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Slido Access

We will be using Slido for questions and feedback in today's session. Please go to sli.do and enter the event code #2945357

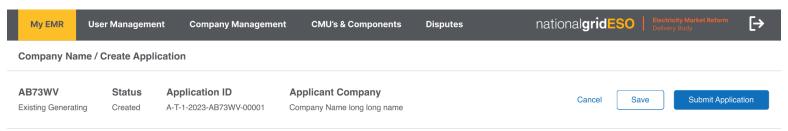
If you would like to ask any questions ahead of the session please either send them in by email or use this link to ask them on Slido, the event code will only work on 26/10/2023

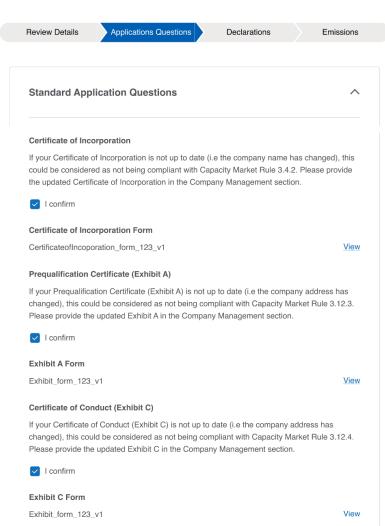


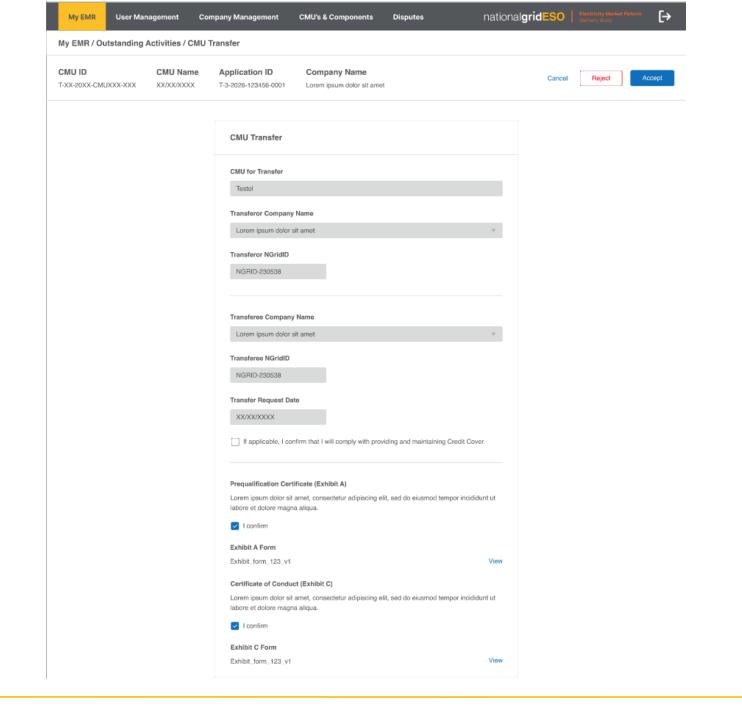
Outstanding Feedback

Date raised Feature Area	Customer Comment	DB Response
15/12/2022 CMU Transfer	Why does the transferor need to provide certificates? they should already be there anyway as they currently hold the agreement?	The Delivery Body has reviewed how company level Exhibits (A and C) are provided, the Delivery Body are committing to amending the proposal of uploading Exhibits A and C from an Application level to a Company level removing the requirement to upload multiple Exhibits during the CMU Transfer process. Please note this change will also amend the need to upload the Exhibits during Secondary Trading. Update 26 th October: Requirement development has been completed, and build will commence shortly. See overview slides. Exhibit A & C have moved to Company Details, and will have the same functionality as the other Exhibit upload areas: Open Exhibit Library, Upload File, and Reuse Exhibit for Exhibit C where they are eligible for Evergreen. Headers have been added to the CMU Transfer and the Standard Application Questions on the Application for Exhibit A & C so that the files uploaded to Company Details can be reviewed. There are also confirmation boxes here to confirm the documents are correct, consistent with Certificate of Incorporation.

nationalgridESO | User Management Company Management CMU's & Components Disputes Company Management / Company Details This is the information provided at the time of the application Registered Company Information Secondary trading UK Company/Business Registration Number Secondary Trading Line v 44 1234567890 Secondary Trading Email Donaudampfschiffahrtsgesellschaftskapitän Stromunternehmen secondary@companyABC.co.uk Company ABC Suite 15 Confirm Secondary Trading Email secondary@companyABC.co.uk Great Portland Street London W1W 7LT **Declaration of Parent Company** Yes Director/Owner Details Name of Parent Company Director/Owner for initial point of contact secondary@companyABC.co.uk 05588682 $\overline{}$ Are You Registered for UK VAT? Email jessica.jones@companyABC.co.uk **UK VAT Registration** 1234567890 Please contact the Delivery Body if you would like to change the Company Name, Company Address, Director/Owner and/or Email address. Please send an email to Required Documents box.EMR.Prequal@nationalgrideso.com Certificate of Incorporation In order to finish the company registration, we will need to view Certificate of Incorporation. The following filetypes are accepted: .JPG, .PDF, .PNG.,XLS., max 2Gb 1 Upload Files or Drop Files Successfully uploaded [file name.PDF] View Delete Prequalification Certificate (Exhibit A) Open Exhibit Library Upload Files Successfully uploaded [file name.PDF] View Certificate of Conduct (Exhibit C) Open Exhibit Library C Reuse Exhibit Upload Files Successfully uploaded [file name.PDF] View Delete







Outstanding Feedback

Date raised Feature Area	Customer Comment	DB Response
06/07/2023 Capacity Market Register	 Feedback received via Sli-do survey and/or via wider customer feedback: Keen to see CMU ID and Applicant details a Component level to enable better filtering and analysis of the Capacity Market Register Interest in Delivery Year register alongside the main registers for easier visibility Consistency of the headers within the registers Interest in accessing register data via API or relational database format as well as CSV/XLS Separate the post code & Ordnance Survey Grid reference fields/columns Improve how we communicate changes to the structure of CMR Create a Data Dictionary to add use of CMR and how to interpret the information 	 Update 26th October We will investigate visibility of creating and publishing We will investigate visibility of creating and publishing We are reviewing options to implement a format that can be consistent across all registers even where the data item is not defined in the rules for that Auction. We will investigate visibility of creating and publishing a CSV format of the Register. It will not be possible to provide API/relational database formats within our first implementation, but this will be considered as a potential future improvement. We will investigate visibility of creating and publishing Feedback understood and we will improve how we communicate changes to give customers appropriate notice We will investigate visibility of creating and publishing as part of

Bidding Group Creation and Management

Feature Description

The creation of Bidding Groups is to enable Capacity Providers to manage successfully Prequalified CMUs in an amalgamated portfolio and assign 4 Authorised Individuals to a Bidding Company to receive their Auction credentials rather than having to have multiple Auction credentials for each Authorised Individual for an individual company

Customer Feedback Considered

- Existing Portal is difficult to understand the relevant steps to ensure a Bidding Group has been created
- Difficult process to allow a User to permitted to be an Authorised Individual
- Two separate process steps required within existing Portal to allow a User to be an Authorised Individual and then assigned to a relevant bidding company

High-level Requirements Proposed

- Users are able to replicate previously used Bidding Groups set-up for the previous two Capacity Auctions this will include Authorised Individuals
 assigned
- Streamlined approach to make creating a bidding company and assigning Authorised Individuals to the relevant bidding company
- Overview screen to highlight number of companies assigned, relevant Auction and number of Authorised Individuals

My EMR

CMU and Auction Management



Company CMUs

A complete list of all company CMU's that can be something something something.



My Applications & Opt-Out Notifications

Edit and update all your Auction applications related to this company.



Exhibit Library

All the Exhibits we require you to provide categorised by each CMU type.



Application Results

Body copy to be placed in here. Body copy to be placed in here.



Outstanding Activities

Submit required Information and or Documentation Post PQRD.



