

AMENDMENT REPORT

STC Proposed Amendment CA014 Change of Name for National Grid Company plc (NGC) and Consequential Amendments to the STC.

*The purpose of this report is to assist the Authority in their decision
of whether to implement Amendment Proposal CA014*

Amendment Ref	CA014
Issue	2.0
Date of Issue	
Prepared by	STC Committee

I DOCUMENT CONTROL**a National Grid Document Control**

Version	Date	Author	Change Reference
0.1	29/07/05	STC Committee	Draft for STC Committee
1.0	09/08/05	STC Committee	Draft for Industry Consultation
2.0		STC Committee	Formal version for submission to Authority

b Document Location

National Grid Website:

<http://www.nationalgrid.com/uk/indinfo/stc>

c Distribution

Name	Organisation
The Gas and Electricity Markets Authority	Ofgem
STC Parties	Various
Interested Parties	Various
Core Industry Document Owners	Various
National Grid Industry Information Website	

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1 SUMMARY AND RECOMMENDATION

- 1.1.1 Code Amendment CA014 proposes to modify the STC to reflect the new registered name of National Grid Company plc (NGC). The proposal recommends that the definition of NGC currently used and all occurrences of the term within the STC are updated to reflect the change of name to National Grid Electricity Transmission plc (NGET).
- 1.1.2 Amendment Proposal CA014 was proposed by National Grid and submitted for consideration to the STC Committee Meeting on Tuesday, 19th July 2005.
- 1.1.3 The STC Committee has discussed Proposed Amendment CA014 and has recommended that it proceed to the Assessment and Report Phase.

1.2 STC Committee Recommendation

- 1.2.1 The STC Committee recommends that CA014 be approved for implementation.
- 1.2.2 Should the Authority approve Amendment Proposal CA014, it is recommended that the STC be modified 5 business days after the Authority's decision.

2 PURPOSE AND SCOPE OF THE REPORT

- 2.1.1 This Proposed Amendment Report has been prepared and issued by the STC Committee under the rules and procedures specified in the System Operator – Transmission Owner Code (STC) as designated by the Secretary of State. It addresses issues relating to the usage of the term NGC within the STC.
- 2.1.2 Further to the submission of Amendment Proposal CA014 (see Annex 1), and the subsequent wider industry consultation that was undertaken by the STC Committee, this document is addressed and furnished to the Gas and Electricity Markets Authority ("the Authority") in order to assist them in their decision whether to implement Amendment Proposal CA014.
- 2.1.3 This document outlines the nature of the changes that are proposed. It incorporates STC Committee's recommendations concerning the Amendment.
- 2.1.4 This Amendment Report has been prepared in accordance with the terms of the STC. An electronic copy can be found on the National Grid Industry Information website, at <http://www.nationalgrid.com/uk/indinfo/stc>

3 THE PROPOSED AMENDMENT

3.1 The Proposed Amendment

- 3.1.1 A motion was put forward at the National Grid Transco Annual General Meeting, held on 25th July 2005, which sought shareholder approval to change the name of 'National Grid Transco plc' to 'National Grid plc'. Following any approval of this motion it was also noted that certain subsidiary company names including that of 'National Grid Company plc', the holder of the Transmission Licence, would also subsequently be amended.

- 3.1.2 The name 'National Grid Company plc' was proposed to be changed due to the potential similarity between the new group name 'National Grid plc' and 'National Grid Company plc'. The proposals therefore allowed that should the group name be changed so 'National Grid Company plc' would be simultaneously be renamed 'National Grid Electricity Transmission plc'.
- 3.1.3 CA014 was submitted to the July's meeting of the STC Committee prior to the outcome of the motion to change the group name being known. The motion was subsequently approved by NGT shareholders and with effect from 27th July, the group has been known as 'National Grid plc'. CA014 therefore proposes that in accordance with the changes to company names the definition of "NGC" currently used within the STC and all occurrences of it within the STC are updated to reflect the revised company name namely "NGET".

4 ALTERNATIVE AMENDMENTS

- 4.1.1 There were no alternative amendments submitted for CA014.

