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All Recipients of the Serviced Grid Code

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5 April 2019

Dear Sir/Madam

THE SERVICED GRID CODE - ISSUE 5 REVISION 33

Issue 5 Revision 33 of the Grid Code has been approved by the Grid Code Review Panel for implementation on **5 April 2019.**

In order to ensure your copy of the Grid Code remains up to date, you will need to replace the sections affected with the revised versions available on the National Grid website.

The revisions document provides an overview of the changes made to the Grid Code since the previous issue.

Yours faithfully,

Rashpal Gata-Aura Frameworks Administrator Code Administator Future Markets nationalgridESO

THE GRID CODE - ISSUE 5 REVISION 33

INCLUSION OF REVISED SECTIONS

Cover Page Glossary Definitions Planning Code Connection Conditions European Connection Conditions Demand Response Services European Compliance Processes Balancing Code 1 Balancing Code 4 Data Registration Code General Conditions

SUMMARY OF CHANGES

The changes arise from the implementation of modifications proposed in the following Consultation Paper:

GC0122 – Amending references to NGET and the Company within the Grid Code following previous modifications.

Summary of Proposal

This modification seeks to make changes originally raised within GC0120 at the Grid Code Review Panel (GCRP) on 28 February 2019 to make minor corrections resulting from the work on legal separation. The GCRP's decision in relation to GC0120 was to implement the definition changes in relation to "The Company" as directed by Ofgem in their decision letter for GC0112 'National Grid Legal Separation Grid Code changes to incorporate NGESO'

The categories of Users affected by this revision to the Grid Code are:

High: National Grid

Medium: None

Low: None

THE GRID CODE

ISSUE 5

REVISION 33

5 APRIL 2019

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GLOSSARY & DEFINITIONS (GD)

GD.1 In the Grid Code the following words and expressions shall, unless the subject matter or context otherwise requires or is inconsistent therewith, bear the following meanings:

Access Group	A group of Connection Points within which a User declares under the Planning Code
	(a) An interconnection and/or
	(b) A need to redistribute Demand between those Connection Points either pre-fault or post-fault
	Where a single Connection Point does not form part of an Access Group in accordance with the above, that single Connection Point shall be considered to be an Access Group in its own right.
Access Period	A period of time in respect of which each Transmission Interface Circuit is to be assessed as whether or not it is capable of being maintained as derived in accordance with PC.A.4.1.4. The period shall commence and end on specified calendar weeks.
Act	The Electricity Act 1989 (as amended by the Utilities Act 2000 and the Energy Act 2004).
Active Energy	The electrical energy produced, flowing or supplied by an electric circuit during a time interval, being the integral with respect to time of the instantaneous power, measured in units of watt-hours or standard multiples thereof, ie:
	1000 Wh = 1 kWh
	1000 kWh = 1 MWh
	1000 MWh = 1 GWh
	1000 GWh = 1 TWh
Active Power	The product of voltage and the in-phase component of alternating current measured in units of watts and standard multiples thereof, ie:
	1000 Watts = 1 kW
	1000 kW = 1 MW
	1000 MW = 1 GW
	1000 GW = 1 TW

Additional BM Unit	Has the meaning as set out in the BSC
Affiliate	In relation to any person, any holding company or subsidiary of such person or any subsidiary of a holding company of such person, in each case within the meaning of Section 736, 736A and 736B of the Companies Act 1985 as substituted by section 144 of the Companies Act 1989 and, if that latter section is not in force at the Transfer Date , as if such section were in force at such date.
AF Rules	Has the meaning given to "allocation framework" in section 13(2) of the Energy Act 2013.
Agency	As defined in The Company's Transmission Licence.
Aggregator	A BM Participant who controls one or more Additional BM Units or Secondary BM Units.
Aggregator Impact Matrix	Defined for an Additional BM Unit or a Secondary BM Unit. Provides data allowing The Company to model the result of a Bid-Offer Acceptance on each of the Grid Supply Points within the GSP Group over which the Additional BM Unit or Secondary BM Unit is defined
Alternate Member	Shall mean an alternate member for the Panel Members elected or appointed in accordance with this GR.7.2(a) or (b).
Ancillary Service	A System Ancillary Service and/or a Commercial Ancillary Service, as the case may be. An Ancillary Service may include one or more Demand Response Services.
Ancillary Services Agreement	An agreement between a User and The Company for the payment by The Company to that User in respect of the provision by such User of Ancillary Services .
Annual Average Cold Spell Conditions or ACS Conditions	A particular combination of weather elements which gives rise to a level of peak Demand within a Financial Year which has a 50% chance of being exceeded as a result of weather variation alone.
Apparent Power	The product of voltage and of alternating current measured in units of voltamperes and standard multiples thereof, ie: 1000 VA = 1 kVA 1000 kVA = 1 MVA
Apparatus	Other than in OC8 , means all equipment in which electrical conductors are used, supported or of which they may form a part. In OC8 it means High Voltage electrical circuits forming part of a System on which Safety Precautions may be applied to allow work and/or testing to be carried out on a System .
Approved Fast Track Proposal	Has the meaning given in GR.26.7, provided that no objection is received pursuant to GR.26.12.
Approved Grid Code Self-Governance Proposal	Has the meaning given in GR.24.10.
Approved Modification	Has the meaning given in GR.22.7

Authorised Certifier	An entity that issues Equipment Certificates and Power Generating Module Documents and whose accreditation is given by the national affiliate of the European cooperation for Accreditation ('EA'), established in accordance with Regulation (EC) No 765/2008 of the European Parliament and of the Council (1).
Authorised Electricity Operator	Any person (other than The Company) who is authorised under the Act to generate, participate in the transmission of, distribute or supply electricity which shall include any Interconnector Owner or Interconnector User
Authority-Led Modification	A Grid Code Modification Proposal in respect of a Significant Code Review, raised by the Authority pursuant to GR.17
Authority-Led Modification Report	Has the meaning given in GR.17.4.
Automatic Voltage Regulator or AVR	The continuously acting automatic equipment controlling the terminal voltage of a Synchronous Generating Unit or Synchronous Power Generating Module by comparing the actual terminal voltage with a reference value and controlling by appropriate means the output of an Exciter , depending on the deviations.
Authority for Access	An authority which grants the holder the right to unaccompanied access to sites containing exposed HV conductors.
Authority, The	The Authority established by section 1 (1) of the Utilities Act 2000.
Auxiliaries	Any item of Plant and/or Apparatus not directly a part of the boiler plant or Power Generating Module or Generating Unit or DC Converter or HVDC Equipment or Power Park Module , but required for the boiler plant's or Power Generating Module's or Generating Unit's or DC Converter's or HVDC Equipment's or Power Park Module's functional operation.
Auxiliary Diesel Engine	A diesel engine driving a Power Generating Module or Generating Unit which can supply a Unit Board or Station Board , which can start without an electrical power supply from outside the Power Station within which it is situated.
Auxiliary Gas Turbine	A Gas Turbine Unit, which can supply a Unit Board or Station Board, which can start without an electrical power supply from outside the Power Station within which it is situated.
Average Conditions	That combination of weather elements within a period of time which is the average of the observed values of those weather elements during equivalent periods over many years (sometimes referred to as normal weather).
Back-Up Protection	A Protection system which will operate when a system fault is not cleared by other Protection .
Balancing and Settlement Code or BSC	The code of that title as from time to time amended.

Balancing Code or BC	That portion of the Grid Code which specifies the Balancing Mechanism process.
Balancing Mechanism	Has the meaning set out in The Company's Transmission Licence
Balancing Mechanism Reporting Agent or BMRA	Has the meaning set out in the BSC .
Balancing Mechanism Reporting Service or BMRS	Has the meaning set out in the BSC .
Balancing Principles Statement	A statement prepared by The Company in accordance with Condition C16 of The Company's Transmission Licence .
Baseline Forecast	Has the meaning given to the term 'baseline forecase' in Section G of the BSC .
Bid-Offer Acceptance	(a) A communication issued by The Company in accordance with BC2.7; or
	(b) an Emergency Instruction to the extent provided for in BC2.9.2.3.
Bid-Offer Data	Has the meaning set out in the BSC .
Bilateral Agreement	Has the meaning set out in the CUSC
Black Start	The procedure necessary for a recovery from a Total Shutdown or Partial Shutdown .
Black Start Capability	An ability in respect of a Black Start Station , for at least one of its Gensets to Start-Up from Shutdown and to energise a part of the System and be Synchronised to the System upon instruction from The Company , within two hours, without an external electrical power supply.
Black Start Contract	An agreement between a Generator and The Company under which the Generator provides Black Start Capability and other associated services.
Black Start Stations	Power Stations which are registered, pursuant to the Bilateral Agreement with a User , as having a Black Start Capability .
Black Start Test	A Black Start Test carried out by a Generator with a Black Start Station, on the instructions of The Company, in order to demonstrate that a Black Start Station has a Black Start Capability.
Block Load Capability	The incremental Active Power steps, from no load to Rated MW , which a generator can instantaneously supply without causing it to trip or go outside the Frequency range of 47.5 – 52Hz (or an otherwise agreed Frequency range). The time between each incremental step shall also be provided.
BM Participant	A person who is responsible for and controls one or more BM Units or where a Bilateral Agreement specifies that a User is required to be treated as a BM Participant for the purposes of the Grid Code. For the avoidance of doubt, it does not imply that they must be active in the Balancing Mechanism .

BM Unit	Has the meaning set out in the BSC , except that for the purposes of the Grid Code the reference to "Party" in the BSC shall be a reference to User .
BM Unit Data	The collection of parameters associated with each BM Unit , as described in Appendix 1 of BC1 .
Boiler Time Constant	Determined at Registered Capacity or Maximum Capacity (as applicable), the boiler time constant will be construed in accordance with the principles of the IEEE Committee Report "Dynamic Models for Steam and Hydro Turbines in Power System Studies" published in 1973 which apply to such phrase.
British Standards or BS	Those standards and specifications approved by the British Standards Institution.
BSCCo	Has the meaning set out in the BSC .
BSC Panel	Has meaning set out for "Panel" in the BSC .
BS Station Test	A Black Start Test carried out by a Generator with a Black Start Station while the Black Start Station is disconnected from all external alternating current electrical supplies.
BS Unit Test	A Black Start Test carried out on a Generating Unit or a CCGT Unit or a Power Generating Module, as the case may be, at a Black Start Station while the Black Start Station remains connected to an external alternating current electrical supply.
Business Day	Any week day (other than a Saturday) on which banks are open for domestic business in the City of London.
Cancellation of National Electricity Transmission System Warning	The notification given to Users when a National Electricity Transmission System Warning is cancelled.
Capacity Market Documents	The Capacity Market Rules , The Electricity Capacity Regulations 2014 and any other Regulations made under Chapter 3 of Part 2 of the Energy Act 2013 which are in force from time to time.
Capacity Market Rules	The rules made under section 34 of the Energy Act 2013 as modified from time to time in accordance with that section and The Electricity Capacity Regulations 2014.

Cascade Hydro Scheme	Two or more hydro-electric Generating Units , owned or controlled by the same Generator , which are located in the same water catchment area and are at different ordnance datums and which depend upon a common source of water for their operation, known as: (a) Moriston (b) Killin I Garry (d) Conon (e) Clunie (f) Beauly which will comprise more than one Power Station .
Cascade Hydro Scheme Matrix	The matrix described in Appendix 1 to BC1 under the heading Cascade Hydro Scheme Matrix .
Caution Notice	A notice conveying a warning against interference.
Category 1 Intertripping Scheme	A System to Generator Operational Intertripping Scheme arising from a Variation to Connection Design following a request from the relevant User which is consistent with the criteria specified in the Security and Quality of Supply Standard.
Category 2 Intertripping Scheme	 A System to Generator Operational Intertripping Scheme which is:- (i) required to alleviate an overload on a circuit which connects the Group containing the User's Connection Site to the National Electricity Transmission System; and (ii) installed in accordance with the requirements of the planning criteria of the Security and Quality of Supply Standard in order that measures can be taken to permit maintenance access for each transmission circuit and for such measures to be economically justified, and the operation of which results in a reduction in Active Power on the overloaded circuits which connect the User's Connection Site to the rest of the National Electricity Transmission System which is equal to the reduction in Active Power from the Connection Site (once any system losses or third party system effects are discounted).
Category 3 Intertripping Scheme	A System to Generator Operational Intertripping Scheme which, where agreed by The Company and the User, is installed to alleviate an overload on, and as an alternative to, the reinforcement of a third party system, such as the Distribution System of a Public Distribution System Operator.
Category 4 Intertripping Scheme	A System to Generator Operational Intertripping Scheme installed to enable the disconnection of the Connection Site from the National Electricity Transmission System in a controlled and efficient manner in order to facilitate the timely restoration of the National Electricity Transmission System.
CENELEC	European Committee for Electrotechnical Standardisation.

Citizens Advice	Means the National Association of Citizens Advice
	Bureaux.
Citizens Advice	Means the Scottish Association of Citizens Advice
Scotland	Bureaux.
CfD Counterparty	A person designated as a "CfD counterparty" under section 7(1) of the Energy Act 2013.
CfD Documents	The AF Rules , The Contracts for Difference (Allocation) Regulations 2014, The Contracts for Difference (Definition of Eligible Generator) Regulations 2014 and The Contracts for Difference (Electricity Supplier Obligations) Regulations 2014 and any other regulations made under Chapter 2 of Part 2 of the Energy Act 2013 which are in force from time to time.
CfD Settlement Services	means any person:
Provider	(i) appointed for the time being and from time to time by a CfD Counterparty ; or
	 (ii) who is designated by virtue of Section C1.2.1B of the Balancing and Settlement Code,
	in either case to carry out any of the CFD settlement activities (or any successor entity performing CFD settlement activities).
CCGT Module Matrix	The matrix described in Appendix 1 to BC1 under the heading CCGT Module Matrix.
CCGT Module Planning Matrix	A matrix in the form set out in Appendix 3 of OC2 showing the combination of CCGT Units within a CCGT Module which would be running in relation to any given MW output.
Closed Distribution System or CDSO	A distribution system classified pursuant to Article 28 of Directive 2009/72/EC as a Closed Distribution System by the Authority which distributes electricity within a geographically confined industrial, commercial or shared services site and does not supply household Customers , without prejudice to incidental use by a small number of households located within the area served by the System and with employment or similar associations with the owner of the System .
CM Administrative Parties	The Secretary of State, the CM Settlement Body, and any CM Settlement Services Provider.
CM Settlement Body	the Electricity Settlements Company Ltd or such other person as may from time to time be appointed as Settlement Body under regulation 80 of the Electricity Capacity Regulations 2014.
CM Settlement Services Provider	any person with whom the CM Settlement Body has entered into a contract to provide services to it in relation to the performance of its functions under the Capacity Market Documents .

Code Administration Code of Practice	Means the code of practice approved by the Authority and:
	(a) developed and maintained by the code administrators in existence from time to time; and
	(b) amended subject to the Authority's approval from time to time; and
	(c) re-published from time to time;
	Means The Company carrying out the role of Code Administrator in
Code Administrator	accordance with the General Conditions.
Combined Cycle Gas Turbine Module or CCGT Module	A collection of Generating Units (registered as a CCGT Module (which could be within a Power Generating Module) under the PC) comprising one or more Gas Turbine Units (or other gas based engine units) and one or more Steam Units where, in normal operation, the waste heat from the Gas Turbines is passed to the water/steam system of the associated Steam Unit or Steam Units and where the component units within the CCGT Module are directly connected by steam or hot gas lines which enable those units to contribute to the efficiency of the combined cycle operation of the CCGT Module .
Combined Cycle Gas Turbine Unit or CCGT Unit	A Generating Unit within a CCGT Module.
Commercial Ancillary Services	Ancillary Services, other than System Ancillary Services, utilised by The Company in operating the Total System if a User (or other person such as a Demand Response Provider) has agreed to provide them under an Ancillary Services Agreement or under a Bilateral Agreement with payment being dealt with under an Ancillary Services Agreement or in the case of Externally Interconnected System Operators or Interconnector Users, under any other agreement (and in the case of Externally Interconnected System Operators and Interconnector Users includes ancillary services equivalent to or similar to System Ancillary Services).
Commercial Boundary	Has the meaning set out in the CUSC
Committed Level	The expected Active Power output from a BM Unit after accepting a Bid-Offer Acceptance or RR Instruction or a combination of Bid-Offer Acceptances and RR Instructions
Committed Project Planning Data	Data relating to a User Development once the offer for a CUSC Contract is accepted.
Common Collection Busbar	A busbar within a Power Park Module to which the higher voltage side of two or more Power Park Unit generator transformers are connected.
Completion Date	Has the meaning set out in the Bilateral Agreement with each User to that term or in the absence of that term to such other term reflecting the date when a User is expected to connect to or start using the National Electricity Transmission System . In the case of an Embedded Medium Power Station or Embedded DC Converter Station or Embedded HVDC System having a similar meaning in relation to the Network Operator's System as set out in the Embedded Development Agreement .

Complex	A Connection Site together with the associated Power Station and/or Network Operator substation and/or associated Plant and/or Apparatus, as appropriate.
Compliance Processes or CP	That portion of the Grid Code which is identified as the Compliance Processes .
Compliance Statement	A statement completed by the relevant User confirming compliance with each of the relevant Grid Code provisions, and the supporting evidence in respect of such compliance, of its:
	Generating Unit(s); or,
	Power Generating Modules (including DC Connected Power Park Modules); or,
	CCGT Module(s); or,
	Power Park Module(s); or,
	DC Converter(s); or
	HVDC Systems; or
	Plant and Apparatus at an EU Grid Supply Point owned or operated by a Network Operator; or
	Network Operator's entire distribution System where such Network Operator's distribution System comprises solely of Plant and Apparatus procured on or after 7 September 2018 and was connected to the National Electricity Transmission System on or after 18 August 2019. In this case, all connections to the National Electricity Transmission System would comprise only of EU Grid Supply Points; or
	Plant and Apparatus at an EU Grid Supply Point owned or operated by a Non-Embedded Customer where such Non-Embedded Customer is defined as an EU Code User;
	in the form provided by The Company to the relevant User or another format as agreed between the User and The Company .
Configuration 1 AC Connected Offshore Power Park Module	One or more Offshore Power Park Modules that are connected to an AC Offshore Transmission System and that AC Offshore Transmission System is connected to only one Onshore substation and which has one or more Interface Points .
Configuration 2 AC Connected Offshore Power Park Module	One or more Offshore Power Park Modules that are connected to a meshed AC Offshore Transmission System and that AC Offshore Transmission System is connected to two or more Onshore substations at its Transmission Interface Points.
Configuration 1 DC Connected Power Park Module	One or more DC Connected Power Park Modules that are connected to an HVDC System or Transmission DC Converter and that HVDC System or Transmission DC Converter is connected to only one Onshore substation and which has one or more Interface Points .
Configuration 2 DC Connected Power Park Module	One or more DC Connected Power Park Modules that are connected to an HVDC System or Transmission DC Converter and that HVDC System or Transmission DC Converter is connected to only more than one Onshore substation at its Transmission Interface Points .
Connection Conditions or CC	That portion of the Grid Code which is identified as the Connection Conditions being applicable to GB Code Existing Users .

Connection Entry Capacity	Has the meaning set out in the CUSC
Connected Planning Data	Data which replaces data containing estimated values assumed for planning purposes by validated actual values and updated estimates for the future and by updated forecasts for Forecast Data items such as Demand .
Connection Point	A Grid Supply Point or Grid Entry Point, as the case may be.
Connection Site	A Transmission Site or User Site, as the case may be.
Construction Agreement	Has the meaning set out in the CUSC
Consumer Representative	Means the person appointed by the Citizens Advice or the Citizens Advice Scotland (or any successor body) representing all categories of customers, appointed in accordance with GR.4.2(b)
Contingency Reserve	The margin of generation over forecast Demand which is required in the period from 24 hours ahead down to real time to cover against uncertainties in Large Power Station availability and against both weather forecast and Demand forecast errors.
Control Calls	A telephone call whose destination and/or origin is a key on the control desk telephone keyboard at a Transmission Control Centre and which, for the purpose of Control Telephony , has the right to exercise priority over (ie. disconnect) a call of a lower status.
Control Centre	A location used for the purpose of control and operation of the National Electricity Transmission System or DC Converter Station owner's System or HVDC System Owner's System or a User System other than a Generator's System or an External System .
Control Engineer	A person nominated by the relevant party for the control of its Plant and Apparatus .
Control Person	The term used as an alternative to "Safety Co-ordinator" on the Site Responsibility Schedule only.
Control Phase	The Control Phase follows on from the Programming Phase and covers the period down to real time.
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Control Point	The point from which:-
	(a) A Non-Embedded Customer's Plant and Apparatus is controlled; or
	(b) A BM Unit at a Large Power Station or at a Medium Power Station or representing a Cascade Hydro Scheme or with a Demand Capacity with a magnitude of:
	(i) 50MW or more in NGET's Transmission Area ; or
	(ii) 30MW or more in SPT's Transmission Area ; or
	(iii) 10MW or more in SHETL's Transmission Area,
	(iv) 10MW or more which is connected to an Offshore Transmission System
	is physically controlled by a BM Participant ; or
	(c) In the case of any other BM Unit or Generating Unit (which could be part of a Power Generating Module), data submission is co- ordinated for a BM Participant and instructions are received from The Company,
	as the case may be. For a Generator this will normally be at a Power Station but may be at an alternative location agreed with The Company . In the case of a DC Converter Station or HVDC System , the Control Point will be at a location agreed with The Company . In the case of a BM Unit of an Interconnector User , the Control Point will be the Control Centre of the relevant Externally Interconnected System Operator .
Control Telephony	The principal method by which a User's Responsible Engineer/Operator and The Company's Control Engineer(s) speak to one another for the purposes of control of the Total System in both normal and emergency operating conditions.
Core Industry Document	as defined in the Transmission Licence
Core Industry Document Owner	In relation to a Core Industry Document , the body(ies) or entity(ies) responsible for the management and operation of procedures for making changes to such document
CUSC	Has the meaning set out in The Company's Transmission Licence
CUSC Contract	One or more of the following agreements as envisaged in Standard Condition C1 of The Company's Transmission Licence :
	(a) the CUSC Framework Agreement;
	(b) a Bilateral Agreement;
	(c) a Construction Agreement
	or a variation to an existing Bilateral Agreement and/or Construction Agreement ;
CUSC Framework Agreement	Has the meaning set out in The Company's Transmission Licence
CUSC Party	As defined in the The Company's Transmission Licence and "CUSC Parties" shall be construed accordingly.

Customer	A person to whom electrical power is provided (whether or not he is the same person as the person who provides the electrical power).
Customer Demand Management	Reducing the supply of electricity to a Customer or disconnecting a Customer in a manner agreed for commercial purposes between a Supplier and its Customer .
Customer Demand Management Notification Level	The level above which a Supplier has to notify The Company of its proposed or achieved use of Customer Demand Management which is 12 MW in England and Wales and 5 MW in Scotland.
Customer Generating Plant	A Power Station or Generating Unit or Power Generating Module of a Customer to the extent that it operates the same exclusively to supply all or part of its own electricity requirements, and does not export electrical power to any part of the Total System .
Data Registration Code or DRC	That portion of the Grid Code which is identified as the Data Registration Code .
Data Validation, Consistency and Defaulting Rules	The rules relating to validity and consistency of data, and default data to be applied, in relation to data submitted under the Balancing Codes , to be applied by The Company under the Grid Code as set out in the document "Data Validation, Consistency and Defaulting Rules" - Issue 8, dated 25 th January 2012. The document is available on the National Grid website or upon request from The Company .
DC Connected Power Park Module	A Power Park Module that is connected to one or more HVDC Interface Points .
DC Converter	Any Onshore DC Converter or Offshore DC Converter as applicable to Existing User's .
DC Converter Station	An installation comprising one or more Onshore DC Converters connecting a direct current interconnector:
	to the National Electricity Transmission System; or,
	(if the installation has a rating of 50MW or more) to a User System ,
	and it shall form part of the External Interconnection to which it relates.
DC Network	All items of Plant and Apparatus connected together on the direct current side of a DC Converter or HVDC System .
DCUSA	The Distribution Connection and Use of System Agreement approved by the Authority and required to be maintained in force by each Electricity Distribution Licence holder.
De-Load	The condition in which a Genset has reduced or is not delivering electrical power to the System to which it is Synchronised .
Δf	Deviation from Target Frequency
Demand	The demand of MW and Mvar of electricity (i.e. both Active and Reactive Power), unless otherwise stated.
Demand Aggregation	A process where one or more Demand Facilities or Closed Distribution Systems can be controlled by a Demand Response Provider either as a single facility or Closed Distribution System for the purposes of offering one or more Demand Response Services .

Demand Capacity	Has the meaning as set out in the BSC .	
Demand Control	Any or all of the following methods of achieving a Demand reduction:	
	(a) Customer voltage reduction initiated by Network Operators (other than following an instruction from The Company);	
	 (b) Customer Demand reduction by Disconnection initiated by Network Operators (other than following an instruction from The Company); 	
	(c) Demand reduction instructed by The Company ;	
	(d) automatic low Frequency Demand Disconnection;	
	(e) emergency manual Demand Disconnection .	
Demand Control Notification Level	The level above which a Network Operator has to notify The Company of its proposed or achieved use of Demand Control which is 12 MW in England and Wales and 5 MW in Scotland.	
Demand Facility	A facility which consumes electrical energy and is connected at one or more Grid Supply Points to the National Electricity Transmission System or connection points to a Network Operator's System. A Network Operator's System and/or auxiliary supplies of a Power Generating Module do no constitute a Demand Facility.	
Demand Facility Owner	A person who owns or operates one or more Demand Units within a Demand Facility . A Demand Facility Owner who owns or operates a Demand Facility which is directed connected to the Transmission System shall be treated as a Non Embedded Customer .	
Demand Response Active Power Control	Demand within a Demand Facility or Closed Distribution System that is available for modulation by The Company or Network Operator or Relevant Transmission Licensee , which results in an Active Power modification.	
Demand Response Provider	A party (other than The Company) who owns, operates, controls or manages Main Plant and Apparatus (excluding storage equipment) which was first connected to the Total System on or after 18 August 2019 and who had placed Purchase Contracts for its Main Plant and Apparatus on or after 7 September 2018 or is the subject of a Substantial Modification on or after 18 August 2019 and has an agreement with The Company to provide a Demand Response Service (s). The party may be one or more Customers , a Network Operator or Non-Embedded Customer or EU Code User contracting bilaterally with The Company for the provision of services, or may be a third party providing Demand Aggregation from many individual Customers .	
Demand Response Reactive Power Control	A Demand Response Service derived from Reactive Power or Reactive Power compensation devices in a Demand Facility or Closed Distribution System that are available for modulation by The Company or Network Operator or Relevant Transmission Licensee.	
Demand Response Transmission Constrain Management	A Demand Response Service derived from Demand within a Demand Facility or Closed Distribution System that is available for modulation by The Company or Network Operator or Relevant Transmission Licensee to manage transmission constraints within the System.	

Demand Response Service	A Demand Response Service includes one of more of the following
Service	services:
	(a) Demand Response Active Power Control ;
	(b) Demand Response Reactive Power Control;
	(c) Demand Response Transmission Constraint Management;
	(d) Demand Response System Frequency Control;
	(e) Demand Response Very Fast Active Power Control.
	The above Demand Response Services are not exclusive and do not
	preclude Demand Response Providers from negotiating other services
	for demand response capability with The Company . Where such
	services are negotiated they would still be treated as a Demand
	Response Service.
Demand Response	That portion of the Grid Code which is identified as the Demand
Services Code (DRSC)	Response Services Code being applicable to Demand Response
	Providers.
Demand Response System Frequency	A Demand Response Service derived from a Demand within one or
Control	more Demand Facilities or Closed Distribution Systems that is available
	for the reduction or increase in response to Frequency fluctuations,
	made by an autonomous response from those Demand Facilities or
Demand Deenenee Linit	Closed Distribution Systems to diminish these fluctuations.
Demand Response Unit Document (DRUD)	A document, issued either by the Non Embedded Customer , Demand Facility Owner or the CDSO to The Company or the Network Operator
Document (DROD)	(as the case may be) for Demand Units with demand response and
	providing a Demand Response Service which confirms the compliance
	of the Demand Unit with the technical requirements set out in the Grid
	Code and provides the necessary data and statements, including a
	statement of compliance.
Demand Response Very	A Demand Response Service derived from a Demand within a
Fast Active Power	Demand Facility or Closed Distribution System that can be modulated
Control	very fast in response to a Frequency deviation, which results in a very fast Active Power modification.
Demand Unit	An indivisible set of installations containing equipment which can be
	actively controlled at one or more sites by a Demand Response
	Provider, Demand Facility Owner, CDSO or by a Non Embedded
	Customer , either individually or commonly as part of Demand Aggregation through a third party who has agreed to provide Demand
	Response Services.
Designed Minimum	The output (in whole MW) below which a Genset or a DC Converter at a
Operating Level	DC Converter Station (in any of its operating configurations) has no
	High Frequency Response capability.
De-Synchronise	(a) The act of taking a Power Generating Module (including a DC
	Connected Power Park Module), Generating Unit, Power Park Module, HVDC System or DC Converter off a System to which it
	has been Synchronised , by opening any connecting circuit
	breaker; or
	(b) The act of ceasing to consume electricity at an importing BM Unit ;
	and the term " De-Synchronising " shall be construed accordingly.
De-synchronised	Has the meaning set out in OC9.5.1(a)
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Detailed Planning Data	Detailed additional data which The Company requires under the PC in support of Standard Planning Data , comprising DPD I and DPD II
Detailed Planning Data Category I or DPD I	The Detailed Planning Data categorised as such in the DRC and EDRC , and submitted in accordance with PC.4.4.2 or PC.4.4.4 as applicable.
Detailed Planning Data Category II or DPD II	The Detailed Planning Data categorised as such in the DRC and EDRC , and submitted in accordance with PC.4.4.2 or PC.4.4.4 as applicable.
Discrimination	The quality where a relay or protective system is enabled to pick out and cause to be disconnected only the faulty Apparatus .
Disconnection	The physical separation of Users (or Customers) from the National Electricity Transmission System or a User System as the case may be.
Disputes Resolution Procedure	The procedure described in the CUSC relating to disputes resolution.
Distribution Code	The distribution code required to be drawn up by each Electricity Distribution Licence holder and approved by the Authority , as from time to time revised with the approval of the Authority .
Droop	The ratio of the per unit steady state change in speed, or in Frequency to the per unit steady state change in power output. Whilst not mandatory, it is often common practice to express Droop in percentage terms.
Dynamic Parameters	Those parameters listed in Appendix 1 to BC1 under the heading BM Unit Data – Dynamic Parameters .
E&W Offshore Transmission System	An Offshore Transmission System with an Interface Point in England and Wales.
E&W Offshore Transmission Licensee	A person who owns or operates an E&W Offshore Transmission System pursuant to a Transmission Licence.
E&W Transmission System	Collectively NGET's Transmission System and any E&W Offshore Transmission Systems.
E&W User	A User in England and Wales or any Offshore User who owns or operates Plant and/or Apparatus connected (or which will at the OTSUA Transfer Time be connected) to an E&W Offshore Transmission System.
Earth Fault Factor	At a selected location of a three-phase System (generally the point of installation of equipment) and for a given System configuration, the ratio of the highest root mean square phase-to-earth power Frequency voltage on a sound phase during a fault to earth (affecting one or more phases at any point) to the root mean square phase-to-earth power Frequency voltage which would be obtained at the selected location without the fault.

Earthing	A way of providing a connection between conductors and earth by an Earthing Device which is either:	
	(a) Immobilised and Locked in the earthing position. Where the Earthing Device is Locked with a Safety Key, the Safety Key must be secured in a Key Safe and the Key Safe Key must be, where reasonably practicable, given to the authorised site representative of the Requesting Safety Co-ordinator and is to be retained in safe custody. Where not reasonably practicable the Key Safe Key must be retained by the authorised site representative of the Implementing Safety Co-ordinator in safe custody; or	
	(b) maintained and/or secured in position by such other method which must be in accordance with the Local Safety Instructions of NGET or the Safety Rules of the Relevant Transmission Licensee or that User, as the case may be.	
Earthing Device	A means of providing a connection between a conductor and earth being of adequate strength and capability.	
Elected Panel Members	Shall mean the following Panel Members elected in accordance with GR4.2(a):	
	(a) the representative of the Suppliers ;	
	(b) the representative of the Onshore Transmission Licensees ;	
	(c) the representative of the Offshore Transmission Licensees ; and	
	(d) the representatives of the Generators	
Electrical Standard	A standard listed in the Annex to the General Conditions.	
Electricity Council	That body set up under the Electricity Act, 1957.	
Electricity Distribution Licence	The licence granted pursuant to Section 6(1) (c) of the Act.	
Electricity Regulation	As defined in the Transmission Licence.	
Electricity Supply Industry Arbitration Association	The unincorporated members' club of that name formed inter alia to promote the efficient and economic operation of the procedure for the resolution of disputes within the electricity supply industry by means of arbitration or otherwise in accordance with its arbitration rules.	
Electricity Supply Licence	The licence granted pursuant to Section 6(1) (d) of the Act.	
Electromagnetic Compatibility Level	Has the meaning set out in Engineering Recommendation G5/4.	
Embedded	Having a direct connection to a User System or the System of any other User to which Customers and/or Power Stations are connected, such connection being either a direct connection or a connection via a busbar of another User or of a Relevant Transmission Licensee (but with no other connection to the National Electricity Transmission System).	
Embedded Development	Has the meaning set out in PC.4.4.3(a)	
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Embedded Development Agreement	An agreement entered into between a Network Operator and an Embedded Person , identifying the relevant site of connection to the Network Operator's System and setting out other site specific details in relation to that use of the Network Operator's System .
Embedded Person	The party responsible for a Medium Power Station not subject to a Bilateral Agreement or DC Converter Station not subject to a Bilateral Agreement or HVDC System not subject to a Bilateral Agreement connected to or proposed to be connected to a Network Operator's System .
Emergency Deenergisation Instruction	an Emergency Instruction issued by The Company to De- Synchronise a Power Generating Module (including a DC Connected Power Park Module), Generating Unit, Power Park Module, HVDC System or DC Converter in circumstances specified in the CUSC.
Emergency Instruction	An instruction issued by The Company in emergency circumstances, pursuant to BC2.9, to the Control Point of a User . In the case of such instructions applicable to a BM Unit , it may require an action or response which is outside the Dynamic Parameters , QPN or Other Relevant Data , and may include an instruction to trip a Genset .
EMR Administrative Parties	Has the meaning given to "administrative parties" in The Electricity Capacity Regulations 2014 and each CfD Counterparty and CfD Settlement Services Provider.
EMR Documents	The Energy Act 2013, The Electricity Capacity Regulations 2014, the Capacity Market Rules , The Contracts for Difference (Allocation) Regulations 2014, The Contracts for Difference (Definition of Eligible Generator) Regulations 2014, The Contracts for Difference (Electricity Supplier Obligations) Regulations 2014, The Electricity Market Reform (General) Regulations 2014, the AF Rules and any other regulations or instruments made under Chapter 2 (contracts for difference), Chapter 3 (capacity market) or Chapter 4 (investment contracts) of Part 2 of the Energy Act 2013 which are in force from time to time.
EMR Functions	Has the meaning given to "EMR functions" in Chapter 5 of Part 2 of the Energy Act 2013.
Engineering Recommendations	The documents referred to as such and issued by the Energy Networks Association or the former Electricity Council.
Energisation Operational Notification or EON	A notification (in respect of Plant and Apparatus (including OTSUA) which is directly connected to the National Electricity Transmission System) from The Company to a User confirming that the User can in accordance with the Bilateral Agreement and/or Construction Agreement , energise such User's Plant and Apparatus (including OTSUA) specified in such notification.

Equipment Certificate	A document issued by an Authorised Certifier for equipment used by a Power Generating Module, Demand Unit, Network Operators System, Non Embedded Customers System, Demand Facility or HVDC System. The Equipment Certificate defines the scope of its validity at a national or other level at which a specific value is selected from the range allowed at a European level. For the purpose of replacing specific parts of the compliance process, the Equipment Certificate may include models or equivalent information that have been verified against actual test results.
Estimated Registered Data	Those items of Standard Planning Data and Detailed Planning Data which either upon connection will become Registered Data , or which for the purposes of the Plant and/or Apparatus concerned as at the date of submission are Registered Data , but in each case which for the seven succeeding Financial Years will be an estimate of what is expected.

EU Code User	A User	who is any of the following:-
	(a)	A Generator in respect of a Power Generating Module (excluding a DC Connected Power Park Module) or OTSDUA (in respect of an AC Offshore Transmission System) whose Main Plant and Apparatus is connected to the System on or after 27 April 2019 and who concluded Purchase Contracts for its Main Plant and Apparatus on or after 17 May 2018
	(b)	A Generator in respect of any Type C or Type D Power Generating Module which is the subject of a Substantial Modification which is effective on or after 27 April 2019.
	(c)	A Generator in respect of any DC Connected Power Park Module whose Main Plant and Apparatus is connected to the System on or after 8 September 2019 and who had concluded Purchase Contracts for its Main Plant and Apparatus on or after 28 September 2018.
	(d)	A Generator in respect of any DC Connected Power Park Module which is the subject of a Substantial Modification which is effective on or after 8 September 2019.
	(e)	An HVDC System Owner or OTSDUA (in respect of a DC Offshore Transmission System including a Transmission DC Converter) whose Main Plant and Apparatus is connected to the System on or after 8 September 2019 and who had concluded Purchase Contracts for its Main Plant and Apparatus on or after 28 September 2018.
	(f)	An HVDC System Owner or OTSDUA (in respect of a DC Offshore Transmission System including a Transmission DC Converter) whose HVDC System or DC Offshore Transmission System including a Transmission DC Converter) is the subject of a Substantial Modification on or after 8 September 2019.
	(g)	A User which the Authority has determined should be considered as an EU Code User .
	(h)	A Network Operator whose entire distribution System was first connected to the National Electricity Transmission System on or after 18 August 2019 and who had placed Purchase Contracts for its Main Plant and Apparatus in respect of its entire distribution System on or after 7 September 2018. For the avoidance of doubt, a Network Operator will be an EU Code User if its entire distribution System is connected to the National Electricity Transmission System at EU Grid Supply Points only.
	(i)	A Non Embedded Customer whose Main Plant and Apparatus at each EU Grid Supply Point was first connected to the National Electricity Transmission System on or after 18 August 2019 and who had placed Purchase Contracts for its Main Plant and Apparatus at each EU Grid Supply Point on or after 7 September 2018 or is the subject of a Substantial Modification on or after 18 August 2019.
EU Generator	A Ger	nerator or OTSDUA who is also an EU Code User.

EU Grid Supply Point	A Grid Supply Point where either:-
	 (i) (a) the Network Operator or Non Embedded Customer had placed Purchase Contracts for all of its Plant and Apparatus at that Grid Supply Point on or after 7 September 2018, and
	(b) All of the Network Operator's or Non Embedded Customer's Plant and Apparatus at that Grid Supply Point was first connected to the Transmission System on or after 18 August 2019; or
	 (ii) the Network Operator's or Non Embedded Customer's Plant and Apparatus at a Grid Supply Point is the subject of a Substantial Modification which is effective on or after 18 August 2019.
EU Transparency Availability Data	Such data as Customers and Generators are required to provide under Articles 7.1(a) and 7.1(b) and Articles 15.1(a), 15.1(b), 15.1(c), 15.1(d) of European Commission Regulation (EU) No. 543/2013 respectively (known as the Transparency Regulation), and which also forms part of DRC Schedule 6 (Users' Outage Data).
European Compliance Processes or ECP	That portion of the Grid Code which is identified as the European Compliance Processes .
European Connection Conditions or ECC	That portion of the Grid Code which is identified as the European Connection Conditions being applicable to EU Code Users .
European Regulation (EU) 2016/631	Commission Regulation (EU) 2016/631 of 14 April 2016 establishing a Network Code on Requirements of Generators
European Regulation (EU) 2016/1388	Commission Regulation (EU) 2016/1388 of 17 August 2016 establishing a Network Code on Demand Connection
European Regulation (EU) 2016/1447	Commission Regulation (EU) 2016/1447 of 26 August 2016 establishing a network code on requirements for Grid Connection of High Voltage Direct Current Systems and Direct Current-connected Power Park Modules
European Regulation (EU) 2017/1485	Commission Regulation (EU) 2017/1485 establishing a guideline on electricity transmission system operation
European Regulation (EU) 2017/2195	Commission Regulation (EU) 2017/2195 of 17 December 2017 establishing a guideline on electricity balancing
European Specification	A common technical specification, a British Standard implementing a European standard or a European technical approval. The terms "common technical specification", "European standard" and "European technical approval" shall have the meanings respectively ascribed to them in the Regulations .
Event	An unscheduled or unplanned (although it may be anticipated) occurrence on, or relating to, a System (including Embedded Power Stations) including, without limiting that general description, faults, incidents and breakdowns and adverse weather conditions being experienced.

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Exciter	The source of the electrical power providing the field current of a synchronous machine.	
Excitation System	The equipment providing the field current of a machine, including all regulating and control elements, as well as field discharge or suppression equipment and protective devices.	
Excitation System No- Load Negative Ceiling Voltage	The minimum value of direct voltage that the Excitation System is able to provide from its terminals when it is not loaded, which may be zero or a negative value.	
Excitation System Nominal Response	Shall have the meaning ascribed to that term in IEC 34-16-1:1991 [equivalent to British Standard BS 4999 Section 116.1 : 1992]. The time interval applicable is the first half-second of excitation system voltage response.	
Excitation System On- Load Positive Ceiling Voltage	Shall have the meaning ascribed to the term 'Excitation system on load ceiling voltage' in IEC 34-16-1:1991[equivalent to British Standard BS 4999 Section 116.1 : 1992].	
Excitation System No- Load Positive Ceiling Voltage	Shall have the meaning ascribed to the term 'Excitation system no load ceiling voltage' in IEC 34-16-1:1991[equivalent to British Standard BS4999 Section 116.1 : 1992].	
Exemptable	Has the meaning set out in the CUSC .	
Existing AGR Plant	The following nuclear advanced gas cooled reactor plant (which was commissioned and connected to the Total System at the Transfer Date):-	
	(a) Dungeness B	
	(b) Hinkley Point B	
	(c) Heysham 1	
	(d) Heysham 2	
	(e) Hartlepool	
	(f) Hunterston B	
	(g) Torness	
Existing AGR Plant Flexibility Limit	In respect of each Genset within each Existing AGR Plant which has a safety case enabling it to so operate, 8 (or such lower number which when added to the number of instances of reduction of output as instructed by The Company in relation to operation in Frequency Sensitive Mode totals 8) instances of flexibility in any calendar year (or such lower or greater number as may be agreed by the Nuclear Installations Inspectorate and notified to The Company) for the purpose of assisting in the period of low System NRAPM and/or low Localised NRAPM provided that in relation to each Generating Unit each change in output shall not be required to be to a level where the output of the reactor is less than 80% of the reactor thermal power limit (as notified to The Company and which corresponds to the limit of reactor thermal power as contained in the "Operating Rules" or "Identified Operating Instructions" forming part of the safety case agreed with the Nuclear Installations Inspectorate).	

Existing Gas Cooled Reactor Plant	Both Existing Magnox Reactor Plant and Existing AGR Plant.	
Existing Magnox Reactor Plant	The following nuclear gas cooled reactor plant (which was commissioned and connected to the Total System at the Transfer Date):-	
	(a) Calder Hall	
	(b) Chapelcross	
	(c) Dungeness A	
	(d) Hinkley Point A	
	(e) Oldbury-on-Severn	
	(f) Bradwell	
	(g) Sizewell A	
	(h) Wylfa	
Export and Import Limits	Those parameters listed in Appendix 1 to BC1 under the heading BM Unit Data – Export and Import Limits .	
External Interconnection	Apparatus for the transmission of electricity to or from the National Electricity Transmission System or a User System into or out of an External System. For the avoidance of doubt, a single External Interconnection may comprise several circuits operating in parallel.	
External Interconnection Circuit	Plant or Apparatus which comprises a circuit and which operates in parallel with another circuit and which forms part of the External Interconnection .	
Externally Interconnected System Operator or EISO	A person who operates an External System which is connected to the National Electricity Transmission System or a User System by an External Interconnection .	
External System	In relation to an Externally Interconnected System Operator means the transmission or distribution system which it owns or operates which is located outside the National Electricity Transmission System Operator Area any Apparatus or Plant which connects that system to the External Interconnection and which is owned or operated by such Externally Interconnected System Operator.	
Fast Fault Current	A current delivered by a Power Park Module or HVDC System during and after a voltage deviation caused by an electrical fault within the System with the aim of identifying a fault by network Protection systems at the initial stage of the fault, supporting System voltage retention at a later stage of the fault and System voltage restoration after fault clearance.	
Fault Current Interruption Time	The time interval from fault inception until the end of the break time of the circuit breaker (as declared by the manufacturers).	
Fault Ride Through	The capability of Power Generating Modules (including DC Connected Power Park Modules) and HVDC Systems to be able to be able to remain connected to the System and operate through periods of low voltage at the Grid Entry Point or User System Entry Point caused by secured faults	
Fast Start	A start by a Genset with a Fast Start Capability.	

Fast Start Capability	The ability of a Genset to be Synchronised and Loaded up to full Load within 5 minutes.	
Fast Track Criteria	A proposed Grid Code Modification Proposal that, if implemented,	
	(a) would meet the Self-Governance Criteria; and	
	(b) is properly a housekeeping modification required	
	as a result of some error or factual change,	
	including but not limited to:	
	(i) updating names or addresses listed in the Grid Code ;	
	(ii) correcting any minor typographical errors;	
	(iii) correcting formatting and consistency errors, such as paragraph numbering; or	
	(iv) updating out of date references to other documents or paragraphs	
Final Generation Outage Programme	An outage programme as agreed by The Company with each Generator and each Interconnector Owner at various stages through the Operational Planning Phase and Programming Phase which does not commit the parties to abide by it, but which at various stages will be used as the basis on which National Electricity Transmission System outages will be planned.	
Final Operational Notification or FON	A notification from The Company to a Generator or DC Converter Station owner or HVDC System Owner or Network Operator or Non- Embedded Customer confirming that the User has demonstrated compliance:	
	(a) with the Grid Code, (or where they apply, that relevant derogations have been granted), and	
	(b) where applicable, with Appendices F1 to F5 of the Bilateral Agreement ,	
	in each case in respect of the Plant and Apparatus specified in such notification.	
Final Physical Notification Data	Has the meaning set out in the BSC .	
Final Report	A report prepared by the Test Proposer at the conclusion of a System Test for submission to The Company (if it did not propose the System Test) and other members of the Test Panel .	
Financial Year	Bears the meaning given in Condition A1 (Definitions and Interpretation) of The Company's Transmission Licence .	
Fixed Proposed Implementation Date	The proposed date(s) for the implementation of a Grid Code Modification Proposal or Workgroup Alternative Grid Code Modification such date to be a specific date by reference to an assumed date by which a direction from the Authority approving the Grid Code Modification Proposal or Workgroup Alternative Grid Code Modification is required in order for the Grid Code Modification Proposal or any Workgroup Alternative Grid Code Modification, if it were approved, to be implemented by the proposed date.	

Flicker Severity	A value derived from 12 successive measurements of Flicker Severity
(Long Term)	(Short Term) (over a two hour period) and a calculation of the cube root of the mean sum of the cubes of 12 individual measurements, as further set out in Engineering Recommendation P28 as current at the Transfer Date.
Flicker Severity	A measure of the visual severity of flicker derived from the time series
(Short Term)	output of a flickermeter over a 10 minute period and as such provides an indication of the risk of Customer complaints.
Forecast Data	Those items of Standard Planning Data and Detailed Planning Data which will always be forecast.
Frequency	The number of alternating current cycles per second (expressed in Hertz) at which a System is running.
Governor Deadband	An interval used intentionally to make the frequency control unresponsive
	In the case of mechanical governor systems the Governor Deadband is the same as Frequency Response Insensitivity
Governor Insensitivity	The inherent feature of the control system specified as the minimum magnitude of change in the frequency or input signal that results in a change of output power or output signal
GSP Group	Has the meaning as set out in the BSC
Frequency Sensitive AGR Unit	Each Generating Unit in an Existing AGR Plant for which the Generator has notified The Company that it has a safety case agreed with the Nuclear Installations Inspectorate enabling it to operate in Frequency Sensitive Mode, to the extent that such unit is within its Frequency Sensitive AGR Unit Limit. Each such Generating Unit shall be treated as if it were operating in accordance with BC3.5.1 provided that it is complying with its Frequency Sensitive AGR Unit Limit.
Frequency Sensitive AGR Unit Limit	In respect of each Frequency Sensitive AGR Unit , 8 (or such lower number which when added to the number of instances of flexibility for the purposes of assisting in a period of low System or Localised NRAPM totals 8) instances of reduction of output in any calendar year as instructed by The Company in relation to operation in Frequency Sensitive Mode (or such greater number as may be agreed between The Company and the Generator), for the purpose of assisting with Frequency control, provided the level of operation of each Frequency Sensitive AGR Unit in Frequency Sensitive Mode shall not be outside that agreed by the Nuclear Installations Inspectorate in the relevant safety case.
Frequency Sensitive Mode	A Genset, or Type C Power Generating Module or Type D Power Generating Module or DC Connected Power Park Module or HVDC System operating mode which will result in Active Power output changing, in response to a change in System Frequency, in a direction which assists in the recovery to Target Frequency, by operating so as to provide Primary Response and/or Secondary Response and/or High Frequency Response.
Fuel Security Code	The document of that title designated as such by the Secretary of State , as from time to time amended.
Gas Turbine Unit	A Generating Unit driven by a gas turbine (for instance by an aero- engine).
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Gas Zone Diagram	A single line diagram showing boundaries of, and interfaces between, gas-insulated HV Apparatus modules which comprise part, or the whole, of a substation at a Connection Site (or in the case of OTSDUW Plant and Apparatus , Transmission Interface Site), together with the associated stop valves and gas monitors required for the safe operation of the National Electricity Transmission System or the User System , as the case may be.
Gate Closure	Has the meaning set out in the BSC .
GB Code User	A User in respect of:-
	(a) A Generator or OTSDUA whose Main Plant and Apparatus is connected to the System before 27 April 2019, or who had concluded Purchase Contracts for its Main Plant and Apparatus before 17 May 2018, or whose Plant and Apparatus is not the subject of a Substantial Modification which is effective on or after 27 April 2019; or
	(b) A DC Converter Station owner whose Main Plant and Apparatus is connected to the System before 8 September 2019, or who had concluded Purchase Contracts for its Main Plant and Apparatus before 28 September 2018, or whose Plant and Apparatus is not the subject of a Substantial Modification which is effective on or after 8 September 2019; or
	(c) A Non Embedded Customer whose Main Plant and Apparatus was connected to the National Electricity Transmission System at a GB Grid Supply Point before 18 August 2019 or who had placed Purchase Contracts for its Main Plant and Apparatus before 7 September 2018 or that Non Embedded Customer is not the subject of a Substantial Modification which is effective on or after 18 August 2019.2018.;or
	 (d) A Network Operator whose entire distribution System was connected to the National Electricity Transmission System at one or more GB Grid Supply Points before 18 August 2019 or who had placed Purchase Contracts for its Main Plant and Apparatus in respect of its entire distribution System before 7 September 2018 or its entire distribution System is not the subject of a Substantial Modification which is effective on or after 18 August 2019. For the avoidance of doubt, a Network Operator would still be classed as a GB Code User where its entire distribution System at one or more GB Grid Supply Points, even where that entire distribution System may have one or more EU Grid Supply Points but still comprises of GB Grid Supply Points.
GB Generator	A Generator, or OTSDUA, who is also a GB Code User.
GB Grid Supply Point	A Grid Supply Point which is not an EU Grid Supply Point.

GB Synchronous Area	The AC power System in Great Britain which connects User's , Relevant Transmission Licensee's whose AC Plant and Apparatus is considered to operate in synchronism with each other at each Connection Point or User System Entry Point and at the same System Frequency .
GCDF	Means the Grid Code Development Forum.
General Conditions or GC	That portion of the Grid Code which is identified as the General Conditions .
Generating Plant Demand Margin	The difference between Output Usable and forecast Demand .
Generating Unit	An Onshore Generating Unit and/or an Offshore Generating Unit which could also be part of a Power Generating Module .
Generating Unit Data	The Physical Notification , Export and Import Limits and Other Relevant Data only in respect of each Generating Unit (which could be part of a Power Generating Module):
	 (a) which forms part of the BM Unit which represents that Cascade Hydro Scheme;
	(b) at an Embedded Exemptable Large Power Station , where the relevant Bilateral Agreement specifies that compliance with BC1 and/or BC2 is required:
	(i) to each Generating Unit , or
	(ii) to each Power Park Module where the Power Station comprises Power Park Modules
Generation Capacity	Has the meaning set out in the BSC .
Generation Planning Parameters	Those parameters listed in Appendix 2 of OC2 .
Generator	A person who generates electricity under licence or exemption under the Act acting in its capacity as a generator in Great Britain or Offshore . The term Generator includes a EU Generator and a GB Generator .
Generator Performance Chart	A diagram which shows the MW and Mvar capability limits within which a Generating Unit will be expected to operate under steady state conditions.
Genset	A Power Generating Module (including a DC Connected Power Park Module), Generating Unit, Power Park Module or CCGT Module at a Large Power Station or any Power Generating Module (including a DC Connected Power Park Module), Generating Unit, Power Park Module or CCGT Module which is directly connected to the National Electricity Transmission System.
Good Industry Practice	The exercise of that degree of skill, diligence, prudence and foresight which would reasonably and ordinarily be expected from a skilled and experienced operator engaged in the same type of undertaking under the same or similar circumstances.
Governance Rules or GR	That portion of the Grid Code which is identified as the Governance Rules .

Creat Britain of CD	The landmane of England and Wales and Contant including interest
Great Britain or GB	The landmass of England and Wales and Scotland, including internal waters.
Grid Code Fast Track Proposals	A proposal to modify the Grid Code which is raised pursuant to GR.26 and has not yet been approved or rejected by the Grid Code Review Panel .
Grid Code Modification Fast Track Report	A report prepared pursuant to GR.26
Grid Code Modification Register	Has the meaning given in GR.13.1.
Grid Code Modification Report	Has the meaning given in GR.22.1.
Grid Code Modification Procedures	The procedures for the modification of the Grid Code (including the implementation of Approved Modifications) as set out in the Governance Rules .
Grid Code Modification Proposal	A proposal to modify the Grid Code which is not yet rejected pursuant to GR.15.5 or GR.15.6 and has not yet been implemented.
Grid Code Modification Self- Governance Report	Has the meaning given in GR.24.5
Grid Code Objectives	Means the objectives referred to in Paragraph 1b of Standard Condition C14 of The Company's Transmission Licence .
Grid Code Review Panel or Panel	The panel with the functions set out in GR.1.2.
Grid Code Review Panel Recommendation Vote	The vote of Panel Members undertaken by the Panel Chairman in accordance with Paragraph GR.22.4 as to whether in their view they believe each proposed Grid Code Modification Proposal , or Workgroup Alternative Grid Code Modification would better facilitate achievement of the Grid Code Objective(s) and so should be made.
Grid Code Review Panel Self-Governance Vote	The vote of Panel Members undertaken by the Panel Chairman in accordance with GR.24.9 as to whether they believe each proposed Grid Code Modification Proposal, as compared with the then existing provisions of the Grid Code and any Workgroup Alternative Grid Code Modification set out in the Grid Code Modification Self- Governance Report , would better facilitate achievement of the Grid Code Objective(s) .
Grid Code Self- Governance Proposals	Grid Code Modification Proposals which satisfy the Self Governance Criteria.
Grid Entry Point	An Onshore Grid Entry Point or an Offshore Grid Entry Point.
Grid Supply Point	A point of supply from the National Electricity Transmission System to Network Operators or Non-Embedded Customers which could be a GB Grid Supply Point or an EU Grid Supply Point.

Group	Those National Electricity Transmission System sub-stations bounded solely by the faulted circuit(s) and the overloaded circuit(s) excluding any third party connections between the Group and the rest of the National Electricity Transmission System , the faulted circuit(s) being a Secured Event .
Headroom	The Power Available (in MW) less the actual Active Power exported from the Power Park Module (in MW).
High Frequency Response	An automatic reduction in Active Power output in response to an increase in System Frequency above the Target Frequency (or such other level of Frequency as may have been agreed in an Ancillary Services Agreement). This reduction in Active Power output must be in accordance with the provisions of the relevant Ancillary Services Agreement which will provide that it will be released increasingly with time over the period 0 to 10 seconds from the time of the Frequency increase on the basis set out in the Ancillary Services Agreement and fully achieved within 10 seconds of the time of the Frequency increase and it must be sustained at no lesser reduction thereafter. The interpretation of the High Frequency Response to a + 0.5 Hz frequency change is shown diagrammatically in Figure CC.A.3.3.
High Voltage or HV	For E&W Transmission Systems , a voltage exceeding 650 volts. For Scottish Transmission Systems , a voltage exceeding 1000 volts.
Houseload Operation	Operation which ensures that a Power Station is able to continue to supply its in-house load in the event of System faults resulting in Power-Generating Modules being disconnected from the System and tripped onto their auxiliary supplies
HV Connections	Apparatus connected at the same voltage as that of the National Electricity Transmission System, including Users' circuits, the higher voltage windings of Users' transformers and associated connection Apparatus.
HVDC Converter	Any EU Code User Apparatus used to convert alternating current electricity to direct current electricity, or vice versa. An HVDC Converter is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, reactors, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion. In a bipolar arrangement, an HVDC Converter represents the bipolar configuration.
HVDC Converter Station	Part of an HVDC System which consists of one or more HVDC Converters installed in a single location together with buildings, reactors, filters reactive power devices, control, monitoring, protective, measuring and auxiliary equipment.
HVDC Equipment	Collectively means an HVDC System and a DC Connected Power Park Module and a Remote End HVDC Converter Station.
HVDC Interface Point	A point at which HVDC Plant and Apparatus is connected to an AC System at which technical specifications affecting the performance of the Plant and Apparatus can be prescribed.
HVDC System	An electrical power system which transfers energy in the form of high voltage direct current between two or more alternating current (AC) buses and comprises at least two HVDC Converter Stations with DC Transmission lines or cables between the HVDC Converter Stations .
HVDC System Owner	A party who owns and is responsible for an HVDC System . For the avoidance of doubt a DC Connected Power Park Module owner would be treated as a Generator .

HP Turbine Power Fraction	Ratio of steady state mechanical power delivered by the HP turbine to the total steady state mechanical power delivered by the total steam turbine at Registered Capacity or Maximum Capacity .
IEC	International Electrotechnical Commission.
IEC Standard	A standard approved by the International Electrotechnical Commission.
Implementation Date	Is the date and time for implementation of an Approved Modification as specified in accordance with Paragraph GR.25.3.
Implementing Safety Co-ordinator	The Safety Co-ordinator implementing Safety Precautions.
Import Usable	That portion of Registered Import Capacity which is expected to be available and which is not unavailable due to a Planned Outage .
Incident Centre	A centre established by The Company or a User as the focal point in The Company or in that User , as the case may be, for the communication and dissemination of information between the senior management representatives of The Company , or of that User , as the case may be, and the relevant other parties during a Joint System Incident in order to avoid overloading The Company's , or that User's , as the case may be, existing operational/control arrangements.
Independent Back-Up Protection	A Back-Up Protection system which utilises a discrete relay, different current transformers and an alternate operating principle to the Main Protection systems(s) such that it can operate autonomously in the event of a failure of the Main Protection .
Independent Main Protection	A Main Protection system which utilises a physically discrete relay and different current transformers to any other Main Protection .
Indicated Constraint Boundary Margin	The difference between a constraint boundary transfer limit and the difference between the sum of BM Unit Maximum Export Limits and the forecast of local Demand within the constraint boundary.
Indicated Imbalance	The difference between the sum of Physical Notifications for BM Units comprising Generating Units or CCGT Modules or Power Generating Modules and the forecast of Demand for the whole or any part of the System .
Indicated Margin	The difference between the sum of BM Unit Maximum Export Limits submitted and the forecast of Demand for the whole or any part of the System
Installation Document	A simple structured document containing information about a Type A Power Generating Module or a Demand Unit , with demand response connected below 1000 V, and confirming its compliance with the relevant requirements
Instructor Facilities	A device or system which gives certain Transmission Control Centre instructions with an audible or visible alarm, and incorporates the means to return message acknowledgements to the Transmission Control Centre

Integral Equipment Test or IET	A test on equipment, associated with Plant and/or Apparatus , which takes place when that Plant and/or Apparatus forms part of a Synchronised System and which, in the reasonable judgement of the person wishing to perform the test, may cause an Operational Effect .
Intellectual Property" or "IPRs	Patents, trade marks, service marks, rights in designs, trade names, copyrights and topography rights (whether or not any of the same are registered and including applications for registration of any of the same) and rights under licences and consents in relation to any of the same and all rights or forms of protection of a similar nature or having equivalent or similar effect to any of the same which may subsist anywhere in the world.
Interconnection Agreement	An agreement made between The Company and an Externally Interconnected System Operator and/or an Interconnector User and/or other relevant persons for the External Interconnection relating to an External Interconnection and/or an agreement under which an Interconnector User can use an External Interconnection .
Interconnector Export Capacity	In relation to an External Interconnection means the (daily or weekly) forecast value (in MW) at the time of the (daily or weekly) peak demand, of the maximum level at which the External Interconnection can export to the Grid Entry Point .
Interconnector Import Capacity	In relation to an External Interconnection means the (daily or weekly) forecast value (in MW) at the time of the (daily or weekly) peak demand of the maximum level at which the External Interconnection can import from the Grid Entry Point .
Interconnector Owner	Has the meaning given to the term in the Connection and Use of System Code .
Interconnector User	Has the meaning set out in the BSC .
Interface Agreement	Has the meaning set out in the CUSC .
Interface Point	As the context admits or requires either;
	 (a) the electrical point of connection between an Offshore Transmission System and an Onshore Transmission System, or
	(b) the electrical point of connection between an Offshore Transmission System and a Network Operator's User System .
Interface Point Capacity	The maximum amount of Active Power transferable at the Interface Point as declared by a User under the OTSDUW Arrangements expressed in whole MW.
Interface Point Target Voltage/Power factor	The nominal target voltage/power factor at an Interface Point which a Network Operator requires The Company to achieve by operation of the relevant Offshore Transmission System .

Interim Operational Notification or ION	A notification from The Company to a Generator or DC Converter Station owner or HVDC System Operator or Network Operator or Non Embedded Customer acknowledging that the User has demonstrated compliance, except for the Unresolved Issues ;
	(a) with the Grid Code, and
	(b) where applicable, with Appendices F1 to F5 of the Bilateral Agreement ,
	in each case in respect of the Plant and Apparatus (including OTSUA) specified in such notification and provided that in the case of the OTSDUW Arrangements such notification shall be provided to a Generator in two parts dealing with the OTSUA and Generator's Plant and Apparatus (called respectively "Interim Operational Notification Part A" or "ION A" and "Interim Operational Notification Part B" or "ION B") as provided for in the CP.
Intermittent Power Source	The primary source of power for a Generating Unit or Power Generating Module that can not be considered as controllable, e.g. wind, wave or solar.
Intertripping	 (a) The tripping of circuit-breaker(s) by commands initiated from Protection at a remote location independent of the state of the local Protection; or (b) Operational Intertripping.
Intertrip Apparatus	Apparatus which performs Intertripping.
IP Turbine Power Fraction	Ratio of steady state mechanical power delivered by the IP turbine to the total steady state mechanical power delivered by the total steam turbine at Registered Capacity or Maximum Capacity .
Isolating Device	A device for achieving Isolation .

Isolation	The disconnection of HV Apparatus (as defined in OC8A.1.6.2 and OC8B.1.7.2) from the remainder of the System in which that HV Apparatus is situated by either of the following:
	 (a) an Isolating Device maintained in an isolating position. The isolating position must either be:
	(i) maintained by immobilising and Locking the Isolating Device in the isolating position and affixing a Caution Notice to it. Where the Isolating Device is Locked with a Safety Key, the Safety Key must be secured in a Key Safe and the Key Safe Key must be, where reasonably practicable, given to the authorised site representative of the Requesting Safety Co-Ordinator and is to be retained in safe custody. Where not reasonably practicable the Key Safe Key must be retained by the authorised site representative of the Implementing Safety Co-ordinator in safe custody; or
	 (ii) maintained and/or secured by such other method which must be in accordance with the Local Safety Instructions or the Safety Rules of the Relevant Transmission Licensee or that User, as the case may be; or
	(b) an adequate physical separation which must be in accordance with and maintained by the method set out in the Local Safety Instructions or the Safety Rules of the Relevant Transmission Licensee or that User, as the case may be.
Joint BM Unit Data	Has the meaning set out in the BSC .
Joint System Incident	An Event wherever occurring (other than on an Embedded Medium Power Station or an Embedded Small Power Station) which, in the opinion of The Company or a User, has or may have a serious and/or widespread effect, in the case of an Event on a User(s) System(s) (other than on an Embedded Medium Power Station or Embedded Small Power Station), on the National Electricity Transmission System, and in the case of an Event on the National Electricity Transmission System, on a User(s) System(s) (other than on an Embedded Medium Power Station or Embedded Small Power Station).
Key Safe	A device for the secure retention of keys.
Key Safe Key	A key unique at a Location capable of operating a lock, other than a control lock, on a Key Safe .

Large Power Station	A Power Station which is
	(a) directly connected to:
	(i) NGET's Transmission System where such Power Station has a Registered Capacity of 100MW or more; or
	(ii) SPT's Transmission System where such Power Station has a Registered Capacity of 30MW or more; or
	(iii) SHETL's Transmission System where such Power Station has a Registered Capacity of 10MW or more; or
	(iv) an Offshore Transmission System where such Power Station has a Registered Capacity of 10MW or more;
	or,
	(b) Embedded within a User System (or part thereof) where such User System (or part thereof) is connected under normal operating conditions to:
	(i) NGET's Transmission System and such Power Station has a Registered Capacity of 100MW or more; or
	(ii) SPT's Transmission System and such Power Station has a Registered Capacity of 30MW or more; or
	(iii) SHETL's Transmission System and such Power Station has a Registered Capacity of 10MW or more;
	or,
	 (c) Embedded within a User System (or part thereof) where the User System (or part thereof) is not connected to the National Electricity Transmission System, although such Power Station is in:
	(i) NGET's Transmission Area where such Power Station has a Registered Capacity of 100MW or more; or
	(ii) SPT's Transmission Area where such Power Station has a Registered Capacity of 30MW or more; or
	(iii) SHETL's Transmission Area where such Power Station has a Registered Capacity of 10MW or more;
	For the avoidance of doubt a Large Power Station could comprise of Type A, Type B, Type C or Type D Power Generating Modules.
Legal Challenge	Where permitted by law a judicial review in respect of the Authority's decision to approve or not to approve a Grid Code Modification Proposal .
Licence	Any licence granted to The Company or a Relevant Transmission Licensee or a User , under Section 6 of the Act .
Licence Standards	Those standards set out or referred to in Condition C17 of The Company's Transmission Licence and/or Condition D3 and/or Condition E16 of a Relevant Transmission Licensee's Transmission Licence.

Limited Frequency Sensitive Mode	A mode whereby the operation of the Genset or Power Generating Module (or DC Converter at a DC Converter Station or HVDC Systems exporting Active Power to the Total System) is Frequency insensitive except when the System Frequency exceeds 50.4Hz, from which point Limited High Frequency Response must be provided. For Power Generating Modules (including DC Connected Power Park Modules) and HVDC Systems, operation in Limited Frequency Sensitive Mode would require Limited Frequency Sensitive Mode – Overfrequency (LFSM-O) capability and Limited Frequency Sensitive Mode – Underfrequency (LFSM-U) capability.
Limited Frequency Sensitive Mode – Overfrequency or LFSM-O	A Power Generating Module (including a DC Connected Power Park Module) or HVDC System operating mode which will result in Active Power output reduction in response to a change in System Frequency above a certain value.
Limited Frequency Sensitive Mode – Underfrequency or LFSM-U	A Power Generating Module (including a DC Connected Power Park Module) or HVDC System operating mode which will result in Active Power output increase in response to a change in System Frequency below a certain value.
Limited High Frequency Response	A response of a Genset (or DC Converter at a DC Converter Station exporting Active Power to the Total System) to an increase in System Frequency above 50.4Hz leading to a reduction in Active Power in accordance with the provisions of BC3.7.2.1
Limited Operational Notification or LON	 A notification from The Company to a Generator or DC Converter Station owner or HVDC System Owner or Network Operator or Non-Embedded Customer stating that the User's Plant and/or Apparatus specified in such notification may be, or is, unable to comply: (a) with the provisions of the Grid Code specified in the notice, and (b) where applicable, with Appendices F1 to F5 of the Bilateral Agreement , and specifying the Unresolved Issues.
Load	The Active , Reactive or Apparent Power , as the context requires, generated, transmitted or distributed.
Loaded	Supplying electrical power to the System .
Load Factor	The ratio of the actual output of a Generating Unit or Power Generating Module to the possible maximum output of that Generating Unit or Power Generating Module .
Load Management Block	A block of Demand controlled by a Supplier or other party through the means of radio teleswitching or by some other means.
Local Joint Restoration Plan	A plan produced under OC9.4.7.12 detailing the agreed method and procedure by which a Genset at a Black Start Station (possibly with other Gensets at that Black Start Station) will energise part of the Total System and meet complementary blocks of local Demand so as to form a Power Island .
	In Scotland, the plan may also: cover more than one Black Start Station ; include Gensets other than those at a Black Start Station and cover the creation of one or more Power Islands .

A procedure produced under OC7.6 detailing the agreed arrangements in respect of carrying out of Operational Switching at Connection Sites and parts of the National Electricity Transmission System adjacent to those Connection Sites . That margin of Active Power sufficient to allow transfers to and from a System Constraint Group (as the case may be) to be contained within such reasonable limit as The Company may determine. Any place at which Safety Precautions are to be applied. A condition of HV Apparatus that cannot be altered without the operation
System Constraint Group (as the case may be) to be contained within such reasonable limit as The Company may determine. Any place at which Safety Precautions are to be applied.
A condition of HV Apparatus that cannot be altered without the operation
of a locking device.
The application of a locking device which enables HV Apparatus to be Locked .
Has the same meaning as Under Frequency Relay .
For E&W Transmission Systems a voltage not exceeding 250 volts. For Scottish Transmission Systems , a voltage exceeding 50 volts but not exceeding 1000 volts.
Unless otherwise specified in the Bilateral Agreement , the busbar on the Offshore Platform (typically 33kV) at which the relevant Offshore Grid Entry Point is located.
In respect of a Power Station (including Power Stations comprising of DC Connected Power Park Modules) is one or more of the principal items of Plant or Apparatus required to convert the primary source of energy into electricity. In respect of HVDC Systems or DC Converters or Transmission DC Converters is one of the principal items of Plant or Apparatus used to
convert high voltage direct current to high voltage alternating current or vice versa. In respect of a Network Operator's equipment or a Non-Embedded Customer's equipment, is one of the principal items of Plant or Apparatus required to facilitate the import or export of Active Power or

Main Protection	A Protection system which has priority above other Protection in initiating either a fault clearance or an action to terminate an abnormal condition in a power system.
Manufacturer's Data & Performance Report	A report submitted by a manufacturer to The Company relating to a specific version of a Power Park Unit demonstrating the performance characteristics of such Power Park Unit in respect of which The Company has evaluated its relevance for the purposes of the Compliance Processes .
Manufacturer's Test Certificates	A certificate prepared by a manufacturer which demonstrates that its Power Generating Module has undergone appropriate tests and conforms to the performance requirements expected by The Company in satisfying its compliance requirements and thereby satisfies the appropriate requirements of the Grid Code and Bilateral Agreement .
Market Operation Data Interface System (MODIS)	A computer system operated by The Company and made available for use by Customers connected to or using the National Electricity Transmission System for the purpose of submitting EU Transparency Availability Data to The Company .
Market Suspension Threshold	Has the meaning given to the term 'Market Suspension Threshold' in Section G of the BSC .
Material Effect	An effect causing The Company or a Relevant Transmission Licensee to effect any works or to alter the manner of operation of Transmission Plant and/or Transmission Apparatus at the Connection Site (which term shall, in this definition and in the definition of " Modification " only, have the meaning ascribed thereto in the CUSC) or the site of connection or a User to effect any works or to alter the manner of operation of its Plant and/or Apparatus at the Connection Site or the site of connection which in either case involves that party in expenditure of more than £10,000.
Materially Affected Party	Any person or class of persons designated by the Authority as such.
Maximum Export Capability	The maximum continuous Active Power that a Network Operator or Non Embedded Customer can export to the Transmission System at the Grid Supply Point, as specified in the Bilateral Agreement.
Maximum Export Capacity	The maximum continuous Apparent Power expressed in MVA and maximum continuous Active Power expressed in MW which can flow from an Offshore Transmission System connected to a Network Operator's User System , to that User System .
Maximum Capacity or P _{max}	The maximum continuous Active Power which a Power Generating Module can produce, less any demand associated solely with facilitating the operation of that Power Generating Module and not fed into the System.
Maximum Generation Service or MGS	A service utilised by The Company in accordance with the CUSC and the Balancing Principles Statement in operating the Total System .
Maximum Generation Service Agreement	An agreement between a User and The Company for the payment by The Company to that User in respect of the provision by such User of a Maximum Generation Service .

Maximum HVDC Active Power Transmission Capacity (PHmax)	The maximum continuous Active Power which an HVDC System can exchange with the network at each Grid Entry Point or User System Entry Point as specified in the Bilateral Agreement or as agreed between The Company and the HVDC System Owner.
Maximum Import Capability	The maximum continuous Active Power that a Network Operator or Non Embedded Customer can import from the Transmission System at the Grid Supply Point, as specified in the Bilateral Agreement.
Maximum Import Capacity	The maximum continuous Apparent Power expressed in MVA and maximum continuous Active Power expressed in MW which can flow to an Offshore Transmission System connected to a Network Operator's User System , from that User System .
Medium Power Station	A Power Station which is
	 (a) directly connected to NGET's Transmission System where such Power Station has a Registered Capacity of 50MW or more but less than 100MW; or,
	 (b) Embedded within a User System (or part thereof) where such User System (or part thereof) is connected under normal operating conditions to NGET's Transmission System and such Power Station has a Registered Capacity of 50MW or more but less than 100MW; or,
	 (c) Embedded within a User System (or part thereof) where the User System (or part thereof) is not connected to the National Electricity Transmission System, although such Power Station is in NGET's Transmission Area and such Power Station has a Registered Capacity of 50MW or more but less than 100MW.
	For the avoidance of doubt a Medium Power Station could comprise of Type A , Type B , Type C or Type D Power Generating Modules .
Medium Voltage or MV	For E&W Transmission Systems a voltage exceeding 250 volts but not exceeding 650 volts.
Mills	Milling plant which supplies pulverised fuel to the boiler of a coal fired Power Station .
Minimum Generation	The minimum output (in whole MW) which a Genset can generate or DC Converter at a DC Converter Station can import or export to the Total System under stable operating conditions, as registered with The Company under the PC (and amended pursuant to the PC). For the avoidance of doubt, the output may go below this level as a result of operation in accordance with BC3.7.
Minimum Active Power Transmission Capacity (PHmin)	The minimum continuous Active Power which an HVDC System can exchange with the System at each Grid Entry Point or User System Entry Point as specified in the Bilateral Agreement or as agreed between The Company and the HVDC System Owner

Minimum Import Capacity	The minimum input (in whole MW) into a DC Converter at a DC Converter Station or HVDC System at an HVDC Converter (in any of its operating configurations) at the Onshore Grid Entry Point (or in the case of an Embedded DC Converter or an Embedded HVDC Converter at the User System Entry Point) at which a DC Converter or HVDC Converter can operate in a stable manner, as registered with The Company under the PC (and amended pursuant to the PC).
Minimum Regulating Level	The minimum Active Power , as specified in the Bilateral Agreement or as agreed between The Company and the Generator , down to which the Power Generating Module can control Active Power ;
Minimum Stable Operating Level	The minimum Active Power , as specified in the Bilateral Agreement or as agreed between The Company and the Generator , at which the Power Generating Module can be operated stably for an unlimited time.
Modification	Any actual or proposed replacement, renovation, modification, alteration or construction by or on behalf of a User or The Company to either that User's Plant or Apparatus or Transmission Plant or Apparatus , as the case may be, or the manner of its operation which has or may have a Material Effect on The Company or a User , as the case may be, at a particular Connection Site .
Mothballed DC Connected Power Park Module	A DC Connected Power Park Module that has previously generated which the Generator plans not to use to generate for the remainder of the current Financial Year but which could be returned to service.
Mothballed DC Converter at a DC Converter Station	A DC Converter at a DC Converter Station that has previously imported or exported power which the DC Converter Station owner plans not to use to import or export power for the remainder of the current Financial Year but which could be returned to service.
Mothballed HVDC System	An HVDC System that has previously imported or exported power which the HVDC System Owner plans not to use to import or export power for the remainder of the current Financial Year but which could be returned to service.
Mothballed HVDC Converter	An HVDC Converter which is part of an HVDC System that has previously imported or exported power which the HVDC System Owner plans not to use to import or export power for the remainder of the current Financial Year but which could be returned to service.
Mothballed Generating Unit	A Generating Unit that has previously generated which the Generator plans not to use to generate for the remainder of the current Financial Year but which could be returned to service. For the avoidance of doubt a Mothballed Generating Unit could be part of a Power Generating Module.
Mothballed Power Generating Module	A Power Generating Module that has previously generated which the Generator plans not to use to generate for the remainder of the current Financial Year but which could be returned to service.
Mothballed Power Park Module	A Power Park Module that has previously generated which the Generator plans not to use to generate for the remainder of the current Financial Year but which could be returned to service.