Delivery Body Notices

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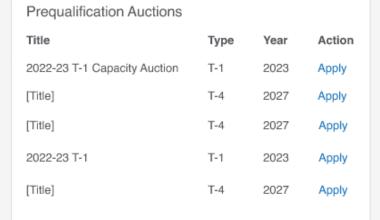
Management Activities

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Capacity Agreement Notices (CANs)

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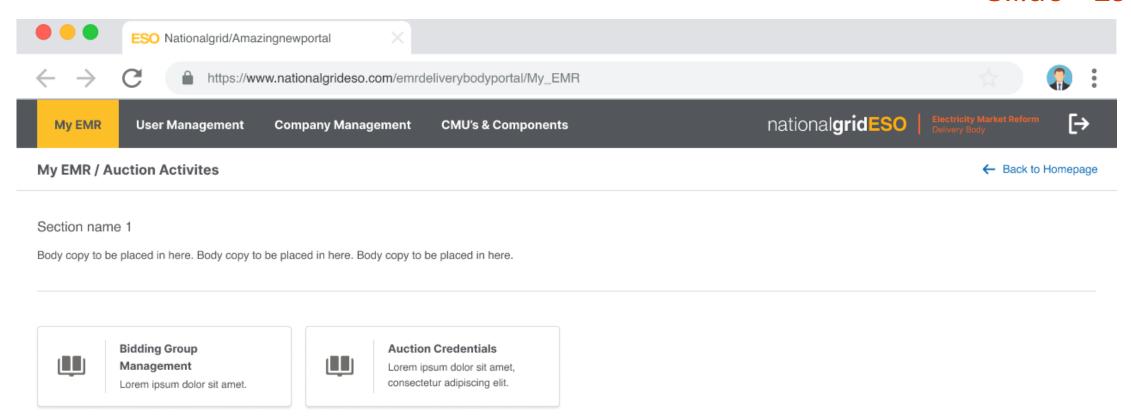


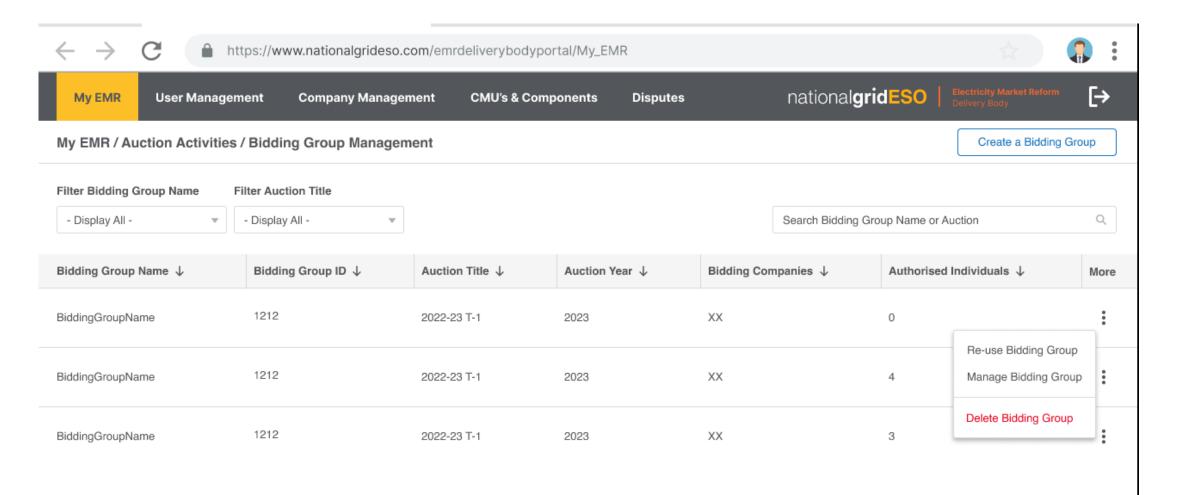
Secondary Trade	Entrant		
Title	Туре	Year	Action
2022-23 T-1 Capacity	Auction T-1	2023	Apply
[Title]	T-4	2027	Apply
[Title]	T-4	2027	Apply
2022-23 T-1	T-1	2023	Apply
[Title]	T-4	2027	Apply



Auction Activities

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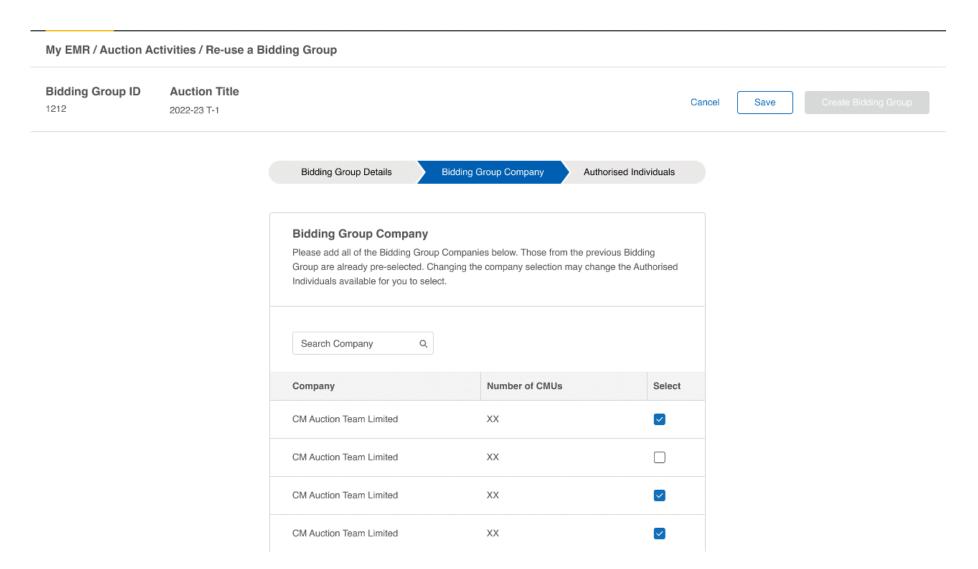


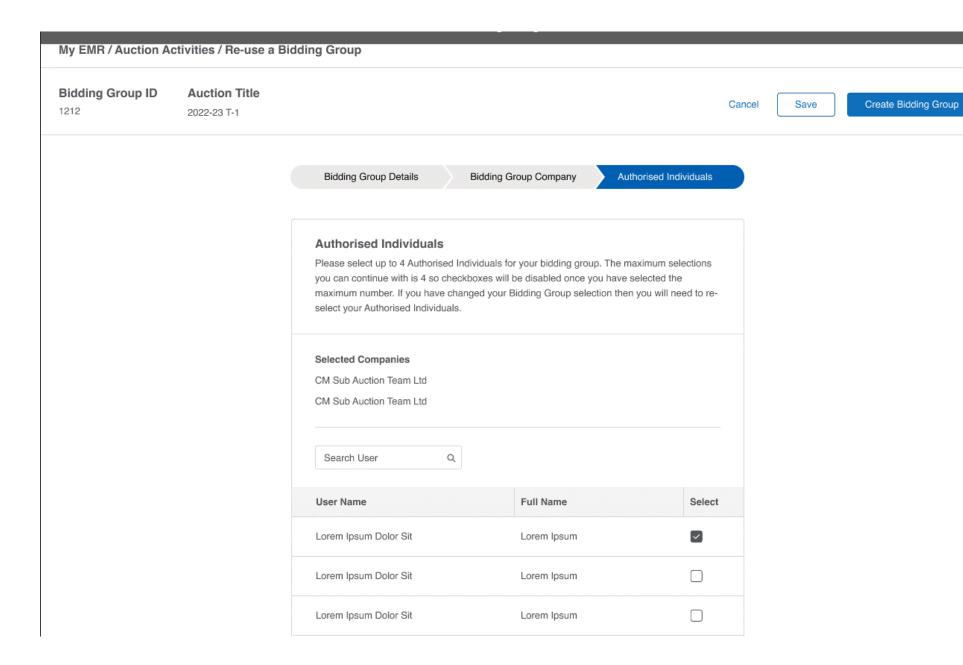
My EMR / Auction Activities / Re-use a Bidding Group

