELAYED AUTO RECLOSE	DAR	FAULT THROWING SWITCH (EARTH FAULT)	Į Į Į
	l	SURGE ARRESTOR	-
WITHDRAWABLE METALCLAD SWITCHGEAR	4	THYRISTOR	*

TRANSFORMERS (VECTORS TO INDICATE WINDING CONFIGURATION)		* BUSBARS	
		* OTHER PRIMARY CONNECTIONS	
TWO WINDING		* CABLE & CABLE SEALING END	
		* THROUGH WALL BUSHING	
THREE WINDING		* BYPASS FACILITY	
AUTO		* BIT NOS T NOTE ITT	, I
NOTO		* CROSSING OF CONDUCTORS (LOWER CONDUCTOR	
AUTO WITH DELTA TERTIARY		TO BE BROKEN)	
EARTHING OR AUX. TRANSFORMER (-) INDICATE REMOTE SITE IF APPLICABLE	415v		
VOLTAGE TRANSFORMERS	` ,		
SINGLE PHASE WOUND	Y		
THREE PHASE WOUND		PREFERENTIAL ABBREVIA	TIONS
SINGLE PHASE CAPACITOR	_Y —		
TWO SINGLE PHASE CAPACITOR	R&B 2)—	AUXILIARY TRANSFORMER EARTHING TRANSFORMER	Aux T ET
THREE PHASE CAPACITOR		GAS TURBINE GENERATOR TRANSFORMER GRID TRANSFORMER	Gas T Gen T Gr T
CURRENT TRANSFORMER (WHERE SEPARATE PRIMARY APPARATUS)	•	SERIES REACTOR SHUNT REACTOR STATION TRANSFORMER SUPERGRID TRANSFORMER	Ser Reac Sh Reac Stn T SGT
COMBINED VT/CT UNIT FOR METERING		UNIT TRANSFORMER	UT
REACTOR	(* NON-STANDARD SYMBOL	



DISCONNECTOR
(PANTOGRAPH TYPE)



QUADRATURE BOOSTER



DISCONNECTOR (KNEE TYPE)



SHORTING/DISCHARGE SWITCH



CAPACITOR
(INCLUDING HARMONIC FILTER)



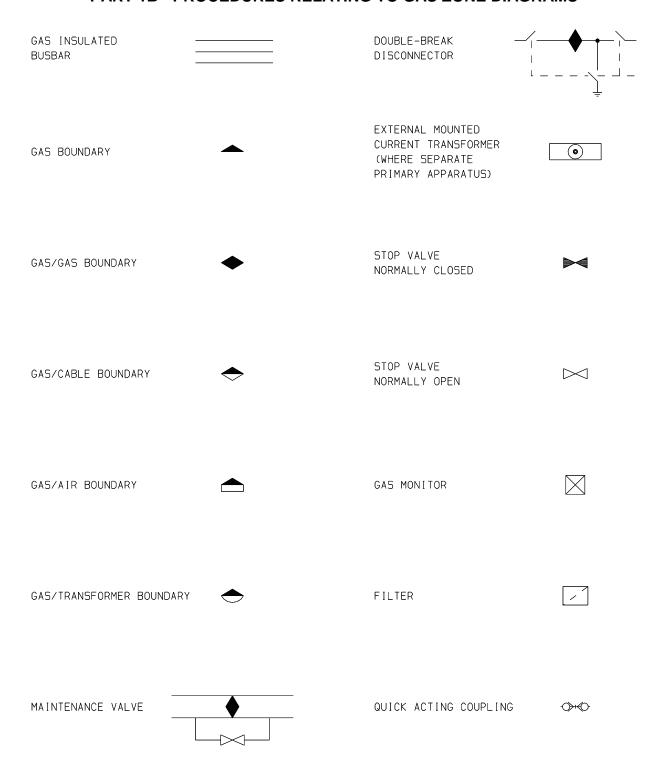
SINGLE PHASE TRANSFORMER(BR) NEUTRAL AND PHASE CONNECTIONS



RESISTOR WITH INHERENT NON-LINEAR VARIABILITY, VOLTAGE DEPENDANT



PART 1B - PROCEDURES RELATING TO GAS ZONE DIAGRAMS



PART 2 - NON-EXHAUSTIVE LIST OF APPARATUS TO BE INCLUDED ON OPERATION DIAGRAMS

	Basic Principles
(1)	Where practicable, all the HV Apparatus on any Connection Site shall be shown on one Operation Diagram . Provided the clarity of the diagram is not impaired, the layout shall represent as closely as possible the geographical arrangement on the Connection Site .
(2)	Where more than one Operation Diagram is unavoidable, duplication of identica information on more than one Operation Diagram must be avoided.
(3)	The Operation Diagram must show accurately the current status of the Apparatus e.g whether commissioned or decommissioned. Where decommissioned, the associated switchbay will be labelled "spare bay".
(4)	Provision will be made on the Operation Diagram for signifying approvals, together with provision for details of revisions and dates.
(5)	Operation Diagrams will be prepared in A4 format or such other format as may be agreed with NGET .
(6)	The Operation Diagram should normally be drawn single line. However, where appropriate detail which applies to individual phases shall be shown. For example, some HV Apparatus is numbered individually per phase.
	Apparatus To Be Shown On Operation Diagram
(1)	Busbars
(2)	Circuit Breakers
(3)	Disconnector (Isolator) and Switch Disconnecters (Switching Isolators)
(4)	Disconnectors (Isolators) - Automatic Facilities
(5)	Bypass Facilities
(6)	Earthing Switches
(7)	Maintenance Earths
(8)	Overhead Line Entries
(9)	Overhead Line Traps
(10)	Cable and Cable Sealing Ends
(11)	Generating Unit
(12)	Generator Transformers
(13)	Generating Unit Transformers, Station Transformers, including the lower voltage circuit-breakers.
(14)	Synchronous Compensators
(15)	Static Variable Compensators
(16)	Capacitors (including Harmonic Filters)
(17)	Series or Shunt Reactors (Referred to as "Inductors" at nuclear power station sites)
(18)	Supergrid and Grid Transformers
(19)	Tertiary Windings

Earthing and Auxiliary Transformers

Three Phase VT's

(20)

(21)

(22)	Single Phase VT & Phase Identity
(23)	High Accuracy VT and Phase Identity
(24)	Surge Arrestors/Diverters
(25)	Neutral Earthing Arrangements on HV Plant
(26)	Fault Throwing Devices
(27)	Quadrature Boosters
(28)	Arc Suppression Coils
(29)	Single Phase Transformers (BR) Neutral and Phase Connections
(30)	Current Transformers (where separate plant items)
(31)	Wall Bushings
(32)	Combined VT/CT Units
(33)	Shorting and Discharge Switches
(34)	Thyristor
(35)	Resistor with Inherent Non-Linear Variability, Voltage Dependent
(36)	Gas Zone

APPENDIX 3 - MINIMUM FREQUENCY RESPONSE REQUIREMENT PROFILE AND OPERATING RANGE FOR NEW POWER STATIONS AND DC CONVERTER STATIONS

CC.A.3.1 Scope

The frequency response capability is defined in terms of **Primary Response**, **Secondary Response** and **High Frequency Response**. This appendix defines the minimum frequency response requirement profile for:

- each Onshore Generating Unit and/or CCGT Module which has a Completion Date after 1 January 2001 in England and Wales and 1 April 2005 in Scotland and Offshore Generating Unit in a Large Power Station,
- (b) each DC Converter at a DC Converter Station which has a Completion Date on or after 1 April 2005 or each Offshore DC Converter which is part of a Large Power Station.
- (c) each **Onshore Power Park Module** in England and Wales with a **Completion Date** on or after 1 January 2006.
- (d) each Onshore Power Park Module in operation in Scotland after 1 January 2006 with a Completion Date after 1 April 2005 and in Power Stations with a Registered Capacity of 50MW or more.
- (e) each Offshore Power Park Module in a Large Power Station with a Registered Capacity of 50MW or more.

For the avoidance of doubt, this appendix does not apply to:

- (i) **Generating Units** and/or **CCGT Modules** which have a **Completion Date** before 1 January 2001 in England and Wales and before 1 April 2005 in Scotland,
- (ii) **DC Converters** at a **DC Converter Station** which have a **Completion Date** before 1 April 2005.
- (iii) **Power Park Modules** in England and Wales with a **Completion Date** before 1 January 2006.
- (iv) **Power Park Modules** in operation in Scotland before 1 January 2006.
- (v) Power Park Modules in Scotland with a Completion Date before 1 April 2005.
- (vi) Power Park Modules in Power Stations with a Registered Capacity less than 50MW.
- (vii) Small Power Stations or individually to Power Park Units; or.
- (viii) an OTSDUW DC Converter where the Interface Point Capacity is less than 50MW.

OTSDUW Plant and Apparatus should facilitate the delivery of frequency response services provided by Offshore Generating Units and Offshore Power Park Modules at the Interface Point.

The functional definition provides appropriate performance criteria relating to the provision of Frequency control by means of Frequency sensitive generation in addition to the other requirements identified in CC.6.3.7.

In this Appendix 3 to the CC, for a CCGT Module or a Power Park Module with more than one Generating Unit, the phrase Minimum Generation applies to the entire CCGT Module or Power Park Module operating with all Generating Units Synchronised to the System.

The minimum **Frequency** response requirement profile is shown diagrammatically in Figure CC.A.3.1. The capability profile specifies the minimum required levels of **Primary Response**, **Secondary Response** and **High Frequency Response** throughout the normal plant operating range. The definitions of these **Frequency** response capabilities are illustrated diagrammatically in Figures CC.A.3.2 & CC.A.3.3.

CC.A.3.2 Plant Operating Range

The upper limit of the operating range is the **Registered Capacity** of the **Generating Unit** or **CCGT Module** or **DC Converter** or **Power Park Module**.

The Minimum Generation level may be less than, but must not be more than, 65% of the Registered Capacity. Each Generating Unit and/or CCGT Module and/or Power Park Module and/or DC Converter must be capable of operating satisfactorily down to the Designed Minimum Operating Level as dictated by System operating conditions, although it will not be instructed to below its Minimum Generation level. If a Generating Unit or CCGT Module or Power Park Module or DC Converter is operating below Minimum Generation because of high System Frequency, it should recover adequately to its Minimum Generation level as the System Frequency returns to Target Frequency so that it can provide Primary and Secondary Response from Minimum Generation if the System Frequency continues to fall. For the avoidance of doubt, under normal operating conditions steady state operation below Minimum Generation is not expected. The Designed Minimum Operating Level must not be more than 55% of Registered Capacity.

In the event of a **Generating Unit** or **CCGT Module** or **Power Park Module** or **DC Converter** load rejecting down to no less than its **Designed Minimum Operating Level** it should not trip as a result of automatic action as detailed in BC3.7. If the load rejection is to a level less than the **Designed Minimum Operating Level** then it is accepted that the condition might be so severe as to cause it to be disconnected from the **System**.

CC.A.3.3 Minimum Frequency Response Requirement Profile

Figure CC.A.3.1 shows the minimum Frequency response requirement profile diagrammatically for a 0.5 Hz change in Frequency. The percentage response capabilities and loading levels are defined on the basis of the Registered Capacity of the Generating Unit or CCGT Module or Power Park Module or DC Converter. Each Generating Unit and/or CCGT Module and/or Power Park Module and/or DC Converter must be capable of operating in a manner to provide Frequency response at least to the solid boundaries shown in the figure. If the Frequency response capability falls within the solid boundaries, the Generating Unit or CCGT Module or Power Park Module or DC Converter is providing response below the minimum requirement which is not acceptable. Nothing in this appendix is intended to prevent a Generating Unit or CCGT Module or Power Park Module or DC Converter from being designed to deliver a Frequency response in excess of the identified minimum requirement.

The **Frequency** response delivered for **Frequency** deviations of less than 0.5 Hz should be no less than a figure which is directly proportional to the minimum **Frequency** response requirement for a **Frequency** deviation of 0.5 Hz. For example, if the **Frequency** deviation is 0.2 Hz, the corresponding minimum **Frequency** response requirement is 40% of the level shown in Figure CC.A.3.1. The **Frequency** response delivered for **Frequency** deviations of more than 0.5 Hz should be no less than the response delivered for a **Frequency** deviation of 0.5 Hz.

Each Generating Unit and/or CCGT Module and/or Power Park Module and/or DC Converter must be capable of providing some response, in keeping with its specific operational characteristics, when operating between 95% to 100% of Registered Capacity as illustrated by the dotted lines in Figure CC.A.3.1.

At the Minimum Generation level, each Generating Unit and/or CCGT Module and/or Power Park Module and/or DC Converter is required to provide high and low frequency response depending on the System Frequency conditions. Where the Frequency is high, the Active Power output is therefore expected to fall below the Minimum Generation level.

The **Designed Minimum Operating Level** is the output at which a **Generating Unit** and/or **CCGT Module** and/or **Power Park Module** and/or **DC Converter** has no **High Frequency Response** capability. It may be less than, but must not be more than, 55% of the **Registered Capacity**. This implies that a **Generating Unit** or **CCGT Module** or **Power Park Module** or **DC Converter** is not obliged to reduce its output to below this level unless the **Frequency** is at or above 50.5 Hz (cf BC3.7).

CC.A.3.4 Testing Of Frequency Response Capability

The response capabilities shown diagrammatically in Figure CC.A.3.1 are measured by taking the responses as obtained from some of the dynamic response tests specified by NGET and carried out by Generators and DC Converter Station owners for compliance purposes and to validate the content of Ancillary Services Agreements using an injection of a Frequency change to the plant control system (i.e. governor and load controller). The injected signal is a linear ramp from zero to 0.5 Hz Frequency change over a ten second period, and is sustained at 0.5 Hz Frequency change thereafter, as illustrated diagrammatically in figures CC.A.3.2 and CC.A.3.3. In the case of an Embedded Medium Power Station not subject to a Bilateral Agreement or Embedded DC Converter Station not subject to a Bilateral Agreement, NGET may require the Network Operator within whose System the Embedded Medium Power Station or Embedded DC Converter Station is situated, to ensure that the Embedded Person performs the dynamic response tests reasonably required by NGET in order to demonstrate compliance within the relevant requirements in the CC.

The **Primary Response** capability (P) of a **Generating Unit** or a **CCGT Module** or **Power Park Module** or **DC Converter** is the minimum increase in **Active Power** output between 10 and 30 seconds after the start of the ramp injection as illustrated diagrammatically in Figure CC.A.3.2. This increase in **Active Power** output should be released increasingly with time over the period 0 to 10 seconds from the time of the start of the **Frequency** fall as illustrated by the response from Figure CC.A.3.2.

The Secondary Response capability (S) of a Generating Unit or a CCGT Module or Power Park Module or DC Converter is the minimum increase in Active Power output between 30 seconds and 30 minutes after the start of the ramp injection as illustrated diagrammatically in Figure CC.A.3.2.

The **High Frequency Response** capability (H) of a **Generating Unit** or a **CCGT Module** or **Power Park Module** or **DC Converter** is the decrease in **Active Power** output provided 10 seconds after the start of the ramp injection and sustained thereafter as illustrated diagrammatically in Figure CC.A.3.3. This reduction in **Active Power** output should be released increasingly with time over the period 0 to 10 seconds from the time of the start of the **Frequency** rise as illustrated by the response in Figure CC.A.3.2.

CC.A.3.5 Repeatability Of Response

When a **Generating Unit** or **CCGT Module** or **Power Park Module** or **DC Converter** has responded to a significant **Frequency** disturbance, its response capability must be fully restored as soon as technically possible. Full response capability should be restored no later than 20 minutes after the initial change of **System Frequency** arising from the **Frequency** disturbance.

<u>Figure CC.A.3.1 - Minimum Frequency Response Requirement Profile for a 0.5 Hz frequency change from Target Frequency</u>

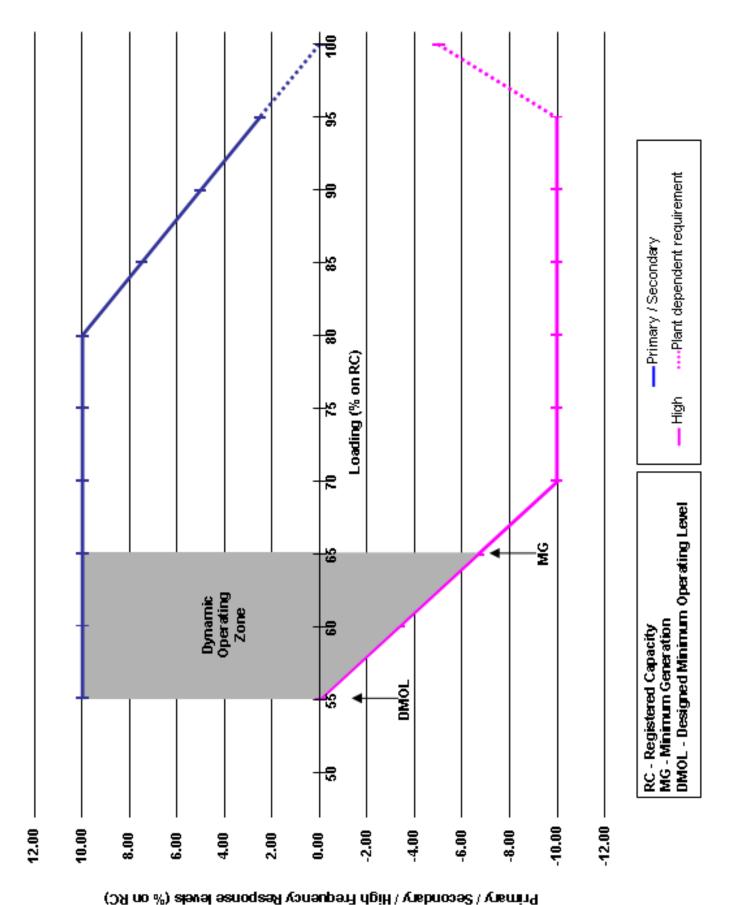


Figure CC.A.3.2 - Interpretation of Primary and Secondary Response Values

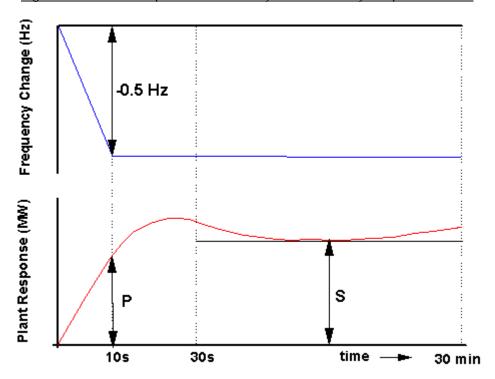
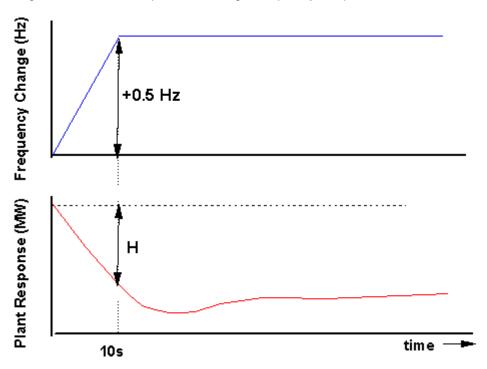


Figure CC.A.3.3 - Interpretation of High Frequency Response Values



APPENDIX 4 - FAULT RIDE THROUGH REQUIREMENTS

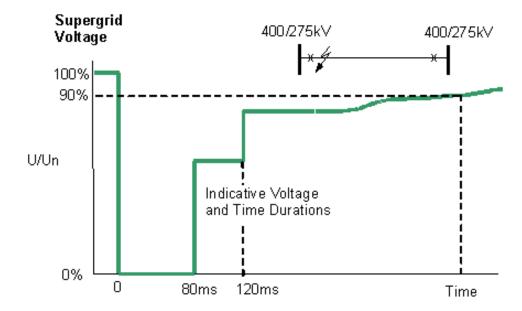
APPENDIX 4A - FAULT RIDE THROUGH REQUIREMENTS FOR ONSHORE GENERATING UNITS, ONSHORE POWER PARK MODULES, ONSHORE DC CONVERTERS OTSDUW PLANT AND APPARATUS AT THE INTERFACE POINT, OFFSHORE POWER PARK MODULES IN A LARGE POWER STATION AND OFFSHORE DC CONVERTERS IN A LARGE POWER STATION WHICH SELECT TO MEET THE FAULT RIDE THROUGH REQUIREMENTS AT THE INTERFACE POINT

CC.A.4A.1 Scope

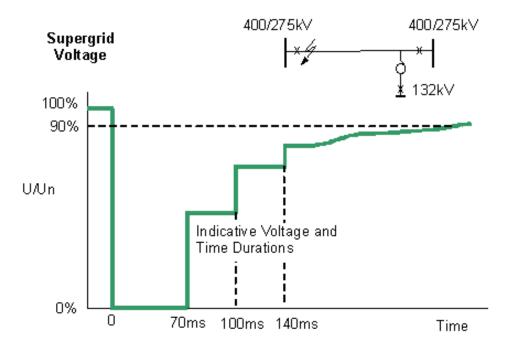
The fault ride through requirement is defined in CC.6.3.15.1 (a), (b) and CC.6.3.15.3. This Appendix provides illustrations by way of examples only of CC.6.3.15.1 (a) (i) and further background and illustrations to CC.6.3.15.1 (b) (i) and is not intended to show all possible permutations.

