

## Frequency Response Auction Trial – Phase 2

# Key Milestones for Go-Live v1.2

Date: 22/11/2019

This document will set out the key milestones for the first month of the Phase 2 Auction Trial and summarise the Commercial Go-Live requirements. The first Commercial Auction for the Phase 2 Auction Trial will take place in the week commencing 25<sup>th</sup> November 2019, please see below for key milestones.

### Key Milestones

| Date                                    | Activity   |
|---|--|
| 09:00<br>25 <sup>th</sup> November 2019 | All successfully prequalified sub-sites for units participating in the <b>29/11/2019 Commercial Phase 2 Auction</b> must be allocated using the “Appendix 8/9” process. No reallocation forms submitted after this point will be accepted for the <b>29/11/2019 Commercial Phase 2 Auction</b> . |
| 17:00<br>25 <sup>th</sup> November 2019 | All <u>new</u> units intending to participate in the <b>13/12/2019 Commercial Phase 2 Auction</b> must be prequalified with NGESO by this point. NGESO must have received completed Form A, Form B, PDT and testing documentation relating to that unit (if required).                           |
| 08:00<br>28 <sup>th</sup> November 2019 | Gate Opens for <b>29/11/2019 Commercial Phase 2 Auction</b> Sell Order submissions   |
| 09:30<br>29 <sup>th</sup> November 2019 | Gate Closes for <b>29/11/2019 Commercial Phase 2 Auction</b> Sell Order submissions  |
| 14:00<br>29 <sup>th</sup> November 2019 | Results from the <b>29/11/2019 Commercial Phase 2 Auction</b> are published on the NGESO website.  |
| 23:00<br>29 <sup>th</sup> November 2019 | Service Delivery Week commences for the successful units awarded contracts in the <b>29/11/2019 Commercial Phase 2 Auction</b> .   |
| 09:00<br>2 <sup>nd</sup> December 2019  | All successfully prequalified sub-sites for units participating in the <b>06/12/2019 Commercial Phase 2 Auction</b> must be allocated using the “Appendix 8/9” process. No reallocation forms submitted after this point will be accepted for the <b>06/12/2019 Commercial Phase 2 Auction</b> . |
| 17:00<br>2 <sup>nd</sup> December 2019  | All <u>new</u> units intending to participate in the <b>20/12/2019 Commercial Phase 2 Auction</b> must be prequalified with NGESO by this point. NGESO must have received completed Form A, Form B, PDT and testing documentation relating to that unit (if required).                           |
| 08:00<br>5 <sup>th</sup> December 2019  | Gate Opens for <b>06/12/2019 Commercial Phase 2 Auction</b> Sell Order submissions   |
| 09:30<br>6 <sup>th</sup> December 2019  | Gate Closes for <b>06/12/2019 Commercial Phase 2 Auction</b> Sell Order submissions  |

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|--|--|
| <b>14:00</b><br><b>6<sup>th</sup> December 2019</b>  | Results from the <b>06/12/2019 Commercial Phase 2 Auction</b> are published on the NGENSO website.   |
| <b>23:00</b><br><b>6<sup>th</sup> December 2019</b>  | Service Delivery Week commences for the successful units awarded contracts in the <b>06/12/2019 Commercial Phase 2 Auction</b> .   |
| <b>09:00</b><br><b>9<sup>th</sup> December 2019</b>  | All successfully prequalified sub-sites for units participating in the <b>13/12/2019 Commercial Phase 2 Auction</b> must be allocated using the “Appendix 8/9” process. No reallocation forms submitted after this point will be accepted for the <b>13/12/2019 Commercial Phase 2 Auction</b> . |
| <b>17:00</b><br><b>9<sup>th</sup> December 2019</b>  | All <u>new</u> units intending to participate in the <b>20/12/2019 Commercial Phase 2 Auction</b> must be prequalified with NGENSO by this point. NGENSO must have received completed Form A, Form B, PDT and testing documentation relating to that unit (if required).                         |
| <b>08:00</b><br><b>12<sup>th</sup> December 2019</b> | Gate Opens for <b>13/12/2019 Commercial Phase 2 Auction</b> Sell Order submissions   |
| <b>09:30</b><br><b>13<sup>th</sup> December 2019</b> | Gate Closes for <b>13/12/2019 Commercial Phase 2 Auction</b> Sell Order submissions  |
| <b>14:00</b><br><b>13<sup>th</sup> December 2019</b> | Results from the <b>13/12/2019 Commercial Phase 2 Auction</b> are published on the NGENSO website.   |
| <b>23:00</b><br><b>13<sup>th</sup> December 2019</b> | Service Delivery Week commences for the successful units awarded contracts in the <b>13/12/2019 Commercial Phase 2 Auction</b> .   |

\*For the avoidance of doubt all units to be registered for Phase 2 are initially to be considered “new”. This includes units that have previously participated in Phase 1. This is due to the registration of a new unit naming convention and to ensure that testing standards have been thoroughly checked.

\*\*If successfully prequalified for the 29/11/2019 Auction a unit does not need to re-register to participate in the 06/12/2019 Auction. This holds true for all subsequent Auctions.

## Important Features of the Phase 2 Auction

- There is a maximum unit size of 20MW. Any Sell Order volume over 20MW in any EFA block will be rejected by the Platform. This restriction is in place to promote competition and maximise learning during the first months of the Phase 2 Auction Trial.
- Units that have not successfully prequalified by the dates specified above cannot participate in that auction. Once successfully prequalified a unit will not have to re-register for subsequent auctions. All new assets and sub-sites will need to be tested following the timelines above before they can be allocated to an existing prequalified unit.
- Providers that have previously participated in **Phase 1** of the Auction Trial will need to re-register their units before they can participate in Phase 2. Please download the data template from the NGENSO website at <https://www.nationalgrideso.com/balancing-services/frequency-response-services/frequency-auction-trial?how-to-participate> complete the data fields and return via email to [commercial.operation@nationalgrideso.com](mailto:commercial.operation@nationalgrideso.com).
- Sell Orders are submitted on the EPEX SPOT Platform which can be accessed at <https://www.cts-fra.epexspot.com/login> all queries relating the Platform access or Sell Order submissions are to be directed to EPEX SPOT directly at [cts-fra-operation@epexspot.com](mailto:cts-fra-operation@epexspot.com). This is to preserve the “double blind” nature of the Frequency Response Auction Trial whereby NGENSO do not have visibility of any Sell Orders until after submitting our Buy Order.