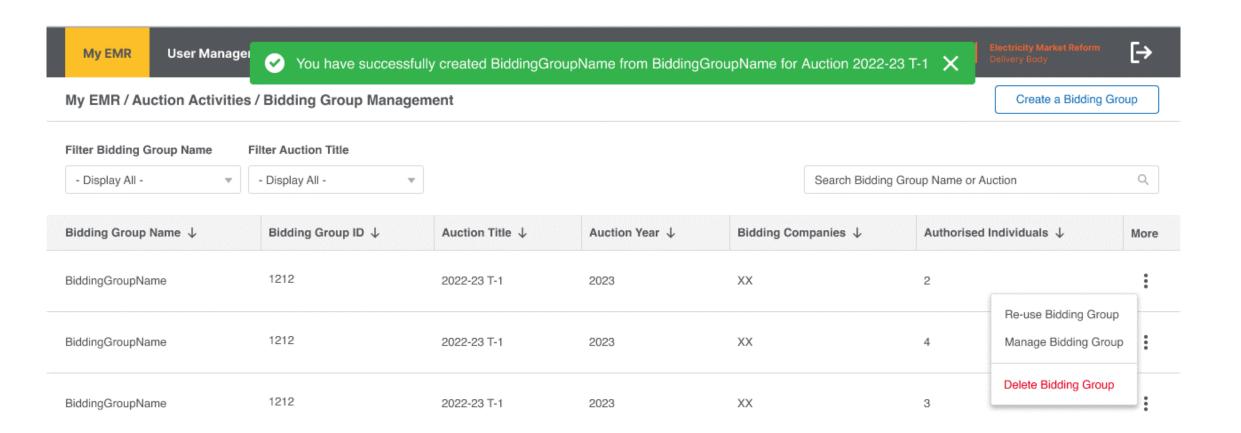
Bidding Group ID	Auction Title		
1212		Cancel	Create Bidding Group

Auction Title ↓	Auction Type ↓	Auction Year ↓	
2022-23 T-1	T-1	2023	Select
2022-23 T-1	T-4	2023	Select
2022-23 T-1	T-1	2023	Select
2022-23 T-1	T-4	2023	Select

My EMR / Auction Activity	ities / Re-use a Bidding Group				
	Auction Title 022-23 T-1		Cano	cel Save	Create Bidding Group
	Bidding Group Details	Bidding Group Company	Authorised Individuals		
	Bidding Group Compart Please add a new name and o	ny description for your Bidding Group be	elow.		
	Bidding Group Name BiddingGroupName		▼		
	Bidding Group Description Lorem ipsum				







Secondary Trading Approval/Rejection

Feature Description

Once a Secondary Trade has been assessed by the Delivery Body, the final decision of Approval/Rejection will be issued to the Transferor and Transferee. Where the decision is to Reject, the Delivery Body will provide a clear explanation of the reason for rejection.

Customer Feedback Considered

- Requires navigation from My Agreements area as opposed to isolated element which currently exists
- Clearer tracking of the Secondary Trade position needed

High-level Requirements Proposed

- Once a Secondary Trade has been submitted to the Delivery Body, the Trade will still be accessible to view details only from the Outstanding Activities List
- If the Secondary Trade is approved by the Delivery Body an e-mail notification will be sent to the Main Admin and Deputy Main Admin(s) of both the Transferor and Transferee informing them of the successful Trade
- The Approved Secondary Trade will have moved to the Completed Activities tab
- If the Secondary Trade is Rejected by the Delivery Body, as above, a notification will be sent to both the Main Admin and Deputy Main Admins of both the Transferor and Transferee.
- The Secondary Trade request will have moved to the Completed activities tab.

Agreement Management Disputes (CAN / CMR / Termination)

Feature Description

Chapter 1 Section 68 of the Capacity Market Rules allows a dispute to be raised against a 'Delivery Body Reviewable Decision'. Such decisions are defined as follows:

A **Prequalification Decision** (covered separately in an earlier session)

A refusal of a request for rectification of the Capacity Market Register (CMR) on the basis of Factual Inaccuracy

A refusal of a request to amend a Capacity Agreement Notice (CAN) on the basis of Factual Inaccuracy

The issue of a **Termination Notice**, or a **Notice of Intention To Terminate** a **Capacity Agreement**.

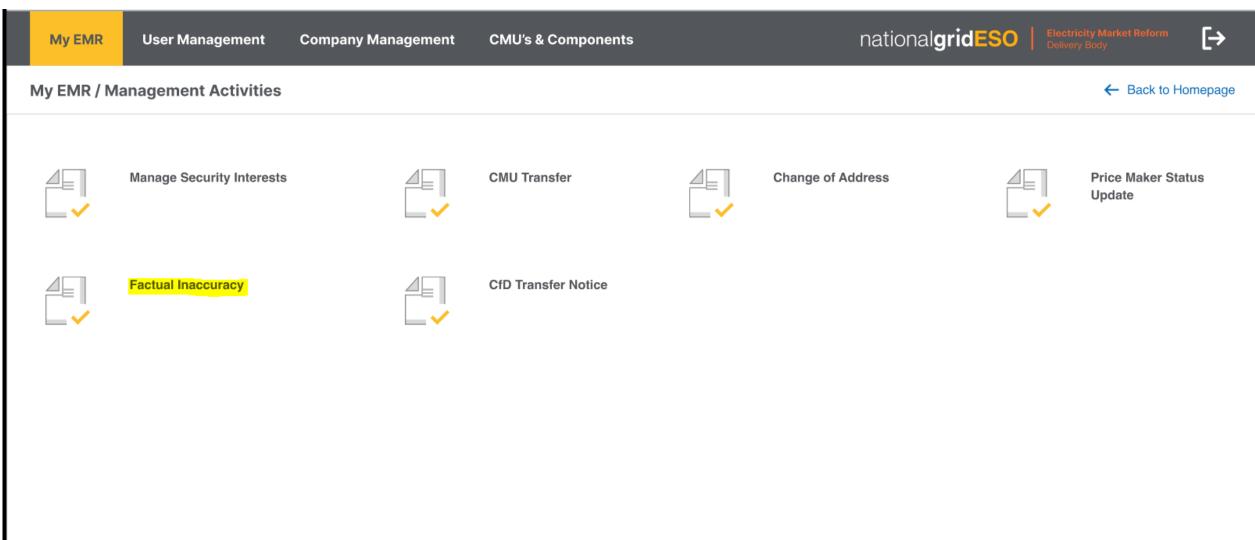
Customer Feedback Considered

- Not easy to track progress of disputes
- Very reliant on e mail notifications

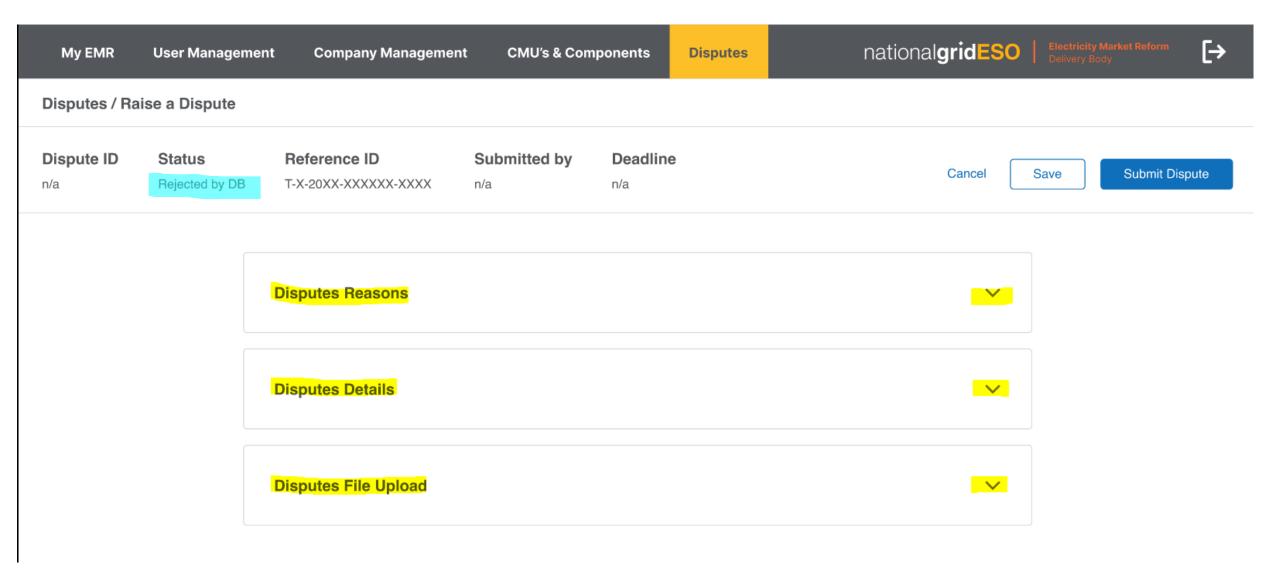
High-level Requirements Proposed

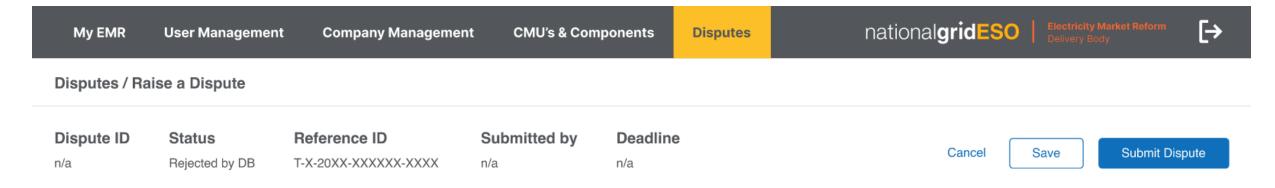
- The new portal provides the functionality to raise a dispute directly against the outcome of a Factual Inaccuracy for a CAN or CMR that has been rejected by the Delivery Body
- The new portal provides the functionality to raise a dispute directly against a Termination Notice in the portal
- The dispute process for a Notice of Intention To Terminate will be via the Secure Messaging which is how the notice will be issued for Day 1.