CC.A.4A.2 <u>Short Circuit Faults At Supergrid Voltage On The Onshore Transmission System Up To</u> 140ms In Duration

For short circuit faults at **Supergrid Voltage** on the **Onshore Transmission System** (which could be at an **Interface Point**) up to 140ms in duration, the fault ride through requirement is defined in CC.6.3.15.1 (a) (i). Figures CC.A.4A.1 (a) and (b) illustrate two typical examples of voltage recovery for short-circuit faults cleared within 140ms by two circuit breakers (a) and three circuit breakers (b) respectively.



Typical fault cleared in less than 140ms: 2 ended circuit



Typical fault cleared in 140ms:- 3 ended circuit

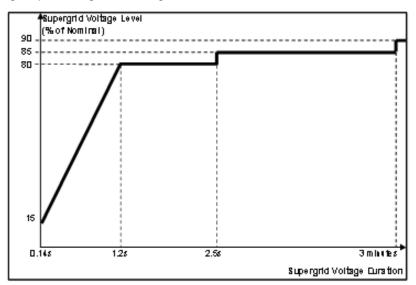
Figure CC.A.4A.1 (b)

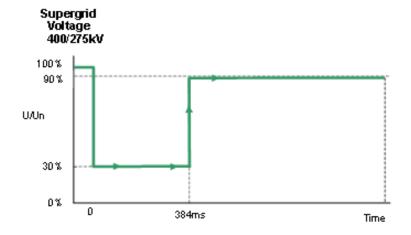
CC.A.4A.3 <u>Supergrid Voltage Dips On The Onshore Transmission System Greater Than 140ms In Duration</u>

For balanced **Supergrid Voltage** dips on the **Onshore Transmission System** (which could be at an **Interface Point**) having durations greater than 140ms and up to 3 minutes the fault ride through requirement is defined in CC.6.3.15.1 (b) (i) and Figure 5 which is reproduced in this Appendix as Figure CC.A.4A.2 and termed the voltage—duration profile.

This profile is not a voltage-time response curve that would be obtained by plotting the transient voltage response at a point on the **Onshore Transmission System** (or **User System** if located **Onshore**) to a disturbance. Rather, each point on the profile (i.e. the heavy black line) represents a voltage level and an associated time duration which connected **Generating Units**, **Power Park Modules** or **OTSDUW Plant and Apparatus** must withstand or ride through.

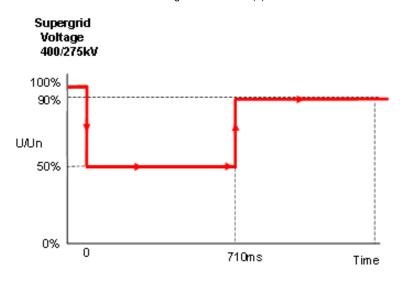
Figures CC.A.4A.3 (a), (b) and (c) illustrate the meaning of the voltage-duration profile for voltage dips having durations greater than 140ms.





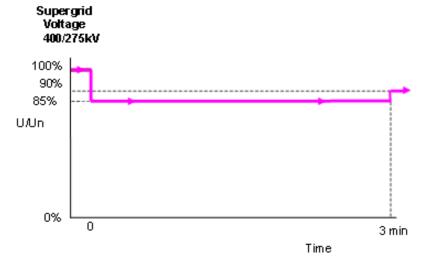
30% retained voltage, 384ms duration

Figure CC.A.4A.3 (a)



50% retained voltage, 710ms duration

Figure CC.A.4A.3 (b)



85% retained voltage, 3 minutes duration

Figure CC.A.4A.3 (c)

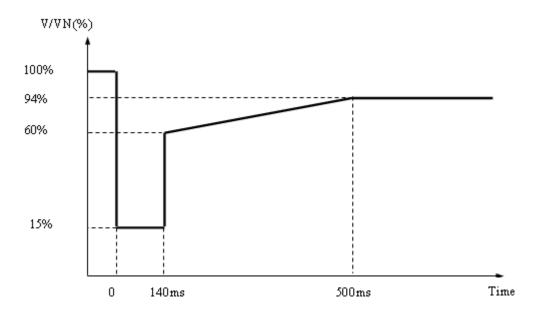
APPENDIX 4B - FAULT RIDE THROUGH REQUIREMENTS FOR OFFSHORE GENERATING UNITS IN A LARGE POWER STATION, OFFSHORE POWER PARK MODULES IN A LARGE POWER STATION AND OFFSHORE DC CONVERTERS IN A LARGE POWER STATION WHICH SELECT TO MEET THE FAULT RIDE THROUGH REQUIREMENTS AT THE LV SIDE OF THE OFFSHORE PLATFORM AS SPECIFIED IN CC.6.3.15.2

CC.A.4B.1 Scope

The fault ride through requirement is defined in CC.6.3.15.2 (a), (b) and CC.6.3.15.3. This Appendix provides illustrations by way of examples only of CC.6.3.15.2 (a) (i) and further background and illustrations to CC.6.3.15.2 (b) (i) and is not intended to show all possible permutations.

CC.A.4B.2 Voltage Dips On The LV Side Of The Offshore Platform Up To 140ms In Duration

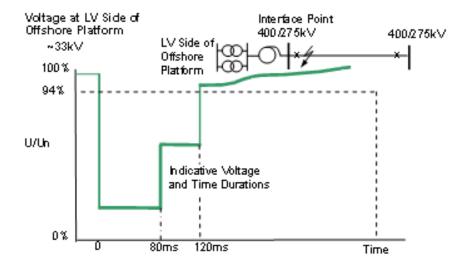
For voltage dips on the **LV Side of the Offshore Platform** which last up to 140ms in duration, the fault ride through requirement is defined in CC.6.3.15.2 (a) (i). This includes Figure 6 which is reproduced here in Figure CC.A.4B.1. The purpose of this requirement is to translate the conditions caused by a balanced or unbalanced fault which occurs on the **Onshore Transmission System** (which may include the **Interface Point)** at the **LV Side of the Offshore Platform**.



 V/V_N is the ratio of the voltage at the **LV side of the Offshore Platform** to the nominal voltage of the LV side of the **Offshore Platform**.

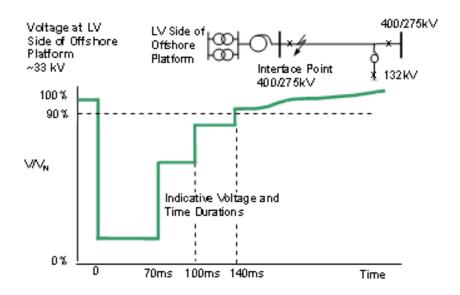
Figure CC.A.4B.1

Figures CC.A.4B.2 (a) and CC.A.4B.2 (b) illustrate two typical examples of the voltage recovery seen at the **LV Side of the Offshore Platform** for a short circuit fault cleared within 140ms by (a) two circuit breakers and (b) three circuit breakers on the **Onshore Transmission System**.



Typical fault cleared in less than 140ms: 2 ended circuit.





Typical fault cleared in 140ms:- 3 ended circuit

Figure CC.A.4B.2 (b)

CCA.4B.3 <u>Voltage Dips Which Occur On The LV Side Of The Offshore Platform Greater Than 140ms In Duration</u>

In addition to CCA.4B.2 the fault ride through requirements applicable for **Offshore Generating Units**, and **Offshore Power Park Modules** during balanced voltage dips which occur at the **LV Side of the Offshore Platform** and have durations greater than 140ms and up to 3 minutes are defined in CC.6.3.15.2 (b) (i) and Figure 7 which is reproduced in this Appendix as Figure CC.A.4B.3 and termed the voltage—duration profile.

This profile is not a voltage-time response curve that would be obtained by plotting the transient voltage response at the LV Side of the Offshore Platform to a disturbance. Rather, each point on the profile (i.e. the heavy black line) represents a voltage level and an associated time duration which connected Offshore Generating Units, or Offshore Power Park Modules must withstand or ride through.

Figures CC.A.4B.3 (a), (b) and (c) illustrate the meaning of the voltage-duration profile for voltage dips having durations greater than 140ms.

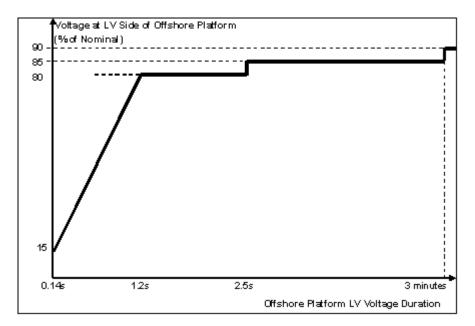
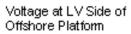
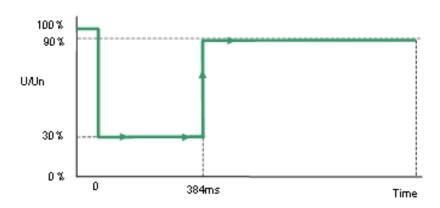


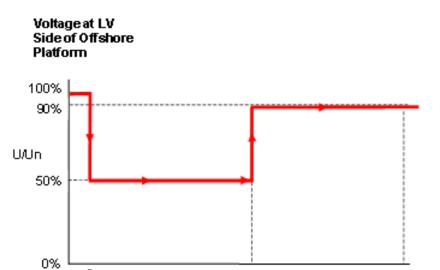
Figure CC.A.4B.3





30% retained voltage , 384 ms duration

Figure CC.A.4B.3 (a)



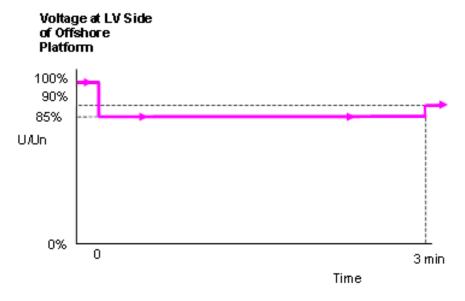
50% retained voltage, 710ms duration

0

Figure CC.A.4B.3(b)

710ms

Time



85% retained voltage, 3 minutes duration

Figure CC.A.4B.3(c)

APPENDIX 5 - TECHNICAL REQUIREMENTS LOW FREQUENCY RELAYS FOR THE AUTOMATIC DISCONNECTION OF SUPPLIES AT LOW FREQUENCY

CC.A.5.1 Low Frequency Relays

CC.A.5.1.1 The **Low Frequency Relays** to be used shall have a setting range of 47.0 to 50Hz and be suitable for operation from a nominal AC input of 63.5, 110 or 240V. The following general parameters specify the requirements of approved **Low Frequency Relays** for automatic installations installed and commissioned after 1st April 2007 and provide an indication, without prejudice to the provisions that may be included in a **Bilateral Agreement**, for those installed and commissioned before 1st April 2007:

(a) **Frequency** settings: 47-50Hz in steps of 0.05Hz or better, preferably 0.01Hz;

(b) Operating time: Relay operating time shall not be more than 150 ms;

(c) Voltage lock-out: Selectable within a range of 55 to 90% of nominal voltage;

(d) Facility stages: One or two stages of **Frequency** operation;

(e) Output contacts: Two output contacts per stage to be capable of repetitively

making and breaking for 1000 operations:

(f) Accuracy: 0.01 Hz maximum error under reference environmental and

system voltage conditions.

0.05 Hz maximum error at 8% of total harmonic distortion

Electromagnetic Compatibility Level.

CC.A.5.2 <u>Low Frequency Relay Voltage Supplies</u>

CC.A.5.2.1 It is essential that the voltage supply to the **Low Frequency Relays** shall be derived from the primary **System** at the supply point concerned so that the **Frequency** of the **Low Frequency Relays** input voltage is the same as that of the primary **System**. This requires either:

- (a) the use of a secure supply obtained from voltage transformers directly associated with the grid transformer(s) concerned, the supply being obtained where necessary via a suitable automatic voltage selection scheme; or
- (b) the use of the substation 240V phase-to-neutral selected auxiliary supply, provided that this supply is always derived at the supply point concerned and is never derived from a standby supply **Generating Unit** or from another part of the **User System**.

CC.A.5.3 Scheme Requirements

CC.A.5.3.1 The tripping facility should be engineered in accordance with the following reliability considerations:

(a) Dependability

Failure to trip at any one particular **Demand** shedding point would not harm the overall operation of the scheme. However, many failures would have the effect of reducing the amount of **Demand** under low **Frequency** control. An overall reasonable minimum requirement for the dependability of the **Demand** shedding scheme is 96%, i.e. the average probability of failure of each **Demand** shedding point should be less than 4%. Thus the **Demand** under low **Frequency** control will not be reduced by more than 4% due to relay failure.

(b) Outages

Low **Frequency Demand** shedding schemes will be engineered such that the amount of **Demand** under control is as specified in Table CC.A.5.5.1a and is not reduced unacceptably during equipment outage or maintenance conditions.

CC.A.5.3.2 The total operating time of the scheme, including circuit breakers operating time, shall where reasonably practicable, be less than 200 ms. For the avoidance of doubt, the replacement of plant installed prior to October 2009 will not be required in order to achieve lower total scheme operating times.

CC.A.5.4 Low Frequency Relay Testing

CC.A.5.4.1 **Low Frequency Relays** installed and commissioned after 1st January 2007 shall be type tested in accordance with and comply with the functional test requirements for **Frequency Protection** contained in Energy Networks Association Technical Specification 48-6-5 Issue 1 dated 2005 "ENA **Protection** Assessment Functional Test Requirements – Voltage and Frequency **Protection**".

For the avoidance of doubt, **Low Frequency Relays** installed and commissioned before 1st January 2007 shall comply with the version of CC.A.5.1.1 applicable at the time such **Low Frequency Relays** were commissioned.

CC.A.5.5 <u>Scheme Settings</u>

CC.A.5.5.1 Table CC.A.5.5.1a shows, for each **Transmission Area**, the percentage of **Demand** (based on **Annual ACS Conditions**) at the time of forecast **National Electricity Transmission System** peak **Demand** that each **Network Operator** whose **System** is connected to the **Onshore Transmission System** within such **Transmission Area** shall disconnect by **Low Frequency Relays** at a range of frequencies. Where a **Network Operator's System** is connected to the **National Electricity Transmission System** in more than one **Transmission Area**, the settings for the **Transmission Area** in which the majority of the **Demand** is connected shall apply.

Frequency Hz	% Demand disconnection for each Network Operator in Transmission Area		
	NGET	SPT	SHETL
48.8	5		
48.75	5		
48.7	10		
48.6	7.5		10
48.5	7.5	10	
48.4	7.5	10	10
48.2	7.5	10	10
48.0	5	10	10
47.8	5		
Total % Demand	60	40	40

Table CC.A.5.5.1a

Note – the percentages in table CC.A.5.5.1a are cumulative such that, for example, should the frequency fall to 48.6 Hz in the **NGET Transmission Area**, 27.5% of the total **Demand** connected to the **National Electricity Transmission System** in the **NGET Transmission Area** shall be disconnected by the action of **Low Frequency Relays**.

The percentage **Demand** at each stage shall be allocated as far as reasonably practicable. The cumulative total percentage **Demand** is a minimum.

APPENDIX 6 - PERFORMANCE REQUIREMENTS FOR CONTINUOUSLY **ACTING AUTOMATIC EXCITATION CONTROL SYSTEMS FOR** ONSHORE SYNCHRONOUS GENERATING UNITS

CC.A.6.1 Scope

- CC.A.6.1.1 This Appendix sets out the performance requirements of continuously acting automatic excitation control systems for Onshore Synchronous Generating Units that must be complied with by the User. This Appendix does not limit any site specific requirements that may be included in a Bilateral Agreement where in NGET's reasonable opinion these facilities are necessary for system reasons.
- CC.A.6.1.2 Where the requirements may vary the likely range of variation is given in this Appendix. It may be necessary to specify values outside this range where NGET identifies a system need, and notwithstanding anything to the contrary NGET may specify in the Bilateral Agreement values outside of the ranges provided in this Appendix 6. The most common variations are in the on-load excitation ceiling voltage requirements and the response time required of the Exciter. Actual values will be included in the Bilateral Agreement.
- CC.A.6.1.3 Should a Generator anticipate making a change to the excitation control system it shall notify NGET under the Planning Code (PC.A.1.2(b) and (c)) as soon as the Generator anticipates making the change. The change may require a revision to the Bilateral Agreement.
- CC.A.6.2 Requirements
- CC.A.6.2.1 The Excitation System of an Onshore Synchronous Generating Unit shall include an excitation source (Exciter), a Power System Stabiliser and a continuously acting Automatic Voltage Regulator (AVR) and shall meet the following functional specification.
- CC.A.6.2.2 In respect of Onshore Synchronous Generating Units with a Completion Date on or after 1 January 2009, and Onshore Synchronous Generating Units with a Completion Date before 1 January 2009 subject to a Modification to the excitation control facilities where the Bilateral Agreement does not specify otherwise, the continuously acting automatic excitation control system shall include a Power System Stabiliser (PSS) as a means of supplementary control. The functional specification of the Power System Stabiliser is included in CC.A.6.2.5.
- CC.A.6.2.3 Steady State Voltage Control
- CC.A.6.2.3.1 An accurate steady state control of the Onshore Generating Unit pre-set terminal voltage is required. As a measure of the accuracy of the steady-state voltage control, the Automatic Voltage Regulator shall have static zero frequency gain, sufficient to limit the change in terminal voltage to a drop not exceeding 0.5% of rated terminal voltage, when the Onshore Generating Unit output is gradually changed from zero to rated MVA output at rated voltage, Active Power and Frequency.
- CC.A.6.2.4 **Transient Voltage Control**
- CC.A.6.2.4.1 For a step change from 90% to 100% of the nominal Onshore Generating Unit terminal voltage, with the Onshore Generating Unit on open circuit, the Excitation System response shall have a damped oscillatory characteristic. For this characteristic, the time for the Onshore Generating Unit terminal voltage to first reach 100% shall be less than 0.6 seconds. Also, the time to settle within 5% of the voltage change shall be less than 3 seconds.

- CC.A.6.2.4.2 To ensure that adequate synchronising power is maintained, when the **Onshore Generating**Unit is subjected to a large voltage disturbance, the **Exciter** whose output is varied by the
 Automatic Voltage Regulator shall be capable of providing its achievable upper and lower
 limit ceiling voltages to the **Onshore Generating Unit** field in a time not exceeding that
 specified in the **Bilateral Agreement**. This will normally be not less than 50 ms and not
 greater than 300 ms. The achievable upper and lower limit ceiling voltages may be
 dependent on the voltage disturbance.
- CC.A.6.2.4.3 The Exciter shall be capable of attaining an Excitation System On Load Positive Ceiling Voltage of not less than a value specified in the Bilateral Agreement that will be:

not less than 2 per unit (pu)

normally not greater than 3 pu

exceptionally up to 4 pu

of **Rated Field Voltage** when responding to a sudden drop in voltage of 10 percent or more at the **Onshore Generating Unit** terminals. **NGET** may specify a value outside the above limits where **NGET** identifies a system need.