Multiple Point of Connection	A double (or more) Point of Connection , being two (or more) Points of Connection interconnected to each other through the User's System .
MSID	Has the meaning a set out in the BSC , covers Metering System Identifier
National Demand	The amount of electricity supplied from the Grid Supply Points plus:-
	• that supplied by Embedded Large Power Stations, and
	National Electricity Transmission System Losses,
	minus:-
	 the Demand taken by Station Transformers and Pumped Storage Units'
	and, for the purposes of this definition, does not include:-
	• any exports from the National Electricity Transmission System across External Interconnections.
National Electricity Transmission System	The Onshore Transmission System and, where owned by Offshore Transmission Licensees , Offshore Transmission Systems .
National Electricity	The amount of electricity supplied from the Grid Supply Points plus:-
Transmission System Demand	• that supplied by Embedded Large Power Stations, and
	• exports from the National Electricity Transmission System across External Interconnections, and
	National Electricity Transmission System Losses,
	and, for the purposes of this definition, includes:-
	• the Demand taken by Station Transformers and Pumped Storage Units .
National Electricity Transmission System Losses	The losses of electricity incurred on the National Electricity Transmission System .
National Electricity Transmission System Operator Area	Has the meaning set out in Schedule 1 of The Company's Transmission Licence .
National Electricity Transmission System Study Network Data File	A computer file produced by The Company which in The Company's view provides an appropriate representation of the National Electricity Transmission System for a specific point in time. The computer file will contain information and data on Demand on the National Electricity Transmission System and on Large Power Stations including Genset power output consistent with Output Usable and The Company's view of prevailing system conditions.

National Electricity Transmission System Warning	A warning issued by The Company to Users (or to certain Users only) in accordance with OC7.4.8.2, which provides information relating to System conditions or Events and is intended to :
	(a) alert Users to possible or actual Plant shortage, System problems and/or Demand reductions;
	(b) inform of the applicable period;
	(c) indicate intended consequences for Users ; and
	(d) enable specified Users to be in a state of readiness to receive instructions from The Company .
National Electricity Transmission System Warning - Demand Control Imminent	A warning issued by The Company , in accordance with OC7.4.8.7, which is intended to provide short term notice, where possible, to those Users who are likely to receive Demand reduction instructions from The Company within 30 minutes.
National Electricity Transmission System Warning - High Risk of Demand Reduction	A warning issued by The Company , in accordance with OC7.4.8.6, which is intended to alert recipients that there is a high risk of Demand reduction being implemented and which may normally result from an Electricity Margin Notice .
National Electricity Transmission System Warning - Electricity Margin Notice	A warning issued by The Company , in accordance with OC7.4.8.5, which is intended to invite a response from and to alert recipients to a decreased System Margin .
National Electricity Transmission System Warning - Risk of System Disturbance	A warning issued by The Company , in accordance with OC7.4.8.8, which is intended to alert Users of the risk of widespread and serious System disturbance which may affect Users .
Network Data	The data to be provided by The Company to Users in accordance with the PC , as listed in Part 3 of the Appendix to the PC .
Network Operator	A person with a User System directly connected to the National Electricity Transmission System to which Customers and/or Power Stations (not forming part of the User System) are connected, acting in its capacity as an operator of the User System, but shall not include a person acting in the capacity of an Externally Interconnected System Operator or a Generator in respect of OTSUA.
NGET	National Grid Electricity Transmission plc (NO: 2366977) whose registered office is at 1-3 Strand, London, WC2N 5EH
No-Load Field Voltage	Shall have the meaning ascribed to that term in IEC 34-16-1:1991 [equivalent to British Standard BS 4999 Section 116.1 : 1992].
No System Connection	As defined in OC8A.1.6.2 and OC8B.1.7.2
Notification of User's Intention to Operate	A notification from a Network Operator or Non-Embedded Customer to The Company informing The Company of the date upon which any Network Operator's or Non-Embedded Customer's Plant and Apparatus at an EU Grid Supply Point will be ready to be connected to the Transmission System .

Notification of User's Intention to Synchronise	A notification from a Generator or DC Converter Station owner or HVDC System Owner to The Company informing The Company of the date upon which any OTSUA, a Generating Unit(s), CCGT Module(s), Power Park Module(s), Power Generating Module(s) (including a DC Connected Power Park Module(s)), HVDC System or DC Converter(s) will be ready to be Synchronised to the Total System.
Non-Dynamic Frequency Response Service	A Demand Response Service in which the Demand is controlled through discrete switching rather than through continuous load changes in response to System Frequency changes.
Non-Embedded Customer	A Customer in Great Britain , except for a Network Operator acting in its capacity as such, receiving electricity direct from the Onshore Transmission System irrespective of from whom it is supplied.
Non-Synchronous Generating Unit	An Onshore Non-Synchronous Generating Unit or Offshore Non- Synchronous Generating Unit which could form part of a Power Generating Module.
Normal CCGT Module	A CCGT Module other than a Range CCGT Module.
Novel Unit	A tidal, wave, wind, geothermal, or any similar, Generating Unit.
OC9 De-synchronised Island Procedure	Has the meaning set out in OC9.5.4.
Offshore	Means wholly or partly in Offshore Waters , and when used in conjunction with another term and not defined means that the associated term is to be read accordingly.
Offshore DC Converter	Any User Apparatus located Offshore used to convert alternating current electricity to direct current electricity, or vice versa. An Offshore DC Converter is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion.
Offshore HVDC Converter	Any User Apparatus located Offshore used to convert alternating current electricity to direct current electricity, or vice versa. An Offshore HVDC Converter is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion.
Offshore Development Information Statement	A statement prepared by The Company in accordance with Special Condition C4 of The Company's Transmission Licence .
Offshore Generating Unit	Unless otherwise provided in the Grid Code, any Apparatus located Offshore which produces electricity, including, an Offshore Synchronous Generating Unit and Offshore Non-Synchronous Generating Unit which could also be part of a Power Generating Module

Offshore Grid Entry	In the case of:-
Point	(a) an Offshore Generating Unit or an Offshore Synchronous Power Generating Module or an Offshore DC Converter or an Offshore HVDC Converter, as the case may be, which is directly connected to an Offshore Transmission System, the point at which it connects to that Offshore Transmission System, or;
	(b) an Offshore Power Park Module which is directly connected to an Offshore Transmission System, the point where one Power Park String (registered by itself as a Power Park Module) or the collection of points where a number of Offshore Power Park Strings (registered as a single Power Park Module) connects to that Offshore Transmission System, or;
	(c) an External Interconnection which is directly connected to an Offshore Transmission System , the point at which it connects to that Offshore Transmission System .
Offshore Non- Synchronous Generating Unit	An Offshore Generating Unit that is not an Offshore Synchronous Generating Unit including for the avoidance of doubt a Power Park Unit located Offshore.
Offshore Platform	A single structure comprising of Plant and Apparatus located Offshore which includes one or more Offshore Grid Entry Points .
Offshore Power Park Module	A collection of one or more Offshore Power Park Strings (registered as a Power Park Module under the PC). There is no limit to the number of Power Park Strings within the Power Park Module , so long as they either:
	(a) connect to the same busbar which cannot be electrically split; or
	 (b) connect to a collection of directly electrically connected busbars of the same nominal voltage and are configured in accordance with the operating arrangements set out in the relevant Bilateral Agreement.
Offshore Power Park String	A collection of Offshore Generating Units or Power Park Units that are powered by an Intermittent Power Source, joined together by cables forming part of a User System with a single point of connection to an Offshore Transmission System. The connection to an Offshore Transmission System may include a DC Converter or HVDC Converter.
Offshore Synchronous Generating Unit	An Offshore Generating Unit which could be part of an Offshore Synchronous Power Generating Module in which, under all steady state conditions, the rotor rotates at a mechanical speed equal to the electrical frequency of the National Electricity Transmission System divided by the number of pole pairs of the Generating Unit.
Offshore Synchronous Power Generating Module	A Synchronous Power Generating Module located Offshore.
Offshore Tender Process	The process followed by the Authority to make, in prescribed cases, a determination on a competitive basis of the person to whom an offshore transmission licence is to be granted.

Offshore Transmission Distribution Connection Agreement	An agreement entered into by The Company and a Network Operator in respect of the connection to and use of a Network Operator's User System by an Offshore Transmission System .
Offshore Transmission Licensee	Such person in relation to whose Transmission Licence the standard conditions in Section E (offshore transmission owner standard conditions) of such Transmission Licence have been given effect, or any person in that prospective role who has acceded to the STC .
Offshore Transmission System	A system consisting (wholly or mainly) of high voltage electric lines and used for the transmission of electricity from one Power Station to a sub- station or to another Power Station or between sub-stations, and includes any Plant and Apparatus (including OTSUA) and meters in connection with the transmission of electricity but does not include any Remote Transmission Assets . An Offshore Transmission System extends from the Interface Point , or the Offshore Grid Entry Point(s) and may include Plant and Apparatus located Onshore and Offshore and, where the context permits, references to the Offshore Transmission System includes OTSUA .
Offshore Transmission System Development User Works or OTSDUW	In relation to a particular User where the OTSDUW Arrangements apply, means those activities and/or works for the design, planning, consenting and/or construction and installation of the Offshore Transmission System to be undertaken by the User as identified in Part 2 of Appendix I of the relevant Construction Agreement .
Offshore Transmission System User Assets or OTSUA	OTSDUW Plant and Apparatus constructed and/or installed by a User under the OTSDUW Arrangements which form an Offshore Transmission System that once transferred to a Relevant Transmission Licensee under an Offshore Tender Process will become part of the National Electricity Transmission System.
Offshore Waters	Has the meaning given to "offshore waters" in Section 90(9) of the Energy Act 2004.
Offshore Works Assumptions	In relation to a particular User means those assumptions set out in Appendix P of the relevant Construction Agreement as amended from time to time.
Onshore	Means within Great Britain , and when used in conjunction with another term and not defined means that the associated term is to be read accordingly.
Onshore DC Converter	Any User Apparatus located Onshore with a Completion Date after 1 st April 2005 used to convert alternating current electricity to direct current electricity, or vice versa. An Onshore DC Converter is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion. In a bipolar arrangement, an Onshore DC Converter represents the bipolar configuration.
Onshore Generating Unit	Unless otherwise provided in the Grid Code, any Apparatus located Onshore which produces electricity, including, an Onshore Synchronous Generating Unit and Onshore Non-Synchronous Generating Unit which could also be part of a Power Generating Module .

Onshore Grid Entry Point	A point at which a Onshore Generating Unit or a CCGT Module or CCGT Unit or an Onshore Power Generating Module or a Onshore DC Converter or an Onshore HVDC Converter or a Onshore Power Park Module or an External Interconnection, as the case may be which is directly connected to the Onshore Transmission System connects to the Onshore Transmission System.
Onshore HVDC Converter	Any User Apparatus located Onshore used to convert alternation current electricity to direct current electricity, or vice versa. An Onshore HVDC Converter is a standalone operative configuration at a single sit comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion. In bipolar arrangement, an Onshore HVDC Converter represents the bipolar configuration.
Onshore Non- Synchronous Generating Unit	A Generating Unit located Onshore that is not a Synchronou Generating Unit including for the avoidance of doubt a Power Park Un located Onshore.
Onshore Power Park Module	A collection of Non-Synchronous Generating Units (registered as Power Park Module under the PC) that are powered by an Intermitter Power Source or connected through power electronic conversion technology, joined together by a System with a single electrical point of connection directly to the Onshore Transmission System (or Use System if Embedded) with no intermediate Offshore Transmission System connections. The connection to the Onshore Transmission System (or User System if Embedded) may include a DC Converter of HVDC Converter.
Onshore Synchronous Generating Unit	An Onshore Generating Unit (which could also be part of an Onshor Power Generating Module) including, for the avoidance of doubt, CCGT Unit in which, under all steady state conditions, the rotor rotates a a mechanical speed equal to the electrical frequency of the Nationa Electricity Transmission System divided by the number of pole pairs of the Generating Unit.
Onshore Synchronous Power Generating Module	A Synnchronous Power Generating Module located Onshore.
Onshore Transmission Licensee	NGET, SPT, or SHETL.
Onshore Transmission System	The system consisting (wholly or mainly) of high voltage electric line owned or operated by Onshore Transmission Licensees or operate by The Company and used for the transmission of electricity from on Power Station to a substation or to another Power Station or between substations or to or from Offshore Transmission Systems or to or from any External Interconnection , and includes any Plant and Apparatu and meters owned or operated by any Onshore Transmission Licensee in connection with the transmission of electricity but does not include any Remote Transmission Assets .
On-Site Generator Site	A site which is determined by the BSC Panel to be a Trading Unit under the BSC by reason of having fulfilled the Class 1 or Class 2 requirement as such terms are used in the BSC .
Operating Code or OC	That portion of the Grid Code which is identified as the Operating Code
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Operating Margin	Contingency Reserve plus Operating Reserve.
Operating Reserve	The additional output from Large Power Stations or the reduction in Demand , which must be realisable in real-time operation to respond in order to contribute to containing and correcting any System Frequency fall to an acceptable level in the event of a loss of generation or a loss of import from an External Interconnection or mismatch between generation and Demand.
Operation	A scheduled or planned action relating to the operation of a System (including an Embedded Power Station).
Operational Data	Data required under the Operating Codes and/or Balancing Codes .
Operational Day	The period from 0500 hours on one day to 0500 on the following day.
Operation Diagrams	Diagrams which are a schematic representation of the HV Apparatus and the connections to all external circuits at a Connection Site (and in the case of OTSDUW , Transmission Interface Site), incorporating its numbering, nomenclature and labelling.
Operational Effect	Any effect on the operation of the relevant other System which causes the National Electricity Transmission System or the System of the other User or Users , as the case may be, to operate (or be at a materially increased risk of operating) differently to the way in which they would or may have operated in the absence of that effect.
Operational Intertripping	The automatic tripping of circuit-breakers to prevent abnormal system conditions occurring, such as over voltage, overload, System instability, etc. after the tripping of other circuit-breakers following power System fault(s) which includes System to Generating Unit , System to CCGT Module , System to Power Park Module , System to DC Converter , System to Power Generating Module , System to HVDC Converter and System to Demand intertripping schemes.
Operational Notifications	Any Energisation Operational Notification, Interim Operational Notification, Final Operational Notification or Limited Operational Notification issued from The Company to a User.
Operational Planning	Planning through various timescales the matching of generation output with forecast National Electricity Transmission System Demand together with a reserve of generation to provide a margin, taking into account outages of certain Generating Units or Power Generating Modules, of parts of the National Electricity Transmission System and of parts of User Systems to which Power Stations and/or Customers are connected, carried out to achieve, so far as possible, the standards of security set out in The Company's Transmission Licence, each Relevant Transmission Licensee's Transmission Licence or Electricity Distribution Licence, as the case may be.
Operational Planning Margin	An operational planning margin set by The Company .
Operational Planning Phase	The period from 8 weeks to the end of the 5 th year ahead of real time operation.

Operational Procedures	Management instructions and procedures, both in support of the Safety Rules and for the local and remote operation of Plant and Apparatus, issued in connection with the actual operation of Plant and/or Apparatus at or from a Connection Site.
Operational Switching	Operation of Plant and/or Apparatus to the instruction of the relevant Control Engineer . For the avoidance of doubt, the operation of Transmission Plant and/or Apparatus forming part of the National Electricity Transmission System will be to the instruction of the Relevant Transmission Licensee .
Other Relevant Data	The data listed in BC1.4.2(f) under the heading Other Relevant Data.
OTSDUW Arrangements	The arrangements whereby certain aspects of the design, consenting, construction, installation and/or commissioning of transmission assets are capable of being undertaken by a User prior to the transfer of those assets to a Relevant Transmission Licensee under an Offshore Tender Process .
OTSDUW Data and Information	The data and information to be provided by Users undertaking OTSDUW , to The Company in accordance with Appendix F of the Planning Code .
OTSDUW DC Converter	A Transmission DC Converter designed and/or constructed and/or installed by a User under the OTSDUW Arrangements and/or operated by the User until the OTSUA Transfer Time .
OTSDUW Development and Data Timetable	The timetable for both the delivery of OTSDUW Data and Information and OTSDUW Network Data and Information as referred to in Appendix F of the Planning Code and the development of the scope of the OTSDUW .
OTSDUW Network Data and Information	The data and information to be provided by The Company to Users undertaking OTSDUW in accordance with Appendix F of the Planning Code .
OTSDUW Plant and Apparatus	Plant and Apparatus , including any OTSDUW DC Converter , designed by the User under the OTSDUW Arrangements .
OTSUA Transfer Time	The time and date at which the OTSUA are transferred to a Relevant Transmission Licensee .
Out of Synchronism	The condition where a System or Generating Unit or Power Generating Module cannot meet the requirements to enable it to be Synchronised .
Output Usable or OU	The (daily or weekly) forecast value (in MW), at the time of the (daily or weekly) peak demand, of the maximum level at which the Genset can export to the Grid Entry Point , or in the case of Embedded Power Stations , to the User System Entry Point . In addition, for a Genset powered by an Intermittent Power Source the forecast value is based upon the Intermittent Power Source being at a level which would enable the Genset to generate at Registered Capacity .
	For the purpose of OC2 only, the term Output Usable shall include the terms Interconnector Export Capacity and Interconnector Import Capacity where the term Output Usable is being applied to an External Interconnection .

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Over-excitation Limiter	Shall have the meaning ascribed to that term in IEC 34-16-1:1991 [equivalent to British Standard BS 4999 Section 116.1 : 1992].
Panel Chairman	A person appointed as such in accordance with GR.4.1.
Panel Member	Any of the persons identified as such in GR.4.
Panel Members' Recommendation	The recommendation in accordance with the "Grid Code Review Panel Recommendation Vote"
Panel Secretary	A person appointed as such in accordance with GR.3.1.2(d).
Part 1 System Ancillary Services	Ancillary Services which are required for System reasons and which must be provided by Users in accordance with the Connection Conditions. An exhaustive list of Part 1 System Ancillary Services is included in that part of CC.8.1 headed Part 1.
Part 2 System Ancillary Services	Ancillary Services which are required for System reasons and which must be provided by a User if the User has agreed to provide them under a Bilateral Agreement. A non-exhaustive list of Part 2 System Ancillary Services is included in that part of CC.8.1 headed Part 2.
Part Load	The condition of a Genset , or Cascade Hydro Scheme which is Loaded but is not running at its Maximum Export Limit.
Permit for Work for proximity work	In respect of E&W Transmission Systems , a document issued by the Relevant E&W Transmission Licensee or an E&W User in accordance with its respective Safety Rules to enable work to be carried out in accordance with OC8A.8 and which provides for Safety Precautions to be applied and maintained. An example format of a Relevant E&W Transmission Licensee 's permit for work is attached as Appendix E to OC8A .
	In respect of Scottish Transmission Systems, a document issued by a Relevant Scottish Transmission Licensee or a Scottish User in accordance with its respective Safety Rules to enable work to be carried out in accordance with OC8B.8 and which provides for Safety Precautions to be applied and maintained. Example formats of Relevant Scottish Transmission Licensees' permits for work are attached as Appendix E to OC8B.
Partial Shutdown	The same as a Total Shutdown except that all generation has ceased in a separate part of the Total System and there is no electricity supply from External Interconnections or other parts of the Total System to that part of the Total System and, therefore, that part of the Total System is shutdown, with the result that it is not possible for that part of the Total System to begin to function again without The Company's directions relating to a Black Start .
Pending Grid Code Modification Proposal	A Grid Code Modification Proposal in respect of which, at the relevant time, the Authority has not yet made a decision as to whether to direct such Grid Code Modification Proposal to be made pursuant to the Transmission Licence (whether or not a Grid Code Modification Report has been submitted in respect of such Grid Code Modification Proposal) or, in the case of a Grid Code Self Governance Proposals, in respect of which the Grid Code Review Panel has not yet voted whether or not to approve.

Phase (Voltage) Unbalance	The ratio (in percent) between the rms values of the negative sequence component and the positive sequence component of the voltage.
Physical Notification	Data that describes the BM Participant 's best estimate of the expected input or output of Active Power of a BM Unit and/or (where relevant) Generating Unit , the accuracy of the Physical Notification being commensurate with Good Industry Practice .
Planning Code or PC	That portion of the Grid Code which is identified as the Planning Code .
Planned Maintenance Outage	An outage of The Company's electronic data communication facilities as provided for in CC.6.5.8 and The Company's associated computer facilities of which normally at least 5 days notice is given, but in any event of which at least twelve hours notice has been given by The Company to the User and which is anticipated to last no longer than 2 hours. The length of such an outage may in exceptional circumstances be extended where at least 24 hours notice has been given by The Company to the User . It is anticipated that normally any planned outage would only last around one hour.
Planned Outage	An outage of a Large Power Station or of part of the National Electricity Transmission System, or of part of a User System, co- ordinated by The Company under OC2.
Plant	Fixed and movable items used in the generation and/or supply and/or transmission of electricity, other than Apparatus .
Point of Common Coupling	That point on the National Electricity Transmission System electrically nearest to the User installation at which either Demands or Loads are, or may be, connected.
Point of Connection	An electrical point of connection between the National Electricity Transmission System and a User's System .
Point of Isolation	The point on Apparatus (as defined in OC8A.1.6.2 and OC8B.1.7.2) at which Isolation is achieved.
Post-Control Phase	The period following real time operation.

Power Available	A signal prepared in accordance with good industry practice, representing the instantaneous sum of the potential Active Power available from each individual Power Park Unit within the Power Park Module calculated using any applicable combination of meteorological (including wind speed), electrical or mechanical data measured at each Power Park Unit at a specified time. Power Available shall be a value between 0MW and Registered Capacity or Maximum Capacity which is the sum of the potential Active Power available of each Power Park Unit within the Power Park Module. A turbine that is not generating will be considered as not available. For the avoidance of doubt, the Power Available signal would be the Active Power output that a Power Park Module could reasonably be expected to export at the Grid Entry Point or User System Entry Point taking all the above criteria into account including Power Park Unit constraints such as optimisation modes but would exclude a reduction in the Active Power export of the Power Park Module instructed by The Company (for example) for the purposes selecting a Power Park Module to operate in Frequency Sensitive Mode or when an Emergency Instruction has been issued.
Power Factor	The ratio of Active Power to Apparent Power.
Power-Generating Module	Either a Synchronous Power-Generating Module or a Power Park Module owned or operated by an EU Generator.
Power-Generating Module Document (PGMD)	A document provided by the Generator to The Company for a Type B or Type C Power Generating Module which confirms that the Power Generating Module's compliance with the technical criteria set out in the Grid Code has been demonstrated and provides the necessary data and statements, including a statement of compliance.
Power Generating Module Performance Chart	A diagram showing the Real Power (MW) and Reactive Power (MVAr) capability limits within which a Synchronous Power Generating Module or Power Park Module at its Grid Entry Point or User System Entry Point will be expected to operate under steady state conditions.
Power Island	Gensets at an isolated Power Station, together with complementary local Demand. In Scotland a Power Island may include more than one Power Station.
Power Park Module	Any Onshore Power Park Module or Offshore Power Park Module.
Power Park Module Availability Matrix	The matrix described in Appendix 1 to BC1 under the heading Power Park Module Availability Matrix .
Power Park Module Planning Matrix	A matrix in the form set out in Appendix 4 of OC2 showing the combination of Power Park Units within a Power Park Module which would be expected to be running under normal conditions.
Power Park Unit	A Generating Unit within a Power Park Module.
Power Station	An installation comprising one or more Generating Units or Power Park Modules or Power Generating Modules (even where sited separately) owned and/or controlled by the same Generator , which may reasonably be considered as being managed as one Power Station .

Power System Stabiliser or PSS	Equipment controlling the Exciter output via the voltage regulator in such a way that power oscillations of the synchronous machines are dampened. Input variables may be speed, frequency or power (or a combination of these).
Preface	The preface to the Grid Code (which does not form part of the Grid Code and therefore is not binding).
Preliminary Notice	A notice in writing, sent by The Company both to all Users identified by it under OC12.4.2.1 and to the Test Proposer , notifying them of a proposed System Test .
Preliminary Project Planning Data	Data relating to a proposed User Development at the time the User applies for a CUSC Contract but before an offer is made and accepted.
Primary Response	The automatic increase in Active Power output of a Genset or, as the case may be, the decrease in Active Power Demand in response to a System Frequency fall. This increase in Active Power output or, as the case may be, the decrease in Active Power Demand must be in accordance with the provisions of the relevant Ancillary Services Agreement which will provide that it will be released increasingly with time over the period 0 to 10 seconds from the time of the start of the Frequency fall on the basis set out in the Ancillary Services Agreement and fully available by the latter, and sustainable for at least a further 20 seconds. The interpretation of the Primary Response to a $-$ 0.5 Hz frequency change is shown diagrammatically in Figure CC.A.3.2
Private Network	A network which connects to a Network Operator's System and that network belongs to a User who is not classified as a Generator , Network Operator or Non Embedded Customer .
Programming Phase	The period between the Operational Planning Phase and the Control Phase . It starts at the 8 weeks ahead stage and finishes at 17:00 on the day ahead of real time.
Proposal Notice	A notice submitted to The Company by a User which would like to undertake a System Test .
Proposal Report	A report submitted by the Test Panel which contains:
	 (a) proposals for carrying out a System Test (including the manner in which the System Test is to be monitored);
	 (b) an allocation of costs (including un-anticipated costs) between the affected parties (the general principle being that the Test Proposer will bear the costs); and
	(c) such other matters as the Test Panel considers appropriate.
	The report may include requirements for indemnities to be given in respect of claims and losses arising from a System Test .
Proposed Implementation Date	The proposed date(s) for the implementation of a Grid Code Modification Proposal or Workgroup Alternative Grid Code Modification such date(s) to be either (i) described by reference to a specified period after a direction from the Authority approving the Grid Code Modification Proposal or Workgroup Alternative Grid Code Modification or (ii) a Fixed Proposed Implementation Date .

Protection	The provisions for detecting abnormal conditions on a System and initiating fault clearance or actuating signals or indications.	
Protection Apparatus	A group of one or more Protection relays and/or logic elements designated to perform a specified Protection function.	
Pump Storage	A a hydro unit in which water can be raised by means of pumps and stored to be used for the generation of electrical energy;	
Pumped Storage Generator	A Generator which owns and/or operates any Pumped Storage Plant.	
Pumped Storage Plant	The Dinorwig, Ffestiniog, Cruachan and Foyers Power Stations .	
Pumped Storage Unit	A Generating Unit within a Pumped Storage Plant.	
Purchase Contracts	A final and binding contract for the purchase of the Main Plant and Apparatus.	
Q/Pmax	The ratio of Reactive Power to the Maximum Capacity . The relationship between Power Factor and Q/Pmax is given by the formula:-	
	Power Factor = Cos $\left[\arctan\left[\frac{Q}{Pmax}\right] \right]$	
	For example, a Power Park Module with a Q/P value of +0.33 would equate to a Power Factor of Cos(arctan0.33) = 0.95 Power Factor lag.	
Quiescent Physical Notification or QPN	Data that describes the MW levels to be deducted from the Physical Notification of a BM Unit to determine a resultant operating level to which the Dynamic Parameters associated with that BM Unit apply, and the associated times for such MW levels. The MW level of the QPN must always be set to zero.	
Range CCGT Module	A CCGT Module where there is a physical connection by way of a steam or hot gas main between that CCGT Module and another CCGT Module or other CCGT Modules , which connection contributes (if open) to efficient modular operation, and which physical connection can be varied by the operator.	
Rated Field Voltage	Shall have the meaning ascribed to that term in IEC 34-16-1:1991 [equivalent to British Standard BS 4999 Section 116.1 : 1992].	
Rated MW	The "rating-plate" MW output of a Power Generating Module , Generating Unit , Power Park Module , HVDC Converter or DC Converter , being:	
	 (a) that output up to which the Generating Unit was designed to operate (Calculated as specified in British Standard BS EN 60034 – 1: 1995); or 	
	(b) the nominal rating for the MW output of a Power Park Module or Power Generating Module being the maximum continuous electric output power which the Power Park Module or Power Generating Module was designed to achieve under normal operating conditions; or	
	 (c) the nominal rating for the MW import capacity and export capacity (if at a DC Converter Station or HVDC Converter Station) of a DC Converter or HVDC Converter. 	

Reactive Despatch Instruction	Has the meaning set out in the CUSC .
Reactive Despatch Network Restriction	A restriction placed upon an Embedded Power Generating Module, Embedded Generating Unit, Embedded Power Park Module or DC Converter at an Embedded DC Converter Station or HVDC Converter at an Embedded HVDC Converter Station by the Network Operator that prevents the Generator or DC Converter Station owner or HVDC System Owner in question (as applicable) from complying with any Reactive Despatch Instruction with respect to that Power Generating Module, Generating Unit, Power Park Module or DC Converter at a DC Converter Station or HVDC Converter at a HVDC Converter Station, whether to provide Mvars over the range referred to in CC 6.3.2, ECC.6.3.2 or otherwise.
Reactive Energy	The integral with respect to time of the Reactive Power .
Reactive Power	The product of voltage and current and the sine of the phase angle between them measured in units of voltamperes reactive and standard multiples thereof, ie: 1000 VAr = 1 kVAr 1000 kVAr = 1 Mvar
Record of Inter-System Safety Precautions or RISSP	A written record of inter-system Safety Precautions to be compiled in accordance with the provisions of OC8 .

Registered Capacity	(a)	In the case of a Generating Unit other than that forming part of a CCGT Module or Power Park Module or Power Generating Module , the normal full load capacity of a Generating Unit as declared by the Generator , less the MW consumed by the Generating Unit through the Generating Unit's Unit Transformer when producing the same (the resultant figure being expressed in whole MW, or in MW to one decimal place).
	(b)	In the case of a CCGT Module or Power Park Module owned or operated by a GB Generator, the normal full load capacity of the CCGT Module or Power Park Module (as the case may be) as declared by the GB Generator, being the Active Power declared by the GB Generator as being deliverable by the CCGT Module or Power Park Module at the Grid Entry Point (or in the case of an Embedded CCGT Module or Power Park Module, at the User System Entry Point), expressed in whole MW, or in MW to one decimal place. For the avoidance of doubt Maximum Capacity would apply to Power Generating Modules which form part of a Large, Medium or Small Power Stations.
	(c)	In the case of a Power Station , the maximum amount of Active Power deliverable by the Power Station at the Grid Entry Point (or in the case of an Embedded Power Station at the User System Entry Point), as declared by the Generator , expressed in whole MW, or in MW to one decimal place. The maximum Active Power deliverable is the maximum amount deliverable simultaneously by the Power Generating Modules and/or Generating Units and/or CCGT Modules and/or Power Park Modules less the MW consumed by the Power Generating Modules and/or Generating Units and/or CCGT Modules in producing that Active Power and forming part of a Power Station .
	(d)	In the case of a DC Converter at a DC Converter Station or HVDC Converter at an HVDC Converter Station, the normal full load amount of Active Power transferable from a DC Converter or HVDC Converter at the Onshore Grid Entry Point (or in the case of an Embedded DC Converter Station or an Embedded HVDC Converter Station at the User System Entry Point), as declared by the DC Converter Station owner or HVDC System Owner, expressed in whole MW, or in MW to one decimal place.
	(e)	In the case of a DC Converter Station or HVDC Converter Station, the maximum amount of Active Power transferable from a DC Converter Station or HVDC Converter Station at the Onshore Grid Entry Point (or in the case of an Embedded DC Converter Station or Embedded HVDC Converter Station at the User System Entry Point), as declared by the DC Converter Station owner or HVDC System Owner, expressed in whole MW, or in MW to one decimal place.
Registered Data		e items of Standard Planning Data and Detailed Planning Data n upon connection become fixed (subject to any subsequent ges).

Registered Import Capability	In the case of a DC Converter Station or HVDC Converter Station containing DC Converters or HVDC Converters connected to an External System, the maximum amount of Active Power transferable into a DC Converter Station or HVDC Converter Station at the Onshore Grid Entry Point (or in the case of an Embedded DC Converter Station or Embedded HVDC Converter Station at the User System Entry Point), as declared by the DC Converter Station owner or HVDC System Owner, expressed in whole MW. In the case of a DC Converter or HVDC Converter connected to an External System and in a DC Converter Station or HVDC Converter Station, the normal full load amount of Active Power transferable into a DC Converter or HVDC Converter at the Onshore Grid Entry Point (or in the case of an Embedded DC Converter Station or Embedded HVDC Converter Station at the User System Entry Point), as declared by the DC Converter or HVDC System Owner, expressed in whole MW.
Regulations	The Utilities Contracts Regulations 1996, as amended from time to time.
Reheater Time Constant	Determined at Registered Capacity , the reheater time constant will be construed in accordance with the principles of the IEEE Committee Report "Dynamic Models for Steam and Hydro Turbines in Power System Studies" published in 1973 which apply to such phrase.
Rejected Grid Code Modification Proposal	A Grid Code Modification Proposal in respect of which the Authority has decided not to direct The Company to modify the Grid Code pursuant to The Company's Transmission Licence in the manner set out herein or, in the case of a Grid Code Self Governance Proposals, in respect of which the Grid Code Review Panel has voted not to approve.
Related Person	means, in relation to an individual, any member of his immediate family, his employer (and any former employer of his within the previous 12 months), any partner with whom he is in partnership, and any company or Affiliate of a company in which he or any member of his immediate family controls more than 20% of the voting rights in respect of the shares of the company;
Relevant E&W Transmission Licensee	As the context requires NGET and/or an E&W Offshore Transmission Licensee .
Relevant Party	Has the meaning given in GR15.10(a).
Relevant Scottish Transmission Licensee	As the context requires SPT and/or SHETL and/or a Scottish Offshore Transmission Licensee .
Relevant Transmission Licensee	Means NGET in its Transmission Area or SP Transmission Ltd (SPT) in its Transmission Area or Scottish Hydro-Electric Transmission Ltd (SHETL) in its Transmission Area or any Offshore Transmission Licensee in its Transmission Area.
Relevant Unit	As defined in the STC , Schedule 3.
Remote End HVDC Converter Station	An HVDC Converter Station which forms part of an HVDC System and is not directly connected to the AC part of the GB Synchronous Area .

Remote Transmission	Any Plant and Apparatus or meters owned by NGET which:	
Assets	 (a) are Embedded in a User System and which are not directly connected by Plant and/or Apparatus owned by NGET to a sub- station owned by NGET; and 	
	(b) are by agreement between NGET and such User operated under the direction and control of such User .	
Requesting Safety Co- ordinator	The Safety Co-ordinator requesting Safety Precautions.	
Responsible Engineer/ Operator	A person nominated by a User to be responsible for System control.	
Responsible Manager	A manager who has been duly authorised by a User or a Relevant Transmission Licensee to sign Site Responsibility Schedules on behalf of that User or Relevant Transmission Licensee as the case may be.	
Re-synchronisation	The bringing of parts of the System which have become Out of Synchronism with any other System back into Synchronism , and like terms shall be construed accordingly.	
RR Acceptance	The results of the TERRE auction for each BM Participant	
Restricted	Applies to a TERRE Bid which has been marked so that it will be passed to the TERRE Central Platform but will not be used in the auction	
RR Instruction	Replacement Reserve Instruction – used for instructing BM Participants after the results of the TERRE auction. An RR Instruction has the same format as a Bid-Offer Acceptance but has type field indicating it is for TERRE	
Safety Co-ordinator	A person or persons nominated by a Relevant E&W Transmission Licensee and each E&W User in relation to Connection Points (or in the case of OTSUA operational prior to the OTSUA Transfer Time , Transmission Interface Points) on an E&W Transmission System and/or by the Relevant Scottish Transmission Licensee and each Scottish User in relation to Connection Points (or in the case of OTSUA operational prior to the OTSUA Transfer Time , Transmission Interface Points) on a Scottish Transmission System to be responsible for the co-ordination of Safety Precautions at each Connection Point (or in the case of OTSUA operational prior to the OTSUA Transfer Time , Transmission Interface Points) when work (which includes testing) is to be carried out on a System which necessitates the provision of Safety Precautions on HV Apparatus (as defined in OC8A.1.6.2 and OC8B.1.7.2), pursuant to OC8 .	
Safety From The System	That condition which safeguards persons when work is to be carried out on or near a System from the dangers which are inherent in the System .	
Safety Key	A key unique at the Location capable of operating a lock which will cause an Isolating Device and/or Earthing Device to be Locked .	
Safety Log	A chronological record of messages relating to safety co-ordination sent and received by each Safety Co-ordinator under OC8 .	
Safety Precautions	Isolation and/or Earthing.	
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Safety Rules	The rules of the Relevant Transmission Licensee or a User that seek to ensure that persons working on Plant and/or Apparatus to which the rules apply are safeguarded from hazards arising from the System .	
Scottish Offshore Transmission System	An Offshore Transmission System with an Interface Point in Scotland.	
Scottish Offshore Transmission Licensee	A person who owns or operates a Scottish Offshore Transmission System pursuant to a Transmission Licence .	
Scottish Transmission System	Collectively SPT's Transmission System and SHETL's Transmission System and any Scottish Offshore Transmission Systems .	
Scottish User	A User in Scotland or any Offshore User who owns or operates Plant and/or Apparatus connected (or which will at the OTSUA Transfer Time be connected) to a Scottish Offshore Transmission System	
Secondary BM Unit	Has the same meaning set out in the BSC	
Secondary Response	The automatic increase in Active Power output of a Genset or, as the case may be, the decrease in Active Power Demand in response to a System Frequency fall. This increase in Active Power output or, as the case may be, the decrease in Active Power Demand must be in accordance with the provisions of the relevant Ancillary Services Agreement which will provide that it will be fully available by 30 seconds from the time of the start of the Frequency fall and be sustainable for at least a further 30 minutes. The interpretation of the Secondary Response to a -0.5 Hz frequency change is shown diagrammatically in Figure CC.A.3.2 or Figure ECC.A.3.2.	
Secretary of State	Has the same meaning as in the Act .	
Secured Event	Has the meaning set out in the Security and Quality of Supply Standard.	
Security and Quality of Supply Standard (SQSS)	The version of the document entitled 'Security and Quality of Supply Standard' established pursuant to the Transmission Licence in force at the time of entering into the relevant Bilateral Agreement .	
Self-Governance	A proposed Modification that, if implemented,	
Criteria	(a) is unlikely to have a material effect on:	
	(i) existing or future electricity consumers; and	
	 (ii) competition in the generation, distribution, or supply of electricity or any commercial activities connected with the generation, distribution or supply of electricity; and 	
	(iii) the operation of the National Electricity Transmission System ; and	
	 (iv) matters relating to sustainable development, safety or security of supply, or the management of market or network emergencies; and 	
	(v) the Grid Code 's governance procedures or the Grid Code 's modification procedures, and	
	(b) is unlikely to discriminate between different classes of Users.	

Self-Governance Modifications	A Grid Code Modification Proposal that does not fall within the scope of a Significant Code Review and that meets the Self-Governance Criteria or which the Authority directs is to be treated as such any direction under GR.24.4.
Self-Governance Statement	The statement made by the Grid Code Review Panel and submitted to the Authority:
	(a) confirming that, in its opinion, the Self-Governance Criteria are met and the proposed Grid Code Modification Proposal is suitable for the Self-Governance route; and
	(b) providing a detailed explanation of the Grid Code Review Panel 's reasons for that opinion
Setpoint Voltage	The value of voltage at the Grid Entry Point , or User System Entry Point if Embedded , on the automatic control system steady state operating characteristic, as a percentage of the nominal voltage, at which the transfer of Reactive Power between a Power Park Module , DC Converter , HVDC Converter or Non-Synchronous Generating Unit and the Transmission System , or Network Operator's system if Embedded , is zero.
Settlement Period	A period of 30 minutes ending on the hour and half-hour in each hour during a day.
Seven Year Statement	A statement, prepared by The Company in accordance with the terms of The Company's Transmission Licence , showing for each of the seven succeeding Financial Years , the opportunities available for connecting to and using the National Electricity Transmission System and indicating those parts of the National Electricity Transmission System most suited to new connections and transport of further quantities of electricity.
SF₅ Gas Zone	A segregated zone surrounding electrical conductors within a casing containing SF_6 gas.
SHETL	Scottish Hydro-Electric Transmission Limited
Shutdown	The condition of a Generating Unit where the generator rotor is at rest or on barring.
Significant Code Review	Means the period commencing on the start date of a Significant Code Review as stated in the notice issued by the Authority , and ending in the circumstances described in GR.16.6 or GR.16.7, as appropriate.
Significant Code Review Phase	Means the period commencing on the start date of a Significant Code Review as stated in the notice issued by the Authority , and ending in the circumstances described in GR.16.6 or GR.16.7, as appropriate.

Significant Incident	An Event which either:
Significant Incident	 An Event which either: (a) was notified by a User to The Company under OC7, and which The Company considers has had or may have had a significant effect on the National Electricity Transmission System, and The Company requires the User to report that Event in writing in accordance with OC10 and notifies the User accordingly; or (b) was notified by The Company to a User under OC7, and which that User considers has had or may have had a significant effect on that User's System, and that User requires The Company to report that Event in writing in accordance with the provisions of OC10 and notifies The Company accordingly.
Simultaneous Tap Change	A tap change implemented on the generator step-up transformers of Synchronised Gensets , effected by Generators in response to an instruction from The Company issued simultaneously to the relevant Power Stations . The instruction, preceded by advance notice, must be effected as soon as possible, and in any event within one minute of receipt from The Company of the instruction.
Single Line Diagram	A schematic representation of a three-phase network in which the three phases are represented by single lines. The diagram shall include (but not necessarily be limited to) busbars, overhead lines, underground cables, power transformers and reactive compensation equipment. It shall also show where Large Power Stations are connected, and the points at which Demand is supplied.
Single Point of Connection	A single Point of Connection , with no interconnection through the User's System to another Point of Connection .
Site Common Drawings	Drawings prepared for each Connection Site (and in the case of OTSDUW , Transmission Interface Site) which incorporate Connection Site (and in the case of OTSDUW , Transmission Interface Site) layout drawings, electrical layout drawings, common protection/ control drawings and common services drawings.
Site Responsibility Schedule	A schedule containing the information and prepared on the basis of the provisions set out in Appendix 1 of the CC and Appendix E1 of the ECC .
Slope	The ratio of the steady state change in voltage, as a percentage of the nominal voltage, to the steady state change in Reactive Power output, in per unit of Reactive Power capability. For the avoidance of doubt, the value indicates the percentage voltage reduction that will result in a 1 per unit increase in Reactive Power generation.
Small Participant	Has the meaning given in the CUSC .

Small Power Station	A Power	Station which is
	(a) dire	ectly connected to:
	(i)	NGET's Transmission System where such Power Station has a Registered Capacity of less than 50MW; or
	(ii)	SPT's Transmission System where such Power Station has a Registered Capacity of less than 30MW; or
	(iii)	SHETL's Transmission System where such a Power Station has a Registered Capacity of less than 10 MW; or
	(iv)	an Offshore Transmission System where such Power Station has a Registered Capacity of less than 10MW;
	or,	
	Us	bedded within a User System (or part thereof) where such er System (or part thereof) is connected under normal erating conditions to:
	(i)	NGET's Transmission System and such Power Station has a Registered Capacity of less than 50MW; or
	(ii)	SPT's Transmission System and such Power Station has a Registered Capacity of less than 30MW; or
	(iii)	SHETL's Transmission System and such Power Station has a Registered Capacity of less than 10MW;
	or,	
	Sy	abedded within a User System (or part thereof) where the User stem (or part thereof) is not connected to the National actricity Transmission System , although such Power Station n:
	(i)	NGET's Transmission Area and such Power Station has a Registered Capacity of less than 50MW; or
	(ii)	SPT's Transmission Area and such Power Station has a Registered Capacity of less than 30MW; or
	(iii)	SHETL's Transmission Area and such Power Station has a Registered Capacity of less than 10MW;
		voidance of doubt a Small Power Station could comprise of Type B , Type C or Type D Power Generating Modules .
Speeder Motor Setting Range	of rated s	num and maximum no-load speeds (expressed as a percentage peed) to which the turbine is capable of being controlled, by the notor or equivalent, when the Generating Unit terminals are on uit.
SPT	SP Trans	mission Limited
Standard Contract Terms	provided	dard terms and conditions applicable to Ancillary Services by Demand Response Providers and published on the from time to time.
Standard Modifications	of a Sign pursuant Criteria s	ode Modification Proposal that does not fall within the scope ificant Code Review subject to any direction by the Authority to GR.16.3 and GR.16.4, nor meets the Self-Governance subject to any direction by the Authority pursuant to GR.24.4 cordance with any direction under GR.24.2.
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Standard Planning Data	The general data required by The Company under the PC . It is generally also the data which The Company requires from a new User in an application for a CUSC Contract , as reflected in the PC .
Start Time	The time named as such in an instruction issued by The Company pursuant to the BC .
Start-Up	The action of bringing a Generating Unit from Shutdown to Synchronous Speed .
Statement of Readiness	Has the meaning set out in the Bilateral Agreement and/or Construction Agreement .
Station Board	A switchboard through which electrical power is supplied to the Auxiliaries of a Power Station , and which is supplied by a Station Transformer . It may be interconnected with a Unit Board .
Station Transformer	A transformer supplying electrical power to the Auxiliaries of
	 (a) a Power Station, which is not directly connected to the Generating Unit terminals (typical voltage ratios being 132/11kV or 275/11kV),or
	(b) a DC Converter Station or HVDC Converter Station .
STC Committee	The committee established under the STC .
Steam Unit	A Generating Unit whose prime mover converts the heat-energy in steam to mechanical energy.
Subtransmission System	The part of a User's System which operates at a single transformation below the voltage of the relevant Transmission System .
Substantial Modification	A Modification in relation to modernisation or replacement of the User's Main Plant and Apparatus which impacts its technical capabilities, which, following notification by the relevant User to The Company, results in substantial amendment to the Bilateral Agreement.
Supergrid Voltage	Any voltage greater than 200kV.
Supplier	(a) A person supplying electricity under an Electricity Supply Licence; or
	(b) A person supplying electricity under exemption under the Act ;
	in each case acting in its capacity as a supplier of electricity to Customers in Great Britain .
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Surplus	A MW figure relating to a System Zone equal to the total Output Usable in the System Zone :
	(a) minus the forecast of Active Power Demand in the System Zone , and
	(b) minus the export limit in the case of an export limited System Zone ,
	or
	plus the import limit in the case of an import limited System Zone,
	and
	(c) (only in the case of a System Zone comprising the National Electricity Transmission System) minus the Operational Planning Margin .
	For the avoidance of doubt, a Surplus of more than zero in an export limited System Zone indicates an excess of generation in that System Zone ; and a Surplus of less than zero in an import limited System Zone indicates insufficient generation in that System Zone .
Synchronised	 (a) The condition where an incoming Power Generating Module, Generating Unit or Power Park Module or DC Converter or HVDC Converter or System is connected to the busbars of another System so that the Frequencies and phase relationships of that Power Generating Module, Generating Unit, Power Park Module, DC Converter, HVDC Converter or System, as the case may be, and the System to which it is connected are identical, like terms shall be construed accordingly e.g. "Synchronism". (b) The condition where an importing BM Unit is consuming electricity.
Synchronising Generation	The amount of MW (in whole MW) produced at the moment of synchronising.
Synchronising Group	A group of two or more Gensets) which require a minimum time interval between their Synchronising or De-Synchronising times.
Synchronous Area	An area covered by synchronously interconnected Transmission Licensees , such as the Synchronous Areas of Continental Europe, Great Britain, Ireland-Northern Ireland and Nordic and the power systems of Lithuania, Latvia and Estonia, together referred to as 'Baltic' which are part of a wider Synchronous Area ;
Synchronous Compensation	The operation of rotating synchronous Apparatus for the specific purpose of either the generation or absorption of Reactive Power .
Synchronous Generating Unit	Any Onshore Synchronous Generating Unit or Offshore Synchronous Generating Unit.
Synchronous Generating Unit Performance Chart	A diagram showing the Real Power (MW) and Reactive Power (MVAr) capability limits within which a Synchronous Generating Unit at its stator terminals (which is part of a Synchronous Power Generating Module) will be expected to operate under steady state conditions.

Synchronous Power-	An indivisible set of installations which can generate electrical energy
Generating Module	such that the frequency of the generated voltage, the generator speed and the frequency of network voltage are in a constant ratio and thus in synchronism. For the avoidance of doubt a Synchronous Power Generating Module could comprise of one or more Synchronous Generating Units
Synchronous Power Generating Module Matrix	The matrix described in Appendix 1 to BC1 under the heading Synchronous Power Generating Module Matrix .
Synchronous Power Generating Module Planning Matrix	A matrix in the form set out in Appendix 5 of OC2 showing the combination of Synchronous Generating Units within a Synchronous Power Generating Module which would be running in relation to any given MW output.
Synchronous Power Generating Unit	Has the same meaning as a Synchronous Generating Unit and would be considered to be part of a Power Generating Module .
Synchronous Speed	That speed required by a Generating Unit to enable it to be Synchronised to a System .
System	Any User System and/or the National Electricity Transmission System, as the case may be.
System Ancillary Services	Collectively Part 1 System Ancillary Services and Part 2 System Ancillary Services.
System Constraint	A limitation on the use of a System due to lack of transmission capacity or other System conditions.
System Constrained Capacity	That portion of Registered Capacity or Regis tered Import Capacity not available due to a System Constraint .
System Constraint Group	A part of the National Electricity Transmission System which, because of System Constraints , is subject to limits of Active Power which can flow into or out of (as the case may be) that part.
System Fault Dependability Index or Dp	A measure of the ability of Protection to initiate successful tripping of circuit-breakers which are associated with a faulty item of Apparatus . It is calculated using the formula:
	$Dp = 1 - F_1/A$
	Where:
	A = Total number of System faults
	F ₁ = Number of System faults where there was a failure to trip a circuit-breaker.
System Margin	The margin in any period between
	(a) the sum of Maximum Export Limits and
	(b) forecast Demand and the Operating Margin ,
	for that period.
System Negative Reserve Active Power Margin or System NRAPM	That margin of Active Power sufficient to allow the largest loss of Load at any time.

System Operator - Transmission Owner Code or STC	Has the meaning set out in The Company's Transmission Licence
System Telephony	An alternative method by which a User's Responsible Engineer/Operator and The Company's Control Engineer(s) speak to one and another for the purposes of control of the Total System in both normal operating conditions and where practicable, emergency operating conditions.
System Tests	Tests which involve simulating conditions, or the controlled application of irregular, unusual or extreme conditions, on the Total System , or any part of the Total System , but which do not include commissioning or recommissioning tests or any other tests of a minor nature.
System to Demand Intertrip Scheme	An intertrip scheme which disconnects Demand when a System fault has arisen to prevent abnormal conditions occurring on the System .
System to Generator Operational Intertripping	A Balancing Service involving the initiation by a System to Generator Operational Intertripping Scheme of automatic tripping of the User's circuit breaker(s), or Relevant Transmission Licensee's circuit breaker(s) where agreed by The Company, the User and the Relevant Transmission Licensee, resulting in the tripping of BM Unit(s) or (where relevant) Generating Unit(s) comprised in a BM Unit to prevent abnormal system conditions occurring, such as over voltage, overload, System instability, etc, after the tripping of other circuit-breakers following power System fault(s).
System to Generator Operational Intertripping Scheme	A System to Generating Unit or System to CCGT Module or System to Power Park Module or System to Power Generating Module Intertripping Scheme forming a condition of connection and specified in Appendix F3 of the relevant Bilateral Agreement, being either a Category 1 Intertripping Scheme, Category 2 Intertripping Scheme, Category 3 Intertripping Scheme or Category 4 Intertripping Scheme.
System Zone	A region of the National Electricity Transmission System within a described boundary or the whole of the National Electricity Transmission System , as further provided for in OC2.2.4, and the term " Zonal " will be construed accordingly.
Target Frequency	That Frequency determined by The Company , in its reasonable opinion, as the desired operating Frequency of the Total System . This will normally be 50.00Hz plus or minus 0.05Hz, except in exceptional circumstances as determined by The Company , in its reasonable opinion when this may be 49.90 or 50.10Hz. An example of exceptional circumstances may be difficulties caused in operating the System during disputes affecting fuel supplies.
Technical Specification	In relation to Plant and/or Apparatus ,
	(a) the relevant European Specification ; or
	(b) if there is no relevant European Specification , other relevant standards which are in common use in the European Community.

TERRTrans European Replacement Reserves Exchange – a market covering the procurement of replacement reserves across Europe as described European Regulation (EU) 2017/2195 (EBGL) and European Regulation (EU) 2017/1485TERRE ActivationA period of time lasting 15 minutes and starting at either 0, 15, 30 or 45 minutes past the hour (e.g. 10:00 to 10:15). There are 4 TERRE Activation Periods in one TERRE Auction PeriodTERRE Auction PeriodA period of time lasting one hour and starting and ending on the hour (e.g. from 10:00 to 11:00). Hence there are 24 TERRE Auction Periods in a dayTERRE BidA submission by a BM Participant covering the price and MW deviation offered into the TERRE auction (please note – in the Balancing Mechanism the term bid has a different meaning – in this case a bid can be an upward or downward MW change)TERRE Central PlatformIT system which implements the TERRE auction period (note still ongoing discussions if this may become 55 minutes)TERRE Instruction guideAdocument produced by the central TERRE project detailing the correct format of submissions for TERRETest Co-ordinatorA perison who co-ordinates System Tests.Test ProgrammeA programme submitted by the Test Panel to The Company, the Test Proposer, and each User identified by The Company under OC12.4.2.1, which is responsible, inter ails of the switching sequence and proposed System TestTest ProposerThe person who submits a Proposal Notice.The CompanyNational Grid Electricity System Operator Linited (NO: 11014226) whose registered office is at 1-3 Strand, London, WC2N SEH as the person whose Transmission Licence Section C of such Transmission Licence has been given effect.Test Proposer </th <th></th> <th></th>		
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		operation of the National Electricity Transmission System or such

Total Shutdown	The situation existing when all generation has ceased and there is no electricity supply from External Interconnections and, therefore, the Total System has shutdown with the result that it is not possible for the Total System to begin to function again without The Company's directions relating to a Black Start .
Total System	The National Electricity Transmission System and all User Systems in the National Electricity Transmission System Operator Area.
Trading Point	A commercial and, where so specified in the Grid Code, an operational interface between a User and The Company , which a User has notified to The Company .
Transfer Date	Such date as may be appointed by the Secretary of State by order under section 65 of the Act .
Transmission	Means, when used in conjunction with another term relating to equipment or a site, whether defined or not, that the associated term is to be read as being part of or directly associated with the National Electricity Transmission System , and not of or with the User System .
Transmission Area	Has the meaning set out in the Transmission Licence of a Transmission Licensee .
TransmissionConnectedDemandFacilities	A Demand Facility which has a Grid Supply Point to the National Electricity Transmission System
Transmission DC Converter	Any Transmission Licensee Apparatus (or OTSUA that will become Transmission Licensee Apparatus at the OTSUA Transfer Time) used to convert alternating current electricity to direct current electricity, or vice versa. A Transmission Network DC Converter (which could include an HVDC System owned by an Offshore Transmission Licensee or Generator in respect of OTSUA) is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion.
Transmission Entry Capacity	Has the meaning set out in the CUSC .
Transmission Interface Circuit	In NGET's Transmission Area, a Transmission circuit which connects a System operating at a voltage above 132kV to a System operating at a voltage of 132kV or below
	In SHETL's Transmission Area and SPT's Transmission Area, a Transmission circuit which connects a System operating at a voltage of 132kV or above to a System operating at a voltage below 132kV.
Transmission Interface Point	means the electrical point of connection between the Offshore Transmission System and an Onshore Transmission System.
Transmission Interface Site	the site at which the Transmission Interface Point is located.
Transmission Licence	A licence granted under Section 6(1)(b) of the Act.

Transmission Licensee	The Company and any Onshore Transmission Licensee or Offshore Transmission Licensee
Transmission Site	Means a site owned (or occupied pursuant to a lease, licence or other agreement) by a Relevant Transmission Licensee in which there is a Connection Point . For the avoidance of doubt, a site owned by a User but occupied by the Relevant Transmission Licensee as aforesaid, is a Transmission Site .
Transmission System	Has the same meaning as the term "licensee's transmission system" in the Transmission Licence of a Transmission Licensee .
Turbine Time Constant	Determined at Registered Capacity , the turbine time constant will be construed in accordance with the principles of the IEEE Committee Report "Dynamic Models for Steam and Hydro Turbines in Power System Studies" published in 1973 which apply to such phrase.
Type A Power Generating Module	A Power-Generating Module with a Grid Entry Point or User System Entry Point below 110 kV and a Maximum Capacity of 0.8 kW or greater but less than 1MW;
Type B Power Generating Module	A Power-Generating Module with a Grid Entry Point or User System Entry Point below 110 kV and a Maximum Capacity of 1MW or greater but less than 10MW;
Type C Power Generating Module	A Power-Generating Module with a Grid Entry Point or User System Entry Point below 110 kV and a Maximum Capacity of 10MW or greater but less than 50MW;
Type D Power Generating Module	A Power-generating Module : with a Grid Entry Point or User System Entry Point at, or greater than, 110 kV; or with a Grid Entry Point or User System Entry Point below 110 kV and with Maximum Capacity of 50MW or greater
Unbalanced Load	The situation where the Load on each phase is not equal.
Under-excitation Limiter	Shall have the meaning ascribed to that term in IEC 34-16-1:1991 [equivalent to British Standard BS 4999 Section 116.1 : 1992].
Under Frequency Relay	An electrical measuring relay intended to operate when its characteristic quantity (Frequency) reaches the relay settings by decrease in Frequency .
Unit Board	A switchboard through which electrical power is supplied to the Auxiliaries of a Generating Unit and which is supplied by a Unit Transformer . It may be interconnected with a Station Board .
Unit Transformer	A transformer directly connected to a Generating Unit's terminals, and which supplies power to the Auxiliaries of a Generating Unit . Typical voltage ratios are 23/11kV and 15/6.6Kv.
Unit Load Controller Response Time Constant	The time constant, expressed in units of seconds, of the power output increase which occurs in the Secondary Response timescale in response to a step change in System Frequency .

Unresolved Issues	Any relevant Grid Code provisions or Bilateral Agreement requirements identified by The Company with which the relevant User has not demonstrated compliance to The Company's reasonable satisfaction at the date of issue of the Preliminary Operational Notification and/or Interim Operational Notification and/or Limited Operational Notification and which are detailed in such Preliminary Operational Notification and/or Interim Operational Notification and/or Limited Operational Notification .
Urgent Modification	A Grid Code Modification Proposal treated or to be treated as an Urgent Modification in accordance with GR.23.
User	A term utilised in various sections of the Grid Code to refer to the persons using the National Electricity Transmission System , as more particularly identified in each section of the Grid Code concerned. In the Preface and the General Conditions the term means any person to whom the Grid Code applies. The term User includes an EU Code User and a GB Code User .
User Data File Structure	The file structure given at DRC 18 which will be specified by The Company which a Generator or DC Converter Station owner or HVDC System Onwer must use for the purposes of CP to submit DRC data Schedules and information demonstrating compliance with the Grid Code and, where applicable, with the CUSC Contract(s), unless otherwise agreed by The Company.
User Development	In the PC means either User's Plant and/or Apparatus to be connected to the National Electricity Transmission System, or a Modification relating to a User's Plant and/or Apparatus already connected to the National Electricity Transmission System, or a proposed new connection or Modification to the connection within the User System.
User Self Certification of Compliance	A certificate, in the form attached at CP.A.2.(1) or ECP.A.2.(1) completed by a Generator or DC Converter Station owner or HVDC System Owner to which the Compliance Statement is attached which confirms that such Plant and Apparatus complies with the relevant Grid Code provisions and where appropriate, with the CUSC Contract (s), as identified in the Compliance Statement and, if appropriate, identifies any Unresolved Issues and/or any exceptions to such compliance and details the derogation(s) granted in respect of such exceptions.
User Site	A site owned (or occupied pursuant to a lease, licence or other agreement) by a User in which there is a Connection Point . For the avoidance of doubt, a site owned by a Relevant Transmission Licensee but occupied by a User as aforesaid, is a User Site .

User System	Any system owned or operated by a User comprising:-
	(a) Power Generating Modules or Generating Units ; and/or
	 (b) Systems consisting (wholly or mainly) of electric lines used for the distribution of electricity from Grid Supply Points or Generating Units or Power Generating Modules or other entry points to the point of delivery to Customers, or other Users;
	and Plant and/or Apparatus (including prior to the OTSUA Transfer Time , any OTSUA) connecting:-
	(c) The system as described above; or
	(d) Non-Embedded Customers equipment;
	to the National Electricity Transmission System or to the relevant other User System , as the case may be.
	The User System includes any Remote Transmission Assets operated by such User or other person and any Plant and/or Apparatus and meters owned or operated by the User or other person in connection with the distribution of electricity but does not include any part of the National Electricity Transmission System.
User System Entry Point	A point at which a Power Generating Module , Generating Unit , a CCGT Module or a CCGT Unit or a Power Park Module or a DC Converter or an HVDC Converter , as the case may be, which is Embedded connects to the User System .
Water Time Constant	Bears the meaning ascribed to the term "Water inertia time" in IEC 308.
Website	The site established by The Company on the World-Wide Web for the exchange of information among Users and other interested persons in accordance with such restrictions on access as may be determined from time to time by The Company .
Weekly ACS Conditions	Means that particular combination of weather elements that gives rise to a level of peak Demand within a week, taken to commence on a Monday and end on a Sunday, which has a particular chance of being exceeded as a result of weather variation alone. This particular chance is determined such that the combined probabilities of Demand in all weeks of the year exceeding the annual peak Demand under Annual ACS Conditions is 50%, and in the week of maximum risk the weekly peak Demand under Weekly ACS Conditions is equal to the annual peak Demand under Annual ACS Conditions .
WG Consultation Alternative Request	Any request from an Authorised Electricity Operator; the Citizens Advice or the Citizens Advice Scotland, The Company or a Materially Affected Party for a Workgroup Alternative Grid Code Modification to be developed by the Workgroup expressed as such and which contains the information referred to at GR.20.13. For the avoidance of doubt any WG Consultation Alternative Request does not constitute either a Grid Code Modification Proposal or a Workgroup Alternative Grid Code Modification
Workgroup	a Workgroup established by the Grid Code Review Panel pursuant to GR.20.1;

Workgroup Consultation	as defined in GR.20.10, and any further consultation which may be directed by the Grid Code Review Panel pursuant to GR.20.17;
Workgroup Alternative Grid Code Modification	an alternative modification to the Grid Code Modification Proposal developed by the Workgroup under the Workgroup terms of reference (either as a result of a Workgroup Consultation or otherwise) and which is believed by a majority of the members of the Workgroup or by the chairman of the Workgroup to better facilitate the Grid Code Objectives than the Grid Code Modification Proposal or the current version of the Grid Code ;
Zonal System Security Requirements	That generation required, within the boundary circuits defining the System Zone , which when added to the secured transfer capability of the boundary circuits exactly matches the Demand within the System Zone .

A number of the terms listed above are defined in other documents, such as the **Balancing and Settlement Code** and the **Transmission Licence**. Appendix 1 sets out the current definitions from the other documents of those terms so used in the Grid Code and defined in other documents for ease of reference, but does not form part of the Grid Code.

- GD.2 Construction of References
- GD.2.1 In the Grid Code:
 - (i) a table of contents, a Preface, a Revision section, headings, and the Appendix to this Glossary and Definitions are inserted for convenience only and shall be ignored in construing the Grid Code;
 - (ii) unless the context otherwise requires, all references to a particular paragraph, subparagraph, Appendix or Schedule shall be a reference to that paragraph, sub-paragraph Appendix or Schedule in or to that part of the Grid Code in which the reference is made;
 - (iii) unless the context otherwise requires, the singular shall include the plural and vice versa, references to any gender shall include all other genders and references to persons shall include any individual, body corporate, corporation, joint venture, trust, unincorporated association, organisation, firm or partnership and any other entity, in each case whether or not having a separate legal personality;
 - (iv) references to the words "include" or "including" are to be construed without limitation to the generality of the preceding words;
 - (v) unless there is something in the subject matter or the context which is inconsistent therewith, any reference to an Act of Parliament or any Section of or Schedule to, or other provision of an Act of Parliament shall be construed at the particular time, as including a reference to any modification, extension or re-enactment thereof then in force and to all instruments, orders and regulations then in force and made under or deriving validity from the relevant Act of Parliament;
 - (vi) where the Glossary and Definitions refers to any word or term which is more particularly defined in a part of the Grid Code, the definition in that part of the Grid Code will prevail (unless otherwise stated) over the definition in the Glossary & Definitions in the event of any inconsistency;
 - (vii) a cross-reference to another document or part of the Grid Code shall not of itself impose any additional or further or co-existent obligation or confer any additional or further or co-existent right in the part of the text where such cross-reference is contained;
 - (viii) nothing in the Grid Code is intended to or shall derogate from **The Company's** statutory or licence obligations;
 - (ix) a "holding company" means, in relation to any person, a holding company of such person within the meaning of section 736, 736A and 736B of the Companies Act 1985 as substituted by section 144 of the Companies Act 1989 and, if that latter section is not in force at the **Transfer Date**, as if such latter section were in force at such date;

- (x) a "subsidiary" means, in relation to any person, a subsidiary of such person within the meaning of section 736, 736A and 736B of the Companies Act 1985 as substituted by section 144 of the Companies Act 1989 and, if that latter section is not in force at the **Transfer Date**, as if such latter section were in force at such date;
- (xi) references to time are to London time; and
- (xii) (a) Save where (b) below applies, where there is a reference to an item of data being expressed in a whole number of MW, fractions of a MW below 0.5 shall be rounded down to the nearest whole MW and fractions of a MW of 0.5 and above shall be rounded up to the nearest whole MW;

(b) In the case of the definition of **Registered Capacity** or **Maximum Capacity**, fractions of a MW below 0.05 shall be rounded down to one decimal place and fractions of a MW of 0.05 and above shall be rounded up to one decimal place.

(xiii) For the purposes of the Grid Code, physical quantities such as current or voltage are not defined terms as their meaning will vary depending upon the context of the obligation. For example, voltage could mean positive phase sequence root mean square voltage, instantaneous voltage, phase to phase voltage, phase to earth voltage. The same issue equally applies to current, and therefore the terms current and voltage should remain undefined with the meaning depending upon the context of the application. European Regulation (EU) 2016/631 defines requirements of current and voltage but they have not been adopted as part of EU implementation for the reasons outlined above.

< END OF GLOSSARY & DEFINITIONS >

PLANNING CODE

(PC)

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PC.1 INTRODUCTION

- PC.1.1 The **Planning Code** ("**PC**") specifies the technical and design criteria and procedures to be applied by **The Company** in the planning and development of the **National Electricity Transmission System** and to be taken into account by **Users** in the planning and development of their own **Systems**. In the case of **OTSUA**, the **PC** also specifies the technical and design criteria and procedures to be applied by the **User** in the planning and development of the **OTSUA**. It details information to be supplied by **Users** to **The Company**, and certain information to be supplied by **The Company** to **Users**. **The Company** has obligations under the **STC** to inform **Relevant Transmission Licensees** of data required for the planning of the **National Electricity Transmission System**. In respect of **PC** data, **The Company** may pass on **User** data to a **Relevant Transmission Licensee**, as detailed in PC.3.4 and PC.3.5.
- PC.1.1A Provisions of the PC which apply in relation to OTSDUW and OTSUA shall apply up to the OTSUA Transfer Time, whereupon such provisions shall (without prejudice to any prior non-compliance) cease to apply, without prejudice to the continuing application of provisions of the PC applying in relation to the relevant Offshore Transmission System and/or Connection Site.
- PC.1.1B As used in the **PC**:
 - (a) **National Electricity Transmission System** excludes **OTSDUW Plant and Apparatus** (prior to the **OTSUA Transfer Time**) unless the context otherwise requires;
 - (b) and User Development includes **OTSDUW** unless the context otherwise requires.
- PC.1.2 The **Users** referred to above are defined, for the purpose of the **PC**, in PC.3.1.
- PC.1.3 Development of the **National Electricity Transmission System**, involving its reinforcement or extension, will arise for a number of reasons including, but not limited to:
 - (a) a development on a **User System** already connected to the **National Electricity Transmission System**;
 - (b) the introduction of a new Connection Site or the Modification of an existing Connection Site between a User System and the National Electricity Transmission System;
 - (c) the cumulative effect of a number of such developments referred to in (a) and (b) by one or more **Users**.
- PC.1.4 Accordingly, the reinforcement or extension of the **National Electricity Transmission System** may involve work:
 - (a) at a substation at a Connection Site where User's Plant and/or Apparatus is connected to the National Electricity Transmission System (or in the case of OTSDUW, at a substation at an Interface Point);
 - (b) on transmission lines or other facilities which join that Connection Site (or in the case of OTSDUW, Interface Point) to the remainder of the National Electricity Transmission System;
 - (c) on transmission lines or other facilities at or between points remote from that **Connection Site** (or in the case of **OTSDUW**, **Interface Point**).
- PC.1.5 The time required for the planning and development of the **National Electricity Transmission System** will depend on the type and extent of the necessary reinforcement and/or extension work, the need or otherwise for statutory planning consent, the associated possibility of the need for a public inquiry and the degree of complexity in undertaking the new work while maintaining satisfactory security and quality of supply on the existing **National Electricity Transmission System**.

PC1.6 For the avoidance of doubt and the purposes of the Grid Code, DC Connected Power Park Modules are treated as belonging to Generators. Generators who own DC Connected Power Park Modules would therefore be expected to supply the same data as required under this PC in respect of Power Stations comprising Power Park Modules other than where specific references to DC Connected Power Park Modules are made.

PC.2 <u>OBJECTIVE</u>

- PC.2.1 The objectives of the **PC** are:
 - (a) to promote The Company/User interaction in respect of any proposed development on the User System which may impact on the performance of the National Electricity Transmission System or the direct connection with the National Electricity Transmission System;
 - (b) to provide for the supply of information to The Company from Users in order that planning and development of the National Electricity Transmission System can be undertaken in accordance with the relevant Licence Standards, to facilitate existing and proposed connections, and also to provide for the supply of certain information from The Company to Users in relation to short circuit current contributions and OTSUA; and
 - (c) to specify the Licence Standards which will be used in the planning and development of the National Electricity Transmission System; and
 - (d) to provide for the supply of information required by **The Company** from **Users** in respect of the following to enable **The Company** to carry out its duties under the **Act** and the **Transmission Licence**:
 - (i) Mothballed Generating Units, Mothballed Power Generating Modules; and
 - (ii) capability of gas-fired **Synchronous Power Generating Modules** or **Generating Units** to run using alternative fuels.

The Company will use the information provided under PC.2.1(d) in providing reports to the **Authority** and the **Secretary of State** and, where directed by the **Authority** or the **Secretary of State** to do so, **The Company** may publish the information. Where it is known by **The Company** that such information is intended for wider publication the information provided under PC.2.1(d) shall be aggregated such that individual data items should not be identifiable.

- (e) in the case of **OTSUA**:
 - (i) to specify the minimum technical and design criteria and procedures to be applied by **Users** in the planning and development of **OTSUA**; and thereby
 - (ii) to ensure that the **OTSUA** can from the **OTSUA Transfer Time** be operated as part of the **National Electricity Transmission System**; and
 - (iii) to provide for the arrangements and supply of information and data between **The Company** and a **User** to ensure that the **User** is able to undertake **OTSDUW**; and
 - (iv) to promote The Company/User interaction and co-ordination in respect of any proposed development on the National Electricity Transmission System or the OTSUA, which may impact on the OTSUA or (as the case may be) the National Electricity Transmission System.

PC.3 <u>SCOPE</u>

- PC.3.1 The **PC** applies to **The Company** and to **Users**, which in the **PC** means:
 - (a) **Generators**;
 - (b) Generators undertaking OTSDUW;
 - (c) Network Operators;
 - (d) Non-Embedded Customers;

- (e) **DC Converter Station** owners; and
- (f) HVDC System Owners

The above categories of **User** will become bound by the **PC** prior to them generating, operating, or consuming or importing/exporting, as the case may be, and references to the various categories (or to the general category) of **User** should, therefore, be taken as referring to them in that prospective role as well as to **Users** actually connected.

- PC.3.2 In the case of **Embedded Power Stations**, **Embedded DC Converter Stations** and **Embedded HVDC Systems**, unless provided otherwise, the following provisions apply with regard to the provision of data under this **PC**:
 - (a) each Generator shall provide the data direct to The Company in respect of (i) Embedded Large Power Stations, (ii) Embedded Medium Power Stations subject to a Bilateral Agreement and (iii) Embedded Small Power Stations which form part of a Cascade Hydro Scheme;
 - (b) each DC Converter owner or HVDC System Owner shall provide the data direct to The Company in respect of Embedded DC Converter Stations and Embedded HVDC Systems subject to a Bilateral Agreement;
 - (c) each Network Operator shall provide the data to The Company in respect of each Embedded Medium Power Station not subject to a Bilateral Agreement or Embedded DC Converter Station not subject to a Bilateral Agreement or Embedded HVDC System not subject to a Bilateral Agreement connected, or proposed to be connected within such Network Operator's System;
 - (d) although data is not normally required specifically on Embedded Small Power Stations or on Embedded installations of direct current converters which do not form a DC Converter Station or HVDC System under this PC, each Network Operator in whose System they are Embedded should provide the data (contained in the Appendix) to The Company in respect of Embedded Small Power Stations or Embedded installations of direct current converters which do not form a DC Converter Station or Embedded installations of HVDC Systems if:
 - (i) it falls to be supplied pursuant to the application for a CUSC Contract or in the Statement of Readiness to be supplied in connection with a Bilateral Agreement and/or Construction Agreement, by the Network Operator; or
 - (ii) it is specifically requested by **The Company** in the circumstances provided for under this **PC**.
- PC.3.3 Certain data does not normally need to be provided in respect of certain **Embedded Power** Stations, Embedded DC Converter Stations or Embedded HVDC Systems, as provided in PC.A.1.12.

In summary, **Network Operators** are required to supply the following data in respect of **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** or **Embedded HVDC DC Converter Stations** not subject to a **Bilateral Agreement** or **Embedded HVDC Systems** not subject to a **Bilateral Agreement** connected, or is proposed to be connected, within such **Network Operator's System**:

PC.A.2.1.1 PC.A.2.2.2 PC.A.2.5.5.2 PC.A.2.5.5.7 PC.A.2.5.6 PC.A.3.1.5 PC.A.3.2.2 PC.A.3.3.1 PC.A.3.4.1 PC.A.3.4.2 PC.A.5.2.2 PC.A.5.3.2 PC.A.5.4 PC.A.5.5.1 PC.A.5.6

For the avoidance of doubt Network Operators are required to supply the above data in respect of Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded DC Converter Stations not subject to a Bilateral Agreement and Embedded HVDC Systems not subject to a Bilateral Agreement which are located Offshore and which are connected or proposed to be connected within such Network Operator's System. This is because Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded DC Converter Stations not subject to a Bilateral Agreement and Embedded DC Converter Stations not subject to a Bilateral Agreement and Embedded HVDC Systems not subject to a Bilateral Agreement are treated as Onshore Generators or Onshore DC Converter Station owners or HVDC System Owners connected to an Onshore User System Entry Point.

PC.3.4 The Company may provide to the Relevant Transmission Licensees any data which has been submitted to The Company by any Users pursuant to the following paragraphs of the PC. For the avoidance of doubt, The Company will not provide to the Relevant Transmission Licensees, the types of data specified in Appendix D. The Relevant Transmission Licensees' use of such data is detailed in the STC.

