

STC Amendment Proposal Form

CA043

1. Title of Amendment Proposal

Clarification of Workgroups within the Evaluation Phase

2. Description of the Proposed Amendment (mandatory field)

In August 2010 it was noted at the STC Committee meeting that the Evaluation Phase laid out in Section B of the STC is not very clear in its aim and usage. As a result the Evaluation Phase has been reviewed and this Amendment Proposal seeks to provide some additional clarity and governance in relation to working groups.

The Committee can determine that additional information is required in order to assess whether the Proposed Amendment or any Alternative Amendment better facilitates the Applicable STC Objectives and refer the Amendments to the Evaluation Phase where a working group can be established.

National Grid Proposes an Amendment to the STC in order to incorporate the following:

- (i) To aid consistency between all the major Codes, it is proposed that the current working group terminology be changed to Workgroup.
- (ii) Any representatives of the Parties can attend the Workgroup meetings but it will only be quorate provided at least one representative from NGET, one representative from Onshore Transmission Owner and one representative from Offshore Transmission Owner are present. The Authority can attend as an observer.
- (iii) A chair for the Workgroup shall be appointed by the Committee.
- (iv) The Committee shall finalise terms of reference for each Workgroup within 10 Business Days of determining that a workgroup shall be established. These can be updated over the duration of the Workgroup and should cover:
 - Workgroups responsibilities:
 - Detail of the Amendment Proposal
 - Detail the work to be undertaken by the workgroup to enable the workgroup to prepare its report
 - Detail any other matters to be considered by the Workgroup
 - Specify if the Workgroup is to comment upon any legal text
 - The timetable for which the work of the Workgroup is to be completed
- (v) The Workgroup will consider alternatives raised prior to the Amendment Proposal being referred to the assessment and report phase.
- (vi) The Workgroup shall develop its own internal working procedures unless directed otherwise by the STC Committee.
- (vii) The Workgroup chair shall prepare a Workgroup Report to be submitted to the Committee responding to the matters detailed in the terms of reference and contain the workgroups assessment and recommendation. In the event that the Workgroup are unable to reach an agreement then this shall be reflected in the report.
- (viii) The draft Workgroup Report must be circulated to workgroup members with 5 Business days for comment.
- (ix) The Workgroup Report shall be submitted for discussion at the Committee meeting.
- (x) The chair or another member of the Workgroup shall attend or by teleconference the next Committee meeting to present Workgroup findings.
- (xi) The Committee shall consider whether to refer the Amendment Proposal back to the Workgroup for further analysis or proceed to assessment and report phase.

3. Description of Issue or Defect that Proposed Amendment seeks to Address (mandatory field)

The STC Committee can refer Amendment Proposals to proceed to the Evaluation Phase in accordance with Section B of the STC and may result in a working group being established. Following the establishment of STC working group CA039 the STC Committee stated that this area of Code lacked clarity and therefore this Proposal aims to address this.

<p>4. <u>Impact on the STC</u> <i>(information should be given where possible)</i></p> <p>Changes are required to Section B & J</p>
<p>5. <u>Impact on other frameworks e.g. CUSC, BSC</u> <i>(information should be given where possible)</i></p> <p>None</p>
<p>6. <u>Impact on Core Industry Documentation</u> <i>(information should be given where possible)</i></p> <p>None</p>
<p>7. <u>Impact on Computer Systems and Processes used by STC Parties</u> <i>(information should be given where possible)</i></p> <p>None</p>
<p>8. <u>Details of any Related Modifications to Other Industry Codes</u> <i>(where known)</i></p> <p>Following the Code Governance Review Final Proposals the CUSC, BSC and UNC have been refining their Workgroup processes.</p>
<p>9. <u>Justification for Proposed Amendment with Reference to Applicable STC Objectives</u> <i>(mandatory field)</i></p> <p>National Grid considers that implementation of this Amendment Proposal would better facilitate the following Applicable STC Objective:</p> <p>(a): <i>"the efficient discharge of the obligations imposed upon transmission licensees by transmission licences and the Act",</i></p> <p>(e) <i>"promotion of good industry practice and efficiency in the implementation and administration of the arrangements described in the STC".</i></p> <p>specifically with regard to the obligation under standard condition B12 of the licence, as appropriate Workgroup arrangements are likely to lead to more efficient administration of the STC in respect of the development and implementation of Amendment Proposals.</p>
<p>10.</p>

Details of Proposer Organisation's Name	National Grid Electricity Transmission plc
Capacity in which the Amendment is being proposed (i.e. STC Party or other Party as designated by the Authority pursuant to STC section B7.2.2.1 (b))	STC Party/Code Administrator

Details of Proposer's Representative Name Organisation Telephone Number Email Address	Bec Thornton National Grid Electricity Transmission plc 01926 656386 Bec.thornton@uk.ngrid.com
Details of Representative's Alternate Name Organisation Telephone Number Email Address	Kathryn Sorrell National Grid Electricity Transmission plc 0118 Kathryn.sorrell@uk.ngrid.com
Attachments (Yes/No): Yes Appendix 1 – Legal Text to support this proposal	

Notes:

1. Those wishing to propose an Amendment to the STC should do so by filling in this "Amendment Proposal Form" that is based on the provisions contained in Section 7.2 of the STC.
2. The Committee Secretary will check that the form has been completed, in accordance with the requirements of the STC, prior to submitting it to the Committee. If the Committee Secretary accepts the Amendment Proposal form as complete, then she/he will write back to the Proposer informing them of the reference number for the Amendment Proposal and the date on which the Committee will consider the Proposal. If, in the opinion of the Committee Secretary, the form fails to provide the information required in the STC, then he/she may reject the Proposal. The Committee Secretary will inform the Proposer of the rejection and report the matter to the Committee at their next meeting. The Committee can reverse the Committee Secretary's decision and if this happens the Committee Secretary will inform the Proposer.

The completed form should be returned to:

Lucy Hudson
STC Committee Secretary
Regulatory Frameworks
National Grid
National Grid House
Warwick Technology Park
Gallows Hill
Warwick, CV34 6DA

Or via e-mail to: lucy.hudson@uk.ngrid.com