

# CUSC Key Performance Indicators October 2010

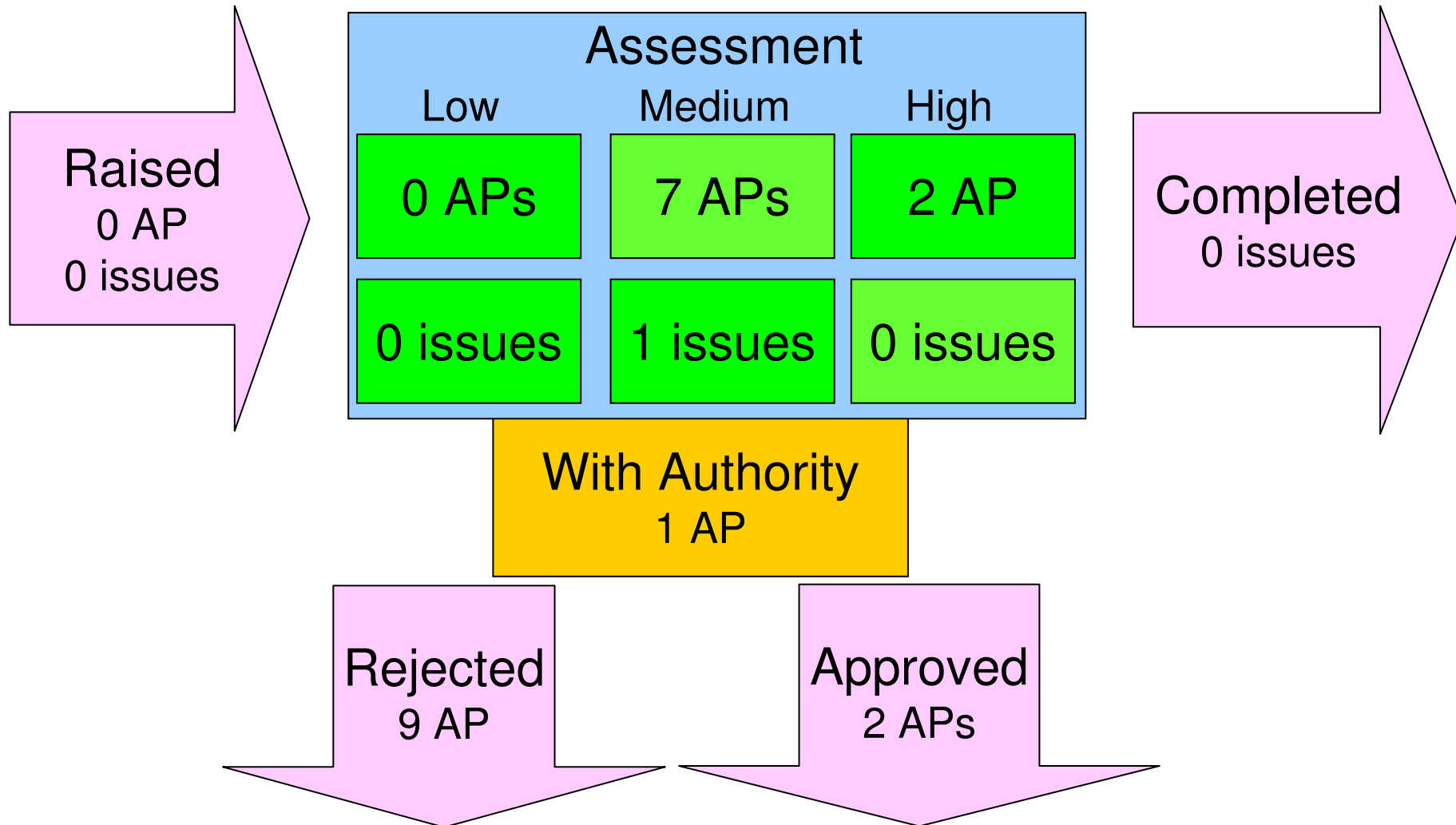


## Introduction

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- CUSC KPIs were introduced in October 2009
- The KPIs cover two areas:
  - Amendments KPIs – to monitor progression of proposals through the amendments process
  - Amendments Panel KPIs – to measure performance of administration of the Panel
- The KPIs are reported to the Panel each month, on a retrospective basis

# CUSC Amendment KPIs – October 2010 (see later slides for details)



## Assessment difficulty

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Points awarded (1 for each)

- Major reform
- Complex area (e.g. Gas Insulated Switchgear, Reactive)
- Working group required
- Urgent
- More than one alternative solution likely
- Cross code issue