> PC.A.2.2 PC.A.2.5 PC.A.3.1 PC.A.3.2.1 PC.A.3.2.2 PC.A.3.3 PC.A.3.4 PC.A.4 PC.A.5.1 PC.A.5.2 PC.A.5.3.1 PC.A.5.3.2 PC.A.5.4.1 PC.A.5.4.2 PC.A.5.4.3.1 PC.A.5.4.3.2 PC.A.5.4.3.3 PC.A.5.4.3.4 PC.A.7

(and in addition in respect of the data submitted in respect of the OTSUA)

PC.A.2.2 PC.A.2.3

PC.A.2.4
PC.A.2.5
PC.A.3.2.2
PC.A.3.3.1(d)
PC.A.4
PC.A.5.4.3.1
PC.A.5.4.3.2
PC.A.6.2
PC.A.6.3
PC.A.6.4
PC.A.6.5
PC.A.6.6
PC.A.7

- PC.3.5 In addition to the provisions of PC.3.4 **The Company** may provide to the **Relevant Transmission Licensees** any data which has been submitted to **The Company** by any **Users** in respect of **Relevant Units** pursuant to the following paragraphs of the **PC**.
 - PC.A.2.3 PC.A.2.4 PC.A.5.5 PC.A.5.7 PC.A.6.2 PC.A.6.3 PC.A.6.4 PC.A.6.5 PC.A.6.6
- PC.3.6 In the case of Offshore Embedded Power Stations connected to an Offshore User System which directly connects to an Offshore Transmission System, any additional data requirements in respect of such Offshore Embedded Power Stations may be specified in the relevant Bilateral Agreement with the Network Operator or in any Bilateral Agreement between The Company and such Offshore Embedded Power Station.
- PC.3.7 In the case of a Generator undertaking OTSDUW connecting to an Onshore Network Operator's System, any additional requirements in respect of such OTSDUW Plant and Apparatus will be specified in the relevant Bilateral Agreement with the Generator. For the avoidance of doubt, requirements applicable to Generators undertaking OTSDUW and connecting to a Network Operator's User System, shall be consistent with those applicable requirements of Generators undertaking OTSDUW and connecting to a Transmission Interface Point.

PC.4 PLANNING PROCEDURES

PC.4.1 Pursuant to Condition C11 of **The Company's Transmission Licence**, the means by which **Users** and proposed **Users** of the **National Electricity Transmission System** are able to assess opportunities for connecting to, and using, the **National Electricity Transmission System** comprise two distinct parts, namely:

- (a) a statement, prepared by The Company under its Transmission Licence, showing for each of the seven succeeding Financial Years, the opportunities available for connecting to and using the National Electricity Transmission System and indicating those parts of the National Electricity Transmission System most suited to new connections and transport of further quantities of electricity (the "Seven Year Statement"); and
- (b) an offer, in accordance with its Transmission Licence, by The Company to enter into a CUSC Contract. A Bilateral Agreement is to be entered into for every Connection Site (and for certain Embedded Power Stations and Embedded DC Converter Stations and Embedded HVDC Systems) within the first two of the following categories and the existing Bilateral Agreement may be required to be varied in the case of the third category:
 - (i) existing **Connection Sites** (and for certain **Embedded Power Stations**) as at the **Transfer Date**;
 - (ii) new Connection Sites (and for certain Embedded Power Stations, Embedded DC Converter Stations and Embedded HVDC Systems) with effect from the Transfer Date;
 - (iii) a Modification at a Connection Site (or in relation to the connection of certain Embedded Power Stations, Embedded DC Converter Stations and Embedded HVDC Systems whether or not the subject of a Bilateral Agreement) (whether such Connection Site or connection exists on the Transfer Date or is new thereafter) with effect from the Transfer Date.

In this **PC**, unless the context otherwise requires, "connection" means any of these 3 categories.

PC.4.2 Introduction to Data

User Data

- PC.4.2.1 Under the **PC**, two types of data to be supplied by **Users** are called for:
 - (a) Standard Planning Data; and
 - (b) **Detailed Planning Data**,

as more particularly provided in PC.A.1.4.

- PC.4.2.2 The PC recognises that these two types of data, namely **Standard Planning Data** and **Detailed Planning Data**, are considered at three different levels:
 - (a) Preliminary Project Planning Data;
 - (b) Committed Project Planning Data; and
 - (c) Connected Planning Data,

as more particularly provided in PC.5

- PC.4.2.3 **Connected Planning Data** is itself divided into:
 - (a) Forecast Data;
 - (b) Registered Data; and
 - (c) Estimated Registered Data,

as more particularly provided in PC.5.5

PC.4.2.4 Clearly, an existing User proposing a new Connection Site (or Embedded Power Station or Embedded DC Converter Station or Embedded HVDC System) in the circumstances outlined in PC.4.1) will need to supply data both in an application for a Bilateral Agreement and under the PC in relation to that proposed new Connection Site (or Embedded Power Station or Embedded DC Converter Station or Embedded HVDC System in the circumstances outlined in PC.4.1) and that will be treated as Preliminary Project Planning Data or Committed Project Planning Data (as the case may be), but the data it supplies under the PC relating to its existing Connection Sites will be treated as Connected Planning Data.

Network Data

PC.4.2.5 In addition, there is **Network Data** supplied by **The Company** in relation to short circuit current contributions and in relation to **OTSUA**.

PC.4.3 Data Provision

PC.4.3.1 <u>Seven Year Statement</u>

To enable the Seven Year Statement to be prepared, each User is required to submit to The Company (subject to the provisions relating to Embedded Power Stations and Embedded DC Converter Stations and Embedded HVDC Systems in PC.3.2) both the Standard Planning Data and the Detailed Planning Data as listed in parts I and 2 of the Appendix. This data should be submitted in calendar week 24 of each year (although Network Operators may delay the submission of data (other than that to be submitted pursuant to PC.3.2(c) and PC.3.2(d)) until calendar week 28) and should cover each of the seven succeeding Financial Years (and in certain instances, the current year). Where, from the date of one submission to another, there is no change in the data (or in some of the data) to be submitted, instead of re-submitting the data, a User may submit a written statement that there has been no change from the data (or in some of the data) submitted the previous time. In addition, The Company will also use the Transmission Entry Capacity and Connection Entry Capacity data from the CUSC Contract, and any data submitted by Network Operators in relation to an Embedded Medium Power Station not subject to a Bilateral Agreement or Embedded DC Converter Station not subject to a Bilateral Agreement, or Embedded HVDC System not subject to a Bilateral Agreement in the preparation of the Seven Year Statement and to that extent the data will not be treated as confidential.

PC.4.3.2 Network Data

To enable **Users** to model the **National Electricity Transmission System** in relation to short circuit current contributions, **The Company** is required to submit to **Users** the **Network Data** as listed in Part 3 of the Appendix. The data will be submitted in week 42 of each year and will cover that **Financial Year**.

- PC.4.3.3 To enable Users to model the National Electricity Transmission System in relation to OTSUA, The Company is required to submit to Users the Network Data as listed in Part 3 of Appendix A and Appendix F. The Company shall provide the Network Data with the offer of a CUSC Contract in the case of the data in PC F2.1 and otherwise in accordance with the OTSDUW Development and Data Timetable.
- PC.4.4 Offer of Terms for Connection
- PC.4.4.1 <u>CUSC Contract Data Requirements/Offer Timing</u>

The completed application form for a **CUSC Contract** to be submitted by a **User** when making an application for a **CUSC Contract** will include:

(a) a description of the Plant and/or Apparatus (excluding OTSDUW Plant and Apparatus) to be connected to the National Electricity Transmission System or of the Modification relating to the User's Plant and/or Apparatus (and prior to the OTSUA Transfer Time, any OTSUA) already connected to the National Electricity Transmission System or, as the case may be, of the proposed new connection or Modification to the connection within the User System of the User, each of which shall be termed a "User Development" in the PC;

- (b) the relevant **Standard Planning Data** as listed in Part 1 of the Appendix (except in respect of any **OTSUA**); and
- (c) the desired Completion Date of the proposed User Development.
- (d) the desired Connection Entry Capacity and Transmission Entry Capacity.

The completed application form for a **CUSC Contract** will be sent to **The Company** as more particularly provided in the application form.

PC.4.4.2 Any offer of a **CUSC Contract** will provide that it must be accepted by the applicant **User** within the period stated in the offer, after which the offer automatically lapses. Except as provided in the **CUSC Contract**, acceptance of the offer renders the **National Electricity Transmission System** works relating to that **User Development**, reflected in the offer, committed and binds both parties to the terms of the offer. The User shall then provide the **Detailed Planning Data** as listed in Part 2 of the Appendix (and in the case of **OTSUA** the **Standard Planning Data** as listed in Part 1 of Appendix A within the timeline provided in PC.A.1.4). In respect of **DPD I** this shall generally be provided within 28 days (or such shorter period as **The Company** may determine, or such longer period as **The Company** may agree, in any particular case) of acceptance of the offer and in respect of **DPD II** this shall generally be provided at least two years (or such longer period as **The Company** may determine, or such shorter period as **The Company** may agree, in any particular case or in the case of **OTSUA** such shorter period as **The Company** may agree, in any particular case or in the case of **OTSUA** such shorter period as **The Company** shall require) prior to the **Completion Date** of the **User Development**.

PC.4.4.3 Embedded Development Agreement - Data Requirements

The Network Operator shall submit the following data in relation to an Embedded Medium Power Station not subject to, or proposed to be subject to, a Bilateral Agreement or Embedded DC Converter Station not subject to, or proposed to be subject to, a Bilateral Agreement as soon as reasonably practicable after receipt of an application from an Embedded Person to connect to its System:

- (a) details of the proposed new connection or variation (having a similar effect on the Network Operator's System as a Modification would have on the National Electricity Transmission System) to the connection within the Network Operator's System, each of which shall be termed an "Embedded Development" in the PC (where a User Development has an impact on the Network Operator's System details shall be supplied in accordance with PC.4.4 and PC.4.5);
- (b) the relevant **Standard Planning Data** as listed in Part 1 of the Appendix;
- (c) the proposed completion date (having a similar meaning in relation to the **Network Operator's System** as **Completion Date** would have in relation to the **National Electricity Transmission System**) of the **Embedded Development**; and
- (d) upon the request of **The Company**, the relevant **Detailed Planning Data** as listed in Part 2 of the Appendix.
- PC.4.4.4 The **Network Operator** shall provide the **Detailed Planning Data** as listed in Part 2 of the Appendix. In respect of **DPD I** this shall generally be provided within 28 days (or such shorter period as **The Company** may determine, or such longer period as **The Company** may agree, in any particular case) of entry into the **Embedded Development Agreement** and in respect to **DPD II** this shall generally be provided at least two years (or such longer period as **The Company** may determine, or such shorter period as **The Company** may agree, in any particular case) prior to the **Completion Date** of the **Embedded Development**.
- PC.4.5 <u>Complex Connections</u>

- PC.4.5.1 The magnitude and complexity of any **National Electricity Transmission System** extension or reinforcement will vary according to the nature, location and timing of the proposed **User Development** which is the subject of the application and it may, in the event, be necessary for **The Company** to carry out additional more extensive system studies to evaluate more fully the impact of the proposed **User Development** on the **National Electricity Transmission System**. Where **The Company** judges that such additional more detailed studies are necessary the offer may indicate the areas that require more detailed analysis and before such additional studies are required, the **User** shall indicate whether it wishes **The Company** to undertake the work necessary to proceed to make a revised offer within the 3 month period normally allowed or, where relevant, the timescale consented to by the **Authority**.
- PC.4.5.2 To enable **The Company** to carry out any of the above mentioned necessary detailed system studies, the **User** may, at the request of **The Company**, be required to provide some or all of the **Detailed Planning Data** listed in part 2 of the Appendix in advance of the normal timescale referred in PC.4.4.2 provided that **The Company** can reasonably demonstrate that it is relevant and necessary.
- PC.4.5.3 To enable **The Company** to carry out any necessary detailed system studies, the relevant **Network Operator** may, at the request of **The Company**, be required to provide some or all of the **Detailed Planning Data** listed in Part 2 of the Appendix in advance of the normal timescale referred in PC.4.4.4 provided that **The Company** can reasonably demonstrate that it is relevant and necessary.

PC.5 PLANNING DATA

PC.5.1 As far as the **PC** is concerned, there are three relevant levels of data in relation to **Users**. These levels, which relate to levels of confidentiality, commitment and validation, are described in the following paragraphs.

Preliminary Project Planning Data

- PC.5.2 At the time the **User** applies for a **CUSC Contract** but before an offer is made and accepted by the applicant **User**, the data relating to the proposed **User Development** will be considered as **Preliminary Project Planning Data**. Data relating to an **Embedded Development** provided by a **Network Operator** in accordance with PC.4.4.3, and PC.4.4.4 if requested, will be considered as **Preliminary Project Planning Data**. All such data will be treated as confidential within the scope of the provisions relating to confidentiality in the **CUSC**.
- PC.5.3 **Preliminary Project Planning Data** will normally only contain the **Standard Planning Data** unless the **Detailed Planning Data** is required in advance of the normal timescale to enable **The Company** to carry out additional detailed system studies as described in PC.4.5.

Committed Project Planning Data

- PC.5.4 Once the offer for a CUSC Contract is accepted, the data relating to the User Development already submitted as Preliminary Project Planning Data, and subsequent data required by The Company under this PC, will become Committed Project Planning Data. Once an Embedded Person has entered into an Embedded Development Agreement, as notified to The Company by the Network Operator, the data relating to the Embedded Development already submitted as Preliminary Project Planning Data, and subsequent data required by The Company under the PC, will become Committed Project Planning Data. Such data, together with Connection Entry Capacity and Transmission Entry Capacity data from the CUSC Contract and other data held by The Company relating to the National Electricity Transmission System will form the background against which new applications by any User will be considered and against which planning of the National Electricity Transmission System will be undertaken. Accordingly, Committed Project Planning Data, Connection Entry Capacity and Transmission Entry Capacity data will not be treated as confidential to the extent that The Company:
 - (a) is obliged to use it in the preparation of the **Seven Year Statement** and in any further information given pursuant to the **Seven Year Statement**;

- (b) is obliged to use it when considering and/or advising on applications (or possible applications) of other Users (including making use of it by giving data from it, both orally and in writing, to other Users making an application (or considering or discussing a possible application) which is, in The Company's view, relevant to that other application or possible application);
- (c) is obliged to use it for operational planning purposes;
- (d) is obliged under the terms of an **Interconnection Agreement** to pass it on as part of system information on the **Total System**;
- (e) is obliged to disclose it under the **STC**;
- (f) is obliged to use and disclose it in the preparation of the **Offshore Development** Information Statement;
- (g) is obliged to use it in order to carry out its **EMR Functions** or is obliged to disclose it under an **EMR Document**.

To reflect different types of data, **Preliminary Project Planning Data** and **Committed Project Planning Data** are themselves divided into:

- (a) those items of **Standard Planning Data** and **Detailed Planning Data** which will always be forecast, known as **Forecast Data**; and
- (b) those items of Standard Planning Data and Detailed Planning Data which relate to Plant and/or Apparatus which upon connection will become Registered Data, but which prior to connection, for the seven succeeding Financial Years, will be an estimate of what is expected, known as Estimated Registered Data.

Connected Planning Data

PC.5.5 The PC requires that, at the time that a **Statement of Readiness** is submitted under the **Bilateral Agreement** and/or **Construction Agreement**, any estimated values assumed for planning purposes are confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for forecast data items such as **Demand**. In the case of an **Embedded Development** the relevant **Network Operator** will update any estimated values assumed for planning purposes with validated actual values as soon as reasonably practicable after energisation. This data is then termed **Connected Planning Data**.

To reflect the three types of data referred to above, **Connected Planning Data** is itself divided into:

- (a) those items of **Standard Planning Data** and **Detailed Planning Data** which will always be forecast data, known as **Forecast Data**; and
- (b) those items of Standard Planning Data and Detailed Planning Data which upon connection become fixed (subject to any subsequent changes), known as Registered Data; and
- (c) those items of Standard Planning Data and Detailed Planning Data which for the purposes of the Plant and/or Apparatus concerned as at the date of submission are Registered Data but which for the seven succeeding Financial Years will be an estimate of what is expected, known as Estimated Registered Data,

as more particularly provided in the Appendix.

PC.5.6 Connected Planning Data, together with Connection Entry Capacity and Transmission Entry Capacity data from the CUSC Contract, and other data held by The Company relating to the National Electricity Transmission System, will form the background against which new applications by any User will be considered and against which planning of the National Electricity Transmission System will be undertaken. Accordingly, Connected Planning Data, Connection Entry Capacity and Transmission Entry Capacity data will not be treated as confidential to the extent that The Company:

- (a) is obliged to use it in the preparation of the **Seven Year Statement** and in any further information given pursuant to the **Seven Year Statement**;
- (b) is obliged to use it when considering and/or advising on applications (or possible applications) of other Users (including making use of it by giving data from it, both orally and in writing, to other Users making an application (or considering or discussing a possible application) which is, in The Company's view, relevant to that other application or possible application);
- (c) is obliged to use it for operational planning purposes;
- (d) is obliged under the terms of an **Interconnection Agreement** to pass it on as part of system information on the **Total System**.
- (e) is obliged to disclose it under the STC;
- (f) is obliged to use it in order to carry out its **EMR Functions** or is obliged to disclose it under an **EMR Document**.

PC.5.7 Committed Project Planning Data and Connected Planning Data will each contain both Standard Planning Data and Detailed Planning Data.

PC.6 PLANNING STANDARDS

- PC.6.1 The Company shall apply the Licence Standards relevant to it in the planning and development of the National Electricity Transmission System. The Company shall procure that each Relevant Transmission Licensee shall apply the Licence Standards relevant to planning and development, in the planning and development of the Transmission System of each Relevant Transmission Licensee and that a User shall apply the Licence Standards relevant to planning and development, in the planning and development, in the planning and development, in the planning and development of the OTSUA.
- PC.6.2 In relation to Scotland, Appendix C lists the technical and design criteria applied in the planning and development of each **Relevant Transmission Licensee's Transmission System**. The criteria are subject to review in accordance with each **Relevant Transmission Licensee's Transmission Licence** conditions. Copies of these documents are available from **The Company** on request. **The Company** will charge an amount sufficient to recover its reasonable costs incurred in providing this service.
- PC.6.3 In relation to **Offshore**, Appendix E lists the technical and design criteria applied in the planning and development of each **Offshore Transmission System**. The criteria are subject to review in accordance with each **Offshore Transmission Licensee's Transmission Licence** conditions. Copies of these documents are available from **The Company** on request. **The Company** will charge an amount sufficient to recover its reasonable costs incurred in providing this service.
- PC.6.4 In planning and developing the **OTSUA**, the **User** shall comply with (and shall ensure that (as at the **OTSUA Transfer Time**) the **OTSUA** comply with):
 - (a) the Licence Standards; and
 - (b) the technical and design criteria in Appendix E.
- PC.6.5 In addition the **User** shall, in the planning and development of the **OTSUA**, to the extent it is reasonable and practicable to do so, take into account the reasonable requests of **The Company** (in the context of its obligation to develop an efficient, co-ordinated and economical system) relating to the planning and development of the **National Electricity Transmission System**.
- PC.6.6 In planning and developing the **OTSUA** the **User** shall take into account the **Network Data** provided to it by **The Company** under Part 3 of Appendix A and Appendix F, and act on the basis that the **Plant** and **Apparatus** of other **Users** complies with:
 - (a) the minimum technical design and operational criteria and performance requirements set out in either CC.6.1, CC.6.2, CC.6.3 and CC.6.4 or ECC.6.1, ECC.6.2, ECC.6.3 and ECC.6.4; or
 - (b) such other criteria or requirements as **The Company** may from time to time notify the **User** are applicable to specified **Plant** and **Apparatus** pursuant to PC.6.7.
- PC.6.7 Where the **OTSUA** are likely to be materially affected by the design or operation of another **User's Plant** and **Apparatus** and **The Company**:
 - (a) becomes aware that such other **User** has or is likely to apply for a derogation under the Grid Code;
 - (b) is itself applying for a derogation under the Grid Code in relation to the **Connection Site** on which such other **User's Plant** and **Apparatus** is located or to which it otherwise relates; or
 - (c) is otherwise notified by such other **User** that specified **Plant** or **Apparatus** is normally capable of operating at levels better than those set out in CC.6.1, CC.6.2, CC.6.3 and CC.6.4 or ECC.6.1, ECC.6.2, ECC.6.3 and ECC.6.4,

The Company shall notify the User.

PC.7 PLANNING LIAISON

- PC.7.1 This PC.7 applies to The Company and Users, which in PC.7 means
 - (a) Network Operators

(b) Non-Embedded Customers

- PC.7.2 As described in PC.2.1 (b) an objective of the **PC** is to provide for the supply of information to **The Company** by **Users** in order that planning and development of the **National Electricity Transmission System** can be undertaken in accordance with the relevant Licence Standards.
- PC.7.3 **Grid Code** amendment B/07 ("Amendment B/07") implemented changes to the **Grid Code** which included amendments to the datasets provided by both **The Company** and **Users** to inform the planning and development of the **National Electricity Transmission System**. The **Authority** has determined that these changes are to have a phased implementation. Consequently the provisions of Appendix A to the **PC** include specific years (ranging from 2009 to 2011) with effect from which certain of the specific additional obligations brought about by Amendment B/07 on **The Company** and **Users** are to take effect. Where specific provisions of paragraphs PC.A.4.1.4, PC.A.4.2.2 and PC.A.4.3.1 make reference to a year, then the obligation on **The Company** and the **Users** shall be required to be met by the relevant calendar week (as specified within such provision) in such year.

In addition to the phased implementation of aspects of Amendment B/07, **Users** must discuss and agree with **The Company** by no later than 31 March 2009 a more detailed implementation programme to facilitate the implementation of **Grid Code** amendment B/07.

It shall also be noted by **The Company** and **Users** that the dates set out in PC.A.4 are intended to be minimum requirements and are not intended to restrict a **User** and **The Company** from the earlier fulfilment of the new requirements prior to the specified years. Where **The Company** and a **User** wish to follow the new requirements from earlier dates than those specified, this will be set out in the more detailed implementation programme agreed between **The Company** and the **User**.

The following provisions of PC.7 shall only apply with effect from 1 January 2011.

- PC.7.4 Following the submission of data by a **User** in or after week 24 of each year **The Company** will provide information to **Users** by calendar week 6 of the following year regarding the results of any relevant assessment that has been made by **The Company** based upon such data submissions to verify whether **Connection Points** are compliant with the relevant **Licence Standards**.
- PC.7.5 Where the result of any assessment identifies possible future non-compliance with the relevant Licence Standards, The Company shall notify the relevant User(s) of this fact as soon as reasonably practicable and shall agree with Users any opportunity to resubmit data to allow for a reassessment in accordance with PC.7.6.
- PC.7.6 Following any notification by **The Company** to a **User** pursuant to PC.7.5 and following any further discussions held between the **User** and **The Company**:
 - (i) The Company and the User may agree revisions to the Access Periods for relevant Transmission Interface Circuits, such revisions shall not however permit an Access Period to be less than 4 continuous weeks in duration or to occur other than between calendar weeks 10 and 43 (inclusive); and/or,
 - (ii) The **User** shall as soon as reasonably practicable
 - (a) submit further relevant data to **The Company** that is to **The Company's** reasonable satisfaction; and/or,
 - (b) modify data previously submitted pursuant to this **PC**, such modified data to be to **The Company's** reasonable satisfaction; and/or
 - (c) notify **The Company** that it is the intention of the **User** to leave the data as originally submitted to **The Company** to stand as its submission.

- PC.7.7 Where an Access Period is amended pursuant to PC.7.6 (i) The Company shall notify The Authority that it has been necessary to do so.
- PC.7.8 When it is agreed that any resubmission of data is unlikely to confirm future compliance with the relevant Licence Standards the Modification process in the CUSC may apply.
- PC.7.9 A User may at any time, in writing, request further specified National Electricity Transmission System network data in order to provide The Company with viable User network data (as required under this PC). Upon receipt of such request The Company shall consider, and where appropriate provide such National Electricity Transmission System network data to the User as soon as reasonably practicable following the request.

PC.8 OTSDUW PLANNING LIAISON

- PC.8.1 This PC.8 applies to **The Company** and **Users**, which in PC.8 means **Users** undertaking **OTSDUW**
- PC.8.2 As described in PC.2.1 (e) an objective of the **PC** is to provide for the supply of information between **The Company** and a **User** undertaking **OTSDUW** in order that planning and development of the **National Electricity Transmission System** can be co-ordinated.
- PC.8.3 Where the **OTSUA** also require works to be undertaken by any **Relevant Transmission** Licensee on its **Transmission System The Company** and the **User** shall throughout the construction and commissioning of such works:
 - (a) co-operate and assist each other in the development of co-ordinated construction programmes or any other planning or, in the case of **The Company**, analysis it undertakes in respect of the works; and
 - (b) provide to each other all information relating to, in the case of the User its own works and, in the case of The Company, the works on the Transmission Systems reasonably necessary to assist each other in the performance of that other's part of the works, and shall use all reasonable endeavours to co-ordinate and integrate their respective part of the works; and

the **User** shall plan and develop the **OTSUA**, taking into account to the extent that it is reasonable and practicable to do so the reasonable requests of **The Company** relating to the planning and development of the **National Electricity Transmission System**.

PC.8.4 Where **The Company** becomes aware that changes made to the investment plans of any **Relevant Transmission Licensee** may have a material effect on the **OTSUA**, **The Company** shall notify the **User** and provide the **User** with the necessary information about the relevant **Transmission Systems** sufficient for the **User** to assess the impact on the **OTSUA**.

APPENDIX A - PLANNING DATA REQUIREMENTS

PC.A.1 INTRODUCTION

- PC.A.1.1 The Appendix specifies data requirements to be submitted to **The Company** by **Users**, and in certain circumstances to **Users** by **The Company**.
- PC.A.1.2 Submissions by Users
 - (a) Planning data submissions by **Users** shall be:
 - (i) with respect to each of the seven succeeding Financial Years (other than in the case of Registered Data which will reflect the current position and data relating to Demand forecasts which relates also to the current year);
 - (ii) provided by Users in connection with a CUSC Contract (PC.4.1, PC.4.4 and PC.4.5 refer);
 - (iii) provided by Users on a routine annual basis in calendar week 24 of each year to maintain an up-to-date data bank (although Network Operators may delay the submission of data (other than that to be submitted pursuant to PC.3.2(c) and PC.3.2(d)) until calendar week 28). In addition the structural data in DRC Schedule 5 Tables 5(a), 5(b), 5(d), 5(e) , 5(f) and DRC Schedule 13 (Lumped system susceptance (PC.A.2.3) only) provided by Network Operators by calendar week 28 shall be updated by calendar week 50 of each year (again which may be delayed as above until week 2 of the following calendar year). Where from the date of one annual (or in the case of Schedule 5 or Schedule 13 the calendar week 50) submission to another there is no change in the data (or in some of the data) to be submitted, instead of re-submitting the data, a User may submit a written statement that there has been no change from the data (or some of the data) submitted the previous time; and
 - (iv) provided by **Network Operators** in connection with **Embedded Development** (PC.4.4 refers).
 - (b) Where there is any change (or anticipated change) in Committed Project Planning Data or a significant change in Connected Planning Data in the category of Forecast Data or any change (or anticipated change) in Connected Planning Data in the categories of Registered Data or Estimated Registered Data supplied to The Company under the PC, notwithstanding that the change may subsequently be notified to The Company under the PC as part of the routine annual update of data (or that the change may be a Modification under the CUSC), the User shall, subject to PC.A.3.2.3 and PC.A.3.2.4, notify The Company in writing without delay.
 - (c) The notification of the change will be in the form required under this **PC** in relation to the supply of that data and will also contain the following information:
 - (i) the time and date at which the change became, or is expected to become, effective;
 - (ii) if the change is only temporary, an estimate of the time and date at which the data will revert to the previous registered form.
 - (d) The routine annual update of data, referred to in (a)(iii) above, need not be submitted in respect of Small Power Stations or Embedded installations of direct current converters which do not form a DC Converter Station or HVDC System (except as provided in PC.3.2.(c)), or unless specifically requested by The Company, or unless otherwise specifically provided.

PC.A.1.3 Submissions by The Company

Network Data release by The Company shall be:

(a) with respect to the current Financial Year;

(b) provided by **The Company** on a routine annual basis in calendar week 42 of each year. Where from the date of one annual submission to another there is no change in the data (or in some of the data) to be released, instead of repeating the data, **The Company** may release a written statement that there has been no change from the data (or some of the data) released the previous time.

The three parts of the Appendix

- PC.A.1.4 The data requirements listed in this Appendix are subdivided into the following four parts:
 - (a) <u>Standard Planning Data</u>

This data (as listed in Part 1 of the Appendix) is first to be provided by a **User** at the time of an application for a **CUSC Contract** or in accordance with PC.4.4.3. It comprises data which is expected normally to be sufficient for **The Company** to investigate the impact on the **National Electricity Transmission System** of any **User Development** or **Embedded Development** associated with an application by the **User** for a **CUSC Contract**. **Users** should note that the term **Standard Planning Data** also includes the information referred to in PC.4.4.1.(a) and PC.4.4.3.(a). In the case of **OTSUA**, this data is first to be provided by a **User** in accordance with the time line in Appendix F.

(b) Detailed Planning Data

This data (as listed in Part 2 of the Appendix) includes both DPD I and DPD II and is to be provided in accordance with PC.4.4.2 and PC.4.4.4. It comprises additional, more detailed, data not normally expected to be required by **The Company** to investigate the impact on the **National Electricity Transmission System** of any **User Development** associated with an application by the **User** for a **CUSC Contract** or **Embedded Development Agreement**. **Users** and **Network Operators** in respect of **Embedded Developments** should note that the term **Detailed Planning Data** also includes **Operation Diagrams** and **Site Common Drawings** produced in accordance with the **CC** and **ECC**.

The User may, however, be required by **The Company** to provide the **Detailed Planning Data** in advance of the normal timescale before **The Company** can make an offer for a **CUSC Contract**, as explained in PC.4.5.

(c) Network Data

The data requirements for **The Company** in this Appendix are in Part 3.

(d) Offshore Transmission System (OTSDUW) Data

Generators who are undertaking **OTSDUW** are required to submit data in accordance with Appendix A as summarised in Schedule 18 of the **Data Registration Code**.

Forecast Data, Registered Data and Estimated Registered Data

- PC.A.1.5 As explained in PC.5.4 and PC.5.5, **Planning Data** is divided into:
 - (i) those items of **Standard Planning Data** and **Detailed Planning Data** known as **Forecast Data**; and
 - (ii) those items of **Standard Planning Data** and **Detailed Planning Data** known as **Registered Data**; and
 - (iii) those items of **Standard Planning Data** and **Detailed Planning Data** known as **Estimated Registered Data**.
- PC.A.1.6 The following paragraphs in this Appendix relate to **Forecast Data**:

3.2.2(b), (h), (i) and (j)

- 4.2.1
- 4.3.1
- 4.3.2

4.3.3 4.3.4 4.3.5 4.5 4.7.1 5.2.1 5.2.2

5.6.1

- PC.A.1.7 The following paragraphs in this Appendix relate to **Registered Data** and **Estimated Registered Data**:
 - 2.2.1 2.2.4 2.2.5 2.2.6 2.3.1 2.4.1 2.4.2 3.2.2(a), (c), (d), (e), (f), (g), (i)(part) and (j) 3.4.1 3.4.2 4.2.3 4.5(a)(i), (a)(iii), (b)(i) and (b)(iii) 4.6 5.3.2 5.4 5.4.2 5.4.3 5.5 5.6.3 6.2 6.3
- PC.A.1.8 The data supplied under PC.A.3.3.1, although in the nature of **Registered Data**, is only supplied either upon application for a **CUSC Contract**, or in accordance with PC.4.4.3, and therefore does not fall to be **Registered Data**, but is **Estimated Registered Data**.
- PC.A.1.9 **Forecast Data** must contain the **User's** best forecast of the data being forecast, acting as a reasonable and prudent **User** in all the circumstances.

- PC.A.1.10 Registered Data must contain validated actual values, parameters or other information (as the case may be) which replace the estimated values, parameters or other information (as the case may be) which were given in relation to those data items when they were Preliminary Project Planning Data and Committed Project Planning Data, or in the case of changes, which replace earlier actual values, parameters or other information (as the case may be). Until amended pursuant to the Grid Code, these actual values, parameters or other information (as the case may be) will be the basis upon which the National Electricity Transmission System is planned, designed, built and operated in accordance with, amongst other things, the Transmission Licences, the STC and the Grid Code, and on which The Company therefore relies. In following the processes set out in the BC, The Company will use the data which has been supplied to it under the BC and the data supplied under OC2 in relation to Gensets, but the provision of such data will not alter the data supplied by Users under the PC, which may only be amended as provided in the PC.
- PC.A.1.11 **Estimated Registered Data** must contain the **User's** best estimate of the values, parameters or other information (as the case may be), acting as a reasonable and prudent **User** in all the circumstances.
- PC.A.1.12 Certain data does not need to be supplied in relation to **Embedded Power Stations** or **Embedded DC Converter Stations** or **Embedded HVDC Systems** where these are connected at a voltage level below the voltage level directly connected to the **National Electricity Transmission System** except in connection with a **CUSC Contract**, or unless specifically requested by **The Company**.
- PC.A.1.13 In the case of **OTSUA**, Schedule 18 of the **Data Registration Code** shall be construed in such a manner as to achieve the intent of such provisions by reference to the **OTSUA** and the **Interface Point** and all **Connection Points**.

PART 1 - STANDARD PLANNING DATA

PC.A.2 USER'S SYSTEM (AND OTSUA) DATA

- PC.A.2.1 Introduction
- PC.A.2.1.1 Each User, whether connected directly via an existing Connection Point to the National **Electricity Transmission System**, or seeking such a direct connection, or providing terms for connection of an Offshore Transmission System to its User System to The Company, shall provide The Company with data on its User System (and any OTSUA) which relates to the **Connection Site** (and in the case of **OTSUA**, the **Interface Point**) and/or which may have a system effect on the performance of the National Electricity Transmission System. Such data, current and forecast, is specified in PC.A.2.2 to PC.A.2.5. In addition each Generator in respect of its Embedded Large Power Stations and its Embedded Medium Power Stations subject to a Bilateral Agreement and each Network Operator in respect of Embedded Medium Power Stations within its System not subject to a Bilateral Agreement connected to the Subtransmission System, shall provide The Company with fault infeed data as specified in PC.A.2.5.5 and each DC Converter owner with Embedded DC Converter Stations subject to a Bilateral Agreement and Embedded HVDC System Owner subject to a Bilateral Agreement, or Network Operator in the case of Embedded DC Converter Stations not subject to a Bilateral Agreement or Embedded HVDC Systems not subject to a Bilateral Agreement, connected to the Subtransmission System shall provide **The Company** with fault infeed data as specified in PC.A.2.5.6.
- PC.A.2.1.2 Each **User** must reflect the system effect at the **Connection Site(s)** of any third party **Embedded** within its **User System** whether existing or proposed.
- PC.A.2.1.3 Although not itemised here, each User with an existing or proposed Embedded Small Power Station, Embedded Medium Power Station, Embedded DC Converter Station or HVDC System with a Registered Capacity of less than 100MW or an Embedded installation of direct current converters which does not form a DC Converter Station or HVDC System in its User System may, at The Company's reasonable discretion, be required to provide additional details relating to the User's System between the Connection Site and the existing or proposed Embedded Small Power Station, Embedded Medium Power Station, Embedded DC Converter Station, Embedded HVDC System or Embedded installation of direct current converters which does not form a DC Converter Station or Embedded installation which does not form an HVDC System.
- PC.A.2.1.4 At **The Company's** reasonable request, additional data on the **User's System** (or **OTSUA**) will need to be supplied. Some of the possible reasons for such a request, and the data required, are given in PC.A.6.2, PC.A.6.4, PC.A.6.5 and PC.A.6.6.
- PC.A.2.2 User's System (and OTSUA) Layout
- PC.A.2.2.1 Each **User** shall provide a **Single Line Diagram**, depicting both its existing and proposed arrangement(s) of load current carrying **Apparatus** relating to both existing and proposed **Connection Points** (including in the case of **OTSUA**, **Interface Points**).
- PC.A.2.2.2 The Single Line Diagram (three examples are shown in Appendix B) must include all parts of the User System operating at Supergrid Voltage throughout Great Britain and, in Scotland and Offshore, also all parts of the User System operating at 132kV, and those parts of its Subtransmission System at any Transmission Site. In the case of OTSDUW, the Single Line Diagram must also include the OTSUA. In addition, the Single Line Diagram must include all parts of the User's Subtransmission System (and any OTSUA) throughout Great Britain operating at a voltage greater than 50kV, and, in Scotland and Offshore, also all parts of the User's Subtransmission System (and any OTSUA) operating at a voltage greater than 30kV, which, under either intact network or Planned Outage conditions:-
 - (a) normally interconnects separate **Connection Points**, or busbars at a **Connection Point** which are normally run in separate sections; or

(b) connects Embedded Large Power Stations, or Embedded Medium Power Stations, or Embedded DC Converter Stations, or Embedded HVDC Systems or Offshore Transmission Systems connected to the User's Subtransmission System, to a Connection Point or Interface Point.

At the **User's** discretion, the **Single Line Diagram** can also contain additional details of the **User's Subtransmission System** (and any **OTSUA**) not already included above, and also details of the transformers connecting the **User's Subtransmission System** to a lower voltage. With **The Company's** agreement, the **Single Line Diagram** can also contain information about the **User's System** (and any **OTSUA**) at a voltage below the voltage of the **Subtransmission System**.

The Single Line Diagram for a Power Park Module (including DC Connected Power Park Modules) must include all parts of the System connecting generating equipment to the Grid Entry Point (or User System Entry Point if Embedded). As an alternative the User may choose to submit a Single Line Diagram with the equipment between the equivalent Power Park Unit and the Common Collection Busbar reduced to an electrically equivalent network. The format for a Single Line Diagram for a Power Park Module (including DC Connected Power Park Modules) electrically equivalent system is shown in Appendix B.

The **Single Line Diagram** must include the points at which **Demand** data (provided under PC.A.4.3.4 and PC.A.4.3.5, or in the case of **Generators**, PC.A.5.) and fault infeed data (provided under PC.A.2.5) are supplied.

- PC.A.2.2.3 The above mentioned **Single Line Diagram** shall include:
 - electrical circuitry (ie. overhead lines, identifying which circuits are on the same towers, underground cables, power transformers, reactive compensation equipment and similar equipment); and
 - (b) substation names (in full or abbreviated form) with operating voltages.

In addition, for all load current carrying **Apparatus** operating at **Supergrid Voltage** throughout **Great Britain** and, in Scotland and **Offshore**, also at 132kV, (and any **OTSUA**) the **Single Line Diagram** shall include:-

- (a) circuit breakers
- (b) phasing arrangements.
- PC.A.2.2.3.1 For the avoidance of doubt, the **Single Line Diagram** to be supplied is in addition to the **Operation Diagram** supplied pursuant to CC.7.4.
- PC.A.2.2.4 For each circuit shown on the **Single Line Diagram** provided under PC.A.2.2.1, each **User** shall provide the following details relating to that part of its **User System** and **OTSUA**:
 - **Circuit Parameters:**

Rated voltage (kV)

Operating voltage (kV)

Positive phase sequence reactance

Positive phase sequence resistance

Positive phase sequence susceptance

Zero phase sequence reactance (both self and mutual)

Zero phase sequence resistance (both self and mutual)

Zero phase sequence susceptance (both self and mutual)

In the case of a **Single Line Diagram** for a **Power Park Module** (including **DC Connected Power Park Modules**) electrically equivalent system the data should be on a 100MVA base. Depending on the equivalent system supplied an equivalent tap changer range may need to be supplied. Similarly mutual values, rated voltage and operating voltage may be inappropriate. Additionally in the case of **OTSUA**, seasonal maximum continuous ratings and circuit lengths are to be provided in addition to the data required under PC.A.2.2.4.

PC.A.2.2.5 For each transformer shown on the **Single Line Diagram** provided under PC.A.2.2.1, each **User** (including those undertaking **OTSDUW**) shall provide the following details:

Rated MVA

Voltage Ratio

Winding arrangement

Positive sequence reactance (max, min and nominal tap)

Positive sequence resistance (max, min and nominal tap)

Zero sequence reactance

PC.A.2.2.5.1. In addition, for all interconnecting transformers between the User's Supergrid Voltage System and the User's Subtransmission System throughout Great Britain and, in Scotland and Offshore, also for all interconnecting transformers between the User's 132kV System and the User's Subtransmission System (and any OTSUA) the User shall supply the following information:-

Tap changer range

Tap change step size

Tap changer type: on load or off circuit

Earthing method: Direct, resistance or reactance

Impedance (if not directly earthed)

- PC.A.2.2.6 Each **User** shall supply the following information about the **User's** equipment installed at a **Transmission Site** (or in the case of **OTSUA**, all **OTSDUW Plant and Apparatus**):-
 - (a) Switchgear. For all circuit breakers:-

Rated voltage (kV)

Operating voltage (kV)

Rated 3-phase rms short-circuit breaking current, (kA)

Rated 1-phase rms short-circuit breaking current, (kA)

Rated 3-phase peak short-circuit making current, (kA)

Rated 1-phase peak short-circuit making current, (kA)

Rated rms continuous current (A)

DC time constant applied at testing of asymmetrical breaking abilities (secs)

In the case of **OTSDUW Plant and Apparatus** operating times for circuit breaker, **Protection**, trip relay and total operating time should be provided.

(b) <u>Substation Infrastructure.</u> For the substation infrastructure (including, but not limited to, switch disconnectors, disconnectors, current transformers, line traps, busbars, through bushings, etc):-

Rated 3-phase rms short-circuit withstand current (kA)

Rated 1-phase rms short-circuit withstand current (kA).

Rated 3-phase short-circuit peak withstand current (kA)

Rated 1- phase short-circuit peak withstand current (kA)

Rated duration of short circuit withstand (secs)

Rated rms continuous current (A)

A single value for the entire substation may be supplied, provided it represents the most restrictive item of current carrying apparatus.

- PC.A.2.2.7 In the case of **OTSUA** the following should also be provided
 - (a) Automatic switching scheme schedules including diagrams and an explanation of how the **System** will operate and what plant will be affected by the schemes **Operation**.
 - (b) **Intertripping** schemes both Generation and **Demand**. In each case a diagram of the scheme and an explanation of how the **System** will operate and what **Plant** will be affected by the schemes **Operation**.
- PC.A.2.3 Lumped System Susceptance
- PC.A.2.3.1 For all parts of the **User's Subtransmission System** (and any **OTSUA**) which are not included in the **Single Line Diagram** provided under PC.A.2.2.1, each **User** shall provide the equivalent lumped shunt susceptance at nominal **Frequency**.
- PC.A.2.3.1.1 This should include shunt reactors connected to cables which are <u>not</u> normally in or out of service independent of the cable (ie. they are regarded as part of the cable).
- PC.A.2.3.1.2 This should <u>not</u> include:
 - (a) independently switched reactive compensation equipment connected to the **User's System** specified under PC.A.2.4, or;
 - (b) any susceptance of the **User's System** inherent in the **Demand** (**Reactive Power**) data specified under PC.A.4.3.1.
- PC.A.2.4 Reactive Compensation Equipment
- PC.A.2.4.1 For all independently switched reactive compensation equipment (including any OTSUA), including that shown on the Single Line Diagram, not operated by The Company and connected to the User's System at 132kV and above in England and Wales and 33kV and above in Scotland and Offshore (including any OTSDUW Plant and Apparatus operating at High Voltage), other than Power Factor correction equipment associated directly with Customers' Plant and Apparatus, the following information is required:
 - (a) type of equipment (eg. fixed or variable);
 - (b) capacitive and/or inductive rating or its operating range in MVAr;
 - (c) details of any automatic control logic to enable operating characteristics to be determined;
 - (d) the point of connection to the **User's System** (including **OTSUA**) in terms of electrical location and **System** voltage.
 - (e) In the case of OTSDUW Plant and Apparatus the User should also provide:-
 - (i) Connection node, voltage, rating, power loss, tap range and connection arrangement.
 - (ii) A mathematical representation in block diagram format to model the control of any dynamic compensation plant. The model should be suitable for RMS dynamic stability type studies where each time constant should be no less than 10ms.
 - (iii) For Static Var Compensation equipment the **User** should provide:
 - HV Node LV Node Control Node Nominal Voltage (kV)

Target Voltage (kV) Maximum MVAr at HV Minimum MVAr at HV Slope % Voltage dependant Q Limit Normal Running Mode Positive and zero phase sequence resistance and reactance Transformer winding type Connection arrangements

- PC.A.2.4.2 **DC Converter Station** owners, **HVDC System Owners** (and a **User** where the **OTSUA** includes an **OTSDUW DC Converter**) are also required to provide information about the reactive compensation and harmonic filtering equipment required to ensure that their **Plant** and **Apparatus** (and the **OTSUA**) complies with the criteria set out in CC.6.1.5 or ECC.6.1.5 (as applicable).
- PC.A.2.5 Short Circuit Contribution to National Electricity Transmission System
- PC.A.2.5.1 General
 - (a) To allow **The Company** to calculate fault currents, each **User** is required to provide data, calculated in accordance with **Good Industry Practice**, as set out in the following paragraphs of PC.A.2.5.
 - (b) The data should be provided for the User's System with all Generating Units (including Synchronous Generating Units), Power Park Units, HVDC Systems and DC Converters Synchronised to that User's System (and any OTSUA where appropriate). The User must ensure that the pre-fault network conditions reflect a credible System operating arrangement.
 - (c) The list of data items required, in whole or part, under the following provisions, is set out in PC.A.2.5.6. Each of the relevant following provisions identifies which data items in the list are required for the situation with which that provision deals.

The fault currents in sub-paragraphs (a) and (b) of the data list in PC.A.2.5.6 should be based on an a.c. load flow that takes into account any pre-fault current flow across the **Point of Connection** (and in the case of **OTSUA**, **Interface Points** and **Connection Points**) being considered.

Measurements made under appropriate **System** conditions may be used by the **User** to obtain the relevant data.

- (d) The Company may at any time, in writing, specifically request for data to be provided for an alternative System condition, for example minimum plant, and the User will, insofar as such request is reasonable, provide the information as soon as reasonably practicable following the request.
- PC.A.2.5.2 Network Operators and Non-Embedded Customers are required to submit data in accordance with PC.A.2.5.4. Generators, DC Converter Station owners, HVDC System Owners and Network Operators, in respect of Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded DC Converter Stations not subject to a Bilateral Agreement and Embedded HVDC Systems within such Network Operator's Systems are required to submit data in accordance with PC.A.2.5.5.
- PC.A.2.5.3 Where prospective short-circuit currents on **Transmission** equipment are close to the equipment rating, and in **The Company's** reasonable opinion more accurate calculations of the prospective short circuit currents are required, then **The Company** will request additional data as outlined in PC.A.6.6 below.
- PC.A.2.5.4 Data from Network Operators and Non-Embedded Customers

PC.A.2.5.4.1 Data is required to be provided at each node on the **Single Line Diagram** provided under PC.A.2.2.1 at which motor loads and/or **Embedded Small Power Stations** and/or **Embedded Medium Power Stations** and/or **Embedded** installations of direct current converters which do not form a DC Converter Station or HVDC System are connected, assuming a fault at that location, as follows:-

The data items listed under the following parts of PC.A.2.5.6:-

(a) (i), (ii), (iii), (iv), (v) and (vi);

and the data items shall be provided in accordance with the detailed provisions of PC.A.2.5.6(c) - (f).

- PC.A.2.5.4.2 **Network Operators** shall provide the following data items in respect of each **Interface Point** within their **User System**:
 - (a) Maximum Export Capacity;
 - (b) Maximum Import Capacity; and,
 - (c) Interface Point Target Voltage/Power Factor

Network Operators shall alongside these parameters include details of any manual or automatic post fault actions to be taken by the owner / operator of the **Offshore Transmission System** connected to such **Interface Point** that are required by the **Network Operator**.

- PC.A.2.5.5 Data from Generators (including Generators undertaking OTSDUW and those responsible for DC Connected Power Park Modules), DC Converter Station owners, HVDC System Owners and from Network Operators in respect of Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded DC Converter Stations not subject to a Bilateral Agreement and Embedded HVDC Systems within such Network Operator's Systems.
- PC.A.2.5.5.1 For each Generating Unit (including Synchronous Generating Units forming part of a Synchronous Power Generating Module) with one or more associated Unit Transformers, the Generator, or the Network Operator in respect of Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded DC Converter Stations not subject to a Bilateral Agreement and Embedded HVDC Systems within such Network Operator's System is required to provide values for the contribution of the Power Station Auxiliaries (including Auxiliary Gas Turbines or Auxiliary Diesel Engines) to the fault current flowing through the Unit Transformer(s).

The data items listed under the following parts of PC.A.2.5.6(a) should be provided:-

- (i), (ii) and (v);
- (iii) if the associated Generating Unit (including Synchronous Generating Units forming part of a Synchronous Power Generating Module) step-up transformer can supply zero phase sequence current from the Generating Unit side to the National Electricity Transmission System;
- (iv) if the value is not 1.0 p.u;

and the data items shall be provided in accordance with the detailed provisions of PC.A.2.5.6(c) - (f), and with the following parts of this PC.A.2.5.5.

- PC.A.2.5.5.2 Auxiliary motor short circuit current contribution and any **Auxiliary Gas Turbine Unit** contribution through the **Unit Transformers** must be represented as a combined short circuit current contribution at the **Generating Unit's** (including **Synchronous Generating Units** forming part of a **Synchronous Power Generating Module**) terminals, assuming a fault at that location.
- PC.A.2.5.5.3 If the **Power Station** or **HVDC System** or **DC Converter Station** (or **OTSDUW Plant and Apparatus** which provides a fault infeed) has separate **Station Transformers**, data should be provided for the fault current contribution from each transformer at its high voltage terminals, assuming a fault at that location, as follows:-

The data items listed under the following parts of PC.A.2.5.6

(a) (i), (ii), (iii), (iv), (v) and (vi);

and the data items shall be provided in accordance with the detailed provisions of PC.A.2.5.6(b) - (f).

- PC.A.2.5.5.4 Data for the fault infeeds through both **Unit Transformers** and **Station Transformers** shall be provided for the normal running arrangement when the maximum number of **Generating Units** (including **Synchronous Generating Units** forming part of a **Synchronous Power Generating Module**) are **Synchronised** to the **System** or when all the **DC Converters** at a **DC Converter Station** or **HVDC Converters** within an **HVDC System** are transferring **Rated MW** in either direction. Where there is an alternative running arrangement (or transfer in the case of a **DC Converter Station** or **HVDC System**) which can give a higher fault infeed through the **Station Transformers**, then a separate data submission representing this condition shall be made.
- PC.A.2.5.5.5 Unless the normal operating arrangement within the **Power Station** is to have the **Station** and **Unit Boards** interconnected within the **Power Station**, no account should be taken of the interconnection between the **Station Board** and the **Unit Board**.
- PC.A.2.5.5.6 Auxiliary motor short circuit current contribution and any auxiliary DC Converter Station contribution or HVDC System contribution through the Station Transformers must be represented as a combined short circuit current contribution through the Station Transformers.
- PC.A.2.5.5.7 Where a **Manufacturer's Data & Performance Report** exists in respect of the model of the **Power Park Unit**, the **User** may opt to reference the Manu**facturer's Data & Performance Report** as an alternative to the provision of data in accordance with this PC.A.2.5.5.7. For the avoidance of doubt, all other data provision pursuant to the Grid Code shall still be provided including a Single Line Diagram and those data pertaining thereto.

For each **Power Park Module** (including **DC Connected Power Park Modules**) and each type of **Power Park Unit** (eg. Doubly Fed Induction Generator) (and any **OTSDUW Plant and Apparatus** which provides a fault infeed), including any **Auxiliaries**, positive, negative and zero sequence root mean square current values are to be provided of the contribution to the short circuit current flowing at:

- (i) the **Power Park Unit** terminals, or the **Common Collection Busbar** if an equivalent **Single Line Diagram** and associated data as described in PC.A.2.2.2 is provided, and
- (ii) the Grid Entry Point (and in case of OTSUA, Transmission Interface Point), or User System Entry Point if Embedded

for the following solid faults at the **Grid Entry Point** (and in case of **OTSUA**, **Interface Point**), or **User System Entry Point** if **Embedded**:

- (i) a symmetrical three phase short circuit
- (ii) a single phase to earth short circuit
- (iii) a phase to phase short circuit
- (iv) a two phase to earth short circuit

For a **Power Park Module** (including **DC Connected Power Park Modules**) in which one or more of the **Power Park Units** utilise a protective control such as a crowbar circuit, the data should indicate whether the protective control will act in each of the above cases and the effects of its action shall be included in the data. For any case in which the protective control will act, the data for the fault shall also be submitted for the limiting case in which the protective circuit will not act, which may involve the application of a non-solid fault, and the positive, negative and zero sequence retained voltages at

- (i) the **Power Park Unit** terminals, or the **Common Collection Busbar** if an equivalent **Single Line Diagram** and associated data is provided and
- (ii) the Grid Entry Point, or User System Entry Point if Embedded

in this limiting case shall be provided.

For each fault for which data is submitted, the data items listed under the following parts of PC.A.2.5.6(a) shall be provided:-

(iv), (vii), (viii), (ix), (x);

In addition, if an equivalent **Single Line Diagram** has been provided the data items listed under the following parts of PC.A.2.5.6(a) shall be provided:-

(xi), (xii), (xiii);

In addition, for a **Power Park Module** (including **DC Connected Power Park Modules**) in which one or more of the **Power Park Units** utilise a protective control such as a crowbar circuit:-

the data items listed under the following parts of PC.A.2.5.6(a) shall be provided:-

(xiv), (xv);

All of the above data items shall be provided in accordance with the detailed provisions of PC.A.2.5.6(c), (d), (f).

Should actual data in respect of fault infeeds be unavailable at the time of the application for a **CUSC Contract** or **Embedded Development Agreement**, a limited subset of the data, representing the maximum fault infeed that may result from all of the plant types being considered, shall be submitted. This data will, as a minimum, represent the root mean square of the positive, negative and zero sequence components of the fault current for both single phase and three phase solid faults at the **Grid Entry Point** (or **User System Entry Point** if **Embedded**) at the time of fault application and 50ms following fault application. Actual data in respect of fault infeeds shall be submitted to **The Company** as soon as it is available, in line with PC.A.1.2

PC.A.2.5.6 Data Items

- (a) The following is the list of data utilised in this part of the **PC**. It also contains rules on the data which generally apply:-
 - (i) Root mean square of the symmetrical three-phase short circuit current infeed at the instant of fault, (I₁");
 - (ii) Root mean square of the symmetrical three-phase short circuit current after the subtransient fault current contribution has substantially decayed, (I₁');
 - (iii) the zero sequence source resistance and reactance values of the User's System as seen from the node on the Single Line Diagram provided under PC.A.2.2.1 (or Power Generating Module or Station Transformer high voltage terminals or Generating Unit terminals or DC Converter terminals or HVDC System terminals, as appropriate) consistent with the infeed described in PC.A.2.5.1.(b);
 - (iv) root mean square of the pre-fault voltage at which the maximum fault currents were calculated;
 - (v) the positive sequence X/R ratio at the instant of fault;
 - (vi) the negative sequence resistance and reactance values of the User's System seen from the node on the Single Line Diagram provided under PC.A.2.2.1 (or Power Generating Module or Station Transformer high voltage terminals, or Generating Unit terminals or DC Converter terminals or HVDC System terminals as appropriate) if substantially different from the values of positive sequence resistance and reactance which would be derived from the data provided above;
 - (vii) A continuous trace and a table showing the root mean square of the positive, negative and zero sequence components of the short circuit current between zero and 140ms at 10ms intervals;

- (viii) The Active Power (or Interface Point Capacity being exported pre-fault by the OTSDUW Plant and Apparatus) being generated pre-fault by the Power Park Module (including DC Connected Power Park Modules) and by each type of Power Park Unit;
- (ix) The reactive compensation shown explicitly on the **Single Line Diagram** that is switched in;
- (x) The Power Factor of the Power Park Module (including DC Connected Power Park Modules) and of each Power Park Unit type;
- (xi) The positive sequence X/R ratio of the equivalent at the Common Collection Busbar or Interface Point in the case of OTSUA;
- (xii) The minimum zero sequence impedance of the equivalent seen from the **Common Collection Busbar** or **Interface Point** in the case of **OTSUA**;
- (xiii) The number of Power Park Units represented in the equivalent Power Park Unit;
- (xiv) The additional rotor resistance and reactance (if any) that is applied to the **Power Park Unit** under a fault condition;
- (xv) A continuous trace and a table showing the root mean square of the positive, negative and zero sequence components of the retained voltage at the fault point and **Power Park Unit** terminals, or the **Common Collection Busbar** if an equivalent **Single Line Diagram** and associated data as described in **PC.A.2.2.2** is provided or **Interface Point** in the case of **OTSUA**, representing the limiting case, which may involve the application of a non-solid fault, required to not cause operation of the protective control;
- (b) In considering this data, unless the User notifies The Company accordingly at the time of data submission, The Company will assume that the time constant of decay of the subtransient fault current corresponding to the change from I₁" to I₁', (T") is not significantly different from 40ms. If that assumption is not correct in relation to an item of data, the User must inform The Company at the time of submission of the data.
- (c) The value for the X/R ratio must reflect the rate of decay of the d.c. component that may be present in the fault current and hence that of the sources of the initial fault current. All shunt elements and loads must therefore be deleted from any system model before the X/R ratio is calculated.
- (d) In producing the data, the **User** may use "time step analysis" or "fixed-point-in-time analysis" with different impedances.
- (e) If a fixed-point-in-time analysis with different impedances method is used, then in relation to the data submitted under (a) (i) above, the data will be required for "time zero" to give I₁". The figure of 120ms is consistent with a decay time constant T" of 40ms, and if that figure is different, then the figure of 120ms must be changed accordingly.
- (f) Where a "time step analysis" is carried out, the X/R ratio may be calculated directly from the rate of decay of the d.c. component. The X/R ratio is not that given by the phase angle of the fault current if this is based on a system calculation with shunt loads, but from the Thévenin equivalent of the system impedance at the instant of fault with all non-source shunts removed.

PC.A.3 <u>POWER GENERATING MODULE, GENERATING UNIT, HVDC SYSTEM AND DC</u> <u>CONVERTER DATA</u>

PC.A.3.1 Introduction

Directly Connected

PC.A.3.1.1 Each Generator, HVDC System Owner and DC Converter Station owner (and a User where the OTSUA includes an OTSDUW DC Converter) with an existing, or proposed, Power Station or DC Converter Station or HVDC System directly connected, or to be directly connected, to the National Electricity Transmission System (or in the case of OTSUA, the Interface Point), shall provide The Company with data relating to that Power Station or DC Converter Station or HVDC System, both current and forecast, as specified in PC.A.3.2 to PC.A.3.4.

Embedded

- PC.A.3.1.2 (a) Each Generator, HVDC System Owner and DC Converter Station owner in respect of its existing, and/or proposed, Embedded Large Power Stations and/or Embedded HVDC Systems and/or Embedded DC Converter Stations and/or its Embedded Medium Power Stations subject to a Bilateral Agreement and each Network Operator in respect of its Embedded Medium Power Stations not subject to a Bilateral Agreement and/or Embedded DC Converter Stations not subject to a Bilateral Agreement and/or Embedded HVDC Systems not subject to a Bilateral Agreement within such Network Operator's System in each case connected to the Subtransmission System, shall provide The Company with data relating to that Power Station or DC Converter Station or HVC System, both current and forecast, as specified in PC.A.3.2 to PC.A.3.4.
 - (b) No data need be supplied in relation to any Small Power Station or any Medium Power Station or installations of direct current converters which do not form a DC Converter Station or HVDC System, connected at a voltage level below the voltage level of the Subtransmission System except:-
 - (i) in connection with an application for, or under, a **CUSC Contract**, or
 - (ii) unless specifically requested by **The Company** under PC.A.3.1.4.
- PC.A.3.1.3 (a) Each **Network Operator** shall provide **The Company** with the data specified in PC.A.3.2.2(c)(i) and (ii) and PC.A.3.2.2(i).
 - (b) **Network Operators** need not submit planning data in respect of an **Embedded Small Power Station** unless required to do so under PC.A.1.2(b) or unless specifically requested under PC.A.3.1.4 below, in which case they will supply such data.
- PC.A.3.1.4 (a) PC.A.4.2.4(b) and PC.A.4.3.2(a) explain that the forecast Demand submitted by each Network Operator must be net of the output of all Small Power Stations and Medium Power Stations and Customer Generating Plant and all installations of direct current converters which do not form a DC Converter Station or HVDC System, Embedded within that Network Operator's System. The Network Operator must inform The Company of:
 - (i) the number of such Embedded Power Stations and such Embedded installations of direct current converters (including the number of Generating Units or Power Park Modules (including DC Connected Power Park Modules) or DC Converters or HVDC Systems) together with their summated capacity; and
 - beginning from the 2015 Week 24 data submission, for each Embedded Small Power Station of registered capacity (as defined in the Distribution Code) of 1MW or more:
 - 1. A reference which is unique to each **Network Operator**;
 - 2. The production type as follows:
 - a) In the case of an Embedded Small Power Station first connected on or after 1 January 2015, the production type must be selected from the list below derived from the Manual of Procedures for the ENTSO-E Central Information Transparency Platform:
 - Biomass;
 - Fossil brown coal/lignite;

- Fossil coal-derived gas;
- Fossil gas;
- Fossil hard coal;
- Fossil oil;
- Fossil oil shale;
- Fossil peat;
- Geothermal;
- Hydro pumped storage;
- Hydro run-of-river and poundage;
- Hydro water reservoir;
- Marine;
- Nuclear;
- Other renewable;
- Solar;
- Waste;
- Wind offshore;
- Wind onshore; or
- Other;

together with a statement as to whether the generation forms part of a CHP scheme;

- (iii) beginning from the 2019 Week 24 data submission, for Embedded Power Stations with Registered Capacity of less than 1MW, their best estimate of the aggregated capacity of all such Embedded Power Stations per production type as defined in the list in PC.A.3.1.4 (a)(ii)(2)(a).
 - b) In the case of an Embedded Small Power Station first connected to the Users' System before 1 January 2015, as an alternative to the production type, the technology type(s) used, selected from the list set out at paragraph 2.23 in Version 2 of the Regulatory Instructions and Guidance relating to the distributed generation incentive, innovation funding incentive and registered power zones, reference 83/07, published by Ofgem in April 2007;
- 3. The registered capacity (as defined in the **Distribution Code**) in MW;
- 4. The lowest voltage level node that is specified on the most up-to-date **Single Line Diagram** to which it connects or where it will export most of its power;
- 5. Where it generates electricity from wind or PV, the geographical location using either latitude or longitude or grid reference coordinates of the primary or higher voltage substation to which it connects;
- 6. The reactive power and voltage control mode, including the voltage set-point and reactive range, where it operates in voltage control mode, or the target **Power Factor**, where it operates in **Power Factor** mode;
- 7. Details of the types of loss of mains **Protection** in place and their relay settings which in the case of **Embedded Small Power Stations** first connected to the **Users' System** before 1 January 2015 shall be provided on a reasonable endeavours basis.

(b) On receipt of this data, the Network Operator or Generator (if the data relates to Power Stations referred to in PC.A.3.1.2) may be further required, at The Company's reasonable discretion, to provide details of Embedded Small Power Stations and Embedded Medium Power Stations and Customer Generating Plant and Embedded installations of direct current converters which do not form a DC Converter Station or HVDC System, both current and forecast, as specified in PC.A.3.2 to PC.A.3.4. Such requirement would arise where The Company reasonably considers that the collective effect of a number of such Embedded Power Stations and Customer Generating Plants and Embedded installations of direct current converters may have a significant system effect on the National Electricity Transmission System.

Busbar Arrangements

PC.A.3.1.5 Where Generating Units, which term includes CCGT Units and Synchronous Generating Units within a Synchronous Power Generating Module and Power Park Modules (including DC Connected Power Park Modules), and DC Converters, and HVDC Systems are connected to the National Electricity Transmission System via a busbar arrangement which is or is expected to be operated in separate sections, the section of busbar to which each Generating Unit (including Synchronous Generating Units within a Synchronous Power Generating Module), DC Converter, HVDC System or Power Park Module (including DC Connected Power Park Modules) is connected is to be identified in the submission.

PC.A.3.2 Output Data

PC.A.3.2.1 (a) Large Power Stations and Gensets

Data items PC.A.3.2.2 (a), (b), (c), (d), (e), (f) and (h) are required with respect to each Large Power Station and each Generating Unit (including Synchronous Generating Units within a Synchronous Power Generating Module) and Power Park Module (including DC Connected Power Park Modules) of each Large Power Station and for each Genset (although (a) is not required for CCGT Units and (b), (d) and (e) are not normally required for CCGT Units and (a), (b), (c), (d), (e), (f) and (h) are not normally required for Power Park Units).

(b) Embedded Small Power Stations and Embedded Medium Power Stations

Data item PC.A.3.2.2 (a) is required with respect to each **Embedded Small Power Station** and **Embedded Medium Power Station** and each **Generating Unit** (including **Synchronous Generating Units** within a **Synchronous Power Generating Module**) and **Power Park Module** (including **DC Connected Power Park Modules**) of each **Embedded Small Power Station** and **Embedded Medium Power Station** (although (a) is not required for **CCGT Units** or **Power Park Units**).In addition, data item PC.A.3.2.2(c)(ii) is required with respect to each **Embedded Medium Power Station**.

(c) CCGT Units/Modules

- (i) Data item PC.A.3.2.2 (g) is required with respect to each CCGT Unit;
- (ii) data item PC.A.3.2.2 (a) is required with respect to each CCGT Module; and
- (iii) data items PC.A.3.2.2 (b), (c), (d) and (e) are required with respect to each CCGT Module unless The Company informs the relevant User in advance of the submission that it needs the data items with respect to each CCGT Unit for particular studies, in which case it must be supplied on a CCGT Unit basis.

Where any definition utilised or referred to in relation to any of the data items does not reflect **CCGT Units**, such definition shall be deemed to relate to **CCGT Units** for the purposes of these data items. Any **Schedule** in the DRC which refers to these data items shall be interpreted to incorporate the **CCGT Unit** basis where appropriate;

(d) Cascade Hydro Schemes

Data item PC.A.3.2.2(i) is required with respect to each Cascade Hydro Scheme.

(e) Power Park Units/Modules

Data items PC.A.3.2.2 (k) is required with respect to each **Power Park Module** (including **DC Connected Power Park Modules**).

(f) **DC Converters** and **HVDC Systems**

Data items PC.A.3.2.2 (a), (b), (c), (d) (e) (f) (h) and (i) are required with respect of each **HVDC System**, each **DC Converter Station** and each **DC Converter** in each **DC Converter Station**. For installations of direct current converters which do not form a **DC Converter Station** only data item PC.A.3.2.2.(a) is required.

- PC.A.3.2.2 Items (a), (b), (d), (e), (f), (g), (h), (i), (j) and (k) are to be supplied by each **Generator**, **DC Converter Station** owner, **HVDC System Owner** or **Network Operator** (as the case may be) in accordance with PC.A.3.1.1, PC.A.3.1.2, PC.A.3.1.3 and PC.A.3.1.4. Items (a), and (f)(iv) are to be supplied (as applicable) by a **Use**r in the case of **OTSUA** which includes an **OTSDUW DC Converter**. Item (c) is to be supplied by each **Network Operator** in all cases:-
 - (a) Registered Capacity (MW), Maximum Capacity (in the case of Power Generating Modules in addition to Registered Capacity on a Power Station basis) or Interface Point Capacity in the case of OTSDUW;
 - (b) **Output Usable** (MW) on a monthly basis;
 - (c) (i) System Constrained Capacity (MW) ie. any constraint placed on the capacity of the Embedded Generating Unit (including a Synchronous Generating Unit within a Synchronous Power Generating Module), Embedded Power Park Module (including DC Connected Power Park Modules) an Offshore Transmission System at an Interface Point, Embedded HVDC System or DC Converter at an Embedded DC Converter Station due to the Network Operator's System in which it is Embedded. Where Generating Units (which term includes CCGT Units and Synchronous Generating Units within a Synchronous Power Generating Module), Power Park Modules (including DC Connected Power Park Modules), Offshore Transmission Systems at an Interface Point, HVDC Systems or DC Converters are connected to a Network Operator's User System via a busbar arrangement which is or is expected to be operated in separate sections, details of busbar running arrangements and connected circuits at the substation to which the Embedded Generating Unit (including Synchronous Generating Units within a Embedded Synchronous Power Generating Module), Embedded Power Park Module (including DC Connected Power Park Modules), Offshore Transmission System at an Interface Point, or Embedded HVDC System or Embedded DC Converter is connected sufficient for The Company to determine where the MW generated by each Generating Unit (including Synchronous Generating Units within a Synchronous Power Generating Module), Power Park Module (including DC Connected Power Park Modules), HVDC System or DC Converter at that Power Station or DC Converter Station or Offshore Transmission System at an Interface Point would appear onto the National Electricity Transmission System:
 - (ii) any Reactive Despatch Network Restrictions;
 - (d) Minimum Generation (MW), and in the case of Power Generating Modules only Minimum Stable Operating Level (MW) and Minimum Regulating Level ;
 - (e) MW obtainable from Generating Units (including Synchronous Generating Units within a Synchronous Power Generating Module), Power Park Modules (including DC Connected Power Park Modules), HVDC Systems or DC Converters at a DC Converter Station in excess of Registered Capacity or Maximum Capacity;
 - (f) Generator Performance Chart:
 - (i) GB Code User(s) in respect of Generating Units shall provide a Generator Performance Chart and EU Code Users in respect of Power Generating Modules shall provide a Power Generating Module Performance Chart and a Synchronous Generating Unit Performance Chart.

- (ii) at the electrical point of connection to the Offshore Transmission System for an Offshore Synchronous Generating Unit and Offshore Synchronous Power Generating Module.
- (iii) at the electrical point of connection to the National Electricity Transmission System (or User System if Embedded) for a Non Synchronous Generating Unit (excluding a Power Park Unit), Power Park Module (including DC Connected Power Park Modules), HVDC System and DC Converter at a DC Converter Station;
- (iv) at the Interface Point for OTSDUW Plant and Apparatus

Where a **Reactive Despatch Network Restriction** applies, its existence and details should be highlighted on the **Generator Performance Chart**, in sufficient detail for **The Company** to determine the nature of the restriction.

- (g) a list of the CCGT Units within a CCGT Module, identifying each CCGT Unit, and the CCGT Module of which it forms part, unambiguously. In the case of a Range CCGT Module, details of the possible configurations should also be submitted, together:-
 - (i) (in the case of a Range CCGT Module connected to the National Electricity Transmission System) with details of the single Grid Entry Point (there can only be one) at which power is provided from the Range CCGT Module;
 - (ii) (in the case of an Embedded Range CCGT Module) with details of the single User System Entry Point (there can only be one) at which power is provided from the Range CCGT Module;

Provided that, nothing in this sub-paragraph (g) shall prevent the busbar at the relevant point being operated in separate sections;

- (h) expected running regime(s) at each Power Station, HVDC System or DC Converter Station and type of Power Generating Module or Generating Unit (as applicable), eg. Steam Unit, Gas Turbine Unit, Combined Cycle Gas Turbine Unit, Power Park Module (including DC Connected Power Park Modules), Novel Units (specify by type), etc;
- (i) a list of Power Stations and Generating Units within a Cascade Hydro Scheme, identifying each Generating Unit (including Synchronous Generating Units within a Synchronous Power Generating Module) and Power Station and the Cascade Hydro Scheme of which each form part unambiguously. In addition:
 - details of the Grid Entry Point at which Active Power is provided, or if Embedded the Grid Supply Point(s) within which the Generating Unit (including Synchronous Generating Units within a Synchronous Power Generating Module) is connected;
 - (ii) where the Active Power output of a Generating Unit is split between more than one Grid Supply Points the percentage that would appear under normal and outage conditions at each Grid Supply Point.
- (j) The following additional items are only applicable to **DC Converters** at **DC Converter Stations** and **HVDC Systems**.

Registered Import Capacity (MW);

Import Usable (MW) on a monthly basis;

Minimum Import Capacity (MW);

MW that may be absorbed by a **DC Converter** or **HVDC System** in excess of **Registered Import Capacity** and **Maximum HVDC Active Power Transmission Capacity** under importing conditions and the duration for which this is available;

- (k) the number and types of the Power Park Units within a Power Park Module (including DC Connected Power Park Modules), identifying each Power Park Unit, the Power Park Module of which it forms part and identifying the BM Unit of which each Power Park Module forms part, unambiguously. In the case of a Power Station directly connected to the National Electricity Transmission System with multiple Power Park Modules (including DC Connected Power Park Modules) where Power Park Units can be selected to run in different Power Park Modules and/or Power Park Modules can be selected to run in different BM Units, details of the possible configurations should also be submitted. In addition for Offshore Power Park Modules (including DC Connected Power Park Modules), the number of Offshore Power Park Strings that are aggregated into one Offshore Power Park Module should also be submitted.
- (I) the number and types of the Synchronous Generating Units within a Synchronous Power Generating Module, identifying each Synchronous Generating Unit, the Synchronous Power Generating Module of which it forms part and identifying the BM Unit of which each Synchronous Power Generating Module forms part, unambiguously. In the case of a Power Station directly connected to the National Electricity Transmission System with multiple Synchronous Power Generating Modules where Synchronus Generating Units can be selected to run in different Synchronous Power Generating Modules and/or Synchronous Power Generating Modules can be selected to run in different BM Units, details of the possible configurations should also be submitted.
- PC.A.3.2.3 Notwithstanding any other provision of this PC, the **CCGT Units** within a **CCGT Module**, details of which are required under paragraph (g) of PC.A.3.2.2, can only be amended in accordance with the following provisions:-
 - (a) if the CCGT Module is a Normal CCGT Module, the CCGT Units within that CCGT Module can only be amended such that the CCGT Module comprises different CCGT Units if The Company gives its prior consent in writing. Notice of the wish to amend the CCGT Units within such a CCGT Module must be given at least 6 months before it is wished for the amendment to take effect;
 - (b) if the CCGT Module is a Range CCGT Module, the CCGT Units within that CCGT Module and the Grid Entry Point at which the power is provided can only be amended as described in BC1.A1.6.4.
- PC.A.3.2.4 Notwithstanding any other provision of this PC, the Power Park Units within a Power Park Module (including DC Connected Power Park Modules), and the Power Park Modules (including DC Connected Power Park Modules) within a BM Unit, details of which are required under paragraph (k) of PC.A.3.2.2, can only be amended in accordance with the following provisions:-
 - (a) if the Power Park Units within that Power Park Module can only be amended such that the Power Park Module comprises different Power Park Units due to repair/replacement of individual Power Park Units if The Company gives its prior consent in writing. Notice of the wish to amend a Power Park Unit within such a Power Park Module (including DC Connected Power Park Modules) must be given at least 4 weeks before it is wished for the amendment to take effect;
 - (b) if the Power Park Units within that Power Park Module (including DC Connected Power Park Modules) and/or the Power Park Modules (including DC Connected Power Park Modules) within that BM Unit can be selected to run in different Power Park Modules and/or BM Units as an alternative operational running arrangement the Power Park Units within the Power Park Module, the BM Unit of which each Power Park Module forms part, and the Grid Entry Point at which the power is provided can only be amended as described in BC1.A.1.8.4.
- PC.A.3.2.5 Notwithstanding any other provision of this PC, the Synchronous Generating Units within a Synchronous Power Generating Module, and the Synchronous Power Generating Modules within a BM Unit, details of which are required under paragraph (I) of PC.A.3.2.2, can only be amended in accordance with the following provisions:-

- (a) if the Synchronous Generating Units within that Synchronous Power Generating Module can only be amended such that the Synchronous Power Generating Module comprises different Synchronous Generating Units due to repair/replacement of individual Synchronous Generating Units if The Company gives its prior consent in writing. Notice of the wish to amend a Synchronous Generating Unit within such a Synchronous Power Generating Module must be given at least 4 weeks before it is wished for the amendment to take effect;
- (b) if the Synchronous Generating Units within that Synchronous Power Generating Module and/or the Synchronous Power Generating Modules within that BM Unit can be selected to run in different Synchronous Power Generating Modules and/or BM Units as an alternative operational running arrangement the Synchronous Generating Units within the Synchronous Power Generating Module, the BM Unit of which each Synchronous Power Generating Module forms part, and the Grid Entry Point at which the power is provided can only be amended as described in BC1.A.1.9.4(c).The requirements of PC.A.3.2.5 need not be satisfied if Generators have already submitted data in respect of PC.A.3.2.3, PC.A.3.2.4 and PC.A.3.2.5 for the same Power Generating Module.

PC.A.3.3. Rated Parameters Data

- PC.A.3.3.1 The following information is required to facilitate an early assessment, by **The Company**, of the need for more detailed studies;
 - (a) for all Generating Units (excluding Power Park Units) and Power Park Modules (including DC Connected Power Park Modules):

Rated MVA

Rated MW;

(b) for each Synchronous Generating Unit (including Synchronous Generating Units within a Synchronous Power Generating Module):

Short circuit ratio

Direct axis transient reactance;

Inertia constant (for whole machine), MWsecs/MVA;

(c) for each Synchronous Generating Unit step-up transformer (including the step up transformer of a Synchronous Generating Unit within a Synchronous Power Generating Module):

Rated MVA

Positive sequence reactance (at max, min and nominal tap);

(d) for each DC Converter at a DC Converter Station, HVDC System, DC Converter connecting an exisiting Power Park Module (including DC Connected Power Park Modules) and Transmission DC Converter (forming part of an OTSUA).