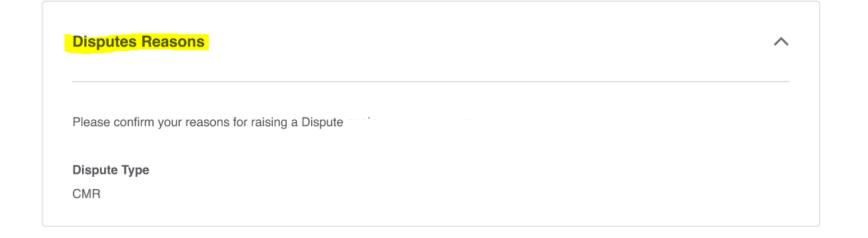
national**gridESO [**→ My EMR **User Management Company Management** CMU's & Components Disputes My EMR CMU and Auction Management **Prequalification Auctions** Title Year Action Type Company CMUs My Applications & Opt-Out Notifications 2022-23 T-1 Capacity Auction T-1 2023 Apply A complete list of all company CMU's that can be Edit and update all your Auction applications [Title] T-4 2027 Apply something something something. related to this company. 2027 [Title] T-4 Apply 2022-23 T-1 T-1 2023 Apply **Exhibit Library Application Results** All the Exhibits we require you to provide Body copy to be placed in here. Body copy to be [Title] T-4 2027 Apply categorised by each CMU type. placed in here. **Outstanding Activities Delivery Body Notices** Secondary Trade Entrant Submit required Information and or Body copy to be placed in here. Body copy to be Title Type Year Action placed in here. Documentation Post PQRD. 2022-23 T-1 Capacity Auction T-1 2023 Apply **Management Activities** [Title] T-4 2027 Apply Capacity Agreement Notices (CANs) Body copy to be placed in here. Body copy to be Body copy to be placed in here. Body copy to be T-4 Apply [Title] 2027 placed in here. placed in here. 2022-23 T-1 T-1 2023 Apply 2027 [Title] T-4 Apply



Create Factual Inaccuracy My EMR / Management Activities / Factual Inaccuracy Filter Factual Inaccuracy Type **Filter Submission Status** Q Search Factual Inaccuray - Display All -- Display All -Factual Inaccuracy Type ↓ Submission Status ↓ **Delivery Body Comments** ↓ Reference ID ↓ Date Created ↓ Action XX/XX/XXXX CAN T-3-2026-123456-0001 Submitted to DB First 25 characters... Raise a Dispute XX/XX/XXXX CAN T-3-2026-123456-0001 Submitted to DB First 25 characters... XX/XX/XXXX CMR T-3-2026-123456-0001 Accepted by DB First 25 characters... CAN Accepted by DB XX/XX/XXXX T-3-2026-123456-0001 First 25 characters...







Disputes Details

Please provide your Dispute Details in as much detail as possible in the 4 boxes below.

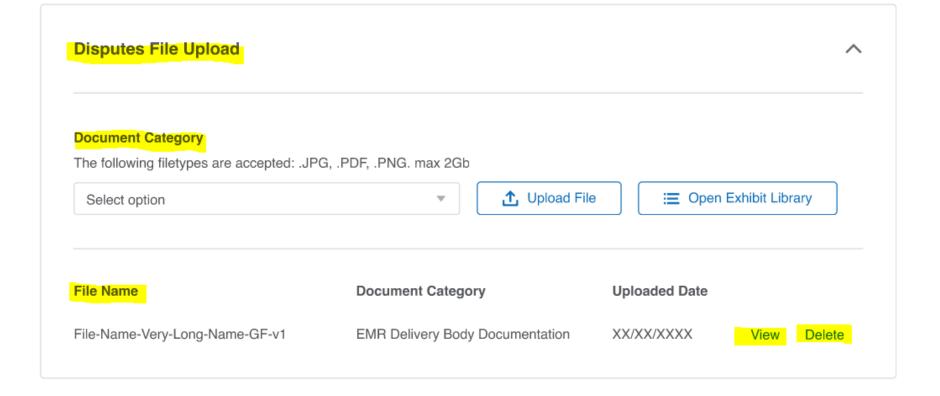
Item Under Dispute

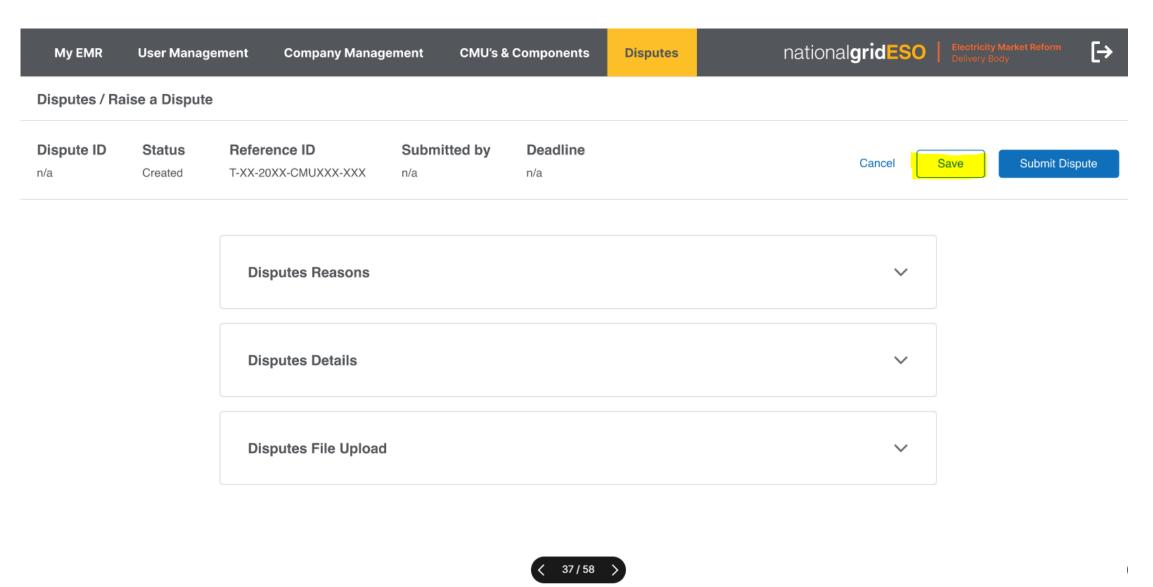
Please provide a concise statement identifying the relevant parts of the item under dispute.

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vidence for Item Und					
lease provide a concis	e statement of fact	ts upon which the a	ffected person relies	S.	
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rounds for Dispute					
rounds for Dispute lease provide a summ	ary of the grounds	for disputing the ite	m selected above.		
	ary of the grounds	for disputing the ite	m selected above.		
lease provide a summ	ary of the grounds	for disputing the ite	m selected above.		
lease provide a summ	ary of the grounds	for disputing the ite	m selected above.		
lease provide a summ	ary of the grounds	for disputing the ite	m selected above.		