	Low	Medium	High
Scoring	0	1-3	4+

# Assessment traffic lights

	Time	Quality
<b>Green</b>	Number of Amendment Proposals (APs) taking equal to or less time than initial timescales agreed by Panel	Panel accepts Working Group recommendation to move to Company consultation
<b>Amber</b>	Number of APs taking longer than initial timescales agreed by Panel, but variation agreed by Panel Members	Panel rejects Working Group recommendation to move to Company consultation but not due to failure of analysis, Terms of Reference, process or presentation
<b>Red</b>	Number of APs taking longer than initial timescales agreed by Panel, with no agreed variation by Panel Members	Panel rejects Working Group recommendation to move to Company consultation due to failure of analysis, Terms of Reference, process or presentation

**Implementation:** Green as per agreed timescales  
Red later than agreed timescales

# Amendments in Assessment Phase from date raised to date sent to Authority for decision

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- CAP179 – Prevention of “Timing Out” of Authority decisions on Amendment Proposals
    - Date raised: 21<sup>st</sup> January 2010
    - Date Panel decision to Working Group: 29<sup>th</sup> January 2010
    - 2<sup>nd</sup> Working Group consultation was issued on 27<sup>th</sup> September for two weeks
    - Final Working Group report was presented to the 29<sup>th</sup> October 2010 meeting
    - Company Consultation was issued on the 1<sup>st</sup> November for two weeks
  - CAP181– Consequential changes related to Grid Code Amendment A/10 (Compliance)
    - Date raised: 18<sup>th</sup> February 2010
    - Date Panel decision to Working Group: 26<sup>th</sup> February 2010
    - Working Group consultation released 23<sup>rd</sup> June 2010 with a closing date of 7<sup>th</sup> July 2010, six responses were received
    - Currently on hold and update at November CUSC Amendments Panel due to a second consultation being proposed for the Grid Code Amendment

# Amendments in Assessment Phase from date raised to date sent to Authority for decision

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- CAP182 – Provision of Frequency Response from Direct Current (DC) Converters
  - Date raised: 13<sup>th</sup> May 2010
  - Date Panel decision to Working Group: 21<sup>st</sup> May 2010
  - Working Group consultation released 16<sup>th</sup> July 2010 with a closing date of 5<sup>th</sup> August
  - Working Group report to be presented to the November CUSC Amendments panel

# Amendments in Assessment Phase from date raised to date sent to Authority for decision

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- CAP183 – Code Governance Review: Significant Code Review (SCR)
    - Date raised: 2<sup>nd</sup> July 2010
    - Date Panel decision to Working Group: 9<sup>th</sup> July 2010
    - Working Group consultation released 4<sup>th</sup> August 2010 with a closing date of 25<sup>th</sup> August
    - Seven responses received, Working Group Report was presented to the CUSC Amendments Panel on 24 September 2010
    - CUSC Panel recommendation vote held on 29<sup>th</sup> October 2010
    - Final Amendment report to be submitted to the Authority on 9<sup>th</sup> November 2010



# Amendments in Assessment Phase from date raised to date sent to Authority for decision

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- CAP184 – Code Governance Review: Self Governance
  - Date raised: 2<sup>nd</sup> July 2010
  - Date Panel decision to Working Group: 9<sup>th</sup> July 2010
  - Working Group consultation released 4<sup>th</sup> August 2010 with a closing date of 25<sup>th</sup> August
  - Seven responses received, Working Group Report was presented to the CUSC Amendments Panel on 24 September 2010
  - CUSC Panel recommendation vote held on 29<sup>th</sup> October 2010
  - Final Amendment report to be submitted to the Authority on 9<sup>th</sup> November 2010

# Amendments in Assessment Phase from date raised to date sent to Authority for decision

- CAP185 – Code Governance Review: Role of Code Administrator and Code Administration Code of Practice
  - Date raised: 2<sup>nd</sup> July 2010
  - Date Panel decision to Working Group: 9<sup>th</sup> July 2010
  - Working Group consultation released 4<sup>th</sup> August 2010 with a closing date of 25<sup>th</sup> August
  - Seven responses received, Working Group Report was presented to the CUSC Amendments Panel on 24 September 2010
  - CUSC Panel recommendation vote held on 29<sup>th</sup> October 2010
  - Final Amendment report to be submitted to the Authority on 9<sup>th</sup> November 2010

# Amendments in Assessment Phase from date raised to date sent to Authority for decision

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- CAP188 – Code Governance Review: Governance of Charging Methodologies
    - Date raised: 2<sup>nd</sup> July 2010
    - Date Panel decision to Working Group: 9<sup>th</sup> July 2010
    - Working Group consultation released 4<sup>th</sup> August 2010 with a closing date of 25<sup>th</sup> August
    - Seven responses received, Working Group Report was presented to the CUSC Amendments Panel on 24 September 2010
    - CUSC Panel recommendation vote held on 29<sup>th</sup> October 2010
    - Final Amendment report to be submitted to the Authority on 9<sup>th</sup> November 2010