- CC.A.6.2.4.4 If a static type **Exciter** is employed:
 - (i) the field voltage should be capable of attaining a negative ceiling level specified in the Bilateral Agreement after the removal of the step disturbance of CC.A.6.2.4.3. The specified value will be 80% of the value specified in CC.A.6.2.4.3. NGET may specify a value outside the above limits where NGET identifies a system need.
 - (ii) the Exciter must be capable of maintaining free firing when the Onshore Generating Unit terminal voltage is depressed to a level which may be between 20% to 30% of rated terminal voltage
 - (iii) the Exciter shall be capable of attaining a positive ceiling voltage not less than 80% of the Excitation System On Load Positive Ceiling Voltage upon recovery of the Onshore Generating Unit terminal voltage to 80% of rated terminal voltage following fault clearance. NGET may specify a value outside the above limits where NGET identifies a system need.
 - (iv) The requirement to provide a separate power source for the **Exciter** will be specified in the **Bilateral Agreement** if **NGET** identifies a **Transmission System** need.
- CC.A.6.2.5 Power Oscillations Damping Control
- CC.A.6.2.5.1 To allow the **Onshore Generating Unit** to maintain second and subsequent swing stability and also to ensure an adequate level of low frequency electrical damping power, the **Automatic Voltage Regulator** shall include a **Power System Stabiliser** as a means of supplementary control.
- CC.A.6.2.5.2 Whatever supplementary control signal is employed, it shall be of the type which operates into the **Automatic Voltage Regulator** to cause the field voltage to act in a manner which results in the damping power being improved while maintaining adequate synchronising power.
- CC.A.6.2.5.3 The arrangements for the supplementary control signal shall ensure that the **Power System Stabiliser** output signal relates only to changes in the supplementary control signal and not the steady state level of the signal. For example, if generator electrical power output is chosen as a supplementary control signal then the **Power System Stabiliser** output should relate only to changes in generator electrical power output and not the steady state level of power output. Additionally the **Power System Stabiliser** should not react to mechanical power changes in isolation for example during rapid changes in steady state load or when providing frequency response.
- CC.A.6.2.5.4 The output signal from the **Power System Stabiliser** shall be limited to not more than ±10% of the **Onshore Generating Unit** terminal voltage signal at the **Automatic Voltage Regulator** input. The gain of the **Power System Stabiliser** shall be such that an increase in the gain by a factor of 3 shall not cause instability.

- CC.A.6.2.5.5 The **Power System Stabiliser** shall include elements that limit the bandwidth of the output signal. The bandwidth limiting must ensure that the highest frequency of response cannot excite torsional oscillations on other plant connected to the network. A bandwidth of 0-5Hz would be judged to be acceptable for this application.
- CC.A.6.2.5.6 The **Generator** will agree **Power System Stabiliser** settings with **NGET** prior to the on-load commissioning detailed in BC2.11.2(d). To allow assessment of the performance before on-load commissioning the **Generator** will provide to **NGET** a report covering the areas specified in CP.A.3.2.1.
- CC.A.6.2.5.7 The **Power System Stabiliser** must be active within the **Excitation System** at all times when **Synchronised** including when the **Under Excitation Limiter** or **Over Excitation Limiter** are active. When operating at low load when **Synchronising** or **De-Synchronising** an **Onshore Generating Unit**, the **Power System Stabiliser** may be out of service.
- CC.A.6.2.5.8 Where a **Power System Stabiliser** is fitted to a **Pumped Storage Unit** it must function when the **Pumped Storage Unit** is in both generating and pumping modes.
- CC.A.6.2.6 Overall Excitation System Control Characteristics
- CC.A.6.2.6.1 The overall **Excitation System** shall include elements that limit the bandwidth of the output signal. The bandwidth limiting must be consistent with the speed of response requirements and ensure that the highest frequency of response cannot excite torsional oscillations on other plant connected to the network. A bandwidth of 0-5 Hz will be judged to be acceptable for this application.
- CC.A.6.2.6.2 The response of the Automatic Voltage Regulator combined with the Power System Stabiliser shall be demonstrated by injecting similar step signal disturbances into the Automatic Voltage Regulator reference as detailed in OC5A.2.2 and OC5.A.2.4. The Automatic Voltage Regulator shall include a facility to allow step injections into the Automatic Voltage Regulator voltage reference, with the Onshore Generating Unit operating at points specified by NGET (up to rated MVA output). The damping shall be judged to be adequate if the corresponding Active Power response to the disturbances decays within two cycles of oscillation.
- CC.A.6.2.6.3 A facility to inject a band limited random noise signal into the **Automatic Voltage Regulator** voltage reference shall be provided for demonstrating the frequency domain response of the **Power System Stabiliser**. The tuning of the **Power System Stabiliser** shall be judged to be adequate if the corresponding **Active Power** response shows improved damping with the **Power System Stabiliser** in combination with the **Automatic Voltage Regulator** compared with the **Automatic Voltage Regulator** alone over the frequency range 0.3Hz 2Hz.
- CC.A.6.2.7 <u>Under-Excitation Limiters</u>
- CC.A.6.2.7.1 The security of the power system shall also be safeguarded by means of MVAr Under Excitation Limiters fitted to the generator Excitation System. The Under Excitation Limiter shall prevent the Automatic Voltage Regulator reducing the generator excitation to a level which would endanger synchronous stability. The Under Excitation Limiter shall operate when the excitation system is providing automatic control. The Under Excitation Limiter shall respond to changes in the Active Power (MW) and the Reactive Power (MVAr), and to the square of the generator voltage in such a direction that an increase in voltage will permit an increase in leading MVAr. The characteristic of the Under Excitation Limiter shall be substantially linear from no-load to the maximum Active Power output of the Onshore Generating Unit at any setting and shall be readily adjustable.

- CC.A.6.2.7.2 The performance of the **Under Excitation Limiter** shall be independent of the rate of change of the **Onshore Generating Unit** load and shall be demonstrated by testing as detailed in OC5.A.2.5. The resulting maximum overshoot in response to a step injection which operates the **Under Excitation Limiter** shall not exceed 4% of the **Onshore Generating Unit** rated MVA. The operating point of the **Onshore Generating Unit** shall be returned to a steady state value at the limit line and the final settling time shall not be greater than 5 seconds. When the step change in **Automatic Voltage Regulator** reference voltage is reversed, the field voltage should begin to respond without any delay and should not be held down by the **Under Excitation Limiter**. Operation into or out of the preset limit levels shall ensure that any resultant oscillations are damped so that the disturbance is within 0.5% of the **Onshore Generating Unit** MVA rating within a period of 5 seconds.
- CC.A.6.2.7.3 The **Generator** shall also make provision to prevent the reduction of the **Onshore Generating Unit** excitation to a level which would endanger synchronous stability when the **Excitation System** is under manual control.
- CC.A.6.2.8 Over-Excitation Limiters
- CC.A.6.2.8.1 The settings of the **Over-Excitation Limiter**, where it exists, shall ensure that the generator excitation is not limited to less than the maximum value that can be achieved whilst ensuring the **Onshore Generating Unit** is operating within its design limits. If the generator excitation is reduced following a period of operation at a high level, the rate of reduction shall not exceed that required to remain within any time dependent operating characteristics of the **Onshore Generating Unit**.
- CC.A.6.2.8.2 The performance of the **Over-Excitation Limiter**, where it exists, shall be demonstrated by testing as described in OC5.A.2.6. Any operation beyond the **Over-Excitation Limit** shall be controlled by the **Over-Excitation Limiter** without the operation of any **Protection** that could trip the **Onshore Generating Unit**.
- CC.A.6.2.8.3 The **Generator** shall also make provision to prevent any over-excitation restriction of the generator when the **Excitation System** is under manual control, other than that necessary to ensure the **Onshore Generating Unit** is operating within its design limits.

APPENDIX 7 - PERFORMANCE REQUIREMENTS FOR CONTINUOUSLY ACTING AUTOMATIC VOLTAGE CONTROL SYSTEMS FOR ONSHORE NON-SYNCHRONOUS GENERATING UNITS, ONSHORE DC CONVERTERS, ONSHORE POWER PARK MODULES AND OTSDUW PLANT AND APPARATUS AT THE INTERFACE POINT

CC.A.7.1 Scope

- CC.A.7.1.1 This Appendix sets out the performance requirements of continuously acting automatic voltage control systems for **Onshore Non-Synchronous Generating Units**, **Onshore DC Converters**, **Onshore Power Park Modules** and **OTSDUW Plant and Apparatus** at the **Interface Point** that must be complied with by the **User**. This Appendix does not limit any site specific requirements that may be included in a **Bilateral Agreement** where in **NGET's** reasonable opinion these facilities are necessary for system reasons.
- CC.A.7.1.2 Proposals by **Generators** to make a change to the voltage control systems are required to be notified to **NGET** under the **Planning Code** (PC.A.1.2(b) and (c)) as soon as the **Generator** anticipates making the change. The change may require a revision to the **Bilateral Agreement**.

CC.A.7.2 <u>Requirements</u>

CC.A.7.2.1 NGET requires that the continuously acting automatic voltage control system for the Onshore Non-Synchronous Generating Unit, Onshore DC Converter or Onshore Power Park Module or OTSDUW Plant and Apparatus shall meet the following functional performance specification. If a Network Operator has confirmed to NGET that its network to which an Embedded Onshore Non-Synchronous Generating Unit, Onshore DC Converter, Onshore Power Park Module or OTSDUW Plant and Apparatus is connected is restricted such that the full reactive range under the steady state voltage control requirements (CC.A.7.2.2) cannot be utilised, NGET may specify in the Bilateral Agreement alternative limits to the steady state voltage control range that reflect these restrictions. Where the Network Operator subsequently notifies NGET that such restriction has been removed, NGET may propose a Modification to the Bilateral Agreement (in accordance with the CUSC contract) to remove the alternative limits such that the continuously acting automatic voltage control system meets the following functional performance specification. All other requirements of the voltage control system will remain as in this Appendix.

CC.A.7.2.2 Steady State Voltage Control

CC.A.7.2.2.1 The Onshore Non-Synchronous Generating Unit, Onshore DC Converter, Onshore Power Park Module or OTSDUW Plant and Apparatus shall provide continuous steady state control of the voltage at the Onshore Grid Entry Point (or Onshore User System Entry Point if Embedded) (or the Interface Point in the case of OTSDUW Plant and Apparatus) with a Setpoint Voltage and Slope characteristic as illustrated in Figure CC.A.7.2.2a. It should be noted that where the Reactive Power capability requirement of a directly connected Onshore Non-Synchronous Generating Unit, Onshore DC Converter, Onshore Power Park Module in Scotland, or OTSDUW Plant and Apparatus in Scotland as specified in CC.6.3.2 (c), is not at the Onshore Grid Entry Point or Interface Point, the values of Qmin and Qmax shown in this figure will be as modified by the 33/132kV or 33/275kV or 33/400kV transformer.

Grid Entry Point voltage (or User System Entry Point voltage if Embedded) (or Interface Point in the case of OTSDUW Plant and Apparatus) Setpoint Voltage 95% < Vset < 105% Slope This is the percentage change in voltage, based on nominal, that results in a change of reactive power from 0 to Qmin or 0 to Qmax Qmin Qmax 0 Reactive capability Reactive capability corresponding to 0.95 corresponding to 0.95 leading Power Factor at lagging Power Factor at

Rated MW

Figure CC.A.7.2.2a

Rated MW

- CC.A.7.2.2.2 The continuously acting automatic control system shall be capable of operating to a **Setpoint Voltage** between 95% and 105% with a resolution of 0.25% of the nominal voltage. For the avoidance of doubt values of 95%, 95.25%, 95.5% ... may be specified, but not intermediate values. The initial **Setpoint Voltage** will be 100%. The tolerance within which this **Setpoint Voltage** shall be achieved is specified in BC2.A.2.6. For the avoidance of doubt, with a tolerance of 0.25% and a Setpoint Voltage of 100%, the achieved value shall be between 99.75% and 100.25%. **NGET** may request the **Generator** to implement an alternative **Setpoint Voltage** within the range of 95% to 105%. For **Embedded Generators** the **Setpoint Voltage** will be discussed between **NGET** and the relevant **Network Operator** and will be specified to ensure consistency with CC.6.3.4.
- CC.A.7.2.2.3 The **Slope** characteristic of the continuously acting automatic control system shall be adjustable over the range 2% to 7% (with a resolution of 0.5%). For the avoidance of doubt values of 2%, 2.5%, 3% may be specified, but not intermediate values. The initial **Slope** setting will be 4%. The tolerance within which this **Slope** shall be achieved is specified in BC2.A.2.6. For the avoidance of doubt, with a tolerance of 0.5% and a **Slope** setting of 4%, the achieved value shall be between 3.5% and 4.5%. **NGET** may request the **Generator** to implement an alternative slope setting within the range of 2% to 7%. For **Embedded Generators** the **Slope** setting will be discussed between **NGET** and the relevant **Network Operator** and will be specified to ensure consistency with CC.6.3.4.

Grid Entry Point voltage (or User System Entry Point voltage if Embedded) (or Interface Point in the case of an OTSDUW)

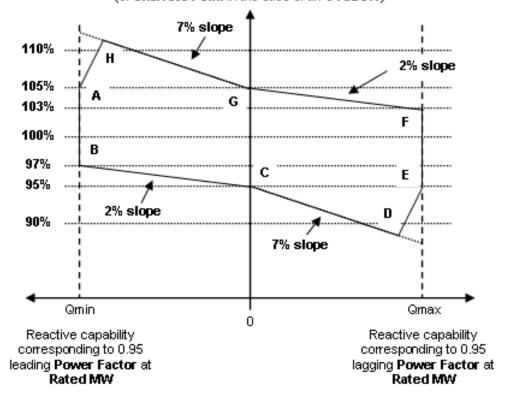


Figure CC.A.7.2.2b

Onshore Grid Entry Point voltage (or Onshore User System Entry Point voltage if Embedded) Connections at 33kV and below

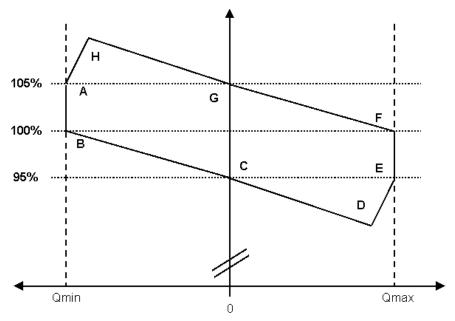
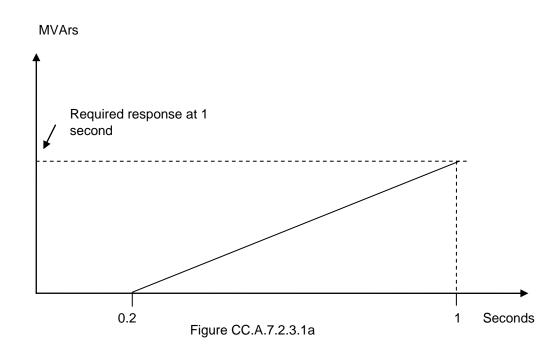


Figure CC.A.7.2.2c

- Figure CC.A.7.2.2b shows the required envelope of operation for Onshore Non-CC.A.7.2.2.4 Synchronous Generating Units, Onshore DC Converters, OTSDUW Plant and Apparatus and Onshore Power Park Modules except for those Embedded at 33kV and below or directly connected to the National Electricity Transmission System at 33kV and below. Figure CC.A.7.2.2c shows the required envelope of operation for Onshore Non-Synchronous Generating Units, Onshore DC Converters and Onshore Power Park Modules Embedded at 33kV and below or directly connected to the National Electricity Transmission System at 33kV and below. Where the Reactive Power capability requirement of a directly connected Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module in Scotland, as specified in CC.6.3.2 (c), is not at the Onshore Grid Entry Point or Interface Point in the case of OTSDUW Plant and Apparatus, the values of Qmin and Qmax shown in this figure will be as modified by the 33/132kV or 33/275kV or 33/400kV transformer. The enclosed area within points ABCDEFGH is the required capability range within which the Slope and Setpoint Voltage can be changed.
- CC.A.7.2.2.5 Should the operating point of the **Onshore Non-Synchronous Generating Unit**, **Onshore DC Converter**, **OTSDUW Plant and Apparatus** or **Onshore Power Park Module** deviate so that it is no longer a point on the operating characteristic (figure CC.A.7.2.2a) defined by the target **Setpoint Voltage** and **Slope**, the continuously acting automatic voltage control system shall act progressively to return the value to a point on the required characteristic within 5 seconds.
- CC.A.7.2.2.6 Should the Reactive Power output of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module reach its maximum lagging limit at a Onshore Grid Entry Point voltage (or Onshore User System Entry Point voltage if Embedded or Interface Point in the case of OTSDUW Plant and Apparatus) above 95%, the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module shall maintain maximum lagging Reactive Power output for voltage reductions down to 95%. This requirement is indicated by the line EF in figures CC.A.7.2.2b and CC.A.7.2.2c. Should the Reactive Power output of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module reach its maximum leading limit at a Onshore Grid Entry Point voltage (or Onshore User System Entry Point voltage if Embedded or Interface Point in the case of OTSDUW Plant and Apparatus) below 105%, the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module shall maintain maximum leading Reactive Power output for voltage increases up to 105%. This requirement is indicated by the line AB in figures CC.A.7.2.2b and CC.A.7.2.2c.

- CC.A.7.2.2.7 For Onshore Grid Entry Point voltages (or Onshore User System Entry Point voltages if Embedded or Interface Point voltages) below 95%, the lagging Reactive Power capability of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module should be that which results from the supply of maximum lagging reactive current whilst ensuring the current remains within design operating limits. An example of the capability is shown by the line DE in figures CC.A.7.2.2b and CC.A.7.2.2c. For Onshore Grid Entry Point voltages (or User System Entry Point voltages if Embedded or Interface Point voltages) above 105%, the leading Reactive Power capability of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module should be that which results from the supply of maximum leading reactive current whilst ensuring the current remains within design operating limits. An example of the capability is shown by the line AH in figures CC.A.7.2.2b and CC.A.7.2.2c. Should the Reactive Power output of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module reach its maximum lagging limit at an Onshore Grid Entry Point voltage (or Onshore User System Entry Point voltage if Embedded or Interface Point in the case of OTSDUW Plant and Apparatus) below 95%, the Onshore Non-Synchronous Generating Unit, Onshore DC Converter or Onshore Power Park Module shall maintain maximum lagging reactive current output for further voltage decreases. Should the Reactive Power output of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module reach its maximum leading limit at a Onshore Grid Entry Point voltage (or User System Entry Point voltage if Embedded or Interface Point voltage in the case of an OTSDUW Plant and Apparatus) above 105%, the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module shall maintain maximum leading reactive current output for further voltage increases.
- CC.A.7.2.2.8 All **OTSDUW Plant and Apparatus** must be capable of enabling **Users** undertaking **OTSDUW** to comply with an instruction received from **NGET** relating to a variation of the **Setpoint Voltage** at the **Interface Point** within 2 minutes of such instruction being received.
- CC.A.7.2.2.9 For **OTSDUW Plant and Apparatus** connected to a **Network Operator's System** where the **Network Operator** has confirmed to **NGET** that its **System** is restricted in accordance with CC.A.7.2.1, clause CC.A.7.2.2.8 will not apply unless **NGET** can reasonably demonstrate that the magnitude of the available change in **Reactive Power** has a significant effect on voltage levels on the **Onshore National Electricity Transmission System**.
- CC.A.7.2.3 <u>Transient Voltage Control</u>
- CC.A.7.2.3.1 For an on-load step change in **Onshore Grid Entry Point** or **Onshore User System Entry Point** voltage, or in the case of **OTSDUW Plant and Apparatus** an on-load step change in **Transmission Interface Point** voltage, the continuously acting automatic control system shall respond according to the following minimum criteria:
 - (i) the Reactive Power output response of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module shall commence within 0.2 seconds of the application of the step. It shall progress linearly although variations from a linear characteristic shall be acceptable provided that the MVAr seconds delivered at any time up to 1 second are at least those that would result from the response shown in figure CC.A.7.2.3.1a.
 - (ii) the response shall be such that, for a sufficiently large step, 90% of the full reactive capability of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module, as required by CC.6.3.2 (or, if appropriate, CC.A.7.2.2.6 or CC.A.7.2.2.7), will be produced within 1 second.
 - (iii) the magnitude of the **Reactive Power** output response produced within 1 second shall vary linearly in proportion to the magnitude of the step change.

- (iv) the settling time shall be no greater than 2 seconds from the application of the step change in voltage and the peak to peak magnitude of any oscillations shall be less than 5% of the change in steady state **Reactive Power** within this time.
- (v) following the transient response, the conditions of CC.A.7.2.2 apply.



CC.A.7.2.4 Power Oscillation Damping

CC.A.7.2.4.1 The requirement for the continuously acting voltage control system to be fitted with a **Power System Stabiliser (PSS)** shall be specified in the **Bilateral Agreement** if, in **NGET's** view, this is required for system reasons. However if a **Power System Stabiliser** is included in the voltage control system its settings and performance shall be agreed with **NGET** and commissioned in accordance with BC2.11.2. To allow assessment of the performance before on-load commissioning the **Generator** will provide to **NGET** a report covering the areas specified in CP.A.3.2.2.

CC.A.7.2.5 Overall Voltage Control System Characteristics

- CC.A.7.2.5.1 The continuously acting automatic voltage control system is required to respond to minor variations, steps, gradual changes or major variations in **Onshore Grid Entry Point** voltage (or **Onshore User System Entry Point** voltage if **Embedded** or **Interface Point** voltage in the case of **OTSDUW Plant and Apparatus**).
- CC.A.7.2.5.2 The overall voltage control system shall include elements that limit the bandwidth of the output signal. The bandwidth limiting must be consistent with the speed of response requirements and ensure that the highest frequency of response cannot excite torsional oscillations on other plant connected to the network. A bandwidth of 0-5Hz would be judged to be acceptable for this application. All other control systems employed within the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module should also meet this requirement
- CC.A.7.2.5.3 The response of the voltage control system (including the **Power System Stabiliser** if employed) shall be demonstrated by testing in accordance with OC5A.A.3.