DC Converter or HVDC Converter type (e.g. current/voltage sourced)

Rated MW per pole for import and export

Number of poles and pole arrangement

Rated DC voltage/pole (kV)

Return path arrangement

Remote AC connection arrangement (excluding **OTSDUW DC Converters**)

Maximum HVDC Active Power Transmission Capacity

Minimum Active Power Transmission Capacity

(e) for each type of **Power Park Unit** in a **Power Park Module** not connected to the **Total** System by a DC Converter or HVDC System: PC

Rated MVA

Rated MW

Rated terminal voltage

Inertia constant, (MWsec/MVA)

Additionally, for **Power Park Units** that are squirrel-cage or doubly-fed induction generators driven by wind turbines:

Stator reactance.

Magnetising reactance.

Rotor resistance (at rated running)

Rotor reactance (at rated running)

The generator rotor speed range (minimum and maximum speeds in RPM) (for doubly-fed induction generators only)

Converter MVA rating (for doubly-fed induction generators only)

For a **Power Park Unit** consisting of a synchronous machine in combination with a back-to-back **DC Converter** or **HVDC Converter**, or for a **Power Park Unit** not driven by a wind turbine, the data to be supplied shall be agreed with **The Company** in accordance with PC.A.7.

This information should only be given in the data supplied in accordance with PC.4.4 and PC.4.5.

- PC.A.3.4 <u>General Generating Unit, Power Park Module (including DC Connected Power Park</u> Modules), Power Generating Module, HVDC System and DC Converter Data
- PC.A.3.4.1 The point of connection to the **National Electricity Transmission System** or the **Total System**, if other than to the **National Electricity Transmission System**, in terms of geographical and electrical location and system voltage is also required.
- PC.A.3.4.2 (a) Type of Generating Unit (ie Synchronous Power Generating Unit within a Power Generating Module, Synchronous Generating Unit, Non-Synchronous Generating Unit, DC Converter, Power Park Module (including DC Connected Power Park Modules) or HVDC System).
 - (b) In the case of a Synchronous Generating Unit (including Synchronous Generating Units within a Synchronous Power Generating Module) details of the Exciter category, for example whether it is a rotating Exciter or a static Exciter or in the case of a Non-Synchronous Generating Unit the voltage control system.
 - (c) Whether a **Power System Stabiliser** is fitted.
- PC.A.3.4.3 Each Generator shall supply The Company with the production type(s) used as the primary source of power in respect of each Generating Unit (including Synchronous Generating Units within a Synchronous Power Generating Module), selected from the list set out below:
 - Biomass
 - Fossil brown coal/lignite
 - Fossil coal-derived gas
 - Fossil gas
 - Fossil hard coal
 - Fossil oil
 - Fossil oil shale
 - Fossil peat
 - Geothermal

- Hydro pumped storage
- Hydro run-of-river and poundage
- Hydro water reservoir
- Marine
- Nuclear
- Other renewable
- Solar
- Waste
- Wind offshore
- Wind onshore
- Other

PC.A.4 DEMAND AND ACTIVE ENERGY DATA

- PC.A.4.1 Introduction
- PC.A.4.1.1 Each User directly connected to the National Electricity Transmission System with Demand shall provide The Company with the Demand data, historic, current and forecast, as specified in PC.A.4.2 and PC.A.4.3. Paragraphs PC.A.4.1.2 and PC.A.4.1.3 apply equally to Active Energy requirements as to Demand unless the context otherwise requires.
- PC.A.4.1.2 Data will need to be supplied by:
 - (a) each **Network Operator**, in relation to **Demand** and **Active Energy** requirements on its **User System**;
 - (b) each **Non-Embedded Customer** (including **Pumped Storage Generators** with respect to Pumping **Demand**) in relation to its **Demand** and **Active Energy** requirements.
 - (c) each DC Converter Station owner or HVDC System Owner in relation to Demand and Active Energy transferred (imported) to its DC Converter Station or HVDC System.
 - (d) each **OTSDUW DC Converter** in relation to the Demand at each **Interface Point** and **Connection Point**.

Demand of **Power Stations** directly connected to the **National Electricity Transmission System** is to be supplied by the **Generator** under PC.A.5.2.

PC.A.4.1.3 References in this **PC** to data being supplied on a half hourly basis refer to it being supplied for each period of 30 minutes ending on the hour or half-hour in each hour.

PC.A.4.1.4 Access Periods and Access Groups

- PC.A.4.1.4.1 Each Connection Point must belong to one, and only one, Access Group.
- PC.A.4.1.4.2 Each Transmission Interface Circuit must have an Access Period.
- PC.A.4.1.4.3 The Access Period shall
 - (a) normally be a minimum of 8 continuous weeks and can occur in any one of three maintenance years during the period from calendar week 13 to calendar week 43 (inclusive) in each year; or,
 - (b) exceptionally and provided that agreement is reached between **The Company** and the relevant **User(s)**, such agreement to be sought in accordance with PC.7, the **Access Period** may be of a period not less than 4 continuous weeks and can occur in any one of three maintenance years during the period from calendar week 10 to calendar week 43 (inclusive) in each year.
- PC.A.4.1.4.4 **The Company** shall submit in writing no later than calendar week 6 in each year:

(a) the calendar weeks defining the proposed start and finish of each **Access Period** for each **Transmission Interface Circuit**; and

(b) the **Connection Points** in each **Access Group**.

The submission by **The Company** under PC.A.4.1.4.4 (a) above shall commence in 2010 and shall then continue each year thereafter. The submission by **The Company** under PC.A.4.1.4.4 (b) shall commence in 2009 and then continue each year thereafter.

- PC.A.4.1.4.5 It is permitted for Access Periods to overlap in the same Access Group and in the same maintenance year. However, where possible Access Periods will be sought by The Company that do not overlap with any other Access Period within that Access Group for each maintenance year. Where it is not possible to avoid overlapping Access Periods, The Company will indicate to Users by calendar week 6 its initial view of which Transmission Interface Circuits will need to be considered out of service concurrently for the purpose of assessing compliance to Licence Standards. The obligation on The Company to indicate which Transmission Interface Circuits will need to be considered out of service concurrently for the purpose of assessing compliance to Licence Standards. The obligation on The Company to indicate which Transmission Interface Circuits will need to be considered out of service concurrently for the purpose of assessing compliance to Licence Standards shall commence in 2010 and shall continue each year thereafter.
- PC.A.4.1.4.6 Following the submission(s) by **The Company** by week 6 in each year and where required by either party, both **The Company** and the relevant **User**(s) shall use their reasonable endeavours to agree the appropriate **Access Group(s)** and **Access Period** for each **Transmission Interface Circuit** prior to week 17 in each year. The requirement on **The Company** and the relevant **User(s)** to agree, shall commence in respect of **Access Groups** only in 2010. This paragraph PC.A.4.1.4.6 shall apply in its entirety in 2011 and shall then continue each year thereafter.
- PC.A.4.1.4.7 In exceptional circumstances, and with the agreement of all parties concerned, where a **Connection Point** is specified for the purpose of the **Planning Code** as electrically independent **Subtransmission Systems**, then data submissions can be on the basis of two (or more) individual **Connection Points**.
- PC.A.4.2 User's User System Demand (Active Power) and Active Energy Data
- PC.A.4.2.1 Forecast daily **Demand (Active Power)** profiles, as specified in (a), (b) and (c) below, in respect of each of the **User's User Systems** (each summated over all **Grid Supply Points** in each **User System**) are required for:
 - (a) peak day on each of the User's User Systems (as determined by the User) giving the numerical value of the maximum Demand (Active Power) that in the Users' opinion could reasonably be imposed on the National Electricity Transmission System;
 - (b) day of peak **National Electricity Transmission System Demand (Active Power**) as notified by **The Company** pursuant to PC.A.4.2.2;
 - (c) day of minimum National Electricity Transmission System Demand (Active Power) as notified by The Company pursuant to PC.A.4.2.2.

In addition, the total **Demand** (Active Power) in respect of the time of peak **National Electricity Transmission System Demand** in the preceding **Financial Year** in respect of each of the **User's User Systems** (each summated over all **Grid Supply Points** in each **User System**) both outturn and weather corrected shall be supplied.

- PC.A.4.2.2 No later than calendar week 17 each year **The Company** shall notify each **Network Operator** and **Non-Embedded Customer** in writing of the following, for the current **Financial Year** and for each of the following seven **Financial Years**, which will, until replaced by the following year's notification, be regarded as the relevant specified days and times under PC.A.4.2.1:
 - (a) the date and time of the annual peak of the **National Electricity Transmission System Demand**;
 - (b) the date and time of the annual minimum of the **National Electricity Transmission System Demand**;
 - (c) the relevant Access Period for each Transmission Interface Circuit; and,

(d) Concurrent Access Periods of two or more Transmission Interface Circuits (if any) that are situated in the same Access Group.

The submissions by **The Company** made under PC.A.4.2.1 (c) and PC.A.4.2.1 (d) above shall commence in 2010 and shall then continue in respect of each year thereafter.

- PC.A.4.2.3 The total Active Energy used on each of the Network Operators' or Non-Embedded Customers' User Systems (each summated over all Grid Supply Points in each User System) in the preceding Financial Year, both outturn and weather corrected, together with a prediction for the current financial year, is required. Each Active Energy submission shall be subdivided into the following categories of Customer tariff:
 - LV1 LV2 LV3 HV EHV

Traction

Lighting

In addition, the total **User System** losses and the **Active Energy** provided by **Embedded Small Power Stations** and **Embedded Medium Power Stations** shall be supplied.

- PC.A.4.2.4 All forecast **Demand** (Active Power) and Active Energy specified in PC.A.4.2.1 and PC.A.4.2.3 shall:
 - (a) in the case of PC.A.4.2.1(a), (b) and (c), be such that the profiles comprise average **Active Power** levels in 'MW' for each time marked half hour throughout the day;
 - (b) in the case of PC.A.4.2.1(a), (b) and (c), be that remaining after any deductions reasonably considered appropriate by the User to take account of the output profile of all Embedded Small Power Stations and Embedded Medium Power Stations and Customer Generating Plant and imports across Embedded External Interconnections including imports across Embedded installations of direct current converters which do not form a DC Converter Station or HVDC System and Embedded DC Converter Stations and Embedded HVDC Systems with a Registered Capacity or HVDC Active Power Transmission Capacity of less than 100MW;
 - (c) be based upon **Annual ACS Conditions** for times that occur during week 44 through to week 12 (inclusive) and based on **Average Conditions** for weeks 13 to 43 (inclusive).

PC.A.4.3 Connection Point Demand (Active and Reactive Power)

- PC.A.4.3.1 Forecast **Demand** (Active Power) and Power Factor (values of the Power Factor at maximum and minimum continuous excitation may be given instead where more than 95% of the total **Demand** at a **Connection Point** is taken by synchronous motors) to be met at each **Connection Point** within each **Access Group** is required for:
 - (a) the time of the maximum Demand (Active Power) at the Connection Point (as determined by the User) that in the User's opinion could reasonably be imposed on the National Electricity Transmission System;
 - (b) the time of peak **National Electricity Transmission System Demand** as provided by **The Company** under PC.A.4.2.2;
 - (c) the time of minimum **National Electricity Transmission System Demand** as provided by **The Company** under PC.A.4.2.2;
 - (d) the time of the maximum Demand (Apparent Power) at the Connection Point (as determined by the User) during the Access Period of each Transmission Interface Circuit;

(e) at a time specified by either **The Company** or a **User** insofar as such a request is reasonable.

Instead of such forecast **Demand** to be met at each **Connection Point** within each **Access Group** the **User** may (subject to PC.A.4.3.4) submit such **Demand** at each node on the **Single Line Diagram**.

In addition, the **Demand** in respect of each of the time periods referred to in PC.A.4.3.1 (a) to (e) in the preceding **Financial Year** in respect of each **Connection Point** within each **Access Group** both outturn and weather corrected shall be supplied. The "weather correction" shall normalise outturn figures to **Annual ACS Conditions** for times that occur during calendar week 44 through to calendar week 12 (inclusive) or **Average Conditions** for the period calendar weeks 13 to calendar week 43 (inclusive) and shall be performed by the relevant **User** on a best endeavours basis.

The submission by a **User** pursuant to PC.A.4.3.1 (d) shall commence in 2011 and shall then continue each year thereafter.

- PC.A.4.3.2 All forecast **Demand** specified in PC.A.4.3.1 shall:
 - (a) be that remaining after any deductions reasonably considered appropriate by the User to take account of the output of all Embedded Small Power Stations and Embedded Medium Power Stations and Customer Generating Plant and imports across Embedded External Interconnections, including Embedded installations of direct current converters which do not form a DC Converter Station, HVDC System and Embedded DC Converter Stations and Embedded HVDC Systems and such deductions should be separately stated;
 - (b) include any User's System series reactive losses but exclude any reactive compensation equipment specified in PC.A.2.4 and exclude any network susceptance specified in PC.A.2.3;
 - (c) be based upon Annual ACS Conditions for times that occur during calendar week 44 through to calendar week 12 (inclusive) and based on Average Conditions for calendar weeks 13 to calendar week 43 (inclusive), both corrections being made on a best endeavours basis;
 - (d) reflect the **User's** opinion of what could reasonably be imposed on the **National Electricity Transmission System**.
- PC.A.4.3.3 The date and time of the forecast maximum **Demand** (**Apparent Power**) at the **Connection Point** as specified in PC.A.4.3.1 (a) and (d) is required.
- PC.A.4.3.4 Each **Single Line Diagram** provided under PC.A.2.2.2 shall include the **Demand** (Active **Power**) and **Power Factor** (values of the **Power Factor** at maximum and minimum continuous excitation may be given instead where more than 95% of the **Demand** is taken by synchronous motors) at the time of the peak **National Electricity Transmission System Demand** (as provided under PC.A.4.2.2) at each node on the **Single Line Diagram**. These **Demands** shall be consistent with those provided under PC.A.4.3.1(b) above for the relevant year.
- PC.A.4.3.5 The **Single Line Diagram** must represent the **User's User System** layout under the period specified in PC.A.4.3.1(b) (at the time of peak **National Electricity Transmission System Demand**). Should the **User's User System** layout during the other times specified in PC.A.4.3.1 be planned to be materially different from the **Single Line Diagram** submitted to **The Company** pursuant to PC.A.2.2.1 the **User** shall in respect of such other times submit:
 - (i) an alternative Single Line Diagram that accurately reflects the revised layout and in such case shall also include appropriate associated data representing the relevant changes, or;
 - submit an accurate and unambiguous description of the changes to the Single Line Diagram previously submitted for the time of peak National Electricity Transmission System Demand.

Where a **User** does not submit any changes, **The Company** will assume that the **Single Line Diagram** (and associated circuit and node data) provided at the time of peak **National Electricity Transmission System Demand** will be valid for all other times. In respect of such other times, where the **User** does not submit such nodal demands at the times defined in PC.A.4.3.1(a), (c), (d) and (e), the nodal demands will be pro-rata, to be consistent with the submitted **Connection Point Demands**.

PC.A.4.4 The Company will assemble and derive in a reasonable manner, the forecast information supplied to it under PC.A.4.2.1, PC.A.4.3.1, PC.A.4.3.4 and PC.A.4.3.5 above into a cohesive forecast and will use this in preparing Forecast Demand information in the Seven Year Statement and for use in The Company's Operational Planning. If any User believes that the cohesive forecast Demand information in the Seven Year Statement does not reflect its assumptions on Demand, it should contact The Company to explain its concerns and may require The Company, on reasonable request, to discuss these forecasts. In the absence of such expressions, The Company will assume that Users concur with The Company's cohesive forecast.

PC.A.4.5 Post Fault User System Layout

- PC.A.4.5.1 Where for the purposes of **The Company** assessing against the Licence Standards an **Access Group**, the **User** reasonably considers it appropriate that revised post fault **User System** layouts should be taken into account by **The Company**, the following information is required to be submitted by the **User**:
 - (i) the specified **Connection Point** assessment period (PC.A.4.3.1,(a)-(e)) that is being evaluated;
 - (ii) an accurate and unambiguous description of the **Transmission Interface Circuits** considered to be switched out due to a fault;
 - (iii) appropriate revised **Single Line Diagrams** and/or associated revised nodal **Demand** and circuit data detailing the revised **User System(s)** conditions;
 - (iv) where the User's planned post fault action consists of more than one component, each component must be explicitly identified using the Single Line Diagram and associated nodal Demand and circuit data;
 - (v) the arrangements for undertaking actions (eg the time taken, automatic or manual and any other appropriate information);.

The **User** must not submit any action that it does not have the capability or the intention to implement during the assessment period specified (subject to there being no further unplanned outages on the **User's User System**).

PC.A.4.6 Control of Demand or Reduction of Pumping Load Offered as Reserve

Magnitude of Demand or pumping load which is tripped System Frequency at which tripping is initiated	MW Hz
Time duration of System Frequency below trip setting for tripping to	S
be initiated	
Time delay from trip initiation to tripping	S

PC.A.4.7 <u>General Demand Data</u>

- PC.A.4.7.1 The following information is infrequently required and should be supplied (wherever possible) when requested by **The Company**:
 - (a) details of any individual loads which have characteristics significantly different from the typical range of Domestic, Commercial or Industrial loads supplied;
 - (b) the sensitivity of the Demand (Active and Reactive Power) to variations in voltage and Frequency on the National Electricity Transmission System at the time of the peak Demand (Active Power). The sensitivity factors quoted for the Demand (Reactive Power) should relate to that given under PC.A.4.3.1 and, therefore, include any User's System series reactive losses but exclude any reactive compensation equipment specified in PC.A.2.4 and exclude any network susceptance specified in PC.A.2.3;

- (c) details of any traction loads, e.g. connection phase pairs and continuous load variation with time;
- (d) the average and maximum phase unbalance, in magnitude and phase angle, which the User would expect its Demand to impose on the National Electricity Transmission System;
- (e) the maximum harmonic content which the **User** would expect its **Demand** to impose on the **National Electricity Transmission System**;
- (f) details of all loads which may cause **Demand** fluctuations greater than those permitted under **Engineering Recommendation** P28, Stage 1 at a **Point of Common Coupling** including the **Flicker Severity (Short Term)** and the **Flicker Severity (Long Term)**.

- PC.A.5 POWER GENERATING MODULE, GENERATING UNIT, POWER PARK MODULE (INCLUDING DC CONNECTED POWER PARK MODULES), DC CONVERTER, HVDC EQUIPMENT AND OTSDUW PLANT AND APPARATUS DATA
- PC.A.5.1 Introduction

Directly Connected

PC.A.5.1.1 Each Generator (including those undertaking OTSDUW), with existing or proposed Power Stations directly connected, or to be directly connected, to the National Electricity Transmission System, shall provide The Company with data relating to that Plant and Apparatus, both current and forecast, as specified in PC.A.5.2, PC.A.5.3, PC.A.5.4 and PC.A.5.7 as applicable.

Each DC Converter Station owner or HVDC System Owner, with existing or proposed DC Converter Stations or HVDC Systems (including Generators undertaking OTSDUW which includes an OTSDUW DC Converter) directly connected, or to be directly connected, to the National Electricity Transmission System, shall provide The Company with data relating to that Plant and Apparatus, both current and forecast, as specified in PC.A.5.2 and PC.A.5.4.

GB Generators, DC Converter Station owners, EU Generators and HVDC System Owners shall ensure that the models supplied in respect of their Plant and Apparatus provide a true and accurate behaviour of the plant as built as required under PC.A.5.3.2(c), PC.A.5.4.2(a) and PC.A.5.4.3 and verified through the Compliance Processes (CP) or European Compliance Processes (ECP) as applicable.

Embedded

PC.A.5.1.2 Each Generator, in respect of its existing, or proposed, Embedded Large Power Stations and its Embedded Medium Power Stations subject to a Bilateral Agreement and each Network Operator in respect of Embedded Medium Power Stations not subject to a Bilateral Agreement within its System shall provide The Company with data relating to each of those Large Power Stations and Medium Power Stations, both current and forecast, as specified in PC.A.5.2, PC.A.5.3, PC.A.5.4 and PC.A.5.7 as applicable.

Each DC Converter Station owner or HVDC System Owner, or Network Operator in the case of an Embedded DC Converter Station or Embedded HVDC System not subject to a Bilateral Agreement within its System with existing or proposed HVDC Systems or DC Converter Stations shall provide The Company with data relating to each of those HVDC Systems or DC Converter Stations, both current and forecast, as specified in PC.A.5.2 and PC.A.5.4.

However, no data need be supplied in relation to those **Embedded Medium Power Stations** or **Embedded DC Converter Stations** or **Embedded HVDC Systems** if they are connected at a voltage level below the voltage level of the **Subtransmission System** except in connection with an application for, or under a, **CUSC Contract** or unless specifically requested by **The Company** under PC.A.5.1.4.

GB Generators, **DC** Converter Station owners, **EU** Generators and **HVDC** System **Owners** shall ensure that the models supplied in respect of their **Plant** and **Apparatus** provide a true and accurate behaviour of the plant as built as required under PC.A.5.3.2(c), PC.A.5.4.2(a) and PC.A.5.4.3 and verified through the **Compliance Processes (CP)** or **European Compliance Processes (ECP)** as applicable

PC.A.5.1.3 Each **Network Operator** need not submit **Planning Data** in respect of **Embedded Small Power Stations** unless required to do so under PC.A.1.2(b), PC.A.3.1.4 or unless specifically requested under PC.A.5.1.4 below, in which case they will supply such data.

- PC.A.5.1.4 PC.A.4.2.4(b) and PC.A.4.3.2(a) explained that the forecast **Demand** submitted by each **Network Operator** must be net of the output of all **Medium Power Stations** and **Small Power Stations** and **Customer Generating Plant Embedded** within that **User's System**. In such cases, the **Network Operator** must provide **The Company** with the relevant information specified under PC.A.3.1.4 . On receipt of this data further details may be required at **The Company's** discretion as follows:
 - (i) in the case of details required from the Network Operator for Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded DC Converter Stations not subject to a Bilateral Agreement and Embedded HVDC Systems not subject to a Bilateral Agreement and Embedded Small Power Stations and Embedded DC Converters and Embedded HVDC Systems in each case within such Network Operator's System and Customer Generating Plant; and
 - (ii) in the case of details required from the Generator of Embedded Large Power Stations and Embedded Medium Power Stations subject to a Bilateral Agreement; and
 - (iii) in the case of details required from the DC Converter Station owner of an Embedded DC Converter or DC Converter Station or HVDC System Owner of an Embedded HVDC System Owner subject to a Bilateral Agreement.

both current and forecast, as specified in PC.A.5.2 and PC.A.5.3. Such requirement would arise when **The Company** reasonably considers that the collective effect of a number of such **Embedded Small Power Stations**, **Embedded Medium Power Stations**, **Embedded DC Converter Stations**, **Embedded HVDC Systems**, **DC Converters** and **Customer Generating Plants** may have a significant system effect on the **National Electricity Transmission System**.

PC.A.5.1.5 DPD I and DPD II

The **Detailed Planning Data** described in this Part 2 of the Appendix comprises both **DPD I** and **DPD II**. The required data is listed and collated in the **Data Registration Code**. The **Users** need to refer to the **DRC** to establish whether data referred to here is **DPD I** or **DPD II**.

- PC.A.5.2 <u>Demand</u>
- PC.A.5.2.1 For each Generating Unit (including Synchronous Generating Units within a Synchronous Power Generating Module) which has an associated Unit Transformer, the value of the Demand supplied through this Unit Transformer when the Generating Unit is at Rated MW output is to be provided.
- PC.A.5.2.2 Where the **Power Station** or **DC Converter Station** or **HVDC System** has associated **Demand** additional to the unit-supplied **Demand** of PC.A.5.2.1 which is supplied from either the **National Electricity Transmission System** or the **Generator's User System** the **Generator**, **DC Converter Station** owner, **HVDC System Owner** or the **Network Operator** (in the case of **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** within its **System**), as the case may be, shall supply forecasts for each **Power Station** or **DC Converter Station** or **HVDC System** of:
 - (a) the maximum **Demand** that, in the **User's** opinion, could reasonably be imposed on the **National Electricity Transmission System** or the **Generator's User System** as appropriate;
 - (b) the **Demand** at the time of the peak **National Electricity Transmission System Demand**
 - (c) the **Demand** at the time of minimum **National Electricity Transmission System Demand**.

- PC.A.5.2.3 No later than calendar week 17 each year **The Company** shall notify each **Generator** in respect of its **Large Power Stations** and its **Medium Power Stations** and each **DC Converter** owner in respect of its **DC Converter Station** and each **HVDC System Owner** in respect of its **HVDC System** subject to a **Bilateral Agreement** and each **Network Operator** in respect of each **Embedded Medium Power Station** not subject to a **Bilateral Agreement** and each **Embedded DC Converter Station** or **Embedded HVDC System** not subject to a **Bilateral Agreement** within such **Network Operator's System** in writing of the following, for the current **Financial Year** and for each of the following seven **Financial Years**, which will be regarded as the relevant specified days and times under PC.A.5.2.2:
 - (a) the date and time of the annual peak of the **National Electricity Transmission System Demand** at **Annual ACS Conditions**;
 - (b) the date and time of the annual minimum of the National Electricity Transmission System Demand at Average Conditions.
- PC.A.5.2.4 At its discretion, **The Company** may also request further details of the **Demand** as specified in PC.A.4.6
- PC.A.5.2.5 In the case of **OTSDUW Plant and Apparatus** the following data shall be supplied:
 - (a) The maximum **Demand** that could occur at the **Interface Point** and each **Connection Point** (in MW and MVAr);
 - (b) **Demand** at specified time of annual peak half hour of **National Electricity Transmission System Demand** at **Annual ACS Conditions** (in MW and MVAr); and
 - (c) **Demand** at specified time of annual minimum half-hour of **National Electricity Transmission System Demand** (in MW and MVAr).

For the avoidance of doubt, **Demand** data associated with **Generators** undertaking **OTSDUW** which utilise an **OTSDUW DC Converter** should supply data under PC.A.4.

- PC.A.5.3 <u>Synchronous Power Generating Modules, Synchronous Generating Unit and Associated</u> <u>Control System Data</u>
- PC.A.5.3.1 The data submitted below are not intended to constrain any Ancillary Services Agreement
- PC.A.5.3.2 The following Synchronous Generating Unit (including Synchronous Generating Units within a Synchronous Power Generating Module) and Power Station data should be supplied:
 - (a) Synchronous Generating Unit Parameters

Rated terminal volts (kV)

Maximum terminal voltage set point (kV)

Terminal voltage set point step resolution – if not continuous (kV)

- * Rated MVA
- * Rated MW
- * Minimum Generation MW
- * Short circuit ratio

Direct axis synchronous reactance

* Direct axis transient reactance

Direct axis sub-transient reactance

Direct axis short-circuit transient time constant.

Direct axis short-circuit sub-transient time constant.

Quadrature axis synchronous reactance

Quadrature axis sub-transient reactance

Quadrature axis short-circuit sub-transient time constant.

Stator time constant

Stator leakage reactance

Armature winding direct-current resistance.

Note: The above data item relating to armature winding direct-current resistance need only be supplied with respect to **Generating Units** commissioned after 1st March 1996 and in cases where, for whatever reason, the **Generator** or the **Network Operator**, as the case may be is aware of the value of the relevant parameter.

* Turbogenerator inertia constant (MWsec/MVA)

Rated field current (amps) at **Rated MW** and MVAr output and at rated terminal voltage.

Field current (amps) open circuit saturation curve for **Generating Unit** terminal voltages ranging from 50% to 120% of rated value in 10% steps as derived from appropriate manufacturers test certificates.

- (b) Parameters for Generating Unit Step-up Transformers
 - * Rated MVA

Voltage ratio

* Positive sequence reactance (at max, min, & nominal tap)

Positive sequence resistance (at max, min, & nominal tap)

Zero phase sequence reactance

Tap changer range

Tap changer step size

Tap changer type: on load or off circuit

(c) Excitation Control System parameters

Note: The data items requested under Option 1 below may continue to be provided in relation to **Generating Units** connected to the **System** at 09 January 1995 (in this paragraph, the "relevant date") or the new data items set out under Option 2 may be provided. **Generators** or **Network Operators**, as the case may be, must supply the data as set out under Option 2 (and not those under Option 1) for **Generating Unit** excitation control systems commissioned after the relevant date, those **Generating Unit** excitation control systems recommissioned for any reason such as refurbishment after the relevant date and **Generating Unit** excitation control systems where, as a result of testing or other process, the **Generator** or **Network Operator**, as the case may be, is aware of the data items listed under Option 2 in relation to that **Generating Unit**.

Option 1

DC gain of Excitation Loop

Rated field voltage

Maximum field voltage

Minimum field voltage

Maximum rate of change of field voltage (rising)

Maximum rate of change of field voltage (falling)

Details of Excitation Loop described in block diagram form showing transfer functions of individual elements.

Dynamic characteristics of **Over-excitation Limiter**.

Option 2

Excitation System Nominal Response

Rated Field Voltage

No-Load Field Voltage

Excitation System On-Load Positive Ceiling Voltage

Excitation System No-Load Positive Ceiling Voltage

Excitation System No-Load Negative Ceiling Voltage

Stator Current Limiter (applicable only to **Synchronous Power Generating Modules**)

Details of **Excitation System** (including **PSS** if fitted) described in block diagram form showing transfer functions of individual elements.

Details of **Over-excitation Limiter** described in block diagram form showing transfer functions of individual elements.

Details of **Under-excitation Limiter** described in block diagram form showing transfer functions of individual elements.

The block diagrams submitted after 1 January 2009 in respect of the **Excitation System** (including the **Over-excitation Limiter** and the **Under-excitation Limiter**) for **Generating Units** with a **Completion date** after 1 January 2009 or subject to a **Modification** to the **Excitation System** after 1 January 2009, should have been verified as far as reasonably practicable by simulation studies as representing the expected behaviour of the system.

(d) Governor Parameters

Incremental Droop values (in %) are required for each **Generating Unit** at six MW loading points (MLP1 to MLP6) as detailed in PC.A.5.5.1 (this data item needs only be provided for **Large Power Stations**)

Note: The data items requested under Option 1 below may continue to be provided by **Generators** in relation to **Generating Units** on the **System** at 09 January 1995 (in this paragraph, the "relevant date") or they may provide the new data items set out under Option 2. **Generators** must supply the data as set out under Option 2 (and not those under Option 1) for **Generating Unit** governor control systems commissioned after the relevant date, those **Generating Unit** governor control systems recommissioned for any reason such as refurbishment after the relevant date and **Generating Unit** governor control systems where, as a result of testing or other process, the **Generating Unit**. **EU Generators** are also required to submit the data as set out in option 2. Additional data required from **EU Generators** which own or operate **Type C** or **Type D Power Generating Modules** are marked in brackets with an asterisk (eg (*)). For the avoidance of doubt, items marked as (*) need not be supplied by **GB Generators**.

Option 1

(i) Governor Parameters (for Reheat Steam Units)

HP governor average gain MW/Hz

Speeder motor setting range

- HP governor valve time constant
- HP governor valve opening limits
- HP governor valve rate limits

Reheater time constant (Active Energy stored in reheater)

- IP governor average gain MW/Hz
- IP governor setting range
- IP governor valve time constant
- IP governor valve opening limits
- IP governor valve rate limits

Details of acceleration sensitive elements in HP & IP governor loop. A governor block diagram showing transfer functions of individual elements.

(ii) Governor Parameters (for Non-Reheat Steam Units and Gas Turbine Units)

Governor average gain Speeder motor setting range Time constant of steam or fuel governor valve Governor valve opening limits Governor valve rate limits Time constant of turbine Governor block diagram

The following data items need only be supplied for Large Power Stations:

(iii) E	Boiler & Steam Turbine Data	
	Boiler Time Constant (Stored Active Energy)	S
	HP turbine response ratio:	
	proportion of Primary Response arising from HP turbine	%
F	IP turbine response ratio:	
	proportion of High Frequency Response arising from HP turbine	%
[End c	of Option 1]	

Option 2

(i) Governor and associated prime mover Parameters - All Generating Units (including Synchronous Generating Units within a Synchronous Power Generating Module)

Governor Block Diagram showing transfer function of individual elements including acceleration sensitive elements.

Governor Time Constant (in seconds)

Speeder Motor Setting Range (%)

Average Gain (MW/Hz)

Governor Deadband (and Governor Insensitivity Governor Deadband*) need only be provided for Large Power Stations (and both Governor Deadband and Governor Insensitivity should be supplied in respect of Type C and D Power Generating Modules within Large Power Station and Medium Power Stations excluding Embedded Medium Power Stations not subject to a Bilateral Agreement*)

-	Maximum	Setting	±Hz
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- Normal Setting ±Hz
- Minimum Setting ±Hz

Where the **Generating Unit** governor does not have a selectable **Governor Deadband** (or **Governor Insensitivity***) facility as specified above, then the actual value of the **Governor Deadband** (or **Governor Insensitivity***) need only be provided.

The block diagrams submitted after 1 January 2009 in respect of the Governor system for **Generating Units** with a **Completion date** after 1 January 2009 or subject to a **Modification** to the governor system after 1 January 2009, should have been verified as far as reasonably practicable by simulation studies as representing the expected behaviour of the system.

(ii) Governor and associated prime mover Parameters - Steam Units

HP Valve Time Constant (in seconds)
HP Valve Opening Limits (%)
HP Valve Opening Rate Limits (%/second)
HP Valve Closing Rate Limits (%/second)
HP Turbine Time Constant (in seconds)

IP Valve Time Constant (in seconds)

IP Valve Opening Limits (%)

IP Valve Opening Rate Limits (%/second)

IP Valve Closing Rate Limits (%/second)

IP Turbine Time Constant (in seconds)

LP Valve Time Constant (in seconds)

LP Valve Opening Limits (%)

LP Valve Opening Rate Limits (%/second)

LP Valve Closing Rate Limits (%/second)

LP Turbine Time Constant (in seconds)

Reheater Time Constant (in seconds)

Boiler Time Constant (in seconds)

HP Power Fraction (%)

- IP Power Fraction (%)
- (iii) Governor and associated prime mover Parameters Gas Turbine Units

Inlet Guide Vane Time Constant (in seconds)

Inlet Guide Vane Opening Limits (%)

Inlet Guide Vane Opening Rate Limits (%/second)

Inlet Guide Vane Closing Rate Limits (%/second)

Fuel Valve Constant (in seconds)

Fuel Valve Opening Limits (%)

Fuel Valve Opening Rate Limits (%/second)

Fuel Valve Closing Rate Limits (%/second)

Waste Heat Recovery Boiler Time Constant (in seconds)

(iv) Governor and associated prime mover Parameters - Hydro Generating Units

Guide Vane Actuator Time Constant (in seconds)

Guide Vane Opening Limits (%)

Guide Vane Opening Rate Limits (%/second)

Guide Vane Closing Rate Limits (%/second)

Water Time Constant (in seconds)

[End of Option 2]

(e) Unit Control Options

The following data items need only be supplied with respect to Large Power Stations:

Maximum Droop	%
Normal Droop	%
Minimum Droop	%
Maximum Governor Deadband (and Governor Insensitivity*)	

Normal **Governor Deadband** (and **Governor Insensitivity***) ±Hz

Minimum Governor Deadband (and Governor Insensitivity*) $\pm Hz$

Maximum output Governor Deadband (and Governor Insensitivity*) $\pm MW$

Normal output Governor Deadband (and Governor Insensitivity*) $\pm MW$

Minimum output Governor Deadband (and Governor Insensitivity*) $\pm MW$

Frequency settings between which Unit Load Controller Droop applies:

- Maximum	Hz
- Normal	Hz
- Minimum	Hz

State if sustained response is normally selected.

(* **GB Generators** which are not required to satisfy the requirements of the **European Connection Conditions** are not required to supply **Governor Insensitivity** data).

(f) Plant Flexibility Performance

The following data items need only be supplied with respect to Large Power Stations, and should be provided with respect to each **Genset**:

- # Run-up rate to Registered Capacity,
- # Run-down rate from Registered Capacity,
- # Synchronising Generation,

Regulating range

Load rejection capability while still **Synchronised** and able to supply **Load**.

Data items marked with a hash (#) should be applicable to a **Genset** which has been **Shutdown** for 48 hours.

- * Data items marked with an asterisk are already requested under partx1, PC.A.3.3.1, to facilitate an early assessment by **The Company** as to whether detailed stability studies will be required before an offer of terms for a **CUSC Contract** can be made. Such data items have been repeated here merely for completeness and need not, of course, be resubmitted unless their values, known or estimated, have changed.
- (g) Generating Unit Mechanical Parameters

It is occasionally necessary for **The Company** to assess the interaction between the **Total System** and the mechanical components of **Generating Units**. For **Generating Units** (including **Synchronous Generating Units** within a **Synchronous Power Generating Module**) with a **Completion Date** on or after 01 April 2015, the following data items should be supplied:

The number of turbine generator masses.

Diagram showing the Inertia and parameters for each turbine generator mass (kgm²) and Stiffness constants and parameters between each turbine generator mass for the complete drive train (Nm/rad).

Number of poles.

Relative power applied to different parts of the turbine (%).

Torsional mode frequencies (Hz).

Modal damping decrement factors for the different mechanical modes.

- PC.A.5.4 <u>Power Park Module, Non-Synchronous Generating Unit and Associated Control System</u> Data
- PC.A.5.4.1 The data submitted below are not intended to constrain any Ancillary Services Agreement
- PC.A.5.4.2 The following **Power Park Unit**, **Power Park Module** and **Power Station** data should be supplied in the case of a **Power Park Module** not connected to the **Total System** by a **DC Converter** or **HVDC System** (and in the case of PC.A.5.4.2(f) any **OTSUA**):

Where a **Manufacturer's Data & Performance Report** exists in respect of the model of the **Power Park Unit**, the **User** may subject to **The Company's** agreement, opt to reference the **Manufacturer's Data & Performance Report** as an alternative to the provision of data in accordance with PC.A.5.4.2 except for:

- (1) the section marked thus # at sub paragraph (b); and
- (2) all of the harmonic and flicker parameters required under sub paragraph (h); and
- (3) all of the site specific model parameters relating to the voltage or frequency control systems required under sub paragraphs (d) and (e),

which must be provided by the **User** in addition to the **Manufacturer's Data & Performance Report** reference.

(a) Power Park Unit model

A mathematical model of each type of **Power Park Unit** capable of representing its transient and dynamic behaviour under both small and large disturbance conditions. The model shall include non-linear effects and represent all equipment relevant to the dynamic performance of the **Power Park Unit** as agreed with **The Company**. The model shall be suitable for the study of balanced, root mean square, positive phase sequence time-domain behaviour, excluding the effects of electromagnetic transients, harmonic and sub-harmonic frequencies.

The model shall accurately represent the overall performance of the **Power Park Unit** over its entire operating range including that which is inherent to the **Power Park Unit** and that which is achieved by use of supplementary control systems providing either continuous or stepwise control. Model resolution should be sufficient to accurately represent **Power Park Unit** behaviour both in response to operation of **Transmission System** protection and in the context of longer-term simulations.

The overall structure of the model shall include:

- (i) any supplementary control signal modules not covered by (c), (d) and (e) below.
- (ii) any blocking, deblocking and protective trip features that are part of the **Power Park Unit** (e.g. "crowbar").
- (iii) any other information required to model the **Power Park Unit** behaviour to meet the model functional requirement described above.

The model shall be submitted in the form of a transfer function block diagram and may be accompanied by dynamic and algebraic equations.

This model shall display all the transfer functions and their parameter values, any non windup logic, signal limits and non-linearities.

The submitted **Power Park Unit** model and the supplementary control signal module models covered by (c), (d) and (e) below shall have been validated and this shall be confirmed by the **Generator**. The validation shall be based on comparing the submitted model simulation results against measured test results. Validation evidence shall also be submitted and this shall include the simulation and measured test results. The latter shall include appropriate short-circuit tests. In the case of an **Embedded Medium Power Station** not subject to a **Bilateral Agreement** the **Network Operator** will provide **The Company** with the validation evidence if requested by **The Company**. The validation of the supplementary control signal module models covered by (c), (d) and (e) below applies only to a **Power Park Module** with a **Completion Date** after 1 January 2009 or **Power Park Modules** within a **Power Generating Module**.

- (b) Power Park Unit parameters
 - * Rated MVA
 - * Rated MW
 - * Rated terminal voltage
 - * Average site air density (kg/m³), maximum site air density (kg/m³) and minimum site air density (kg/m³) for the year

Year for which the air density is submitted

Number of pole pairs

Blade swept area (m²)

Gear box ratio

Mechanical drive train

For each **Power Park Unit**, details of the parameters of the drive train represented as an equivalent two mass model should be provided. This model should accurately represent the behaviour of the complete drive train for the purposes of power system analysis studies and should include the following data items:- Equivalent inertia constant (MWsec/MVA) of the first mass (e.g. wind turbine rotor and blades) at minimum, synchronous and rated speeds

Equivalent inertia constant (MWsec/MVA) of the second mass (e.g. generator rotor) at minimum, synchronous and rated speeds

Equivalent shaft stiffness between the two masses (Nm/electrical radian)

Additionally, for **Power Park Units** that are induction generators (e.g. squirrel cage, doubly-fed) driven by wind turbines:

- * Stator resistance
- * Stator reactance
- * Magnetising reactance.
- * Rotor resistance.(at starting)
- * Rotor resistance.(at rated running)
- * Rotor reactance (at starting)
- * Rotor reactance (at rated running)

Additionally for doubly-fed induction generators only:

The generator rotor speed range (minimum and maximum speeds in RPM)

The optimum generator rotor speed versus wind speed submitted in tabular format

Power converter rating (MVA)

The rotor power coefficient (C_p) versus tip speed ratio (λ) curves for a range of blade angles (where applicable) together with the corresponding values submitted in tabular format. The tip speed ratio (λ) is defined as $\Omega R/U$ where Ω is the angular velocity of the rotor, R is the radius of the wind turbine rotor and U is the wind speed.

The electrical power output versus generator rotor speed for a range of wind speeds over the entire operating range of the **Power Park Unit**, together with the corresponding values submitted in tabular format.

The blade angle versus wind speed curve together with the corresponding values submitted in tabular format.

The electrical power output versus wind speed over the entire operating range of the **Power Park Unit**, together with the corresponding values submitted in tabular format.

Transfer function block diagram, including parameters and description of the operation of the power electronic converter and fault ride through capability (where applicable).

For a **Power Park Unit** consisting of a synchronous machine in combination with a back to back **DC Converter** or **HVDC System**, or for a **Power Park Unit** not driven by a wind turbine, the data to be supplied shall be agreed with **The Company** in accordance with PC.A.7.

(c) Torque / speed and blade angle control systems and parameters

For the **Power Park Unit**, details of the torque / speed controller and blade angle controller in the case of a wind turbine and power limitation functions (where applicable) described in block diagram form showing transfer functions and parameters of individual elements.

(d) Voltage/Reactive Power/Power Factor control system parameters

For the **Power Park Unit** and **Power Park Module** details of voltage/**Reactive Power/Power Factor** controller (and **PSS** if fitted) described in block diagram form showing transfer functions and parameters of individual elements.

(e) **Frequency** control system parameters

For the **Power Park Unit** and **Power Park Module** details of the **Frequency** controller described in block diagram form showing transfer functions and parameters of individual elements.

(f) Protection

Details of settings for the following **Protection** relays (to include): Under **Frequency**, over **Frequency**, under voltage, over voltage, rotor over current, stator over current, high wind speed shut down level.

(g) Complete **Power Park Unit** model, parameters and controls

An alternative to PC.A.5.4.2 (a), (b), (c), (d), (e) and (f), is the submission of a single complete model that consists of the full information required under PC.A.5.4.2 (a), (b), (c), (d), (e) and (f) provided that all the information required under PC.A.5.4.2 (a), (b), (c), (d), (e) and (f) individually is clearly identifiable.

(h) Harmonic and flicker parameters

When connecting a **Power Park Module**, it is necessary for **The Company** to evaluate the production of flicker and harmonics on the **National Electricity Transmission System** and **User's Systems**. At **The Company's** reasonable request, the **User** (a **Network Operator** in the case of an **Embedded Power Park Module** not subject to a **Bilateral Agreement**) is required to submit the following data (as defined in IEC 61400-21 (2001)) for each **Power Park Unit**:-

Flicker coefficient for continuous operation.

Flicker step factor.

Number of switching operations in a 10 minute window.

Number of switching operations in a 2 hour window.

Voltage change factor.

Current Injection at each harmonic for each **Power Park Unit** and for each **Power Park Module**

* Data items marked with an asterisk are already requested under part 1, PC.A.3.3.1, to facilitate an early assessment by **The Company** as to whether detailed stability studies will be required before an offer of terms for a **CUSC Contract** can be made. Such data items have been repeated here merely for completeness and need not, of course, be resubmitted unless their values, known or estimated, have changed.

PC.A.5.4.3 DC Converter and HVDC Systems

- PC.A.5.4.3.1 For a DC Converter at a DC Converter Station or an HVDC System or Power Park Module connected to the Total System by a DC Converter or HVDC System (or in the case of OTSUA which includes an OTSDUW DC Converter) the following information for each DC Converter, HVDC System and DC Network should be supplied:
 - (a) **DC Converter** and **HVDC System** parameters
 - * **Rated MW** per pole for transfer in each direction;
 - * **DC Converter** type (i.e. current or voltage source (including a **HVDC Converter** in an **HVDC System**));
 - * Number of poles and pole arrangement;
 - * Rated DC voltage/pole (kV);

Return path arrangement;

(b) DC Converter and HVDC System transformer parameters

Rated MVA

Nominal primary voltage (kV);

Nominal secondary (converter-side) voltage(s) (kV);

Winding and earthing arrangement;

Positive phase sequence reactance at minimum, maximum and nominal tap;

Positive phase sequence resistance at minimum, maximum and nominal tap;

Zero phase sequence reactance;

Tap-changer range in %;

number of tap-changer steps;

(c) DC Network parameters

Rated DC voltage per pole;

Rated DC current per pole;

Single line diagram of the complete DC Network and HVDC System;

Details of the complete **DC Network**, including resistance, inductance and capacitance of all DC cables and/or DC lines and **HVDC System**;

Details of any DC reactors (including DC reactor resistance), DC capacitors and/or DC-side filters that form part of the **DC Network** and/or **HVDC System**;

(d) AC filter reactive compensation equipment parameters

Note: The data provided pursuant to this paragraph must not include any contribution from reactive compensation plant.

Total number of AC filter banks.

Type of equipment (e.g. fixed or variable)

Single line diagram of filter arrangement and connections;

Reactive Power rating for each AC filter bank, capacitor bank or operating range of each item of reactive compensation equipment, at rated voltage;

Performance chart showing **Reactive Power** capability of the **DC Converter** and **HVDC System**, as a function of MW transfer, with all filters and reactive compensation plant, belonging to the **DC Converter Station** or **HVDC System** working correctly.

Note: Details in PC.A.5.4.3.1 are required for each **DC Converter** connected to the **DC Network** and **HVDC System**, unless each is identical or where the data has already been submitted for an identical **DC Converter** or **HVDC System** at another **Connection Point**.

Note: For a **Power Park Module** and **DC Connected Power Park Module** connected to the **Grid Entry Point** or (**User System Entry Point** if **Embedded**) by a **DC Converter** or **HVDC System** the equivalent inertia and fault infeed at the **Power Park Unit** should be given.

DC Converter and HVDC System Control System Models

PC.A.5.4.3.2 The following data is required by **The Company** to represent **DC Converters** and associated **DC Networks** and **HVDC Systems** (and including **OTSUA** which includes an **OTSDUW DC Converter**) in dynamic power system simulations, in which the AC power system is typically represented by a positive sequence equivalent. **DC Converters** and **HVDC Systems** are represented by simplified equations and are not modelled to switching device level.

- (i) Static V_{DC}-I_{DC} (DC voltage DC current) characteristics, for both the rectifier and inverter modes for a current source converter. Static V_{DC}-P_{DC} (DC voltage DC power) characteristics, for both the rectifier and inverter modes for a voltage source converter. Transfer function block diagram including parameters representation of the control systems of each DC Converter and of the DC Converter Station and the HVDC System, for both the rectifier and inverter modes. A suitable model would feature the DC Converter or HVDC Converter firing angle as the output variable.
- (ii) Transfer function block diagram representation including parameters of the DC Converter or HVDC Converter transformer tap changer control systems, including time delays
- (iii) Transfer function block diagram representation including parameters of AC filter and reactive compensation equipment control systems, including any time delays.
- (iv) Transfer function block diagram representation including parameters of any **Frequency** and/or load control systems.
- (v) Transfer function block diagram representation including parameters of any small signal modulation controls such as power oscillation damping controls or sub-synchronous oscillation damping controls, that have not been submitted as part of the above control system data.
- (vi) Transfer block diagram representation of the **Reactive Power** control at converter ends for a voltage source converter.

In addition and where not provided for above, **HVDC System Owners** shall also provide the following dynamic simulation sub-models

- (i) **HVDC Converter** unit models
- (ii) AC component models
- (iii) DC Grid models
- (iv) Voltage and power controller
- (v) Special control features if applicable (eg power oscillation damping (POD) function, subsynchronous torsional interaction (SSTI) control;
- (vi) Multi terminal control, if applicable
- (vii) **HVDC System** protection models as agreed between **The Company** and the **HVDC System Owner**

HVDC System Owners are also required to supply an equivalent model of the control system when adverse control interactions may result with **HVDC Converter Stations** and other connections in close proximity if requested by **The Company**. The equivalent model shall contain all necessary data for the realistic simulation of the adverse control interactions.

Plant Flexibility Performance

- PC.A.5.4.3.3 The following information on plant flexibility and performance should be supplied (and also in respect of **OTSUA** which includes an **OTSDUW DC Converter**):
 - (i) Nominal and maximum (emergency) loading rate with the **DC Converter** or **HVDC Converter** in rectifier mode.
 - (ii) Nominal and maximum (emergency) loading rate with the **DC Converter** or **HVDC Converter** in inverter mode.
 - (iii) Maximum recovery time, to 90% of pre-fault loading, following an AC system fault or severe voltage depression.
 - (iv) Maximum recovery time, to 90% of pre-fault loading, following a transient **DC Network** fault.

Harmonic Assessment Information

PC.A.5.4.3.4 **DC Converter** owners and **HVDC System Owners** shall provide such additional further information as required by **The Company** in order that compliance with CC.6.1.5 can be demonstrated.

* Data items marked with an asterisk are already requested under part 1, PC.A.3.3.1, to facilitate an early assessment by **The Company** as to whether detailed stability studies will be required before an offer of terms for a **CUSC Contract** can be made. Such data items have been repeated here merely for completeness and need not, of course, be resubmitted unless their values, known or estimated, have changed.

PC.A.5.5 Response Data For Frequency Changes

The information detailed below is required to describe the actual frequency response capability profile as illustrated in Figure CC.A.3.1 of the **Connection Conditions**, and need only be provided for each:

- (i) Genset at Large Power Stations; and
- (ii) Generating Unit (including Synchronous Generating Units within a Synchronous Power Generating Module), Power Park Module (including a DC Connected Power Park Module) or CCGT Module at a Medium Power Station or DC Converter Station or HVDC System that has agreed to provide Frequency response in accordance with a CUSC Contract.

In the case of (ii) above for the rest of this PC.A.5.5 where reference is made to Gensets, it shall include such Generating Units (including Synchronous Generating Units within a Synchronous Power Generating Module), CCGT Modules, Power Park Modules (including DC Connected Power Park Modules), HVDC Systems and DC Converters as appropriate, but excludes OTSDUW Plant and Apparatus utilising OTSDUW DC Converters.

In this PC.A.5.5, for a CCGT Module with more than one Generating Unit, the phrase Minimum Generation or Minimum Regulating Level applies to the entire CCGT Module operating with all Generating Units (including Synchronous Generating Units within a Synchronous Power Generating Module) Synchronised to the System. Similarly for a Power Park Module (including a DC Connected Power Park Module) with more than one Power Park Unit, the phrase Minimum Generation or Minimum Regulating Level applies to the entire Power Park Module operating with all Power Park Units Synchronised to the System.

PC.A.5.5.1 MW Loading Points At Which Data Is Required

Response values are required at six MW loading points (MLP1 to MLP6) for each **Genset**. **Primary** and **Secondary Response** values need not be provided for MW loading points which are below **Minimum Generation** or **Minimum Stable Operating Level**. MLP1 to MLP6 must be provided to the nearest MW.

Prior to the **Genset** being first **Synchronised**, the MW loading points must take the following values :

- MLP1 Designed Minimum Operating Level or Minimum Regulating Level
- MLP2 Minimum Generation or Minimum Stable Operating Level
- MLP3 70% of Registered Capacity or Maximum Capacity
- MLP4 80% of Registered Capacity or Maximum Capacity
- MLP5 95% of Registered Capacity or Maximum Capacity
- MLP6 Registered Capacity or Maximum Capacity

When data is provided after the **Genset** is first **Synchronised**, the MW loading points may take any value between the **Designed Minimum Operating Level** or **Minimum Regulating Level** and **Registered Capacity** or **Minimum Regulating Level** and **Maximum Capacity** but the value of the **Designed Minimum Operating Level** or **Minimum Regulating Level** must still be provided if it does not form one of the MW loading points.

PC.A.5.5.2 Primary And Secondary Response To Frequency Fall

Primary and **Secondary Response** values for a -0.5Hz ramp are required at six MW loading points (MLP1 to MLP6) as detailed above

PC.A.5.5.3 <u>High Frequency Response To Frequency Rise</u>

High Frequency Response values for a +0.5Hz ramp are required at six MW loading points (MLP1 to MLP6) as detailed above.

PC.A.5.6 <u>Mothballed Power Generating Module, Mothballed Generating Unit, Mothballed Power Park</u> <u>Module (including DC Connected Power Park Modules), Mothballed HVDC Systems or</u> <u>Mothballed DC Converter At A DC Converter Station And Alternative Fuel Information</u>

Data identified under this section PC.A.5.6 must be submitted as required under PC.A.1.2 and at **The Company's** reasonable request.

In the case of Embedded Medium Power Stations not subject to a Bilateral Agreement, Embedded HVDC Systems not subject to a Bilateral Agreement and Embedded DC Converter Stations not subject to a Bilateral Agreement, upon request from The Company each Network Operator shall provide the information required in PC.A.5.6.1, PC.A.5.6.2, PC.A.5.6.3 and PC.A.5.6.4 on respect of such Embedded Medium Power Stations and Embedded DC Converters Stations and Embedded HVDC Systems with their System.

PC.A.5.6.1 Mothballed Generating Unit Information

Generators, HVDC System Owners and DC Converter Station owners must supply with respect to each Mothballed Power Generating Module, Mothballed Generating Unit, Mothballed Power Park Module (including a DC Connected Power Park Module), Mothballed HVDC System or Mothballed DC Converter at a DC Converter Station the estimated MW output which could be returned to service within the following time periods from the time that a decision to return was made:

- < 1 month;
- 1-2 months;
- 2-3 months;
- 3-6 months;
- 6-12 months; and
- >12 months.

The return to service time should be determined in accordance with **Good Industry Practice** assuming normal working arrangements and normal plant procurement lead times. The MW output values should be the incremental values made available in each time period as further described in the **DRC**.

PC.A.5.6.2 Generators, HVDC System Owners and DC Converter Station owners must also notify The Company of any significant factors which may prevent the Mothballed Power Generating Module, Mothballed Generating Unit, Mothballed Power Park Module (including DC Connected Power Park Modules), Mothballed HVDC Systems or Mothballed DC Converter at a DC Converter Station achieving the estimated values provided under PC.A.5.6.1 above, excluding factors relating to Transmission Entry Capacity.

PC.A.5.6.3 <u>Alternative Fuel Information</u>

The following data items must be supplied with respect to each **Generating Unit** (including **Synchronous Generating Units** within a **Synchronous Power Generating Module**) whose main fuel is gas.

For each alternative fuel type (if facility installed):

- (a) Alternative fuel type e.g. oil distillate, alternative gas supply
- (b) For the changeover from main to alternative fuel:
 - Time to carry out off-line and on-line fuel changeover (minutes).
 - Maximum output following off-line and on-line changeover (MW).
 - Maximum output during on-line fuel changeover (MW).
 - Maximum operating time at full load assuming typical and maximum possible stock levels (hours).
 - Maximum rate of replacement of depleted stocks (MWh electrical/day) on the basis of **Good Industry Practice**.
 - Is changeover to alternative fuel used in normal operating arrangements?
 - Number of successful changeovers carried out in the last of **The Company's Financial Year** (choice of 0, 1-5, 6-10, 11-20, >20).
- (c) For the changeover back to main fuel:
 - Time to carry out off-line and on-line fuel changeover (minutes).
 - Maximum output during on-line fuel changeover (MW).
- PC.A.5.6.4 **Generators** must also notify **The Company** of any significant factors and their effects which may prevent the use of alternative fuels achieving the estimated values provided under PC.A.5.6.3 above (e.g. emissions limits, distilled water stocks etc.)

PC.A.5.7 Black Start Related Information

Data identified under this section PC.A.5.7 must be submitted as required under PC.A.1.2. This information may also be requested by **The Company** during a **Black Start** and should be provided by **Generators** where reasonably possible. **Generators** in this section PC.A.5.7 means **Generators** only in respect of their **Large Power Stations**.

The following data items/text must be supplied, from each Generator to The Company, with respect to each BM Unit at a Large Power Station (excluding the Generating Units (including Synchronous Generating Units within a Synchronous Power Generating Module) that are contracted to provide Black Start Capability, Power Park Modules (including DC Connected Power Park Modules) or Generating Units with an Intermittent Power Source);

- (a) Expected time for each BM Unit to be Synchronised following a Total Shutdown or Partial Shutdown. The assessment should include the Power Station's ability to resynchronise all BM Units, if all were running immediately prior to the Total Shutdown or Partial Shutdown. Additionally this should highlight any specific issues (i.e. those that would impact on the BM Unit's time to be Synchronised) that may arise, as time progresses without external supplies being restored.
- (b) Block Loading Capability. This should be provided in either graphical or tabular format showing the estimated block loading capability from 0MW to Registered Capacity. Any particular 'hold' points should also be identified. The data of each BM Unit should be provided for the condition of a 'hot' unit that was Synchronised just prior to the Total Shutdown or Partial Shutdown and also for the condition of a 'cold' unit. The block loading assessment should be done against a frequency variation of 49.5Hz 50.5Hz.

PC.A.6 USERS' SYSTEM DATA

PC.A.6.1 Introduction

- PC.A.6.1.1 Each User, whether connected directly via an existing Connection Point to the National Electricity Transmission System or seeking such a direct connection, or providing terms for connection of an Offshore Transmission System to its User System to The Company or undertaking OTSDUW, shall provide The Company with data on its User System or OTSDUW Plant and Apparatus which relates to the Connection Site containing the Connection Point (or Interface Points or Connection Points in the case of OTSUA) both current and forecast, as specified in PC.A.6.2 to PC.A.6.6.
- PC.A.6.1.2 Each **User** must reflect the system effect at the **Connection Site(s)** of any third party **Embedded** within its **User System** whether existing or proposed.
- PC.A.6.1.3 PC.A.6.2, and PC.A.6.4 to PC.A.6.7 consist of data which is only to be supplied to **The Company** at **The Company's** reasonable request. In the event that **The Company** identifies a reason for requiring this data, **The Company** shall write to the relevant **User**(s), requesting the data, and explaining the reasons for the request. If the **User**(s) wishes, **The Company** shall also arrange a meeting at which the request for data can be discussed, with the objective of identifying the best way in which **The Company's** requirements can be met. In respect of **EU Code User**(s) only, **The Company** may request the need for electromagnetic transient simulations at **The Company's** reasonable request. **User**(s) with **EU Grid Supply Points** may be required to provide electromagnetic transient simulations in relation to those **EU Grid Supply Points** at **The Company**'s reasonable request.

Where **The Company** makes a request to a **User** or **EU Code User** for dynamic models under PC.A.6.7, each relevant **User** shall ensure that the models supplied in respect of their **Plant** and **Apparatus** reflect the true and accurate behaviour of the **Plant** and **Apparatus** as built and verified through the **European Compliance Processes** (ECP).

PC.A.6.2 Transient Overvoltage Assessment Data

- PC.A.6.2.1 It is occasionally necessary for **The Company** to undertake transient overvoltage assessments (e.g. capacitor switching transients, switchgear transient recovery voltages, etc). At **The Company's** reasonable request, each **User** is required to provide the following data with respect to the **Connection Site** (and in the case of **OTSUA**, **Interface Points** and **Connection Points**), current and forecast, together with a **Single Line Diagram** where not already supplied under PC.A.2.2.1, as follows:
 - (a) busbar layout plan(s), including dimensions and geometry showing positioning of any current and voltage transformers, through bushings, support insulators, disconnectors, circuit breakers, surge arresters, etc. Electrical parameters of any associated current and voltage transformers, stray capacitances of wall bushings and support insulators, and grading capacitances of circuit breakers;
 - (b) Electrical parameters and physical construction details of lines and cables connected at that busbar. Electrical parameters of all plant e.g., transformers (including neutral earthing impedance or zig-zag transformers, if any), series reactors and shunt compensation equipment connected at that busbar (or to the tertiary of a transformer) or by lines or cables to that busbar;
 - (c) Basic insulation levels (BIL) of all **Apparatus** connected directly, by lines or by cables to the busbar;
 - (d) characteristics of overvoltage **Protection** devices at the busbar and at the termination points of all lines, and all cables connected to the busbar;
 - (e) fault levels at the lower voltage terminals of each transformer connected directly or indirectly to the National Electricity Transmission System (including OTSUA at each Interface Point and Connection Point) without intermediate transformation;
 - (f) the following data is required on all transformers operating at Supergrid Voltage throughout Great Britain and, in Scotland and Offshore, also at 132kV (including OTSUA): three or five limb cores or single phase units to be specified, and operating peak flux density at nominal voltage;

(g) an indication of which items of equipment may be out of service simultaneously during **Planned Outage** conditions.

PC.A.6.3 <u>User's Protection Data</u>

PC.A.6.3.1 Protection

The following information is required which relates only to **Protection** equipment which can trip or inter-trip or close any **Connection Point** circuit-breaker or any **Transmission** circuit-breaker (or in the case of **OTSUA**, any **Interface Point** or **Connection Point** circuit breaker). This information need only be supplied once, in accordance with the timing requirements set out in PC.A.1.4(b), and need not be supplied on a routine annual basis thereafter, although **The Company** should be notified if any of the information changes

- (a) a full description, including estimated settings, for all relays and **Protection** systems installed or to be installed on the **User's System**;
- (b) a full description of any auto-reclose facilities installed or to be installed on the **User's System**, including type and time delays;
- (c) a full description, including estimated settings, for all relays and Protection systems or to be installed on the generator, generator transformer, Station Transformer and their associated connections;
- (d) for Generating Units (including Synchronous Generating Units forming part of a Synchronous Power Generating Module but excluding Power Park Units) or Power Park Modules (including DC Connected Power Park Modules) or HVDC Systems or DC Converters at a DC Converter Station or OTSDUW Plant and Apparatus having (or intended to have) a circuit breaker at the generator terminal voltage, clearance times for electrical faults within the Generating Unit (including Synchronous Generating Units forming part of a Synchronous Power Generating Module but excluding a Power Park Unit) or Power Park Module (including DC Connected Power Park Modules) zone, or within the OTSDUW Plant and Apparatus;
- (e) the most probable fault clearance time for electrical faults on any part of the User's System directly connected to the National Electricity Transmission System including OTSDUW Plant and Apparatus; and
- (f) in the case of **OTSDUW Plant and Apparatus**, synchronisation facilities and delayed auto reclose sequence schedules (where applicable).

PC.A.6.4 <u>Harmonic Studies</u>

- PC.A.6.4.1 It is occasionally necessary for **The Company** to evaluate the production/magnification of harmonic distortion on the **National Electricity Transmission System** and **User's Systems** (and **OTSUA**), especially when **The Company** is connecting equipment such as capacitor banks. At **The Company's** reasonable request, each **User** is required to submit data with respect to the **Connection Site** (and in the case of **OTSUA**, each **Interface Point** and **Connection Point**), current and forecast, and where not already supplied under PC.A.2.2.4 and PC.A.2.2.5, as follows:
- PC.A.6.4.2 Overhead lines and underground cable circuits of the **User's Subtransmission System** must be differentiated and the following data provided separately for each type:

Positive phase sequence resistance;

Positive phase sequence reactance;

Positive phase sequence susceptance;

- and for all transformers connecting the User's Subtransmission System and OTSDUW Plant and Apparatus to a lower voltage:
 - Rated MVA;

Voltage Ratio;

Positive phase sequence resistance;

Positive phase sequence reactance;

and at the lower voltage points of those connecting transformers:

Equivalent positive phase sequence susceptance;

Connection voltage and MVAr rating of any capacitor bank and component design parameters if configured as a filter;

Equivalent positive phase sequence interconnection impedance with other lower voltage points;

The minimum and maximum Demand (both MW and MVAr) that could occur;

Harmonic current injection sources in Amps at the Connection voltage points. Where the harmonic injection current comes from a diverse group of sources, the equivalent contribution may be established from appropriate measurements;

Details of traction loads, eg connection phase pairs, continuous variation with time, etc;

An indication of which items of equipment may be out of service simultaneously during **Planned Outage** conditions.

PC.A.6.5 Voltage Assessment Studies

It is occasionally necessary for **The Company** to undertake detailed voltage assessment studies (e.g., to examine potential voltage instability, voltage control co-ordination or to calculate voltage step changes). At **The Company's** reasonable request, each **User** is required to submit the following data where not already supplied under PC.A.2.2.4 and PC.A.2.2.5:

For all circuits of the User's Subtransmission System (and any OTSUA):-

Positive Phase Sequence Reactance;

Positive Phase Sequence Resistance;

Positive Phase Sequence Susceptance;

MVAr rating of any reactive compensation equipment;

and for all transformers connecting the **User's Subtransmission System** to a lower voltage (and any **OTSUA**):

Rated MVA;

Voltage Ratio;

Positive phase sequence resistance;

Positive Phase sequence reactance;

Tap-changer range;

Number of tap steps;

Tap-changer type: on-load or off-circuit;

AVC/tap-changer time delay to first tap movement;

AVC/tap-changer inter-tap time delay;

and at the lower voltage points of those connecting transformers (and any OTSUA):-

Equivalent positive phase sequence susceptance;

MVAr rating of any reactive compensation equipment;

Equivalent positive phase sequence interconnection impedance with other lower voltage points;

The maximum **Demand** (both MW and MVAr) that could occur;

Estimate of voltage insensitive (constant power) load content in % of total load at both winter peak and 75% off-peak load conditions.

PC.A.6.6 Short Circuit Analysis

- PC.A.6.6.1 Where prospective short-circuit currents on **Transmission** equipment are greater than 90% of the equipment rating, and in **The Company's** reasonable opinion more accurate calculations of short-circuit currents are required, then at **The Company's** request each **User** is required to submit data with respect to the **Connection Site** (and in the case of **OTSUA**, each **Interface Point** and **Connection Point**), current and forecast, and where not already supplied under PC.A.2.2.4 and PC.A.2.2.5, as follows:
- PC.A.6.6.2 For all circuits of the **User's Subtransmission System** (and any **OTSUA**):

Positive phase sequence resistance;

Positive phase sequence reactance;

Positive phase sequence susceptance;

Zero phase sequence resistance (both self and mutuals);

Zero phase sequence reactance (both self and mutuals);

Zero phase sequence susceptance (both self and mutuals);

and for all transformers connecting the **User's Subtransmission System** to a lower voltage (and any **OTSUA**):

Rated MVA;

Voltage Ratio;

Positive phase sequence resistance (at max, min and nominal tap);

Positive Phase sequence reactance (at max, min and nominal tap);

Zero phase sequence reactance (at nominal tap);

Tap changer range;

Earthing method: direct, resistance or reactance;

Impedance if not directly earthed;

and at the lower voltage points of those connecting transformers (and any OTSUA):

The maximum **Demand** (in MW and MVAr) that could occur;

Short-circuit infeed data in accordance with PC.A.2.5.6 unless the **User**'s lower voltage network runs in parallel with the **User**'s **Subtransmission System**, when to prevent double counting in each node infeed data, a π equivalent comprising the data items of PC.A.2.5.6 for each node together with the positive phase sequence interconnection impedance between the nodes shall be submitted.

PC.A.6.7 Dynamic Models

- PC.A.6.7.1 It is occasionally necessary for **The Company** to evaluate the dynamic performance of **User's Plant** and **Apparatus** at each **EU Grid Supply Point** or in the case of **EU Code Users**, their **System**. At **The Company's** reasonable request and as agreed between **The Company** and the relevant **Network Operator** or **Non-Embedded Customer**, each **User** is required to provide the following data. Where such data is required, **The Company** will work with the **Network Operator** or **Non-Embedded Customer** to establish the scope of the dynamic modelling work and share the required information where it is available:-
 - (a) Dynamic model structure and block diagrams including parameters, transfer functions and individual elements (as applicable);
 - (b) Power control functions and block diagrams including parameters, transfer functions and individual elements (as applicable);
 - Voltage control functions and block diagrams including parameters, transfer functions and individual elements (as applicable);

(d) Converter control models and block diagrams including parameters, transfer functions and individual elements (as applicable).

PC.A.7 ADDITIONAL DATA FOR NEW TYPES OF POWER STATIONS, DC CONVERTER STATIONS, OTSUA AND CONFIGURATIONS

Notwithstanding the **Standard Planning Data** and **Detailed Planning Data** set out in this Appendix, as new types of configurations and operating arrangements of **Power Stations**, **HVDC Systems**, **DC Converter Stations and OTSUA** emerge in future, **The Company** may reasonably require additional data to represent correctly the performance of such **Plant** and **Apparatus** on the **System**, where the present data submissions would prove insufficient for the purpose of producing meaningful **System** studies for the relevant parties.

PART 3 - DETAILED PLANNING DATA

PC.A.8 To allow a User to model the National Electricity Transmission System, The Company will provide, upon request, the following Network Data to Users, calculated in accordance with Good Industry Practice:

To allow a **User** to assess undertaking **OTSDUW** and except where provided for in Appendix F, **The Company** will provide upon request the following **Network Data** to **Users**, calculated in accordance with **Good Industry Practice**:

PC.A.8.1 Single Point of Connection

For a **Single Point of Connection** to a **User's System** (and **OTSUA**), as an equivalent 400kV or 275kV source and also in Scotland and **Offshore** as an equivalent 132kV source, the data (as at the HV side of the **Point of Connection** (and in the case of **OTSUA**, each **Interface Point** and **Connection Point**) reflecting data given to **The Company** by **Users**) will be given to a **User** as follows:

The data items listed under the following parts of PC.A.8.3:

(a) (i), (ii), (iii), (iv), (v) and (vi)

and the data items shall be provided in accordance with the detailed provisions of PC.A.8.3 (b) - (e).

PC.A.8.2 <u>Multiple Point of Connection</u>

For a **Multiple Point of Connection** to a **User's System** equivalents suitable for use in loadflow and fault level analysis shall be provided. These equivalents will normally be in the form of a π model or extension with a source (or demand for a loadflow equivalent) at each node and a linking impedance. The boundary nodes for the equivalent shall be either at the **Connection Point** (and in the case of **OTSDUW**, each **Interface Point** and **Connection Point**) or (where **The Company** agrees) at suitable nodes (the nodes to be agreed with the **User**) within the **National Electricity Transmission System**. The data at the **Connection Point** (and in the case of **OTSDUW**, each **Interface Point** and **Connection Point** (and in the case of **OTSDUW**, each **Interface Point** and **Connection Point** (and in the case of **OTSDUW**, each **Interface Point** and **Connection Point**) will be given to a **User** as follows:

The data items listed under the following parts of PC.A.8.3:-

(a) (i), (ii), (iv), (v), (vi), (vii), (viii), (ix), (x) and (xi)

and the data items shall be provided in accordance with the detailed provisions of PC.A.8.3 (b) - (e).

When an equivalent of this form is not required **The Company** will not provide the data items listed under the following parts of PC.A.8.3:-

(a) (vii), (viii), (ix), (x) and (xi)

PC.A.8.3 Data Items

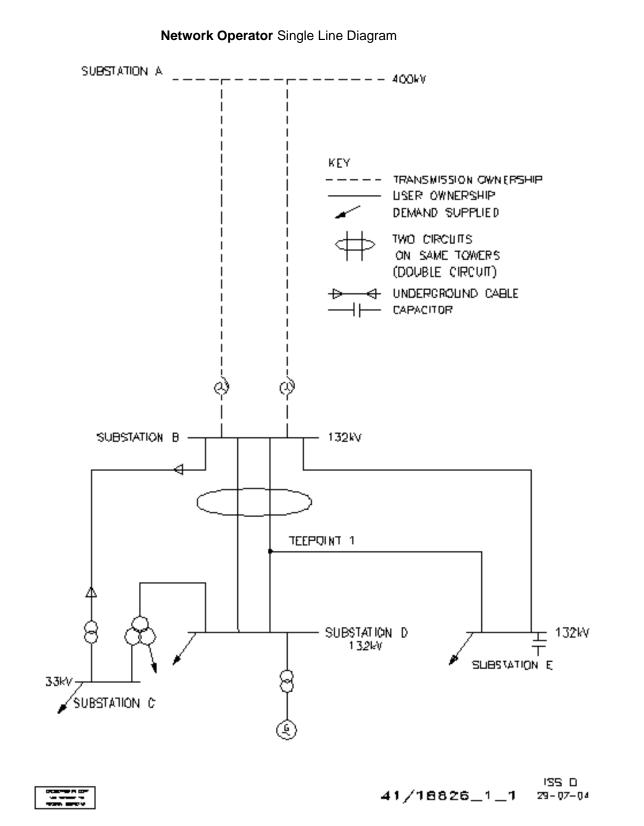
- (a) The following is a list of data utilised in this part of the **PC**. It also contains rules on the data which generally apply.
 - (i) symmetrical three-phase short circuit current infeed at the instant of fault from the **National Electricity Transmission System**, (I1");
 - symmetrical three-phase short circuit current from the National Electricity Transmission System after the subtransient fault current contribution has substantially decayed, (I₁');
 - (iii) the zero sequence source resistance and reactance values at the Point of Connection (and in case of OTSUA, each Interface Point and Connection Point), consistent with the maximum infeed below;
 - (iv) the pre-fault voltage magnitude at which the maximum fault currents were calculated;
 - (v) the positive sequence X/R ratio at the instant of fault;

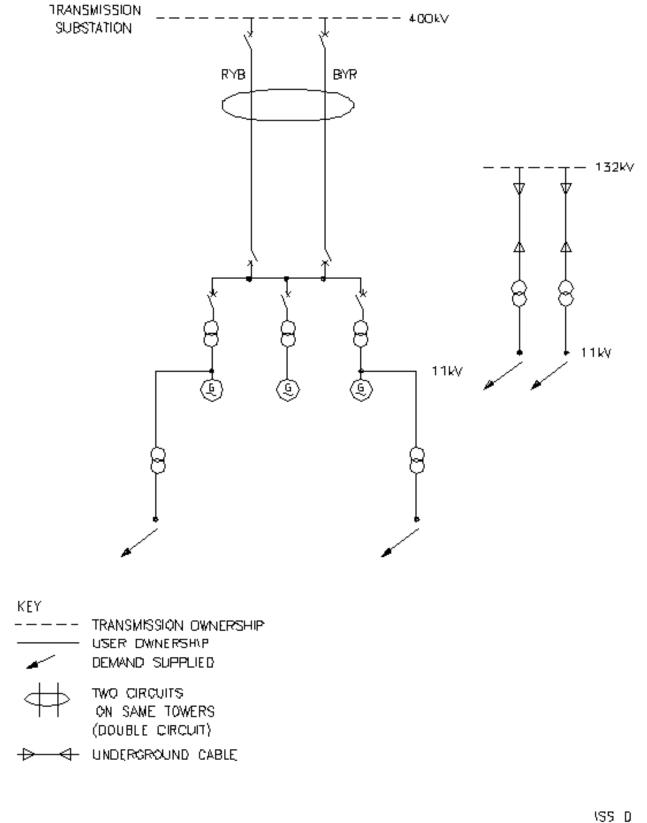
- (vi) the negative sequence resistance and reactance values of the National Electricity Transmission System seen from the (Point of Connection and in case of OTSUA, each Interface Point and Connection Point), if substantially different from the values of positive sequence resistance and reactance which would be derived from the data provided above;
- (vii) the initial positive sequence resistance and reactance values of the two (or more) sources and the linking impedance(s) derived from a fault study constituting the (π) equivalent and evaluated without the **User** network and load and where appropriate without elements of the **National Electricity Transmission System** between the **User** network and agreed boundary nodes (and in case of **OTSUA**, each **Interface Point** and **Connection Point**);
- (viii) the positive sequence resistance and reactance values of the two (or more) sources and the linking impendence(s) derived from a fault study, considering the short circuit current contributions after the subtransient fault current contribution has substantially decayed, constituting the (π) equivalent and evaluated without the **User** network and load, and where appropriate without elements of the **National Electricity Transmission System** between the **User** network and agreed boundary nodes (and in case of **OTSUA**, each **Interface Point** and **Connection Point**);
- (ix) the corresponding zero sequence impedance values of the (π) equivalent produced for use in fault level analysis;
- (x) the **Demand** and voltage at the boundary nodes and the positive sequence resistance and reactance values of the linking impedance(s) derived from a loadflow study considering **National Electricity Transmission System** peak **Demand** constituting the (π) loadflow equivalent; and,
- (xi) where the agreed boundary nodes are not at a Connection Point (and in case of OTSUA, Interface Point or Connection Point), the positive sequence and zero sequence impedances of all elements of the National Electricity Transmission System between the User network and agreed boundary nodes that are not included in the equivalent (and in case of OTSUA, each Interface Point and Connection Point).
- (b) To enable the model to be constructed, **The Company** will provide data based on the following conditions.
- (c) The initial symmetrical three phase short circuit current and the transient period three phase short circuit current will normally be derived from the fixed impedance studies. The latter value should be taken as applying at times of 120ms and longer. Shorter values may be interpolated using a value for the subtransient time constant of 40ms. These fault currents will be obtained from a full **System** study based on load flow analysis that takes into account any existing flow across the point of connection being considered.
- (d) Since the equivalent will be produced for the 400kV or 275kV and also in Scotland and Offshore132kV parts of the National Electricity Transmission System The Company will provide the appropriate supergrid transformer data.
- (e) The positive sequence X/R ratio and the zero sequence impedance value will correspond to The Company's source network only, that is with the section of network if any with which the equivalent is to be used excluded. These impedance values will be derived from the condition when all Generating Units (including Synchronous Generating Units forming part of a Synchronous Power Generating Module) are Synchronised to the National Electricity Transmission System or a User's System and will take account of active sources only including any contribution from the load to the fault current. The passive component of the load itself or other system shunt impedances should not be included.