5 EVALUATION PHASE

- 5.1.1 The STC Committee has considered Proposed Amendment CA014 and believes that no further information is required in order that the Parties may assess the Proposed Amendment. The Evaluation Phase is therefore not required for Amendment Proposal CA014.

6 STC PARTIES' ASSESSMENTS

- 6.1.1 This section contains a summary of the views and representations made by STC Parties during the Assessment Phase in respect of the Proposed Amendments, in accordance with Section B, Paragraph 7.2.5.2 of the STC.

6.2 *National Grid View*

- 6.2.1 National Grid is supportive of Amendment Proposal CA014. The implementation of the proposed amendments will not have any impact on National Grid's Transmission System or IS Systems. No additional works or monies will be required to implement the proposed changes

6.3 *Scottish Hydro-Electric Transmission Limited (SHETL) View*

- 6.3.1 SHETL is supportive of Amendment Proposal CA014. The implementation of the proposed amendments will not have any impact on SHETL's Transmission System or IS Systems. No additional works or monies will be required to implement the proposed changes.

6.4 *SP Transmission Limited (SPT) View*

- 6.4.1 SPT is supportive of Amendment Proposal CA014. The implementation of the proposed amendments will not have any impact on SPTL's Transmission System or IS Systems. No additional works or monies will be required to implement the proposed changes.

7 IMPACT ON THE STC/STCPs

- 7.1.1 The Proposed Amendments would require changes to existing STC terminology and to Section J (Interpretations and Definitions).

- 7.1.2 The text required to give effect to the Proposed Amendments is contained at Annex 2 of this document.
- 7.1.3 All references to NGC that currently exist within the STCPs (including logos, letter headings) will have to be updated to reflect the new Transmission Licence name and branding. Proposed changes to the STCPs would be processed in accordance with Section B, paragraph 7.3 of the STC.

8 IMPACT ON CORE INDUSTRY DOCUMENTS

- 8.1.1 The Proposed Amendment will have no impact on Core Industry Documents or other industry documentation or require any changes to computer systems established under Core Industry Documents.

9 STC COMMITTEE VIEWS & RECOMMENDATION

- 9.1.1 The STC Committee believes that altering the STC on the basis of the amendment represented by CA014 would aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC.
- 9.1.2 The STC Committee therefore recommends that Amendment Proposal CA014 should be approved for implementation to retain consistency and improve clarity and hence enable the STC Parties to more efficiently discharge their licence obligations.

10 IMPLEMENTATION AND TIMESCALES

- 10.1.1 Should the Authority approve Amendment Proposal CA014, it is recommended that the STC be modified 5 business days after the Authority's decision.

11 VIEWS AND REPRESENTATIONS

- 11.1.1 Views were invited from industry parties upon the Amendment contained within this Proposed Amendment Report.
- 11.1.2 The STC Committee received 0 responses following the publication of the Proposed Amendment Report.