< END OF CONNECTION CONDITIONS >

BALANCING CODE NO. 1

(BC1)

PRE GATE CLOSURE PROCESS

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BC1.1 **INTRODUCTION**

Balancing Code No1 (BC1) sets out the procedure for:

- (a) the submission of BM Unit Data and/or Generating Unit Data by each BM Participant;
- (b) the submission of certain System data by each Network Operator; and
- (c) the provision of data by **NGET**,

in the period leading up to Gate Closure.

BC1.2 **OBJECTIVE**

The procedure for the submission of BM Unit Data and/or Generating Unit Data is intended to enable NGET to assess which BM Units and Generating Units are expected to be operating in order that NGET can ensure (so far as possible) the integrity of the National **Electricity Transmission System**, and the security and quality of supply.

Where reference is made in this BC1 to Generating Units (unless otherwise stated) it only applies:

- (a) to each Generating Unit which forms part of the BM Unit of a Cascade Hydro Scheme; and
- (b) at an Embedded Exemptable Large Power Station where the relevant Bilateral Agreement specifies that compliance with BC1 is required:
 - to each Generating Unit, or
 - (ii) to each Power Park Module where the Power Station comprises Power Park Modules.

BC1.3 **SCOPE**

BC1 applies to NGET and to Users, which in this BC1 means:-

- (a) BM Participants;
- (b) Externally Interconnected System Operators; and
- (c) Network Operators.

BC1.4 SUBMISSION OF DATA

In the case of BM Units or Generating Units Embedded in a User System, any data submitted by Users under this BC1 must represent the value of the data at the relevant Grid Supply Point.

BC1.4.1 Communication With Users

- (a) Submission of BM Unit Data and Generating Unit Data by Users to NGET specified in BC1.4.2 to BC1.4.4 (with the exception of BC1.4.2(f)) is to be by use of electronic data communications facilities, as provided for in CC.6.5.8. However, data specified in BC1.4.2(c) and BC1.4.2(e) only, may be submitted by telephone or fax.
- (b) In the event of a failure of the electronic data communication facilities, the data to apply in relation to a pre-Gate Closure period will be determined in accordance with the Data Validation, Consistency and Defaulting Rules, based on the most recent data received and acknowledged by NGET.
- (c) Planned Maintenance Outages will normally be arranged to take place during periods of low data transfer activity.
- (d) Upon any Planned Maintenance Outage, or following an unplanned outage described in BC1.4.1(b) (where it is termed a "failure") in relation to a pre-Gate Closure period:
- BM Participants should continue to act in relation to any period of time in

accordance with the **Physical Notifications** current at the time of the start of the **Planned Maintenance Outage** or the computer system failure in relation to each such period of time subject to the provisions of BC2.5.1. Depending on when in relation to **Gate Closure** the planned or unplanned maintenance outage arises such operation will either be operation in preparation for the relevant output in real time, or will be operation in real time. No further submissions of **BM Unit Data** and/or **Generating Unit Data** (other than data specified in BC1.4.2(c) and BC1.4.2(e)) should be attempted. Plant failure or similar problems causing significant deviation from **Physical Notification** should be notified to **NGET** by the submission of a revision to **Export and Import Limits** in relation to the **BM Unit** and /or **Generating Unit** so affected;

- (ii) during the outage, revisions to the data specified in BC1.4.2(c) and BC1.4.2(e) may be submitted. Communication between Users' Control Points and NGET during the outage will be conducted by telephone; and
- (iii) no data will be transferred from **NGET** to the **BMRA** until the communication facilities are re-established.

BC1.4.2 Day Ahead Submissions

Data for any **Operational Day** may be submitted to **NGET** up to several days in advance of the day to which it applies, as provided in the **Data Validation**, **Consistency and Defaulting Rules**. However, **Interconnector Users** must submit **Physical Notifications**, and any associated data as necessary, each day by 11:00 hours in respect of the next following **Operational Day** in order that the information used in relation to the capability of the respective **External Interconnection** is expressly provided. **NGET** shall not by the inclusion of this provision be prevented from utilising the provisions of BC1.4.5 if necessary.

The data may be modified by further data submissions at any time prior to **Gate Closure**, in accordance with the other provisions of **BC1**. The data to be used by **NGET** for operational planning will be determined from the most recent data that has been received by **NGET** by 11:00 hours on the day before the **Operational Day** to which the data applies, or from the data that has been defaulted at 11:00 hours on that day in accordance with BC1.4.5. Any subsequent revisions received by **NGET** under the Grid Code will also be utilised by **NGET**. In the case of all data items listed below, with the exception of item (e), **Dynamic Parameters** (Day Ahead), the latest submitted or defaulted data, as modified by any subsequent revisions, will be carried forward into operational timescales. The individual data items are listed below:

(a) Physical Notifications

Physical Notifications, being the data listed in **BC1** Appendix 1 under that heading, are required by **NGET** at 11:00 hours each day for each **Settlement Period** of the next following **Operational Day**, in respect of;

(1) BM Units:

- (i) with a **Demand Capacity** with a magnitude of 50MW or more in **NGET's Transmission Area** or 10MW or more in **SHETL's Transmission** Area or 30MW or more in **SPT's Transmission Area**; or
- (ii) comprising Generating Units (as defined in the Glossary and Definitions and not limited by BC1.2) and/or CCGT Modules and/or Power Park Modules in each case at Large Power Stations, Medium Power Stations and Small Power Stations where such Small Power Stations are directly connected to an Offshore Transmission System; or
- (iii) where the **BM Participant** chooses to submit **Bid-Offer Data** in accordance with BC1.4.2(d) for **BM Units** not falling within (i) or (ii) above,

and

(2) each **Generating Unit** where applicable under BC1.2.

Physical Notifications may be submitted to NGET by BM Participants, for the BM Units, and Generating Units, specified in this BC1.4.2(a) at an earlier time, or BM Participants may rely upon the provisions of BC1.4.5 to create the Physical Notifications by data defaulting pursuant to the Grid Code utilising the rules referred to in that paragraph at 11:00 hours in any day.

Physical Notifications (which must comply with the limits on maximum rates of change listed in BC1 Appendix 1) must, subject to the following operating limits, represent the User's best estimate of expected input or output of Active Power and shall be prepared in accordance with Good Industry Practice. Physical Notifications for any BM Unit, and any Generating Units, should normally be consistent with the Dynamic Parameters and Export and Import Limits and must not reflect any BM Unit or any Generating Units, proposing to operate outside the limits of its Demand Capacity and (and in the case of BM Units) Generation Capacity and, in the case of a BM Unit comprising a Generating Unit (as defined in the Glossary and Definitions and not limited by BC1.2) or CCGT Module or Power Park Module, its Registered Capacity.

These **Physical Notifications** provide, amongst other things, indicative **Synchronising** and **De-Synchronising** times to **NGET** in respect of any **BM Unit** comprising a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC1.2) or **CCGT Module** or **Power Park Module**, and for any **Generating Units**, and provide an indication of significant **Demand** changes in respect of other **BM Units**.

(b) Quiescent Physical Notifications

Each **BM Participant** may, in respect of each of its **BM Units**, submit to **NGET** for each **Settlement Period** of the next following **Operational Day** the data listed in **BC1** Appendix 1 under the heading of "Quiescent Physical Notifications" to amend the data already held by **NGET** in relation to **Quiescent Physical Notifications**, which would otherwise apply for those **Settlement Periods**.

(c) Export and Import Limits

Each **BM Participant** may, in respect of each of its **BM Units** and its **Generating Units** submit to **NGET** for any part or for the whole of the next following **Operational Day** the data listed in **BC1** Appendix 1 under the heading of "**Export and Import Limits**" to amend the data already held by **NGET** in relation to **Export and Import Limits**, which would otherwise apply for those **Settlement Periods**.

Export and Import Limits respectively represent the maximum export to or import from the **National Electricity Transmission System** for a **BM Unit** and a **Generating Unit** and are the maximum levels that the **BM Participant** wishes to make available and must be prepared in accordance with **Good Industry Practice**.

(d) Bid-Offer Data

Each BM Participant may, in respect of each of its BM Units, but must not in respect of its Generating Units submit to NGET for any Settlement Period of the next following Operational Day the data listed in BC1 Appendix 1 under the heading of "Bid-Offer Data" to amend the data already held by NGET in relation to Bid-Offer Data, which would otherwise apply to those Settlement Periods. The submitted Bid-Offer Data will be utilised by NGET in the preparation and analysis of its operational plans for the next following Operational Day. Bid-Offer Data may not be submitted unless an automatic logging device has been installed at the Control Point for the BM Unit in accordance with CC.6.5.8(b).

(e) Dynamic Parameters (Day Ahead)

Each **BM Participant** may, in respect of each of its **BM Units**, but must not in respect of its **Generating Units** submit to **NGET** for the next following **Operational Day** the data listed in **BC1** Appendix 1 under the heading of "**Dynamic Parameters**" to amend that data already held by **NGET**.

These **Dynamic Parameters** shall reasonably reflect the expected true operating characteristics of the **BM Unit** and shall be prepared in accordance with **Good Industry Practice**. In any case where non-zero **QPN** data has been provided in accordance with BC1.4.2(b), the **Dynamic Parameters** will apply to the element being offered for control only, i.e. to the component of the **Physical Notification** between the **QPN** and the full level of the **Physical Notification**.

The **Dynamic Parameters** applicable to the next following **Operational Day** will be utilised by **NGET** in the preparation and analysis of its operational plans for the next following **Operational Day** and may be used to instruct certain **Ancillary Services**. For the avoidance of doubt, the **Dynamic Parameters** to be used in the current **Operational Day** will be those submitted in accordance with BC2.5.3.1.

(f) Other Relevant Data

By 11:00 hours each day each **BM Participant**, in respect of each of its **BM Units** and **Generating Units** for which **Physical Notifications** are being submitted, shall, if it has not already done so, submit to **NGET** (save in respect of item (vi) and (vii) where the item shall be submitted only when reasonably required by **NGET**), in respect of the next following **Operational Day** the following:

- (i) in the case of a **CCGT Module**, a **CCGT Module Matrix** as described in **BC1** Appendix 1;
- (ii) details of any special factors which in the reasonable opinion of the BM Participant may have a material effect or present an enhanced risk of a material effect on the likely output (or consumption) of such BM Unit(s). Such factors may include risks, or potential interruptions, to BM Unit fuel supplies, or developing plant problems, details of tripping tests, etc. This information will normally only be used to assist in determining the appropriate level of Operating Margin that is required under OC2.4.6;
- (iii) in the case of **Generators**, any temporary changes, and their possible duration, to the **Registered Data** of such **BM Unit**;
- (iv) in the case of **Suppliers**, details of **Customer Demand Management** taken into account in the preparation of its **BM Unit Data**;
- (v) details of any other factors which NGET may take account of when issuing Bid-Offer Acceptances for a BM Unit (e.g., Synchronising or De-Synchronising Intervals);
- (vi) in the case of a Cascade Hydro Scheme, the Cascade Hydro Scheme Matrix as described in BC1 Appendix 1; and
- (vii) in the case of a **Power Park Module**, a **Power Park Module Availability Matrix** as described in **BC1** Appendix 1.

(g) Joint BM Unit Data

BM Participants may submit **Joint BM Unit Data** in accordance with the provisions of the **BSC**. For the purposes of the Grid Code, such data shall be treated as data submitted under **BC1**.

BC1.4.3 <u>Data Revisions</u>

The BM Unit Data, and Generating Unit Data, derived at 1100 hours each day under BC1.4.2 above may need to be revised by the BM Participant for a number of reasons, including for example, changes to expected output or input arising from revised contractual positions, plant breakdowns, changes to expected Synchronising or De-Synchronising times, etc, occurring before Gate Closure. BM Participants should use reasonable endeavours to ensure that the data held by NGET in relation to its BM Units and Generating Units, is accurate at all times. Revisions to BM Unit Data, and Generating Unit Data for any period of time up to Gate Closure should be submitted to NGET as soon as reasonably practicable after a change becomes apparent to the BM Participant. NGET will use reasonable endeavours to utilise the most recent data received from Users, subject to the application of the provisions of BC1.4.5, for its preparation and analysis of operational plans.

BC1.4.4 Receipt Of BM Unit Data Prior To Gate Closure

BM Participants submitting **Bid-Offer Data**, in respect of any **BM Unit** for use in the **Balancing Mechanism** for any particular **Settlement Period** in accordance with the **BSC**, must ensure that **Physical Notifications** and **Bid-Offer Data** for such **BM Units** are received in their entirety and logged into **NGET's** computer systems by the time of **Gate Closure** for that **Settlement Period**. In all cases the data received will be subject to the application under the **Grid Code** of the provisions of BC1.4.5.

For the avoidance of doubt, no changes to the **Physical Notification**, **QPN** data or **Bid-Offer Data** for any **Settlement Period** may be submitted to **NGET** after **Gate Closure** for that **Settlement Period**.

BC1.4.5 BM Unit Data Defaulting, Validity And Consistency Checking

In the event that no submission of any or all of the BM Unit Data and Generating Unit Data in accordance with BC1.4.2 in respect of an Operational Day, is received by NGET by 11:00 hours on the day before that Operational Day, NGET will apply the Data Validation, Consistency and Defaulting Rules, with the default rules applicable to Physical Notifications, Quiescent Physical Notifications and Export and Import Limits data selected as follows:

- (a) for an **Interconnector User's BM Unit**, the defaulting rules will set some or all of the data for that **Operational Day** to zero, unless the relevant Interconnector arrangements, as agreed with **NGET**, state otherwise (in which case (b) applies); and
- (b) for all other BM Units or Generating Units, the defaulting rules will set some or all of the data for that Operational Day to the values prevailing in the current Operational Day.

A subsequent submission by a **User** of a data item which has been so defaulted under the **Grid Code** will operate as an amendment to that defaulted data and thereby replace it. Any such subsequent submission is itself subject to the application under the **Grid Code** of the **Data Validation**, **Consistency and Defaulting Rules**.

BM Unit Data and Generating Unit Data submitted in accordance with the provisions of BC1.4.2 to BC1.4.4 will be checked under the Grid Code for validity and consistency in accordance with the Data Validation, Consistency and Defaulting Rules. If any BM Unit Data and Generating Unit Data so submitted fails the data validity and consistency checking, this will result in the rejection of all data submitted for that BM Unit or Generating Unit included in the electronic data file containing that data item and that BM Unit's or Generating Unit's data items will be defaulted under the Grid Code in accordance with the Data Validation, Consistency and Defaulting Rules. Data for other BM Units and Generating Units included in the same electronic data file will not be affected by such rejection and will continue to be validated and checked for consistency prior to acceptance. In the event that rejection of any BM Unit Data and Generating Unit Data occurs, details will be made available to the relevant BM Participant via the electronic data communication facilities. In the event of a difference between the BM Unit Data for the Cascade Hydro Scheme, the BM Unit Data shall take precedence.

BC1.4.6 Special Provisions Relating To Interconnector Users

- (a) The total of the relevant Physical Notifications submitted by Interconnector Users in respect of any period of time should not exceed the capability (in MW) of the respective External Interconnection for that period of time. In the event that it does, then NGET shall advise the Externally Interconnected System Operator accordingly. In the period between such advice and Gate Closure, one or more of the relevant Interconnector Users would be expected to submit revised Physical Notifications to NGET to eliminate any such over-provision.
- (b) In any case where, as a result of a reduction in the capability (in MW) of the External Interconnection in any period during an Operational Day which is agreed between NGET and an Externally Interconnected System Operator after 0900 hours on the day before the beginning of such Operational Day, the total of the Physical Notifications in the relevant period using that External Interconnection, as stated in the BM Unit Data exceeds the reduced capability (in MW) of the respective External Interconnection in that period then NGET shall notify the Externally Interconnected System Operator accordingly.

BC1.5 INFORMATION PROVIDED BY NGET

NGET shall provide data to the Balancing Mechanism Reporting Agent or BSCCo each day in accordance with the requirements of the BSC in order that the data may be made available to Users via the Balancing Mechanism Reporting Service (or by such other means) in each case as provided in the BSC. Where NGET provides such information associated with the secure operation of the System to the Balancing Mechanism Reporting Agent, the provision of that information is additionally provided for in the following sections of this BC1.5. NGET shall be taken to have fulfilled its obligations to provide data under BC1.5.1, BC1.5.2, and BC1.5.3 by so providing such data to the Balancing Mechanism Reporting Agent.

BC1.5.1 Demand Estimates

Normally by 0900 hours each day, **NGET** will make available to **Users** a forecast of **National Demand** and the **Demand** for a number of pre-determined constraint groups (which may be updated from time to time, as agreed between **NGET** and **BSCCo**) for each **Settlement Period** of the next following **Operational Day**. Normally by 1200 hours each day, **NGET** will make available to **Users** a forecast of **National Electricity Transmission System Demand** for each **Settlement Period** of the next **Operational Day**. Further details are provided in Appendix 2.

BC1.5.2 <u>Indicated Margin And Indicated Imbalance</u>

Normally by 1200 hours each day, **NGET** will make available to **Users** an **Indicated Margin** and an **Indicated Imbalance** for each **Settlement Period** of the next following **Operational Day. NGET** will use reasonable endeavours to utilise the most recent data received from **Users** in preparing for this release of data. Further details are provided in Appendix 2.

BC1.5.3 Provision Of Updated Information

NGET will provide updated information on **Demand** and other information at various times throughout each day, as detailed in Appendix 2. **NGET** will use reasonable endeavours to utilise the most recent data received from **Users** in preparing for this release of data.

BC1.5.4 Reserve And Inadequate System Margin

Contingency Reserve

(a) The amount of Contingency Reserve required at the day ahead stage and in subsequent timescales will be decided by NGET on the basis of historical trends in the reduction in availability of Large Power Stations and increases in forecast Demand up to real time operation. Where Contingency Reserve is to be allocated to thermal Gensets, NGET will instruct through a combination of Ancillary Services instructions and Bid-Offer Acceptances, the time at which such Gensets are required to synchronise, such instructions to be consistent with Dynamic Parameters and other contractual arrangements.

Operating Reserve

(b) The amount of Operating Reserve required at any time will be determined by NGET having regard to the Demand levels, Large Power Station availability shortfalls and the greater of the largest secured loss of generation (ie, the loss of generation against which, as a requirement of the Licence Standards, the National Electricity Transmission System must be secured) or loss of import from or sudden export to External Interconnections. NGET will allocate Operating Reserve to the appropriate BM Units and Generating Units so as to fulfil its requirements according to the Ancillary Services available to it and as provided in the BC.

Inadequate System Margin

- (c) In the period following 1200 hours each day and in relation to the following Operational Day, NGET will monitor the total of the Maximum Export Limit component of the Export and Import Limits received against forecast National Electricity Transmission System Demand and the Operating Margin and will take account of Dynamic Parameters to see whether the anticipated level of the System Margin for any period is insufficient.
- (d) Where the level of the System Margin for any period is, in NGET's reasonable opinion, anticipated to be insufficient, NGET will send (by such data transmission facilities as have been agreed) a National Electricity Transmission System Warning Inadequate System Margin in accordance with OC7.4.8 to each Generator, Supplier, Externally Interconnected System Operator, Network Operator and Non-Embedded Customer.
- (e) Where, in NGET's judgement the System Margin at any time during the current Operational Day is such that there is a high risk of Demand reduction being instructed, a National Electricity Transmission System Warning High Risk of Demand Reduction will be issued, in accordance with OC7.4.8.
- (f) The monitoring will be conducted on a regular basis and a revised National Electricity Transmission System Warning Inadequate System Margin or High Risk of Demand Reduction may be sent out from time to time, including within the post Gate Closure phase. This will reflect any changes in Physical Notifications and Export and Import Limits which have been notified to NGET, and will reflect any Demand Control which has also been so notified. This will also reflect generally any changes in the forecast Demand and the relevant Operating Margin.