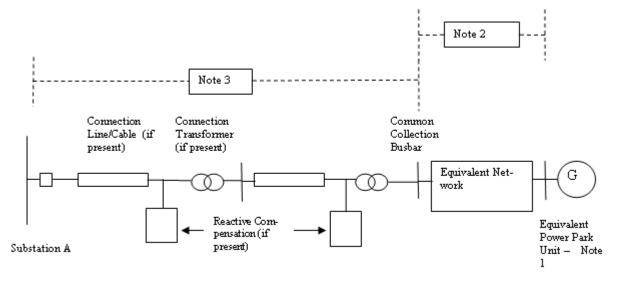
(f) A User may at any time, in writing, specifically request for an equivalent to be prepared for an alternative System condition, for example where the User's System peak does not correspond to the National Electricity Transmission System peak, and The Company will, insofar as such request is reasonable, provide the information as soon as reasonably practicable following the request.

APPENDIX B - SINGLE LINE DIAGRAMS

PC.B.1 The diagrams below show three examples of single line diagrams, showing the detail that should be incorporated in the diagram. The first example is for an **Network Operator** connection, the second for a **Generator** connection, the third for a **Power Park Module** electrically equivalent system.







Notes:

- (1) The electrically equivalent Power Park Unit consists of a number of actual Power Park Units of the same type ie. any equipment external to the Power Park Unit terminals is considered as part of the Equivalent Network. Power Park Units of different types shall be included in separate electrically equivalent Power Park Units. The total number of equivalent Power Park Units shall represent all of the actual Power Park Units in the Power Park Module (which could be a DC Connected Power Park Module).
- (2) Separate electrically equivalent networks are required for each different type of electrically equivalent **Power Park Unit**. The electrically equivalent network shall include all equipment between the **Power Park Unit** terminals and the **Common Collection Busbar**.
- (3) All **Plant** and **Apparatus** including the circuit breakers, transformers, lines, cables and reactive compensation plant between the **Common Collection Busbar** and Substation A shall be shown.

APPENDIX C - TECHNICAL AND DESIGN CRITERIA

- PC.C.1 Planning and design of the **SPT** and **SHETL Transmission Systems** is based generally, but not totally, on criteria which evolved from joint consultation among various **Transmission** Licensees responsible for design of the **National Electricity Transmission System**.
- PC.C.2 The above criteria are set down within the standards, memoranda, recommendations and reports and are provided as a guide to system planning. It should be noted that each scheme for reinforcement or modification of the **Transmission System** is individually designed in the light of economic and technical factors associated with the particular system limitations under consideration.
- PC.C.3 The tables below identify the literature referred to above, together with the main topics considered within each document.

ITEM No.	DOCUMENT	REFERENCE No.
1	National Electricity Transmission System Security and	Version []
	Quality of Supply Standard	
2	System Phasing	TPS 13/4
3	Not used	
4	Planning Limits for Voltage Fluctuations Caused by Industrial,	ER P28
	Commercial and Domestic Equipment in the United Kingdom	
5	EHV or HV Supplies to Induction Furnaces	ER P16
		(Supported by
	Voltage unbalance limits.	ACE Report
	Harmonic current limits.	No.48)
6	Planning Levels for Harmonic Voltage Distortion and the	ER G5/4
	Connection of Non-Linear Loads to Transmission Systems	(Supported by
	and Public Electricity Supply Systems in the United Kingdom	ACE Report
		No.73)
	Harmonic distortion (waveform).	
	Harmonic voltage distortion.	
	Harmonic current distortion.	
	Stage 1 limits.	
	Stage 2 limits.	
	Stage 3 Limits	
	Addition of Harmonics	
	Short Duration Harmonics	
	Site Measurements	
7	AC Traction Supplies to British Rail	ER P24
	Type of supply point to railway system.	
	Estimation of traction loads.	
	Nature of traction current.	
	System disturbance estimation.	
	Earthing arrangements.	

PART 1 – SHETL'S TECHNICAL AND DESIGN CRITERIA

ITEM No.	DOCUMENT	REFERENCE No.
8	Operational Memoranda	(SOM)
	Main System operating procedure.	SOM 1
	Operational standards of security.	SOM 3
	Voltage and reactive control on main system.	SOM 4
	System warnings and procedures for instructed load reduction.	SOM 7
	Continuous tape recording of system control telephone messages and instructions.	SOM 10
	Emergency action in the event of an exceptionally serious breakdown of the main system.	SOM 15
9	Planning Limits for Voltage Unbalance in the United Kingdom.	ER P29

ITEM No.	DOCUMENT	REFERENCE
_		No.
1	National Electricity Transmission System Security and Quality of Supply Standard	Version []
2	System Phasing	TDM 13/10,002
		Issue 4
3	Not used	
4	Planning Limits for Voltage Fluctuations Caused by Industrial, Commercial and Domestic Equipment in the United Kingdom	ER P28
5	EHV or HV Supplies to Induction Furnaces	ER P16
	Voltage Unbalance limits. Harmonic current limits.	(Supported by ACE Report No.48)
6	Planning Levels for Harmonic Voltage Distortion and the Connection of Non-Linear Loads to Transmission Systems and Public Electricity Supply Systems in the United Kingdom Harmonic distortion (waveform). Harmonic voltage distortion. Harmonic current distortion. Stage 1 limits. Stage 2 limits. Addition of Harmonics Short Duration Harmonics Site Measurements	ER G5/4 (Supported by ACE Report No.73)
7	AC Traction Supplies to British Rail Type of supply point to railway system. Estimation of traction loads. Nature of traction current. System disturbance estimation. Earthing arrangements.	ER P24

PART 2 - SPT'S TECHNICAL AND DESIGN CRITERIA

APPENDIX D - DATA NOT DISCLOSED TO A RELEVANT TRANSMISSION LICENSEE

PC.D.1 Pursuant to PC.3.4, **The Company** will not disclose to a **Relevant Transmission Licensee** data items specified in the below extract:

PC REFERENCE	DATA DESCRIPTION	UNITS	DATA CATEGORY
PC.A.3.2.2 (f) (i)	(i) For GB Code Users		SPD
	The Generator Performance Chart at the Generating Unit stator terminals		
	(ii) For EU Code Users:-		
	The Power Generating Module Performance Chart , and Synchronous Generating Unit Performance Chart;		
PC.A.3.2.2 (b)	Output Usable (on a monthly basis)	MW	SPD
PC.A.5.3.2 (d) Option 1 (iii)	GOVERNOR AND ASSOCIATED PRIME MOVER PARAMETERS		
	Option 1		
	BOILER & STEAM TURBINE DATA		
	Boiler time constant (Stored Active Energy)	S	DPD II
	HP turbine response ratio: (Proportion of Primary Response arising from HP turbine)	%	DPD II
	HP turbine response ratio: (Proportion of High Frequency Response arising from HP turbine)	%	DPD II
Part of	Option 2		
PC.A.5.3.2 (d) Option 2 (i)	All Generating Units (including Synchronous Generating Units forming part of a Synchronous Power Generating Module)		
	Governor Deadband and Governor Insensitivity*		
	- Maximum Setting	±Hz	DPD II
	- Normal Setting	±Hz	DPD II
	- Minimum Setting	±Hz	DPD II
	(Note Generators who are not required to satisfy the requirements of the European Connection Conditions do not need to supply Governor Insensitivity data).		
Part of PC.A.5.3.2 (d) Option 2 (ii)	Steam Units		
	Reheater Time Constant	sec	DPD II
	Boiler Time Constant	sec	DPD II
	HP Power Fraction	%	DPD II

PC REFERENCE	DATA DESCRIPTION	UNITS	DATA CATEGORY
	IP Power Fraction	%	DPD II
Part of PC.A.5.3.2 (d) Option 2 (iii)	Gas Turbine Units Waste Heat Recovery Boiler Time Constant		
Part of PC.A.5.3.2 (e)	UNIT CONTROL OPTIONS		
	Maximum droop	%	DPD II
	Minimum droop	%	DPD II
	Maximum frequency Governor Deadband and Governor Insensitivity*	±Hz	DPD II
	Normal frequency Governor Deadband and Governor Insensitivity*	±Hz	DPD II
	Minimum frequency Governor Deadband and Governor Insensitivity*	±Hz	DPD II
	Maximum Output Governor Deadband and Governor Insensitivity*	±MW	DPD II
	Normal Output Governor Deadband and Governor Insensitivity*	±MW	DPD II
	Minimum Output Governor Deadband and Governor Insensitivity*	±MW	DPD II
	(Note Generators who are not required to satisfy the requirements of the European Connection Conditions do not need to supply Governor Insensitivity data).		
	Frequency settings between which Unit Load Controller droop applies:		
	Maximum	Hz	DPD II
	Normal	Hz	DPD II
	Minimum	Hz	DPD II
	Sustained response normally selected	Yes/No	DPD II
PC.A.3.2.2 (f) (ii)	Performance Chart of a Power Park Modules (including DC Connected Power Park Modules) at the connection point		SPD
PC.A.3.2.2 (b)	Output Usable (on a monthly basis)	MW	SPD
PC.A.3.2.2 (e) and (j)	DC CONVERTER STATION AND HVDC SYSTEM DATA		
	ACTIVE POWER TRANSFER CAPABILITY (PC.A.3.2.2)		
	Import MW available in excess of Registered Import Capacity .	MW	SPD
	Time duration for which MW in excess of Registered Import Capacity is available	Min	SPD
	Export MW available in excess of Registered Capacity .	MW	SPD

PC REFERENCE	DATA DESCRIPTION	UNITS	DATA CATEGORY
	Time duration for which MW in excess of Registered Capacity is available	Min	SPD
Part of PC.A.5.4.3.3	LOADING PARAMETERS		
	MW Export		
	Nominal loading rate	MW/s	DPD I
	Maximum (emergency) loading rate	MW/s	DPD I
	MW Import		
	Nominal loading rate	MW/s	DPD I
	Maximum (emergency) loading rate	MW/s	DPD I

APPENDIX E - OFFSHORE TRANSMISSION SYSTEM AND OTSDUW PLANT AND APPARATUS TECHNICAL AND DESIGN CRITERIA

- PC.E.1 In the absence of any relevant **Electrical Standards**, **Offshore Transmission Licensees** and **Generators** undertaking **OTSDUW** are required to ensure that all equipment used in the construction of their network is:
 - (i) Fully compliant and suitably designed to any relevant **Technical Specification**;
 - (ii) Suitable for use and operation in an Offshore environment, where such parts of the Offshore Transmission System and OTSDUW Plant and Apparatus are located in Offshore Waters and are not installed in an area that is protected from that Offshore environment, and
 - (iii) Compatible with any relevant Electrical Standards or Technical Specifications at the Offshore Grid Entry Point and Interface Point.
- PC.E.2 The table below identifies the technical and design criteria that will be used in the design and development of an **Offshore Transmission System** and **OTSDUW Plant and Apparatus**.

ITEM No.	DOCUMENT	REFERENCE No.
1	National Electricity Transmission System Security and Quality of	Version []
	Supply Standard	
2*	Planning Limits for Voltage Fluctuations Caused by Industrial,	ER P28
	Commercial and Domestic Equipment in the United Kingdom	
3*	Planning Levels for Harmonic Voltage Distortion and the Connection	ER G5/4
	of Non-Linear Loads to Transmission Systems and Public Electricity	
	Supply Systems in the United Kingdom	
4*	Planning Limits for Voltage Unbalance in the United Kingdom	ER P29

* Note:- Items 2, 3 and 4 above shall only apply at the Interface Point.

APPENDIX F - OTSDUW DATA AND INFORMATION AND OTSDUW NETWORK DATA AND INFORMATION

- PC.F.1 Introduction
- PC.F.1.1 Appendix F specifies data requirements to be submitted to **The Company** by **Users** and **Users** by **The Company** in respect of **OTSDUW**.
- PC.F.1.2 Such User submissions shall be in accordance with the OTSDUW Development and Data Timetable in a Construction Agreement.
- PC.F.1.3 Such The Company submissions shall be issued with the offer of a CUSC Contract in the case of the data in Part 1 and otherwise in accordance with the OTSDUW Development and Data Timetable in a Construction Agreement.
- PC.F.2. OTSDUW Network Data and Information
- PC.F.2.1 With the offer of a **CUSC Contract** under the **OTSDUW Arrangements The Company** shall provide:
 - (a) the site specific technical design and operational criteria for the Connection Site;
 - (b) the site specific technical design and operational criteria for the Interface Point, and
 - (c) details of The Company's preliminary identification and consideration of the options available for the Interface Point in the context of the User's application for connection or modification, the preliminary costs used by The Company in assessing such options and the Offshore Works Assumptions including the assumed Interface Point identified during these preliminary considerations.
- PC.F.2.2 In accordance with the OTSDUW Development and Data Timetable in a Construction Agreement The Company shall provide the following information and data to a User:
 - (a) equivalent of the fault infeed or fault level ratings at the Interface Point (as identified in the **Offshore Works Assumptions**)
 - (b) notification of numbering and nomenclature of the **HV Apparatus** comprised in the **OTSDUW**;
 - (i) past or present physical properties, including both actual and designed physical properties, of Plant and Apparatus forming part of the National Electricity Transmission System at the Interface Point at which the OTSUA will be connected to the extent it is required for the design and construction of the OTSDUW, including but not limited to:
 - (ii) the voltage of any part of such **Plant** and **Apparatus**;
 - (iii) the electrical current flowing in or over such Plant and Apparatus;
 - (iv) the configuration of any part of such **Plant** and **Apparatus**
 - (v) the temperature of any part of such **Plant** and **Apparatus**;
 - (vi) the pressure of any fluid forming part of such Plant and Apparatus
 - (vii) the electromagnetic properties of such Plant and Apparatus; and
 - (viii) the technical specifications, settings or operation of any **Protection Systems** forming part of such **Plant** and **Apparatus**.
 - (c) information necessary to enable the **User** to harmonise the **OTSDUW** with construction works elsewhere on the **National Electricity Transmission System** that could affect the **OTSDUW**
 - (d) information related to the current or future configuration of any circuits of the **Onshore Transmission System** with which the **OTSUA** are to connect;
 - (e) any changes which are planned on the National Electricity Transmission System in the current or following six Financial Years and which will materially affect the planning or development of the OTSDUW.

- PC.F.2.3 At the **User's** reasonable request additional information and data in respect of the **National Electricity Transmission System** shall be provided.
- PC.F.2.4 OTSDUW Data And Information
- PC.F.2.4.1 In accordance with the OTSDUW Development and Data Timetable in a Construction Agreement the User shall provide to The Company the following information and data relating to the OTSDUW Plant and Apparatus in accordance with Appendix A of the Planning Code.

< END OF PLANNING CODE >

CONNECTION CONDITIONS

(CC)

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(This contents page does not form part of the Grid Code)

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CC.1 INTRODUCTION

- CC.1.1 The **Connection Conditions** ("**CC**") specify both:
 - (a) the minimum technical, design and operational criteria which must be complied with by:
 - (i) any **GB Code User** connected to or seeking connection with the **National Electricity Transmission System**, or
 - (ii) GB Code Users in respect of GB Generators (other than in respect of Small Power Stations) or GB Code User's in respect of DC Converter Station owners connected to or seeking connection to a User's System which is located in Great Britain or Offshore, and
 - (b) the minimum technical, design and operational criteria with which The Company will comply in relation to the part of the National Electricity Transmission System at the Connection Site with GB Code Users. In the case of any OTSDUW Plant and Apparatus, the CC also specify the minimum technical, design and operational criteria which must be complied with by those GB Code Users when undertaking OTSDUW.
 - (c) For the avoidance of doubt, the requirements of these **CC's** do not apply to **EU Code User's** for whom the requirements of the **ECC's** shall apply.

CC.2 <u>OBJECTIVE</u>

- CC.2.1 The objective of the CC is to ensure that by specifying minimum technical, design and operational criteria the basic rules for connection to the National Electricity Transmission System and (for certain GB Code Users) to a User's System are similar for all GB Code Users of an equivalent category and will enable The Company to comply with its statutory and Transmission Licence obligations.
- CC.2.2 In the case of any **OTSDUW** the objective of the **CC** is to ensure that by specifying the minimum technical, design and operational criteria the basic rules relating to an **Offshore Transmission System** designed and constructed by an **Offshore Transmission Licensee** and designed and/or constructed by an **GB Code User** under the **OTSDUW Arrangements** are equivalent.
- CC.2.3 Provisions of the CC which apply in relation to OTSDUW and OTSUA, and/or a Transmission Interface Site, shall (in any particular case) apply up to the OTSUA Transfer Time, whereupon such provisions shall (without prejudice to any prior non-compliance) cease to apply, without prejudice to the continuing application of provisions of the CC applying in relation to the relevant Offshore Transmission System and/or Connection Site. It is the case therefore that in cases where the OTSUA become operational prior to the OTSUA Transfer Time that a GB Generator is required to comply with this CC both as it applies to its Plant and Apparatus at a Connection Site\Connection Point and the OTSUA at the Transmission Interface Site/Transmission Interface Point until the OTSUA Transfer Time and this CC shall be construed accordingly.
- CC.2.4 In relation to OTSDUW, provisions otherwise to be contained in a Bilateral Agreement may be contained in the Construction Agreement, and accordingly a reference in the CC to a relevant Bilateral Agreement includes the relevant Construction Agreement.

CC.3 <u>SCOPE</u>

- CC.3.1 The CC applies to The Company and to GB Code Users, which in the CC means:
 - (a) **GB Generators** (other than those which only have **Embedded Small Power Stations**), including those undertaking **OTSDUW**;
 - (b) Network Operators;
 - (c) Non-Embedded Customers;

- (d) DC Converter Station owners; and
- (e) **BM Participants** and **Externally Interconnected System Operators** in respect of CC.6.5 only.
- CC.3.2 The above categories of **GB Code User** will become bound by the **CC** prior to them generating, distributing, supplying or consuming, as the case may be, and references to the various categories should, therefore, be taken as referring to them in that prospective role as well as to **GB Code Users** actually connected.
- CC.3.3 Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded DC Converter Stations not subject to a Bilateral Agreement Provisions.

The following provisions apply in respect of **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations** not subject to a **Bilateral Agreement**.

- CC.3.3.1 The obligations within the CC that are expressed to be applicable to GB Generators in respect of Embedded Medium Power Stations not subject to a Bilateral Agreement and DC Converter Station Owners in respect of Embedded DC Converter Stations not subject to a Bilateral Agreement (where the obligations are in each case listed in CC.3.3.2) shall be read and construed as obligations that the Network Operator within whose System any such Medium Power Station or DC Converter Station is Embedded must ensure are performed and discharged by the GB Generator or the DC Converter Station owner. Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded DC Converter Station subject to a Bilateral Agreement and Embedded DC Converter Stations not subject to a Bilateral Agreement which are located Offshore and which are connected to an Onshore GB Code Users System will be required to meet the applicable requirements of the Grid Code as though they are an Onshore GB Generator or Onshore DC Converter Station Owner connected to an Onshore User System Entry Point.
- CC.3.3.2 The Network Operator within whose System a Medium Power Station not subject to a Bilateral Agreement is Embedded or a DC Converter Station not subject to a Bilateral Agreement is Embedded must ensure that the following obligations in the CC are performed and discharged by the GB Generator in respect of each such Embedded Medium Power Station or the DC Converter Station owner in the case of an Embedded DC Converter Station:
 - CC.5.1
 - CC.5.2.2
 - CC.5.3
 - CC.6.1.3
 - CC.6.1.5 (b)

CC.6.3.2, CC.6.3.3, CC.6.3.4, CC.6.3.6, CC.6.3.7, CC.6.3.8, CC.6.3.9, CC.6.3.10, CC.6.3.12, CC.6.3.13, CC.6.3.15, CC.6.3.16

CC.6.4.4

CC.6.5.6 (where required by CC.6.4.4)

In respect of CC.6.2.2.2, CC.6.2.2.3, CC.6.2.2.5, CC.6.1.5(a), CC.6.1.5(b) and CC.6.3.11 equivalent provisions as co-ordinated and agreed with the **Network Operator** and **GB Generator** or **DC Converter Station** owner may be required. Details of any such requirements will be notified to the **Network Operator** in accordance with CC.3.5.

CC.3.3.3 In the case of **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations** not subject to a **Bilateral Agreement** the requirements in: CC.6.1.6 CC.6.3.8 CC.6.3.12 CC.6.3.15 CC.6.3.16

that would otherwise have been specified in a **Bilateral Agreement** will be notified to the relevant **Network Operator** in writing in accordance with the provisions of the **CUSC** and the **Network Operator** must ensure such requirements are performed and discharged by the **GB Generator** or the **DC Converter Station** owner.

- CC.3.4 In the case of Offshore Embedded Power Stations connected to an Offshore GB Code User's System which directly connects to an Offshore Transmission System, any additional requirements in respect of such Offshore Embedded Power Stations may be specified in the relevant Bilateral Agreement with the Network Operator or in any Bilateral Agreement between The Company and such Offshore Embedded Power Station.
- CC.3.5 In the case of a **GB Generator** undertaking **OTSDUW** connecting to an **Onshore Network Operator's System**, any additional requirements in respect of such **OTSDUW Plant and Apparatus** will be specified in the relevant **Bilateral Agreement** with the **GB Generator**. For the avoidance of doubt, requirements applicable to **GB Generators** undertaking **OTSDUW** and connecting to a **Network Operator's User System**, shall be consistent with those applicable requirements of **GB Generators** undertaking **OTSDUW** and connecting to a **Transmission Interface Point**.

CC.4 <u>PROCEDURE</u>

CC.4.1 The **CUSC** contains certain provisions relating to the procedure for connection to the **National Electricity Transmission System** or, in the case of **Embedded Power Stations** or **Embedded DC Converter Stations**, becoming operational and includes provisions relating to certain conditions to be complied with by **GB Code Users** prior to and during the course of **The Company** notifying the **GB Code User** that it has the right to become operational. The procedure for a **GB Code User** to become connected is set out in the **Compliance Processes**.

CC.5 <u>CONNECTION</u>

- CC.5.1 The provisions relating to connecting to the National Electricity Transmission System (or to a User's System in the case of a connection of an Embedded Large Power Station or Embedded Medium Power Station or Embedded DC Converter Station) are contained in:
 - (a) the CUSC and/or CUSC Contract (or in the relevant application form or offer for a CUSC Contract);
 - (b) or, in the case of an Embedded Development, the relevant Distribution Code and/or the Embedded Development Agreement for the connection (or in the relevant application form or offer for an Embedded Development Agreement),

and include provisions relating to both the submission of information and reports relating to compliance with the relevant **Connection Conditions** for that **GB Code User**, **Safety Rules**, commissioning programmes, **Operation Diagrams** and approval to connect (and their equivalents in the case of **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** or **Embedded DC Converter Stations** not subject to a **Bilateral Agreement**). References in the **CC** to the "**Bilateral Agreement**" and/or "**Construction Agreement**" and/or "**Embedded Development Agreement**" shall be deemed to include references to the application form or offer therefor.

CC.5.2 Items For Submission

- CC.5.2.1 Prior to the **Completion Date** (or, where the **GB Generator** is undertaking **OTSDUW**, any later date specified) under the **Bilateral Agreement** and/or **Construction Agreement**, the following is submitted pursuant to the terms of the **Bilateral Agreement** and/or **Construction Agreement**:
 - (a) updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand, pursuant to the requirements of the Planning Code;
 - (b) details of the **Protection** arrangements and settings referred to in CC.6;
 - (c) copies of all Safety Rules and Local Safety Instructions applicable at Users' Sites which will be used at the Transmission/User interface (which, for the purpose of OC8, must be to The Company's satisfaction regarding the procedures for Isolation and Earthing. The Company will consult the Relevant Transmission Licensee when determining whether the procedures for Isolation and Earthing are satisfactory);
 - (d) information to enable the preparation of the **Site Responsibility Schedules** on the basis of the provisions set out in Appendix 1;
 - (e) an **Operation Diagram** for all **HV Apparatus** on the **User** side of the **Connection Point** as described in CC.7;
 - (f) the proposed name of the **User Site** (which shall not be the same as, or confusingly similar to, the name of any **Transmission Site** or of any other **User Site**);
 - (g) written confirmation that **Safety Co-ordinators** acting on behalf of the **User** are authorised and competent pursuant to the requirements of **OC8**;
 - (h) Such **RISSP** prefixes pursuant to the requirements of **OC8**. Prefixes shall be circulated utilising a proforma in accordance with **OC8**;
 - a list of the telephone numbers for Joint System Incidents at which senior management representatives nominated for the purpose can be contacted and confirmation that they are fully authorised to make binding decisions on behalf of the User, pursuant to OC9;
 - (j) a list of managers who have been duly authorised to sign **Site Responsibility Schedules** on behalf of the **User**;
 - (k) information to enable the preparation of the Site Common Drawings as described in CC.7;
 - (I) a list of the telephone numbers for the **Users** facsimile machines referred to in CC.6.5.9; and
 - (m) for Sites in Scotland and Offshore a list of persons appointed by the User to undertake operational duties on the User's System (including any OTSDUW prior to the OTSUA Transfer Time) and to issue and receive operational messages and instructions in relation to the User's System (including any OTSDUW prior to the OTSUA Transfer Time); and an appointed person or persons responsible for the maintenance and testing of User's Plant and Apparatus.
- CC.5.2.2 Prior to the **Completion Date** the following must be submitted to **The Company** by the **Network Operator** in respect of an **Embedded Development**:
 - (a) updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand, pursuant to the requirements of the Planning Code;

- (b) details of the Protection arrangements and settings referred to in CC.6;
- (c) the proposed name of the Embedded Medium Power Station or Embedded DC Converter Station Site (which shall be agreed with The Company unless it is the same as, or confusingly similar to, the name of other Transmission Site or User Site);

CC.5.2.3 Prior to the **Completion Date** contained within an **Offshore Transmission Distribution Connection Agreement** the following must be submitted to **The Company** by the **Network Operator** in respect of a proposed new **Interface Point** within its **User System**:

- (a) updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand, pursuant to the requirements of the Planning Code;
- (b) details of the **Protection** arrangements and settings referred to in CC.6;
- (c) the proposed name of the **Interface Point** (which shall not be the same as, or confusingly similar to, the name of any **Transmission Site** or of any other **User Site**);
- CC.5.2.4 In the case of **OTSDUW Plant and Apparatus** (in addition to items under CC.5.2.1 in respect of the **Connection Site**), prior to the **Completion Date** (or any later date specified) under the **Construction Agreement** the following must be submitted to **The Company** by the **GB Code User** in respect of the proposed new **Connection Point** and **Interface Point**:
 - (a) updated Planning Code data (Standard Planning Data, Detailed Planning Data and OTSDUW Data and Information), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand, pursuant to the requirements of the Planning Code;
 - (b) details of the **Protection** arrangements and settings referred to in CC.6;
 - (c) information to enable preparation of the **Site Responsibility Schedules** at the **Transmission Interface Site** on the basis of the provisions set out in Appendix 1.
 - (d) the proposed name of the **Interface Point** (which shall not be the same as, or confusingly similar to, the name of any **Transmission Site** or of any other **User Site**);
 - (a) Of the items CC.5.2.1 (c), (e), (g), (h), (k) and (m) need not be supplied in respect of **Embedded Power Stations** or **Embedded DC Converter Stations**,
 - (b) item CC.5.2.1(i) need not be supplied in respect of **Embedded Small Power Stations** and **Embedded Medium Power Stations** or **Embedded DC Converter Stations** with a **Registered Capacity** of less than 100MW, and
 - (c) items CC.5.2.1(d) and (j) are only needed in the case where the **Embedded Power** Station or the **Embedded DC Converter Station** is within a **Connection Site** with another **User**.

CC.6 TECHNICAL, DESIGN AND OPERATIONAL CRITERIA

- CC.6.1 National Electricity Transmission System Performance Characteristics
- CC.6.1.1 The Company shall ensure that, subject as provided in the Grid Code, the National Electricity Transmission System complies with the following technical, design and operational criteria in relation to the part of the National Electricity Transmission System at the Connection Site with a GB Code User and in the case of OTSDUW Plant and Apparatus, a Transmission Interface Point (unless otherwise specified in CC.6) although in relation to operational criteria The Company may be unable (and will not be required) to comply with this obligation to the extent that there are insufficient Power Stations or User Systems are not available or Users do not comply with The Company's instructions or otherwise do not comply with the Grid Code and each GB Code User shall ensure that its Plant and Apparatus complies with the criteria set out in CC.6.1.5.

CC.5.3

Grid Frequency Variations

- CC.6.1.2 The **Frequency** of the **National Electricity Transmission System** shall be nominally 50Hz and shall be controlled within the limits of 49.5 50.5Hz unless exceptional circumstances prevail.
- CC.6.1.3 The **System Frequency** could rise to 52Hz or fall to 47Hz in exceptional circumstances. Design of **GB Code User's Plant** and **Apparatus** and **OTSDUW Plant and Apparatus** must enable operation of that **Plant** and **Apparatus** within that range in accordance with the following:

Frequency Range	Requirement
51.5Hz - 52Hz	Operation for a period of at least 15 minutes is required
	each time the Frequency is above 51.5Hz.
51Hz - 51.5Hz	Operation for a period of at least 90 minutes is required
	each time the Frequency is above 51Hz.
49.0Hz - 51Hz	Continuous operation is required
47.5Hz - 49.0Hz	Operation for a period of at least 90 minutes is required
	each time the Frequency is below 49.0Hz.
47Hz - 47.5Hz	Operation for a period of at least 20 seconds is required each time the Frequency is below 47.5Hz.

For the avoidance of doubt, disconnection, by frequency or speed based relays is not permitted within the frequency range 47.5Hz to 51.5Hz, unless agreed with **The Company** in accordance with CC.6.3.12.

Grid Voltage Variations

CC.6.1.4 Subject as provided below, the voltage on the 400kV part of the National Electricity Transmission System at each Connection Site with a GB Code User (and in the case of OTSDUW Plant and Apparatus, a Transmission Interface Point) will normally remain within ±5% of the nominal value unless abnormal conditions prevail. The minimum voltage is -10% and the maximum voltage is +10% unless abnormal conditions prevail, but voltages between +5% and +10% will not last longer than 15 minutes unless abnormal conditions prevail. Voltages on the 275kV and 132kV parts of the National Electricity Transmission System at each Connection Site with a User (and in the case of OTSDUW Plant and Apparatus, a Transmission Interface Point) will normally remain within the limits ±10% of the nominal value unless abnormal conditions prevail. At nominal System voltages below 132kV the voltage of the National Electricity Transmission System at each Connection Site with a User (and in the case of OTSDUW Plant and Apparatus, a Transmission **Interface Point)** will normally remain within the limits $\pm 6\%$ of the nominal value unless abnormal conditions prevail. Under fault conditions, voltage may collapse transiently to zero at the point of fault until the fault is cleared. The normal operating ranges of the National Electricity Transmission System are summarised below:

National Electricity Transmission System	Normal Operating Range
Nominal Voltage	
400kV	400kV ±5%
275kV	275kV ±10%
132kV	132kV ±10%

The Company and a GB Code User may agree greater or lesser variations in voltage to those set out above in relation to a particular Connection Site, and insofar as a greater or lesser variation is agreed, the relevant figure set out above shall, in relation to that GB Code User at the particular Connection Site, be replaced by the figure agreed.

Voltage Waveform Quality

- CC.6.1.5 All **Plant** and **Apparatus** connected to the **National Electricity Transmission System**, and that part of the **National Electricity Transmission System** at each **Connection Site** or, in the case of **OTSDUW Plant and Apparatus**, at each **Interface Point**, should be capable of withstanding the following distortions of the voltage waveform in respect of harmonic content and phase unbalance:
 - (a) Harmonic Content

The Electromagnetic Compatibility Levels for harmonic distortion on the Onshore Transmission System from all sources under both Planned Outage and fault outage conditions, (unless abnormal conditions prevail) shall comply with the levels shown in the tables of Appendix A of Engineering Recommendation G5/4. The Electromagnetic Compatibility Levels for harmonic distortion on an Offshore Transmission System will be defined in relevant Bilateral Agreements.

Engineering Recommendation G5/4 contains planning criteria which The Company will apply to the connection of non-linear Load to the National Electricity Transmission System, which may result in harmonic emission limits being specified for these Loads in the relevant Bilateral Agreement. The application of the planning criteria will take into account the position of GB Code and EU Code Users' Plant and Apparatus (and OTSDUW Plant and Apparatus) in relation to harmonic emissions. GB Code Users must ensure that connection of distorting loads to their User Systems do not cause any harmonic emission limits specified in the Bilateral Agreement, or where no such limits are specified, the relevant planning levels specified in Engineering Recommendation G5/4 to be exceeded.

(b) Phase Unbalance

Under Planned Outage conditions, the weekly 95 percentile of Phase (Voltage) Unbalance, calculated in accordance with IEC 61000-4-30 and IEC 61000-3-13, on the National Electricity Transmission System for voltages above 150kV should remain, in England and Wales, below 1.5%, and in Scotland, below 2%, and for voltages of 150kV and below, across GB below 2%, unless abnormal conditions prevail and Offshore (or in the case of OTSDUW, OTSDUW Plant and Apparatus) will be defined in relevant Bilateral Agreements.

The Phase Unbalance is calculated from the ratio of root mean square (rms) of negative phase sequence voltage to rms of positive phase sequence voltage, based on 10-minute average values, in accordance with IEC 61000-4-30.

CC.6.1.6 Across GB, under the **Planned Outage** conditions stated in CC.6.1.5(b) infrequent short duration peaks with a maximum value of 2% are permitted for **Phase (Voltage) Unbalance**, for voltages above 150kV, subject to the prior agreement of **The Company** under the **Bilateral Agreement** and in relation to **OTSDUW**, the **Construction Agreement**. **The Company** will only agree following a specific assessment of the impact of these levels on **Transmission Apparatus** and other **Users Apparatus** with which it is satisfied.

Voltage Fluctuations

- CC.6.1.7 Voltage changes at a **Point of Common Coupling** on the **Onshore Transmission System** shall not exceed:
 - (a) The limits specified in Table CC.6.1.7 with the stated frequency of occurrence, where:

(i)

$$\Delta V_{\text{steadystate}} = |100 \times \frac{\Delta V_{\text{steadystate}}}{V_0}|$$

and

$$\Delta V_{max} = 100 x - \frac{\Delta V_{max}}{V_0}$$
;

- (ii) V₀ is the initial steady state system voltage;
- (iii) $V_{steadystate}$ is the system voltage reached when the rate of change of system voltage over time is less than or equal to 0.5% over 1 second and $\Delta V_{steadystate}$ is the absolute value of the difference between $V_{steadystate}$ and V_0 ;
- (iv) ΔV_{max} is the absolute value of the maximum change in the system voltage relative to the initial steady state system voltage of V₀;
- All voltages are the root mean square of the voltage measured over one cycle refreshed every half a cycle as per IEC 61000-4-30;
- (vi) The voltage changes specified are the absolute maximum allowed, applied to phase to ground or phase to phase voltages whichever is the highest change;
- (vii) Voltage changes in category 3 do not exceed the limits depicted in the time dependant characteristic shown in Figure CC.6.1.7;
- (viii) Voltage changes in category 3 only occur infrequently, typically not planned more than once per year on average over the lifetime of a connection, and in circumstances notified to **The Company**, such as for example commissioning in accordance with a commissioning programme, implementation of a planned outage notified in accordance with **OC2** or an **Operation** or **Event** notified in accordance with **OC7**; and
- (ix) For connections with a Completion Date after 1st September 2015 and where voltage changes would constitute a risk to the National Electricity Transmission System or, in The Company's view, the System of any GB Code User, Bilateral Agreements may include provision for The Company to reasonably limit the number of voltage changes in category 2 or 3 to a lower number than specified in Table CC.6.1.7 to ensure that the total number of voltage changes at the Point of Common Coupling across multiple Users remains within the limits of Table CC.6.1.7.

Category	Maximum number of Occurrences	%ΔV _{max} & %ΔV _{steadystate}
1	No Limit	%∆V _{max} ≤ 1% & %∆V _{steadystate} ≤ 1%
2	$\frac{3600}{\sqrt[0.304]{2.5 \times \% \Delta V_{max}}}$ occurrences per hour with events evenly distributed	1% < %∆V _{max} ≤ 3% & %∆V _{steadystate} ≤ 3%
3	No more than 4 per day for Commissioning, Maintenance and Fault Restoration	For decreases in voltage: %∆V _{max} ≤ 12% ¹ & %∆V _{steadystate} ≤ 3% For increases in voltage: %∆V _{max} ≤ 5% ² & %∆V _{steadystate} ≤ 3% (see Figure CC6.1.7)

Table CC.6.1.7 - Limits for Rapid Voltage Changes

- ¹ A decrease in voltage of up to 12% is permissible for up to 80ms, as highlighted in the shaded area in Figure CC.6.1.7, reducing to up to 10% after 80ms and to up to 3% after 2 seconds.
- An increase in voltage of up to 5% is permissible if it is reduced to up to 3% after 0.5 seconds.

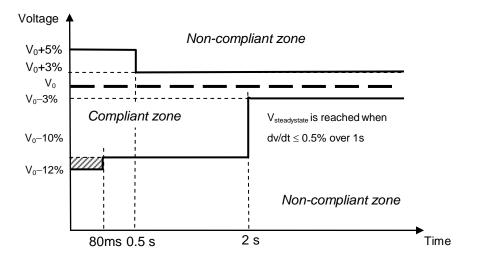


Figure CC.6.1.7 -Time and magnitude limits for a category 3 Rapid Voltage Change

- (b) For voltages above 132kV, Flicker Severity (Short Term) of 0.8 Unit and a Flicker Severity (Long Term) of 0.6 Unit, for voltages 132kV and below, Flicker Severity (Short Term) of 1.0 Unit and a Flicker Severity (Long Term) of 0.8 Unit, as set out in Engineering Recommendation P28 as current at the Transfer Date.
- CC.6.1.8 Voltage fluctuations at a **Point of Common Coupling** with a fluctuating **Load** directly connected to an **Offshore Transmission System** (or in the case of **OTSDUW**, **OTSDUW Plant and Apparatus**) shall not exceed the limits set out in the **Bilateral Agreement**.

Sub-Synchronous Resonance and Sub-Synchronous Torsional Interaction

- CC.6.1.9 **The Company** shall ensure that **GB Code Users' Plant and Apparatus** will not be subject to unacceptable Sub-Synchronous Oscillation conditions as specified in the relevant **Licence Standards**.
- CC.6.1.10 The Company shall ensure where necessary, and in consultation with Relevant Transmission Licensees where required, that any relevant site specific conditions applicable at a GB Code User's Connection Site, including a description of the Sub-Synchronous Oscillation conditions considered in the application of the relevant License Standards, are set out in the GB Code User's Bilateral Agreement.

CC.6.2 Plant and Apparatus relating to Connection Site and Interface Point

The following requirements apply to **Plant** and **Apparatus** relating to the **Connection Point**, and **OTSDUW Plant and Apparatus** relating to the **Interface Point** (until the **OTSUA Transfer Time**) and **Connection Point** which (except as otherwise provided in the relevant paragraph) each **GB Code User** must ensure are complied with in relation to its **Plant** and **Apparatus** and which in the case of CC.6.2.2.2.2, CC.6.2.3.1.1 and CC.6.2.1.1(b) only, **The Company** must ensure are complied with in relation to **Transmission Plant** and **Apparatus**, as provided in those paragraphs.

CC.6.2.1 <u>General Requirements</u>

- CC.6.2.1.1 (a) The design of connections between the **National Electricity Transmission System** and:
 - (i) any Generating Unit (other than a CCGT Unit or Power Park Unit) DC Converter, Power Park Module or CCGT Module, or
 - (ii) any Network Operator's System, or
 - (iii) Non-Embedded Customers equipment;

will be consistent with the Licence Standards.

In the case of **OTSDUW**, the design of the **OTSUA's** connections at the **Interface Point** and **Connection Point** will be consistent with **Licence Standards**.

- (b) The National Electricity Transmission System (and any OTSDUW Plant and Apparatus) at nominal System voltages of 132kV and above is/shall be designed to be earthed with an Earth Fault Factor of, in England and Wales or Offshore, below 1.4 and in Scotland, below 1.5. Under fault conditions the rated Frequency component of voltage could fall transiently to zero on one or more phases or, in England and Wales, rise to 140% phase-to-earth voltage, or in Scotland, rise to 150% phase-to-earth voltage. The voltage rise would last only for the time that the fault conditions exist. The fault conditions referred to here are those existing when the type of fault is single or two phase-to-earth.
- (c) For connections to the National Electricity Transmission System at nominal System voltages of below 132kV the earthing requirements and voltage rise conditions will be advised by The Company as soon as practicable prior to connection and in the case of OTSDUW Plant and Apparatus shall be advised to The Company by the GB Code User.

CC.6.2.1.2 Substation Plant and Apparatus

- (a) The following provisions shall apply to all Plant and Apparatus which is connected at the voltage of the Connection Point (and OTSDUW Plant and Apparatus at the Interface Point) and which is contained in equipment bays that are within the Transmission busbar Protection zone at the Connection Point. This includes circuit breakers, switch disconnectors, disconnectors, Earthing Devices, power transformers, voltage transformers, reactors, current transformers, surge arresters, bushings, neutral equipment, capacitors, line traps, coupling devices, external insulation and insulation co-ordination devices. Where necessary, this is as more precisely defined in the Bilateral Agreement.
 - (i) Plant and/or Apparatus prior to 1st January 1999

Each item of such Plant and/or Apparatus which at 1st January 1999 is either :

installed; or

owned (but is either in storage, maintenance or awaiting installation); or

ordered;

and is the subject of a Bilateral Agreement with regard to the purpose for which it

is in use or intended to be in use, shall comply with the relevant standards/specifications applicable at the time that the **Plant** and/or **Apparatus** was designed (rather than commissioned) and any further requirements as specified in the **Bilateral Agreement**.

(ii) <u>Plant and/or Apparatus post 1st January 1999 for a new Connection Point</u> (including OTSDUW Plant and Apparatus at the Interface Point)

Each item of such Plant and/or Apparatus installed in relation to a new Connection Point (or OTSDUW Plant and Apparatus at the Interface Point) after 1st January 1999 shall comply with the relevant Technical Specifications and any further requirements identified by The Company, acting reasonably, to reflect the options to be followed within the Technical Specifications and/or to complement if necessary the Technical Specifications so as to enable The Company to comply with its obligations in relation to the National Electricity Transmission System or the Relevant Transmission Licensee to comply with its obligations in relation, including the application dates of the relevant Technical Specifications, will be as specified in the Bilateral Agreement.

(iii) <u>New Plant and/or Apparatus post 1st January 1999 for an existing Connection</u> <u>Point (including OTSDUW Plant and Apparatus at the Interface Point)</u>

Each new additional and/or replacement item of such Plant and/or Apparatus installed in relation to a change to an existing Connection Point (or OTSDUW Plant and Apparatus at the Interface Point and Connection Point) after 1st January 1999 shall comply with the standards/specifications applicable when the change was designed, or such other standards/specifications as necessary to ensure that the item of Plant and/or Apparatus is reasonably fit for its intended purpose having due regard to the obligations of The Company, the relevant GB Code User and the Relevant Transmission Licensee under their respective Licences. Where appropriate this information, including the application dates of the relevant standards/specifications, will be as specified in the varied Bilateral Agreement.

- (iv) Used Plant and/or Apparatus being moved, re-used or modified
 - If, after its installation, any such item of **Plant** and/or **Apparatus** is subsequently:
 - moved to a new location; or
 - used for a different purpose; or
 - otherwise modified;

then the standards/specifications as described in (i), (ii), or (iii) above or in ECC.6.2.1.2 (as applicable) will apply as appropriate to such **Plant** and/or **Apparatus**, which must be reasonably fit for its intended purpose having due regard to the obligations of **The Company**, the relevant **GB Code User** or **EU Code User** (as applicable) and the **Relevant Transmission Licensee** under their respective **Licences**.

- (b) The Company shall at all times maintain a list of those Technical Specifications and additional requirements which might be applicable under this CC.6.2.1.2 and which may be referenced by The Company in the Bilateral Agreement. The Company shall provide a copy of the list upon request to any User.
- (c) Where the GB Code User provides The Company with information and/or test reports in respect of Plant and/or Apparatus which the GB Code User reasonably believes demonstrate the compliance of such items with the provisions of a Technical Specification then The Company shall promptly and without unreasonable delay give due and proper consideration to such information.

- (d) Plant and Apparatus shall be designed, manufactured and tested in premises with an accredited certificate in accordance with the quality assurance requirements of the relevant standard in the BS EN ISO 9000 series (or equivalent as reasonably approved by The Company) or in respect of test premises which do not include a manufacturing facility premises with an accredited certificate in accordance with BS EN 45001.
- (e) Each connection between an GB Code User and the National Electricity Transmission System must be controlled by a circuit-breaker (or circuit breakers) capable of interrupting the maximum short circuit current at the point of connection. The Seven Year Statement gives values of short circuit current and the rating of Transmission circuit breakers at existing and committed Connection Points for future years.
- (f) Each connection between a GB Generator undertaking OTSDUW or an Onshore Transmission Licensee, must be controlled by a circuit breaker (or circuit breakers) capable of interrupting the maximum short circuit current at the Transmission Interface Point. The Seven Year Statement gives values of short circuit current and the rating of Transmission circuit breakers at existing and committed Transmission Interface Points for future years.
- CC.6.2.2 Requirements at Connection Points or, in the case of OTSDUW at Interface Points that relate to GB Generators or OTSDUW Plant and Apparatus or DC Converter Station owners
- CC.6.2.2.1 Not Used.
- CC.6.2.2.2 <u>Generating Unit, OTSDUW Plant and Apparatus and Power Station Protection</u> <u>Arrangements</u>
- CC.6.2.2.2.1 <u>Minimum Requirements</u>

Protection of Generating Units (other than Power Park Units), DC Converters, OTSDUW Plant and Apparatus or Power Park Modules and their connections to the National Electricity Transmission System shall meet the requirements given below. These are necessary to reduce the impact on the National Electricity Transmission System of faults on OTSDUW Plant and Apparatus circuits or circuits owned by GB Generators or DC Converter Station owners.

CC.6.2.2.2.2 Fault Clearance Times

- (a) The required fault clearance time for faults on the GB Generator's or DC Converter Station owner's equipment directly connected to the National Electricity Transmission System or OTSDUW Plant and Apparatus and for faults on the National Electricity Transmission System directly connected to the GB Generator or DC Converter Station owner's equipment or OTSDUW Plant and Apparatus, from fault inception to the circuit breaker arc extinction, shall be set out in the Bilateral Agreement. The fault clearance time specified in the Bilateral Agreement shall not be shorter than the durations specified below:
 - (i) 80ms at 400kV
 - (ii) 100ms at 275kV
 - (iii) 120ms at 132kV and below

but this shall not prevent the **GB Code User** or **NGET** or the **GB Generator** (including in respect of **OTSDUW Plant and Apparatus**) from selecting a shorter fault clearance time on their own **Plant** and **Apparatus** provided **Discrimination** is achieved.

A longer fault clearance time may be specified in the **Bilateral Agreement** for faults on the **National Electricity Transmission System**. A longer fault clearance time for faults on the **GB Generator** or **DC Converter Station** owner's equipment or **OTSDUW Plant and Apparatus** may be agreed with **The Company** in accordance with the terms of the **Bilateral Agreement** but only if **System** requirements, in **The Company's** view, permit. The probability that the fault clearance time stated in the **Bilateral Agreement** will be exceeded by any given fault, must be less than 2%.

(b) In the event that the required fault clearance time is not met as a result of failure to operate on the Main Protection System(s) provided, the GB Generators or DC Converter Station owners or GB Generators in the case of OTSDUW Plant and Apparatus shall, except as specified below provide Independent Back-Up Protection. NGET will also provide Back-Up Protection and NGET and the GB Code User's Back-Up Protections will be co-ordinated so as to provide Discrimination.

On a Generating Unit (other than a Power Park Unit), DC Converter or Power Park Module or OTSDUW Plant and Apparatus in respect of which the Completion Date is after 20 January 2016 and connected to the National Electricity Transmission System at 400kV or 275kV and where two Independent Main Protections are provided to clear faults on the HV Connections within the required fault clearance time, the Back-Up Protection provided by GB Generators (including in respect of OTSDUW Plant and Apparatus) and DC Converter Station owner shall operate to give a fault clearance time of no longer than 300ms at the minimum infeed for normal operation for faults on the HV Connections. Where two Independent Main Protections are installed the Back-Up Protection may be integrated into one (or both) of the Independent Main Protection relays.

On a Generating Unit (other than a Power Park Unit), DC Converter or Power Park Module or OTSDUW Plant and Apparatus in respect of which the Completion Date is after 20 January 2016 and connected to the National Electricity Transmission System at 132 kV and where only one Main Protection is provided to clear faults on the HV Connections within the required fault clearance time, the Independent Back-Up Protection provided by the GB Generator (including in respect of OTSDUW Plant and Apparatus) and the DC Converter Station owner shall operate to give a fault clearance time of no longer than 300ms at the minimum infeed for normal operation for faults on the HV Connections.

On a Generating Unit (other than a Power Park Unit), DC Converter or Power Park Module or OTSDUW Plant and Apparatus connected to the National Electricity Transmission System and on Generating Units (other than a Power Park Unit), DC Converters or Power Park Modules or OTSDUW Plant and Apparatus connected to the National Electricity Transmission System at 400 kV or 275 kV or 132 kV, in respect of which the Completion Date is before the 20 January 2016, the Back-Up Protection or Independent Back-Up Protection shall operate to give a fault clearance time of no longer than 800ms in England and Wales or 300ms in Scotland at the minimum infeed for normal operation for faults on the HV Connections.

A Generating Unit (other than a Power Park Unit), DC Converter or Power Park Module or OTSDUW Plant and Apparatus) with Back-Up Protection or Independent Back-Up Protection will also be required to withstand, without tripping, the loading incurred during the clearance of a fault on the National Electricity Transmission System by breaker fail Protection at 400kV or 275kV or of a fault cleared by Back-Up Protection where the GB Generator (including in the case of OTSDUW Plant and Apparatus) or DC Converter is connected at 132kV and below. This will permit Discrimination between GB Generator in respect of OTSDUW Plant and Apparatus or DC Converter Station owners' Back-Up Protection or Independent Back-Up Protection and the Back-Up Protection provided on the National Electricity Transmission System and other Users' Systems.

- (c) When the Generating Unit (other than Power Park Units), or the DC Converter or Power Park Module or OTSDUW Plant and Apparatus is connected to the National Electricity Transmission System at 400kV or 275kV, and in Scotland and Offshore also at 132kV, and a circuit breaker is provided by the GB Generator (including in respect of OTSDUW Plant and Apparatus) or the DC Converter Station owner, or NGET, as the case may be, to interrupt fault current interchange with the National Electricity Transmission System, or GB Generator's System, or DC Converter Station owner's System, as the case may be, circuit breaker fail Protection shall be provided by the GB Generator (including in respect of OTSDUW Plant and Apparatus) or DC Converter Station owner, or NGET, as the case may be, on this circuit breaker. In the event, following operation of a Protection system, of a failure to interrupt fault current by these circuit-breakers within the Fault Current Interruption Time, the circuit breaker fail Protection is required to initiate tripping of all the necessary electrically adjacent circuit-breakers so as to interrupt the fault current within the next 200ms.
- (d) The target performance for the System Fault Dependability Index shall be not less than 99%. This is a measure of the ability of Protection to initiate successful tripping of circuit breakers which are associated with the faulty item of Apparatus.
- CC.6.2.2.3 Equipment to be provided
- CC.6.2.2.3.1 Protection of Interconnecting Connections

The requirements for the provision of **Protection** equipment for interconnecting connections will be specified in the **Bilateral Agreement**. In this **CC** the term "interconnecting connections" means the primary conductors from the current transformer accommodation on the circuit side of the circuit breaker to the **Connection Point** or the primary conductors from the current transformer accommodation on the circuit side of the circuit breaker to the **Transmission Interface Point**.

CC.6.2.2.3.2 <u>Circuit-breaker fail Protection</u>

The **GB** Generator or **DC** Converter Station owner will install circuit breaker fail **Protection** equipment in accordance with the requirements of the **Bilateral Agreement**. The **GB** Generator or **DC** Converter Station owner will also provide a back-trip signal in the event of loss of air from its pressurised head circuit breakers, during the Generating Unit (other than a CCGT Unit or Power Park Unit) or CCGT Module or **DC** Converter or Power Park Module run-up sequence, where these circuit breakers are installed.

CC.6.2.2.3.3 Loss of Excitation

The **GB** Generator must provide **Protection** to detect loss of excitation on a **Generating Unit** and initiate a **Generating Unit** trip.

CC.6.2.2.3.4 Pole-Slipping Protection

Where, in **The Company's** reasonable opinion, **System** requirements dictate, **The Company** will specify in the **Bilateral Agreement** a requirement for **GB Generators** to fit pole-slipping **Protection** on their **Generating Units**.

CC.6.2.2.3.5 Signals for Tariff Metering

GB Generators and **DC Converter Station** owners will install current and voltage transformers supplying all tariff meters at a voltage to be specified in, and in accordance with, the **Bilateral Agreement**.

CC.6.2.2.4 Work on Protection Equipment

No busbar **Protection**, mesh corner **Protection**, circuit-breaker fail **Protection** relays, AC or DC wiring (other than power supplies or DC tripping associated with the **Generating Unit**, **DC Converter** or **Power Park Module** itself) may be worked upon or altered by the **GB Generator** or **DC Converter Station** owner personnel in the absence of a representative of **NGET**, or written authority from **NGET** to perform such work or alterations in the absence of a representative of a representative of **NGET**.

CC.6.2.2.5 Relay Settings

Protection and relay settings will be co-ordinated (both on connection and subsequently) across the **Connection Point** in accordance with the **Bilateral Agreement** and in relation to **OTSDUW Plant and Apparatus**, across the **Interface Point** in accordance with the **Bilateral Agreement** to ensure effective disconnection of faulty **Apparatus**.

- CC.6.2.3 <u>Requirements at Connection Points relating to Network Operators and Non-Embedded</u> <u>Customers</u>
- CC.6.2.3.1 Protection Arrangements for Network Operators and Non-Embedded Customers
- CC.6.2.3.1.1 **Protection** of **Network Operator** and **Non-Embedded Customers Systems** directly connected to the **National Electricity Transmission System**, shall meet the requirements given below:

Fault Clearance Times

- (a) The required fault clearance time for faults on Network Operator and Non-Embedded Customer equipment directly connected to the National Electricity Transmission System, and for faults on the National Electricity Transmission System directly connected to the Network Operator's or Non-Embedded Customer's equipment, from fault inception to the circuit breaker arc extinction, shall be set out in each Bilateral Agreement. The fault clearance time specified in the Bilateral Agreement shall not be shorter than the durations specified below:
 - (i) 80ms at 400kV
 - (ii) 100ms at 275kV
 - (iii) 120ms at 132kV and below

but this shall not prevent the **GB Code User** or **NGET** from selecting a shorter fault clearance time on its own **Plant** and **Apparatus** provided **Discrimination** is achieved.

For the purpose of establishing the **Protection** requirements in accordance with CC.6.2.3.1.1 only, the point of connection of the **Network Operator** or **Non-Embedded Customer** equipment to the **National Electricity Transmission System** shall be deemed to be the low voltage busbars at a **GB Grid Supply Point**, irrespective of the ownership of the equipment at the **GB Grid Supply Point**.

A longer fault clearance time may be specified in the **Bilateral Agreement** for faults on the **National Electricity Transmission System**. A longer fault clearance time for faults on the **Network Operator** and **Non-Embedded Customers** equipment may be agreed with **The Company** in accordance with the terms of the **Bilateral Agreement** but only if **System** requirements in **The Company's** view permit. The probability that the fault clearance time stated in the **Bilateral Agreement** will be exceeded by any given fault must be less than 2%.

- (b) (i) For the event of failure of the Protection systems provided to meet the above fault clearance time requirements, Back-Up Protection shall be provided by the Network Operator or Non-Embedded Customer as the case may be.
 - (ii) NGET will also provide Back-Up Protection, which will result in a fault clearance time longer than that specified for the Network Operator or Non-Embedded Customer Back-Up Protection so as to provide Discrimination.
 - (iii) For connections with the National Electricity Transmission System at 132kV and below, it is normally required that the Back-Up Protection on the National Electricity Transmission System shall discriminate with the Network Operator or Non-Embedded Customer's Back-Up Protection.
 - (iv) For connections with the National Electricity Transmission System at 400kV or 275kV, the Back-Up Protection will be provided by the Network Operator or Non-Embedded Customer, as the case may be, with a fault clearance time not

longer than 300ms for faults on the **Network Operator's** or **Non-Embedded Customer's Apparatus**.

- (v) Such Protection will also be required to withstand, without tripping, the loading incurred during the clearance of a fault on the National Electricity Transmission System by breaker fail Protection at 400kV or 275kV. This will permit Discrimination between Network Operator's Back-Up Protection or Non-Embedded Customer's Back-Up Protection, as the case may be, and Back-Up Protection provided on the National Electricity Transmission System and other User Systems. The requirement for and level of Discrimination required will be specified in the Bilateral Agreement.
- (c) (i) Where the Network Operator or Non-Embedded Customer is connected to the National Electricity Transmission System at 400kV or 275kV, and in Scotland also at 132kV, and a circuit breaker is provided by the Network Operator or Non-Embedded Customer, or NGET, as the case may be, to interrupt the interchange of fault current with the National Electricity Transmission System or the System of the Network Operator or Non-Embedded Customer, as the case may be, circuit breaker fail Protection will be provided by the Network Operator or Non-Embedded Customer, or NGET, as the case may be, on this circuit breaker.
 - (ii) In the event, following operation of a Protection system, of a failure to interrupt fault current by these circuit-breakers within the Fault Current Interruption Time, the circuit breaker fail Protection is required to initiate tripping of all the necessary electrically adjacent circuit-breakers so as to interrupt the fault current within the next 200ms.
- (d) The target performance for the **System Fault Dependability Index** shall be not less than 99%. This is a measure of the ability of **Protection** to initiate successful tripping of circuit breakers which are associated with the faulty items of **Apparatus**.

CC.6.2.3.2 Fault Disconnection Facilities

- (a) Where no Transmission circuit breaker is provided at the GB Code User's connection voltage, the GB Code User must provide The Company with the means of tripping all the GB Code User's circuit breakers necessary to isolate faults or System abnormalities on the National Electricity Transmission System. In these circumstances, for faults on the GB Code User's System, the GB Code User's Protection should also trip higher voltage Transmission circuit breakers. These tripping facilities shall be in accordance with the requirements specified in the Bilateral Agreement.
- (b) **The Company** may require the installation of a **System to Generator Operational Intertripping Scheme** in order to enable the timely restoration of circuits following power **System** fault(s). These requirements shall be set out in the relevant **Bilateral Agreement**.

CC.6.2.3.3 Automatic Switching Equipment

Where automatic reclosure of **Transmission** circuit breakers is required following faults on the **GB Code User's System**, automatic switching equipment shall be provided in accordance with the requirements specified in the **Bilateral Agreement**.

CC.6.2.3.4 <u>Relay Settings</u>

Protection and relay settings will be co-ordinated (both on connection and subsequently) across the **Connection Point** in accordance with the **Bilateral Agreement** to ensure effective disconnection of faulty **Apparatus**.

CC.6.2.3.5 Work on Protection equipment

Where a Transmission Licensee owns the busbar at the Connection Point, no busbar Protection, mesh corner Protection relays, AC or DC wiring (other than power supplies or DC tripping associated with the Network Operator or Non-Embedded Customer's Apparatus itself) may be worked upon or altered by the Network Operator or Non-Embedded Customer personnel in the absence of a representative of NGET or written authority from NGET to perform such work or alterations in the absence of a representative of NGET.

- CC.6.2.3.6 Equipment to be provided
- CC.6.2.3.6.1 Protection of Interconnecting Connections

The requirements for the provision of **Protection** equipment for interconnecting connections will be specified in the **Bilateral Agreement**.

CC.6.3 GENERAL GENERATING UNIT (AND OTSDUW) REQUIREMENTS

CC.6.3.1 This section sets out the technical and design criteria and performance requirements for Generating Units, DC Converters and Power Park Modules (whether directly connected to the National Electricity Transmission System or Embedded) and (where provided in this section) OTSDUW Plant and Apparatus which each GB Generator or DC Converter Station owner must ensure are complied with in relation to its Generating Units, DC Converters and Power Park Modules and OTSDUW Plant and Apparatus but does not apply to Small Power Stations or individually to Power Park Units. References to Generating Units, DC Converters and Power Park Modules in this CC.6.3 should be read accordingly. The performance requirements that OTSDUW Plant and Apparatus must be capable of providing at the Interface Point under this section may be provided using a combination of GB Generator Plant and Apparatus and/or OTSDUW Plant and Apparatus.

Plant Performance Requirements

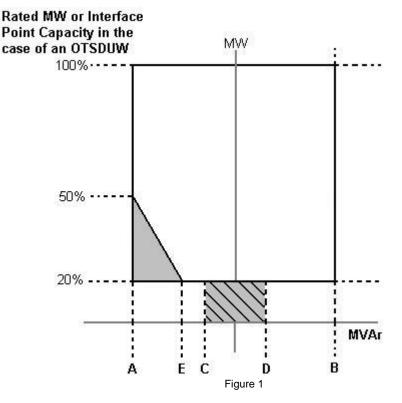
(a) When supplying Rated MW all Onshore Synchronous Generating Units must be capable of continuous operation at any point between the limits 0.85 Power Factor lagging and 0.95 Power Factor leading at the Onshore Synchronous Generating Unit terminals. At Active Power output levels other than Rated MW, all Onshore Synchronous Generating Units must be capable of continuous operation at any point between the Reactive Power capability limits identified on the Generator Performance Chart.

In addition to the above paragraph, where **Onshore Synchronous Generating Unit(s)**:

- (i) have a Connection Entry Capacity which has been increased above Rated MW (or the Connection Entry Capacity of the CCGT module has increased above the sum of the Rated MW of the Generating Units compromising the CCGT module), and such increase takes effect after 1st May 2009, the minimum lagging Reactive Power capability at the terminals of the Onshore Synchronous Generating Unit(s) must be 0.9 Power Factor at all Active Power output levels in excess of Rated MW. Further, the User shall comply with the provisions of and any instructions given pursuant to BC1.8 and the relevant Bilateral Agreement; or
- (ii) have a Connection Entry Capacity in excess of Rated MW (or the Connection Entry Capacity of the CCGT module exceeds the sum of Rated MW of the Generating Units comprising the CCGT module) and a Completion Date before 1st May 2009, alternative provisions relating to Reactive Power capability may be specified in the Bilateral Agreement and where this is the case such provisions must be complied with.

The short circuit ratio of **Onshore Synchronous Generating Units** with an **Apparent Power** rating of less than 1600MVA shall be not less than 0.5. The short circuit ratio of **Onshore Synchronous Generating Units** with a rated **Apparent Power** of 1600MVA or above shall be not less than 0.4.

(b) Subject to paragraph (c) below, all Onshore Non-Synchronous Generating Units, Onshore DC Converters and Onshore Power Park Modules must be capable of maintaining zero transfer of Reactive Power at the Onshore Grid Entry Point (or User System Entry Point if Embedded) at all Active Power output levels under steady state voltage conditions. For Onshore Non-Synchronous Generating Units and Onshore Power Park Modules the steady state tolerance on Reactive Power transfer to and from the National Electricity Transmission System expressed in MVAr shall be no greater than 5% of the Rated MW. For Onshore DC Converters the steady state tolerance on Reactive Power transfer to and from the National Electricity Transmission System shall be specified in the Bilateral Agreement. (c) Subject to the provisions of CC.6.3.2(d) below, all Onshore Non-Synchronous Generating Units, Onshore DC Converters (excluding current source technology) and Onshore Power Park Modules (excluding those connected to the Total System by a current source Onshore DC Converter) and OTSDUW Plant and Apparatus at the Interface Point with a Completion Date on or after 1 January 2006 must be capable of supplying Rated MW output or Interface Point Capacity in the case of OTSDUW Plant and Apparatus at any point between the limits 0.95 Power Factor lagging and 0.95 Power Factor leading at the Onshore Grid Entry Point in England and Wales or Interface Point in the case of OTSDUW Plant and Apparatus or at the HV side of the 33/132kV or 33/275kV or 33/400kV transformer for GB Generators directly connected to the Onshore Transmission System in Scotland (or User System Entry Point if Embedded). With all Plant in service, the Reactive Power limits defined at Rated MW or Interface Point Capacity in the case of OTSDUW Plant and Apparatus at Lagging Power Factor will apply at all Active Power output levels above 20% of the Rated MW or Interface Point Capacity in the case of OTSDUW Plant and Apparatus output as defined in Figure 1. With all Plant in service, the Reactive Power limits defined at **Rated MW** at Leading **Power Factor** will apply at all **Active Power** output levels above 50% of the Rated MW output or Interface Point Capacity in the case of OTSDUW Plant and Apparatus as defined in Figure 1. With all Plant in service, the Reactive Power limits will reduce linearly below 50% Active Power output as shown in Figure 1 unless the requirement to maintain the Reactive Power limits defined at Rated MW or Interface Point Capacity in the case of OTSDUW Plant and Apparatus at Leading Power Factor down to 20% Active Power output is specified in the Bilateral Agreement. These Reactive Power limits will be reduced pro rata to the amount of Plant in service.



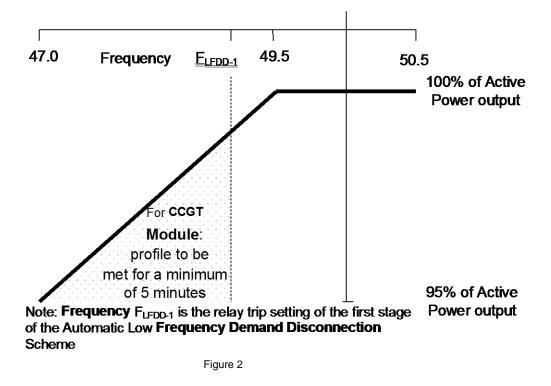
Point A is equivalent
(in MVAr) to0.95 leading Power Factor at Rated MW output or Interface Point
Capacity in the case of OTSDUW Plant and ApparatusPoint B is equivalent
(in MVAr) to:0.95 lagging Power Factor at Rated MW output or Interface Point
Capacity in the case of OTSDUW Plant and ApparatusPoint C is equivalent
(in MVAr) to:-5% of Rated MW output or Interface Point Capacity in the case
of OTSDUW Plant and Apparatus

Point D is equivalent
(in MVAr) to:+5% of Rated MW output or Interface Point Capacity in the case
of OTSDUW Plant and Apparatus

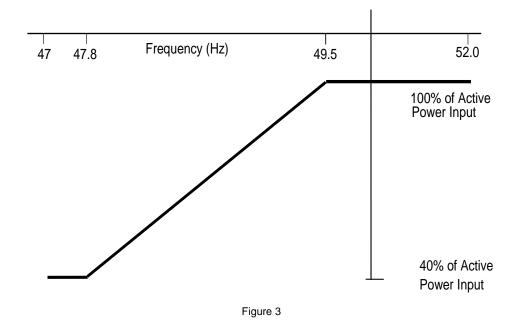
Point E is equivalent
(in MVAr) to:-12% of Rated MW output or Interface Point Capacity in the case
of OTSDUW Plant and Apparatus

- (d) All **Onshore Non-Synchronous Generating Units** and **Onshore Power Park Modules** in Scotland with a **Completion Date** after 1 April 2005 and before 1 January 2006 must be capable of supplying **Rated MW** at the range of power factors either:
 - (i) from 0.95 lead to 0.95 lag as illustrated in Figure 1 at the User System Entry Point for Embedded GB Generators or at the HV side of the 33/132kV or 33/275kV or 33/400kV transformer for GB Generators directly connected to the Onshore Transmission System. With all Plant in service, the Reactive Power limits defined at Rated MW will apply at all Active Power output levels above 20% of the Rated MW output as defined in Figure 1. These Reactive Power limits will be reduced pro rata to the amount of Plant in service, or
 - (ii) from 0.95 lead to 0.90 lag at the Onshore Non-Synchronous Generating Unit (including Power Park Unit) terminals. For the avoidance of doubt GB Generators complying with this option (ii) are not required to comply with CC.6.3.2(b).
- (e) The short circuit ratio of Offshore Synchronous Generating Units at a Large Power Station shall be not less than 0.5. At a Large Power Station all Offshore Synchronous Generating Units, Offshore Non-Synchronous Generating Units, Offshore DC Converters and Offshore Power Park Modules must be capable of maintaining:
 - (i) zero transfer of Reactive Power at the Offshore Grid Entry Point for all GB Generators with an Offshore Grid Entry Point at the LV Side of the Offshore Platform at all Active Power output levels under steady state voltage conditions. The steady state tolerance on Reactive Power transfer to and from an Offshore Transmission System expressed in MVAr shall be no greater than 5% of the Rated MW, or
 - (ii) a transfer of Reactive Power at the Offshore Grid Entry Point at a value specified in the Bilateral Agreement that will be equivalent to zero at the LV Side of the Offshore Platform. In addition, the steady state tolerance on Reactive Power transfer to and from an Offshore Transmission System expressed in MVAr at the LV Side of the Offshore Platform shall be no greater than 5% of the Rated MW, or
 - (iii) the Reactive Power capability (within associated steady state tolerance) specified in the Bilateral Agreement if any alternative has been agreed with the GB Generator, Offshore Transmission Licensee and The Company.
- (f) In addition, a Genset shall meet the operational requirements as specified in BC2.A.2.6.
- CC.6.3.3 Each Generating Unit, DC Converter (including an OTSDUW DC Converter), Power Park Module and/or CCGT Module must be capable of:
 - (a) continuously maintaining constant **Active Power** output for **System Frequency** changes within the range 50.5 to 49.5 Hz; and

(b) (subject to the provisions of CC.6.1.3) maintaining its Active Power output at a level not lower than the figure determined by the linear relationship shown in Figure 2 for System Frequency changes within the range 49.5 to 47 Hz, such that if the System Frequency drops to 47 Hz the Active Power output does not decrease by more than 5%. In the case of a CCGT Module, the above requirement shall be retained down to the Low Frequency Relay trip setting of 48.8 Hz, which reflects the first stage of the Automatic Low Frequency Demand Disconnection scheme notified to Network Operators under OC6.6.2. For System Frequency below that setting, the existing requirement shall be retained for a minimum period of 5 minutes while System Frequency remains below that setting, and special measure(s) that may be required to meet this requirement shall be kept in service during this period. After that 5 minutes period, if System Frequency remains below that setting, the special measure(s) must be discontinued if there is a materially increased risk of the Gas Turbine tripping. The need for special measure(s) is linked to the inherent Gas Turbine Active Power output reduction caused by reduced shaft speed due to falling System Frequency.



- (c) For the avoidance of doubt in the case of a Generating Unit or Power Park Module (or OTSDUW DC Converters at the Interface Point) using an Intermittent Power Source where the mechanical power input will not be constant over time, the requirement is that the Active Power output shall be independent of System Frequency under (a) above and should not drop with System Frequency by greater than the amount specified in (b) above.
- (d) A DC Converter Station must be capable of maintaining its Active Power input (i.e. when operating in a mode analogous to Demand) from the National Electricity Transmission System (or User System in the case of an Embedded DC Converter Station) at a level not greater than the figure determined by the linear relationship shown in Figure 3 for System Frequency changes within the range 49.5 to 47 Hz, such that if the System Frequency drops to 47.8 Hz the Active Power input decreases by more than 60%.



- (e) At a Large Power Station, in the case of an Offshore Generating Unit, Offshore Power Park Module, Offshore DC Converter and OTSDUW DC Converter, the GB Generator shall comply with the requirements of CC.6.3.3. GB Generators should be aware that Section K of the STC places requirements on Offshore Transmission Licensees which utilise a Transmission DC Converter as part of their Offshore Transmission System to make appropriate provisions to enable GB Generators to fulfil their obligations.
- (f) In the case of an **OTSDUW DC Converter** the **OTSDUW Plant and Apparatus** shall provide a continuous signal indicating the real time frequency measured at the **Interface Point** to the **Offshore Grid Entry Point**.
- CC.6.3.4 At the Grid Entry Point, the Active Power output under steady state conditions of any Generating Unit, DC Converter or Power Park Module directly connected to the National Electricity Transmission System or in the case of OTSDUW, the Active Power transfer at the Interface Point, under steady state conditions of any OTSDUW Plant and Apparatus should not be affected by voltage changes in the normal operating range specified in paragraph CC.6.1.4 by more than the change in Active Power losses at reduced or increased voltage. In addition:
 - (a) For any Onshore Generating Unit, Onshore DC Converter and Onshore Power Park Module or OTSDUW the Reactive Power output under steady state conditions should be fully available within the voltage range ±5% at 400kV, 275kV and 132kV and lower voltages, except for an Onshore Power Park Module or Onshore Non-Synchronous Generating Unit if Embedded at 33kV and below (or directly connected to the Onshore Transmission System at 33kV and below) where the requirement shown in Figure 4 applies.
 - (b) At a Large Power Station, in the case of an Offshore Generating Unit, Offshore DC Converter and Offshore Power Park Module where an alternative reactive capability has been agreed with the GB Generator, as specified in CC.6.3.2(e) (iii), the voltage / Reactive Power requirement shall be specified in the Bilateral Agreement. The Reactive Power output under steady state conditions shall be fully available within the voltage range ±5% at 400kV, 275kV and 132kV and lower voltages.

Voltage at an **Onshore Grid Entry Point** or **User System Entry Point** if **Embedded** (% of Nominal) at 33 kV and below

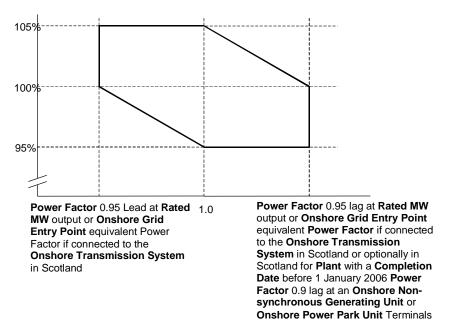


Figure 4

CC.6.3.5 It is an essential requirement that the National Electricity Transmission System must incorporate a Black Start Capability. This will be achieved by agreeing a Black Start Capability at a number of strategically located Power Stations. For each Power Station The Company will state in the Bilateral Agreement whether or not a Black Start Capability is required.

Control Arrangements

- CC.6.3.6 (a) Each:
 - (i) Offshore Generating Unit in a Large Power Station or Onshore Generating Unit; or,
 - (ii) Onshore DC Converter with a Completion Date on or after 1 April 2005 or Offshore DC Converter at a Large Power Station; or,
 - (iii) **Onshore Power Park Module** in England and Wales with a **Completion Date** on or after 1 January 2006; or,
 - (iv) Onshore Power Park Module in operation in Scotland on or after 1 January 2006 (with a Completion Date after 1 July 2004 and in a Power Station with a Registered Capacity of 50MW or more); or,
 - Offshore Power Park Module in a Large Power Station with a Registered Capacity of 50MW or more;

must be capable of contributing to **Frequency** control by continuous modulation of **Active Power** supplied to the **National Electricity Transmission System** or the **User System** in which it is **Embedded**. For the avoidance of doubt each **OTSDUW DC Converter** shall provide each **GB Code User** in respect of its **Offshore Power Stations** connected to and/or using an **Offshore Transmission System** a continuous signal indicating the real time **Frequency** measured at the **Transmission Interface Point**.