# Amendments in Assessment Phase from date raised to date sent to Authority for decision

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- CAP189 – Standard Gas Insulated Switchgear Ownership Boundaries
  - Date raised: 22<sup>nd</sup> July 2010
  - Date Panel decision to Working Group: 30<sup>th</sup> July 2010
  - Working Group meeting held on 28<sup>th</sup> September 2010
  - Second Working Group to be arranged, awaiting legal text drafting

# Amendments in Assessment Phase from date raised to date sent to Authority for decision

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- CAP190 – Two Thirds Majority Voting requirement for CUSC Panel recommendations on Amendments arising from Licence obligations Authority requests or obligations
  - Date raised: 26<sup>th</sup> August 2010
  - Date Panel decision to Working Group: 3<sup>rd</sup> September 2010
  - Working Group meeting held on 23<sup>rd</sup> September 2010
  - Joint BSC P264/CAP190 telephone conference meeting held on 27<sup>th</sup> October to discuss the legal brief for the QC
  - Legal brief sent to the QC on 1 November for a period of two weeks

# Amendments with the Authority (from date sent to Authority to date decision received)

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- CAP170: Category 5 System to Generator Operational Intertripping Scheme
  - Sent to Authority on 25<sup>th</sup> March 2009

## Decisions Received in October 2010

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- **CAP148: Deemed Access Rights to the GB Transmission System for Renewable Generators**
  - Sent to Authority on 14<sup>th</sup> December 2007
  - Authority decision received 22<sup>nd</sup> October to **reject**
  - Time taken for decision – 718 business days
- **CAP161: Transmission Access – System Operator Release of Short-term Entry Rights**
  - Sent to Authority on 8<sup>th</sup> January 2009
  - Authority decision received 22<sup>nd</sup> October to **reject**
  - Time taken for decision – 452 business days
- **CAP162: Transmission Access – Entry Overrun**
  - Sent to Authority on 8<sup>th</sup> January 2009
  - Authority decision received 22<sup>nd</sup> October to **reject**
  - Time taken for decision – 452 business days
- **CAP163: Transmission Access – Entry Capacity Sharing**
  - Sent to Authority on 8<sup>th</sup> January 2009
  - Authority decision received 22<sup>nd</sup> October to **reject**
  - Time taken for decision – 452 business days

## Decisions Received in October

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- CAP164: Transmission Access – Connect and Manage
  - Sent to Authority on 8<sup>th</sup> January 2009
  - Authority decision received 22<sup>nd</sup> October to **reject**
  - Time taken for decision – 452 business days
- CAP165: Transmission Access – Finite Long-term Entry Rights
  - Sent to the Authority on 8<sup>th</sup> January 2009
  - Time taken for decision – 452 business days
- CAP166: Transmission Access – Long-term Entry Capacity Auctions
  - Sent to Authority on 12<sup>th</sup> March 2009
  - Authority decision received 22<sup>nd</sup> October to **reject**
  - Time taken for decision – 408 business days



## Decisions Received in October

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- CAP167: Definition of a threshold(s) associated with the request for a Statement of Works
  - Sent to Authority on 18<sup>th</sup> February 2009
  - Authority decision received 22<sup>nd</sup> October to **reject**
  - Time taken for decision – 424 business days
- CAP168: Transmission Access Under-use and reallocation of TEC
  - Sent to Authority on 20<sup>th</sup> May 2009
  - Authority decision received 22<sup>nd</sup> October to **reject**
  - Time taken for decision – 362 business days
- CAP186: Code Governance Review: Send Back Process
  - Sent to Authority on 17<sup>th</sup> September 2010
  - Authority decision received 19 October 2010 to **approve**
  - Implementation date 2<sup>nd</sup> November 2010
  - Time taken for decision – 22 business days

## Decisions Received in October

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- CAP187: Environmental Assessment send the Relevant Objectives
  - Sent to Authority on 17<sup>th</sup> September 2010
  - Authority decision received 19 October 2010 to **approve**
  - Implementation date 2<sup>nd</sup> November 2010
  - Time taken for decision – 22 business days

# CUSC Amendments Panel KPIs – October 2010

<b>KPI</b>	<b>Measure</b>	<b>October 2010</b>	<b>Rolling average</b>
<b>Papers issued</b>	Number of papers	13	7.3
<b>Papers issued late</b>	Number of papers issued late. Target is 5 working days before Amendments Panel meeting	0	0
<b>Headline report publication</b>	Working days late. Target is 1 working day after Amendments Panel meeting	0	0
<b>Final minutes Issued on time</b>	Working days late. Target is 1 Working Day after Amendments Panel meeting at which they are approved by the Panel (e.g. January minutes will be approved at February meeting)	0	0
<b>Website updated on time</b>	Number of papers issued late. Target for publication is 5 Working Days before Amendments Panel meeting for Panel papers and 1 Working Day after Panel meeting for publication of final minutes	0	7