FORM B: PARTICIPANT PRE-QUALIFICATION

Response Auction Trial

# Version control

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*Please note: capitalised and bold terms used in this Form B have, where not expressly defined, the meanings given to them in the* ***Glossary of Terms and Rules of Interpretation****.*

# Guidance Notes

To become entitled to participate in the **Response Auction Trial**, a company must register with **NGESO** as a **Registered Auction Participant**. This requires a company (or its **Agent**) to first complete and submit to **NGESO**:

* Form A of the **Registration Documents**, to apply to be registered as a **Registered Service Provider**, and
* this Form B of the **Registration Documents**, to apply to become pre-qualified to participate in the **Response Auction Trial.**

A company should use this Form B if:

* **NGESO** has already confirmed to it (or its **Agent**) that it is a **Registered Service Provider**, and it now wishes to participate in the **Response Auction Trial**; *AND/OR*
* it is applying as **Agent** on behalf of one or more **Related Entities** who have each been confirmed by **NGESO** as **Registered Service Providers**, and which now wish to participate in the **Response Auction Trial**.

A company may also use this Form B where an application for registration as a **Registered Service Provider** has either been made previously but has not yet been confirmed by **NGESO**, or is now made simultaneously with the submission of this Form B.

Following receipt of these **Registration Documents** and appropriate validation by **NGESO**, **NGESO** will confirm registration as a **Registered Auction Participant** via email in the form set out in Form C of the **Registration Documents.**

# Form B: Participant Pre-Qualification

**Please download this form from NGESO’s website and submit the completed and signed form to NGESO by email at** [**commercial.operation@nationalgrideso.com**](mailto:commercial.operation@nationalgrideso.com)

This Form B constitutes an application to pre-qualify for participation in the **Response Auction Trial**.

|  |  |  |
| --- | --- | --- |
| **Registered Service Provider\*:** | **Company Number:** | **Unique Identifier Code**  **(if known, otherwise please leave blank):** |
| [XXX] | [YYY] | [ZZZ] |
| [XXX] | [YYY] | [ZZZ] |
| [XXX] | [YYY] | [ZZZ] |

\*including prospective where applicable

Each of the companies specified above must either sign this Form B or have authorised the signatory of this Form B to do so as **Agent** on its behalf (using the form of Declaration in Form A).

By doing so, and upon acknowledgement and confirmation from **NGESO** that the applicable company has been registered as a **Registered Auction Participant**, each of such companies will become eligible to participate in the **Response Auction Trial**. This will entitle each **Registered Auction Participant** to:

* apply to register **Eligible Assets** for use in the **Response Auction Trial** upon the terms of, and subject to, the **Testing Guidelines**; and
* submit **Sell Orders** in accordance with the **Response** **Auction Rules**, which mayresult in the formation of **Response Auction Contracts** governed by the **Response Auction Service Terms**.

Accordingly, by signing and returning this Form B, each of the companies specified above undertakes to **NGESO** as follows:-

1. to comply with the **Auction Trial Documentation**;
2. that it has not and will not enter into any agreement or arrangement with any other person to refrain from submitting **Sell Orders** or fixing or adjusting prices or volumes in relation thereto or for that other person to do so;
3. to participate in the **Response Auction Trial** only with respect to **Eligible Assets** of which it is at all relevant times the legal owner or the entity with operational responsibility (which shall, for the avoidance of doubt, include despatch control);
4. that all and any **Test Certificates** submitted to **NGESO** by or on its behalf will be prepared by an **Independent Technical Expert**;
5. to promptly update the registration details provided in Form A of the **Registration Documents** and in each accompanying **Declaration** as and when the same may change; and
6. to procure all necessary despatch controls, metering and monitoring facilities (as the case may be) as stipulated by the **Auction Trial Documentation**.

Furthermore, by signing and returning this Form B, each of such companies agrees and acknowledges:

* that it has read and understands the terms of the **Auction Trial Documentation**,and has taken independent legal advice in relation thereto; and
* that neither the signing of this Form B nor **NGESO**’s subsequent acknowledgement and confirmation of its registration as **Registered Auction Participant** creates any legally binding obligation or commitment for the provision of, and payment for, any **Balancing Service**, which shall arise only in accordance with the terms of the **Response Auction Rules**.

*NOTE: an authorised signatory of each Registered Service Provider specified in the table on the preceding page (including prospective Registered Service Providers) must either sign below (and for multiple Registered Service Providers please duplicate the signature block) or an authorised signatory of its Agent must complete and sign the alternative signature block below*

Name of Registered Service Provider:

**AUTHORISED SIGNATORY OF REGISTERED SERVICE PROVIDER:**

|  |
| --- |
| **Title:** |
| **Date:** |

|  |  |  |  |
| --- | --- | --- | --- |
| Name of Agent:  Company Number:  **AUTHORISED SIGNATORY OF AGENT:**   |  | | --- | | **Title:** | | **Date:** | |  |