- (b) Each:
 - (i) **Onshore Generating Unit**; or,
 - (ii) **Onshore DC Converter** (with a **Completion Date** on or after 1 April 2005 excluding current source technologies); or

- (iii) **Onshore Power Park Module** in England and Wales with a **Completion Date** on or after 1 January 2006; or,
- (iv) Onshore Power Park Module in Scotland irrespective of Completion Date; or,
- (v) Offshore Generating Unit at a Large Power Station, Offshore DC Converter at a Large Power Station or Offshore Power Park Module at a Large Power Station which provides a reactive range beyond the minimum requirements specified in CC.6.3.2(e) (iii); or,
- (vi) OTSDUW Plant and Apparatus at a Transmission Interface Point

must be capable of contributing to voltage control by continuous changes to the **Reactive Power** supplied to the **National Electricity Transmission System** or the **User System** in which it is **Embedded**.

CC.6.3.7

- (a) Each Generating Unit, DC Converter or Power Park Module (excluding Onshore Power Park Modules in Scotland with a Completion Date before 1 July 2004 or Onshore Power Park Modules in a Power Station in Scotland with a Registered Capacity less than 50MW or Offshore Power Park Modules in a Large Power Station located Offshore with a Registered Capacity less than 50MW) must be fitted with a fast acting proportional Frequency control device (or turbine speed governor) and unit load controller or equivalent control device to provide Frequency response under normal operational conditions in accordance with Balancing Code 3 (BC3). In the case of a Power Park Module the Frequency or speed control device(s) may be on the Power Park Module or on each individual Power Park Unit or be a combination of both. The Frequency control device(s) (or speed governor(s)) must be designed and operated to the appropriate:
 - (i) European Specification; or
 - (ii) in the absence of a relevant European Specification, such other standard which is in common use within the European Community (which may include a manufacturer specification);

as at the time when the installation of which it forms part was designed or (in the case of modification or alteration to the **Frequency** control device (or turbine speed governor)) when the modification or alteration was designed.

The European Specification or other standard utilised in accordance with subparagraph CC.6.3.7 (a) (ii) will be notified to The Company by the GB Generator or DC Converter Station owner or, in the case of an Embedded Medium Power Station not subject to a Bilateral Agreement or Embedded DC Converter Station not subject to a Bilateral Agreement, the relevant Network Operator:

- (i) as part of the application for a **Bilateral Agreement**; or
- (ii) as part of the application for a varied Bilateral Agreement; or
- (iii) in the case of an Embedded Development, within 28 days of entry into the Embedded Development Agreement (or such later time as agreed with The Company); or
- (iv) as soon as possible prior to any modification or alteration to the **Frequency** control device (or governor); and
- (b) The Frequency control device (or speed governor) in co-ordination with other control devices must control the Generating Unit, DC Converter or Power Park Module Active Power Output with stability over the entire operating range of the Generating Unit, DC Converter or Power Park Module; and
- (c) The **Frequency** control device (or speed governor) must meet the following minimum requirements:
 - (i) Where a Generating Unit, DC Converter or Power Park Module becomes

isolated from the rest of the **Total System** but is still supplying **Customers**, the **Frequency** control device (or speed governor) must also be able to control **System Frequency** below 52Hz unless this causes the **Generating Unit**, **DC Converter** or **Power Park Module** to operate below its **Designed Minimum Operating Level** when it is possible that it may, as detailed in BC 3.7.3, trip after a time. For the avoidance of doubt the **Generating Unit**, **DC Converter** or **Power Park Module** is only required to operate within the **System Frequency** range 47 - 52 Hz as defined in CC.6.1.3;

- (ii) the Frequency control device (or speed governor) must be capable of being set so that it operates with an overall speed Droop of between 3% and 5%. For the avoidance of doubt, in the case of a Power Park Module the speed Droop should be equivalent of a fixed setting between 3% and 5% applied to each Power Park Unit in service;
- (iii) in the case of all Generating Units, DC Converter or Power Park Module other than the Steam Unit within a CCGT Module the Frequency control device (or speed governor) deadband should be no greater than 0.03Hz (for the avoidance of doubt, ±0.015Hz). In the case of the Steam Unit within a CCGT Module, the speed Governor Deadband should be set to an appropriate value consistent with the requirements of CC.6.3.7(c)(i) and the requirements of BC3.7.2 for the provision of Limited High Frequency Response;

For the avoidance of doubt, the minimum requirements in (ii) and (iii) for the provision of **System Ancillary Services** do not restrict the negotiation of **Commercial Ancillary Services** between **The Company** and the **GB Code User** using other parameters; and

- (d) A facility to modify, so as to fulfil the requirements of the Balancing Codes, the Target Frequency setting either continuously or in a maximum of 0.05 Hz steps over at least the range 50 ±0.1 Hz should be provided in the unit load controller or equivalent device.
- (e) (i) Each Onshore Generating Unit and/or CCGT Module which has a Completion Date after 1 January 2001 in England and Wales, and after 1 April 2005 in Scotland, must be capable of meeting the minimum Frequency response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (ii) Each DC Converter at a DC Converter Station which has a Completion Date on or after 1 April 2005 and each Offshore DC Converter at a Large Power Station must be capable of meeting the minimum Frequency response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (iii) Each Onshore Power Park Module in operation in England and Wales with a Completion Date on or after 1 January 2006 must be capable of meeting the minimum Frequency response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (iv) Each Onshore Power Park Module in operation on or after 1 January 2006 in Scotland (with a Completion Date on or after 1 April 2005 and a Registered Capacity of 50MW or more) must be capable of meeting the minimum Frequency response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (v) Each Offshore Generating Unit in a Large Power Station must be capable of meeting the minimum Frequency response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (vi) Each Offshore Power Park Module in a Large Power Station with a Registered Capacity of 50 MW or greater, must be capable of meeting the minimum Frequency response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (vii) Subject to the requirements of CC.6.3.7(e), Offshore Generating Units at a Large Power Station, Offshore Power Park Modules at a Large Power Station and Offshore DC Converters in a Large Power Station shall comply with the

requirements of CC.6.3.7. **GB Generators** should be aware that Section K of the **STC** places requirements on **Offshore Transmission Licensees** which utilise a **Transmission DC Converter** as part of their **Offshore Transmission System** to make appropriate provisions to enable **GB Generators** to fulfil their obligations.

- (viii) Each **OTSDUW DC Converter** must be capable of providing a continuous signal indicating the real time frequency measured at the **Interface Point** to the **Offshore Grid Entry Point**.
- (f) For the avoidance of doubt, the requirements of Appendix 3 do not apply to:
 - (i) Generating Units and/or CCGT Modules which have a Completion Date before 1 January 2001 in England and Wales, and before 1 April 2005 in Scotland, for whom the remaining requirements of this clause CC.6.3.7 shall continue to apply unchanged: or
 - (ii) **DC Converters** at a **DC Converter Station** which have a **Completion Date** before 1 April 2005; or
 - (iii) Onshore Power Park Modules in England and Wales with a Completion Date before 1 January 2006 for whom only the requirements of Limited Frequency Sensitive Mode (BC3.5.2) operation shall apply; or
 - (iv) Onshore Power Park Modules in operation in Scotland before 1 January 2006 for whom only the requirements of Limited Frequency Sensitive Mode (BC3.5.2) operation shall apply; or
 - (v) **Onshore Power Park Modules** in operation after 1 January 2006 in Scotland which have a **Completion Date** before 1 April 2005 for whom the remaining requirements of this clause CC.6.3.7 shall continue to apply unchanged; or
 - (vi) Offshore Power Park Modules which are in a Large Power Station with a Registered Capacity less than 50MW for whom only the requirements of Limited Frequency Sensitive Mode (BC3.5.2) operation shall apply; or

Excitation and Voltage Control Performance Requirements

- CC.6.3.8 (a) Excitation and voltage control performance requirements applicable to **Onshore Generating Units**, **Onshore Power Park Modules**, **Onshore DC Converters** and **OTSDUW Plant and Apparatus**.
 - (i) A continuously-acting automatic excitation control system is required to provide constant terminal voltage control of the **Onshore Synchronous Generating Unit** without instability over the entire operating range of the **Onshore Generating Unit**.
 - (ii) In respect of Onshore Synchronous Generating Units with a Completion Date before 1 January 2009, the requirements for excitation control facilities, including Power System Stabilisers, where in The Company's view these are necessary for system reasons, will be specified in the Bilateral Agreement. If any Modification to the excitation control facilities of such Onshore Synchronous Generating Units is made on or after 1 January 2009 the requirements that shall apply may be specified in the Bilateral Agreement as varied. To the extent that the Bilateral Agreement does not specify, the requirements given or referred to in CC.A.6 shall apply. The performance requirements for a continuously acting automatic excitation control system that shall be complied with by the GB Code User in respect of such Onshore Synchronous Generating Units with a Completion Date on or after 1 January 2009 are given or referred to in CC.A.6. Reference is made to on-load commissioning witnessed by The Company in BC2.11.2.
 - (iii) In the case of an Onshore Non-Synchronous Generating Unit, Onshore DC Converter, Onshore Power Park Module or OTSDUW Plant and Apparatus at the Interface Point a continuously-acting automatic control system is required to provide control of the voltage (or zero transfer of Reactive Power as applicable to CC.6.3.2) at the Onshore Grid Entry Point or User System Entry Point or in the

case of OTSDUW Plant and Apparatus at the Interface Point without instability over the entire operating range of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, Onshore Power Park Module or OTSDUW Plant and Apparatus. Any Plant or Apparatus used in the provisions of such voltage control within an Onshore Power Park Module may be located at the Power Park Unit terminals, an appropriate intermediate busbar or the Connection Point. OTSDUW Plant and Apparatus used in the provision of such voltage control may be located at the Offshore Grid Entry Point, an appropriate intermediate busbar or at the Interface Point. In the case of an Onshore Power Park Module in Scotland with a **Completion Date** before 1 January 2009, voltage control may be at the Power Park Unit terminals, an appropriate intermediate busbar or the Connection Point as specified in the Bilateral Agreement. When operating below 20% Rated MW the automatic control system may continue to provide voltage control utilising any available reactive capability. If voltage control is not being provided the automatic control system shall be designed to ensure a smooth transition between the shaded area bound by CD and the non shaded area bound by AB in Figure 1 of CC.6.3.2 (c).

- (iv) The performance requirements for a continuously acting automatic voltage control system in respect of Onshore Power Park Modules, Onshore Non-Synchronous Generating Units and Onshore DC Converters with a Completion Date before 1 January 2009 will be specified in the Bilateral Agreement. If any Modification to the continuously acting automatic voltage control system of such Onshore Power Park Modules, Onshore Non-Synchronous Generating Units and Onshore DC Converters is made on or after 1 January 2009 the requirements that shall apply may be specified in the Bilateral Agreement as varied. To the extent that the Bilateral Agreement does not specify, the requirements given or referred to in CC.A.7 shall apply. The performance requirements for a continuously acting automatic voltage control system that shall be complied with by the GB Code User in respect of Onshore Power Park Modules, Onshore Non-Synchronous Generating Units and Onshore DC Converters or OTSDUW Plant and Apparatus at the Interface Point with a Completion Date on or after 1 January 2009 are given or referred to in CC.A.7.
- (v) Unless otherwise required for testing in accordance with OC5.A.2, the automatic excitation control system of an Onshore Synchronous Generating Unit shall always be operated such that it controls the Onshore Synchronous Generating Unit terminal voltage to a value that is
 - equal to its rated value; or
 - only where provisions have been made in the **Bilateral Agreement**, greater than its rated value.
- (vi) In particular, other control facilities, including constant **Reactive Power** output control modes and constant **Power Factor** control modes (but excluding VAR limiters) are not required. However, if present in the excitation or voltage control system they will be disabled unless the **Bilateral Agreement** records otherwise. Operation of such control facilities will be in accordance with the provisions contained in **BC2**.
- (b) Excitation and voltage control performance requirements applicable to Offshore Generating Units at a Large Power Station, Offshore Power Park Modules at a Large Power Station and Offshore DC Converters at a Large Power Station.

A continuously acting automatic control system is required to provide either:

(i) control of Reactive Power (as specified in CC.6.3.2(e) (i) (ii)) at the Offshore Grid Entry Point without instability over the entire operating range of the Offshore Generating Unit, Offshore DC Converter or Offshore Power Park Module. The performance requirements for this automatic control system will be specified in the

Bilateral Agreement or;

(ii) where an alternative reactive capability has been specified in the Bilateral Agreement, in accordance with CC.6.3.2 (e) (iii), the Offshore Generating Unit, Offshore Power Park Module or Offshore DC Converter will be required to control voltage and / or Reactive Power without instability over the entire operating range of the Offshore Generating Unit, Offshore Power Park Module or Offshore DC Converter. The performance requirements of the control system will be specified in the Bilateral Agreement.

In addition to CC.6.3.8(b) (i) and (ii) the requirements for excitation control facilities, including **Power System Stabilisers**, where in **The Company's** view these are necessary for system reasons, will be specified in the **Bilateral Agreement**. Reference is made to on-load commissioning witnessed by **The Company** in BC2.11.2.

Steady state Load Inaccuracies

CC.6.3.9 The standard deviation of **Load** error at steady state **Load** over a 30 minute period must not exceed 2.5 per cent of a **Genset's Registered Capacity**. Where a **Genset** is instructed to **Frequency** sensitive operation, allowance will be made in determining whether there has been an error according to the governor droop characteristic registered under the **PC**.

For the avoidance of doubt in the case of a **Power Park Module** allowance will be made for the full variation of mechanical power output.

Negative Phase Sequence Loadings

CC.6.3.10 In addition to meeting the conditions specified in CC.6.1.5(b), each **Synchronous Generating Unit** will be required to withstand, without tripping, the negative phase sequence loading incurred by clearance of a close-up phase-to-phase fault, by **System Back-Up Protection** on the **National Electricity Transmission System** or **User System** located **Onshore** in which it is **Embedded**.

Neutral Earthing

CC.6.3.11 At nominal **System** voltages of 132kV and above the higher voltage windings of a transformer of a **Generating Unit**, **DC Converter**, **Power Park Module** or transformer resulting from **OTSDUW** must be star connected with the star point suitable for connection to earth. The earthing and lower voltage winding arrangement shall be such as to ensure that the **Earth Fault Factor** requirement of paragraph CC.6.2.1.1 (b) will be met on the **National Electricity Transmission System** at nominal **System** voltages of 132kV and above.

Frequency Sensitive Relays

- CC.6.3.12 As stated in CC.6.1.3, the **System Frequency** could rise to 52Hz or fall to 47Hz. Each **Generating Unit**, **DC Converter**, **OTSDUW Plant and Apparatus**, **Power Park Module** or any constituent element must continue to operate within this **Frequency** range for at least the periods of time given in CC.6.1.3 unless **The Company** has agreed to any **Frequency**-level relays and/or rate-of-change-of-**Frequency** relays which will trip such **Generating Unit**, **DC Converter**, **OTSDUW Plant and Apparatus**, **Power Park Module** and any constituent element within this **Frequency** range, under the **Bilateral Agreement**.
- CC.6.3.13 GB Generators (including in respect of OTSDUW Plant and Apparatus) and DC Converter Station owners will be responsible for protecting all their Generating Units (and OTSDUW Plant and Apparatus), DC Converters or Power Park Modules against damage should Frequency excursions outside the range 52Hz to 47Hz ever occur. Should such excursions occur, it is up to the GB Generator or DC Converter Station owner to decide whether to disconnect his Apparatus for reasons of safety of Apparatus, Plant and/or personnel.
- CC.6.3.14 It may be agreed in the **Bilateral Agreement** that a **Genset** shall have a **Fast-Start Capability**. Such **Gensets** may be used for **Operating Reserve** and their **Start-Up** may be initiated by **Frequency**-level relays with settings in the range 49Hz to 50Hz as specified pursuant to **OC2**.

CC.6.3.15 Fault Ride Through

This section sets out the fault ride through requirements on Generating Units, Power Park Modules, DC Converters and OTSDUW Plant and Apparatus. Onshore Generating Units, Onshore Power Park Modules, Onshore DC Converters (including Embedded Medium Power Stations and Embedded DC Converter Stations not subject to a Bilateral Agreement and with an Onshore User System Entry Point (irrespective of whether they are located Onshore or Offshore)) and OTSDUW Plant and Apparatus are required to operate through System faults and disturbances as defined in CC.6.3.15.1 (a), CC.6.3.15.1 (b) and CC.6.3.15.3. Offshore GB Generators in respect of Offshore Generating Units at a Large Power Station, Offshore Power Park Modules at a Large Power Station and DC Converter Station owners in respect of Offshore DC Converters at a Large Power Station shall have the option of meeting either:

- (i) CC.6.3.15.1 (a), CC.6.3.15.1 (b) and CC.6.3.15.3, or:
- (ii) CC.6.3.15.2 (a), CC.6.3.15.2 (b) and CC.6.3.15.3

Offshore GB Generators and Offshore DC Converter owners, should notify The Company which option they wish to select within 28 days (or such longer period as The Company may agree, in any event this being no later than 3 months before the Completion Date of the offer for a final CUSC Contract which would be made following the appointment of the Offshore Transmission Licensee).

- CC.6.3.15.1 Fault Ride through applicable to Generating Units, Power Park Modules and DC Converters and OTSDUW Plant and Apparatus
 - (a) Short circuit faults on the **Onshore Transmission System** (which may include an **Interface Point**) at **Supergrid Voltage** up to 140ms in duration.
 - Each Generating Unit, DC Converter, or Power Park Module and any (i) constituent Power Park Unit thereof and OTSDUW Plant and Apparatus shall remain transiently stable and connected to the System without tripping of any Generating Unit, DC Converter or Power Park Module and / or any constituent Power Park Unit, OTSDUW Plant and Apparatus, and for Plant and Apparatus installed on or after 1 December 2017, reactive compensation equipment, for a close-up solid three-phase short circuit fault or any unbalanced short circuit fault on the Onshore Transmission System (including in respect of OTSDUW Plant and Apparatus, the Interface Point) operating at Supergrid Voltages for a total fault clearance time of up to 140 ms. A solid three-phase or unbalanced earthed fault results in zero voltage on the faulted phase(s) at the point of fault. The duration of zero voltage is dependent on local **Protection** and circuit breaker operating times. This duration and the fault clearance times will be specified in the Bilateral Agreement. Following fault clearance, recovery of the Supergrid Voltage on the Onshore Transmission System to 90% may take longer than 140ms as illustrated in Appendix 4A Figures CC.A.4A.1 (a) and (b). It should be noted that in the case of an Offshore Generating Unit, Offshore DC Converter or Offshore Power Park Module (including any Offshore Power Park Unit thereof) which is connected to an Offshore Transmission System which includes a Transmission DC Converter as part of that Offshore Transmission System, the Offshore Grid Entry Point voltage may not indicate the presence of a fault on the Onshore **Transmission System.** The fault will affect the level of **Active Power** that can be transferred to the Onshore Transmission System and therefore subject the Offshore Generating Unit, Offshore DC Converter or Offshore Power Park Module (including any Offshore Power Park Unit thereof) to a load rejection.
 - (ii) Each Generating Unit, Power Park Module and OTSDUW Plant and Apparatus, shall be designed such that upon both clearance of the fault on the Onshore Transmission System as detailed in CC.6.3.15.1 (a) (i) and within 0.5 seconds of the restoration of the voltage at the Onshore Grid Entry Point (for Onshore Generating Units or Onshore Power Park Modules) or Interface Point (for Offshore Generating Units, Offshore Power Park Modules or OTSDUW Plant

and Apparatus) to the minimum levels specified in CC.6.1.4 (or within 0.5 seconds of restoration of the voltage at the User System Entry Point to 90% of nominal or greater if Embedded), Active Power output or in the case of OTSDUW Plant and Apparatus, Active Power transfer capability, shall be restored to at least 90% of the level available immediately before the fault. Once the Active Power output, or in the case of OTSDUW Plant and Apparatus, Active Power transfer capability, Active Power transfer capability, Active Power output, or in the case of OTSDUW Plant and Apparatus, Active Power transfer capability, has been restored to the required level, Active Power oscillations shall be acceptable provided that:

- the total **Active Energy** delivered during the period of the oscillations is at least that which would have been delivered if the **Active Power** was constant
- the oscillations are adequately damped

During the period of the fault as detailed in CC.6.3.15.1 (a) (i) for which the voltage at the **Grid Entry Point** (or **Interface Point** in the case of **OTSDUW Plant and Apparatus**) is outside the limits specified in CC.6.1.4, each **Generating Unit** or **Power Park Module** or **OTSDUW Plant and Apparatus** shall generate maximum reactive current without exceeding the transient rating limit of the **Generating Unit**, **OTSDUW Plant and Apparatus** or **Power Park Module** and / or any constituent **Power Park Unit** or reactive compensation equipment. For **Plant and Apparatus** installed on or after 1 December 2017, switched reactive compensation equipment (such as mechanically switched capacitors and reactors) shall be controlled such that it is not switched in or out of service during the fault but may act to assist in post fault voltage recovery.

- (iii) Each DC Converter shall be designed to meet the Active Power recovery characteristics (and OTSDUW DC Converter shall be designed to meet the Active Power transfer capability at the Interface Point) as specified in the Bilateral Agreement upon clearance of the fault on the Onshore Transmission System as detailed in CC.6.3.15.1 (a) (i).
- (b) **Supergrid Voltage** dips on the **Onshore Transmission System** greater than 140ms in duration
- (1b) Requirements applicable to **Synchronous Generating Units** subject to **Supergrid Voltage** dips on the **Onshore Transmission System** greater than 140ms in duration.

In addition to the requirements of CC.6.3.15.1 (a) each **Synchronous Generating Unit**, each with a **Completion Date** on or after **1 April 2005** shall:

(i) remain transiently stable and connected to the System without tripping of any Synchronous Generating Unit for balanced Supergrid Voltage dips and associated durations on the Onshore Transmission System (which could be at the Interface Point) anywhere on or above the heavy black line shown in Figure 5a. Appendix 4A and Figures CC.A.4A.3.2 (a), (b) and (c) provide an explanation and illustrations of Figure 5a; and,

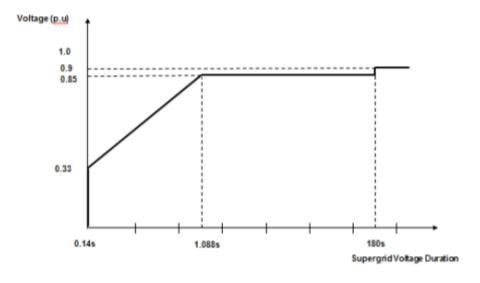


Figure 5a

- (ii) provide Active Power output at the Grid Entry Point, during Supergrid Voltage dips on the Onshore Transmission System as described in Figure 5a, at least in proportion to the retained balanced voltage at the Onshore Grid Entry Point (for Onshore Synchronous Generating Units) or Interface Point (for Offshore Synchronous Generating Units) (or the retained balanced voltage at the User System Entry Point if Embedded) and shall generate maximum reactive current (where the voltage at the Grid Entry Point is outside the limits specified in CC.6.1.4) without exceeding the transient rating limits of the Synchronous Generating Unit and,
- (iii) restore Active Power output following Supergrid Voltage dips on the Onshore Transmission System as described in Figure 5a, within 1 second of restoration of the voltage to 1.0p.u of the nominal voltage at the:

Onshore Grid Entry Point for directly connected Onshore Synchronous Generating Units or,

Interface Point for Offshore Synchronous Generating Units or,

User System Entry Point for Embedded Onshore Synchronous Generating Units or,

User System Entry Point for Embedded Medium Power Stations not subject to a Bilateral Agreement which comprise Synchronous Generating Units and with an Onshore User System Entry Point (irrespective of whether they are located Onshore or Offshore)

to at least 90% of the level available immediately before the occurrence of the dip. Once the **Active Power** output has been restored to the required level, **Active Power** oscillations shall be acceptable provided that:

- the total **Active Energy** delivered during the period of the oscillations is at least that which would have been delivered if the **Active Power** was constant
- the oscillations are adequately damped.

For the avoidance of doubt a balanced **Onshore Transmission System Supergrid Voltage** meets the requirements of CC.6.1.5 (b) and CC.6.1.6.

(2b) Requirements applicable to OTSDUW Plant and Apparatus and Power Park Modules subject to Supergrid Voltage dips on the Onshore Transmission System greater than 140ms in duration In addition to the requirements of CC.6.3.15.1 (a) each **OTSDUW Plant and Apparatus** or each **Power Park Module** and / or any constituent **Power Park Unit**, each with a **Completion Date** on or after the 1 April 2005 shall:

(i) remain transiently stable and connected to the System without tripping of any OTSDUW Plant and Apparatus, or Power Park Module and / or any constituent Power Park Unit, for balanced Supergrid Voltage dips and associated durations on the Onshore Transmission System (which could be at the Interface Point) anywhere on or above the heavy black line shown in Figure 5b. Appendix 4A and Figures CC.A.4A.3.4 (a), (b) and (c) provide an explanation and illustrations of Figure 5b; and,

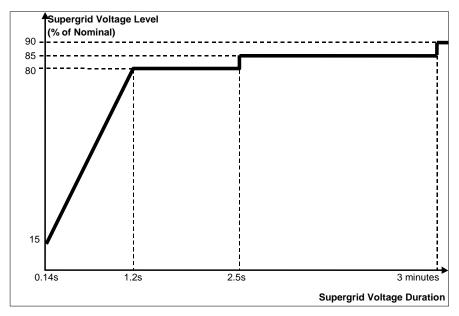


Figure 5b

- provide Active Power output at the Grid Entry Point or in the case of an (ii) OTSDUW, Active Power transfer capability at the Transmission Interface Point, during Supergrid Voltage dips on the Onshore Transmission System as described in Figure 5b, at least in proportion to the retained balanced voltage at the Onshore Grid Entry Point (for Onshore Power Park Modules) or Interface Point (for OTSDUW Plant and Apparatus and Offshore Power Park Modules) (or the retained balanced voltage at the User System Entry Point if Embedded) except in the case of a Non-Synchronous Generating Unit or OTSDUW Plant and Apparatus or Power Park Module where there has been a reduction in the Intermittent Power Source or in the case of OTSDUW Active Power transfer capability in the time range in Figure 5b that restricts the Active Power output or in the case of an OTSDUW Active Power transfer capability below this level and shall generate maximum reactive current (where the voltage at the Grid Entry Point, or in the case of an OTSDUW Plant and Apparatus, the Interface Point voltage, is outside the limits specified in CC.6.1.4) without exceeding the transient rating limits of the OTSDUW Plant and Apparatus or Power Park Module and any constituent Power Park Unit; and,
- (iii) restore Active Power output (or, in the case of OTSDUW, Active Power transfer capability), following Supergrid Voltage dips on the Onshore Transmission System as described in Figure 5b, within 1 second of restoration of the voltage at the:

Onshore Grid Entry Point for directly connected Onshore Power Park Modules or,

Interface Point for OTSDUW Plant and Apparatus and Offshore Power Park Modules or,

User System Entry Point for Embedded Onshore Power Park Modules or,

User System Entry Point for Embedded Medium Power Stations which comprise Power Park Modules not subject to a Bilateral Agreement and with an Onshore User System Entry Point (irrespective of whether they are located Onshore or Offshore)

to the minimum levels specified in CC.6.1.4 to at least 90% of the level available immediately before the occurrence of the dip except in the case of a **Non-Synchronous Generating Unit**, **OTSDUW Plant and Apparatus** or **Power Park Module** where there has been a reduction in the **Intermittent Power Source** in the time range in Figure 5b that restricts the **Active Power** output or, in the case of **OTSDUW**, **Active Power** transfer capability below this level. Once the **Active Power** output or, in the case of **OTSDUW**, **Active Power** transfer capability has been restored to the required level, **Active Power** oscillations shall be acceptable provided that:

- the total **Active Energy** delivered during the period of the oscillations is at least that which would have been delivered if the **Active Power** was constant
- the oscillations are adequately damped.

For the avoidance of doubt a balanced **Onshore Transmission System Supergrid Voltage** meets the requirements of CC.6.1.5 (b) and CC.6.1.6.

- CC.6.3.15.2 Fault Ride Through applicable to Offshore Generating Units at a Large Power Station, Offshore Power Park Modules at a Large Power Station and Offshore DC Converters at a Large Power Station who choose to meet the fault ride through requirements at the LV side of the Offshore Platform
 - (a) Requirements on Offshore Generating Units, Offshore Power Park Modules and Offshore DC Converters to withstand voltage dips on the LV Side of the Offshore Platform for up to 140ms in duration as a result of faults and / or voltage dips on the Onshore Transmission System operating at Supergrid Voltage
 - Each Offshore Generating Unit, Offshore DC Converter, or Offshore Power (i) Park Module and any constituent Power Park Unit thereof shall remain transiently stable and connected to the System without tripping of any Offshore Generating Unit, or Offshore DC Converter or Offshore Power Park Module and / or any constituent Power Park Unit or, in the case of Plant and Apparatus installed on or after 1 December 2017, reactive compensation equipment, for any balanced or unbalanced voltage dips on the LV Side of the Offshore Platform whose profile is anywhere on or above the heavy black line shown in Figure 6. For the avoidance of doubt, the profile beyond 140ms in Figure 6 shows the minimum recovery in voltage that will be seen by the generator following clearance of the fault at 140ms. Appendix 4B and Figures CC.A.4B.2 (a) and (b) provide further illustration of the voltage recovery profile that may be seen. It should be noted that in the case of an Offshore Generating Unit, Offshore DC Converter or Offshore Power Park Module (including any Offshore Power Park Unit thereof) which is connected to an Offshore Transmission System which includes a Transmission DC Converter as part of that Offshore Transmission System, the Offshore Grid Entry Point voltage may not indicate the presence of a fault on the Onshore Transmission System. The voltage dip will affect the level of Active Power that can be transferred to the **Onshore Transmission System** and therefore subject the Offshore Generating Unit, Offshore DC Converter or Offshore Power Park Module (including any Offshore Power Park Unit thereof) to a load rejection.

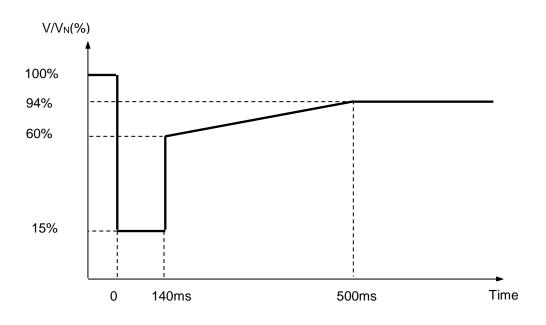


Figure 6

 V/V_N is the ratio of the actual voltage on one or more phases at the LV Side of the Offshore Platform to the nominal voltage of the LV Side of the Offshore Platform.

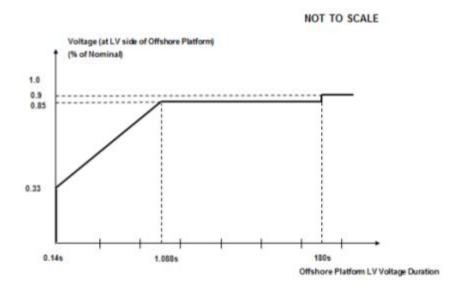
- (ii) Each Offshore Generating Unit, or Offshore Power Park Module and any constituent Power Park Unit thereof shall provide Active Power output, during voltage dips on the LV Side of the Offshore Platform as described in Figure 6, at least in proportion to the retained voltage at the LV Side of the Offshore Platform except in the case of an Offshore Non-Synchronous Generating Unit or Offshore Power Park Module where there has been a reduction in the Intermittent Power Source in the time range in Figure 6 that restricts the Active Power output below this level and shall generate maximum reactive current without exceeding the transient rating limits of the Offshore Generating Unit or Offshore Power Park Module and any constituent Power Park Unit or, in the case of Plant and Apparatus installed on or after 1 December 2017, reactive compensation equipment. Once the Active Power output has been restored to the required level, Active Power oscillations shall be acceptable provided that:
 - the total **Active Energy** delivered during the period of the oscillations is at least that which would have been delivered if the **Active Power** was constant
 - the oscillations are adequately damped

and;

- (iii) Each **Offshore DC Converter** shall be designed to meet the **Active Power** recovery characteristics as specified in the **Bilateral Agreement** upon restoration of the voltage at the **LV Side of the Offshore Platform**.
- (b) Requirements of **Offshore Generating Units**, **Offshore Power Park Modules**, to withstand voltage dips on the **LV Side of the Offshore Platform** greater than 140ms in duration.
- (1b) Requirements applicable to **Offshore Synchronous Generating Units** to withstand voltage dips on the **LV Side of the Offshore Platform** greater than 140ms in duration.

In addition to the requirements of CC.6.3.15.2. (a) each **Offshore Synchronous Generating Unit** shall:

(i) remain transiently stable and connected to the System without tripping of any Offshore Synchronous Generating Unit for any balanced voltage dips on the LV side of the Offshore Platform and associated durations anywhere on or above the heavy black line shown in Figure 7a. Appendix 4B and Figures CC.A.4B.3.2 (a), (b) and (c) provide an explanation and illustrations of Figure 7a. It should be noted that in the case of an Offshore Synchronous Generating Unit which is connected to an Offshore Transmission System which includes a Transmission DC Converter as part of that Offshore Transmission System, the Offshore Grid Entry Point voltage may not indicate the presence of a voltage dip on the Onshore Transmission System. The voltage dip will affect the level of Active Power that can be transferred to the Onshore Transmission System and therefore subject the Offshore Generating Unit, to a load rejection.



- (ii) provide Active Power output, during voltage dips on the LV Side of the Offshore Platform as described in Figure 7a, at least in proportion to the retained balanced or unbalanced voltage at the LV Side of the Offshore Platform and shall generate maximum reactive current (where the voltage at the Offshore Grid Entry Point is outside the limits specified in CC.6.1.4) without exceeding the transient rating limits of the Offshore Synchronous Generating Unit and,
- (iii) within 1 second of restoration of the voltage to 1.0p.u of the nominal voltage at the LV Side of the Offshore Platform, restore Active Power to at least 90% of the Offshore Synchronous Generating Unit's immediate pre-disturbed value, unless there has been a reduction in the Intermittent Power Source in the time range in Figure 7a that restricts the Active Power output below this level. Once the Active Power output has been restored to the required level, Active Power oscillations shall be acceptable provided that:
 - the total **Active Energy** delivered during the period of the oscillations is at least that which would have been delivered if the **Active Power** was constant
 - the oscillations are adequately damped
- (2b) Requirements applicable to **Offshore Power Park Modules** to withstand voltage dips on the **LV Side of the Offshore Platform** greater than 140ms in duration.

In addition to the requirements of CC.6.3.15.2. (a) each **Offshore Power Park Module** and / or any constituent **Power Park Unit**, shall:

(i) remain transiently stable and connected to the System without tripping of any Offshore Power Park Module and / or any constituent Power Park Unit, for any balanced voltage dips on the LV side of the Offshore Platform and associated durations anywhere on or above the heavy black line shown in Figure 7b. Appendix 4B and Figures CC.A.4B.5. (a), (b) and (c) provide an explanation and illustrations of Figure 7b. It should be noted that in the case of an Offshore Power Park Module (including any Offshore Power Park Unit thereof) which is connected to an Offshore Transmission System which includes a Transmission DC Converter as part of that Offshore Transmission System, the Offshore Grid Entry Point voltage may not indicate the presence of a voltage dip on the Onshore Transmission System. The voltage dip will affect the level of Active Power that can be transferred to the Onshore Transmission System and therefore subject the Offshore Power Park Module (including any Offshore Power Park Module (including any Offshore Power Park Module for a voltage dip on the Onshore Transmission System). The voltage dip will affect the level of Active Power that can be transferred to the Onshore Transmission System and therefore subject the Offshore Power Park Module (including any Offshore Power Park Unit thereof) to a load rejection.

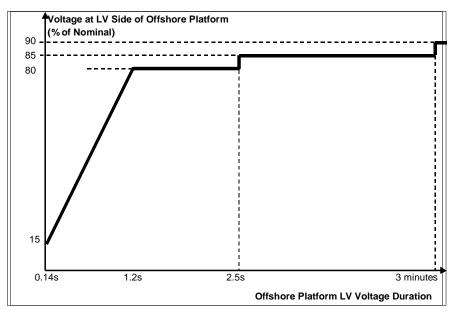


Figure 7b

- (ii) provide Active Power output, during voltage dips_on the LV Side of the Offshore Platform as described in Figure 7b, at least in proportion to the retained balanced or unbalanced voltage at the LV Side of the Offshore Platform except in the case of an Offshore Non-Synchronous Generating Unit or Offshore Power Park Module where there has been a reduction in the Intermittent Power Source in the time range in Figure 7b that restricts the Active Power output below this level and shall generate maximum reactive current (where the voltage at the Offshore Grid Entry Point is outside the limits specified in CC.6.1.4) without exceeding the transient rating limits of the Offshore Power Park Module and any constituent Power Park Unit or reactive compensation equipment. For Plant and Apparatus installed on or after 1 December 2017, switched reactive compensation equipment (such as mechanically switched capacitors and reactors) shall be controlled such that it is not switched in or out of service during the fault but may act to assist in post fault voltage recovery; and,
- (iii) within 1 second of the restoration of the voltage at the LV Side of the Offshore Platform (to the minimum levels specified in CC.6.1.4) restore Active Power to at least 90% of the Offshore Power Park Module's immediate pre-disturbed value, unless there has been a reduction in the Intermittent Power Source in the time range in Figure 7b that restricts the Active Power output below this level. Once the Active Power output has been restored to the required level, Active Power oscillations shall be acceptable provided that:

- the total **Active Energy** delivered during the period of the oscillations is at least that which would have been delivered if the **Active Power** was constant
- the oscillations are adequately damped

CC.6.3.15.3 Other Requirements

- (i) In the case of a Power Park Module (comprising of wind-turbine generator units), the requirements in CC.6.3.15.1 and CC.6.3.15.2 do not apply when the Power Park Module is operating at less than 5% of its Rated MW or during very high wind speed conditions when more than 50% of the wind turbine generator units in a Power Park Module have been shut down or disconnected under an emergency shutdown sequence to protect GB Code User's Plant and Apparatus.
- (ii) In addition to meeting the conditions specified in CC.6.1.5(b) and CC.6.1.6, each Non-Synchronous Generating Unit, OTSDUW Plant and Apparatus or Power Park Module with a Completion Date after 1 April 2005 and any constituent Power Park Unit thereof will be required to withstand, without tripping, the negative phase sequence loading incurred by clearance of a close-up phase-to-phase fault, by System Back-Up Protection on the Onshore Transmission System operating at Supergrid Voltage.
- (iii) In the case of an Onshore Power Park Module in Scotland with a Completion Date before 1 January 2004 and a Registered Capacity less than 30MW the requirements in CC.6.3.15.1 (a) do not apply. In the case of an Onshore Power Park Module in Scotland with a Completion Date on or after 1 January 2004 and before 1 July 2005 and a Registered Capacity less than 30MW the requirements in CC.6.3.15.1 (a) are relaxed from the minimum Onshore Transmission System Supergrid Voltage of zero to a minimum Onshore Transmission System Supergrid Voltage of 15% of nominal. In the case of an Onshore Power Park Module in Scotland with a Completion Date before 1 January 2004 and a Registered Capacity of 30MW and above the requirements in CC.6.3.15.1 (a) are relaxed from the minimum Onshore Transmission System Supergrid Voltage of zero to a minimum Onshore Transmission System Supergrid Voltage of zero to a minimum Onshore Transmission System Supergrid Voltage of 2ero to a minimum Onshore Transmission System Supergrid Voltage of zero to a minimum Onshore Transmission System Supergrid Voltage of 2ero to a minimum Onshore Transmission System Supergrid Voltage of 15% of nominal.
- (iv) To avoid unwanted island operation, Non-Synchronous Generating Units in Scotland (and those directly connected to a Scottish Offshore Transmission System), Power Park Modules in Scotland (and those directly connected to a Scottish Offshore Transmission System), or OTSDUW Plant and Apparatus with an Interface Point in Scotland shall be tripped for the following conditions:
 - (1) **Frequency** above 52Hz for more than 2 seconds
 - (2) **Frequency** below 47Hz for more than 2 seconds
 - (3) Voltage as measured at the Onshore Connection Point or Onshore User System Entry Point or Offshore Grid Entry Point or Interface Point in the case of OTSDUW Plant and Apparatus is below 80% for more than 2.5 seconds
 - (4) Voltage as measured at the Onshore Connection Point or Onshore User System Entry Point or Offshore Grid Entry Point or Interface Point in the case of OTSDUW Plant and Apparatus is above 120% (115% for 275kV) for more than 1 second.

The times in sections (1) and (2) are maximum trip times. Shorter times may be used to protect the **Non-Synchronous Generating Units**, or **OTSDUW Plant and Apparatus** or **Power Park Modules**.

Additional Damping Control Facilities for DC Converters

- (a) DC Converter owners, or GB Generators in respect of OTSDUW DC Converters or Network Operators in the case of an Embedded DC Converter Station not subject to a Bilateral Agreement must ensure that any of their Onshore DC Converters or OTSDUW DC Converters will not cause a sub-synchronous resonance problem on the Total System. Each DC Converter or OTSDUW DC Converter is required to be provided with sub-synchronous resonance damping control facilities.
 - (b) Where specified in the Bilateral Agreement, each DC Converter or OTSDUW DC Converter is required to be provided with power oscillation damping or any other identified additional control facilities.

System to Generator Operational Intertripping Scheme

- CC.6.3.17 **The Company** may require that a **System to Generator Operational Intertripping Scheme** be installed as part of a condition of the connection of the **GB Generator**. Scheme specific details shall be included in the relevant **Bilateral Agreement** and shall, in respect of **Bilateral Agreements** entered into on or after 16th March 2009 include the following information:
 - the relevant category(ies) of the scheme (referred to as Category 1 Intertripping Scheme, Category 2 Intertripping Scheme, Category 3 Intertripping Scheme and Category 4 Intertripping Scheme);
 - (2) the **Generating Unit(s)** or **CCGT Module(**s) or **Power Park Module(s)** to be either permanently armed or that can be instructed to be armed in accordance with BC2.8;
 - (3) the time within which the Generating Unit(s) or CCGT Module(s) or Power Park Module(s) circuit breaker(s) are to be automatically tripped;
 - (4) the location to which the trip signal will be provided by The Company. Such location will be provided by The Company prior to the commissioning of the Generating Unit(s) or CCGT Module(s) or Power Park Module(s).

Where applicable, the **Bilateral Agreement** shall include the conditions on the **National Electricity Transmission System** during which **The Company** may instruct the **System to Generator Operational Intertripping Scheme** to be armed and the conditions that would initiate a trip signal.

- CC.6.3.18 The time within which the **Generating Unit(s)** or **CCGT Module** or **Power Park Module** circuit breaker(s) need to be automatically tripped is determined by the specific conditions local to the **GB Generator**. This 'time to trip' (defined as time from provision of the trip signal by **The Company** to the specified location, to circuit breaker main contact opening) can typically range from 100ms to 10sec. A longer time to trip may allow the initiation of an automatic reduction in the **Generating Unit(s)** or **CCGT Module(s)** or **Power Park Module(s)** output prior to the automatic tripping of the **Generating Unit(s)** or **CCGT Module(s)** or **Power Park Module(s)** circuit breaker. Where applicable **The Company** may provide separate trip signals to allow for either a longer or shorter 'time to trip' to be initiated.
- CC.6.4 General Network Operator And Non-Embedded Customer Requirements
- CC.6.4.1 This part of the **Grid Code** describes the technical and design criteria and performance requirements for **Network Operators** and **Non-Embedded Customers**.

Neutral Earthing

CC.6.4.2 At nominal **System** voltages of 132kV and above the higher voltage windings of three phase transformers and transformer banks connected to the **National Electricity Transmission System** must be star connected with the star point suitable for connection to earth. The earthing and lower voltage winding arrangement shall be such as to ensure that the **Earth Fault Factor** requirement of paragraph CC.6.2.1.1 (b) will be met on the **National Electricity Transmission System** at nominal **System** voltages of 132kV and above.

Frequency Sensitive Relays

CC.6.4.3 As explained under OC6, each Network Operator, will make arrangements that will facilitate automatic low Frequency Disconnection of Demand (based on Annual ACS Conditions). CC.A.5.5. of Appendix 5 includes specifications of the local percentage Demand that shall be disconnected at specific frequencies. The manner in which Demand subject to low Frequency disconnection will be split into discrete MW blocks is specified in OC6.6. Technical requirements relating to Low Frequency Relays are also listed in Appendix 5.

Operational Metering

CC.6.4.4 Where The Company can reasonably demonstrate that an Embedded Medium Power Station or Embedded DC Converter Station has a significant effect on the National Electricity Transmission System, it may require the Network Operator within whose System the Embedded Medium Power Station or Embedded DC Converter Station is situated to ensure that the operational metering equipment described in CC.6.5.6 is installed such that The Company can receive the data referred to in CC.6.5.6. In the case of an Embedded Medium Power Station subject to, or proposed to be subject to a Bilateral Agreement The Company shall notify such Network Operator of the details of such installation in writing within 3 months of being notified of the application to connect under CUSC and in the case of an Embedded Medium Power Station not subject to, or not proposed to be subject to a Bilateral Agreement in writing as a Site Specific Requirement in accordance with the timescales in CUSC 6.5.6. In either case the Network Operator shall ensure that the data referred to in CC.6.5.6 is provided to The Company.

CC.6.5 <u>Communications Plant</u>

- CC.6.5.1 In order to ensure control of the National Electricity Transmission System, telecommunications between GB Code Users and The Company must (including in respect of any OTSDUW Plant and Apparatus at the OTSUA Transfer Time), if required by The Company, be established in accordance with the requirements set down below.
- CC.6.5.2 Control Telephony and System Telephony
- CC.6.5.2.1 Control Telephony is the principle method by which a User's Responsible Engineer/Operator and The Company's Control Engineers speak to one another for the purposes of control of the Total System in both normal and emergency operating conditions. Control Telephony provides secure point to point telephony for routine Control Calls, priority Control Calls and emergency Control Calls.
- CC.6.5.2.2 System Telephony is an alternate method by which a User's Responsible Engineer/Operator and The Company's Control Engineers speak to one another for the purposes of control of the Total System in both normal operating conditions and where practicable, emergency operating conditions. System Telephony uses the Public Switched Telephony Network to provide telephony for Control Calls, inclusive of emergency Control Calls.
- CC.6.5.2.3 Calls made and received over **Control Telephony** and **System Telephony** may be recorded and subsequently replayed for commercial and operational reasons.
- CC.6.5.3 <u>Supervisory Tones</u>
- CC.6.5.3.1 **Control Telephony** supervisory tones indicate to the calling and receiving parties dial, engaged, ringing, secondary engaged (signifying that priority may be exercised) and priority disconnect tones.

- CC.6.5.3.2 **System Telephony** supervisory tones indicate to the calling and receiving parties dial, engaged and ringing tones.
- CC.6.5.4 Obligations in respect of Control Telephony and System Telephony
- CC.6.5.4.1 Where **The Company** requires **Control Telephony**, **Users** are required to use the **Control Telephony** with **The Company** in respect of all **Connection Points** with the **National Electricity Transmission System** and in respect of all **Embedded Large Power Stations** and **Embedded DC Converter Stations**. **The Company** will have **Control Telephony** installed at the **GB Code User's Control Point** where the **GB Code User's** telephony equipment is not capable of providing the required facilities or is otherwise incompatible with the **Transmission Control Telephony**. Details of and relating to the **Control Telephony** required are contained in the **Bilateral Agreement**.
- CC.6.5.4.2 Where in **The Company's** sole opinion the installation of **Control Telephony** is not practicable at a **GB Code User's Control Point(s)**, **The Company** shall specify in the **Bilateral Agreement** whether **System Telephony** is required. Where **System Telephony** is required by **The Company**, the **GB Code User** shall ensure that **System Telephony** is installed.
- CC.6.5.4.3 Where **System Telephony** is installed, **GB Code Users** are required to use the **System Telephony** with **The Company** in respect of those **Control Point(s)** for which it has been installed. Details of and relating to the **System Telephony** required are contained in the **Bilateral Agreement**.
- CC.6.5.4.4 Where **Control Telephony** or **System Telephony** is installed, routine testing of such facilities may be required by **The Company** (not normally more than once in any calendar month). The **GB Code User** and **The Company** shall use reasonable endeavours to agree a test programme and where **The Company** requests the assistance of the **GB Code User** in performing the agreed test programme the **User** shall provide such assistance.
- CC.6.5.4.5 **Control Telephony** and **System Telephony** shall only be used for the purposes of operational voice communication between **The Company** and the relevant **User**.
- CC.6.5.4.6 **Control Telephony** contains emergency calling functionality to be used for urgent operational communication only. Such functionality enables **The Company** and **Users** to utilise a priority call in the event of an emergency. **The Company** and **GB Code Users** shall only use such priority call functionality for urgent operational communications.
- CC.6.5.5 Technical Requirements for Control Telephony and System Telephony
- CC.6.5.5.1 Detailed information on the technical interfaces and support requirements for **Control Telephony** is provided in the **Control Telephony Electrical Standard** identified in the Annex to the **General Conditions**. Where additional information, or information in relation to **Control Telephony** applicable in Scotland, is requested by **GB Code Users**, this will be provided, where possible, by **The Company**.
- CC.6.5.5.2 System Telephony shall consist of a dedicated Public Switched Telephone Network telephone line that shall be installed and configured by the relevant GB Code User. The Company shall provide a dedicated free phone number (UK only), for the purposes of receiving incoming calls to The Company, which GB Code Users shall utilise for System Telephony. System Telephony shall only be utilised by The Company Control Engineer and the GB Code User's Responsible Engineer/Operator for the purposes of operational communications.

Operational Metering

- (a) NGET shall provide system control and data acquisition (SCADA) outstation interface equipment. The GB Code User shall provide such voltage, current, Frequency, Active Power and Reactive Power measurement outputs and plant status indications and alarms to the Transmission SCADA outstation interface equipment as required by The Company in accordance with the terms of the Bilateral Agreement. In the case of OTSDUW, the GB Code User shall provide such SCADA outstation interface equipment and voltage, current, Frequency, Active Power and Reactive Power measurement outputs and plant status indications and alarms to the SCADA outstation interface equipment and voltage, current, Frequency, Active Power and Reactive Power measurement outputs and plant status indications and alarms to the SCADA outstation interface equipment as required by The Company in accordance with the terms of the Bilateral Agreement.
 - (b) For the avoidance of doubt, for **Active Power** and **Reactive Power** measurements, circuit breaker and disconnector status indications from:
 - (i) CCGT Modules at Large Power Stations, the outputs and status indications must each be provided to The Company on an individual CCGT Unit basis. In addition, where identified in the Bilateral Agreement, Active Power and Reactive Power measurements from Unit Transformers and/or Station Transformers must be provided.
 - (ii) DC Converters at DC Converter Stations and OTSDUW DC Converters, the outputs and status indications must each be provided to The Company on an individual DC Converter basis. In addition, where identified in the Bilateral Agreement, Active Power and Reactive Power measurements from converter and/or station transformers must be provided.
 - (iii) Power Park Modules at Embedded Large Power Stations and at directly connected Power Stations, the outputs and status indications must each be provided to The Company on an individual Power Park Module basis. In addition, where identified in the Bilateral Agreement, Active Power and Reactive Power measurements from station transformers must be provided.
 - (iv) In respect of OTSDUW Plant and Apparatus, the outputs and status indications must be provided to The Company for each piece of electrical equipment. In addition, where identified in the Bilateral Agreement, Active Power and Reactive Power measurements at the Interface Point must be provided.
 - (c) For the avoidance of doubt, the requirements of CC.6.5.6(a) in the case of a Cascade Hydro Scheme will be provided for each Generating Unit forming part of that Cascade Hydro Scheme. In the case of Embedded Generating Units forming part of a Cascade Hydro Scheme the data may be provided by means other than NGET SCADA outstation located at the Power Station, such as, with the agreement of the Network Operator in whose system such Embedded Generating Unit is located, from the Network Operator's SCADA system to NGET. Details of such arrangements will be contained in the relevant Bilateral Agreements between The Company and the GB Generator and the Network Operator.
 - (d) In the case of a Power Park Module, additional energy input signals (e.g. wind speed, and wind direction) may be specified in the Bilateral Agreement. For Power Park Modules with a Completion Date on or after 1st April 2016 a Power Available signal will also be specified in the Bilateral Agreement. The signals would be used to establish the potential level of energy input from the Intermittent Power Source for monitoring pursuant to CC.6.6.1 and Ancillary Services and will, in the case of a wind farm, be used to provide The Company with advanced warning of excess wind speed shutdown and to determine the level of Headroom available from Power Park Modules for the purposes of calculating response and reserve. For the avoidance of doubt, the Power Available signal would be automatically provided to The Company and represent the sum of the potential output of all available and operational Power Park Units within the Power Park Module. The refresh rate of the Power Available signal shall be specified in the Bilateral Agreement.

CC.6.5.7 The **User** shall accommodate **Instructor Facilities** provided by **The Company** for the receipt of operational messages relating to **System** conditions.

Electronic Data Communication Facilities

- CC.6.5.8 (a) All **BM Participants** must ensure that appropriate electronic data communication facilities are in place to permit the submission of data, as required by the **Grid Code**, to **The Company**.
 - (b) In addition,
 - (1) any **GB Code User** that wishes to participate in the **Balancing Mechanism**;
 - or
 - (2) any BM Participant in respect of its BM Units at a Power Station where the Construction Agreement and/or a Bilateral Agreement has a Completion Date on or after 1 January 2013 and the BM Participant is required to provide all Part 1 System Ancillary Services in accordance with CC.8.1 (unless The Company has otherwise agreed)

must ensure that appropriate automatic logging devices are installed at the **Control Points** of its **BM Units** to submit data to and to receive instructions from **The Company**, as required by the **Grid Code**. For the avoidance of doubt, in the case of an **Interconnector User** the **Control Point** will be at the **Control Centre** of the appropriate **Externally Interconnected System Operator**.

(c) Detailed specifications of these required electronic facilities will be provided by **The Company** on request and they are listed as **Electrical Standards** in the Annex to the **General Conditions**.

Facsimile Machines

- CC.6.5.9 Each **GB Code User** and **The Company** shall provide a facsimile machine or machines:
 - (a) in the case of **GB Generators**, at the **Control Point** of each **Power Station** and at its **Trading Point**;
 - (b) in the case of The Company and Network Operators, at the Control Centre(s); and
 - (c) in the case of **Non-Embedded Customers** and **DC Converter Station** owners at the **Control Point**.

Each **GB Code User** shall notify, prior to connection to the **System** of the **GB Code User's Plant and Apparatus**, **The Company** of its or their telephone number or numbers, and will notify **The Company** of any changes. Prior to connection to the **System** of the **GB Code User's Plant** and **Apparatus The Company** shall notify each **GB Code User** of the telephone number or numbers of its facsimile machine or machines and will notify any changes.

CC.6.5.10 Busbar Voltage

NGET shall, subject as provided below, provide each GB Generator or DC Converter Station owner at each Grid Entry Point where one of its Power Stations or DC Converter Stations is connected with appropriate voltage signals to enable the GB Generator or DC Converter Station owner to obtain the necessary information to permit its Gensets or DC Converters to be Synchronised to the National Electricity Transmission System. The term "voltage signal" shall mean in this context, a point of connection on (or wire or wires from) a relevant part of Transmission Plant and/or Apparatus at the Grid Entry Point, to which the GB Generator or DC Converter Station owner, with The Company's agreement (not to be unreasonably withheld) in relation to the Plant and/or Apparatus to be attached, will be able to attach its Plant and/or Apparatus (normally a wire or wires) in order to obtain measurement outputs in relation to the busbar.

CC.6.5.11 Bilingual Message Facilities

- (a) A Bilingual Message Facility is the method by which the User's Responsible Engineer/Operator, the Externally Interconnected System Operator and The Company's Control Engineers communicate clear and unambiguous information in two languages for the purposes of control of the Total System in both normal and emergency operating conditions.
- (b) A Bilingual Message Facility, where required, will provide up to two hundred pre-defined messages with up to five hundred and sixty characters each. A maximum of one minute is allowed for the transmission to, and display of, the selected message at any destination. The standard messages must be capable of being displayed at any combination of locations and can originate from any of these locations. Messages displayed in the UK will be displayed in the English language.
- (c) Detailed information on a Bilingual Message Facility and suitable equipment required for individual **GB Code User** applications will be provided by **The Company** upon request.

CC.6.6 System Monitoring

- CC.6.6.1 Monitoring equipment is provided on the National Electricity Transmission System to enable The Company to monitor its power system dynamic performance conditions. Where this monitoring equipment requires voltage and current signals on the Generating Unit (other than Power Park Unit), DC Converter or Power Park Module circuit from the GB Code User or from OTSDUW Plant and Apparatus, The Company will inform the GB Code User and they will be provided by the GB Code User with both the timing of the installation of the equipment for receiving such signals and its exact position being agreed (the GB Code User's agreement not to be unreasonably withheld) and the costs being dealt with, pursuant to the terms of the Bilateral Agreement.
- CC.6.6.2 For all on site monitoring by **The Company** of witnessed tests pursuant to the **CP** or **OC5** the **GB Code User** shall provide suitable test signals as outlined in OC5.A.1.
- CC.6.6.2.1 The signals which shall be provided by the **GB Code User** to **The Company** for onsite monitoring shall be of the following resolution, unless otherwise agreed by **The Company**:
 - (i) 1 Hz for reactive range tests
 - (ii) 10 Hz for frequency control tests
 - (iii) 100 Hz for voltage control tests
- CC.6.6.2.2 The **GB Code User** will provide all relevant signals for this purpose in the form of d.c. voltages within the range -10V to +10V. In exceptional circumstances some signals may be accepted as d.c. voltages within the range -60V to +60V with prior agreement between the **GB Code User** and **The Company**. All signals shall:
 - (i) in the case of an **Onshore Power Park Module**, **DC Convertor Station** or **Synchronous Generating Unit**, be suitably terminated in a single accessible location at the **GB Generator** or **DC Converter Station** owner's site.
 - (ii) in the case of an Offshore Power Park Module and OTSDUW Plant and Apparatus, be transmitted onshore without attenuation, delay or filtering which would result in the inability to fully demonstrate the objectives of the test, or identify any potential safety or plant instability issues, and be suitably terminated in a single robust location normally located at or near the onshore Interface Point of the Offshore Transmission System to which it is connected.
- CC.6.6.2.3 All signals shall be suitably scaled across the range. The following scaling would (unless **The Company** notify the **GB Code User** otherwise) be acceptable to **The Company**:
 - (a) 0MW to Registered Capacity or Interface Point Capacity 0-8V dc
 - (b) Maximum leading Reactive Power to maximum lagging Reactive Power -8 to 8V dc
 - (c) 48 52Hz as -8 to 8V dc
 - (d) Nominal terminal or connection point voltage -10% to +10% as -8 to 8V dc

- CC.6.6.2.4 The **GB Code User** shall provide to **The Company** a 230V power supply adjacent to the signal terminal location.
- CC.7 SITE RELATED CONDITIONS
- CC.7.1 Not used.
- CC.7.2 <u>Responsibilities For Safety</u>
- CC.7.2.1 Any User entering and working on its Plant and/or Apparatus (including, until the OTSUA Transfer Time, any OTSUA) on a Transmission Site will work to the Safety Rules of the Relevant Transmission Licensee, as advised by The Company.
- CC.7.2.2 For User Sites, The Company shall procure that the Relevant Transmission Licensee entering and working on Transmission Plant and/or Apparatus on a User Site will work to the User's Safety Rules.
- CC.7.2.3 A User may, with a minimum of six weeks notice, apply to **The Company** for permission to work according to that Users own **Safety Rules** when working on its **Plant** and/or **Apparatus** on a **Transmission Site** rather than those set out in CC.7.2.1. If **The Company** is of the opinion that the **User's Safety Rules** provide for a level of safety commensurate with those set out in CC.7.2.1, **The Company** will notify the **User**, in writing, that, with effect from the date requested by the **User**, the **User** may use its own **Safety Rules** when working on its **Plant** and/or **Apparatus** on the **Transmission Site**. In forming its opinion, **The Company** will seek the opinion of the **Relevant Transmission Licensee**. Until receipt of such written approval from **The Company**, the **GB Code User** will continue to use the **Safety Rules** as set out in CC.7.2.1.
- CC.7.2.4 In the case of a User Site, The Company may, with a minimum of six weeks notice, apply to a User for permission for the Relevant Transmission Licensee to work according to the Relevant Transmission Licensee's Safety Rules when working on Transmission Plant and/or Apparatus on that User Site, rather than the User's Safety Rules. If the User is of the opinion that the Relevant Transmission Licensee's Safety Rules, provide for a level of safety commensurate with that of that User's Safety Rules, it will notify The Company, in writing, that, with effect from the date requested by The Company, that the Relevant Transmission Licensee may use its own Safety Rules when working on its Transmission Plant and/or Apparatus on that User's Site. Until receipt of such written approval from the User, The Company shall procure that the Relevant Transmission Licensee shall continue to use the User's Safety Rules.
- CC.7.2.5 For a Transmission Site, if The Company gives its approval for the User's Safety Rules to apply to the User when working on its Plant and/or Apparatus, that does not imply that the User's Safety Rules will apply to entering the Transmission Site and access to the User's Plant and/or Apparatus on that Transmission Site. Bearing in mind the Relevant Transmission Licensee's responsibility for the whole Transmission Site, entry and access will always be in accordance with the Relevant Transmission Licensee's site access procedures. For a User Site, if the User gives its approval for Relevant Transmission Licensee Safety Rules to apply to the Relevant Transmission Licensee when working on its Plant and Apparatus, that does not imply that the Relevant Transmission Licensee's Safety Rules will apply to entering the User Site, and access to the Transmission Plant and Apparatus on that User Site. Bearing in mind the User's responsibility for the whole User Site, entry and access will always be in accordance with the User's site access procedures.
- CC.7.2.6 For User Sites, Users shall notify The Company of any Safety Rules that apply to the Relevant Transmission Licensee's staff working on User Sites. The Company shall procure that the Relevant Transmission Licensee shall notify Users of any Safety Rules that apply to the User's staff working on the Transmission Site.
- CC.7.2.7 Each **Site Responsibility Schedule** must have recorded on it the **Safety Rules** which apply to each item of **Plant** and/or **Apparatus**.

- CC.7.2.8 In the case of **OTSUA** a **User Site** or **Transmission Site** shall, for the purposes of this CC.7.2, include a site at which there is an **Interface Point** until the **OTSUA Transfer Time** when it becomes part of the **National Electricity Transmission System**.
- CC.7.3 <u>Site Responsibility Schedules</u>
- CC.7.3.1 In order to inform site operational staff and **The Company's Control Engineers** of agreed responsibilities for **Plant** and/or **Apparatus** at the operational interface, a **Site Responsibility Schedule** shall be produced for **Connection Sites** (and in the case of **OTSUA**, until the **OTSUA Transfer Time**, **Interface Sites**) for **The Company**, the **Relevant Transmission Licensee** and **Users** with whom they interface.
- CC.7.3.2 The format, principles and basic procedure to be used in the preparation of **Site Responsibility Schedules** are set down in Appendix 1.
- CC.7.4 Operation And Gas Zone Diagrams

Operation Diagrams

- CC.7.4.1 An Operation Diagram shall be prepared for each Connection Site at which a Connection Point exists (and in the case of OTSDUW Plant and Apparatus, by User's for each Interface Point) using, where appropriate, the graphical symbols shown in Part 1A of Appendix 2. Users should also note that the provisions of OC11 apply in certain circumstances.
- CC.7.4.2 The **Operation Diagram** shall include all **HV Apparatus** and the connections to all external circuits and incorporate numbering, nomenclature and labelling, as set out in **OC11**. At those **Connection Sites** (or in the case of **OTSDUW Plant and Apparatus**, **Interface Points**) where gas-insulated metal enclosed switchgear and/or other gas-insulated **HV Apparatus** is installed, those items must be depicted within an area delineated by a chain dotted line which intersects gas-zone boundaries. The nomenclature used shall conform with that used on the relevant **Connection Site** and circuit (and in the case of **OTSDUW Plant and Apparatus**, **Interface Point** and circuit). The **Operation Diagram** (and the list of technical details) is intended to provide an accurate record of the layout and circuit interconnections, ratings and numbering and nomenclature of **HV Apparatus** and related **Plant**.
- CC.7.4.3 A non-exhaustive guide to the types of **HV Apparatus** to be shown in the **Operation Diagram** is shown in Part 2 of Appendix 2, together with certain basic principles to be followed unless equivalent principles are approved by **The Company**.

Gas Zone Diagrams

- CC.7.4.4 A Gas Zone Diagram shall be prepared for each Connection Site at which a Connection Point (and in the case of OTSDUW Plant and Apparatus, by User's for an Interface Point) exists where gas-insulated switchgear and/or other gas-insulated HV Apparatus is utilised. They shall use, where appropriate, the graphical symbols shown in Part 1B of Appendix 2.
- CC.7.4.5 The nomenclature used shall conform with that used in the relevant **Connection Site** and circuit (and in the case of **OTSDUW Plant and Apparatus**, relevant **Interface Point** and circuit).
- CC.7.4.6 The basic principles set out in Part 2 of Appendix 2 shall be followed in the preparation of **Gas Zone Diagrams** unless equivalent principles are approved by **The Company**.

Preparation of Operation and Gas Zone Diagrams for Users' Sites and Transmission Interface Sites

- CC.7.4.7 In the case of a User Site, the User shall prepare and submit to The Company, an Operation Diagram for all HV Apparatus on the User side of the Connection Point (and in the case of OTSDUW Plant and Apparatus, on what will be the Offshore Transmission side of the Connection Point and the Interface Point) and The Company shall provide the User with an Operation Diagram for all HV Apparatus on the Transmission side of the Connection Point (and in the case of OTSDUW Plant and Apparatus on the Transmission side of the Connection Point (and in the case of OTSDUW Plant and Apparatus on what will be the Onshore Transmission side of the Interface Point, in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement.
- CC.7.4.8 The User will then prepare, produce and distribute, using the information submitted on the User's Operation Diagram and The Company Operation Diagram, a composite Operation Diagram for the complete Connection Site (and in the case of OTSDUW Plant and Apparatus, Interface Point), also in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement.
- CC.7.4.9 The provisions of CC.7.4.7 and CC.7.4.8 shall apply in relation to **Gas Zone Diagrams** where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is utilised.

Preparation of Operation and Gas Zone Diagrams for Transmission Sites

- CC.7.4.10 In the case of an **Transmission Site**, the **User** shall prepare and submit to **The Company** an **Operation Diagram** for all **HV Apparatus** on the **User** side of the **Connection Point**, in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.
- CC.7.4.11 **The Company** will then prepare, produce and distribute, using the information submitted on the **User's Operation Diagram**, a composite **Operation Diagram** for the complete **Connection Site**, also in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.
- CC.7.4.12 The provisions of CC.7.4.10 and CC.7.4.11 shall apply in relation to **Gas Zone Diagrams** where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is utilised.
- CC.7.4.13 Changes to Operation and Gas Zone Diagrams
- CC.7.4.13.1 When **NGET** has decided that it wishes to install new **HV Apparatus** or it wishes to change the existing numbering or nomenclature of **Transmission HV Apparatus** at a **Transmission Site**, **NGET** will (unless it gives rise to a **Modification** under the **CUSC**, in which case the provisions of the **CUSC** as to the timing apply) one month prior to the installation or change, send to each such **User** a revised **Operation Diagram** of that **Transmission Site**, incorporating the new **Transmission HV Apparatus** to be installed and its numbering and nomenclature or the changes, as the case may be. **OC11** is also relevant to certain **Apparatus**.
- CC.7.4.13.2 When a **User** has decided that it wishes to install new **HV Apparatus**, or it wishes to change the existing numbering or nomenclature of its **HV Apparatus** at its **User Site**, the **User** will (unless it gives rise to a **Modification** under the **CUSC**, in which case the provisions of the **CUSC** as to the timing apply) one month prior to the installation or change, send to **The Company** a revised **Operation Diagram** of that **User Site** incorporating the new **User HV Apparatus** to be installed and its numbering and nomenclature or the changes as the case may be. **OC11** is also relevant to certain **Apparatus**.
- CC.7.4.13.3 The provisions of CC.7.4.13.1 and CC.7.4.13.2 shall apply in relation to **Gas Zone Diagrams** where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is installed.

<u>Validity</u>

- (a) The composite Operation Diagram prepared by The Company or the User, as the case may be, will be the definitive Operation Diagram for all operational and planning activities associated with the Connection Site. If a dispute arises as to the accuracy of the composite Operation Diagram, a meeting shall be held at the Connection Site, as soon as reasonably practicable, between The Company and the User, to endeavour to resolve the matters in dispute.
 - (b) The composite **Operation Diagram** prepared by **The Company** or the **User**, as the case may be, will be the definitive **Operation Diagram** for all operational and planning activities associated with the **Interface Point** until the **OTSUA Transfer Time**. If a dispute arises as to the accuracy of the composite **Operation Diagram** prior to the **OTSUA Transfer Time**, a meeting shall be held at the **Interface Point**, as soon as reasonably practicable, between **The Company** and the **User**, to endeavour to resolve the matters in dispute.
 - (c) An equivalent rule shall apply for **Gas Zone Diagrams** where they exist for a **Connection Site**.
- CC.7.4.15 In the case of **OTSUA**, a **User Site** and **Transmission Site** shall, for the purposes of this CC.7.4, include a site at which there is an **Interface Point** until the **OTSUA Transfer Time** when it becomes part of the **National Electricity Transmission System** and references to **HV Apparatus** in this CC.7.4 shall include references to **HV OTSUA**.

CC.7.5 <u>Site Common Drawings</u>

CC.7.5.1 Site Common Drawings will be prepared for each Connection Site (and in the case of OTSDUW, each Interface Point) and will include Connection Site (and in the case of OTSDUW, Interface Point) layout drawings, electrical layout drawings, common Protection/control drawings and common services drawings.

Preparation of Site Common Drawings for a User Site and Transmission Interface Site

- CC.7.5.2 In the case of a User Site, The Company shall prepare and submit to the User, Site Common Drawings for the Transmission side of the Connection Point (and in the case of OTSDUW Plant and Apparatus, on what will be the Onshore Transmission side of the Interface Point,) and the User shall prepare and submit to The Company, Site Common Drawings for the User side of the Connection Point (and in the case of OTSDUW, on what will be the Offshore Transmission side of the Interface Point) in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement.
- CC.7.5.3 The User will then prepare, produce and distribute, using the information submitted on the **Transmission Site Common Drawings**, **Site Common Drawings** for the complete **Connection Site** (and in the case of **OTSDUW**, **Interface Point**) in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.

Preparation of Site Common Drawings for a Transmission Site

- CC.7.5.4 In the case of a **Transmission Site**, the **User** will prepare and submit to **The Company Site Common Drawings** for the **User** side of the **Connection Point** in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.
- CC.7.5.5 **The Company** will then prepare, produce and distribute, using the information submitted in the User's Site Common Drawings, Site Common Drawings for the complete Connection Site in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement.
- CC.7.5.6 When a **User** becomes aware that it is necessary to change any aspect of the **Site Common Drawings** at a **Connection Site** (and in the case of **OTSDUW**, **Interface Point**) it will:
 - (a) if it is a User Site, as soon as reasonably practicable, prepare, produce and distribute revised Site Common Drawings for the complete Connection Site (and in the case of OTSDUW, Interface Point); and

(b) if it is a Transmission Site, as soon as reasonably practicable, prepare and submit to The Company revised Site Common Drawings for the User side of the Connection Point (and in the case of OTSDUW, Interface Point) and The Company will then, as soon as reasonably practicable, prepare, produce and distribute, using the information submitted in the User's Site Common Drawings, revised Site Common Drawings for the complete Connection Site (and in the case of OTSDUW, Interface Point).

In either case, if in the **User's** reasonable opinion the change can be dealt with by it notifying **The Company** in writing of the change and for each party to amend its copy of the **Site Common Drawings** (or where there is only one set, for the party holding that set to amend it), then it shall so notify and each party shall so amend. If the change gives rise to a **Modification** under the **CUSC**, the provisions of the **CUSC** as to timing will apply.

- CC.7.5.7 When **The Company** becomes aware that it is necessary to change any aspect of the **Site Common Drawings** at a **Connection Site**(and in the case of **OTSDUW**, **Interface Point**) it will:
 - (a) if it is a Transmission Site, as soon as reasonably practicable, prepare, produce and distribute revised Site Common Drawings for the complete Connection Site (and in the case of OTSDUW, Interface Point); and
 - (b) if it is a User Site, as soon as reasonably practicable, prepare and submit to the User revised Site Common Drawings for the Transmission side of the Connection Point (in the case of OTSDUW, Interface Point) and the User will then, as soon as reasonably practicable, prepare, produce and distribute, using the information submitted in the Transmission Site Common Drawings, revised Site Common Drawings for the complete Connection Site (and in the case of OTSDUW, Interface Point).

In either case, if in **The Company's** reasonable opinion the change can be dealt with by it notifying the **User** in writing of the change and for each party to amend its copy of the **Site Common Drawings** (or where there is only one set, for the party holding that set to amend it), then it shall so notify and each party shall so amend. If the change gives rise to a **Modification** under the **CUSC**, the provisions of the **CUSC** as to timing will apply.

Validity

- (a) The Site Common Drawings for the complete Connection Site prepared by the User or The Company, as the case may be, will be the definitive Site Common Drawings for all operational and planning activities associated with the Connection Site. If a dispute arises as to the accuracy of the Site Common Drawings, a meeting shall be held at the Site, as soon as reasonably practicable, between The Company and the User, to endeavour to resolve the matters in dispute.
 - (b) The Site Common Drawing prepared by The Company or the User, as the case may be, will be the definitive Site Common Drawing for all operational and planning activities associated with the Interface Point until the OTSUA Transfer Time. If a dispute arises as to the accuracy of the composite Operation Diagram prior to the OTSUA Transfer Time, a meeting shall be held at the Interface Point, as soon as reasonably practicable, between The Company and the User, to endeavour to resolve the matters in dispute.
- CC.7.5.9 In the case of **OTSUA**, a **User Site** and **Transmission Site** shall, for the purposes of this CC.7.5, include a site at which there is an **Interface Point** until the **OTSUA Transfer Time** when it becomes part of the **National Electricity Transmission System**.
- CC.7.6 <u>Access</u>
- CC.7.6.1 The provisions relating to access to **Transmission Sites** by **Users**, and to **Users' Sites** by **Relevant Transmission Licensees**, are set out in each **Interface Agreement** (or in the case of **Interfaces Sites** prior to the **OTSUA Transfer Time** agreements in similar form) with, the **Relevant Transmission Licensee** and each **User**.

- CC.7.6.2 In addition to those provisions, where a **Transmission Site** contains exposed **HV** conductors, unaccompanied access will only be granted to individuals holding an **Authority for Access** issued by the **Relevant Transmission Licensee**.
- CC.7.6.3 The procedure for applying for an **Authority for Access** is contained in the **Interface Agreement**.

CC.7.7 Maintenance Standards

- CC.7.7.1 It is the User's responsibility to ensure that all its Plant and Apparatus (including, until the OTSUA Transfer Time, any OTSUA) on a Transmission Site is tested and maintained adequately for the purpose for which it is intended, and to ensure that it does not pose a threat to the safety of any Transmission Plant, Apparatus or personnel on the Transmission Site. The Company will have the right to inspect the test results and maintenance records relating to such Plant and Apparatus at any time
- CC.7.7.2 For User Sites, The Company shall procure that the Relevant Transmission Licensee has a responsibility to ensure that all Transmission Plant and Apparatus on a User Site is tested and maintained adequately for the purposes for which it is intended and to ensure that it does not pose a threat to the safety of any User's Plant, Apparatus or personnel on the User Site.

The **User** will have the right to inspect the test results and maintenance records relating to such **Plant** and **Apparatus** on its **User Site** at any time.

CC.7.8 <u>Site Operational Procedures</u>

- CC.7.8.1 Where there is an interface with **National Electricity Transmission System**, **The Company** and **Users**, must make available staff to take necessary **Safety Precautions** and carry out operational duties as may be required to enable work/testing to be carried out and for the operation of **Plant** and **Apparatus** (including, prior to the **OTSUA Transfer Time**, any **OTSUA**) connected to the **Total System**.
- CC.7.9 GB Generators and DC Converter Station owners shall provide a Control Point in respect of each Power Station directly connected to the National Electricity Transmission System and Embedded Large Power Station or DC Converter Station to receive an act upon instructions pursuant to OC7 and BC2 at all times that Generating Units or Power Park Modules at the Power Station are generating or available to generate or DC Converters at the DC Converter Station are importing or exporting or available to do so. The Control Point shall be continuously manned except where the Bilateral Agreement in respect of such Embedded Power Station specifies that compliance with BC2 is not required, where the Control Point shall be manned between the hours of 0800 and 1800 each day.

