

STCP 25-2 Issue 001

SEND BACK PROCESS

STC Procedure Document Authorisation

Party	Name of Party Representative	Signature	Date
National Grid Electricity Transmission plc			
SP Transmission Ltd			
Scottish Hydro-Electric Transmission Ltd			
Offshore Transmission Owners			

STC Procedure Change Control History

Draft 001	18/09/13	New STCP following implementation of CM053
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1 Introduction

1.1 Scope

1.1.1 This procedure applies to NGET, the Code Administrator and each TO. For the purposes of this document, TOs are:

SPT;

SHET;

All Offshore Transmission Owners as appointed by the Authority. (For the avoidance of doubt, this includes Preferred Bidders); and

The Code Administrator.

1.2 Objectives

1.2.1 The objective of this document is to set out the Process that shall apply in respect of a STC Modification Proposal which is the subject of a direction from the Authority pursuant to Section B 7.2.5.15.

1.2.2 The Send Back process seeks to enable the Authority to formally Send Back a Modification Report to the Authority to the STC Panel in circumstances where the Authority considers that it is unable to form a decision based on the content of the Report.

1.2.3 The Send Back provisions will provide an effective safeguard against the Authority being placed in a position where it is unable to approve a Modification owing to deficiencies in the report such as insufficient assessment, incorrect legal text or other technical issues. The Authority can then specify the action that it believes is required and the Modification process would allow for corrective action to be taken, specifying the steps, revisions, analysis or information it requires in order to form an opinion.

1.2.4 For clarity, the Send Back process will only occur as a last resort to prevent the Modification being rejected by the Authority and consequently starting again from the start of the Modification process when the issue identified can easily be rectified.

2 Key Definitions

2.1 For the purposes of STCP 25-2:

Process Diagram means the diagrams at Appendix A to this STCP 25-2.

Send Back Letter means the notification to the Code Administrator from the Authority issued under paragraph 3.2.1 below.

Send Back process means the process set out in this STCP.

3 Procedure

3.1 The Parties shall follow the process set out in this STCP 25-2 in respect of a STC Modification Proposal which is the subject of a direction from the Authority pursuant to Section B paragraph 7.2.5.15. The Process Diagram illustrates this process and form part of this STCP 25-2, but in the event of any conflict between the main body of this STCP 25-2 and the Process Diagram, then the main body of this STCP 25-2 shall prevail.

3.2 Following submission of a Modification Report to the Authority, upon which the Authority determines that it cannot properly form an opinion and in respect of which the Authority issues a direction to the STC Modification Panel pursuant to Section B paragraph 7.2.5.15:

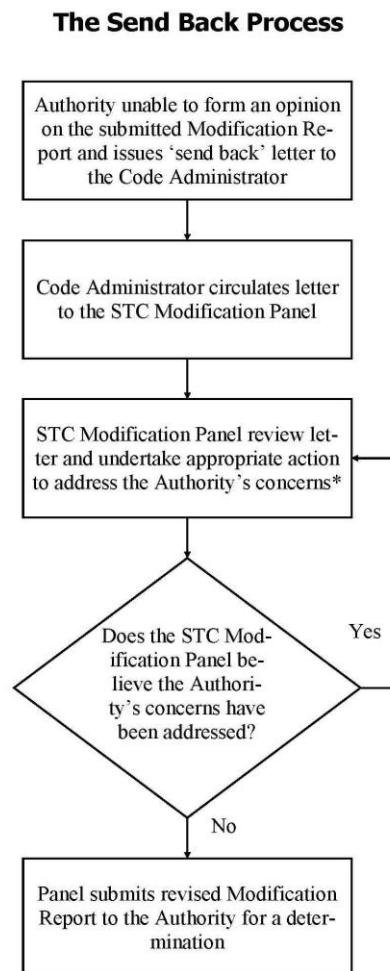
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- 3.2.1 The Authority will notify the Code Administrator that it cannot form an opinion on the Modification Report and make appropriate directions in a Send Back Letter;
- 3.2.2 The Code Administrator will circulate the Send Back Letter to the Parties.
- 3.2.3 The STC Modification Panel will consider the Send Back Letter and the Authority's direction at its next STC Modification Panel Meeting and make a direction on the course of action required and the appropriate timetable.
- 3.3 The course of action would depend on the Authority's direction. If the direction is detailed and gives specific steps and timescales, the STC Modification Panel will follow this and take actions as appropriate. If the direction is not detailed, the STC Modification Panel will make their own recommendations in terms of the next steps and timescales.
- 3.4 Once the necessary changes have been made to the Modification Report, the STC Panel Secretary would re-submit the Modification Report to the Authority pursuant to Section B paragraph 7.2.5.12.
- 3.5 The Code Administrator will monitor use of the Send Back Process and report back to the STC Modification Panel on an annual basis.

Appendix A – Process Diagram

Note that the Process Diagram shown in this Appendix A is for information only. In the event of any contradiction between the process represented in this Appendix and the process described elsewhere in this STCP, then the text elsewhere in this STCP shall prevail.



- *Appropriate action includes but is not limited to:
- Report text changes
 - Legal text changes (material changes would require further industry consultation)
 - Send back to Workgroup
 - Establish Workgroup
 - Further consultation
 - Further analysis