- (g) To reflect changing conditions, a National Electricity Transmission System Warning
 Inadequate System Margin may be superseded by a National Electricity
 Transmission System Warning High Risk of Demand Reduction and vice-versa.
- (h) If the continuing monitoring identifies that the System Margin is anticipated, in NGET's reasonable opinion, to be sufficient for the period for which previously a National Electricity Transmission System Warning had been issued, NGET will send (by such data transmission facilities as have been agreed) a Cancellation of National Electricity Transmission System Warning to each User who had received a National Electricity Transmission System Warning Inadequate System Margin or High Risk of Demand Reduction for that period. The issue of a Cancellation of National Electricity Transmission System Warning is not an assurance by NGET that in the event the System Margin will be adequate, but reflects NGET's reasonable opinion that the insufficiency is no longer anticipated.
- (i) If continued monitoring indicates the System Margin becoming inadequate NGET may issue further National Electricity Transmission System Warnings - Inadequate System Margin or High Risk of Demand Reduction.
- (j) NGET may issue a National Electricity Transmission System Warning Inadequate System Margin or High Risk of Demand Reduction for any period, not necessarily relating to the following Operational Day, where it has reason to believe there will be inadequate System Margin over a period (for example in periods of protracted Plant shortage, the provisions of OC7.4.8.6 apply).

BC1.5.5 System And Localised NRAPM (Negative Reserve Active Power Margin)

(a) (i) System Negative Reserve Active Power Margin

Synchronised Gensets must at all times be capable of reducing output such that the total reduction in output of all **Synchronised Gensets** is sufficient to offset the loss of the largest secured demand on the **System** and must be capable of sustaining this response;

(ii) Localised Negative Reserve Active Power Margin

Synchronised Gensets must at all times be capable of reducing output to allow transfers to and from the **System Constraint Group** (as the case may be) to be contained within such reasonable limit as **NGET** may determine and must be capable of sustaining this response.

- (b) NGET will monitor the total of Physical Notifications of exporting BM Units and Generating Units (where appropriate) received against forecast Demand and, where relevant, the appropriate limit on transfers to and from a System Constraint Group and will take account of Dynamic Parameters and Export and Import Limits received to see whether the level of System NRAPM or Localised NRAPM for any period is likely to be insufficient. In addition, NGET may increase the required margin of System NRAPM or Localised NRAPM to allow for variations in forecast Demand. In the case of System NRAPM, this may be by an amount (in NGET's reasonable discretion) not exceeding five per cent of forecast Demand for the period in question. In the case of Localised NRAPM, this may be by an amount (in NGET's reasonable discretion) not exceeding ten per cent of the forecast Demand for the period in question;
- (c) Where the level of System NRAPM or Localised NRAPM for any period is, in NGET 's reasonable opinion, likely to be insufficient NGET may contact all Generators in the case of low System NRAPM and may contact Generators in relation to relevant Gensets in the case of low Localised NRAPM. NGET will raise with each Generator the problems it is anticipating due to low System NRAPM or Localised NRAPM and will discuss whether, in advance of Gate Closure:-
 - any change is possible in the **Physical Notification** of a **BM Unit** which has been notified to **NGET**; or
 - (ii) any change is possible to the Physical Notification of a BM Unit within an

Existing AGR Plant within the Existing AGR Plant Flexibility Limit;

in relation to periods of low **System NRAPM** or (as the case may be) low **Localised NRAPM**. **NGET** will also notify each **Externally Interconnected System Operator** of the anticipated low **System NRAPM** or **Localised NRAPM** and request assistance in obtaining changes to **Physical Notifications** from **BM Units** in that **External System**.

(d) Following **Gate Closure**, the procedure of BC2.9.4 will apply.

BC1.6 SPECIAL PROVISIONS RELATING TO NETWORK OPERATORS

BC1.6.1 <u>User System Data From Network Operators</u>

- (a) By 1000 hours each day each **Network Operator** will submit to **NGET** in writing, confirmation or notification of the following in respect of the next **Operational Day**:
 - (i) constraints on its User System which NGET may need to take into account in operating the National Electricity Transmission System. In this BC1.6.1 the term "constraints" shall include restrictions on the operation of Embedded CCGT Units, and/or Embedded Power Park Modules as a result of the User System to which the CCGT Unit and/or Power Park Module is connected at the User System Entry Point being operated or switched in a particular way, for example, splitting the relevant busbar. It is a matter for the Network Operator and the Generator to arrange the operation or switching, and to deal with any resulting consequences. The Generator, after consultation with the Network Operator, is responsible for ensuring that no BM Unit Data submitted to NGET can result in the violation of any such constraint on the User System.
 - (ii) the requirements of voltage control and MVAr reserves which **NGET** may need to take into account for **System** security reasons.
 - (iii) where applicable, updated best estimates of Maximum Export Capacity and Maximum Import Capacity and Interface Point Target Voltage/Power Factor for any Interface Point connected to its User System including any requirement for post-fault actions to be implemented on the relevant Offshore Transmission System by NGET.
- (b) The form of the submission will be:
 - (i) that of a BM Unit output or consumption (for MW and for MVAr, in each case a fixed value or an operating range, on the User System at the User System Entry Point, namely in the case of a BM Unit comprising a Generating Unit (as defined in the Glossary and Definitions and not limited by BC1.2) on the higher voltage side of the generator step-up transformer, or in the case of a Power Park Module, at the point of connection) required for particular BM Units (identified in the submission) connected to that User System for each Settlement Period of the next Operational Day;

- (ii) adjusted in each case for MW by the conversion factors applicable for those **BM**Units to provide output or consumption at the relevant **Grid Supply Points**.
- (c) At any time and from time to time, between 1000 hours each day and the expiry of the next Operational Day, each Network Operator must submit to NGET in writing any revisions to the information submitted under this BC1.6.1.

BC1.6.2 Notification Of Times To Network Operators

NGET will make available indicative Synchronising and De-Synchronising times to each Network Operator, but only relating to BM Units comprising a Generating Unit (as defined in the Glossary and Definitions and not limited by BC1.2) or a Power Park Module or a CCGT Module Embedded within that Network Operator's User System and those Gensets directly connected to the National Electricity Transmission System which NGET has identified under OC2 as being those which may, in the reasonable opinion of NGET, affect the integrity of that User System. If in preparing for the operation of the Balancing Mechanism, NGET becomes aware that a BM Unit directly connected to the National Electricity Transmission System may, in its reasonable opinion, affect the integrity of that other User System which, in the case of a BM Unit comprising a Generating Unit (as defined in the Glossary and Definitions and not limited by BC1.2) or a CCGT Module or a Power Park Module, it had not so identified under OC2, then NGET may make available details of its indicative Synchronising and De-Synchronising times to that other User and shall inform the relevant BM Participant that it has done so, identifying the BM Unit concerned.

BC1.7 SPECIAL ACTIONS

- BC1.7.1 NGET may need to identify special actions (either pre- or post-fault) that need to be taken by specific Users in order to maintain the integrity of the National Electricity Transmission System in accordance with the Licence Standards and NGET Operational Strategy.
 - (a) For a Generator special actions will generally involve a Load change or a change of required Notice to Deviate from Zero NDZ, in a specific timescale on individual or groups of Gensets.
 - (b) For Network Operators these special actions will generally involve Load transfers between Grid Supply Points or arrangements for Demand reduction by manual or automatic means.
 - (c) For Externally Interconnected System Operators (in their co-ordinating role for Interconnector Users using their External System) these special actions will generally involve an increase or decrease of net power flows across an External Interconnection by either manual or automatic means.
- BC1.7.2 These special actions will be discussed and agreed with the relevant **User** as appropriate. The actual implementation of these special actions may be part of an "emergency circumstances" procedure described under **BC2**. If not agreed, generation or **Demand** may be restricted or may be at risk.
- BC1.7.3 **NGET** will normally issue the list of special actions to the relevant **Users** by 1700 hours on the day prior to the day to which they are to apply.

BC1.8 PROVISION OF REACTIVE POWER CAPABILITY

BC1.8.1 Under certain operating conditions **NGET** may identify through its **Operational Planning** that an area of the **National Electricity Transmission System** may have insufficient **Reactive Power** capability available to ensure that the operating voltage can be maintained in accordance with **NGET's Licence Standards**.

In respect of Onshore Synchronous Generating Unit(s)

 that have a Connection Entry Capacity in excess of Rated MW (or the Connection Entry Capacity of the CCGT Module exceeds the sum of Rated MW of the Generating Units comprising the CCGT Module); and

- (ii) that are not capable of continuous operation at any point between the limits 0.85 **Power**Factor lagging and 0.95 **Power Factor** leading at the **Onshore Synchronous**Generating Unit terminals at **Active Power** output levels higher than **Rated MW**; and
- (iii) that have either a Completion Date on or after 1st May 2009, or where its Connection Entry Capcity has been increased above Rated MW (or the Connection Entry Capacityof the CCGT Module has increased above the sum of Rated MW of the Generating Units comprising the CCGT Module) such increase takes effect on or after 1st May 2009; and
- (iv) that are in an area of potentially insufficient **Reactive Power** capability as described in this clause BC1.8.1,

NGET may instruct the Onshore Synchronous Generating Unit(s) to limit its submitted Physical Notifications to no higher than Rated MW (or the Active Power output at which it can operate continuously between the limits 0.85 Power Factor lagging to 0.95 Power Factor leading at its terminals if this is higher) for a period specified by NGET. Such an instruction must be made at least 1 hour prior to Gate Closure, although NGET will endeavour to give as much notice as possible. The instruction may require that a Physical Notification is re-submitted. The period covered by the instruction will not exceed the expected period for which the potential deficiency has been identified. Compliance with the instruction will not incur costs to NGET in the Balancing Mechanism. The detailed provisions relating to such instructions will normally be set out in the relevant Bilateral Agreement.

APPENDIX 1 - BM UNIT DATA

BC1.A.1

More detail about valid values required under the **Grid Code** for **BM Unit Data** and **Generating Unit Data** may be identified by referring to the **Data Validation**, **Consistency and Defaulting Rules**. In the case of **Embedded BM Units** and **Generating Units** the **BM Unit Data** and the **Generating Unit Data** shall represent the value at the relevant **Grid Supply Point**. Where data is submitted on a **Generating Unit** basis, the provisions of this Appendix 1 shall in respect of such data submission apply as if references to **BM Unit** were replaced with **Generating Unit**. Where **NGET** and the relevant **User** agree, submission on a **Generating Unit** basis (in whole or in part) may be otherwise than in accordance with the provisions of the Appendix 1.

BC1.A.1.1 Physical Notifications

For each **BM Unit**, the **Physical Notification** is a series of MW figures and associated times, making up a profile of intended input or output of **Active Power** at the **Grid Entry Point** or **Grid Supply Point**, as appropriate. For each **Settlement Period**, the first "from time" should be at the start of the **Settlement Period** and the last "to time" should be at the end of the **Settlement Period**.

The input or output reflected in the **Physical Notification** for a single **BM Unit** (or the aggregate **Physical Notifications** for a collection of **BM Units** at a **Grid Entry Point** or **Grid Supply Point** or to be transferred across an **External Interconnection**, owned or controlled by a single **BM Participant**) must comply with the following limits regarding maximum rates of change, either for a single change or a series of related changes:

•	for a change of up to 300MW	no limit;
•	for a change greater than 300MW and less than 1000MW	50MW per minute;
•	for a change of 1000MW or more	40MW per minute,

unless prior arrangements have been discussed and agreed with **NGET**. This limitation is not intended to limit the Run-Up or Run-Down Rates provided as **Dynamic Parameters**.

An example of the format of **Physical Notification** is shown below. The convention to be applied is that where it is proposed that the **BM Unit** will be importing, the **Physical Notification** is negative.

			From		To
Data Name	BMU name	Time From	level	Time To	Level
			(MW)		MW)
PN , TAGENT ,	BMUNIT01	, 2001-11-03 06:30	, 77	, 2001-11-03 07:00	, 100
PN , TAGENT ,	BMUNIT01	, 2001-11-03 07:00	, 100	, 2001-11-03 07:12	, 150
PN , TAGENT ,	BMUNIT01	, 2001-11-03 07:12	, 150	, 2001-11-03 07:30	, 175

A linear interpolation will be assumed between the **Physical Notification** From and To levels specified for the **BM Unit** by the **BM Participant**.

BC1.A.1.2 Quiescent Physical Notifications (QPN)

For each **BM Unit** (optional)

A series of MW figures and associated times, which describe the MW levels to be deducted from the **Physical Notification** of a **BM Unit** to determine a resultant operating level to which the **Dynamic Parameters** associated with that **BM Unit** apply.

An example of the format of data is shown below.

			From		To
Data Name	BMU name	Time From	level	Time To	level
			(MW)		(MW)
QPN , TAGENT ,	BMUNIT04	, 2001-11-03 06:30 ,	-200	, 2001-11-03 07:00	, -220
QPN , TAGENT ,	BMUNIT04	, 2001-11-03 07:00 ,	-220	, 2001-11-03 07:18	, -245
QPN , TAGENT ,	BMUNIT04	, 2001-11-03 07:18 ,	-245	, 2001-11-03 07:30	, -300

A linear interpolation will be assumed between the **QPN** From and To levels specified for the **BM Unit** by the **BM Participant**.

BC1.A.1.3 Export And Import Limits

BC1.A.1.3.1 Maximum Export Limit (MEL)

A series of MW figures and associated times, making up a profile of the maximum level at which the **BM Unit** may be exporting (in MW) to the **National Electricity Transmission System** at the **Grid Entry Point** or **Grid Supply Point**, as appropriate.

For a **Power Park Module**, the Maximum Export Limit should reflect the maximum possible **Active Power** output from each **Power Park Module** consistent with the data submitted within the **Power Park Module Availability Matrix** as defined under BC.1.A.1.8. For the avoidance of doubt, in the case of a **Power Park Module** this would equate to the **Registered Capacity** less the unavailable **Power Park Units** within the **Power Park Module** and not include weather corrected MW output from each **Power Park Unit**.

BC1.A.1.3.2 Maximum Import Limit (MIL)

A series of MW figures and associated times, making up a profile of the maximum level at which the **BM Unit** may be importing (in MW) from the **National Electricity Transmission System** at the **Grid Entry Point** or **Grid Supply Point**, as appropriate.

An example format of data is shown below. MEL must be positive or zero, and MIL must be negative or zero.

			From		To
Data Name	BMU name	Time From	level	Time To	level
			(MW)		(MW)
MEL , TAGENT ,	, BMUNIT01 ,	2001-11-03 05:00	, 410	, 2001-11-03 09:35	, 410
MEL , TAGENT ,	, BMUNIT01 ,	2001-11-03 09:35	, 450	, 2001-11-03 12:45	, 450
MIL , TAGENT .	, BMUNIT04 ,	2001-11-03 06:30	, -200	, 2001-11-03 07:00	, -220

BC1.A.1.4 Bid-Offer Data

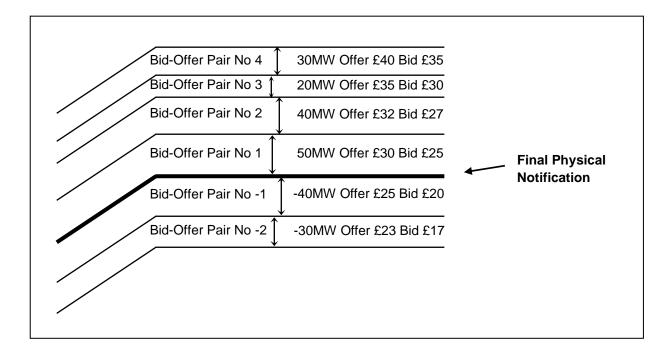
For each **BM Unit** for each **Settlement Period**:

Up to 10 Bid-Offer Pairs as defined in the BSC.

An example of the format of data is shown below.

					Pair	From	To	Offer	Bid
Data	Name	BMU name	Time from	Time to	ID	Level	Level	(£/	(£/
						(MW)	(MW)	MWh)	MWh)
BOD,	TAGENT	, BMUNIT01	, 2000-10-28 12:00	, 2000-10-28 13:30	, 4	, 30	, 30 ,	40	, 35
BOD,	TAGENT	, BMUNIT01	, 2000-10-28 12:00	, 2000-10-28 13:30	, 3	, 20	, 20 ,	35	, 30
BOD,	TAGENT	, BMUNIT01	, 2000-10-28 12:00	, 2000-10-28 13:30	, 2	, 40	, 40 ,	32	, 27
BOD,	TAGENT	, BMUNIT01	, 2000-10-28 12:00	, 2000-10-28 13:30	, 1	, 50	, 50 ,	30	, 25
BOD,	TAGENT	, BMUNIT01	, 2000-10-28 12:00	, 2000-10-28 13:30	, -1	, -40	, -40 ,	25	, 20
BOD,	TAGENT	, BMUNIT01	, 2000-10-28 12:00	, 2000-10-28 13:30	, -2	, -30	, -30 ,	23	, 17

This example of Bid-Offer data is illustrated graphically below:



BC1.A.1.5 <u>Dynamic Parameters</u>

The **Dynamic Parameters** comprise:

- Up to three Run-Up Rate(s) and up to three Run-Down Rate(s), expressed in MW/minute and associated Run-Up Elbow(s) and Run-Down Elbow(s), expressed in MW for output and the same for input. It should be noted that Run-Up Rate(s) are applicable to a MW figure becoming more positive;
- Notice to Deviate from Zero (NDZ) output or input, being the notification time required for a BM Unit to start importing or exporting energy, from a zero Physical Notification level as a result of a Bid-Offer Acceptance, expressed in minutes;
- Notice to Deliver Offers (NTO) and Notice to Deliver Bids (NTB), expressed in minutes, indicating the notification time required for a BM Unit to start delivering Offers and Bids respectively from the time that the Bid-Offer Acceptance is issued. In the case of a BM Unit comprising a Genset, NTO and NTB will be set to a maximum period of two minutes:
- Minimum Zero Time (MZT), being either the minimum time that a BM Unit which has been exporting must operate at zero or be importing, before returning to exporting or the minimum time that a BM Unit which has been importing must operate at zero or be exporting before returning to importing, as a result of a Bid-Offer Acceptance, expressed in minutes;
- Minimum Non-Zero Time (MNZT), expressed in minutes, being the minimum time that a BM Unit can operate at a non-zero level as a result of a Bid-Offer Acceptance;
- Stable Export Limit (SEL) expressed in MW at the Grid Entry Point or Grid Supply
 Point, as appropriate, being the minimum value at which the BM Unit can, under stable
 conditions, export to the National Electricity Transmission System;
- Stable Import Limit (SIL) expressed in MW at the Grid Entry Point or Grid Supply
 Point, as appropriate, being the minimum value at which the BM Unit can, under stable
 conditions, import from the National Electricity Transmission System;
- Maximum Delivery Volume (MDV), expressed in MWh, being the maximum number of MWh of Offer (or Bid if MDV is negative) that a particular **BM Unit** may deliver within the associated Maximum Delivery Period (MDP), expressed in minutes, being the maximum period over which the MDV applies.
- Last Time to Cancel Synchronisation, expressed in minutes with an upper limit of 60 minutes, being he notification time required to cancel a BM Unit's transition from operation at zero. This parameter is only applicable where the transition arises either from a Physical Notification or, in the case where the Physical Notification is zero, a Bid-Offer Acceptance. There can be up to three Last Time to Cancel Synchronisation(s) each applicable for a range of values of Notice to Deviate from Zero.

BC1.A.1.6 CCGT Module Matrix

- BC1.A.1.6.1 **CCGT Module Matrix** showing the combination of **CCGT Units** running in relation to any given MW output, in the form of the diagram illustrated below. The **CCGT Module Matrix** is designed to achieve certainty in knowing the number of **CCGT Units** synchronised to meet the **Physical Notification** and to achieve a **Bid-Offer Acceptance**.
- BC1.A.1.6.2 In the case of a **Range CCGT Module**, and if the **Generator** so wishes, a request for the single **Grid Entry Point** at which power is provided from the **Range CCGT Module** to be changed in accordance with the provisions of BC1.A.1.6.4 below:

CCGT MODULE	CCGT GENERATING UNITS* AVAILABLE								
ACTIVE POWER	1st GT	2 nd GT	3 rd GT	4th GT	5th GT	6th GT	1st ST	2nd ST	3rd ST
MW	ACTIVE POWER OUTPUT								
	150	150	150				100		
0MW to 150MW	/								
151MW to 250MW	/						/		
251MW to 300MW	/	/							
301MW to 400MW	/	/					/		
401MW to 450MW	/	/	/						
451MW to 550MW	/	/	/				/		

^{*} as defined in the Glossary and Definitions and not limited by BC1.2

- BC1.A.1.6.3 In the absence of the correct submission of a **CCGT Module Matrix** the last submitted (or deemed submitted) **CCGT Module Matrix** shall be taken to be the **CCGT Module Matrix** submitted hereunder.
- BC1.A.1.6.4 The data may also include in the case of a Range CCGT Module, a request for the Grid Entry Point at which the power is provided from the Range CCGT Module to be changed with effect from the beginning of the following Operational Day to another specified single Grid Entry Point (there can be only one) to that being used for the current Operational Day. NGET will respond to this request by 1600 hours on the day of receipt of the request. If NGET agrees to the request (such agreement not to be unreasonably withheld), the Generator will operate the Range CCGT Module in accordance with the request. If NGET does not agree, the Generator will, if it produces power from that Range CCGT Module, continue to provide power from the Range CCGT Module to the Grid Entry Point being used at the time of the request. The request can only be made up to 1100 hours in respect of the following Operational Day. No subsequent request to change can be made after 1100 hours in respect of the following Operational Day. Nothing in this paragraph shall prevent the busbar at the Grid Entry Point being operated in separate sections.
- BC1.A.1.6.5 The principles set out in PC.A.3.2.3 apply to the submission of a **CCGT Module Matrix** and accordingly the **CCGT Module Matrix** can only be amended as follows:

(a) Normal CCGT Module

if the CCGT Module is a Normal CCGT Module, the CCGT Units within that CCGT Module can only be amended such that the CCGT Module comprises different CCGT Units if NGET gives its prior consent in writing. Notice of the wish to amend the CCGT Units within such a CCGT Module must be given at least 6 months before it is wished for the amendment to take effect;

(b) Range CCGT Module

if the CCGT Module is a Range CCGT Module, the CCGT Units within that CCGT Module can only be amended such that the CCGT Module comprises different CCGT Units for a particular Operational Day if the relevant notification is given by 1100 hours on the day prior to the Operational Day in which the amendment is to take effect. No subsequent amendment may be made to the CCGT Units comprising the CCGT Module in respect of that particular Operational Day.