CC.8 <u>ANCILLARY SERVICES</u>

CC.8.1 System Ancillary Services

The CC contain requirements for the capability for certain Ancillary Services, which are needed for System reasons ("System Ancillary Services"). There follows a list of these System Ancillary Services, together with the paragraph number of the CC (or other part of the Grid Code) in which the minimum capability is required or referred to. The list is divided into two categories: Part 1 lists the System Ancillary Services which

- (a) GB Generators in respect of Large Power Stations are obliged to provide (except GB Generators in respect of Large Power Stations which have a Registered Capacity of less than 50MW and comprise Power Park Modules); and,
- (b) GB Generators in respect of Large Power Stations with a Registered Capacity of less than 50MW and comprise Power Park Modules are obliged to provide in respect of Reactive Power only; and,
- (c) DC Converter Station owners are obliged to have the capability to supply; and

(d) **GB Generators** in respect of **Medium Power Stations** (except **Embedded Medium Power Stations**) are obliged to provide in respect of **Reactive Power** only:

and Part 2 lists the **System Ancillary Services** which **GB Generators** will provide only if agreement to provide them is reached with **The Company**:

Part 1

- (a) Reactive Power supplied (in accordance with CC.6.3.2) otherwise than by means of synchronous or static compensators (except in the case of a Power Park Module where synchronous or static compensators within the Power Park Module may be used to provide Reactive Power)
- (b) **Frequency** Control by means of **Frequency** sensitive generation CC.6.3.7 and BC3.5.1

<u>Part 2</u>

- (c) Frequency Control by means of Fast Start CC.6.3.14
- (d) Black Start Capability CC.6.3.5
- (e) System to Generator Operational Intertripping

CC.8.2 Commercial Ancillary Services

Other Ancillary Services are also utilised by The Company in operating the Total System if these have been agreed to be provided by a GB Code User (or other person) under an Ancillary Services Agreement or under a Bilateral Agreement, with payment being dealt with under an Ancillary Services Agreement or in the case of Externally Interconnected System Operators or Interconnector Users, under any other agreement (and in the case of Externally Interconnected System Operators and Interconnector Users includes ancillary services equivalent to or similar to System Ancillary Services) ("Commercial Ancillary Services"). The capability for these Commercial Ancillary Services is set out in the relevant Ancillary Services Agreement or Bilateral Agreement (as the case may be).

APPENDIX 1 - SITE RESPONSIBILITY SCHEDULES

FORMAT, PRINCIPLES AND BASIC PROCEDURE TO BE USED IN THE PREPARATION OF SITE RESPONSIBILITY SCHEDULES

CC.A.1.1 Principles

Types of Schedules

- CC.A.1.1.1 At all **Complexes** (which in the context of this CC shall include, **Interface Sites** until the **OTSUA Transfer Time**) the following **Site Responsibility Schedules** shall be drawn up using the relevant proforma attached or with such variations as may be agreed between **The Company** and **Users**, but in the absence of agreement the relevant proforma attached will be used. In addition, in the case of **OTSDUW Plant and Apparatus**, and in readiness for the **OTSUA Transfer Time**, the **User** shall provide **The Company** with the necessary information such that **Site Responsibility Schedules** in this form can be prepared by the **Relevant Transmission Licensees** for the **Transmission Interface Site**:
 - (a) Schedule of **HV Apparatus**
 - (b) Schedule of Plant, LV/MV Apparatus, services and supplies;
 - (c) Schedule of telecommunications and measurements Apparatus.

Other than at **Generating Unit**, **DC Converter**, **Power Park Module** and **Power Station** locations, the schedules referred to in (b) and (c) may be combined.

New Connection Sites

CC.A.1.1.2 In the case of a new Connection Site each Site Responsibility Schedule for a Connection Site shall be prepared by The Company in consultation with relevant GB Code Users at least 2 weeks prior to the Completion Date (or, where the OTSUA is to become Operational prior to the OTSUA Transfer Time, an alternative date) under the Bilateral Agreement and/or Construction Agreement for that Connection Site (which may form part of a **Complex**). In the case of a new **Interface Site** where the **OTSUA** is to become Operational prior to the OTSUA Transfer Time each Site Responsibility Schedule for an Interface Site shall be prepared by The Company in consultation with relevant GB Code Users at least 2 weeks prior to the Completion Date under the Bilateral Agreement and/or **Construction Agreement** for that **Interface Site** (which may form part of a **Complex**) (and references to and requirements placed on "Connection Site" in this CC shall also be read as "Interface Site" where the context requires and until the OTSUA Transfer Time). Each GB Code User shall, in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement, provide information to The Company to enable it to prepare the Site Responsibility Schedule.

Sub-division

CC.A.1.1.3 Each **Site Responsibility Schedule** will be subdivided to take account of any separate **Connection Sites** on that **Complex**.

<u>Scope</u>

- CC.A.1.1.4 Each **Site Responsibility Schedule** shall detail for each item of **Plant** and **Apparatus**:
 - (a) **Plant/Apparatus** ownership;
 - (b) Site Manager (Controller) (except in the case of **Plant/Apparatus** located in **SPT's Transmission Area**);
 - (c) Safety issues comprising applicable Safety Rules and Control Person or other responsible person (Safety Co-ordinator), or such other person who is responsible for safety;
 - (d) Operations issues comprising applicable **Operational Procedures** and control engineer;

(e) Responsibility to undertake statutory inspections, fault investigation and maintenance.

Each **Connection Point** shall be precisely shown.

<u>Detail</u>

- (a) In the case of Site Responsibility Schedules referred to in CC.A.1.1.1(b) and (c), with the exception of Protection Apparatus and Intertrip Apparatus operation, it will be sufficient to indicate the responsible User or Transmission Licensee, as the case may be.
 - (b) In the case of the Site Responsibility Schedule referred to in CC.A.1.1.1(a) and for Protection Apparatus and Intertrip Apparatus, the responsible management unit must be shown in addition to the User or Transmission Licensee, as the case may be.
- CC.A.1.1.6 The **HV Apparatus Site Responsibility Schedule** for each **Connection Site** must include lines and cables emanating from or traversing¹ the **Connection Site**.

Issue Details

CC.A.1.1.7 Every page of each **Site Responsibility Schedule** shall bear the date of issue and the issue number.

Accuracy Confirmation

- CC.A.1.1.8 When a **Site Responsibility Schedule** is prepared it shall be sent by **The Company** to the **Users** involved for confirmation of its accuracy.
- CC.A.1.1.9 The Site Responsibility Schedule shall then be signed on behalf of The Company by its Responsible Manager (see CC.A.1.1.16) and on behalf of each User involved by its Responsible Manager (see CC.A.1.1.16), by way of written confirmation of its accuracy. The Site Responsibility Schedule will also be signed on behalf of the Relevant Transmission Licensee by its Responsible Manager.

Distribution and Availability

- CC.A.1.1.10 Once signed, two copies will be distributed by **The Company**, not less than two weeks prior to its implementation date, to each **User** which is a party on the **Site Responsibility Schedule**, accompanied by a note indicating the issue number and the date of implementation.
- CC.A.1.1.11 **The Company** and **Users** must make the **Site Responsibility Schedules** readily available to operational staff at the **Complex** and at the other relevant control points.

Alterations to Existing Site Responsibility Schedules

- CC.A 1.1.12 Without prejudice to the provisions of CC.A.1.1.15 which deals with urgent changes, when a **User** identified on a **Site Responsibility Schedule** becomes aware that an alteration is necessary, it must inform **The Company** immediately and in any event 8 weeks prior to any change taking effect (or as soon as possible after becoming aware of it, if less than 8 weeks remain when the **User** becomes aware of the change). This will cover the commissioning of new **Plant** and/or **Apparatus** at the **Connection Site**, whether requiring a revised **Bilateral Agreement** or not, de-commissioning of **Plant** and/or **Apparatus**, and other changes which affect the accuracy of the **Site Responsibility Schedule**.
- CC.A 1.1.13 Where **The Company** has been informed of a change by an **GB Code User**, or itself proposes a change, it will prepare a revised **Site Responsibility Schedule** by not less than six weeks prior to the change taking effect (subject to it having been informed or knowing of the change eight weeks prior to that time) and the procedure set out in CC.A.1.1.8 shall be followed with regard to the revised **Site Responsibility Schedule**.

¹ Details of circuits traversing the Connection Site are only needed from the date which is the earlier of the date when the Site Responsibility Schedule is first updated and 15th October 2004. In Scotland or Offshore, from a date to be agreed between The Company and the Relevant Transmission Licensee.

CC.A 1.1.14 The revised **Site Responsibility Schedule** shall then be signed in accordance with the procedure set out in CC.A.1.1.9 and distributed in accordance with the procedure set out in CC.A.1.1.10, accompanied by a note indicating where the alteration(s) has/have been made, the new issue number and the date of implementation.

Urgent Changes

- CC.A.1.1.15 When an **GB Code User** identified on a **Site Responsibility Schedule**, or **The Company**, as the case may be, becomes aware that an alteration to the **Site Responsibility Schedule** is necessary urgently to reflect, for example, an emergency situation which has arisen outside its control, the **GB Code User** shall notify **The Company**, or **The Company** shall notify the **GB Code User**, as the case may be, immediately and will discuss:
 - (a) what change is necessary to the **Site Responsibility Schedule**;
 - (b) whether the **Site Responsibility Schedule** is to be modified temporarily or permanently;
 - (c) the distribution of the revised **Site Responsibility Schedule**.

The Company will prepare a revised Site Responsibility Schedule as soon as possible, and in any event within seven days of it being informed of or knowing the necessary alteration. The Site Responsibility Schedule will be confirmed by GB Code Users and signed on behalf of The Company and GB Code Users and the Relevant Transmission Licensee (by the persons referred to in CC.A.1.1.9) as soon as possible after it has been prepared and sent to GB Code Users for confirmation.

Responsible Managers

CC.A.1.1.16 Each GB Code User shall, prior to the Completion Date under each Bilateral Agreement and/or Construction Agreement, supply to The Company a list of Managers who have been duly authorised to sign Site Responsibility Schedules on behalf of the GB Code User and The Company shall, prior to the Completion Date under each Bilateral Agreement and/or Construction Agreement, supply to that GB Code User the name of the Relevant Transmission Licensee's Responsible Manager and each shall supply to the other any changes to such list six weeks before the change takes effect where the change is anticipated, and as soon as possible after the change, where the change was not anticipated.

De-commissioning of Connection Sites

CC.A.1.1.17 Where a **Connection Site** is to be de-commissioned, whichever of **The Company** or the **GB Code User** who is initiating the de-commissioning must contact the other to arrange for the **Site Responsibility Schedule** to be amended at the relevant time.

PROFORMA FOR SITE RESPONSIBILITY SCHEDULE

AREA

COMPLEX:

SCHEDULE:

CONNECTION SITE:

				SAFETY	OPERA	TIONS	PARTY	
ITEM OF PLANT/ APPARATUS	PLANT APPARATUS OWNER	SITE MANAGER	SAFETY RULES	CONTROL OR OTHER RESPONSIBLE PERSON (SAFETY CO- ORDINATOR	OPERATIONAL PROCEDURES	CONTROL OR OTHER RESPONSIBLE ENGINEER	RESPONSIBLE FOR UNDERTAKING STATUTORY INSPECTIONS, FAULT INVESTIGATION & MAINTENANCE	REMARKS
PAGE:			ISSUE N	10:		DATE:		

PROFORMA FOR SITE RESPONSIBILITY SCHEDULE

AREA

COMPLEX:

SCHEDULE:

CONNECTION SITE:

	PARTY RESPONSIBLE	ATIONS	OPER	SAFETY				
REMARKS	FOR UNDERTAKING STATUTORY INSPECTIONS, FAULT INVESTIGATION & MAINTENANCE	CONTROL OR OTHER RESPONSIBLE ENGINEER	OPERATIONAL PROCEDURES	CONTROL OR OTHER RESPONSIBLE PERSON (SAFETY CO- ORDINATOR	SAFETY RULES	SITE MANAGER	PLANT APPARATUS OWNER	ITEM OF PLANT/ APPARATUS
		ENGINEER						

NOTES:

PAGE:	ISSUE NO:	DA	.TE:	
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			New	Network Area:					Revision:	
SECTION 'A' BUILDING AND SITE	S AND SITE					SECTION 'B	· CUSTOM	SECTION 'B' CUSTOMER OR OTHER PARTY	R PARTY	
OWNER		ACCESS REQUIRED:-				NAME:-				
LESSEE										
SAFETY		SPECIAL CONDITIONS -				TEL NO-				
SECURITY		LOCATION OF SUPPLY				SUB STATION -				
		TERMINALS -				LOCATION -				
SECTION 'C' PLANT										
	in the structure of the state		OPERATION			FAULT			RELAY	
Nos. EGUIPMENI	IDENTIFICATION	OWNER APPLICABLE	Tripping Closing Isolating	Earthing	Primary Protection Equip. Equip.	Primary Protection Equip. Equip	Reclosure	Trip and Primary Alarm Equip.	SETTINGS	KEMARKS
SECTION 'D' CONFIGURATION AND CONTROL TELEPHONE NUMBER TELEPHONE NUMBER TELEPHONE NUMBER	TELEPHONE MJMBER	REMARKS REMARKS	SECTION		SECTION 'E' ADDITIONAL INFORMATION	NOL				
ABBREVATIONS:- 0 - SI AUTHORISED PERSON - DISTRIBUTION SYSTEM NGC - NATIONAL GRID COMPANY	KIBUTION SYSTEM		SIGNED			FOR SH IT3	SH Iransmission		DATE	
SPD - SP DISTRIBUTION LHd SPPS - POWE RSYSTEMS SPT - SP TRANSMISSION LHd			SIGNED			FOR SP DIS	SP Distribution		DATE	
ST - SCOTTISH POWER TELECOMMUNICATIONS T - SP AUTHORISED PERSON - TRANSMISSION SYSTEM	NICATIONS VEMISSION SYSTEM		SIGNED			FOR POW er	PowerSystems/User		DATE	9

SP TRANSMISSION Ltd

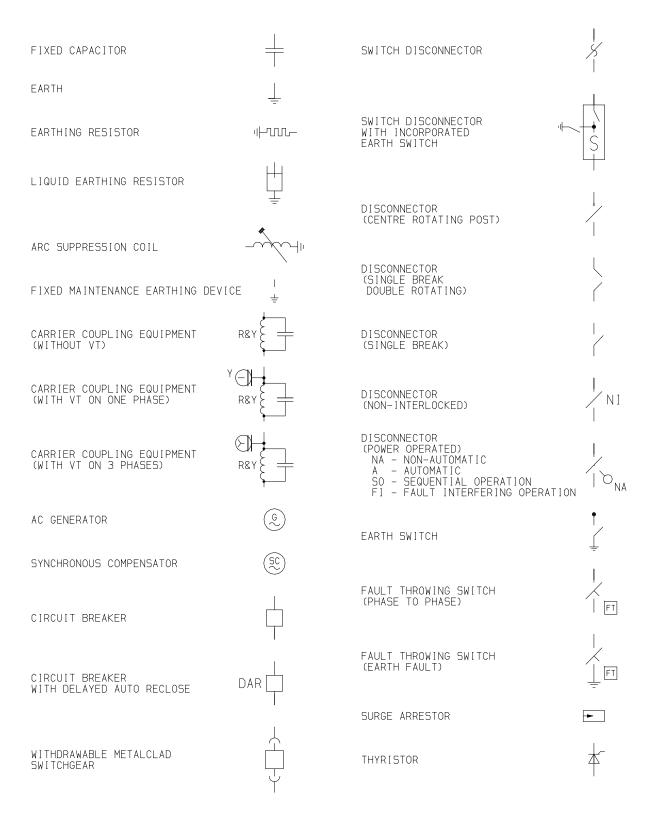
	Notes					
Revision:	Operational Procedures					
Rev	Safety Rules					
	Control Authority					
	Responsible Management Unit					
Number:	Responsible System User					
	Maintainer					
	Controller					
	Owner					
Substation Type	Equipment					

Scottish Hydro-Electric Transmission Limited

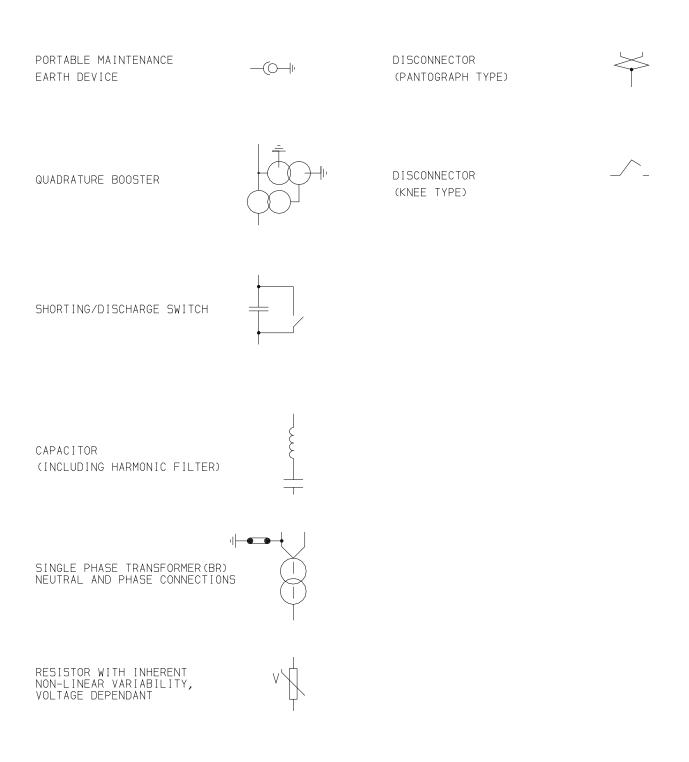
Site Responsibility Schedule

APPENDIX 2 - OPERATION DIAGRAMS

PART 1A - PROCEDURES RELATING TO OPERATION DIAGRAMS



TRANSFORMERS (VECTORS TO INDICATE WINDING CONFIGURATION) TWO WINDING		 * BUSBARS * OTHER PRIMARY CONNECTIONS * CABLE & CABLE SEALING END
THREE WINDING		* THROUGH WALL BUSHING
AUTO		* BYPASS FACILITY
AUTO WITH DELTA TERTIARY		* CROSSING OF CONDUCTORS (LOWER CONDUCTOR TO BE BROKEN)
EARTHING OR AUX. TRANSFORMER (-) INDICATE REMOTE SITE IF APPLICABLE	" ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓	
VOLTAGE TRANSFORMERS		
SINGLE PHASE WOUND	y	
THREE PHASE WOUND	×>>-	PREFERENTIAL ABBREVIATIONS
SINGLE PHASE CAPACITOR	y (T)	
TWO SINGLE PHASE CAPACITOR	R&B 2	AUXILIARY TRANSFORMER Aux T EARTHING TRANSFORMER ET
THREE PHASE CAPACITOR	\searrow	GAS TURBINE Gas T GENERATOR TRANSFORMER Gen T
* CURRENT TRANSFORMER (WHERE SEPARATE PRIMARY APPARATUS)	•	GRID TRANSFORMERGr TSERIES REACTORSer ReacSHUNT REACTORSh ReacSTATION TRANSFORMERStn TSUPERGRID TRANSFORMERSGT
* COMBINED VT/CT UNIT FOR METERING		UNIT TRANSFORMER UT
REACTOR	Ģ	* NON-STANDARD SYMBOL



PART 1B - PROCEDURES RELATING TO GAS ZONE DIAGRAMS

GAS INSULATED BUSBAR	DOUBLE-BREAK	
GAS BOUNDARY	EXTERNAL MOUNTED CURRENT TRANSFORMER (WHERE SEPARATE PRIMARY APPARATUS)	•
GAS/GAS BOUNDARY	STOP VALVE NORMALLY CLOSED	
GAS/CABLE BOUNDARY 🔶	STOP VALVE NORMALLY OPEN	\bowtie
GAS/AIR BOUNDARY	GAS MONITOR	
GAS/TRANSFORMER BOUNDARY 🔶	FILTER	
MAINTENANCE VALVE	QUICK ACTING COUPLING	Ŷŀ¢

PART 2 - NON-EXHAUSTIVE LIST OF APPARATUS TO BE INCLUDED ON OPERATION DIAGRAMS

Basic Principles

- (1) Where practicable, all the HV Apparatus on any Connection Site shall be shown on one Operation Diagram. Provided the clarity of the diagram is not impaired, the layout shall represent as closely as possible the geographical arrangement on the Connection Site.
- (2) Where more than one **Operation Diagram** is unavoidable, duplication of identical information on more than one **Operation Diagram** must be avoided.
- (3) The **Operation Diagram** must show accurately the current status of the **Apparatus** e.g. whether commissioned or decommissioned. Where decommissioned, the associated switchbay will be labelled "spare bay".
- (4) Provision will be made on the **Operation Diagram** for signifying approvals, together with provision for details of revisions and dates.
- (5) **Operation Diagrams** will be prepared in A4 format or such other format as may be agreed with **The Company**.
- (6) The **Operation Diagram** should normally be drawn single line. However, where appropriate, detail which applies to individual phases shall be shown. For example, some **HV Apparatus** is numbered individually per phase.

Apparatus To Be Shown On Operation Diagram

- (1) Busbars
- (2) Circuit Breakers
- (3) Disconnector (Isolator) and Switch Disconnecters (Switching Isolators)
- (4) Disconnectors (Isolators) Automatic Facilities
- (5) Bypass Facilities
- (6) Earthing Switches
- (7) Maintenance Earths
- (8) Overhead Line Entries
- (9) Overhead Line Traps
- (10) Cable and Cable Sealing Ends
- (11) Generating Unit
- (12) Generator Transformers
- (13) Generating Unit Transformers, Station Transformers, including the lower voltage circuitbreakers.
- (14) Synchronous Compensators
- (15) Static Variable Compensators
- (16) Capacitors (including Harmonic Filters)
- (17) Series or Shunt Reactors (Referred to as "Inductors" at nuclear power station sites)
- (18) Supergrid and Grid Transformers
- (19) Tertiary Windings
- (20) Earthing and Auxiliary Transformers
- (21) Three Phase VT's

(22)	Single Phase VT & Phase Identity
(23)	High Accuracy VT and Phase Identity
(24)	Surge Arrestors/Diverters
(25)	Neutral Earthing Arrangements on HV Plant
(26)	Fault Throwing Devices
(27)	Quadrature Boosters
(28)	Arc Suppression Coils
(29)	Single Phase Transformers (BR) Neutral and Phase Connections
(30)	Current Transformers (where separate plant items)
(31)	Wall Bushings
(32)	Combined VT/CT Units
(33)	Shorting and Discharge Switches
(34)	Thyristor
(35)	Resistor with Inherent Non-Linear Variability, Voltage Dependent
(36)	Gas Zone

APPENDIX 3 - MINIMUM FREQUENCY RESPONSE REQUIREMENT PROFILE AND OPERATING RANGE FOR NEW POWER STATIONS AND DC CONVERTER STATIONS

CC.A.3.1 <u>Scope</u>

The frequency response capability is defined in terms of **Primary Response**, **Secondary Response** and **High Frequency Response**. This appendix defines the minimum frequency response requirement profile for:

- (a) each **Onshore Generating Unit** and/or **CCGT Module** which has a **Completion Date** after 1 January 2001 in England and Wales and 1 April 2005 in Scotland and **Offshore Generating Unit** in a **Large Power Station**,
- (b) each DC Converter at a DC Converter Station which has a Completion Date on or after 1 April 2005 or each Offshore DC Converter which is part of a Large Power Station.
- (c) each **Onshore Power Park Module** in England and Wales with a **Completion Date** on or after 1 January 2006.
- (d) each Onshore Power Park Module in operation in Scotland after 1 January 2006 with a Completion Date after 1 April 2005 and in Power Stations with a Registered Capacity of 50MW or more.
- (e) each Offshore Power Park Module in a Large Power Station with a Registered Capacity of 50MW or more.

For the avoidance of doubt, this appendix does not apply to:

- (i) **Generating Units** and/or **CCGT Modules** which have a **Completion Date** before 1 January 2001 in England and Wales and before 1 April 2005 in Scotland,
- (ii) **DC Converters** at a **DC Converter Station** which have a **Completion Date** before 1 April 2005.
- (iii) **Power Park Modules** in England and Wales with a **Completion Date** before 1 January 2006.
- (iv) **Power Park Modules** in operation in Scotland before 1 January 2006.
- (v) Power Park Modules in Scotland with a Completion Date before 1 April 2005.
- (vi) **Power Park Modules** in **Power Stations** with a **Registered Capacity** less than 50MW.
- (vii) Small Power Stations or individually to Power Park Units; or.

(viii) an OTSDUW DC Converter where the Interface Point Capacity is less than 50MW.

OTSDUW Plant and Apparatus should facilitate the delivery of frequency response services provided by **Offshore Generating Units** and **Offshore Power Park Modules** at the **Interface Point**.

The functional definition provides appropriate performance criteria relating to the provision of Frequency control by means of Frequency sensitive generation in addition to the other requirements identified in CC.6.3.7.

In this Appendix 3 to the CC, for a CCGT Module or a Power Park Module with more than one Generating Unit, the phrase Minimum Generation applies to the entire CCGT Module or Power Park Module operating with all Generating Units Synchronised to the System.

The minimum **Frequency** response requirement profile is shown diagrammatically in Figure CC.A.3.1. The capability profile specifies the minimum required levels of **Primary Response**, **Secondary Response** and **High Frequency Response** throughout the normal plant operating range. The definitions of these **Frequency** response capabilities are illustrated diagrammatically in Figures CC.A.3.2 & CC.A.3.3.

CC.A.3.2 Plant Operating Range

The upper limit of the operating range is the **Registered Capacity** of the **Generating Unit** or **CCGT Module** or **DC Converter** or **Power Park Module**.

The Minimum Generation level may be less than, but must not be more than, 65% of the Registered Capacity. Each Generating Unit and/or CCGT Module and/or Power Park Module and/or DC Converter must be capable of operating satisfactorily down to the Designed Minimum Operating Level as dictated by System operating conditions, although it will not be instructed to below its Minimum Generation level. If a Generating Unit or CCGT Module or Power Park Module or DC Converter is operating below Minimum Generation because of high System Frequency, it should recover adequately to its Minimum Generation level as the System Frequency returns to Target Frequency so that it can provide Primary and Secondary Response from Minimum Generation if the System Frequency continues to fall. For the avoidance of doubt, under normal operating conditions steady state operation below Minimum Generation is not expected. The Designed Minimum Operating Level must not be more than 55% of Registered Capacity.

In the event of a **Generating Unit** or **CCGT Module** or **Power Park Module** or **DC Converter** load rejecting down to no less than its **Designed Minimum Operating Level** it should not trip as a result of automatic action as detailed in BC3.7. If the load rejection is to a level less than the **Designed Minimum Operating Level** then it is accepted that the condition might be so severe as to cause it to be disconnected from the **System**.

CC.A.3.3 Minimum Frequency Response Requirement Profile

Figure CC.A.3.1 shows the minimum **Frequency** response requirement profile diagrammatically for a 0.5 Hz change in **Frequency**. The percentage response capabilities and loading levels are defined on the basis of the **Registered Capacity** of the **Generating Unit** or **CCGT Module** or **Power Park Module** or **DC Converter**. Each **Generating Unit** and/or **CCGT Module** and/or **Power Park Module** and/or **DC Converter** must be capable of operating in a manner to provide **Frequency** response at least to the solid boundaries shown in the figure. If the **Frequency** response capability falls within the solid boundaries, the **Generating Unit** or **CCGT Module** or **Power Park Module** or **Power Park Module** or **DC Converter** is providing response below the minimum requirement which is not acceptable. Nothing in this appendix is intended to prevent a **Generating Unit** or **CCGT Module** or **Power Park Module** or **DC Converter** is providing response below the minimum requirement which is not acceptable. Nothing in this appendix is intended to prevent a **Generating Unit** or **CCGT Module** or **Power Park Modu**

The **Frequency** response delivered for **Frequency** deviations of less than 0.5 Hz should be no less than a figure which is directly proportional to the minimum **Frequency** response requirement for a **Frequency** deviation of 0.5 Hz. For example, if the **Frequency** deviation is 0.2 Hz, the corresponding minimum **Frequency** response requirement is 40% of the level shown in Figure CC.A.3.1. The **Frequency** response delivered for **Frequency** deviations of more than 0.5 Hz should be no less than the response delivered for a **Frequency** deviation of 0.5 Hz.

Each Generating Unit and/or CCGT Module and/or Power Park Module and/or DC Converter must be capable of providing some response, in keeping with its specific operational characteristics, when operating between 95% to 100% of Registered Capacity as illustrated by the dotted lines in Figure CC.A.3.1.

At the **Minimum Generation** level, each **Generating Unit** and/or **CCGT Module** and/or **Power Park Module** and/or **DC Converter** is required to provide high and low frequency response depending on the **System Frequency** conditions. Where the **Frequency** is high, the **Active Power** output is therefore expected to fall below the **Minimum Generation** level.

The **Designed Minimum Operating Level** is the output at which a **Generating Unit** and/or **CCGT Module** and/or **Power Park Module** and/or **DC Converter** has no **High Frequency Response** capability. It may be less than, but must not be more than, 55% of the **Registered Capacity**. This implies that a **Generating Unit** or **CCGT Module** or **Power Park Module** or **DC Converter** is not obliged to reduce its output to below this level unless the **Frequency** is at or above 50.5 Hz (cf BC3.7).

CC.A.3.4 <u>Testing Of Frequency Response Capability</u>

The response capabilities shown diagrammatically in Figure CC.A.3.1 are measured by taking the responses as obtained from some of the dynamic response tests specified by **The Company** and carried out by **GB Generators** and **DC Converter Station** owners for compliance purposes and to validate the content of **Ancillary Services Agreements** using an injection of a **Frequency** change to the plant control system (i.e. governor and load controller). The injected signal is a linear ramp from zero to 0.5 Hz **Frequency** change over a ten second period, and is sustained at 0.5 Hz **Frequency** change thereafter, as illustrated diagrammatically in figures CC.A.3.2 and CC.A.3.3. In the case of an **Embedded Medium Power Station** not subject to a **Bilateral Agreement** or **Embedded DC Converter Station** not subject to a **Bilateral Agreement**, **The Company** may require the **Network Operator** within whose **System** the **Embedded Medium Power Station** or **Embedded DC Converter Station** is situated, to ensure that the **Embedded Person** performs the dynamic response tests reasonably required by **The Company** in order to demonstrate compliance within the relevant requirements in the **CC**.

The **Primary Response** capability (P) of a **Generating Unit** or a **CCGT Module** or **Power Park Module** or **DC Converter** is the minimum increase in **Active Power** output between 10 and 30 seconds after the start of the ramp injection as illustrated diagrammatically in Figure CC.A.3.2. This increase in **Active Power** output should be released increasingly with time over the period 0 to 10 seconds from the time of the start of the **Frequency** fall as illustrated by the response from Figure CC.A.3.2.

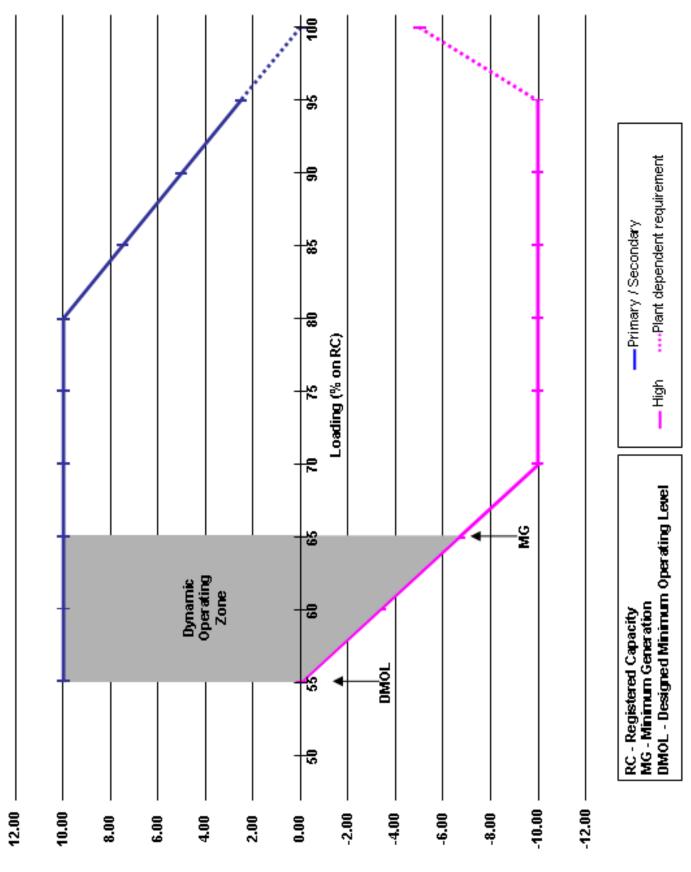
The **Secondary Response** capability (S) of a **Generating Unit** or a **CCGT Module** or **Power Park Module** or **DC Converter** is the minimum increase in **Active Power** output between 30 seconds and 30 minutes after the start of the ramp injection as illustrated diagrammatically in Figure CC.A.3.2.

The **High Frequency Response** capability (H) of a **Generating Unit** or a **CCGT Module** or **Power Park Module** or **DC Converter** is the decrease in **Active Power** output provided 10 seconds after the start of the ramp injection and sustained thereafter as illustrated diagrammatically in Figure CC.A.3.3. This reduction in **Active Power** output should be released increasingly with time over the period 0 to 10 seconds from the time of the start of the **Frequency** rise as illustrated by the response in Figure CC.A.3.2.

CC.A.3.5 Repeatability Of Response

When a **Generating Unit** or **CCGT Module** or **Power Park Module** or **DC Converter** has responded to a significant **Frequency** disturbance, its response capability must be fully restored as soon as technically possible. Full response capability should be restored no later than 20 minutes after the initial change of **System Frequency** arising from the **Frequency** disturbance.

Figure CC.A.3.1 - Minimum Frequency Response Requirement Profile for a 0.5 Hz frequency change from Target Frequency



Primary / Secondary / High Frequency Response levels (% on RC)

Figure CC.A.3.2 - Interpretation of Primary and Secondary Response Values

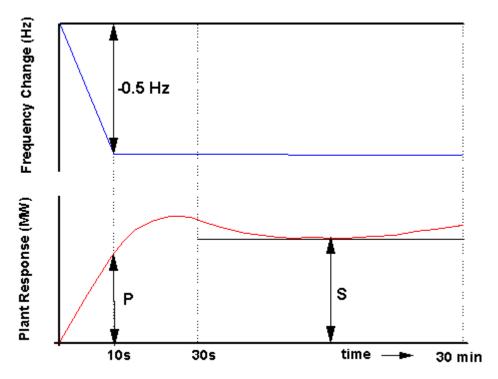
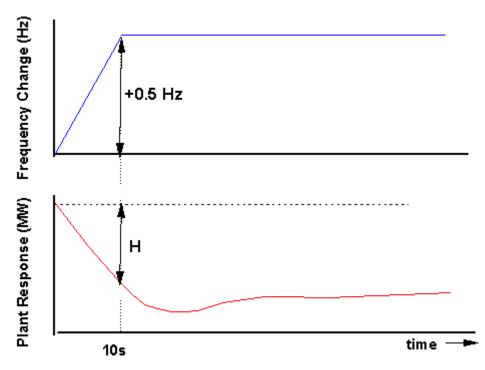


Figure CC.A.3.3 - Interpretation of High Frequency Response Values



APPENDIX 4 - FAULT RIDE THROUGH REQUIREMENTS

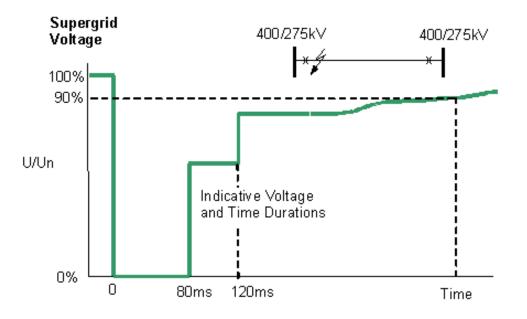
APPENDIX 4A - FAULT RIDE THROUGH REQUIREMENTS FOR ONSHORE SYNCHRONOUS GENERATING UNITS, ONSHORE POWER PARK MODULES, ONSHORE DC CONVERTERS OTSDUW PLANT AND APPARATUS AT THE INTERFACE POINT, OFFSHORE SYNCHRONOUS GENERATING UNITS IN A LARGE POWER STATION, OFFSHORE POWER PARK MODULES IN A LARGE POWER STATION AND OFFSHORE DC CONVERTERS IN A LARGE POWER STATION WHICH SELECT TO MEET THE FAULT RIDE THROUGH REQUIREMENTS AT THE INTERFACE POINT

CC.A.4A.1 <u>Scope</u>

The fault ride through requirement is defined in CC.6.3.15.1 (a), (b) and CC.6.3.15.3. This Appendix provides illustrations by way of examples only of CC.6.3.15.1 (a) (i) and further background and illustrations to CC.6.3.15.1 (1b) (i) and CC.6.3.15.1 (2b) (i) and is not intended to show all possible permutations.

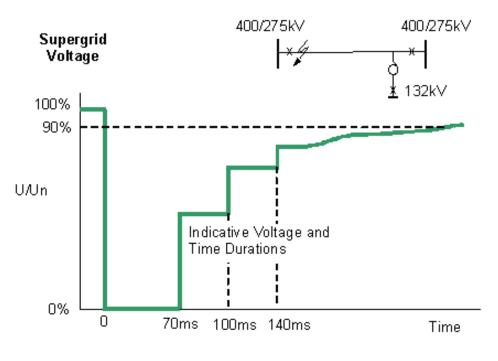
CC.A.4A.2 <u>Short Circuit Faults At Supergrid Voltage On The Onshore Transmission System Up To</u> <u>140ms In Duration</u>

For short circuit faults at **Supergrid Voltage** on the **Onshore Transmission System** (which could be at an **Interface Point**) up to 140ms in duration, the fault ride through requirement is defined in CC.6.3.15.1 (a) (i). Figures CC.A.4A.1 (a) and (b) illustrate two typical examples of voltage recovery for short-circuit faults cleared within 140ms by two circuit breakers (a) and three circuit breakers (b) respectively.



Typical fault cleared in less than 140ms: 2 ended circuit

Figure CC.A.4A.1 (a)



Typical fault cleared in 140ms:- 3 ended circuit

Figure CC.A.4A.1 (b)

- CC.A.4A.3 <u>Supergrid Voltage Dips On The Onshore Transmission System Greater Than 140ms In</u> <u>Duration</u>
- CC.A.4A3.1 Requirements applicable to **Synchronous Generating Units** subject to **Supergrid Voltage** dips on the **Onshore Transmission System** greater than 140ms in duration.

For balanced **Supergrid Voltage** dips on the **Onshore Transmission System** having durations greater than 140ms and up to 3 minutes, the fault ride through requirement is defined in CC.6.3.15.1 (1b) and Figure 5a which is reproduced in this Appendix as Figure CC.A.4A3.1 and termed the voltage–duration profile.

This profile is not a voltage-time response curve that would be obtained by plotting the transient voltage response at a point on the **Onshore Transmission System** (or **User System** if located **Onshore**) to a disturbance. Rather, each point on the profile (i.e. the heavy black line) represents a voltage level and an associated time duration which connected **Synchronous Generating Units** must withstand or ride through.

Figures CC.A.4A3.2 (a), (b) and (c) illustrate the meaning of the voltage-duration profile for voltage dips having durations greater than 140ms.

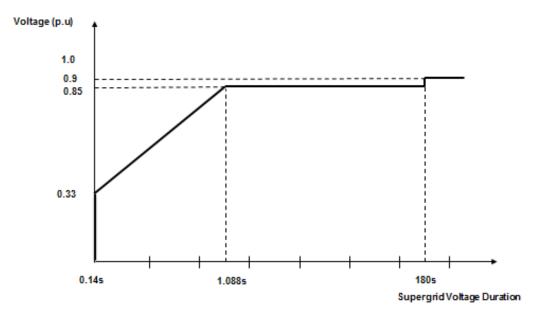
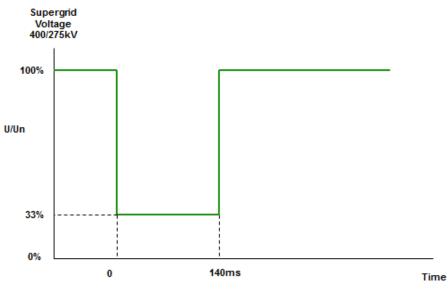
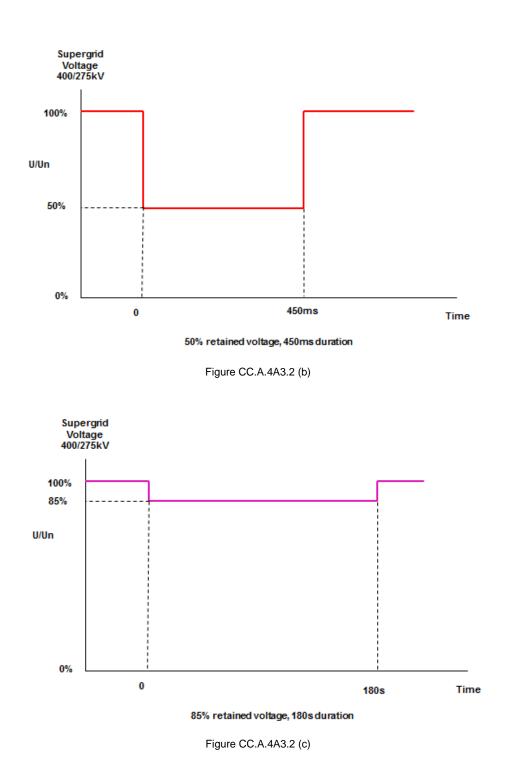


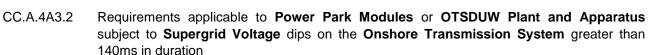
Figure CC.A.4A3.1



33% retained voltage, 140ms duration

Figure CC.A.4A3.2 (a)

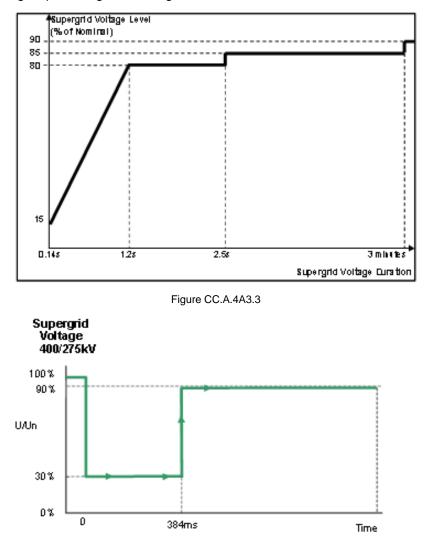




For balanced **Supergrid Voltage** dips on the **Onshore Transmission System** (which could be at an **Interface Point**) having durations greater than 140ms and up to 3 minutes the fault ride through requirement is defined in CC.6.3.15.1 (<u>2</u>b) and Figure 5<u>b</u> which is reproduced in this Appendix as Figure CC.A.4A<u>3</u>.3 and termed the voltage–duration profile.

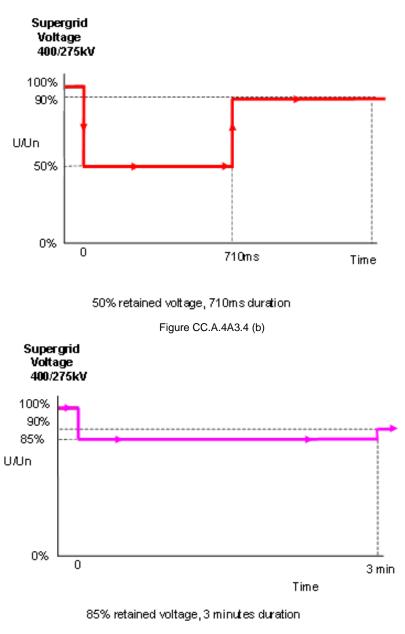
This profile is not a voltage-time response curve that would be obtained by plotting the transient voltage response at a point on the **Onshore Transmission System** (or **User System** if located **Onshore**) to a disturbance. Rather, each point on the profile (i.e. the heavy black line) represents a voltage level and an associated time duration which connected **Power Park Modules** or **OTSDUW Plant and Apparatus** must withstand or ride through.

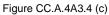
Figures CC.A.4A.4 (a), (b) and (c) illustrate the meaning of the voltage-duration profile for voltage dips having durations greater than 140ms.



30% retained voltage, 384ms duration

Figure CC.A.4A3.4 (a)



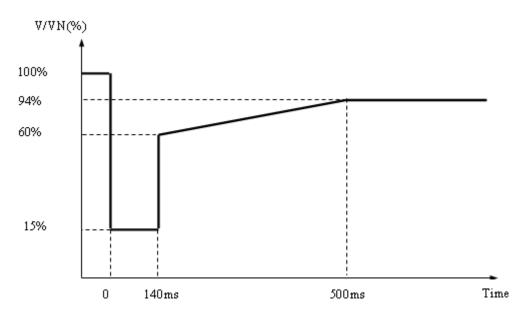


APPENDIX 4B - FAULT RIDE THROUGH REQUIREMENTS FOR OFFSHORE GENERATING UNITS IN A LARGE POWER STATION, OFFSHORE POWER PARK MODULES IN A LARGE POWER STATION AND OFFSHORE DC CONVERTERS IN A LARGE POWER STATION WHICH SELECT TO MEET THE FAULT RIDE THROUGH REQUIREMENTS AT THE LV SIDE OF THE OFFSHORE PLATFORM AS SPECIFIED IN CC.6.3.15.2

CC.A.4B.1 Scope
 The fault ride through requirement is defined in CC.6.3.15.2 (a), (b) and CC.6.3.15.3. This Appendix provides illustrations by way of examples only of CC.6.3.15.2 (a) (i) and further background and illustrations to CC.6.3.15.2 (1b) and CC.6.3.15.2 (2b) and is not intended to show all possible permutations.

CC.A.4B.2 Voltage Dips On The LV Side Of The Offshore Platform Up To 140ms In Duration

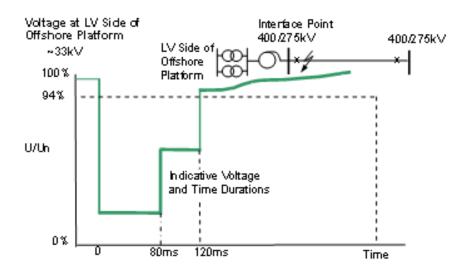
For voltage dips on the LV Side of the Offshore Platform which last up to 140ms in duration, the fault ride through requirement is defined in CC.6.3.15.2 (a) (i). This includes Figure 6 which is reproduced here in Figure CC.A.4B.1. The purpose of this requirement is to translate the conditions caused by a balanced or unbalanced fault which occurs on the **Onshore Transmission System** (which may include the **Interface Point)** at the LV Side of the Offshore Platform.



 V/V_N is the ratio of the voltage at the LV side of the Offshore Platform to the nominal voltage of the LV side of the Offshore Platform.

Figure CC.A.4B.1

Figures CC.A.4B.2 (a) and CC.A.4B.2 (b) illustrate two typical examples of the voltage recovery seen at the **LV Side of the Offshore Platform** for a short circuit fault cleared within 140ms by (a) two circuit breakers and (b) three circuit breakers on the **Onshore Transmission System**.



Typical fault cleared in less than 140ms: 2 ended circuit

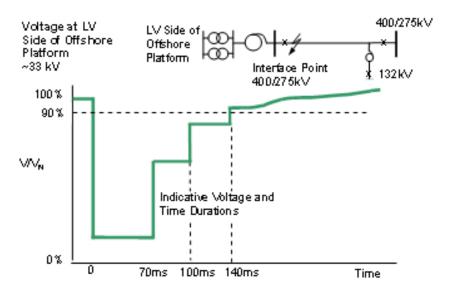
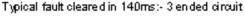


Figure CC.A.4B.2 (a)





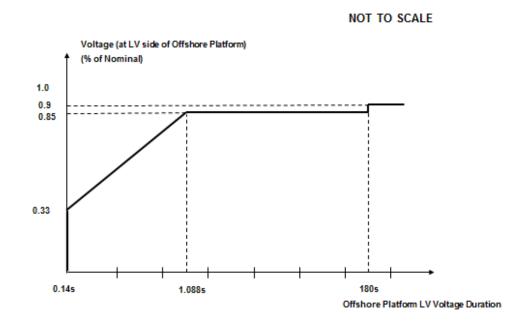
CCA.4B.3 <u>Voltage Dips Which Occur On The LV Side Of The Offshore Platform Greater Than 140ms</u> In Duration

CC.A.4B.3.1 Requirements applicable to **Offshore Synchronous Generating Units** subject to voltage dips which occur on the **LV Side of the Offshore Platform** greater than 140ms in duration.

In addition to CC.A.4B.2 the fault ride through requirements applicable to **Offshore Synchronous Generating Units** during balanced voltage dips which occur at the **LV Side of the Offshore Platform** and having durations greater than 140ms and up to 3 minutes are defined in CC.6.3.15.2 (1b) and Figure 7a which is reproduced in this Appendix as Figure CC.A.4B3.1 and termed the voltage–duration profile.

This profile is not a voltage-time response curve that would be obtained by plotting the transient voltage response at the **LV Side of the Offshore Platform** to a disturbance. Rather, each point on the profile (i.e. the heavy black line) represents a voltage level and an associated time duration which connected **Offshore Synchronous Generating Units** must withstand or ride through.

Figures CC.A.4B3.2 (a), (b) and (c) illustrate the meaning of the voltage-duration profile for voltage dips having durations greater than 140ms.





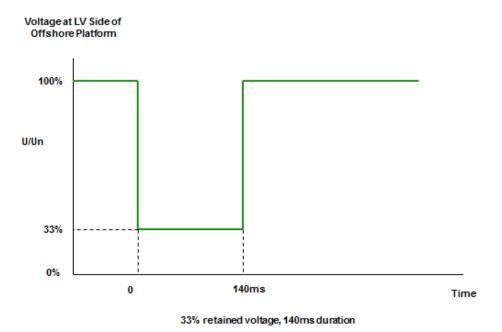
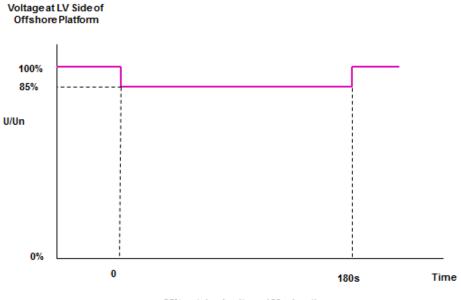


Figure CC.A.4B3.2 (a)



50% retained voltage, 450ms duration

Figure CC.A.4B3.2 (b)



85% retained voltage, 180s duration

Figure CC.A.4B3.2 (c)

CC.A.4B.3.2 <u>Requirements applicable to Offshore Power Park Modules subject to Voltage Dips Which</u> Occur On The LV Side Of The Offshore Platform Greater Than 140ms in Duration.

In addition to CCA.4B.2 the fault ride through requirements applicable for **Offshore Power Park Modules** during balanced voltage dips which occur at the **LV Side of the Offshore Platform** and have durations greater than 140ms and up to 3 minutes are defined in CC.6.3.15.2 (2b) (i) and Figure 7b which is reproduced in this Appendix as Figure CC.A.4B.4 and termed the voltage–duration profile. This profile is not a voltage-time response curve that would be obtained by plotting the transient voltage response at the **LV Side of the Offshore Platform** to a disturbance. Rather, each point on the profile (i.e. the heavy black line) represents a voltage level and an associated time duration which connected **Offshore Power Park Modules** must withstand or ride through.

Figures CC.A.4B.5 (a), (b) and (c) illustrate the meaning of the voltage-duration profile for voltage dips having durations greater than 140ms.

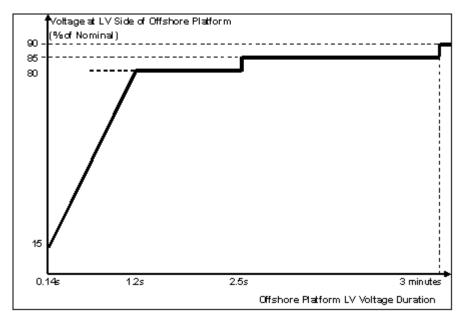
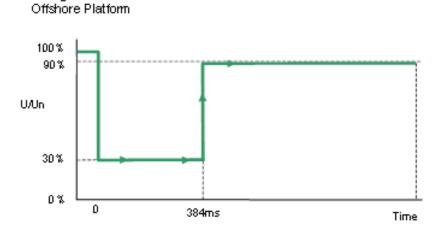


Figure CC.A.4B.4

Voltage at LV Side of



30% retained voltage, 384ms duration

Figure CC.A.4B.5 (a)

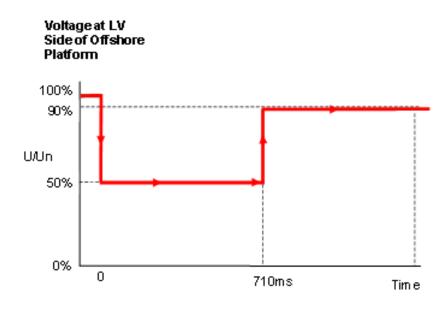
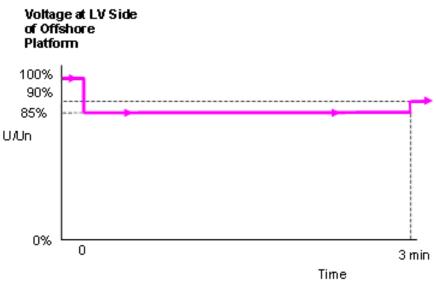




Figure CC.A.4B.5(b)



85% retained voltage, 3 minutes duration

Figure CC.A.4B.5(c)

APPENDIX 5 - TECHNICAL REQUIREMENTS LOW FREQUENCY RELAYS FOR THE AUTOMATIC DISCONNECTION OF SUPPLIES AT LOW FREQUENCY

CC.A.5.1 Low Frequency Relays

- CC.A.5.1.1 The **Low Frequency Relays** to be used shall have a setting range of 47.0 to 50Hz and be suitable for operation from a nominal AC input of 63.5, 110 or 240V. The following general parameters specify the requirements of approved **Low Frequency Relays** for automatic installations installed and commissioned after 1st April 2007 and provide an indication, without prejudice to the provisions that may be included in a **Bilateral Agreement**, for those installed and commissioned before 1st April 2007:
 - (a) **Frequency** settings: 47-50Hz in steps of 0.05Hz or better, preferably 0.01Hz;
 - (b) Operating time: Relay operating time shall not be more than 150 ms;
 - (c) Voltage lock-out: Selectable within a range of 55 to 90% of nominal voltage;
 - (d) Facility stages: One or two stages of **Frequency** operation;
 - (e) Output contacts: Two output contacts per stage to be capable of repetitively making and breaking for 1000 operations:
 (f) Accuracy: 0.01 Hz maximum error under reference environmental and system voltage conditions.

0.05 Hz maximum error at 8% of total harmonic distortion **Electromagnetic Compatibility Level**.

CC.A.5.2 Low Frequency Relay Voltage Supplies

- CC.A.5.2.1 It is essential that the voltage supply to the **Low Frequency Relays** shall be derived from the primary **System** at the supply point concerned so that the **Frequency** of the **Low Frequency Relays** input voltage is the same as that of the primary **System**. This requires either:
 - (a) the use of a secure supply obtained from voltage transformers directly associated with the grid transformer(s) concerned, the supply being obtained where necessary via a suitable automatic voltage selection scheme; or
 - (b) the use of the substation 240V phase-to-neutral selected auxiliary supply, provided that this supply is always derived at the supply point concerned and is never derived from a standby supply **Generating Unit** or from another part of the **User System**.

CC.A.5.3 <u>Scheme Requirements</u>

- CC.A.5.3.1 The tripping facility should be engineered in accordance with the following reliability considerations:
 - (a) <u>Dependability</u>

Failure to trip at any one particular **Demand** shedding point would not harm the overall operation of the scheme. However, many failures would have the effect of reducing the amount of **Demand** under low **Frequency** control. An overall reasonable minimum requirement for the dependability of the **Demand** shedding scheme is 96%, i.e. the average probability of failure of each **Demand** shedding point should be less than 4%. Thus the **Demand** under low **Frequency** control will not be reduced by more than 4% due to relay failure.

(b) Outages

Low **Frequency Demand** shedding schemes will be engineered such that the amount of **Demand** under control is as specified in Table CC.A.5.5.1a and is not reduced unacceptably during equipment outage or maintenance conditions.

CC.A.5.3.2 The total operating time of the scheme, including circuit breakers operating time, shall where reasonably practicable, be less than 200 ms. For the avoidance of doubt, the replacement of plant installed prior to October 2009 will not be required in order to achieve lower total scheme operating times.

CC.A.5.4 Low Frequency Relay Testing

CC.A.5.4.1 **Low Frequency Relays** installed and commissioned after 1st January 2007 shall be type tested in accordance with and comply with the functional test requirements for **Frequency Protection** contained in Energy Networks Association Technical Specification 48-6-5 Issue 1 dated 2005 "ENA **Protection** Assessment Functional Test Requirements – Voltage and Frequency **Protection**".

For the avoidance of doubt, **Low Frequency Relays** installed and commissioned before 1st January 2007 shall comply with the version of CC.A.5.1.1 applicable at the time such **Low Frequency Relays** were commissioned.

CC.A.5.5 <u>Scheme Settings</u>

CC.A.5.5.1 Table CC.A.5.5.1a shows, for each **Transmission Area**, the percentage of **Demand** (based on **Annual ACS Conditions**) at the time of forecast **National Electricity Transmission System** peak **Demand** that each **Network Operator** whose **System** is connected to the **Onshore Transmission System** within such **Transmission Area** shall disconnect by **Low Frequency Relays** at a range of frequencies. Where a **Network Operator's System** is connected to the **National Electricity Transmission System** in more than one **Transmission Area**, the settings for the **Transmission Area** in which the majority of the **Demand** is connected shall apply.

Frequency Hz	% Demand disconnection for each Network Operator in Transmission Area			
	NGET	SPT	SHETL	
48.8	5			
48.75	5			
48.7	10			
48.6	7.5		10	
48.5	7.5	10		
48.4	7.5	10	10	
48.2	7.5	10	10	
48.0	5	10	10	
47.8	5			
Total % Demand	60	40	40	

Table CC.A.5.5.1a

Note – the percentages in table CC.A.5.5.1a are cumulative such that, for example, should the frequency fall to 48.6 Hz in the **NGET Transmission Area**, 27.5% of the total **Demand** connected to the **National Electricity Transmission System** in the **NGET Transmission Area** shall be disconnected by the action of **Low Frequency Relays**.

The percentage **Demand** at each stage shall be allocated as far as reasonably practicable. The cumulative total percentage **Demand** is a minimum.

APPENDIX 6 - PERFORMANCE REQUIREMENTS FOR CONTINUOUSLY ACTING AUTOMATIC EXCITATION CONTROL SYSTEMS FOR ONSHORE SYNCHRONOUS GENERATING UNITS

CC.A.6.1 <u>Scope</u>

- CC.A.6.1.1 This Appendix sets out the performance requirements of continuously acting automatic excitation control systems for **Onshore Synchronous Generating Units** that must be complied with by the **GB Code User**. This Appendix does not limit any site specific requirements that may be included in a **Bilateral Agreement** where in **The Company's** reasonable opinion these facilities are necessary for system reasons.
- CC.A.6.1.2 Where the requirements may vary the likely range of variation is given in this Appendix. It may be necessary to specify values outside this range where **The Company** identifies a system need, and notwithstanding anything to the contrary **The Company** may specify in the **Bilateral Agreement** values outside of the ranges provided in this Appendix 6. The most common variations are in the on-load excitation ceiling voltage requirements and the response time required of the **Exciter**. Actual values will be included in the **Bilateral Agreement**.
- CC.A.6.1.3 Should a **GB Generator** anticipate making a change to the excitation control system it shall notify **The Company** under the **Planning Code** (PC.A.1.2(b) and (c)) as soon as the **GB Generator** anticipates making the change. The change may require a revision to the **Bilateral Agreement**.

CC.A.6.2 <u>Requirements</u>

- CC.A.6.2.1 The Excitation System of an Onshore Synchronous Generating Unit shall include an excitation source (Exciter), a Power System Stabiliser and a continuously acting Automatic Voltage Regulator (AVR) and shall meet the following functional specification.
- CC.A.6.2.2 In respect of **Onshore Synchronous Generating Units** with a **Completion Date** on or after 1 January 2009, and **Onshore Synchronous Generating Units** with a **Completion Date** before 1 January 2009 subject to a **Modification** to the excitation control facilities where the **Bilateral Agreement** does not specify otherwise, the continuously acting automatic excitation control system shall include a **Power System Stabiliser (PSS)** as a means of supplementary control. The functional specification of the **Power System Stabiliser** is included in CC.A.6.2.5.
- CC.A.6.2.3 Steady State Voltage Control
- CC.A.6.2.3.1 An accurate steady state control of the **Onshore Generating Unit** pre-set terminal voltage is required. As a measure of the accuracy of the steady-state voltage control, the **Automatic Voltage Regulator** shall have static zero frequency gain, sufficient to limit the change in terminal voltage to a drop not exceeding 0.5% of rated terminal voltage, when the **Onshore Generating Unit** output is gradually changed from zero to rated MVA output at rated voltage, **Active Power** and **Frequency**.
- CC.A.6.2.4 <u>Transient Voltage Control</u>
- CC.A.6.2.4.1 For a step change from 90% to 100% of the nominal **Onshore Generating Unit** terminal voltage, with the **Onshore Generating Unit** on open circuit, the **Excitation System** response shall have a damped oscillatory characteristic. For this characteristic, the time for the **Onshore Generating Unit** terminal voltage to first reach 100% shall be less than 0.6 seconds. Also, the time to settle within 5% of the voltage change shall be less than 3 seconds.

- CC.A.6.2.4.2 To ensure that adequate synchronising power is maintained, when the **Onshore Generating Unit** is subjected to a large voltage disturbance, the **Exciter** whose output is varied by the **Automatic Voltage Regulator** shall be capable of providing its achievable upper and lower limit ceiling voltages to the **Onshore Generating Unit** field in a time not exceeding that specified in the **Bilateral Agreement**. This will normally be not less than 50 ms and not greater than 300 ms. The achievable upper and lower limit ceiling voltages may be dependent on the voltage disturbance.
- CC.A.6.2.4.3 The Exciter shall be capable of attaining an Excitation System On Load Positive Ceiling Voltage of not less than a value specified in the Bilateral Agreement that will be:

not less than 2 per unit (pu)

normally not greater than 3 pu

exceptionally up to 4 pu

of **Rated Field Voltage** when responding to a sudden drop in voltage of 10 percent or more at the **Onshore Generating Unit** terminals. **The Company** may specify a value outside the above limits where **The Company** identifies a system need.

- CC.A.6.2.4.4 If a static type **Exciter** is employed:
 - (i) the field voltage should be capable of attaining a negative ceiling level specified in the Bilateral Agreement after the removal of the step disturbance of CC.A.6.2.4.3. The specified value will be 80% of the value specified in CC.A.6.2.4.3. The Company may specify a value outside the above limits where The Company identifies a system need.
 - the Exciter must be capable of maintaining free firing when the Onshore Generating Unit terminal voltage is depressed to a level which may be between 20% to 30% of rated terminal voltage
 - (iii) the Exciter shall be capable of attaining a positive ceiling voltage not less than 80% of the Excitation System On Load Positive Ceiling Voltage upon recovery of the Onshore Generating Unit terminal voltage to 80% of rated terminal voltage following fault clearance. The Company may specify a value outside the above limits where The Company identifies a system need.
 - (iv) The requirement to provide a separate power source for the **Exciter** will be specified in the **Bilateral Agreement** if **NGET** identifies a **Transmission System** need.
- CC.A.6.2.5 <u>Power Oscillations Damping Control</u>
- CC.A.6.2.5.1 To allow the **Onshore Generating Unit** to maintain second and subsequent swing stability and also to ensure an adequate level of low frequency electrical damping power, the **Automatic Voltage Regulator** shall include a **Power System Stabiliser** as a means of supplementary control.
- CC.A.6.2.5.2 Whatever supplementary control signal is employed, it shall be of the type which operates into the **Automatic Voltage Regulator** to cause the field voltage to act in a manner which results in the damping power being improved while maintaining adequate synchronising power.
- CC.A.6.2.5.3 The arrangements for the supplementary control signal shall ensure that the **Power System Stabiliser** output signal relates only to changes in the supplementary control signal and not the steady state level of the signal. For example, if generator electrical power output is chosen as a supplementary control signal then the **Power System Stabiliser** output should relate only to changes in generator electrical power output and not the steady state level of power output. Additionally the **Power System Stabiliser** should not react to mechanical power changes in isolation for example during rapid changes in steady state load or when providing frequency response.
- CC.A.6.2.5.4 The output signal from the **Power System Stabiliser** shall be limited to not more than ±10% of the **Onshore Generating Unit** terminal voltage signal at the **Automatic Voltage Regulator** input. The gain of the **Power System Stabiliser** shall be such that an increase in the gain by a factor of 3 shall not cause instability.

- CC.A.6.2.5.5 The **Power System Stabiliser** shall include elements that limit the bandwidth of the output signal. The bandwidth limiting must ensure that the highest frequency of response cannot excite torsional oscillations on other plant connected to the network. A bandwidth of 0-5Hz would be judged to be acceptable for this application.
- CC.A.6.2.5.6 The **GB Generator** will agree **Power System Stabiliser** settings with **NGET** prior to the onload commissioning detailed in BC2.11.2(d). To allow assessment of the performance before on-load commissioning the **GB Generator** will provide to **The Company** a report covering the areas specified in CP.A.3.2.1.
- CC.A.6.2.5.7 The **Power System Stabiliser** must be active within the **Excitation System** at all times when **Synchronised** including when the **Under Excitation Limiter** or **Over Excitation Limiter** are active. When operating at low load when **Synchronising** or **De-Synchronising** an **Onshore Generating Unit**, the **Power System Stabiliser** may be out of service.
- CC.A.6.2.5.8 Where a **Power System Stabiliser** is fitted to a **Pumped Storage Unit** it must function when the **Pumped Storage Unit** is in both generating and pumping modes.
- CC.A.6.2.6 Overall **Excitation System** Control Characteristics
- CC.A.6.2.6.1 The overall **Excitation System** shall include elements that limit the bandwidth of the output signal. The bandwidth limiting must be consistent with the speed of response requirements and ensure that the highest frequency of response cannot excite torsional oscillations on other plant connected to the network. A bandwidth of 0-5 Hz will be judged to be acceptable for this application.
- CC.A.6.2.6.2 The response of the Automatic Voltage Regulator combined with the Power System Stabiliser shall be demonstrated by injecting similar step signal disturbances into the Automatic Voltage Regulator reference as detailed in OC5A.2.2 and OC5.A.2.4. The Automatic Voltage Regulator shall include a facility to allow step injections into the Automatic Voltage Regulator voltage reference, with the Onshore Generating Unit operating at points specified by The Company (up to rated MVA output). The damping shall be judged to be adequate if the corresponding Active Power response to the disturbances decays within two cycles of oscillation.
- CC.A.6.2.6.3 A facility to inject a band limited random noise signal into the **Automatic Voltage Regulator** voltage reference shall be provided for demonstrating the frequency domain response of the **Power System Stabiliser**. The tuning of the **Power System Stabiliser** shall be judged to be adequate if the corresponding **Active Power** response shows improved damping with the **Power System Stabiliser** in combination with the **Automatic Voltage Regulator** compared with the **Automatic Voltage Regulator** alone over the frequency range 0.3Hz 2Hz.
- CC.A.6.2.7 Under-Excitation Limiters
- CC.A.6.2.7.1 The security of the power system shall also be safeguarded by means of MVAr Under Excitation Limiters fitted to the generator Excitation System. The Under Excitation Limiter shall prevent the Automatic Voltage Regulator reducing the generator excitation to a level which would endanger synchronous stability. The Under Excitation Limiter shall operate when the excitation system is providing automatic control. The Under Excitation Limiter shall respond to changes in the Active Power (MW) and the Reactive Power (MVAr), and to the square of the generator voltage in such a direction that an increase in voltage will permit an increase in leading MVAr. The characteristic of the Under Excitation Limiter shall be substantially linear from no-load to the maximum Active Power output of the Onshore Generating Unit at any setting and shall be readily adjustable.

- CC.A.6.2.7.2 The performance of the **Under Excitation Limiter** shall be independent of the rate of change of the **Onshore Generating Unit** load and shall be demonstrated by testing as detailed in OC5.A.2.5. The resulting maximum overshoot in response to a step injection which operates the **Under Excitation Limiter** shall not exceed 4% of the **Onshore Generating Unit** rated MVA. The operating point of the **Onshore Generating Unit** shall be returned to a steady state value at the limit line and the final settling time shall not be greater than 5 seconds. When the step change in **Automatic Voltage Regulator** reference voltage is reversed, the field voltage should begin to respond without any delay and should not be held down by the **Under Excitation Limiter**. Operation into or out of the preset limit levels shall ensure that any resultant oscillations are damped so that the disturbance is within 0.5% of the **Onshore Generating Unit** MVA rating within a period of 5 seconds.
- CC.A.6.2.7.3 The **GB Generator** shall also make provision to prevent the reduction of the **Onshore Generating Unit** excitation to a level which would endanger synchronous stability when the **Excitation System** is under manual control.

CC.A.6.2.8 Over-Excitation Limiters

- CC.A.6.2.8.1 The settings of the **Over-Excitation Limiter**, where it exists, shall ensure that the generator excitation is not limited to less than the maximum value that can be achieved whilst ensuring the **Onshore Generating Unit** is operating within its design limits. If the generator excitation is reduced following a period of operation at a high level, the rate of reduction shall not exceed that required to remain within any time dependent operating characteristics of the **Onshore Generating Unit**.
- CC.A.6.2.8.2 The performance of the **Over-Excitation Limiter**, where it exists, shall be demonstrated by testing as described in OC5.A.2.6. Any operation beyond the **Over-Excitation Limit** shall be controlled by the **Over-Excitation Limiter** without the operation of any **Protection** that could trip the **Onshore Generating Unit**.
- CC.A.6.2.8.3 The **GB Generator** shall also make provision to prevent any over-excitation restriction of the generator when the **Excitation System** is under manual control, other than that necessary to ensure the **Onshore Generating Unit** is operating within its design limits.

APPENDIX 7 - PERFORMANCE REQUIREMENTS FOR CONTINUOUSLY ACTING AUTOMATIC VOLTAGE CONTROL SYSTEMS FOR ONSHORE NON-SYNCHRONOUS GENERATING UNITS, ONSHORE DC CONVERTERS, ONSHORE POWER PARK MODULES AND OTSDUW PLANT AND APPARATUS AT THE INTERFACE POINT

CC.A.7.1 <u>Scope</u>

- CC.A.7.1.1 This Appendix sets out the performance requirements of continuously acting automatic voltage control systems for **Onshore Non-Synchronous Generating Units**, **Onshore DC Converters**, **Onshore Power Park Modules** and **OTSDUW Plant and Apparatus** at the **Interface Point** that must be complied with by the **GB Code User**. This Appendix does not limit any site specific requirements that may be included in a **Bilateral Agreement** where in **The Company's** reasonable opinion these facilities are necessary for system reasons.
- CC.A.7.1.2 Proposals by **GB Generators** to make a change to the voltage control systems are required to be notified to **The Company** under the **Planning Code** (PC.A.1.2(b) and (c)) as soon as the **GB Generator** anticipates making the change. The change may require a revision to the **Bilateral Agreement**.

CC.A.7.2 Requirements

CC.A.7.2.1 The Company requires that the continuously acting automatic voltage control system for the Onshore Non-Synchronous Generating Unit, Onshore DC Converter or Onshore Power Park Module or OTSDUW Plant and Apparatus shall meet the following functional performance specification. If a Network Operator has confirmed to The Company that its network to which an Embedded Onshore Non-Synchronous Generating Unit, Onshore DC Converter, Onshore Power Park Module or OTSDUW Plant and Apparatus is connected is restricted such that the full reactive range under the steady state voltage control requirements (CC.A.7.2.2) cannot be utilised, The Company may specify in the Bilateral Agreement alternative limits to the steady state voltage control range that reflect these restrictions. Where the Network Operator subsequently notifies The Company that such restriction has been removed, The Company may propose a Modification to the Bilateral Agreement (in accordance with the CUSC contract) to remove the alternative limits such that the continuously acting automatic voltage control system meets the following functional performance specification. All other requirements of the voltage control system will remain as in this Appendix.

CC.A.7.2.2 <u>Steady State Voltage Control</u>

CC.A.7.2.2.1 The Onshore Non-Synchronous Generating Unit, Onshore DC Converter, Onshore Power Park Module or OTSDUW Plant and Apparatus shall provide continuous steady state control of the voltage at the Onshore Grid Entry Point (or Onshore User System Entry Point if Embedded) (or the Interface Point in the case of OTSDUW Plant and Apparatus) with a Setpoint Voltage and Slope characteristic as illustrated in Figure CC.A.7.2.2a. It should be noted that where the Reactive Power capability requirement of a directly connected Onshore Non-Synchronous Generating Unit, Onshore DC Converter, Onshore Power Park Module in Scotland, or OTSDUW Plant and Apparatus in Scotland as specified in CC.6.3.2 (c), is not at the Onshore Grid Entry Point or Interface Point, the values of Qmin and Qmax shown in this figure will be as modified by the 33/132kV or 33/275kV or 33/400kV transformer.

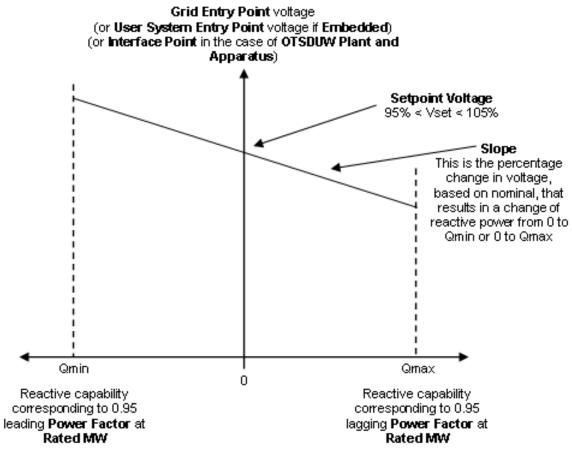
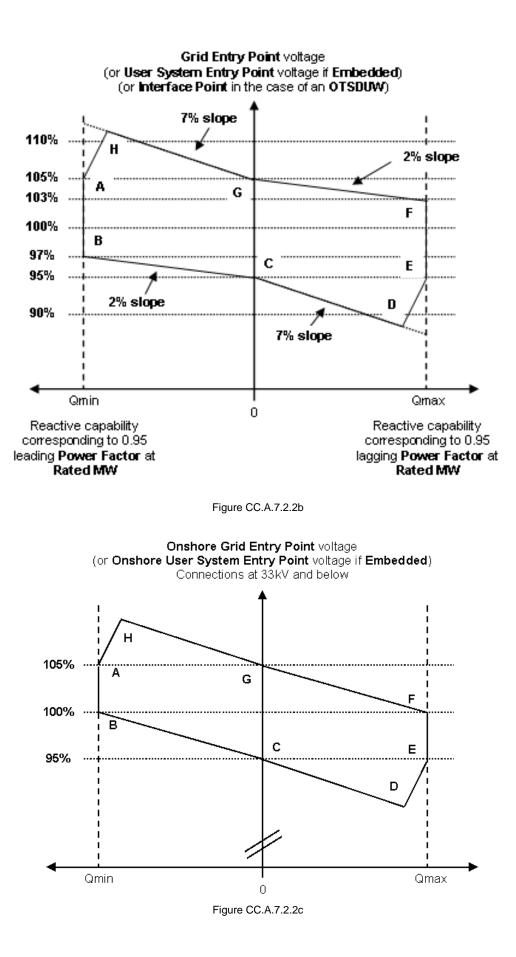


Figure CC.A.7.2.2a

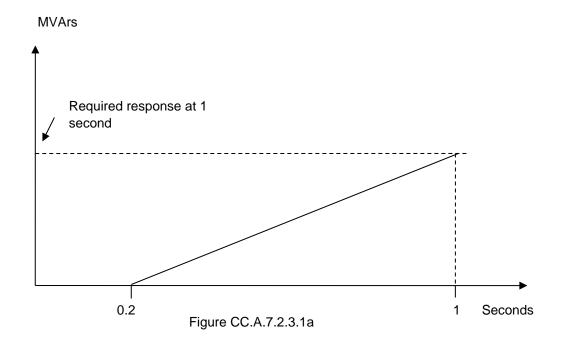
- CC.A.7.2.2.2 The continuously acting automatic control system shall be capable of operating to a **Setpoint Voltage** between 95% and 105% with a resolution of 0.25% of the nominal voltage. For the avoidance of doubt values of 95%, 95.25%, 95.5% ... may be specified, but not intermediate values. The initial **Setpoint Voltage** will be 100%. The tolerance within which this **Setpoint Voltage** shall be achieved is specified in BC2.A.2.6. For the avoidance of doubt, with a tolerance of 0.25% and a Setpoint Voltage of 100%, the achieved value shall be between 99.75% and 100.25%. **The Company** may request the **GB Generator** to implement an alternative **Setpoint Voltage** will be discussed between **The Company** and the relevant **Network Operator** and will be specified to ensure consistency with CC.6.3.4.
- CC.A.7.2.2.3 The **Slope** characteristic of the continuously acting automatic control system shall be adjustable over the range 2% to 7% (with a resolution of 0.5%). For the avoidance of doubt values of 2%, 2.5%, 3% may be specified, but not intermediate values. The initial **Slope** setting will be 4%. The tolerance within which this **Slope** shall be achieved is specified in BC2.A.2.6. For the avoidance of doubt, with a tolerance of 0.5% and a **Slope** setting of 4%, the achieved value shall be between 3.5% and 4.5%. **The Company** may request the **GB Generator** to implement an alternative slope setting within the range of 2% to 7%. For **Embedded GB Generators** the **Slope** setting will be discussed between **The Company** and the relevant **Network Operator** and will be specified to ensure consistency with CC.6.3.4.