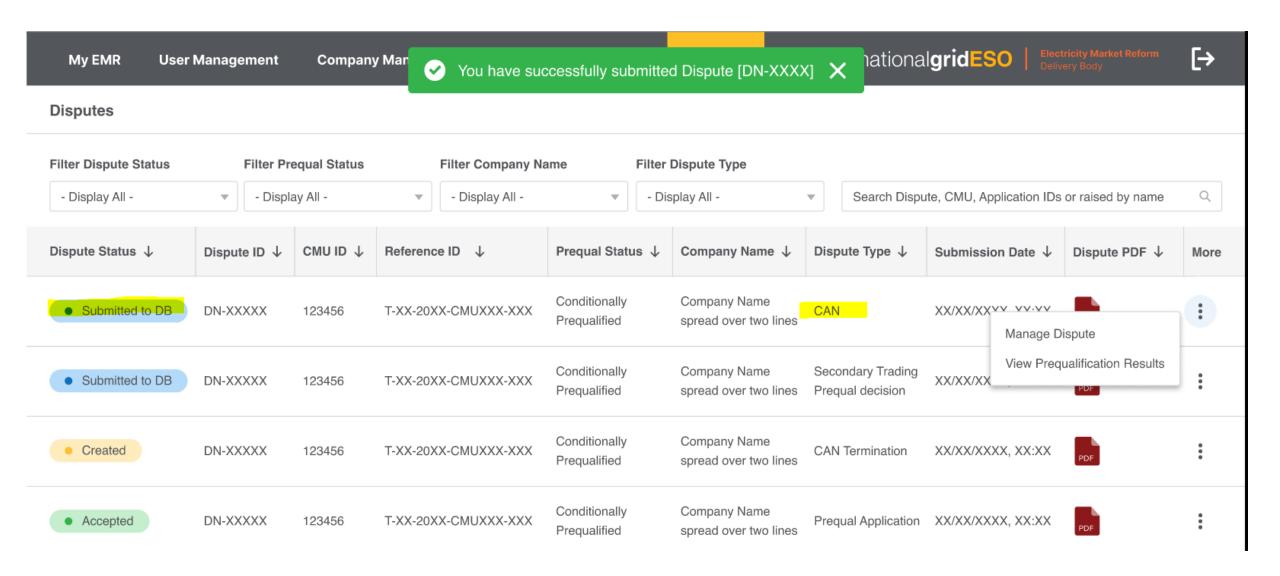
Evidence for Grounds for Dispute Please provide a succinct presentation of the arguments supporting each of the grounds for dispute. Lorem ipsum