- BC1.A.1.6.6 In the case of a **CCGT Module Matrix** submitted (or deemed to be submitted) as part of the other data for **CCGT Modules**, the output of the **CCGT Module** at any given instructed MW output must reflect the details given in the **CCGT Module Matrix**. It is accepted that in cases of change in MW in response to instructions issued by **NGET** there may be a transitional variance to the conditions reflected in the **CCGT Module Matrix**. In achieving an instruction the range of number of **CCGT Units** envisaged in moving from one MW output level to the other must not be departed from. Each **Generator** shall notify **NGET** as soon as practicable after the event of any such variance. It should be noted that there is a provision above for the **Generator** to revise the **CCGT Module Matrix**, subject always to the other provisions of this **BC1**;
- BC1.A.1.6.7 Subject as provided above, **NGET** will rely on the **CCGT Units** specified in such **CCGT Module Matrix** running as indicated in the **CCGT Module Matrix** when it issues an instruction in respect of the **CCGT Module**;
- BC1.A.1.6.8 Subject as provided in BC1.A.1.6.5 above, any changes to the **CCGT Module Matrix** must be notified immediately to **NGET** in accordance with the relevant provisions of **BC1**.
- BC1.A.1.7 <u>Cascade Hydro Scheme Matrix</u>
- BC1.A.1.7.1 A Cascade Hydro Scheme Matrix showing the performance of individual Generating Units forming part of a Cascade Hydro Scheme in response to Bid-Offer Acceptance. An example table is shown below:

Cascade Hydro Scheme Matrix example form

Plant	Synchronises when offer is greater
	than
Generating Unit 1	MW
Generating Unit 2	MW
Generating Unit 3	MW
Generating Unit 4	MW
Generating Unit 5	MW

BC1.A.1.8 Power Park Module Availability Matrix

Power Park Module Availability Matrix showing the number of each type of Power Park Units expected to be available is illustrated in the example form below. The Power Park Module Availability Matrix is designed to achieve certainty in knowing the number of Power Park Units Synchronised to meet the Physical Notification and to achieve a Bid-Offer Acceptance by specifying which BM Unit each Power Park Module forms part of. The Power Park Module Availability Matrix may have as many columns as are required to provide information on the different make and model for each type of Power Park Unit in a Power Park Module and as many rows as are required to provide information on the Power Park Modules within each BM Unit. The description is required to assist identification of the Power Park Units within the Power Park Module and correlation with data provided under the Planning Code.

Power Park Module Availability Matrix example form

BM Unit Name							
Power Park Module [unique identifier]							
POWER PARK		POWER P	ARK UNITS				
UNIT AVAILABILITY	Type A	Type B	Type C	Type D			
Description							
(Make/Model)							
Number of units							
Power Park Module [uniq	ue identifier]						
POWER PARK		POWER P	ARK UNITS				
UNIT AVAILABILITY	Type A	Type B	Type C	Type D			
Description							
(Make/Model)							
Number of units							

- BC1.A.1.8.2 In the absence of the correct submission of a **Power Park Module Availability Matrix** the last submitted (or deemed submitted) **Power Park Module Availability Matrix** shall be taken to be the **Power Park Module Availability Matrix** submitted hereunder.
- BC1.A.1.8.3 **NGET** will rely on the **Power Park Units**, **Power Park Modules** and **BM Units** specified in such **Power Park Module Availability Matrix** running as indicated in the **Power Park Module Availability Matrix** when it issues an instruction in respect of the **BM Unit**.
- BC1.A.1.8.4 Subject as provided in PC.A.3.2.4 any changes to **Power Park Module** or **BM Unit** configuration, or availability of **Power Park Units** which affects the information set out in the **Power Park Module Availability Matrix** must be notified immediately to **NGET** in accordance with the relevant provisions of **BC1**. Initial notification may be by telephone. In some circumstances, such as a significant re-configuration of a **Power Park Module** due to an unplanned outage, a revised **Power Park Module Availability Matrix** must be supplied on **NGET**'s request.

APPENDIX 2 - DATA TO BE MADE AVAILABLE BY NGET

BC1.A.2.1 Initial Day Ahead Demand Forecast

Normally by 09:00 hours each day, values (in MW) for each **Settlement Period** of the next following **Operational Day** of the following data items:-

- (i) Initial forecast of National Demand;
- (II) Initial forecast of **Demand** for a number of predetermined constraint groups.

BC1.A.2.2 <u>Initial Day Ahead Market Information</u>

Normally by 12:00 hours each day, values (in MW) for each **Settlement Period** of the next following **Operational Day** of the following data items:-

(i) Initial National Indicated Margin

This is the difference between the sum of **BM Unit** MELs and the forecast of **National Electricity Transmission System Demand**.

(ii) Initial National Indicated Imbalance

This is the difference between the sum of **Physical Notifications** for **BM Units** comprising **Generating Units** (as defined in the Glossary and Definitions and not limited by BC1.2) or **CCGT Modules** or **Power Park Modules** and the forecast of **National Electricity Transmission System Demand**.

(iii) Forecast of National Electricity Transmission System Demand.

BC1.A.2.3 Current Day And Day Ahead Updated Market Information

Data will normally be made available by the times shown below for the associated periods of time:

Target Data		
Release Time	Period Start Time	Period End Time
02:00	02:00 D0	05:00 D+1
10:00	10:00 D0	05:00 D+1
16:00	05:00 D+1	05:00 D+2
16:30	16:30 D0	05:00 D+1
22:00	22:00 D0	05:00 D+2

In this table, D0 refers to the current day, D+1 refers to the next day and D+2 refers to the day following D+1.

In all cases, data will be ½ hourly average MW values calculated by **NGET**. Information to be released includes:

National Information

- (i) National Indicated Margin;
- (ii) National Indicated Imbalance;
- (iii) Updated forecast of National Electricity Transmission System Demand.

Constraint Boundary Information (For Each Constraint Boundary)

(i) Indicated Constraint Boundary Margin;

This is the difference between the Constraint Boundary Transfer limit and the difference between the sum of **BM Unit** MELs and the forecast of local **Demand** within the constraint boundary.

(ii) Local Indicated Imbalance;

This is the difference between the sum of **Physical Notifications** for **BM Units** comprising **Generating Units** (as defined in the Glossary and Definitions and not limited by BC1.2) or **CCGT Modules** or **Power Park Modules** and the forecast of local **Demand** within the constraint boundary.

(iii) Updated forecast of the local **Demand** within the constraint boundary.

< END OF BALANCING CODE NO. 1 >

GENERAL CONDITIONS

(GC)

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(This contents page does not form part of the Grid Code)

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GC.1 <u>INTRODUCTION</u>

GC.1.1 The **General Conditions** contain provisions which are of general application to all provisions of the **Grid Code**. Their objective is to ensure, to the extent possible, that the various sections of the **Grid Code** work together and work in practice for the benefit of all **Users**.

GC.2 SCOPE

GC.2.1 The **General Conditions** apply to all **Users** (including, for the avoidance of doubt, **NGET**).

GC.3 <u>UNFORESEEN CIRCUMSTANCES</u>

If circumstances arise which the provisions of the **Grid Code** have not foreseen, **NGET** shall, to the extent reasonably practicable in the circumstances, consult promptly and in good faith all affected **Users** in an effort to reach agreement as to what should be done. If agreement between **NGET** and those **Users** as to what should be done cannot be reached in the time available, **NGET** shall determine what is to be done. Wherever **NGET** makes a determination, it shall do so having regard, wherever possible, to the views expressed by **Users** and, in any event, to what is reasonable in all the circumstances. Each **User** shall comply with all instructions given to it by **NGET** following such a determination provided that the instructions are consistent with the then current technical parameters of the particular **User's System** registered under the **Grid Code**. **NGET** shall promptly refer all such unforeseen circumstances and any such determination to the Panel for consideration in accordance with GC.4.2(e).

GC.4 THE GRID CODE REVIEW PANEL

GC.4.1 **NGET** shall establish and maintain the **Panel**, which shall be a standing body to carry out the functions referred to in paragraph GC.4.2.

GC.4.2 The **Panel** shall:

- (a) keep the **Grid Code** and its working under review;
- (b) review all suggestions for **GC Modification Proposals** which the **Authority** or any **User** or any **Relevant Transmission Licensee** (in respect of PC.3.4, PC.3.5, PC.6.2, PC Appendix A and C, CC.6.1, CC.6.2, CC.6.3, OC2.3.2, OC8 and GC.11, OC7.6, OC9.4 and OC9.5) may wish to submit to **NGET** for consideration by the **Panel** from time to time;
- (c) publish recommendations as to **GC Modification Proposals** that **NGET** or the **Panel** feels are necessary or desirable and the reasons for the recommendations;
- (d) issue guidance in relation to the Grid Code and its implementation, performance and interpretation when asked to do so by any User; consider what changes are necessary to the Grid Code arising out of any unforeseen circumstances referred to it by NGET under GC.3;
- (e) consider and identify changes to the **Grid Code** to remove any unnecessary differences in the treatment of issues in Scotland from their treatment in England and Wales; and
- (f) consider any changes to the **Code Administration Code of Practice** that the **Code Administrator** considers appropriate to raise.

GC.4.3 The **Panel** shall consist of:

- (a) a Chairman and up to 4 members appointed by NGET;
- (b) a person appointed by the **Authority**; and
- (c) the following members:
 - 3 persons representing those Generators each having Large Power Stations with a total Registered Capacity in excess of 3 GW;
 - (ii) a person representing those Generators each having Large Power Stations with

- a total Registered Capacity of 3 GW or less;
- (iii) 2 persons representing the **Network Operators** in England and Wales;
- (iv) a person representing the **Network Operators** in Scotland;
- (v) a person representing the Suppliers;
- (vi) a person representing the Non Embedded Customers;
- (vii) a person representing the **Generators** with **Small Power Stations** and/or **Medium Power Stations** (other than **Generators** who also have **Large Power Stations**);
- (viii) a person representing the BSC Panel;
- (ix) a person representing the Externally Interconnected System Operators;
- (x) a person representing Generators with Novel Units; and
- (xi) 2 persons representing Relevant Transmission Licensees (in respect of PC.6.2, PC.6.3, PC Appendix A C and E, CC.6.1, CC.6.2, CC.6.3, OC8 and GC.11, OC7.6, OC9.4 and OC9.5).

each of whom shall be appointed pursuant to the rules issued pursuant to GC.4.4.

- GC.4.4 The **Panel** shall establish and comply at all times with its own rules and procedures relating to the conduct of its business, which shall be approved by the **Authority**.
- NGET shall consult in writing all Authorised Electricity Operators which are liable to be materially affected in relation to all GC Modification Proposals and shall submit all GC Modification Proposals to the Panel for discussion prior to such consultation. Each GC Modification Proposals shall include an evaluation of whether the amendment would better facilitate the achievement of the Grid Code objectives, as provided in NGET's Transmission Licence. Where the impact on greenhouse gasses arising from any GC Modification Proposal is likely to be material, this evaluation shall include an assessment of the quantifiable impact of any GC Modification Proposal on greenhouse gas emissions, such assessment to be conducted in accordance with any guidance (on the treatment of carbon costs and evaluation of greenhouse gas emissions) as may be issued by the Authority from time to time.
- NGET shall establish (and, where appropriate, revise from time to time) joint working arrangements with the STC Committee, the CM Administrative Parties and the Secretary of State to facilitate the identification, co-ordination, making and implementation of change to the STC, and the identification of potential inconsistencies between GC Modification Proposals and Capacity Market Documents or the CfD Documents (as applicable) consequent on a GC Modification Proposals in a full and timely manner. These working arrangements shall be such as enable the consideration development and evaluation of GC Modification Proposals to proceed in a full and timely manner and enable changes to the STC, and enable the identification of inconsistencies between GC Modification Proposals and the Capacity Market Documents and/or CfD Documents to be raised with the CM Administrative Parties and the Secretary of State, consequent on a GC Modification Proposals to be made and given effect wherever possible (subject to any necessary consent of the Authority) at the same time as such approved amendment is made and given effect.
- GC.4.7 **NGET** shall ensure that the **Code Administrator** undertakes its functions consistently with the relevant provisions of the **Code Administration Code of Practice**.
- GC.4.8 The procedures set out in the **General Conditions**, to the extent that they are dealt with in the **Code Administration Code of Practice**, are consistent with the principles contained in the **Code Administration Code of Practice**. Where inconsistencies or conflicts exist between the **Grid Code** and the **Code Administration Code of Practice**, the **Grid Code** shall take precedence.
- GC.4.9 **NGET** is required by its **Licence** to provide a report to the **Authority** where **NGET** determines that **GC Modification Proposals** are required. Following provision of such report to the **Authority**, if the **Authority** determines that it is such that the **Authority** cannot properly form an opinion on the **GC Modification Proposal**, it may issue a direction to the **NGET**:

- (a) specifying the additional steps (including drafting or amending existing drafting associated with the amendment), revisions (including revision to the timetable), analysis or information that it requires in order to form such an opinion; and
- (b) requiring the report to be revised and to be re-submitted.
- GC.4.10 If a report is to be revised and re-submitted in accordance with a direction issued pursuant to GC.4.9, it shall be re-submitted as soon after the **Authority's** direction as is appropriate, taking into account the complexity, importance and urgency of the **GC Modification Proposal. NGET** shall decide on the level of analysis and consultation required in order to comply with the **Authority's** direction and shall agree an appropriate timetable for meeting its obligations.

GC.5 COMMUNICATION BETWEEN NGET AND USERS

- Unless otherwise specified in the **Grid Code**, all instructions given by **NGET** and communications (other than relating to the submission of data and notices) between **NGET** and **Users** (other than **Generators**, **DC Converter Station** owners or **Suppliers**) shall take place between the **NGET Control Engineer** based at the **Transmission Control Centre** notified by **NGET** to each **User** prior to connection, and the relevant **User Responsible Engineer/Operator**, who, in the case of a **Network Operator**, will be based at the **Control Centre** notified by the **Network Operator** to **NGET** prior to connection.
- Unless otherwise specified in the **Grid Code** all instructions given by **NGET** and communications (other than relating to the submission of data and notices) between **NGET** and **Generators** and/or **DC Converter Station** owners and/or **Suppliers** shall take place between the **NGET Control Engineer** based at the **Transmission Control Centre** notified by **NGET** to each **Generator** or **DC Converter Station** owner prior to connection, or to each **Supplier** prior to submission of **BM Unit Data**, and either the relevant **Generator's** or **DC Converter Station** owner's or **Supplier's Trading Point** (if it has established one) notified to **NGET** or the **Control Point** of the **Supplier** or the **Generator's Power Station** or **DC Converter Station**, as specified in each relevant section of the **Grid Code**. In the absence of notification to the contrary, the **Control Point** of a **Generator's Power Station** will be deemed to be the **Power Station** at which the **Generating Units** or **Power Park Modules** are situated.
- GC.5.3 Unless otherwise specified in the **Grid Code**, all instructions given by **NGET** and communications (other than relating to the submission of data and notices) between **NGET** and **Users** will be given by means of the **Control Telephony** referred to in CC.6.5.2.
- If the **Transmission Control Centre** notified by **NGET** to each **User** prior to connection, or the **User Control Centre**, notified in the case of a **Network Operator** to **NGET** prior to connection, is moved to another location, whether due to an emergency or for any other reason, **NGET** shall notify the relevant **User** or the **User** shall notify **NGET**, as the case may be, of the new location and any changes to the **Control Telephony** or **System Telephony** necessitated by such move, as soon as practicable following the move.
- GC.5.5 If any **Trading Point** notified to **NGET** by a **Generator** or **DC Converter Station** owner prior to connection, or by a **Supplier** prior to submission of **BM Unit Data**, is moved to another location or is shut down, the **Generator**, **DC Converter Station** owner or **Supplier** shall immediately notify **NGET**.
- GC.5.6 The recording (by whatever means) of instructions or communications given by means of **Control Telephony** or **System Telephony** will be accepted by **NGET** and **Users** as evidence of those instructions or communications.

GC.6 <u>MISCELLANEOUS</u>

GC.6.1 Data and Notices

- Data and notices to be submitted either to **NGET** or to **Users** under the **Grid Code** (other than data which is the subject of a specific requirement of the **Grid Code** as to the manner of its delivery) shall be delivered in writing either by hand or sent by first-class pre-paid post, or by facsimile transfer or by electronic mail to a specified address or addresses previously supplied by **NGET** or the **User** (as the case may be) for the purposes of submitting that data or those notices.
- GC.6.1.2 References in the **Grid Code** to "in writing" or "written" include typewriting, printing, lithography, and other modes of reproducing words in a legible and non-transitory form and in relation to submission of data and notices includes electronic communications.
- Data delivered pursuant to paragraph GC.6.1.1, in the case of data being submitted to NGET, shall be addressed to the Transmission Control Centre at the address notified by NGET to each User prior to connection, or to such other Department within NGET or address, as NGET may notify each User from time to time, and in the case of notices to be submitted to Users, shall be addressed to the chief executive of the addressee (or such other person as may be notified by the User in writing to NGET from time to time) at its address(es) notified by each User to NGET in writing from time to time for the submission of data and service of notices under the Grid Code (or failing which to the registered or principal office of the addressee).
- GC.6.1.4 All data items, where applicable, will be referenced to nominal voltage and **Frequency** unless otherwise stated.

GC.7 OWNERSHIP OF PLANT AND/OR APPARATUS

References in the **Grid Code** to **Plant** and/or **Apparatus** of a **User** include **Plant** and/or **Apparatus** used by a **User** under any agreement with a third party.

GC.8 SYSTEM CONTROL

Where a **User's System** (or part thereof) is, by agreement, under the control of **NGET**, then for the purposes of communication and co-ordination in operational timescales **NGET** can (for those purposes only) treat that **User's System** (or part thereof) as part of the **National Electricity Transmission System**, but, as between **NGET** and **Users**, it shall remain to be treated as the **User's System** (or part thereof).

GC.9 EMERGENCY SITUATIONS

Users should note that the provisions of the **Grid Code** may be suspended, in whole or in part, during a Security Period, as more particularly provided in the **Fuel Security Code**, or pursuant to any directions given and/or orders made by the **Secretary of State** under section 96 of the **Act** or under the Energy Act 1976.

GC.10 MATTERS TO BE AGREED

Save where expressly stated in the **Grid Code** to the contrary where any matter is left to **NGET** and **Users** to agree and there is a failure so to agree the matter shall not without the consent of both **NGET** and **Users** be referred to arbitration pursuant to the rules of the **Electricity Supply Industry Arbitration Association**.

GC.11 GOVERNANCE OF ELECTRICAL STANDARDS

- GC.11.1 In relation to the **Electrical Standards** the following provisions shall apply.
- GC.11.2 (a) If a **User**, or in respect of (a) or (b) to the annex, **NGET**, or in respect of (c) or (d) to the annex, the **Relevant Transmission Licensee**, wishes to:-
 - (i) raise a change to an **Electrical Standard**;

- (ii) add a new standard to the list of Electrical Standards;
- (iii) delete a standard from being an Electrical Standard,

it shall activate the **Electrical Standards** procedure.

(b) The Electrical Standards procedure is the notification to the secretary to the Panel of the wish to so change, add or delete an Electrical Standard. That notification must contain details of the proposal, including an explanation of why the proposal is being made.