Annex 1 – Amendment Proposal Form

STC Amendment Proposal Form - CA014

<p>1. <u>Title of Amendment Proposal</u> Change of Company Name for National Grid Company plc (NGC) and consequential Amendments to the STC.</p>
<p>2. <u>Description of the Proposed Amendment</u> <i>(mandatory field)</i> Subject to National Grid Transco shareholder approval of the motion to change the name of the National Grid Transco to National Grid, this amendment proposes that the STC be modified to reflect the subsequent new name – National Grid Electricity Transmission plc (NGET) - for the holder of the Transmission Licence. This proposal proposes that the definition of NGC currently used within the STC and all occurrences of it within the STC are therefore updated.</p>
<p>3. <u>Description of Issue or Defect that Proposed Amendment seeks to Address</u> <i>(mandatory field)</i> A motion has been submitted to National Grid Transco’s Annual General Meeting (to be held on 25th July), requesting shareholder approval to change the name of the group. If the motion were approved, as of 26th July, the group would be known as “National Grid plc”.</p> <p>Due to the potential similarity between the group name “National Grid plc” and the holder of the Transmission Licence, “National Grid Company plc”, at the same time as the group name is changed, “National Grid Company plc” will be renamed “National Grid Electricity Transmission plc”.</p> <p>Subject to shareholder approval of the change to the group name and the consequential change in name of National Grid Company plc, it is proposed that the STC be amended accordingly to reflect the revised company identities.</p>
<p>4. <u>Impact on the STC</u> <i>(Information should be given where possible)</i> Changes to existing Terminology</p> <ul style="list-style-type: none"> Replacing the use of NGC with that of NGET throughout the STC <p>Changes to Section J (Interpretation and Definitions)</p> <ul style="list-style-type: none"> The definition of NGC to be amended to reflect the new company name in the following manner: <p style="padding-left: 40px;">NGET National Grid Electricity Transmission plc (No 2366977) whose registered office is at 1-3 Strand, London, WC2N 5EH;</p>
<p>5. <u>Impact upon the STCPs</u> Should this Amendment be approved consequential changes to the STCPs will be required. Any reference to NGC would have to be changed to NGET. These changes would be approved by the STC Committee through the normal STCP Amendment process.</p>
<p>6. <u>Impact on other frameworks e.g. CUSC, BSC</u> <i>(information should be given where possible)</i> None</p>
<p>7. <u>Impact on Core Industry Documentation</u> <i>(information should be given where possible)</i> None</p>
<p>8. <u>Impact on Computer Systems and Processes used by STC Parties</u> <i>(information should be given where possible)</i> None</p>

9. Details of any Related Modifications to Other Industry Codes (where known)

None

10. Justification for Proposed Amendment with Reference to Applicable STC Objectives (mandatory field)

The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the STC, including the modification procedures themselves so as to better facilitate achievement of the Applicable STC Objectives. Referring to the new Transmission Licence name throughout the STC would aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC.

Details of Proposer Organisation's Name	National Grid Company plc
Capacity in which the Amendment is being proposed (i.e. STC Party or other Party as designated by the Authority pursuant to STC section B7.2.2.1 (b))	STC Party
Details of Proposer's Representative Name Organisation Telephone Number Email Address	Mark Duffield National Grid Company plc 01926 654971 mark.duffield@ngtuk.com
Details of Representative's Alternate Name Organisation Telephone Number Email Address	Ben Graff National Grid Company plc 01926 656312 ben.graff@ngtuk.com
Attachments (Yes/No): If yes, title and number of pages of each attachment:	

Notes:

1. Those wishing to propose an Amendment to the STC should do so by filling in this "Amendment Proposal Form" that is based on the provisions contained in Section 7.2 of the STC.
2. The Committee Secretary will check that the form has been completed, in accordance with the requirements of the STC, prior to submitting it to the Committee. If the Committee Secretary accepts the Amendment Proposal form as complete, then she/he will write back to the Proposer informing them of the reference number for the Amendment Proposal and the date on which the Committee will consider the Proposal. If, in the opinion of the Committee Secretary, the form fails to provide the information required in the STC, then he/she may reject the Proposal. The Committee Secretary will inform the Proposer of the rejection and report the matter to the Committee at their next meeting. The Committee can reverse the Committee Secretary's decision and if this happens the Committee Secretary will inform the Proposer.

The completed form should be returned to:

Lilian Macleod
STC Committee Secretary
Commercial Frameworks
National Grid Company plc
NGT House
Warwick Technology Park
Gallows Hill
Warwick, CV34 6DA

Or via e-mail to: STCTeam@uk.ngrid.com

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Annex 2 – Proposed Text to modify STC

Part A - Text to give effect to the Proposed Amendment

Conformed Version

Changes to existing Terminology

- Replacing the use of NGC with that of NGET throughout the STC

Changes to Section J (Interpretation and Definitions)

- The definition of NGC to be amended to reflect the new company name in the following manner:

~~NGC~~ **NGET** National Grid **Electricity Transmission** Company plc (No 2366977)
whose registered office is at 1-3 Strand, London, WC2N 5EH;

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