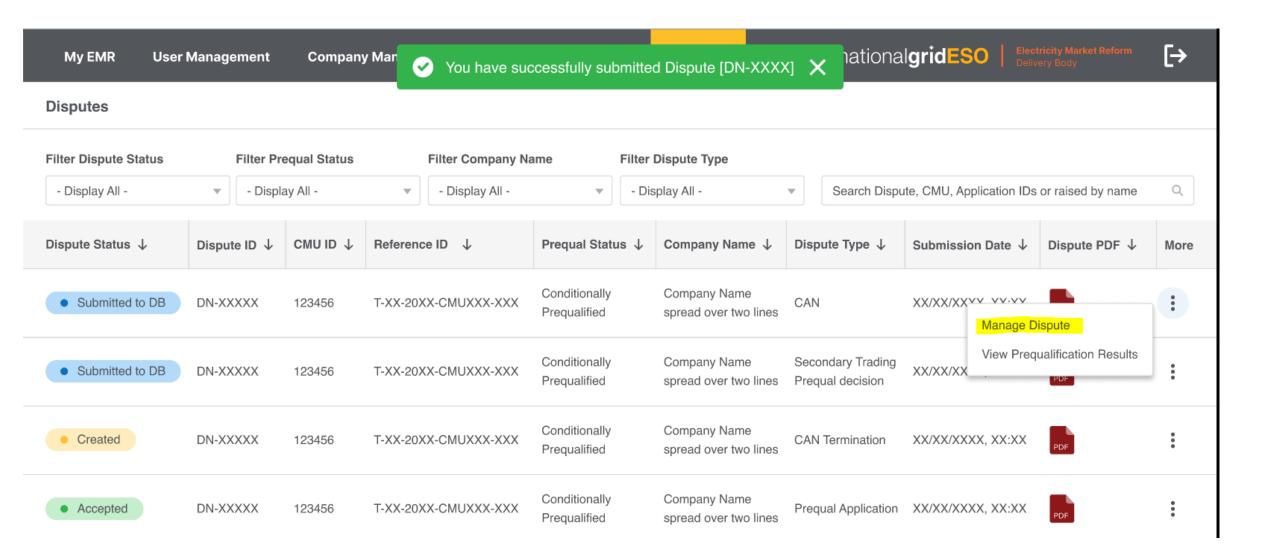


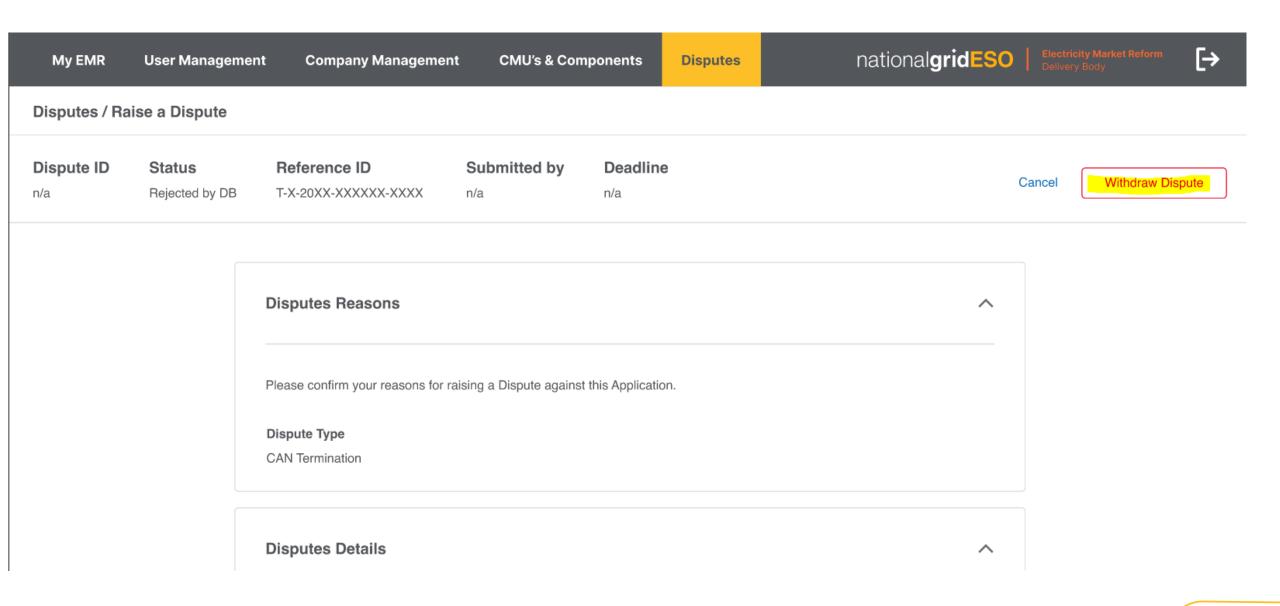


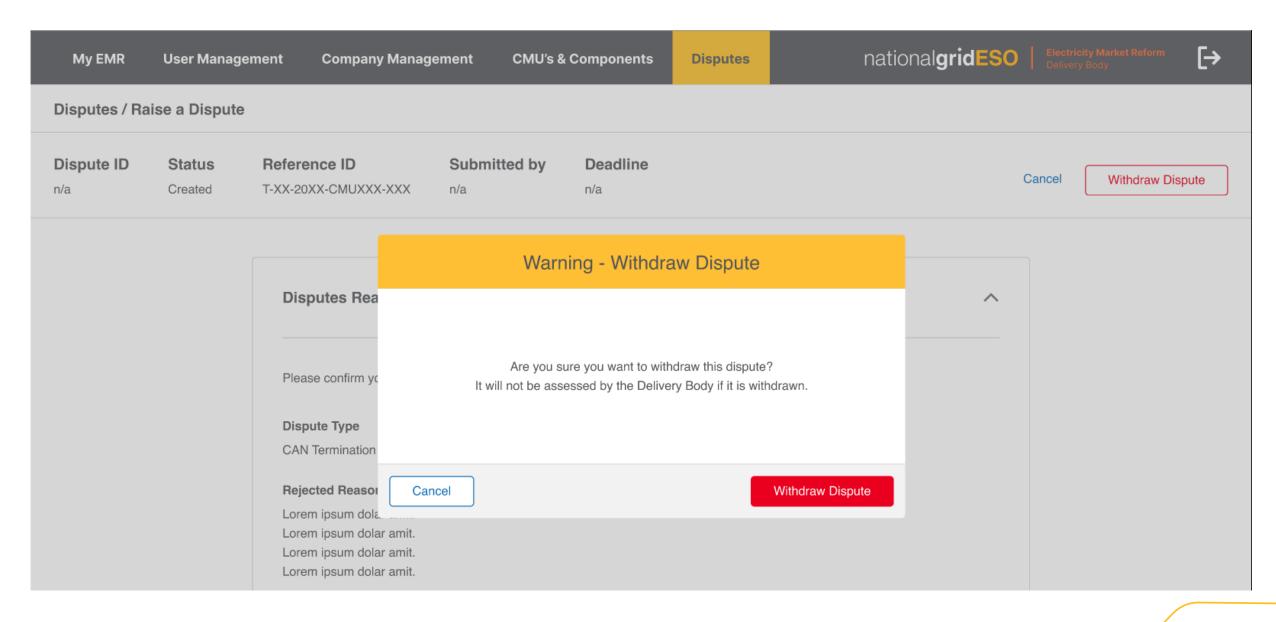
Disputes

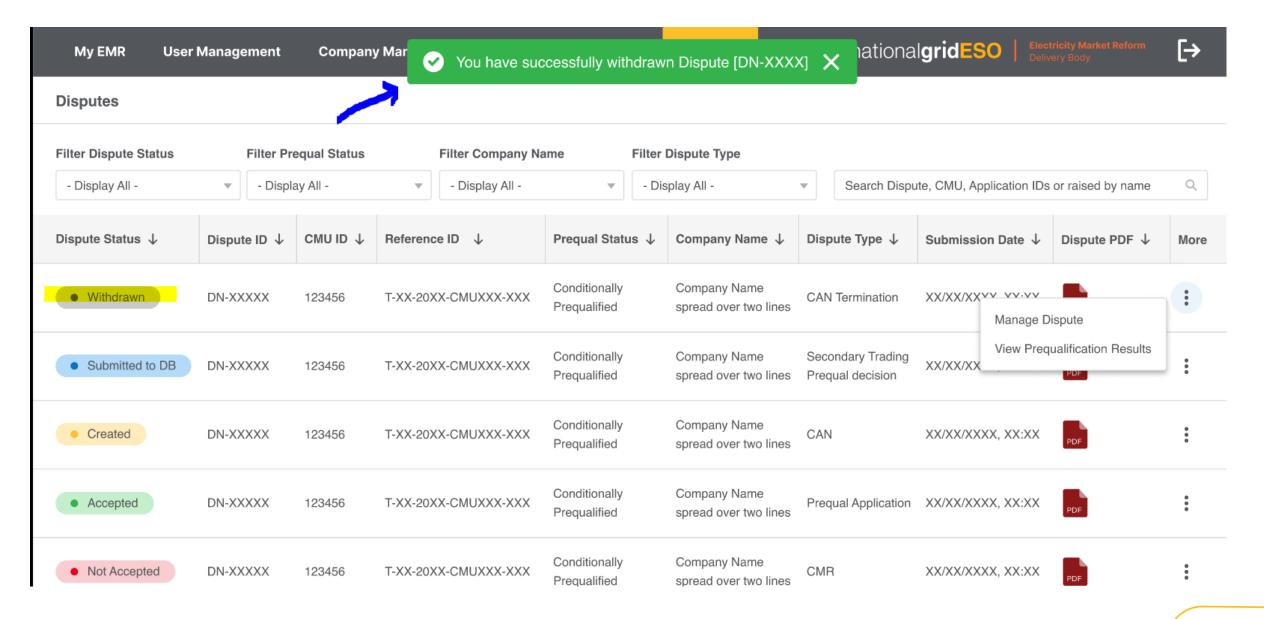
Filter Dispute Status	Filter Pre	equal Status	Filter Company Na	ame Filter	Dispute Type			
- Display All -	- Displa	ay All -	- Display All -	▼ - Dis	splay All -	▼ Search Dispu	ite, CMU, Application IDs or raised by name	Q
Dispute Status ↓	Dispute ID ↓	CMU ID ↓	Reference ID ↓	Prequal Status ↓	Company Name ↓	Dispute Type ↓	Submission Date ↓ Dispute PDF ↓	More
Submitted to DB	DN-XXXXX	123456	T-XX-20XX-CMUXXX-XXX	Conditionally Prequalified	Company Name spread over two lines	CAN Termination	XX/XX/XXVV VV.VV Manage Dispute	
Submitted to DB	DN-XXXXX	123456	T-XX-20XX-CMUXXX-XXX	Conditionally Prequalified	Company Name spread over two lines	Secondary Trading Prequal decision	View Prequalification Results XX/XX/XX	•
• Created	DN-XXXXX	123456	T-XX-20XX-CMUXXX-XXX	Conditionally Prequalified	Company Name spread over two lines	CAN	XX/XX/XXXX, XX:XX	
Accepted	DN-XXXXX	123456	T-XX-20XX-CMUXXX-XXX	Conditionally Prequalified	Company Name spread over two lines	Prequal Application	XX/XX/XXXX, XX:XX	

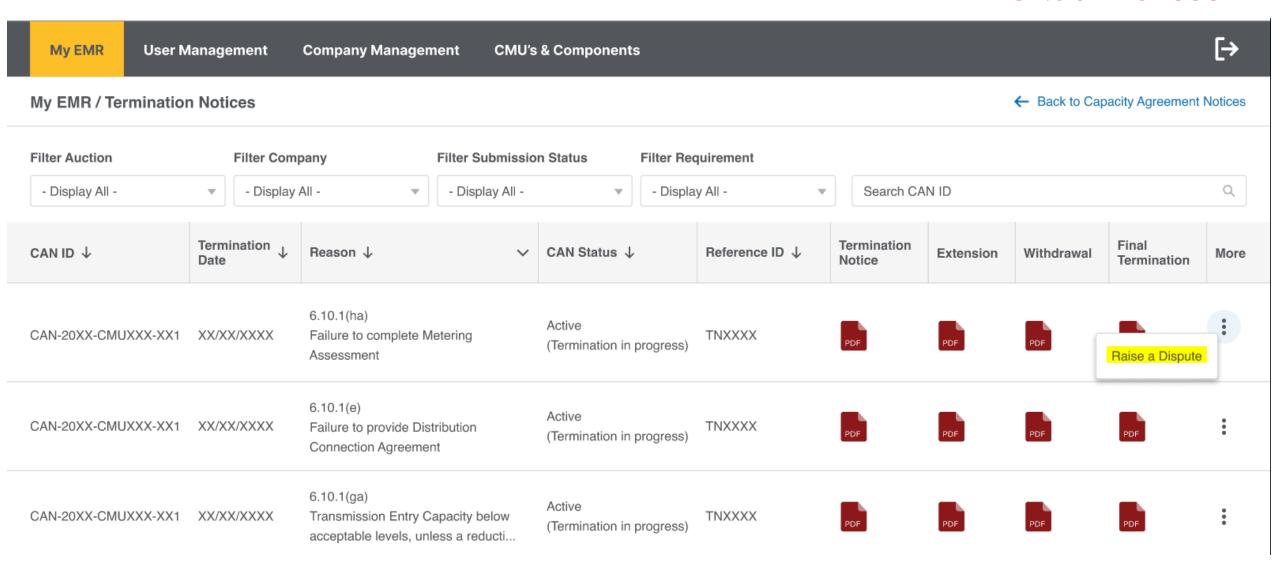


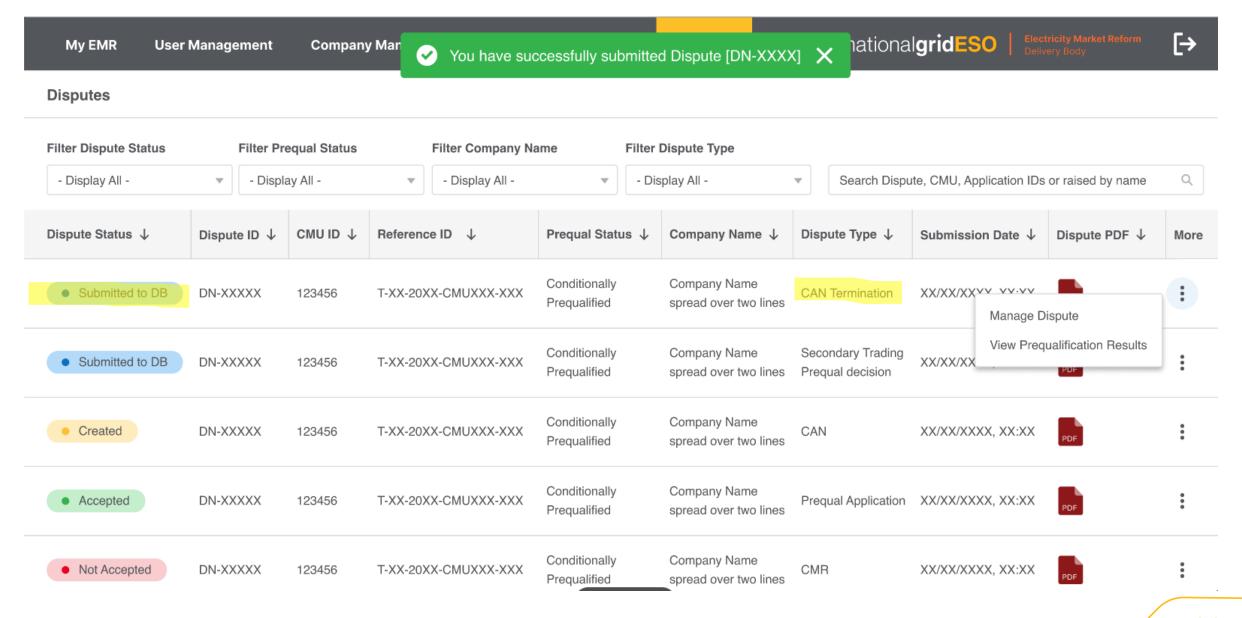












This dispute process that has been demonstrated relates to the first part of the dispute process outlined in the Capacity Market Regulations – Chapter 1 Deliver Body Decision:

69. Requesting reconsideration by the Delivery Body

If the outcome of the DB reconsideration is not to your satisfaction then you can refer to the following section of the Capacity Market Regulations to further escalate the dispute. This process will be done by you directly with the Authority and not through the new portal:

70. Appeals to the Authority

Volume Reallocation

Feature Description

Allowing Capacity Providers to register eligible CMUs for Volume Reallocation, and presenting them with a manageable list of registered CMUs. The Volume Reallocation Report will remain unchanged.

Customer Feedback Considered

- No easy way to see which CMUs are register for Volume Reallocation for a Delivery Year
- Each CMU has to be registered individually

High-level Requirements Proposed

- Clear pathway to Volume Reallocation registration
- Logic to ensure only eligible CMUs can be selected for Volume Reallocation
- Multiple CMUs can be registered and unregistered at the same time
- Give the customer the option to select which "version" of the CMU they wish to register when there have been multiple Applications submitted for the same Delivery Year
- An easy to view list of CMUs registered for Volume Reallocation for the selected Delivery Year

My EMR User Management Company Management CMU's & Components Disputes national grides | Electricity Market Reform Delivery Body

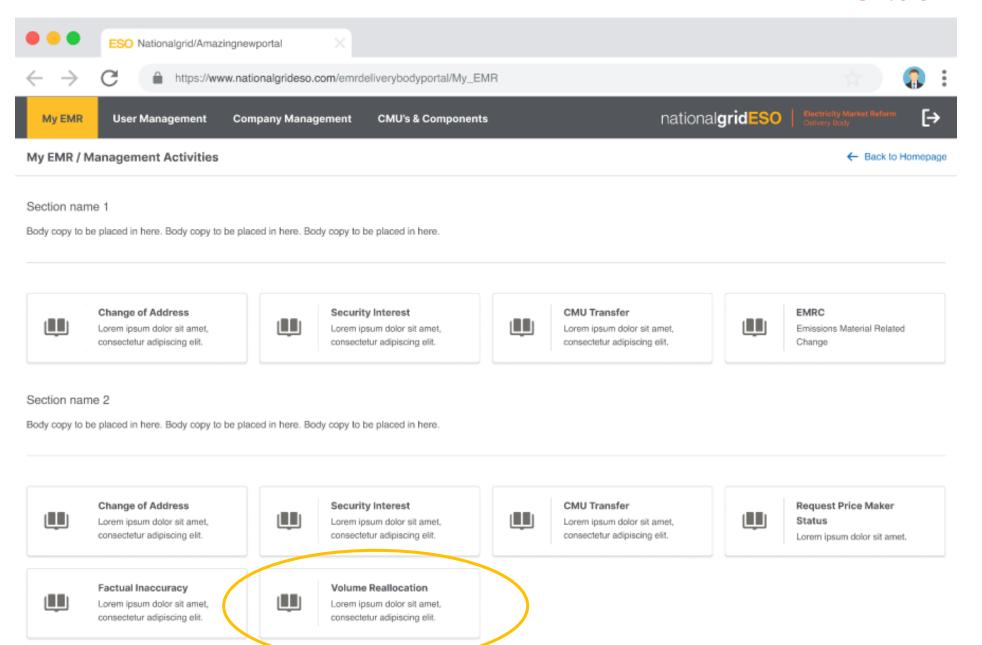
My EMR

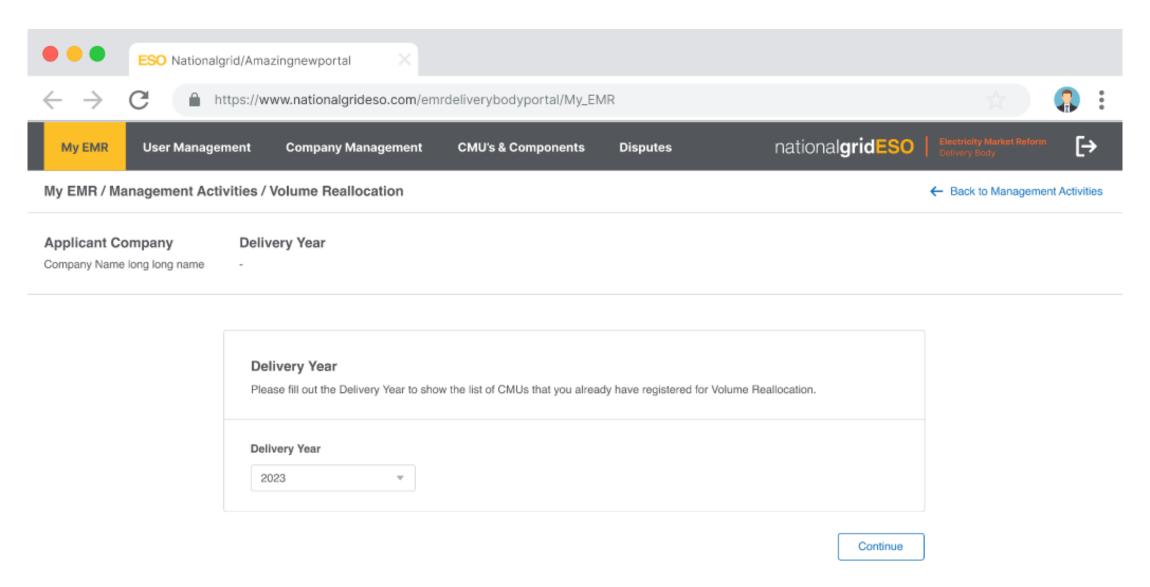
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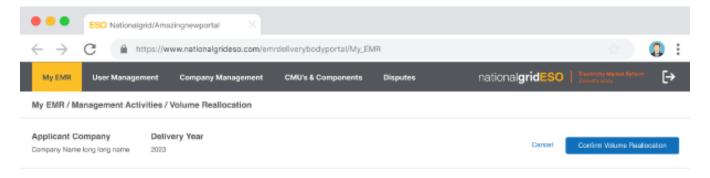
CMU and Auction Management Company CMUs My Applications & Opt-Out Notifications A complete list of all company CMU's that can be Edit and update all your Auction applications something something something. related to this company. **Exhibit Library** Application Results All the Exhibits we require you to provide Body copy to be placed in here. Body copy to be categorised by each CMU type. placed in here. **Outstanding Activities Delivery Body Notices** Submit required Information and or Body copy to be placed in here. Body copy to be placed in here. Decumentation Fost PQRD **Management Activities** Capacity Agreement Notices (CANs) Body copy to be placed in here. Body copy to be Body copy to be placed in here. Body copy to be placed in here. placed in here. **Auction Activities** Body copy to be placed in here. Body copy to be

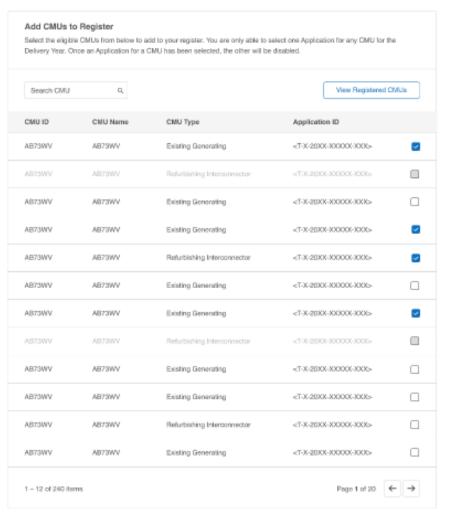
Туре	Year	Action
T-1	2023	Apply
T-4	2027	Apply
T-4	2027	Apply
T-1	2023	Apply
T-4	2027	Apply
	T-1 T-4 T-4	T-1 2023 T-4 2027 T-4 2027 T-1 2023