GC.11.3 Ordinary Electrical Standards Procedure

- (a) Unless it is identified as an urgent Electrical Standards proposal (in which case GC.11.4 applies) or unless the notifier requests that it be tabled at the next Panel meeting, as soon as reasonably practicable following receipt of the notification, the Panel secretary shall forward the proposal, with a covering paper, to Panel members.
- (b) If no objections are raised within 20 Business Days of the date of the proposal, then it shall be deemed approved pursuant to the Electrical Standards procedure, and NGET shall make the change to the relevant Electrical Standard or the list of Electrical Standards contained in the Annex to this GC.11.
- (c) If there is an objection (or if the notifier had requested that it be tabled at the next **Panel** meeting rather than being dealt with in writing), then the proposal will be included in the agenda for the next following **Panel** meeting.
- (d) If there is broad consensus at the Panel meeting in favour of the proposal, NGET will make the change to the Electrical Standard or the list of Electrical Standards contained in the Annex to this GC.11.
- (e) If there is no such broad consensus, including where the Panel believes that further consultation is needed, NGET will establish a Panel working group if this was thought appropriate and in any event NGET shall undertake a consultation of Authorised Electricity Operators liable to be materially affected by the proposal.
- (f) Following such consultation, NGET will report back to Panel members, either in writing or at a Panel meeting. If there was broad consensus in the consultation, then NGET will make the change to the Electrical Standard or the list of Electrical Standards contained in the Annex to this GC.11.
- (g) Where following such consultation there is no broad consensus, the matter will be referred to the **Authority** who will decide whether the proposal should be implemented and will notify **NGET** of its decision. If the decision is to so implement the change, **NGET** will make the change to the **Electrical Standard** or the list of **Electrical Standards** contained in the Annex to this GC.11.
- (h) In all cases where a change is made to the list of **Electrical Standards**, **NGET** will publish and circulate a replacement page for the Annex to this GC covering that list and reflecting the change.

GC.11.4 <u>Urgent Electrical Standards Procedure</u>

- (a) If the notification is marked as an urgent Electrical Standards proposal, the Panel secretary will contact Panel members in writing to see whether a majority who are contactable agree that it is urgent and in that notification the secretary shall propose a timetable and procedure which shall be followed.
- (b) If such members do so agree, then the secretary will initiate the procedure accordingly, having first obtained the approval of the **Authority**.
- (c) If such members do not so agree, or if the **Authority** declines to approve the proposal being treated as an urgent one, the proposal will follow the ordinary **Electrical Standards** procedure as set out in GC.11.3 above.

(d) If a proposal is implemented using the urgent **Electrical Standards** procedure, **NGET** will contact all **Panel** members after it is so implemented to check whether they wish to discuss further the implemented proposal to see whether an additional proposal should be considered to alter the implementation, such proposal following the ordinary **Electrical Standards** procedure.

GC.12 <u>CONFIDENTIALITY</u>

- Users should note that although the **Grid Code** contains in certain sections specific provisions which relate to confidentiality, the confidentiality provisions set out in the **CUSC** apply generally to information and other data supplied as a requirement of or otherwise under the **Grid Code**. To the extent required to facilitate the requirements of the **EMR Documents**, **Users** that are party to the **Grid Code** but are not party to the **CUSC Framework Agreement** agree that the confidentiality provisions of the **CUSC** are deemed to be imported into the **Grid Code**.
- GC.12.2 **NGET** has obligations under the **STC** to inform **Relevant Transmission Licensees** of certain data. **NGET** may pass on **User** data to a **Relevant Transmission Licensee** where:
 - (a) NGET is required to do so under a provision of Schedule 3 of the STC; and/or
 - (b) permitted in accordance with PC.3.4, PC.3.5 and OC2.3.2.
- GC.12.3 NGET has obligations under the EMR Documents to inform EMR Administrative Parties of certain data. NGET may pass on User data to an EMR Administrative Party where NGET is required to do so under an EMR Document.
- GC.12.4 **NGET** may use **User** data for the purpose of carrying out its **EMR Functions**.

GC.13 RELEVANT TRANSMISSION LICENSEES

- It is recognised that the **Relevant Transmission Licensees** are not parties to the **Grid Code**. Accordingly, notwithstanding that Operating Code No. 8 Appendix 1 ("OC8A") and Appendix 2 ("OC8B"), OC7.6, OC9.4 and OC9.5 refer to obligations which will in practice be performed by the **Relevant Transmission Licensees** in accordance with relevant obligations under the **STC**, for the avoidance of doubt all contractual rights and obligations arising under OC8A, OC8B, OC7.6, OC9.4 and OC9.5 shall exist between **NGET** and the relevant **User** and in relation to any enforcement of those rights and obligations OC8A, OC8B, OC7.6, OC9.4 and OC9.5 shall be so read and construed. The **Relevant Transmission Licensees** shall enjoy no enforceable rights under OC8A, OC8B, OC7.6, OC9.4 and OC9.5 nor shall they be liable (other than pursuant to the **STC**) for failing to discharge any obligations under OC8A, OC8B, OC7.6, OC9.4 and OC9.5.
- GC.13.2 For the avoidance of doubt nothing in this **Grid Code** confers on any **Relevant Transmission Licensee** any rights, powers or benefits for the purpose of the Contracts (Rights of Third Parties)
 Act 1999.

GC.14 <u>BETTA TRANSITION ISSUES</u>

GC.14.1 The provisions of the Appendix to the **General Conditions** apply in relation to issues arising out of the transition associated with the designation of **GC Modification Proposals** by the **Secretary of State** in accordance with the provisions of the Energy Act 2004 for the purposes of Condition C14 of **NGET's Transmission Licence**.

GC.15 EMBEDDED EXEMPTABLE LARGE AND MEDIUM POWER STATIONS

- GC.15.1 This GC.15.1 shall have an effect until and including 31st March 2007.
 - (i) CC.6.3.2, CC.6.3.7, CC.8.1 and BC3.5.1; and
 - (ii) Planning Code obligations and other Connection Conditions; shall apply to a User who owns or operates an Embedded Exemptable Large Power Station, or a Network Operator in respect of an Embedded Exemptable Medium Power Station, except where and to the extent that, in respect of that Embedded Exemptable Large Power Station or Embedded Exemptable Medium Power Station, NGET agrees or where the relevant User and NGET fail to agree, where and to the extent that the Authority consents.

GC.16 <u>SIGNIFICANT CODE REVIEW</u>

- GC.16.1 A **Significant Code Review** is a code review process initiated and led by the **Authority**, on one of a number of potential triggers. The **Authority** will launch a **Significant Code Review** on publication of a notice setting out matters such as the scope of the review, reasons for it and announcing the start date.
- A Significant Code Review Phase begins on the start date set out in the Authority's notice, during which time any GC Modification Proposals that relate to the subject matter of the review are restricted, to ensure the process is as efficient as possible. Once the Authority has published its Significant Code Review conclusions, the Authority may direct NGET to raise a GC Modification Proposal to put into effect the results of the Significant Code Review.
- Where a GC Modification Proposal is raised during a Significant Code Review Phase unless exempted by the Authority NGET in consultation with the Panel shall assess whether the GC Modification Proposal falls within the scope of a Significant Code Review and shall notify the Authority of its assessment, its reasons for that assessment and any representations received in relation to it as soon as practicable.
- GC.16.4 The **GC Modification Proposal** shall proceed during a **Significant Code Review Phase** unless directed otherwise by the **Authority** in accordance with the paragraphs below.
- GC.16.5 The Authority may at any time direct that a GC Modification Proposal made during a Significant Code Review Phase falls within the scope of a Significant Code Review and must not be made during the Significant Code Review Phase. If so directed the GC Modification Proposal will not proceed and it shall be withdrawn or suspended until the end of the Significant Code Review Phase.
- GC.16.6 A **GC Modification Proposal** that falls within the scope of a **Significant Code Review** may be made where:
 - (i) the **Authority** so determines, having taken into account (among other things) the urgency of the subject matter of the **GC Modification Proposal**; or
 - (ii) **NGET** makes the **GC Modification Proposal** pursuant to a direction from the **Authority** in accordance with the provisions below.
- GC.16.7 Where a direction in accordance with GC.16.5 has not been issued, **NGET**, in consultation with the **Panel** may proceed with the **GC Modification Proposal**.
- GC.16.8 Within twenty-eight (28) days after the **Authority** has published its **Significant Code Review** conclusions, the **Authority** may issue to **NGET** directions, including directions to **NGET** to make **GC Modification Proposal(s)**. **NGET** shall comply with those directions. Where **NGET** makes a **GC Modification Proposal** in accordance with the **Authority's** directions, it shall proceed as a normal **GC Modification Proposal**. Such **Authority** conclusions and directions shall not fetter the voting rights of the **Panel** or any recommendation **NGET** makes in relation to any **GC Modification Proposal**.
- GC.16.9 **NGET** may not, without the prior consent of the **Authority**, withdraw a **GC Modification Proposal** made pursuant to a direction issued by the **Authority** pursuant to GC.16.8.

ANNEX TO THE GENERAL CONDITIONS

The **Electrical Standards** are as follows:

(a) Electrical Standards applicable in England and Wales

	Relevant Electrical Standards	Reference	Issue	Date
Doo	cument (RES)			
	ts 1 to 3		2.0	22 nd Jan 2015
Par	t 4 – Specific Requirements			
1	Back-Up Protection Grading across NGET's and other Network Operator Interfaces	PS(T)044(RES)	2.0	22 nd Jan 2015
2	Ratings and General Requirements for Plant, Equipment, Apparatus and Services for the National Grid System and Connections Points to it.	TS 1 (RES)	1.0 Draft	9 th Jan 2006
3	Substations	TS 2.01 (RES)	1.0 Draft	9 th Jan 2006
4	Switchgear	TS 2.02 (RES)	2.0	22 nd Jan 2015
5	Substation Auxiliary Supplies	TS 2.12 (RES)	2.0	22 nd Jan 2015
6	Ancillary Light Current Equipment	TS 2.19 (RES)	2.0	22 nd Jan 2015
7	Substation Interlocking Schemes	TS 3.01.01 (RES)	1.0 Draft	9 th Jan 2006
8	Earthing Requirements	TS 3.01.02 (RES)	2.0	22 nd Jan 2015
9	Circuit Breakers	TS 3.02.01 (RES)	2.0	22 nd Jan 2015
10	Disconnectors and Earthing Switches	TS 3.02.02 (RES)	2.0	22 nd Jan 2015
11	Current Transformers for Protection and General Use on the 132kV, 275kV and 400kV Systems	TS 3.02.04 (RES)	2.0	22 nd Jan 2015
12	Voltage Transformers	TS 3.02.05 (RES)	1.0 Draft	9 th Jan 2006
13	Bushings	TS 3.02.07 (RES)	2.0	22 nd Jan 2015
14	Solid Core Post Insulators for Substations	TS 3.02.09 (RES)	2.0	22 nd Jan 2015
15	Voltage Dividers	TS 3.02.12 (RES)	1.0 Draft	9 th Jan 2006
16	Gas Insulated Switchgear	TS 3.02.14 (RES)	2.0	22 nd Jan 2015
17	Environmental and Test Requirements for Electronic Equipment	TS 3.24.15 (RES)	2.0	22 nd Jan 2015
18	Busbar Protection	TS 3.24.34 (RES)	2.0	22 nd Jan 2015
19	Circuit Breaker Fail Protection	TS 3.24.39 (RES)	2.0	22 nd Jan 2015
20	System Monitor – Dynamic System Monitoring (DSM)	TS 3.24.70 (RES)	2.0	22 nd Jan 2015
21	Protection & Control for HVDC Systems	TS 3.24.90 (RES)	2.0	22 nd Jan 2015
22	Ancillary Services Business Monitoring	TS 3.24.95 (RES)	2.0	22 nd Jan 2015
23	Guidance for Conductor Jointing	TGN(E)187 (RES)	2.0	22 nd Jan 2015

in Substations		
Additional Requirements		
Control Telephony Electrical Standard	1.0	17 th Sept 2007

(b) The following specifications for electronic data communications facilities with reference to EDT and EDL facilities.

EDT Interface Specification	Issue 4
EDT Submitter Guidance Note	Dec-01
EDL Message Interface Specifications	Issue 4
EDL Interface Specification Guidance Note	Oct-01
EDL Instruction Interface Valid Reason Codes	Issue 2

(c) Scottish Electrical Standards for SPT's Transmission System.

SPTTS 1	Requirements for the SP Transmission System and Connection Points to it.	Issue 1
SPTTS 2.1	Substations	Issue 1
SPTTS 2.2	Switchgear	Issue 1
SPTTS 2.3	Transformers and Reactors	Issue 1
SPTTS 2.5	Cables	Issue 1
SPTTS 2.6	Protection	Issue 1
SPTTS 2.7	Substation Control Systems	Issue 1
SPTTS 2.12	Substation Auxiliary Supplies	Issue 1

(d) Scottish Electrical Standards for SHETL's Transmission System.

1.	NGTS 1:	Rating and General Requirements for Plant, Equipment, Apparatus and Services for the National Grid System and Direct
2.	NGTS 2.1:	Connection to it. Issue 3 March 1999. Substations Issue 2 May 1995
3.	NGTS 3.1.1:	Substation Interlocking Schemes. Issue 1 October 1993.
4.	NGTS 3.2.1:	Circuit Breakers and Switches. Issue 1 September 1992.
5.	NGTS 3.2.2:	Disconnectors and Earthing Switches. Issue 1 March 1994.
6.	NGTS 3.2.3:	Metal-Oxide surge arresters for use on 132, 275 and 400kV systems. Issue 2 May 1994.
7.	NGTS 3.2.4:	Current Transformers for protection and General use on the 132, 275 and 400kV systems. Issue 1 September 1992.
8.	NGTS 3.2.5:	Voltage Transformers for use on the 132, 275 and 400 kV systems. Issue 2 March 1994.
9.	NGTS 3.2.6:	Current and Voltage Measurement Transformers for Settlement Metering of 33, 66, 132, 275 and 400kV systems. Issue 1 September 1992.
10.	NGTS 3.2.7:	Bushings for the Grid Systems. Issue 1 September 1992.
11.	NGTS 3.2.9:	Post Insulators for Substations. Issue 1 May 1996.
12.	NGTS 2.6:	Protection Issue 2 June 1994.
13.	NGTS 3.11.1:	Capacitors and Capacitor Banks. Issued 1 March 1993.

APPENDIX TO THE GENERAL CONDITIONS

GC.A.1 Introduction

- GC.A.1.1 This Appendix to the **General Conditions** deals with issues arising out of the transition associated with the designation of amendments to the **Grid Code** by the **Secretary of State** in accordance with the provisions of the Energy Act 2004 for the purposes of Condition C14 of **NGET's Transmission Licence**. For the purposes of this Appendix to the **General Conditions**, the version of the **Grid Code** as amended by the changes designated by the **Secretary of State** and as further amended from time to time shall be referred to as the "**GB Grid Code**".
- GC.A.1.2 The provisions of this Appendix to the **General Conditions** shall only apply to **Users** (as defined in GC.A.1.4) and **NGET** after **Go-Live** for so long as is necessary for the transition requirements referred to in GC.A.1.1 and cut-over requirements (as further detailed in GC.A.3.1) to be undertaken.
- GC.A.1.3 In this Appendix to the **General Conditions**:
 - (a) Existing E&W Users and E&W Applicants are referred to as "E&W Users";
 - (b) Users who as at 1 January 2005 have entered into an agreement or have accepted an offer for connection to and/or use of the Transmission System of NGET are referred to as "Existing E&W Users";
 - (c) Users (or prospective Users) other than Existing E&W Users who apply during the Transition Period for connection to and/or use of the Transmission System of NGET are referred to as "E&W Applicants";
 - (d) Existing Scottish Users and Scottish Applicants are referred to as "Scottish Users";
 - (e) Users who as at 1 January 2005 have entered into an agreement or have accepted an offer for connection to and/or use of the Transmission System of either Relevant Transmission Licensee are referred to as "Existing Scottish Users";
 - (f) Users (or prospective Users) other than Existing Scottish Users who apply during the Transition Period for connection to and/or use of the Transmission System of either Relevant Transmission Licensee are referred to as "Scottish Applicants";
 - (g) the term "Transition Period" means the period from Go-Active to Go-Live (unless it is provided to be different in relation to a particular provision), and is the period with which this Appendix to the General Conditions deals;
 - (h) the term "Interim GB SYS" means the document of that name referred to in Condition C11 of NGET's Transmission Licence;
 - the term "Go-Active" means the date on which the amendments designated by the Secretary of State to the Grid Code in accordance with the Energy Act 2004 come into effect; and
 - (j) the term "Go-Live" means the date which the Secretary of State indicates in a direction shall be the BETTA go-live date.
- GC.A.1.4 The provisions of GC.2.1 shall not apply in respect of this Appendix to the **General Conditions**, and in this Appendix to the **General Conditions** the term "**Users**" means:
 - (a) Generators;
 - (b) Network Operators;
 - (c) Non-Embedded Customers;
 - (d) Suppliers;
 - (e) BM Participants; and
 - (f) Externally Interconnected System Operators,
 - (g) DC Converter Station owners

to the extent that the provisions of this Appendix to the **General Conditions** affect the rights and obligations of such **Users** under the other provisions of the **GB Grid Code**.

- GC.A.1.5 The **GB Grid Code** has been introduced with effect from **Go-Active** pursuant to the relevant licence changes introduced into **NGET's Transmission Licence**. **NGET** is required to implement and comply, and **Users** to comply, with the **GB Grid Code** subject as provided in this Appendix to the **General Conditions**, which provides for the extent to which the **GB Grid Code** is to apply to **NGET** and **Users** during the **Transition Period**.
- GC.A.1.6 This Appendix to the **General Conditions** comprises:
 - (a) this Introduction;
 - (b) GB Grid Code transition issues; and
 - (c) Cut-over issues.
- GC.A.1.7 Without prejudice to GC.A.1.8, the failure of any **User** or **NGET** to comply with this Appendix to the **General Conditions** shall not invalidate or render ineffective any part of this Appendix to the **General Conditions** or actions undertaken pursuant to this Appendix to the **General Conditions**.
- GC.A.1.8 A **User** or **NGET** shall not be in breach of any part of this Appendix to the **General Conditions** to the extent that compliance with that part is beyond its power by reason of the fact that any other **User** or **NGET** is in default of its obligations under this Appendix to the **General Conditions**.
- GC.A.1.9 Without prejudice to any specific provision under this Appendix to the **General Conditions** as to the time within which or the manner in which a **User** or **NGET** should perform its obligations under this Appendix to the **General Conditions**, where a **User** or **NGET** is required to take any step or measure under this Appendix to the **General Conditions**, such requirement shall be construed as including any obligation to:
 - (a) take such step or measure as quickly as reasonably practicable; and
 - (b) do such associated or ancillary things as may be necessary to complete such step or measure as quickly as reasonably practicable.
- GC.A.1.10 NGET shall use reasonable endeavours to identify any amendments it believes are needed to the GB Grid Code in respect of the matters referred to for the purposes of Condition C14 of NGET's Transmission Licence and in respect of the matters identified in GC.A.1.11, and, having notified the Authority of its consultation plans in relation to such amendments, NGET shall consult in accordance with the instructions of the Authority concerning such proposed amendments.
- GC.A.1.11 The following matters potentially require amendments to the **GB Grid Code**:
 - (a) The specific detail of the obligations needed to manage implementation in the period up to and following (for a temporary period) Go-Live to achieve the change to operation under the GB Grid Code (to be included in GC.A.3).
 - (b) Information (including data) and other requirements under the **GB Grid Code** applicable to **Scottish Users** during the **Transition Period** (to be included in GC.A.2).
 - (c) The conclusions of Ofgem/DTI in relation to small and/or embedded generator issues under BETTA and allocation of access rights on a GB basis.
 - (d) Any arrangements required to make provision for operational liaison, including **Black Start** and islanding arrangements in Scotland.
 - (e) Any arrangements required to make provision for cascade hydro BM Units.
 - (f) Any consequential changes to the safety co-ordination arrangements resulting from STC and STC procedure development.
 - (g) Any arrangements required to reflect the **Electrical Standards** for the **Transmission Systems** of **SPT** and **SHETL**.

- (h) The conclusions of Ofgem/DTI in relation to planning and operating standards.
- GC.A.1.12 **NGET** shall notify the **Authority** of any amendments that **NGET** identifies as needed pursuant to GC.A.1.10 and shall make such amendments as the **Authority** approves.

GC.A.2 GB Grid Code Transition

General Provisions

GC.A.2.1 The provisions of the **GB Grid Code** shall be varied or suspended (and the requirements of the **GB Grid Code** shall be deemed to be satisfied) by or in accordance with, and for the period and to the extent set out in this GC.A.2, and in accordance with the other applicable provisions in this Appendix to the **General Conditions**.