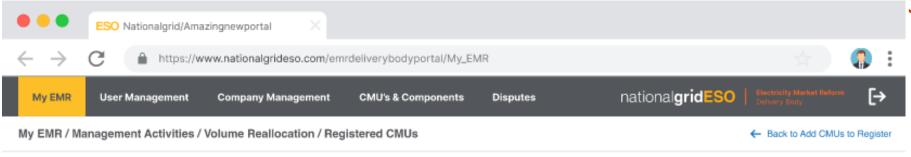
Secondary Trade Entrant			
Title	Туре	Year	Action
2022-23 T-1 Capacity Auction	T-1	2023	Apply
[Title]	T-4	2027	Apply
[Title]	T-4	2027	Apply
2022-23 T-1	T-1	2023	Apply
[Title]	T-4	2027	Apply





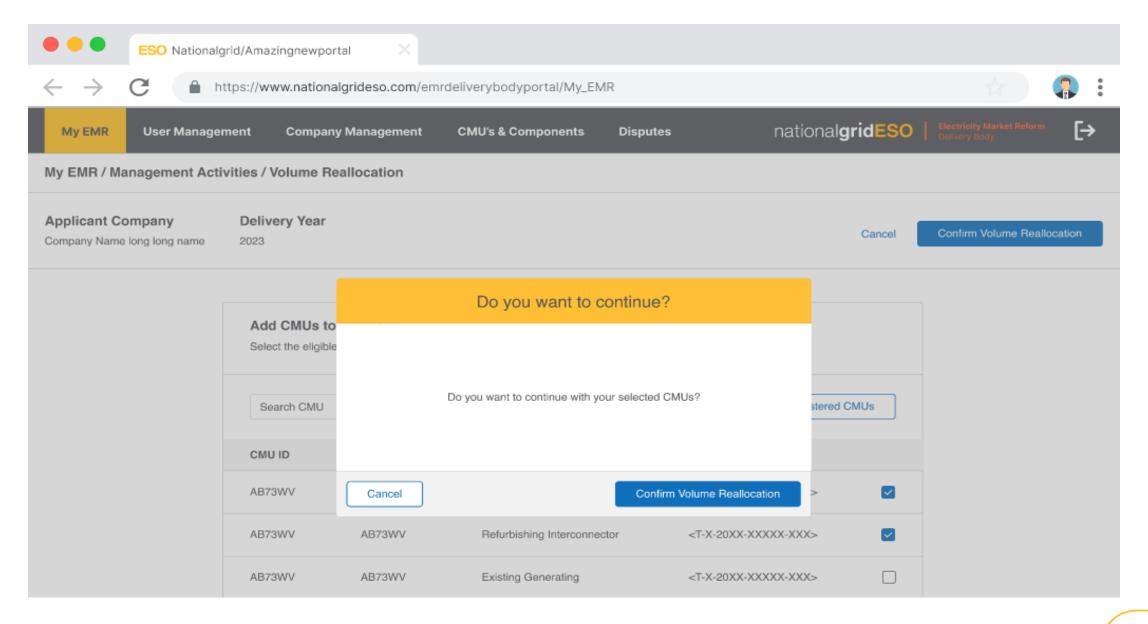


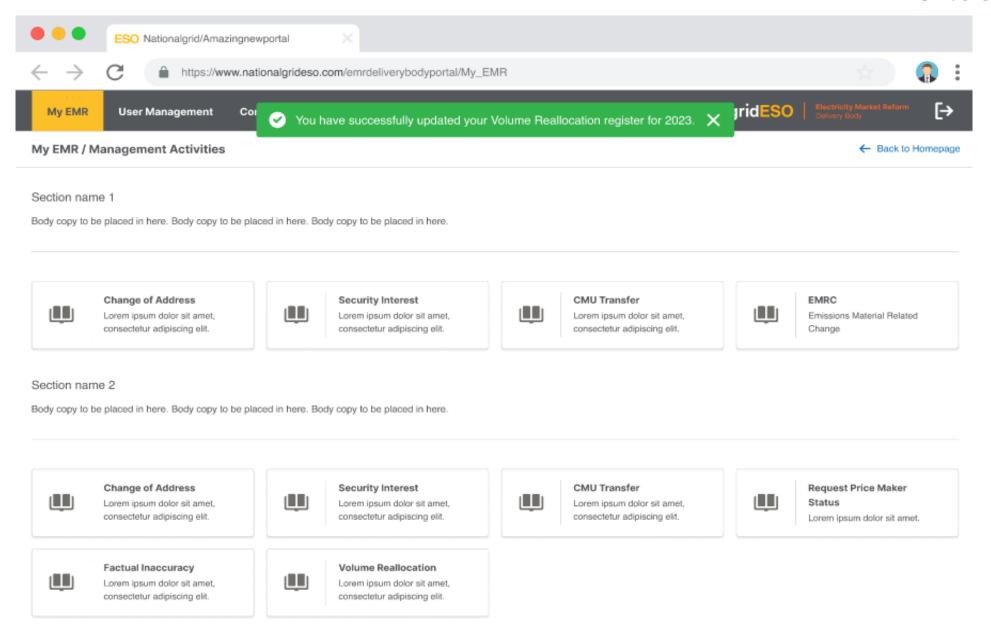




	Registered CMUs Below are the list of your registered CMUs, please remove any CMUs you wish to unregister.						
Search CMU	Q						
CMU ID	CMU Name	CMU Type	Application ID	Remove CMU			
AB73WV	AB73WV	Existing Generating	<t-x-20xx-xxxxxx-xxx></t-x-20xx-xxxxxx-xxx>				
AB73WV	AB73WV	Refurbishing Interconnector	<t-x-20xx-xxxxx-xxx></t-x-20xx-xxxxx-xxx>				
AB73WV	AB73WV	Existing Generating	<t-x-20xx-xxxxxx-xxx></t-x-20xx-xxxxxx-xxx>				
AB73WV	AB73WV	Existing Generating	<t-x-20xx-xxxxx-xxx></t-x-20xx-xxxxx-xxx>				
AB73WV	AB73WV	Refurbishing Interconnector	<t-x-20xx-xxxxx-xxx></t-x-20xx-xxxxx-xxx>				
AB73WV	AB73WV	Existing Generating	<t-x-20xx-xxxxxx-xxx></t-x-20xx-xxxxxx-xxx>				
AB73WV	AB73WV	Existing Generating	<t-x-20xx-xxxxxx-xxx></t-x-20xx-xxxxxx-xxx>				

Confirm Unregister





Any questions?

Please go to sli.do and enter the event code #2945357 or raise your hand on the call.



In addition to feedback received today, we are keen to hear from you with any additional feedback via Email box.newemr.itteam@nationalgrid.com

If you have any further views on the feature areas explored please contact me at Richard.Griffiths@Nationalgrideso.com

We are happy to continue to engage with you further on the topics we discussed today and look forward to demonstrating the delivery of the requirements in the New Portal in future sessions.

User Group Plan - next 6 months

Expected Scope	Requirements Playback	Familiarisation Demo
SPD, Extend and withdraw terminations, MCR, Construction Reports, ST entry application, Evergreen Exhibit, Exhibit Generation, Confirmation of Entry, Secondary Trade Transferor	Completed	October 17th & November 9th
Secondary Trading Transferee, Emissions ZB- ZA, Issue Final Termination, Dispute Results, PM/PT, SoS Changes, Digital Signature, 2023 Regulatory Requirements (Prequal), Emissions Related Material Change, Final Termination, CMU Cloning	Completed	January 2024
Secondary Trade Approval, Component Reallocation, Metering Information/ aggregation, CAN suspension, , Exhibit J Resubmit, EYC, MTC Invalidation, CAN termination dispute AI management, Bidding Group Management, CMU Bulk Uploads, Volume Reallocation Registration, Integrated Guidance.	August 17, September 21, October 26th & Early December	February 2024
End to End Testing of CM Functionality	We are planning a full end-to-end testing wind prior to go-live. We plan to discuss our propose session.	

Notes:. Some development items are broken down in to multiple development increments and will be played back and demonstrated at an appropriate time in the development process. The Delivery Body are working with the Electricity Settlements Company to review Metering related process options, which are likely to result in change in those processes.