GC.A.2.2 E&W Users:

In furtherance of the licence provisions referred to in GC.A.1.5, E&W Users shall comply with the GB Grid Code during the Transition Period, but shall comply with and be subject to it subject to this Appendix to the General Conditions, including on the basis that:

- (a) during the **Transition Period** the **Scottish Users** are only complying with the **GB Grid Code** in accordance with this Appendix to the **General Conditions**; and
- (b) during the Transition Period the National Electricity Transmission System shall be limited to the Transmission System of NGET, and all rights and obligations of E&W Users in respect of the National Electricity Transmission System under the GB Grid Code shall only apply in respect of the Transmission System of NGET, and all the provisions of the GB Grid Code shall be construed accordingly.

GC.A.2.3 Scottish Users:

In furtherance of the licence provisions referred to in GC.A.1.5, Scottish Users shall comply with the GB Grid Code and the GB Grid Code shall apply to or in relation to them during the Transition Period only as provided in this Appendix to the General Conditions.

GC.A.2.4 NGET:

In furtherance of the licence provisions referred to in GC.A.1.5, **NGET** shall implement and comply with the **GB Grid Code** during the **Transition Period**, but shall implement and comply with and be subject to it subject to, and taking into account, all the provisions of this Appendix to the **General Conditions**, including on the basis that:

- (a) during the Transition Period NGET's rights and obligations in relation to E&W Users in respect of the National Electricity Transmission System under the GB Grid Code shall only apply in respect of the Transmission System of NGET, and all the provisions of the GB Grid Code shall be construed accordingly; and
- (b) during the **Transition Period NGET's** rights and obligations in relation to **Scottish Users** in respect of the **National Electricity Transmission System** under the **GB Grid Code** shall only be as provided in this Appendix to the **General Conditions**.

Specific Provisions

GC.A.2.5 <u>Definitions:</u>

The provisions of the **GB Grid Code Glossary and Definitions** shall apply to and for the purposes of this Appendix to the **General Conditions** except where provided to the contrary in this Appendix to the **General Conditions**.

GC.A.2.6 <u>Identification of Documents:</u>

In the period beginning at **Go-Active**, **Scottish Users** will work with **NGET** to identify and agree with **NGET** any documents needed to be in place in accordance with the **GB Grid Code**, to apply from **Go-Live** or as earlier provided for under this Appendix to the **General Conditions**, including (without limitation) **Site Responsibility Schedules**, **Gas Zone Diagrams** and **OC9 Desynchronised Island Procedures**.

GC.A.2.7 Data:

Each Scottish User must provide, or enable a Relevant Transmission Licensee to provide, NGET, as soon as reasonably practicable upon request, with all data which NGET needs in order to implement, with effect from Go-Live, the GB Grid Code in relation to Scotland. This data will include, without limitation, the data that a new User is required to submit to NGET under CC.5.2. NGET is also entitled to receive data on Scottish Users over the Relevant Transmission Licensees' SCADA links to the extent that NGET needs it for use in testing and in order to implement, with effect from Go-Live, the GB Grid Code in relation to Scotland. After Go-Live such data shall, notwithstanding GC.A.1.2, be treated as though it had been provided to NGET under the enduring provisions of the GB Grid Code.

GC.A.2.8 <u>Verification of Data etc:</u>

NGET shall be entitled to request from a **Scottish User** (which shall comply as soon as reasonably practicable with such a request) confirmation and verification of any information (including data) that has been received by a **Relevant Transmission Licensee** under an existing grid code and passed on to **NGET** in respect of that **Scottish User**. After **Go-Live** such information (including data) shall, notwithstanding GC.A.1.2, be treated as though provided to **NGET** under the enduring provisions of the **GB Grid Code**.

GC.A.2.9 Grid Code Review Panel:

- The individuals whose names are notified to **NGET** by the **Authority** prior to **Go-Active** as **Panel** members (and alternate members, if applicable) are agreed by **Users** (including **Scottish Users**) and **NGET** to constitute the **Panel** members and alternate members of the **Grid Code Review Panel** as at the first meeting of the **Grid Code Review Panel** after **Go-Active** as if they had been appointed as **Panel** members (and alternate members) pursuant to the relevant provisions of the Constitution and Rules of the **Grid Code Review Panel** incorporating amendments equivalent to the amendments to GC.4.2 and GC.4.3 designated by the **Secretary of State** in accordance with the provisions of the Energy Act 2004 for the purposes of Condition C14 of **NGET's Transmission Licence**.
- (b) The provisions of GC.4 of the **GB Grid Code** shall apply to, and in respect of, **Scottish Users** from **Go-Active**.

GC.A.2.10 Interim GB SYS:

Where requirements are stated in, or in relation to, the **GB Grid Code** with reference to the **Seven Year Statement**, they shall be read and construed as necessary as being with reference to the **Interim GB SYS**.

GC.A.2.11 General Conditions:

The provisions of GC.4, GC.12 and GC.13.2 of the **GB Grid Code** shall apply to and be complied with by **Scottish Users** in respect of this Appendix to the **General Conditions**.

GC.A.2.12 OC2 Data

- (a) The following provisions of the **GB Grid Code** shall apply to and be complied with by **Scottish Users** with effect from the relevant date indicated below:
 - (i) OC2.4.1.2.3 (a) from 19 January 2005 in respect of 2 to 52 week submissions,
 - (ii) OC2.4.1.2.4 (c) from 25 February 2005 in respect of 2 to 49 day submissions,
 - (iii) OC2.4.1.2.4 (b) from 22 March 2005 in respect of 2 to 14 day submissions,

The data to be submitted in respect of OC2.4.1.2.3 (a) and OC2.4.1.2.4 (b) and (c) need only be in respect of dates on or after 1 April 2005.

GC.A.3 <u>Cut-over</u>

- GC.A.3.1 It is anticipated that it will be appropriate for arrangements to be put in place for final transition to BETTA in the period up to and following (for a temporary period) **Go-Live**, for the purposes of:
 - (a) managing the transition from operations under the Grid Code as in force immediately prior to Go-Active to operations under the GB Grid Code and the BSC as in force on and after Go-Active;
 - (b) managing the transition from operations under the existing grid code applicable to Scottish
 Users as in force immediately prior to Go-Active to operations under the GB Grid Code as
 in force on and after Go-Active;
 - (c) managing the transition of certain data from operations under the existing grid code applicable to **Scottish Users** before and after **Go-Active**; and
 - (d) managing **GB Grid Code** systems, processes and procedures so that they operate effectively at and from **Go-Live**.
- GC.A.3.2 (a) The provisions of **BC1** (excluding BC1.5.1, BC1.5.2 and BC1.5.3) shall apply to and be complied with by **Scottish Users** and by **NGET** in respect of such **Scottish Users** with effect from 11:00 hours on the day prior to **Go-Live**
 - (b) Notwithstanding (a) above, Scottish Users may submit data for Go-Live 3 days in advance of Go-Live on the basis set out in the Data Validation, Consistency and Defaulting Rules which shall apply to Scottish Users and NGET in respect of such Scottish Users on that basis and for such purpose.
 - (c) The **Operational Day** for the purposes of any submissions by **Scottish Users** prior to **Go-Live** under a) and b) above for the day of **Go-Live** shall be 00:00 hours on **Go Live** to 05:00 hours on the following day.
 - (d) The provisions of **BC2** shall apply to and be complied with by **Scottish Users** and by **NGET** in respect of such **Scottish Users** with effect from 23:00 hours on the day prior to **Go-Live**.
 - (e) The provisions of OC7.4.8 shall apply to and be complied with by Scottish Users and by NGET in respect of such Scottish Users with effect from 11:00 hours on the day prior to Go-Live.
 - (f) In order to facilitate cut-over, **Scottish Users** acknowledge and agree that **NGET** will exchange data submitted by such **Scottish Users** under **BC1** prior to Go-Live with the Scottish system operators to the extent necessary to enable the cut-over.
 - (g) Except in the case of Reactive Power, Scottish Users should only provide Ancillary Services from Go-Live where they have been instructed to do so by NGET. In the case of Reactive Power, at Go-Live a Scottish Users MVAr output will be deemed to be the level instructed by NGET under BC2, following this Scottish Users should operate in accordance with BC2.A.2.6 on the basis that MVAr output will be allowed to vary with system conditions.

< END OF GENERAL CONDITIONS >

REVISIONS

(R)

(This section does not form part of the Grid Code)

- R.1 **NGET's Transmission Licence** sets out the way in which changes to the Grid Code are to be made and reference is also made to **NGET's** obligations under the General Conditions.
- R.2 All pages re-issued have the revision number on the lower left hand corner of the page and date of the revision on the lower right hand corner of the page.
- R.3 The Grid Code was introduced in March 1990 and the first issue was revised 31 times. In March 2001 the New Electricity Trading Arrangements were introduced and Issue 2 of the Grid Code was introduced which was revised 16 times. At British Electricity Trading and Transmission Arrangements (BETTA) Go-Active Issue 3 of the Grid Code was introduced and subsequently revised 35 times. At Offshore Go-active Issue 4 of the Grid Code was introduced and has been revised 13 times since its original publication. Issue 5 of the Grid Code was published to accommodate the changes made by Grid Code Modification A/10 which has incorporated the Generator compliance process into the Grid Code.
- R.4 This Revisions section provides a summary of the sections of the Grid Code changed by each revision to Issue 5.
- R.5 All enquiries in relation to revisions to the Grid Code, including revisions to Issues 1, 2, 3, 4 and 5 should be addressed to the Grid Code development team at the following email address:

Grid.Code@nationalgrid.com

Revision	Section	Related Modification	Effective Date
0	Glossary and Definitions	A/10 and G/11	17 August 2012
0	Planning Code – PC.2.1	G/11	17 August 2012
0	Planning Code – PC.5.4	G/11	17 August 2012
0	Planning Code – PC.8	G/11	17 August 2012
0	Planning Code – PC.8.2	G/11	17 August 2012
0	Planning Code – PC.A.1	G/11	17 August 2012
0	Planning Code – PC.A.2	A/10 and G/11	17 August 2012
0	Planning Code – PC.A.3	G/11	17 August 2012
0	Planning Code – PC.A.5	A/10 and G/11	17 August 2012
0	Compliance Processes	A/10	17 August 2012
0	Connection Conditions – CC.1.1	A/10	17 August 2012
0	Connection Conditions – CC.2.2	G/11	17 August 2012
0	Connection Conditions – CC.3.3	A/10	17 August 2012
0	Connection Conditions – CC.4.1	A/10	17 August 2012
0	Connection Conditions – CC.5.2	G/11	17 August 2012
0	Connection Conditions – CC.6.1	G/11	17 August 2012
0	Connection Conditions – CC.6.3	G/11	17 August 2012
0	Connection Conditions – CC.6.6	A/10	17 August 2012
0	Connection Conditions – CC.7.2	G/11	17 August 2012

Revision	Section	Related Modification	Effective Date
0	Connection Conditions – CC.7.4	G/11	17 August 2012
0	Connection Conditions – CC.A.1	G/11	17 August 2012
0	Connection Conditions – CC.A.2	G/11	17 August 2012
0	Connection Conditions – CC.A.3	G/11	17 August 2012
0	Connection Conditions – CC.A.4	G/11	17 August 2012
0	Connection Conditions – CC.A.6	A/10	17 August 2012
0	Connection Conditions – CC.A.7	A/10 and G/11	17 August 2012
0	Connection Conditions – Figure CC.A.3.1	G/11	17 August 2012
0	Operating Code No. 2 – OC2.4	G/11	17 August 2012
0	Operating Code No. 2 – OC2.A.1	G/11	17 August 2012
0	Operating Code No. 5 – OC5.3	A/10	17 August 2012
0	Operating Code No. 5 – OC5.5	A/10 and G/11	17 August 2012
0	Operating Code No. 5 – OC5.7	G/11	17 August 2012
0	Operating Code No. 5 – OC5.8	A/10 and G/11	17 August 2012
0	Operating Code No. 5 – OC5.A.1	A/10	17 August 2012
0	Operating Code No. 5 – OC5.A.2	A/10	17 August 2012
0	Operating Code No. 5 – OC5.A.3	A/10	17 August 2012
0	Operating Code No. 5 – OC5.A.4	A/10	17 August 2012
0	Operating Code No. 7 – OC7.4	G/11	17 August 2012
0	Operating Code No. 8 – OC8.2	G/11	17 August 2012

Revision	Section	Related Modification	Effective Date
0	Operating Code No. 8 – OC8A.1	G/11	17 August 2012
0	Operating Code No. 8 – OC8A.5	G/11	17 August 2012
0	Operating Code No. 8 – OC8B.1	G/11	17 August 2012
0	Operating Code No. 8 – OC8B.4	G/11	17 August 2012
0	Operating Code No. 8 – OC8B.5	G/11	17 August 2012
0	Operating Code No. 8 – OC8B Appendix E	G/11	17 August 2012
0	Operating Code No. 9 – OC9.2	G/11	17 August 2012
0	Operating Code No. 9 – OC9.4	G/11	17 August 2012
0	Operating Code No. 9 – OC9.5	G/11	17 August 2012
0	Operating Code No. 12 – OC12.3	G/11	17 August 2012
0	Operating Code No. 12 – OC12.4	G/11	17 August 2012
0	Balancing Code No. 1 – BC1.5	G/11	17 August 2012
0	Balancing Code No. 1 – BC1.8	G/11	17 August 2012
0	Balancing Code No. 1 – BC1.A.1	G/11	17 August 2012
0	Balancing Code No. 2 – BC2.5	G/11	17 August 2012
0	Balancing Code No. 2 – BC2.8	G/11	17 August 2012
0	Balancing Code No. 2 – BC2.A.2	G/11	17 August 2012
0	Balancing Code No. 2 – BC2.A.3	G/11	17 August 2012
0	Balancing Code No. 2 – BC2.A.4	G/11	17 August 2012
0	Balancing Code No. 3 – BC3.5	G/11	17 August 2012

Revision	Section	Related Modification	Effective Date
0	Balancing Code No. 3 – BC3.7	G/11	17 August 2012
0	Data Registration Code – DRC.1.5	G/11	17 August 2012
0	Data Registration Code – DRC.4.2	G/11	17 August 2012
0	Data Registration Code – DRC.4.4	G/11	17 August 2012
0	Data Registration Code – DRC.5.2	A/10 and G/11	17 August 2012
0	Data Registration Code – DRC.5.5	G/11	17 August 2012
0	Data Registration Code – DRC.6.1	A/10 and G/11	17 August 2012
0	Data Registration Code – DRC.6.2	A/10	17 August 2012
0	Data Registration Code – Schedule 1	A/10 and G/11	17 August 2012
0	Data Registration Code – Schedule 2	G/11	17 August 2012
0	Data Registration Code – Schedule 3	G/11	17 August 2012
0	Data Registration Code – Schedule 4	G/11	17 August 2012
0	Data Registration Code – Schedule 5	G/11	17 August 2012
0	Data Registration Code – Schedule 10	G/11	17 August 2012
0	Data Registration Code – Schedule 12A	G/11	17 August 2012
0	Data Registration Code – Schedule 14	A/10 and G/11	17 August 2012
0	Data Registration Code – Schedule 15	G/11	17 August 2012
0	Data Registration Code – Schedule 19	A/10	17 August 2012
0	General Conditions – GC.4	G/11	17 August 2012
0	General Conditions – GC.12	G/11	17 August 2012

Revision	Section	Related Modification	Effective Date
0	General Conditions – GC.15	G/11	17 August 2012
0	General Conditions – GC.A1	G/11	17 August 2012
0	General Conditions – GC.A2	G/11	17 August 2012
0	General Conditions – GC.A3	G/11	17 August 2012
1	Operating Code No. 8 – OC8A.5.3.4	C/12	6 November 2012
1	Operating Code No. 8 – OC8B.5.3.4	C/12	6 November 2012
2	Balancing Code No. 1 – BC1.2.1	B/12	31 January 2013
2	Balancing Code No. 1 – BC1.4.2	B/12	31 January 2013
2	Balancing Code No. 1 – BC1.A.1.5	B/12	31 January 2013
2	Connection Conditions – CC.7.7	D/12	31 January 2013
3	Glossary and Definitions	C/11	2 April 2013
3	Operating Code No. 8 – OC8A.4.3.5	B/10	2 April 2013
3	Operating Code No. 8 – OC8B.4.3.5	B/10	2 April 2013
3	Balancing Code No. 2 – BC2.5	C/11	2 April 2013
4	Glossary and Definitions	GC0060 (F/12)	19 August 2013
4	Planning Code – PC.A.5	GC0040 (A/12)	19 August 2013
4	Operating Code No. 2 – OC2.A.10	GC0060 (F/12)	19 August 2013
4	Data Registration Code – Schedule 1	GC0040 (A/12)	19 August 2013
4	Data Registration Code – Schedule 2	GC0060 (F/12)	19 August 2013

Revision	Section	Related Modification	Effective Date
5	Glossary and Definitions	GC0033, 71, 72 and 73	05 November 2013
5	General Conditions – GC.4	GC0071, 72 and 73	05 November 2013
5	General Conditions – GC.14	GC0071, 72 and 73	05 November 2013
5	General Conditions – GC.16	GC0071, 72 and 73	05 November 2013
6	Connection Conditions – CC.A.7	GC0065	13 December 2013
6	Planning Code – PC.A.3	GC0037	13 December 2013
6	Operating Code No. 2 – OC2.4.2	GC0037	13 December 2013
6	Operating Code No. 2 – Appendix 4	GC0037	13 December 2013
6	Balancing Code No. 1 – BC1.4.2	GC0037	13 December 2013
6	Balancing Code No. 1 – BC1.A.1.8	GC0037	13 December 2013
7	Glossary and Definitions	GC0044	31 March 2014
7	Operating Code No. 9 – OC9.2.5	GC0044	31 March 2014
7	Operating Code No. 9 – OC9.4.6	GC0044	31 March 2014
7	Operating Code No. 9 – OC9.4.7.4	GC0044	31 March 2014
7	Operating Code No. 9 – OC9.4.7.9	GC0044	31 March 2014
7	Operating Code No. 9 – OC9.4.7.10	GC0044	31 March 2014

Revision	Section	Related Modification	Effective Date
7	Balancing Code No. 2 – BC2.9.2.2	GC0044	31 March 2014
8	Glossary and Definitions	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Planning Code	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Connection Conditions	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Compliance Processes	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Operating Code No. 5	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Operating Code No. 7	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Operating Code No. 8	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Operating Code No. 8A	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Operating Code No. 8B	Secretary of State direction – Generator Commissioning Clause	10 June 2014

Revision	Section	Related Modification	Effective Date
8	Balancing Code No. 2	Secretary of State direction – Generator Commissioning Clause	10 June 2014
9	Operating Code No. 6 – OC6.5	GC0050	01 July 2014
9	Operating Code No. 6 – OC6.7	GC0050	01 July 2014
9	Balancing Code No. 2 – Appendix 3 Annexures	GC0068	01 July 2014
9	Balancing Code No. 2 – Appendix 4 Annexure	GC0068	01 July 2014
10	Glossary and Definitions	Secretary of State direction – EMR	01 August 2014
10	Planning Code – PC.5.4	Secretary of State direction – EMR	01 August 2014
10	Planning Code – PC.5.6	Secretary of State direction – EMR	01 August 2014
10	General Conditions – GC.4.6	Secretary of State direction – EMR	01 August 2014
10	General Conditions – GC.12	Secretary of State direction – EMR	01 August 2014
11	Planning Code – PC.A.3.1.4	GC0042	21 August 2014
11	Planning Code – PC.A.5	GC0042	21 August 2014
11	Data Registration Code – DRC6.1.11	GC0042	21 August 2014
11	Data Registration Code – Schedule 11	GC0042	21 August 2014
12	Glossary and Definitions	GC0083	01 November 2014

Revision	Section	Related Modification	Effective Date
12	Planning Code – PC.A.3.4.3	GC0083	01 November 2014
12	Planning Code – PC.D.1	GC0052	01 November 2014
12	Operating Code No. 2 – OC2.4.2.3	GC0083	01 November 2014
12	Operating Code No. 2 – OC2.4.7	GC0083	01 November 2014
12	Operating Code No. 6 – OC6.1.5	GC0061	01 November 2014
12	Data Registration Code – Schedule 1	GC0052	01 November 2014
12	Data Registration Code – Schedule 2	GC0052	01 November 2014
12	Data Registration Code – Schedule 6	GC0083	01 November 2014
13	Glossary and Definitions	GC0063	22 January 2015
13	Connection Conditions – CC.6.5.6	GC0063	22 January 2015
13	Balancing Code No. 1 – BC1.A.1.3.1	GC0063	22 January 2015
13	General Conditions – Annex to General Conditions	GC0080	22 January 2015

< END OF REVISIONS >