- Figure CC.A.7.2.2b shows the required envelope of operation for Onshore Non-CC.A.7.2.2.4 Synchronous Generating Units, Onshore DC Converters, OTSDUW Plant and Apparatus and Onshore Power Park Modules except for those Embedded at 33kV and below or directly connected to the National Electricity Transmission System at 33kV and below. Figure CC.A.7.2.2c shows the required envelope of operation for Onshore Non-Synchronous Generating Units, Onshore DC Converters and Onshore Power Park Modules Embedded at 33kV and below or directly connected to the National Electricity Transmission System at 33kV and below. Where the Reactive Power capability requirement of a directly connected Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module in Scotland, as specified in CC.6.3.2 (c), is not at the Onshore Grid Entry Point or Interface Point in the case of OTSDUW Plant and Apparatus, the values of Qmin and Qmax shown in this figure will be as modified by the 33/132kV or 33/275kV or 33/400kV transformer. The enclosed area within points ABCDEFGH is the required capability range within which the Slope and Setpoint Voltage can be changed.
- CC.A.7.2.2.5 Should the operating point of the **Onshore Non-Synchronous Generating Unit**, **Onshore DC Converter**, **OTSDUW Plant and Apparatus** or **Onshore Power Park Module** deviate so that it is no longer a point on the operating characteristic (figure CC.A.7.2.2a) defined by the target **Setpoint Voltage** and **Slope**, the continuously acting automatic voltage control system shall act progressively to return the value to a point on the required characteristic within 5 seconds.
- CC.A.7.2.2.6 Should the Reactive Power output of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module reach its maximum lagging limit at a Onshore Grid Entry Point voltage (or Onshore User System Entry Point voltage if Embedded or Interface Point in the case of OTSDUW Plant and Apparatus) above 95%, the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module shall maintain maximum lagging Reactive Power output for voltage reductions down to 95%. This requirement is indicated by the line EF in figures CC.A.7.2.2b and CC.A.7.2.2c. Should the Reactive Power output of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module reach its maximum leading limit at a Onshore Grid Entry Point voltage (or Onshore User System Entry Point voltage if Embedded or Interface Point in the case of OTSDUW Plant and Apparatus) below 105%, the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module shall maintain maximum leading Reactive Power output for voltage increases up to 105%. This requirement is indicated by the line AB in figures CC.A.7.2.2b and CC.A.7.2.2c.

- CC.A.7.2.2.7 For Onshore Grid Entry Point voltages (or Onshore User System Entry Point voltages if Embedded or Interface Point voltages) below 95%, the lagging Reactive Power capability of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module should be that which results from the supply of maximum lagging reactive current whilst ensuring the current remains within design operating limits. An example of the capability is shown by the line DE in figures CC.A.7.2.2b and CC.A.7.2.2c. For Onshore Grid Entry Point voltages (or User System Entry Point voltages if Embedded or Interface Point voltages) above 105%, the leading Reactive Power capability of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module should be that which results from the supply of maximum leading reactive current whilst ensuring the current remains within design operating limits. An example of the capability is shown by the line AH in figures CC.A.7.2.2b and CC.A.7.2.2c. Should the Reactive Power output of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module reach its maximum lagging limit at an Onshore Grid Entry Point voltage (or Onshore User System Entry Point voltage if Embedded or Interface Point in the case of OTSDUW Plant and Apparatus) below 95%, the Onshore Non-Synchronous Generating Unit, Onshore DC Converter or Onshore Power Park Module shall maintain maximum lagging reactive current output for further voltage decreases. Should the Reactive Power output of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module reach its maximum leading limit at a Onshore Grid Entry Point voltage (or User System Entry Point voltage if Embedded or Interface Point voltage in the case of an OTSDUW Plant and Apparatus) above 105%, the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module shall maintain maximum leading reactive current output for further voltage increases.
- CC.A.7.2.2.8 All **OTSDUW Plant and Apparatus** must be capable of enabling **GB Code Users** undertaking **OTSDUW** to comply with an instruction received from **The Company** relating to a variation of the **Setpoint Voltage** at the **Interface Point** within 2 minutes of such instruction being received.
- CC.A.7.2.2.9 For OTSDUW Plant and Apparatus connected to a Network Operator's System where the Network Operator has confirmed to The Company that its System is restricted in accordance with CC.A.7.2.1, clause CC.A.7.2.2.8 will not apply unless The Company can reasonably demonstrate that the magnitude of the available change in Reactive Power has a significant effect on voltage levels on the Onshore National Electricity Transmission System.
- CC.A.7.2.3 Transient Voltage Control
- CC.A.7.2.3.1 For an on-load step change in **Onshore Grid Entry Point** or **Onshore User System Entry Point** voltage, or in the case of **OTSDUW Plant and Apparatus** an on-load step change in **Transmission Interface Point** voltage, the continuously acting automatic control system shall respond according to the following minimum criteria:
 - (i) the Reactive Power output response of the Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module shall commence within 0.2 seconds of the application of the step. It shall progress linearly although variations from a linear characteristic shall be acceptable provided that the MVAr seconds delivered at any time up to 1 second are at least those that would result from the response shown in figure CC.A.7.2.3.1a.
 - (ii) the response shall be such that 90% of the change in the **Reactive Power** output of the **Onshore Non-Synchronous Generating Unit**, **Onshore DC Converter**, **OTSDUW Plant and Apparatus** or **Onshore Power Park Module**, will be achieved within
 - 1 second, where the step is sufficiently large to require a change in the steady state Reactive Power output from zero to its maximum leading value or maximum lagging value, as required by CC.6.3.2 (or, if appropriate, CC.A.7.2.2.6 or CC.A.7.2.2.7); and

- 2 seconds, for Plant and Apparatus installed on or after 1 December 2017, where the step is sufficiently large to require a change in the steady state Reactive Power output from its maximum leading value to its maximum lagging value or vice versa.
- (iii) the magnitude of the **Reactive Power** output response produced within 1 second shall vary linearly in proportion to the magnitude of the step change.
- (iv) within 2 seconds from achieving 90% of the response as defined in CC.A.7.2.3.1 (ii), the peak to peak magnitude of any oscillations shall be less than 5% of the change in steady state **Reactive Power**.
- (v) following the transient response, the conditions of CC.A.7.2.2 apply.



CC.A.7.2.3.2 An Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus or Onshore Power Park Module installed on or after 1 December 2017 shall be capable of

- (a) changing its **Reactive Power** output from its maximum lagging value to its maximum leading value, or vice versa, then reverting back to the initial level of **Reactive Power** output once every 15 seconds for at least 5 times within any 5 minute period; and
- (b) changing its **Reactive Power** output from zero to its maximum leading value then reverting back to zero **Reactive Power** output at least 25 times within any 24 hour period and from zero to its maximum lagging value then reverting back to zero **Reactive Power** output at least 25 times within any 24 hour period. Any subsequent restriction on reactive capability shall be notified to **The Company** in accordance with BC2.5.3.2, and BC2.6.1.

In all cases, the response shall be in accordance to CC.A.7.2.3.1 where the change in **Reactive Power** output is in response to an on-load step change in **Onshore Grid Entry Point** or **Onshore User System Entry Point** voltage, or in the case of **OTSDUW Plant and Apparatus** an on-load step change in **Transmission Interface Point** voltage.

CC.A.7.2.4 <u>Power Oscillation Damping</u>

- CC.A.7.2.4.1 The requirement for the continuously acting voltage control system to be fitted with a **Power System Stabiliser (PSS)** shall be specified in the **Bilateral Agreement** if, in **The Company's** view, this is required for system reasons. However if a **Power System Stabiliser** is included in the voltage control system its settings and performance shall be agreed with **The Company** and commissioned in accordance with BC2.11.2. To allow assessment of the performance before on-load commissioning the **GB Generator** will provide to **The Company** a report covering the areas specified in CP.A.3.2.2.
- CC.A.7.2.5 Overall Voltage Control System Characteristics
- CC.A.7.2.5.1 The continuously acting automatic voltage control system is required to respond to minor variations, steps, gradual changes or major variations in **Onshore Grid Entry Point** voltage (or **Onshore User System Entry Point** voltage if **Embedded** or **Interface Point** voltage in the case of **OTSDUW Plant and Apparatus**).
- CC.A.7.2.5.2 The overall voltage control system shall include elements that limit the bandwidth of the output signal. The bandwidth limiting must be consistent with the speed of response requirements and ensure that the highest frequency of response cannot excite torsional oscillations on other plant connected to the network. A bandwidth of 0-5Hz would be judged to be acceptable for this application. All other control systems employed within the **Onshore Non-Synchronous Generating Unit**, **Onshore DC Converter**, **OTSDUW Plant and Apparatus** or **Onshore Power Park Module** should also meet this requirement
- CC.A.7.2.5.3 The response of the voltage control system (including the **Power System Stabiliser** if employed) shall be demonstrated by testing in accordance with OC5A.A.3.

< END OF CONNECTION CONDITIONS >

EUROPEAN CONNECTION CONDITIONS

(ECC)

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ECC.1 INTRODUCTION

- ECC.1.1 The **European Connection Conditions** ("**ECC**") specify both:
 - (a) the minimum technical, design and operational criteria which must be complied with by:
 - (i) any EU Code User connected to or seeking connection with the National Electricity Transmission System, or
 - (ii) **EU Generators** or **HVDC System Owners** connected to or seeking connection to a **User's System** which is located in **Great Britain** or **Offshore**, or
 - (iii) Network Operators who are EU Code Users
 - (iv) Network Operators who are GB Code Users but only in respect of:-
 - (a) Their obligations in respect of Embedded Medium Power Stations not subject to a Bilateral Agreement for whom the requirements of ECC.3.1(b)(iii) apply alone; and/or
 - (b) The requirements of this ECC only in relation to each EU Grid Supply Point. Network Operators in respect of all other Grid Supply Points should continue to satisfy the requirements as specified in the CCs.
 - (v) Non-Embedded Customers who are EU Code Users
 - (b) the minimum technical, design and operational criteria with which The Company will comply in relation to the part of the National Electricity Transmission System at the Connection Site with Users. In the case of any OTSDUW Plant and Apparatus, the ECC also specify the minimum technical, design and operational criteria which must be complied with by the User when undertaking OTSDUW.
 - (c) The requirements of European Regulation (EU) 2016/631 shall not apply to
 - (i) Power Generating Modules that are installed to provide backup power and operate in parallel with the Total System for less than 5 minutes per calendar month while the System is in normal state. Parallel operation during maintenance or commissioning of tests of that Power Generating Module shall not count towards that five minute limit.
 - (ii) Power Generating Modules connected to the Transmission System or Network Operators System which are not operated in synchronism with a Synchronous Area.
 - (iii) Power Generating Modules that do not have a permanent Connection Point or User System Entry Point and used by The Company to temporarily provide power when normal System capacity is partly or completely unavailable.

ECC.2 <u>OBJECTIVE</u>

- ECC.2.1 The objective of the ECC is to ensure that by specifying minimum technical, design and operational criteria the basic rules for connection to the National Electricity Transmission System and (for certain Users) to a User's System are similar for all Users of an equivalent category and will enable The Company to comply with its statutory and Transmission Licence obligations and European Regulations.
- ECC.2.2 In the case of any **OTSDUW** the objective of the **ECC** is to ensure that by specifying the minimum technical, design and operational criteria the basic rules relating to an **Offshore Transmission System** designed and constructed by an **Offshore Transmission Licensee** and designed and/or constructed by a **User** under the **OTSDUW Arrangements** are equivalent.

- ECC.2.3 Provisions of the ECC which apply in relation to OTSDUW and OTSUA, and/or a Transmission Interface Site, shall (in any particular case) apply up to the OTSUA Transfer Time, whereupon such provisions shall (without prejudice to any prior non-compliance) cease to apply, without prejudice to the continuing application of provisions of the ECC applying in relation to the relevant Offshore Transmission System and/or Connection Site. It is the case therefore that in cases where the OTSUA becomes operational prior to the OTSUA Transfer Time that a EU Generator is required to comply with this ECC both as it applies to its Plant and Apparatus at a Connection Site\Connection Point and the OTSUA at the Transmission Interface Site/Transmission Interface Point until the OTSUA Transfer Time and this ECC shall be construed accordingly.
- ECC.2.4 In relation to **OTSDUW**, provisions otherwise to be contained in a **Bilateral Agreement** may be contained in the **Construction Agreement**, and accordingly a reference in the **ECC** to a relevant **Bilateral Agreement** includes the relevant **Construction Agreement**.
- ECC.3 <u>SCOPE</u>
- ECC.3.1 The **ECC** applies to **The Company** and to **Users**, which in the **ECC** means:
 - (a) **EU Generators** (other than those which only have **Embedded Small Power Stations**), including those undertaking **OTSDUW** including **Power Generating Modules**, and **DC Connected Power Park Modules**.
 - (b) Network Operators but only in respect of:-
 - (i) Network Operators who are EU Code Users
 - (ii) Network Operators who only have EU Grid Supply Points
 - (iii) Embedded Medium Power Stations not subject to a Bilateral Agreement
 - as provided for in ECC.3.2, ECC.3.3, EC3.4, EC3.5, ECC5.1, ECC.6.4.4 and ECA.3.4:
 - (iv) Notwithstanding the requirements of ECC3.1(b)(i)(ii) and (iii) , Network Operators who own and/or operate EU Grid Supply Points, are only required to satisfy the requirements of this ECC in relation to each EU Grid Supply Point. Network Operators in respect of all other Grid Supply Points should continue to satisfy the requirements as specified in the CCs.
 - (c) Non-Embedded Customers who are also EU Code Users ;
 - (d) HVDC System Owners who are also EU Code Users; and
 - (e) BM Participants and Externally Interconnected System Operators who are also EU Code Users in respect of ECC.6.5 only.
- ECC.3.2 The above categories of **User** will become bound by the applicable sections of the **ECC** prior to them generating, distributing, supplying or consuming, as the case may be, and references to the various categories should, therefore, be taken as referring to them in that prospective role.
- ECC.3.3 Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Systems not subject to a Bilateral Agreement Provisions.

The following provisions apply in respect of **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded HVDC Systems** not subject to a **Bilateral Agreement**.

- ECC.3.3.1 The obligations within the ECC that are expressed to be applicable to EU Generators in respect of Embedded Medium Power Stations not subject to a Bilateral Agreement and HVDC System Owners in respect of Embedded HVDC Systems not subject to a Bilateral Agreement (where the obligations are in each case listed in ECC.3.3.2) shall be read and construed as obligations that the Network Operator within whose System any such Medium Power Station or HVDC System is Embedded must ensure are performed and discharged by the EU Generator or the HVDC Owner. Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Systems not subject to a Bilateral Agreement and Embedded HVDC Systems not subject to a Bilateral Agreement and Embedded HVDC Systems not subject to a Bilateral Agreement which are located Offshore and which are connected to an Onshore User System will be required to meet the applicable requirements of the Grid Code as though they are an Onshore Generator or Onshore HVDC System Owner connected to an Onshore User System Entry Point.
- ECC.3.3.2 The Network Operator within whose System a Medium Power Station not subject to a Bilateral Agreement is Embedded or a HVDC System not subject to a Bilateral Agreement is Embedded must ensure that the following obligations in the ECC are performed and discharged by the EU Generator in respect of each such Embedded Medium Power Station or the HVDC System Owner in the case of an Embedded HVDC System:
 - ECC.5.1
 - ECC.5.2.2
 - ECC.5.3
 - ECC.6.1.3
 - ECC.6.1.5 (b)

ECC.6.3.2, ECC.6.3.3, ECC.6.3.4, ECC.6.3.6, ECC.6.3.7, ECC.6.3.8, ECC.6.3.9, ECC.6.3.10, ECC.6.3.12, ECC.6.3.13, ECC.6.3.15, ECC.6.3.16

ECC.6.4.4

ECC.6.5.6 (where required by ECC.6.4.4)

In respect of ECC.6.2.2.2, ECC.6.2.2.3, ECC.6.2.2.5, ECC.6.1.5(a), ECC.6.1.5(b) and ECC.6.3.11 equivalent provisions as co-ordinated and agreed with the **Network Operator** and **EU Generator** or **HVDC System Owner** may be required. Details of any such requirements will be notified to the **Network Operator** in accordance with ECC.3.5.

ECC.3.3.3 In the case of **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded HVDC Systems** not subject to a **Bilateral Agreement** the requirements in:

ECC.6.1.6 ECC.6.3.8 ECC.6.3.12 ECC.6.3.15 ECC.6.3.16 ECC.6.3.17

that would otherwise have been specified in a **Bilateral Agreement** will be notified to the relevant **Network Operator** in writing in accordance with the provisions of the **CUSC** and the **Network Operator** must ensure such requirements are performed and discharged by the **Generator** or the **HVDC System** owner.

- ECC.3.4 In the case of Offshore Embedded Power Generating Modules connected to an Offshore User's System which directly connects to an Offshore Transmission System, any additional requirements in respect of such Offshore Embedded Power Generating Modules may be specified in the relevant Bilateral Agreement with the Network Operator or in any Bilateral Agreement between The Company and such Offshore Generator.
- ECC.3.5 In the case of a Generator undertaking OTSDUW connecting to an Onshore Network Operator's System, any additional requirements in respect of such OTSDUW Plant and Apparatus will be specified in the relevant Bilateral Agreement with the EU Generator. For the avoidance of doubt, requirements applicable to EU Generators undertaking OTSDUW and connecting to a Network Operator's User System, shall be consistent with those applicable requirements of Generators undertaking OTSDUW and connecting to a Transmission Interface Point.
- ECC.3.6 The requirements of this ECC shall apply to EU Code Users in respect of Power Generating Modules (including DC Connected Power Park Modules) and HVDC Systems

ECC.4 <u>PROCEDURE</u>

ECC.4.1 The **CUSC** contains certain provisions relating to the procedure for connection to the **National Electricity Transmission System** or, in the case of **Embedded Power Stations** or **Embedded HVDC Systems**, becoming operational and includes provisions relating to certain conditions to be complied with by **EU Code Users** prior to and during the course of **The Company** notifying the **User** that it has the right to become operational. The procedure for an **EU Code User** to become connected is set out in the **Compliance Processes**.

ECC.5 <u>CONNECTION</u>

- ECC.5.1 The provisions relating to connecting to the **National Electricity Transmission System** (or to a **User's System** in the case of a connection of an **Embedded Large Power Station** or **Embedded Medium Power Stations** or **Embedded HVDC System**) are contained in:
 - (a) the CUSC and/or CUSC Contract (or in the relevant application form or offer for a CUSC Contract);
 - (b) or, in the case of an **Embedded Development**, the relevant **Distribution Code** and/or the **Embedded Development Agreement** for the connection (or in the relevant application form or offer for an **Embedded Development Agreement**),

and include provisions relating to both the submission of information and reports relating to compliance with the relevant **European Connection Conditions** for that **EU Code User**, **Safety Rules**, commissioning programmes, **Operation Diagrams** and approval to connect (and their equivalents in the case of **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** or **Embedded HVDC Systems** not subject to a **Bilateral Agreement**. References in the **ECC** to the "**Bilateral Agreement**" and/or "**Embedded Development Agreement**" shall be deemed to include references to the application form or offer therefor.

ECC.5.2 Items For Submission

- ECC.5.2.1 Prior to the **Completion Date** (or, where the **EU Generator** is undertaking **OTSDUW**, any later date specified) under the **Bilateral Agreement** and/or **Construction Agreement**, the following is submitted pursuant to the terms of the **Bilateral Agreement** and/or **Construction Agreement**:
 - (a) updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand, pursuant to the requirements of the Planning Code;
 - (b) details of the **Protection** arrangements and settings referred to in ECC.6;

- (c) copies of all Safety Rules and Local Safety Instructions applicable at Users' Sites which will be used at the Transmission/User interface (which, for the purpose of OC8, must be to The Company's satisfaction regarding the procedures for Isolation and Earthing. The Company will consult the Relevant Transmission Licensee when determining whether the procedures for Isolation and Earthing are satisfactory);
- (d) information to enable the preparation of the **Site Responsibility Schedules** on the basis of the provisions set out in Appendix 1;
- (e) an **Operation Diagram** for all **HV Apparatus** on the **User** side of the **Connection Point** as described in ECC.7;
- (f) the proposed name of the **User Site** (which shall not be the same as, or confusingly similar to, the name of any **Transmission Site** or of any other **User Site**);
- (g) written confirmation that **Safety Co-ordinators** acting on behalf of the **User** are authorised and competent pursuant to the requirements of **OC8**;
- (h) Such **RISSP** prefixes pursuant to the requirements of **OC8**. Such **RISSP** prefixes shall be circulated utilising a proforma in accordance with **OC8**;
- a list of the telephone numbers for Joint System Incidents at which senior management representatives nominated for the purpose can be contacted and confirmation that they are fully authorised to make binding decisions on behalf of the User, pursuant to OC9;
- (j) a list of managers who have been duly authorised to sign **Site Responsibility Schedules** on behalf of the **User**;
- (k) information to enable the preparation of the Site Common Drawings as described in ECC.7;
- (I) a list of the telephone numbers for the **Users** facsimile machines referred to in ECC.6.5.9; and
- (m) for Sites in Scotland and Offshore a list of persons appointed by the User to undertake operational duties on the User's System (including any OTSDUW prior to the OTSUA Transfer Time) and to issue and receive operational messages and instructions in relation to the User's System (including any OTSDUW prior to the OTSUA Transfer Time); and an appointed person or persons responsible for the maintenance and testing of User's Plant and Apparatus.

ECC.5.2.2 Prior to the **Completion Date** the following must be submitted to **The Company** by the **Network Operator** in respect of an **Embedded Development**:

- (a) updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand, pursuant to the requirements of the Planning Code;
- (b) details of the **Protection** arrangements and settings referred to in ECC.6;
- (c) the proposed name of the Embedded Medium Power Station or Embedded HVDC System (which shall be agreed with The Company unless it is the same as, or confusingly similar to, the name of other Transmission Site or User Site);
- ECC.5.2.3 Prior to the **Completion Date** contained within an **Offshore Transmission Distribution Connection Agreement** the following must be submitted to **The Company** by the **Network Operator** in respect of a proposed new **Interface Point** within its **User System**:
 - (a) updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand, pursuant to the requirements of the Planning Code;

- (b) details of the Protection arrangements and settings referred to in ECC.6;
- (c) the proposed name of the **Interface Point** (which shall not be the same as, or confusingly similar to, the name of any **Transmission Site** or of any other **User Site**);
- ECC.5.2.4 In the case of **OTSDUW Plant and Apparatus** (in addition to items under ECC.5.2.1 in respect of the **Connection Site**), prior to the **Completion Date** (or any later date specified) under the **Construction Agreement** the following must be submitted to **The Company** by the **User** in respect of the proposed new **Connection Point** and **Interface Point**:
 - (a) updated Planning Code data (Standard Planning Data, Detailed Planning Data and OTSDUW Data and Information), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand, pursuant to the requirements of the Planning Code;
 - (b) details of the **Protection** arrangements and settings referred to in ECC.6;
 - (c) information to enable preparation of the **Site Responsibility Schedules** at the **Transmission Interface Site** on the basis of the provisions set out in Appendix E1.
 - (d) the proposed name of the Interface Point (which shall not be the same as, or confusingly similar to, the name of any Transmission Site or of any other User Site);
 - 3 (a) Of the items ECC.5.2.1 (c), (e), (g), (h), (k) and (m) need not be supplied in respect of **Embedded Power Stations** or **Embedded HVDC Systems**,
 - (b) item ECC.5.2.1(i) need not be supplied in respect of Embedded Small Power Stations and Embedded Medium Power Stations or Embedded HVDC Systems with a Registered Capacity of less than 100MW, and
 - (c) items ECC.5.2.1(d) and (j) are only needed in the case where the Embedded Power Station or the Embedded HVDC System is within a Connection Site with another User.

ECC.6 TECHNICAL, DESIGN AND OPERATIONAL CRITERIA

ECC.6.1 National Electricity Transmission System Performance Characteristics

- ECC.6.1.1 The Company shall ensure that, subject as provided in the Grid Code, the National Electricity Transmission System complies with the following technical, design and operational criteria in relation to the part of the National Electricity Transmission System at the Connection Site with a User and in the case of OTSDUW Plant and Apparatus, a Transmission Interface Point (unless otherwise specified in ECC.6) although in relation to operational criteria The Company may be unable (and will not be required) to comply with this obligation to the extent that there are insufficient Power Stations or User Systems are not available or Users do not comply with The Company's instructions or otherwise do not comply with the Grid Code and each User shall ensure that its Plant and Apparatus complies with the criteria set out in ECC.6.1.5.
- ECC.6.1.2 <u>Grid Frequency Variations</u>
- ECC.6.1.2.1 Grid Frequency Variations
- ECC.6.1.2.1.1 The **Frequency** of the **National Electricity Transmission System** shall be nominally 50Hz and shall be controlled within the limits of 49.5 50.5Hz unless exceptional circumstances prevail.
- ECC.6.1.2.1.2 The **System Frequency** could rise to 52Hz or fall to 47Hz in exceptional circumstances. Design of **User's Plant** and **Apparatus** and **OTSDUW Plant and Apparatus** must enable operation of that **Plant** and **Apparatus** within that range in accordance with the following:

Frequency Range	<u>Requirement</u>	
51.5Hz - 52Hz	Operation for a period of at least 15 minutes is required	
	each time the Frequency is above 51.5Hz.	

ECC.5.3

51Hz - 51.5Hz	Operation for a period of at least 90 minutes is required
	each time the Frequency is above 51Hz.
49.0Hz - 51Hz	Continuous operation is required
47.5Hz - 49.0Hz	Operation for a period of at least 90 minutes is required
	each time the Frequency is below 49.0Hz.
47Hz - 47.5Hz	Operation for a period of at least 20 seconds is required
	each time the Frequency is below 47.5Hz.

- ECC.6.1.2.1.3 For the avoidance of doubt, disconnection, by frequency or speed based relays is not permitted within the frequency range 47.5Hz to 51.5Hz. **EU Generators** should however be aware of the combined voltage and frequency operating ranges as defined in ECC.6.3.12 and ECC.6.3.13.
- ECC.6.1.2.1.4 **The Company** in co-ordination with the **Relevant Transmission Licensee** and/or **Network Operator** and a **User** may agree on wider variations in frequency or longer minimum operating times to those set out in ECC.6.1.2.1.2 or specific requirements for combined frequency and voltage deviations. Any such requirements in relation to **Power Generating Modules** shall be in accordance with ECC.6.3.12 and ECC.6.3.13. A **User** shall not unreasonably withhold consent to apply wider frequency ranges or longer minimum times for operation taking account of their economic and technical feasibility.
- ECC.6.1.2.2 Grid Frequency variations for HVDC Systems and Remote End HVDC Converter Stations
- ECC.6.1.2.2.1 **HVDC Systems** and **Remote End HVDC Converter Stations** shall be capable of staying connected to the **System** and remaining operable within the frequency ranges and time periods specified in Table ECC.6.1.2.2 below. This requirement shall continue to apply during the **Fault Ride Through** conditions defined in ECC.6.3.15

Frequency Range (Hz)	Time Period for Operation (s)
47.0 – 47.5Hz	60 seconds
47.5 – 49.0Hz	90 minutes and 30 seconds
49.0 – 51.0Hz	Unlimited
51.0 – 51.5Hz	90 minutes and 30 seconds
51.5Hz – 52 Hz	20 minutes

- Table ECC.6.1.2.2 Minimum time periods <u>HVDC Systems</u> and <u>Remote End HVDC Converter Stations</u> shall be able to operate for different frequencies deviating from a nominal value without disconnecting from the **National Electricity Transmission System**
- ECC.6.1.2.2.2 **The Company** in coordination with the **Relevant Transmission Licensee** and a **HVDC System Owner** may agree wider frequency ranges or longer minimum operating times if required to preserve or restore system security. If wider frequency ranges or longer minimum times for operation are economically and technically feasible, the HV**DC System Owner** shall not unreasonably withhold consent.
- ECC.6.1.2.2.3 Not withstanding the requirements of ECC.6.1.2.2.1, an **HVDC System** or **Remote End HVDC Converter Station** shall be capable of automatic disconnection at frequencies specified by **The Company** and/or **Relevant Network Operator**.
- ECC.6.1.2.2.4 In the case of **Remote End HVDC Converter Stations** where the **Remote End HVDC Converter Station** is operating at either nominal frequency other than 50Hz or a variable frequency, the requirements defined in ECC6.1.2.2.1 to ECC.6.1.2.2.3 shall apply to the **Remote End HVDC Converter Station** other than in respect of the frequency ranges and time periods.
- ECC.6.1.2.3 Grid Frequency Variations for DC Connected Power Park Modules

ECC.6.1.2.3.1 **DC Connected Power Park Modules** shall be capable of staying connected to the **Remote End DC Converter** network at the HVDC Interface Point and operating within the **Frequency** ranges and time periods specified in Table ECC.6.1.2.3 below. Where a nominal frequency other than 50Hz, or a **Frequency** variable by design is used as agreed with **The Company** and the **Relevant Transmission Licensee** the applicable **Frequency** ranges and time periods shall be specified in the **Bilateral Agreement** which shall (where applicable) reflect the requirements in Table ECC.6.1.2.3 .

Frequency Range (Hz)	Time Period for Operation (s)
47.0 – 47.5Hz	20 seconds
47.5 – 49.0Hz	90 minutes
49.0 – 51.0Hz	Unlimited
51.0 – 51.5Hz	90 minutes
51.5Hz – 52 Hz	15 minutes

 Table ECC.6.1.2.3 – Minimum time periods a DC Connected Power Park Module shall be able to operate for different frequencies deviating from a nominal value without disconnecting from the

System

- ECC.6.1.2.3.2 **The Company** in coordination with the **Relevant Transmission Licensee** and a **Generator** may agree wider frequency ranges or longer minimum operating times if required to preserve or restore system security and to ensure the optimum capability of the **DC Connected Power Park Module**. If wider frequency ranges or longer minimum times for operation are economically and technically feasible, the **EU Generator** shall not unreasonably withhold consent.
- ECC.6.1.3 Not used
- ECC.6.1.4 Grid Voltage Variations
- ECC.6.1.4.1 <u>Grid Voltage Variations for Users excluding DC Connected Power Park Modules and</u> <u>Remote End HVDC Converters</u>

Subject as provided below, the voltage on the 400kV part of the National Electricity Transmission System at each Connection Site with a User (and in the case of OTSDUW Plant and Apparatus, a Transmission Interface Point, excluding DC Connected Power Park Modules and Remote End HVDC Converters) will normally remain within ±5% of the nominal value unless abnormal conditions prevail. The minimum voltage is -10% and the maximum voltage is +10% unless abnormal conditions prevail, but voltages between +5% and +10% will not last longer than 15 minutes unless abnormal conditions prevail. Voltages on the 275kV and 132kV parts of the National Electricity Transmission System at each Connection Point (and in the case of OTSDUW Plant and Apparatus, a Transmission Interface Point) will normally remain within the limits $\pm 10\%$ of the nominal value unless abnormal conditions prevail. At nominal System voltages below 110kV the voltage of the National Electricity Transmission System at each Connection Site with a User (and in the case of OTSDUW Plant and Apparatus, a Transmission Interface Point), excluding Connection Sites for DC Connected Power Park Modules and Remote End HVDC Converters) will normally remain within the limits ±6% of the nominal value unless abnormal conditions prevail. Under fault conditions, the voltage may collapse transiently to zero at the point of fault until the fault is cleared. The normal operating ranges of the National Electricity Transmission System are summarised below:

National Electricity	Normal Operating Range	Time	period	for
Transmission System		Operatio	n	
Nominal Voltage				
•				

400kV	400kV -10% to +5%	Unlimited
	400kV +5% to +10%	15 minutes
275kV	275kV ±10%	Unlimited
132kV	132kV ±10%	Unlimited
110kV	110kV ±10%	Unlimited
Below 110kV	Below 110kV ±6%	Unlimited

The Company and a **User** may agree greater variations or longer minimum time periods of operation in voltage to those set out above in relation to a particular **Connection Site**, and insofar as a greater variation is agreed, the relevant figure set out above shall, in relation to that **User** at the particular **Connection Site**, be replaced by the figure agreed.

ECC.6.1.4.2 Grid Voltage Variations for all DC Connected Power Park Modules

ECC.6.1.4.2.1 All **DC Connected Power Park Modules** shall be capable of staying connected to the **Remote End HVDC Converter Station** at the **HVDC Interface Point** and operating within the voltage ranges and time periods specified in Tables ECC.6.1.4.2(a) and ECC.6.1.4.2(b) below. The applicable voltage range and time periods specified are selected based on the reference 1pu voltage.

Voltage Range (pu)	Time Period for Operation (s)
0.85pu – 0.9pu	60 minutes
0.9pu – 1.1pu	Unlimited
1.1ри – 1.15ри	15 minutes

Table ECC.6.1.4.2(a) – Minimum time periods for which **DC Connected Power Park Modules** shall be capable of operating for different voltages deviating from reference 1pu without disconnecting from the network where the nominal voltage base is 110kV or above and less than 300kV.

Voltage Range (pu)	Time Period for Operation (s)
0.85pu – 0.9pu	60 minutes
0.9pu – 1.05pu	Unlimited
1.05pu – 1.15pu	15 minutes

Table ECC.6.1.4.2(b) – Minimum time periods for which **DC Connected Power Park Modules** shall be capable of operating for different voltages deviating from reference 1pu without disconnecting from the network where the nominal voltage base is from 300kV up to and including 400kV.

- ECC.6.1.4.2.2 **The Company** and a **EU Generator** in respect of a **DC Connected Power Park Module** may agree greater voltage ranges or longer minimum operating times. If greater voltage ranges or longer minimum times for operation are economically and technically feasible, the **EU Generator** shall not unreasonably withhold any agreement.
- ECC.6.1.4.2.3 For DC Connected Power Park Modules which have an HVDC Interface Point to the Remote End HVDC Converter Station, The Company in coordination with the Relevant Transmission Licensee may specify voltage limits at the HVDC Interface Point at which the DC Connected Power Park Module is capable of automatic disconnection.

- ECC.6.1.4.2.4 For **HVDC Interface Points** which fall outside the scope of ECC.6.1.4.2.1, ECC.6.1.4.2.2 and ECC.6.1.4.2.3, **The Company** in coordination with the **Relevant Transmission** Licensee shall specify any applicable requirements at the **Grid Entry Point** or **User System** Entry Point.
- ECC.6.1.4.2.5 Where the nominal frequency of the AC collector **System** which is connected to an **HVDC Interface Point** is at a value other than 50Hz, the voltage ranges and time periods specified by **The Company** in coordination with the **Relevant Transmission Licensee** shall be proportional to the values specified in Table ECC.6.1.4.2(a) and Table ECC.6.1.4.2(b)
- ECC.6.1.4.3 Grid Voltage Variations for all **Remote End HVDC Converters**
- ECC.6.1.4.3.1 All **Remote End HVDC Converter Stations** shall be capable of staying connected to the **HVDC Interface Point** and operating within the voltage ranges and time periods specified in Tables ECC.6.1.4.3(a) and ECC.6.1.4.3(b) below. The applicable voltage range and time periods specified are selected based on the reference 1pu voltage.

Voltage Range (pu)	Time Period for Operation (s)
0.85pu – 0.9pu	60 minutes
0.9pu – 1.1pu	Unlimited
1.1pu – 1.15pu	15 minutes

Table ECC.6.1.4.3(a) – Minimum time periods for which a **Remote End HVDC Converter** shall be capable of operating for different voltages deviating from reference 1pu without disconnecting from the network where the nominal voltage base is 110kV or above and less than 300kV.

Voltage Range (pu)	Time Period for Operation (s)
0.85pu – 0.9pu	60 minutes
0.9pu – 1.05pu	Unlimited
1.05pu – 1.15pu	15 minutes

- Table ECC.6.1.4.3(b) Minimum time periods for which **a Remote End HVDC Converter** shall be capable of operating for different voltages deviating from reference 1pu without disconnecting from the network where the nominal voltage base is from 300kV up to and including 400kV.
- ECC.6.1.4.3.2 **The Company** and a **HVDC System Owner** may agree greater voltage ranges or longer minimum operating times which shall be in accordance with the requirements of ECC.6.1.4.2.
- ECC.6.1.4.3.4 For **HVDC Interface Points** which fall outside the scope of ECC.6.1.4.3.1 **The Company** in coordination with the **Relevant Transmission Licensee** shall specify any applicable requirements at the **Grid Entry Point** or **User System Entry Point**.
- ECC.6.1.4.3.5 Where the nominal frequency of the AC collector **System** which is connected to an **HVDC Interface Point** is at a value other than 50Hz, the voltage ranges and time periods specified by **The Company** in coordination with the **Relevant Transmission Licensee** shall be proportional to the values specified in Table ECC.6.1.4.3(a) and Table ECC.6.1.4.3(b)

Voltage Waveform Quality

- ECC.6.1.5 All **Plant** and **Apparatus** connected to the **National Electricity Transmission System**, and that part of the **National Electricity Transmission System** at each **Connection Site** or, in the case of **OTSDUW Plant and Apparatus**, at each **Interface Point**, should be capable of withstanding the following distortions of the voltage waveform in respect of harmonic content and phase unbalance:
 - (a) <u>Harmonic Content</u>

The Electromagnetic Compatibility Levels for harmonic distortion on the Onshore Transmission System from all sources under both Planned Outage and fault outage conditions, (unless abnormal conditions prevail) shall comply with the levels shown in the tables of Appendix A of Engineering Recommendation G5/4. The Electromagnetic Compatibility Levels for harmonic distortion on an Offshore Transmission System will be defined in relevant Bilateral Agreements.

Engineering Recommendation G5/4 contains planning criteria which The Company will apply to the connection of non-linear Load to the National Electricity Transmission System, which may result in harmonic emission limits being specified for these Loads in the relevant Bilateral Agreement. The application of the planning criteria will take into account the position of existing User's and EU Code Users' Plant and Apparatus (and OTSDUW Plant and Apparatus) in relation to harmonic emissions. Users must ensure that connection of distorting loads to their User Systems do not cause any harmonic emission limits specified in the Bilateral Agreement, or where no such limits are specified, the relevant planning levels specified in Engineering Recommendation G5/4 to be exceeded.

(b) Phase Unbalance

Under Planned Outage conditions, the weekly 95 percentile of Phase (Voltage) Unbalance, calculated in accordance with IEC 61000-4-30 and IEC 61000-3-13, on the National Electricity Transmission System for voltages above 150kV should remain, in England and Wales, below 1.5%, and in Scotland, below 2%, and for voltages of 150kV and below, across GB below 2%, unless abnormal conditions prevail and Offshore (or in the case of OTSDUW, OTSDUW Plant and Apparatus) will be defined in relevant Bilateral Agreements.

The Phase Unbalance is calculated from the ratio of root mean square (rms) of negative phase sequence voltage to rms of positive phase sequence voltage, based on 10-minute average values, in accordance with IEC 61000-4-30.

ECC.6.1.6 Across GB, under the **Planned Outage** conditions stated in ECC.6.1.5(b) infrequent short duration peaks with a maximum value of 2% are permitted for **Phase (Voltage) Unbalance**, for voltages above 150kV, subject to the prior agreement of **The Company** under the **Bilateral Agreement** and in relation to **OTSDUW**, the **Construction Agreement**. **The Company** will only agree following a specific assessment of the impact of these levels on **Transmission Apparatus** and other **Users Apparatus** with which it is satisfied.

Voltage Fluctuations

(i)

- ECC.6.1.7 Voltage changes at a **Point of Common Coupling** on the **Onshore Transmission System** shall not exceed:
 - (a) The limits specified in Table ECC.6.1.7 with the stated frequency of occurrence, where:

%
$$\Delta V_{\text{steadystate}} = |100 \text{ x} \frac{\Delta V_{\text{steadystate}}}{V_0}|$$

and

$$\Delta V_{max} = 100 x - \frac{\Delta V_{max}}{V_0}$$
;

- (ii) V₀ is the initial steady state system voltage;
- (iii) $V_{steadystate}$ is the system voltage reached when the rate of change of system voltage over time is less than or equal to 0.5% over 1 second and $\Delta V_{steadystate}$ is the absolute value of the difference between $V_{steadystate}$ and V_0 ;
- (iv) ΔV_{max} is the absolute value of the maximum change in the system voltage relative to the initial steady state system voltage of V₀;

- All voltages are the root mean square of the voltage measured over one cycle refreshed every half a cycle as per IEC 61000-4-30;
- (vi) The voltage changes specified are the absolute maximum allowed, applied to phase to ground or phase to phase voltages whichever is the highest change;
- (vii) Voltage changes in category 3 do not exceed the limits depicted in the time dependent characteristic shown in Figure ECC.6.1.7;
- (viii) Voltage changes in category 3 only occur infrequently, typically not planned more than once per year on average over the lifetime of a connection, and in circumstances notified to **The Company**, such as for example commissioning in accordance with a commissioning programme, implementation of a planned outage notified in accordance with **OC2** or an **Operation** or **Event** notified in accordance with **OC7**; and
- (ix) For connections where voltage changes would constitute a risk to the National Electricity Transmission System or, in The Company's view, the System of any User, Bilateral Agreements may include provision for The Company to reasonably limit the number of voltage changes in category 2 or 3 to a lower number than specified in Table ECC.6.1.7 to ensure that the total number of voltage changes at the Point of Common Coupling across multiple Users remains within the limits of Table ECC.6.1.7.

Category	Maximum number of Occurrences	%ΔV _{max} & %ΔV _{steadystate}
1	No Limit	%∆V _{max} ≤ 1% & %∆V _{steadystate} ≤ 1%
2	$\frac{3600}{\sqrt[0.304]{2.5 \times \% \Delta V_{max}}}$ occurrences per hour with events evenly distributed	1% < %∆V _{max} ≤ 3% & %∆V _{steadystate} ≤ 3%
3	No more than 4 per day for Commissioning, Maintenance and Fault Restoration	For decreases in voltage: %∆V _{max} ≤ 12% ¹ & %∆V _{steadystate} ≤ 3% For increases in voltage: %∆V _{max} ≤ 5% ² & %∆V _{steadystate} ≤ 3% (see Figure ECC6.1.7)

Table ECC.6.1.7 - Limits for Rapid Voltage Changes

- ¹ A decrease in voltage of up to 12% is permissible for up to 80ms, as highlighted in the shaded area in Figure ECC.6.1.7, reducing to up to 10% after 80ms and to up to 3% after 2 seconds.
- ² An increase in voltage of up to 5% is permissible if it is reduced to up to 3% after 0.5 seconds.

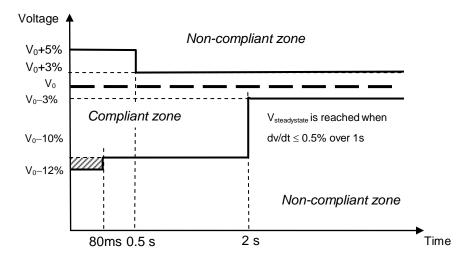


Figure ECC.6.1.7 -Time and magnitude limits for a category 3 Rapid Voltage Change

- (b) For voltages above 132kV, Flicker Severity (Short Term) of 0.8 Unit and a Flicker Severity (Long Term) of 0.6 Unit, for voltages 132kV and below, Flicker Severity (Short Term) of 1.0 Unit and a Flicker Severity (Long Term) of 0.8 Unit, as set out in Engineering Recommendation P28 as current at the Transfer Date.
- ECC.6.1.8 Voltage fluctuations at a **Point of Common Coupling** with a fluctuating **Load** directly connected to an **Offshore Transmission System** (or in the case of **OTSDUW**, **OTSDUW Plant and Apparatus**) shall not exceed the limits set out in the **Bilateral Agreement**.

Sub-Synchronous Resonance and Sub-Synchronous Torsional Interaction (SSTI)

- ECC.6.1.9 **The Company** shall ensure that **Users' Plant and Apparatus** will not be subject to unacceptable Sub-Synchronous Oscillation conditions as specified in the relevant **License Standards**.
- ECC.6.1.10 **The Company** shall ensure where necessary, and in consultation with **Relevant Transmission Licensees** where required, that any relevant site specific conditions applicable at a **User's Connection Site**, including a description of the Sub-Synchronous Oscillation conditions considered in the application of the relevant **License Standards**, are set out in the **User's Bilateral Agreement**.

ECC.6.2 Plant and Apparatus relating to Connection Sites and Interface Points and HVDC Interface Points

The following requirements apply to **Plant** and **Apparatus** relating to the **Connection Point** and **OTSDUW Plant and Apparatus** relating to the **Interface Point** (until the **OTSUA Transfer Time**), **HVDC Interface Points** relating to **Remote End HVDC Converters** and **Connection Points** which (except as otherwise provided in the relevant paragraph) each **EU Code User** must ensure are complied with in relation to its **Plant** and **Apparatus** and which in the case of ECC.6.2.2.2.2, ECC.6.2.3.1.1 and ECC.6.2.1.1(b) only, **The Company** must ensure are complied with in relation to **Transmission Plant** and **Apparatus**, as provided in those paragraphs.

ECC.6.2.1 <u>General Requirements</u>

- ECC.6.2.1.1
- (a) The design of connections between the **National Electricity Transmission System** and:
 - (i) any **Power Generating Module Generating Unit** (other than a CCGT Unit or **Power Park Unit**) **HVDC Equipment**, **Power Park Module** or **CCGT Module**, or
 - (ii) any Network Operator's User System, or
 - (iii) Non-Embedded Customers equipment;

will be consistent with the Licence Standards.

In the case of **OTSDUW**, the design of the **OTSUA's** connections at the **Interface Point** and **Connection Point** will be consistent with **Licence Standards**.

- (b) The National Electricity Transmission System (and any OTSDUW Plant and Apparatus) at nominal System voltages of 132kV and above is/shall be designed to be earthed with an Earth Fault Factor of, in England and Wales or Offshore, below 1.4 and in Scotland, below 1.5. Under fault conditions the rated Frequency component of voltage could fall transiently to zero on one or more phases or, in England and Wales, rise to 140% phase-to-earth voltage, or in Scotland, rise to 150% phase-to-earth voltage. The voltage rise would last only for the time that the fault conditions exist. The fault conditions referred to here are those existing when the type of fault is single or two phase-to-earth.
- (c) For connections to the National Electricity Transmission System at nominal System voltages of below 132kV the earthing requirements and voltage rise conditions will be advised by The Company as soon as practicable prior to connection and in the case of OTSDUW Plant and Apparatus shall be advised to The Company by the EU Code User.

ECC.6.2.1.2 Substation Plant and Apparatus

- (a) The following provisions shall apply to all Plant and Apparatus which is connected at the voltage of the Connection Point (and OTSDUW Plant and Apparatus at the Interface Point) and which is contained in equipment bays that are within the Transmission busbar Protection zone at the Connection Point. This includes circuit breakers, switch disconnectors, disconnectors, Earthing Devices, power transformers, voltage transformers, reactors, current transformers, surge arresters, bushings, neutral equipment, capacitors, line traps, coupling devices, external insulation and insulation co-ordination devices. Where necessary, this is as more precisely defined in the Bilateral Agreement.
 - (i) <u>Plant and/or Apparatus in respect of EU Code Users connecting to a new</u> <u>Connection Point (including OTSDUW Plant and Apparatus at the Interface Point)</u>

Each item of such **Plant** and/or **Apparatus** installed in relation to a new **Connection Point** (or **OTSDUW Plant and Apparatus** at the **Interface Point** or **Remote End HVDC Converter Station** at the **HVDC Interface Point**) shall comply with the relevant **Technical Specifications** and any further requirements

identified by **The Company**, acting reasonably, to reflect the options to be followed within the **Technical Specifications** and/or to complement if necessary the **Technical Specifications** so as to enable **The Company** to comply with its obligations in relation to the **National Electricity Transmission System** or the **Relevant Transmission Licensee** to comply with its obligations in relation to its **Transmission System**. This information, including the application dates of the relevant **Technical Specifications**, will be as specified in the **Bilateral Agreement**.

(ii) <u>EU Code User's Plant and/or Apparatus connecting to an existing Connection</u> <u>Point (including OTSDUW Plant and Apparatus at the Interface Point)</u>

Each new additional and/or replacement item of such Plant and/or Apparatus installed in relation to a change to an existing Connection Point (or OTSDUW Plant and Apparatus at the Interface Point and Connection Point or Remote End HVDC Converter Stations at the HVDC Interface Point)-shall comply with the standards/specifications applicable when the change was designed, or such other standards/specifications as necessary to ensure that the item of Plant and/or Apparatus is reasonably fit for its intended purpose having due regard to the obligations of The Company, the relevant User the Relevant Transmission Licensee under their respective Licences. Where appropriate this information, including the application dates of the relevant standards/specifications, will be as specified in the varied Bilateral Agreement.

- (iii) Used Plant and/or Apparatus being moved, re-used or modified
 - If, after its installation, any such item of Plant and/or Apparatus is subsequently:
 - moved to a new location; or
 - used for a different purpose; or
 - otherwise modified;

then the standards/specifications as described in (i) or (ii) above as applicable will apply as appropriate to such **Plant** and/or **Apparatus**, which must be reasonably fit for its intended purpose having due regard to the obligations of **The Company**, the relevant **User** and the **Relevant Transmission Licensee** under their respective **Licences**.

- (b) The Company shall at all times maintain a list of those Technical Specifications and additional requirements which might be applicable under this ECC.6.2.1.2 and which may be referenced by The Company in the Bilateral Agreement. The Company shall provide a copy of the list upon request to any EU Code User. The Company shall also provide a copy of the list to any EU Code User upon receipt of an application form for a Bilateral Agreement for a new Connection Point.
- (c) Where the EU Code User provides The Company with information and/or test reports in respect of Plant and/or Apparatus which the EU Code User reasonably believes demonstrate the compliance of such items with the provisions of a Technical Specification then The Company shall promptly and without unreasonable delay give due and proper consideration to such information.
- (d) Plant and Apparatus shall be designed, manufactured and tested in premises with an accredited certificate in accordance with the quality assurance requirements of the relevant standard in the BS EN ISO 9000 series (or equivalent as reasonably approved by The Company) or in respect of test premises which do not include a manufacturing facility premises with an accredited certificate in accordance with BS EN 45001.
- (e) Each connection between a User and the National Electricity Transmission System must be controlled by a circuit-breaker (or circuit breakers) capable of interrupting the maximum short circuit current at the point of connection. The Seven Year Statement gives values of short circuit current and the rating of Transmission circuit breakers at existing and committed Connection Points for future years.

- (f) Each connection between a Generator undertaking OTSDUW or an Onshore Transmission Licensee, must be controlled by a circuit breaker (or circuit breakers) capable of interrupting the maximum short circuit current at the Transmission Interface Point. The Seven Year Statement gives values of short circuit current and the rating of Transmission circuit breakers at existing and committed Transmission Interface Points for future years.
- ECC.6.2.2 Requirements at Connection Points or, in the case of OTSDUW at Interface Points that relate to Generators or OTSDUW Plant and Apparatus
- ECC.6.2.2.1 Not Used.
- ECC.6.2.2.2 Power Generating Module, OTSDUW Plant and Apparatus, HVDC Equipment and Power Station Protection Arrangements
- ECC.6.2.2.2.1 Minimum Requirements

Protection of Power Generating Modules (other than Power Park Units), HVDC Equipment, OTSDUW Plant and Apparatus and their connections to the National Electricity Transmission System shall meet the requirements given below. These are necessary to reduce the impact on the National Electricity Transmission System of faults on OTSDUW Plant and Apparatus circuits or circuits owned by Generators (including DC Connected Power Park Modules) or HVDC System Owners.

ECC.6.2.2.2.2 Fault Clearance Times

- (a) The required fault clearance time for faults on the Generator's (including DC Connected Power Park Modules) or HVDC System Owner's equipment directly connected to the National Electricity Transmission System or OTSDUW Plant and Apparatus and for faults on the National Electricity Transmission System directly connected to the EU Generator (including DC Connected Power Park Modules) or HVDC System Owner's equipment or OTSDUW Plant and Apparatus, from fault inception to the circuit breaker arc extinction, shall be set out in the Bilateral Agreement. The fault clearance time specified in the Bilateral Agreement shall not be shorter than the durations specified below:
 - (i) 80ms at 400kV
 - (ii) 100ms at 275kV
 - (iii) 120ms at 132kV and below

but this shall not prevent the User or The Company or the Relevant Transmission Licensee or the EU Generator (including in respect of OTSDUW Plant and Apparatus and DC Connected Power Park Modules) from selecting a shorter fault clearance time on their own Plant and Apparatus provided Discrimination is achieved.

A longer fault clearance time may be specified in the **Bilateral Agreement** for faults on the **National Electricity Transmission System**. A longer fault clearance time for faults on the **EU Generator** or **HVDC System Owner's** equipment or **OTSDUW Plant and Apparatus** may be agreed with **The Company** in accordance with the terms of the **Bilateral Agreement** but only if **System** requirements, in **The Company's** view, permit. The probability that the fault clearance time stated in the **Bilateral Agreement** will be exceeded by any given fault, must be less than 2%.

(b) In the event that the required fault clearance time is not met as a result of failure to operate on the Main Protection System(s) provided, the Generators or HVDC System Owners or Generators in the case of OTSDUW Plant and Apparatus shall, except as specified below provide Independent Back-Up Protection. NGET will also provide Back-Up Protection and NGET's and the User's Back-Up Protections will be co-ordinated so as to provide Discrimination.

On a Power Generating Module (other than a Power Park Unit), HVDC Equipment or OTSDUW Plant and Apparatus and connected to the National Electricity Transmission System at 400kV or 275kV and where two Independent Main Protections are provided to clear faults on the HV Connections within the required fault clearance time, the Back-Up Protection provided by EU Generators (including in respect of OTSDUW Plant and Apparatus and DC Connected Power Park Modules) and HVDC System Owners shall operate to give a fault clearance time of no longer than 300ms at the minimum infeed for normal operation for faults on the HV Connections. Where two Independent Main Protections are installed the Back-Up Protection may be integrated into one (or both) of the Independent Main Protection relays.

On a Power Generating Module (other than a Power Park Unit), HVDC Equipment or OTSDUW Plant and Apparatus and connected to the National Electricity Transmission System at 132 kV and where only one Main Protection is provided to clear faults on the HV Connections within the required fault clearance time, the Independent Back-Up Protection provided by the Generator (including in respect of OTSDUW Plant and Apparatus and DC Connected Power Park Modules) and the HVDC System Owner shall operate to give a fault clearance time of no longer than 300ms at the minimum infeed for normal operation for faults on the HV Connections.

A Power Generating Module (other than a Power Park Unit), HVDC Equipment or OTSDUW Plant and Apparatus) with Back-Up Protection or Independent Back-Up Protection will also be required to withstand, without tripping, the loading incurred during the clearance of a fault on the National Electricity Transmission System by breaker fail Protection at 400kV or 275kV or of a fault cleared by Back-Up Protection where the EU Generator (including in the case of OTSDUW Plant and Apparatus or DC Connected Power Park Module) or HVDC System is connected at 132kV and below. This will permit Discrimination between the Generator in respect of OTSDUW Plant and Apparatus or DC Connected Power Park Module) or HVDC System is connected at 132kV and below. This will permit Discrimination between the Generator in respect of OTSDUW Plant and Apparatus or DC Connected Power Park Modules or HVDC System Owners' Back-Up Protection or Independent Back-Up Protection and the Back-Up Protection provided on the National Electricity Transmission System and other Users' Systems.

- (c) When the Power Generating Module (other than Power Park Units), or the HVDC Equipment or OTSDUW Plant and Apparatus is connected to the National Electricity Transmission System at 400kV or 275kV, and in Scotland and Offshore also at 132kV, and a circuit breaker is provided by the Generator (including in respect of OTSDUW Plant and Apparatus or DC Connected Power Park Modules) or the HVDC System owner, or NGET, as the case may be, to interrupt fault current interchange with the National Electricity Transmission System, or Generator's System, or HVDC System Owner's System, as the case may be, circuit breaker fail Protection shall be provided by the Generator (including in respect of OTSDUW Plant and Apparatus or DC Connected Power Park Modules) or HVDC System-Owner, or NGET, as the case may be, on this circuit breaker. In the event, following operation of a Protection system, of a failure to interrupt fault current by these circuit-breakers within the Fault Current Interruption Time, the circuit breaker fail Protection is required to initiate tripping of all the necessary electrically adjacent circuit-breakers so as to interrupt the fault current within the next 200ms.
- (d) The target performance for the System Fault Dependability Index shall be not less than 99%. This is a measure of the ability of Protection to initiate successful tripping of circuit breakers which are associated with the faulty item of Apparatus.

ECC.6.2.2.3 Equipment including **Protection** equipment to be provided

NGET shall specify the **Protection** schemes and settings necessary to protect the **National Electricity Transmission System**, taking into account the characteristics of the **Power Generating Module** or **HVDC Equipment**. The protection schemes needed for the **Power Generating Module** or **HVDC Equipment** and the **National Electricity Transmission System** as well as the settings relevant to the **Power Generating Module** and/or **HVDC Equipment** shall be coordinated and agreed between **The Company** and the **EU Generator** or **HVDC System Owner**. The agreed **Protection** schemes and settings will be specified in the **Bilateral Agreement**.

The protection schemes and settings for internal electrical faults must not prevent the **Power Generating Module** or **HVDC Equipment** from satisfying the requirements of the Grid Code although **EU Generators** should be aware of the requirements of ECC.6.3.13.1.;

electrical Protection of the Power Generating Module or HVDC Equipment shall take precedence over operational controls, taking into account the security of the National Electricity Transmission System and the health and safety of personnel, as well as mitigating any damage to the Power Generating Module or HVDC Equipment.

ECC.6.2.2.3.1 Protection of Interconnecting Connections

The requirements for the provision of **Protection** equipment for interconnecting connections will be specified in the **Bilateral Agreement**. In this ECC the term "interconnecting connections" means the primary conductors from the current transformer accommodation on the circuit side of the circuit breaker to the **Connection Point** or the primary conductors from the current transformer accommodation on the circuit side of the circuit breaker to the **Connection Point** or the **DTSDUW Plant and Apparatus** of the circuit breaker to the **Transmission Interface Point**.

ECC.6.2.2.3.2 <u>Circuit-breaker fail Protection</u>

The EU Generator or HVDC System Owner will install circuit breaker fail Protection equipment in accordance with the requirements of the Bilateral Agreement. The EU Generator or HVDC System Owner will also provide a back-trip signal in the event of loss of air from its pressurised head circuit breakers, during the Power Generating Module (other than a CCGT Unit or Power Park Unit) or HVDC Equipment run-up sequence, where these circuit breakers are installed.

ECC.6.2.2.3.3 Loss of Excitation

The EU Generator must provide Protection to detect loss of excitation in respect of each of its Generating Units within a Synchronous Power Generating Module to initiate a Generating Unit trip.

ECC.6.2.2.3.4 Pole-Slipping Protection

Where, in **The Company's** reasonable opinion, **System** requirements dictate, **The Company** will specify in the **Bilateral Agreement** a requirement for **EU Generators** to fit pole-slipping **Protection** on their **Generating Units** within each **Synchronous Power Generating Module**.

ECC.6.2.2.3.5 Signals for Tariff Metering

EU Generators and **HVDC System Owners** will install current and voltage transformers supplying all tariff meters at a voltage to be specified in, and in accordance with, the **Bilateral Agreement**.

ECC.6.2.2.3.6 Commissioning of Protection Systems

No **EU Generator** or **HVDC System Owner** equipment shall be energised until the **Protection** settings have been finalised. The **EU Generator** or **HVDC System Owner** shall agree with **The Company** (in coordination with the **Relevant Transmission Licensee**) and carry out a combined commissioning programme for the **Protection** systems, and generally, to a minimum standard as specified in the **Bilateral Agreement**.

ECC.6.2.2.4 Work on Protection Equipment

No busbar **Protection**, mesh corner **Protection**, circuit-breaker fail **Protection** relays, AC or DC wiring (other than power supplies or DC tripping associated with the **Power Generating Module**, **HVDC Equipment** itself) may be worked upon or altered by the **EU Generator** or **HVDC System Owner** personnel in the absence of a representative of **NGET** or written authority from **The Company** to perform such work or alterations in the absence of a representative of **NGET**.

ECC.6.2.2.5 Relay Settings

Protection and relay settings will be co-ordinated (both on connection and subsequently) across the **Connection Point** in accordance with the **Bilateral Agreement** and in relation to **OTSDUW Plant and Apparatus**, across the **Interface Point** in accordance with the **Bilateral Agreement** to ensure effective disconnection of faulty **Apparatus**.

ECC.6.2.2.6 Changes to Protection Schemes and HVDC System Control Modes

- ECC.6.2.2.6.1 Any subsequent alterations to the protection settings (whether by **The Company**, the **Relevant Transmission Licensee**, the **EU Generator** or the **HVDC System Owner**) shall be agreed between **The Company** (in co-ordination with the **Relevant Transmission Licensee**) and the **EU Generator** or **HVDC System Owner** in accordance with the Grid Code (ECC.6.2.2.5). No alterations are to be made to any protection schemes unless agreement has been reached between **The Company**, the **Relevant Transmission Licensee**, the **EU Generator** or **HVDC System Owner**.
- ECC.6.2.2.6.2 The parameters of different control modes of the **HVDC System** shall be able to be changed in the **HVDC Converter Station**, if required by **The Company** in coordination with the **Relevant Transmission Licensee** and in accordance with ECC.6.2.2.6.4.
- ECC.6.2.2.6.3 Any change to the schemes or settings of parameters of the different control modes and protection of the **HVDC System** including the procedure shall be agreed with **The Company** in coordination with the **Relevant Transmission Licensee** and the **HVDC System Owner**.
- ECC.6.2.2.6.4 The control modes and associated set points shall be capable of being changed remotely, as specified by **The Company** in coordination with the **Relevant Transmission Licensee**.
- ECC.6.2.2.7 Control Schemes and Settings
- ECC.6.2.2.7.1 The schemes and settings of the different control devices on the **Power Generating Module** and **HVDC Equipment** that are necessary for **Transmission System** stability and for taking emergency action shall be agreed with **The Company** in coordination with the **Relevant Transmission Licensee** and the **EU Generator** or **HVDC System Owner**.
- ECC.6.2.2.7.2 Subject to the requirements of ECC.6.2.2.7.1 any changes to the schemes and settings, defined in ECC.6.2.2.7.1, of the different control devices of the **Power Generating Module** or **HVDC Equipment** shall be coordinated and agreed between , the **Relevant Transmission Licensee**, the **EU Generator** and **HVDC System Owner**.
- ECC.6.2.2.8 Ranking of **Protection** and Control
- ECC.6.2.2.8.1 **The Company** in coordination with **Relevant Transmission Licensees**, shall agree and coordinate the protection and control devices of **EU Generators Plant** and **Apparatus** in accordance with the following general priority ranking (from highest to lowest):
 - The interface between the National Electricity Transmission System and the Power Generating Module or HVDC Equipment Protection equipment;
 - (ii) frequency control (active power adjustment);
 - (iii) power restriction; and
 - (iv) power gradient constraint;

- ECC.6.2.2.8.2 A control scheme, specified by the **HVDC System Owner** consisting of different control modes, including the settings of the specific parameters, shall be coordinated and agreed between **The Company** in coordination with the **Relevant Transmission Licensee** and the **HVDC System Owner**. These details would be specified in the **Bilateral Agreement**.
- ECC.6.2.2.8.3 **The Company** in coordination with **Relevant Transmission Licensees**, shall agree and coordinate the protection and control devices of **HVDC System Owners Plant** and **Apparatus** in accordance with the following general priority ranking (from highest to lowest)
 - The interface between the National Electricity Transmission System and HVDC System Protection equipment;
 - (ii) Active Power control for emergency assistance
 - (iii) automatic remedial actions as specified in ECC.6.3.6.1.2.5
 - (iv) Limited Frequency Sensitive Mode (LFSM) of operation;
 - (v) Frequency Sensitive Mode of operation and Frequency control; and
 - (vi) power gradient constraint.
- ECC.6.2.2.9 Synchronising
- ECC.6.2.2.9.1 For any **Power Generating Module** directly connected to the **National Electricity Transmission System** or **Type D Power Generating Module**, synchronisation shall be performed by the **EU Generator** only after instruction by **The Company** in accordance with the requirements of BC.2.5.2.
- ECC.6.2.2.9.2 Each **Power Generating Module** directly connected to the **National Electricity Transmission System** or **Type D Power Generating Module** shall be equipped with the necessary synchronisation facilities. Synchronisation shall be possible within the range of frequencies specified in ECC.6.1.2.
- ECC.6.2.2.9.3 The requirements for synchronising equipment shall be specified in accordance with the requirements in the **Electrical Standards** listed in the annex to the **General Conditions**. The synchronisation settings shall include the following elements below. Any variation to these requirements shall be pursuant to the terms of the **Bilateral Agreement**.
 - (a) voltage
 - (b) Frequency
 - (c) phase angle range
 - (d) phase sequence
 - (e) deviation of voltage and **Frequency**
- ECC.6.2.2.9.4 **HVDC Equipment** shall be required to satisfy the requirements of ECC.6.2.2.9.1 ECC.6.2.2.9.3. In addition, unless otherwise specified by **The Company**, during the synchronisation of a **DC Connected Power Park Module** to the **National Electricity Transmission System**, any **HVDC Equipment** shall have the capability to limit any steady state voltage changes to the limits specified within ECC.6.1.7 or ECC.6.1.8 (as applicable) which shall not exceed 5% of the pre-synchronisation voltage. **The Company** in coordination with the **Relevant Transmission Licensee** shall specify any additional requirements for the maximum magnitude, duration and measurement of the voltage transients over and above those defined in ECC.6.1.7 and ECC.6.1.8 in the **Bilateral Agreement**.
- ECC.6.2.2.9.5 **EU Generators** in respect of **DC Connected Power Park Modules** shall also provide output synchronisation signals specified by **The Company** in co-ordination with the **Relevant Transmission Licensee**.

ECC.6.2.2.9.6 In addition to the requirements of ECC.6.2.2.9.1 to ECC.6.2.2.9.5, **EU Generators** and **HVDC System Owners** should also be aware of the requirements of ECC.6.5.10 relating to busbar voltage

ECC.6.2.2.9.10 HVDC Parameters and Settings

- ECC.6.2.2.9.10.1 The parameters and settings of the main control functions of an **HVDC System** shall be agreed between the **HVDC System** owner and **The Company**, in coordination with the **Relevant Transmission Licensee**. The parameters and settings shall be implemented within such a control hierarchy that makes their modification possible if necessary. Those main control functions are at least:
 - (b) Frequency Sensitive Modes (FSM, LFSM-O, LFSM-U);
 - (c) **Frequency** control, if applicable;
 - (d) **Reactive Power** control mode, if applicable;
 - (e) power oscillation damping capability;
 - (f) subsynchronous torsional interaction damping capability,.

ECC.6.2.2.11 Automatic Reconnection

- ECC.6.2.2.11.1 EU Generators in respect of Type A, Type B, Type C and Type D Power Generating Modules (including DC Connected Power Park Modules) which have signed a CUSC Contract with The Company are not permitted to automatically reconnect to the Total System without instruction from The Company. The Company will issue instructions for reconnection or re-synchronisation in accordance with the requirements of BC2.5.2. Where synchronising is permitted in accordance with BC2.5.2, the voltage and frequency at the Grid Entry Point or User System Entry Point shall be within the limits defined in ECC.6.1.2 and ECC.6.1.4 and the ramp rate limits pursuant to BC1.A.1.1. For the avoidance of doubt this requirement does not apply to EU Generators who are not required to satisfy the requirements of the Balancing Codes.
- ECC.6.2.2.12 Automatic Disconnection
- ECC.6.2.2.12.1 No **Power Generating Module** or **HVDC Equipment** shall disconnect within the frequency range or voltage range defined in ECC.6.1.2 and ECC.6.1.4.
- ECC.6.2.2.13 <u>Special Provisions relating to Power Generating Modules embedded within Industrial Sites</u> which supply electricity as a bi-product of their industrial process
- ECC.6.2.2.13.1 Generators in respect of Power Generating Modules which form part of an industrial network, where the Power Generating Module is used to supply critical loads within the industrial process shall be permitted to operate isolated from the Total System if agreed with The Company in the Bilateral Agreement.
- ECC.6.2.2.13.2 Except for the requirements of ECC.6.3.3 and ECC.6.3.7.1, **Power Generating Modules** which are embedded within industrial sites are not required to satisfy the requirements of ECC.6.3.6.2.1 and ECC.6.3.9. In this case this exception would only apply to **Power Generating Modules** on industrial sites used for combined heat and power production which are embedded in the network of an industrial site where all the following criteria are met.
 - (a) The primary purpose of these sites is to produce heat for production processes of the industrial site concerned,
 - (b) Heat and power generation is inextricably interlinked, that is to say any change to heat generation results inadvertently in a change of active power generating and visa versa.
 - (c) The Power Generating Modules are of Type A, Type B or Type C.
 - (d) Combined heat and power generating facilities shall be assessed on the basis of their electrical **Maximum Capacity**.

- ECC.6.2.3 <u>Requirements at EU Grid Supply Points relating to Network Operators and Non-Embedded</u> <u>Customers</u>
- ECC.6.2.3.1 <u>Protection Arrangements for EU Code Users in respect of Network Operators and Non-</u> <u>Embedded Customers</u>
- ECC.6.2.3.1.1 Protection arrangements for EU Code Users in respect of Network Operators and Non-Embedded Customers User Systems directly connected to the National Electricity Transmission System, shall meet the requirements given below:

Fault Clearance Times

- (a) The required fault clearance time for faults on Network Operator and Non-Embedded Customer equipment directly connected to the National Electricity Transmission System, and for faults on the National Electricity Transmission System directly connected to the Network Operator's or Non-Embedded Customer's equipment, from fault inception to the circuit breaker arc extinction, shall be set out in each Bilateral Agreement. The fault clearance time specified in the Bilateral Agreement shall not be shorter than the durations specified below:
 - (i) 80ms at 400kV
 - (ii) 100ms at 275kV
 - (iii) 120ms at 132kV and below

but this shall not prevent the **User** or **The Company** or **Relevant Transmission Licensee** from selecting a shorter fault clearance time on its own **Plant** and **Apparatus** provided **Discrimination** is achieved.

For the purpose of establishing the **Protection** requirements in accordance with ECC.6.2.3.1.1 only, the point of connection of the **Network Operator** or **Non-Embedded Customer** equipment to the **National Electricity Transmission System** shall be deemed to be the low voltage busbars at an **EU Grid Supply Point**, irrespective of the ownership of the equipment at the **EU Grid Supply Point**.

A longer fault clearance time may be specified in the **Bilateral Agreement** for faults on the **National Electricity Transmission System**. A longer fault clearance time for faults on the **Network Operator** and **Non-Embedded Customers** equipment may be agreed with **The Company** in accordance with the terms of the **Bilateral Agreement** but only if **System** requirements in **The Company's** view permit. The probability that the fault clearance time stated in the **Bilateral Agreement** will be exceeded by any given fault must be less than 2%.

- (b) (i) For the event of failure of the **Protection** systems provided to meet the above fault clearance time requirements, **Back-Up Protection** shall be provided by the **Network Operator** or **Non-Embedded Customer** as the case may be.
 - (ii) NGET will also provide Back-Up Protection, which will result in a fault clearance time longer than that specified for the Network Operator or Non-Embedded Customer Back-Up Protection so as to provide Discrimination.
 - (iii) For connections with the National Electricity Transmission System at 132kV and below, it is normally required that the Back-Up Protection on the National Electricity Transmission System shall discriminate with the Network Operator or Non-Embedded Customer's Back-Up Protection.
 - (iv) For connections with the National Electricity Transmission System at 400kV or 275kV, the Back-Up Protection will be provided by the Network Operator or Non-Embedded Customer, as the case may be, with a fault clearance time not longer than 300ms for faults on the Network Operator's or Non-Embedded Customer's Apparatus.
 - (v) Such **Protection** will also be required to withstand, without tripping, the loading incurred during the clearance of a fault on the **National Electricity Transmission**

System by breaker fail Protection at 400kV or 275kV. This will permit Discrimination between Network Operator's Back-Up Protection or Non-Embedded Customer's Back-Up Protection, as the case may be, and Back-Up Protection provided on the National Electricity Transmission System and other User Systems. The requirement for and level of Discrimination required will be specified in the Bilateral Agreement.

- (c) (i) Where the Network Operator or Non-Embedded Customer is connected to the National Electricity Transmission System at 400kV or 275kV, and in Scotland also at 132kV, and a circuit breaker is provided by the Network Operator or Non-Embedded Customer, or NGET, as the case may be, to interrupt the interchange of fault current with the National Electricity Transmission System or the System of the Network Operator or Non-Embedded Customer, as the case may be, circuit breaker fail Protection will be provided by the Network Operator or Non-Embedded Customer, or NGET, as the case may be, on this circuit breaker.
 - (ii) In the event, following operation of a Protection system, of a failure to interrupt fault current by these circuit-breakers within the Fault Current Interruption Time, the circuit breaker fail Protection is required to initiate tripping of all the necessary electrically adjacent circuit-breakers so as to interrupt the fault current within the next 200ms.
- (d) The target performance for the System Fault Dependability Index shall be not less than 99%. This is a measure of the ability of Protection to initiate successful tripping of circuit breakers which are associated with the faulty items of Apparatus.

ECC.6.2.3.2 Fault Disconnection Facilities

- (a) Where no Transmission circuit breaker is provided at the User's connection voltage, the User must provide The Company with the means of tripping all the User's circuit breakers necessary to isolate faults or System abnormalities on the National Electricity Transmission System. In these circumstances, for faults on the User's System, the User's Protection should also trip higher voltage Transmission circuit breakers. These tripping facilities shall be in accordance with the requirements specified in the Bilateral Agreement.
- (b) **The Company** may require the installation of a **System to Generator Operational Intertripping Scheme** in order to enable the timely restoration of circuits following power **System** fault(s). These requirements shall be set out in the relevant **Bilateral Agreement**.
- ECC.6.2.3.3 Automatic Switching Equipment

Where automatic reclosure of **Transmission** circuit breakers is required following faults on the **User's System**, automatic switching equipment shall be provided in accordance with the requirements specified in the **Bilateral Agreement**.

ECC.6.2.3.4 Relay Settings

Protection and relay settings will be co-ordinated (both on connection and subsequently) across the **Connection Point** in accordance with the **Bilateral Agreement** to ensure effective disconnection of faulty **Apparatus**.

ECC.6.2.3.5 Work on Protection equipment

Where a **Transmission Licensee** owns the busbar at the **Connection Point**, no busbar **Protection**, mesh corner **Protection** relays, AC or DC wiring (other than power supplies or DC tripping associated with the **Network Operator** or **Non-Embedded Customer's Apparatus** itself) may be worked upon or altered by the **Network Operator** or **Non-Embedded Customer** personnel in the absence of a representative of **NGET** or written authority from **NGET** to perform such work or alterations in the absence of a representative of **NGET**.

ECC.6.2.3.6 Equipment including **Protection** equipment to be provided

The Company in coordination with the Relevant Transmission Licensee shall specify and agree the Protection schemes and settings at each EU Grid Supply Point required to protect the National Electricity Transmission System in accordance with the characteristics of the Network Operator's or Non Embedded Customer's System. The Company in coordination with the Relevant Transmission Licensee and the Network Operator or Non Embedded Customer shall agree on the protection schemes and settings in respect of the busbar protection zone in respect of each EU Grid Supply Point.

Protection of the Network Operator's or Non Embedded Customer's System shall take precedence over operational controls whilst respecting the security of the National Electricity Transmission System and the health and safety of staff and the public.

ECC.6.2.3.6.1 Protection of Interconnecting Connections

The requirements for the provision of **Protection** equipment for interconnecting connections will be specified in the **Bilateral Agreement**.

ECC.6.2.3.7 Changes to Protection Schemes at EU Grid Supply Points

Any subsequent alterations to the busbar protection settings at the EU Grid Supply Point (whether by The Company, the Relevant Transmission Licensee, the Network Operator or the Non Embedded Customer) shall be agreed between The Company (in co-ordination with the Relevant Transmission Licensee) and the Network Operator or Non Embedded Customer in accordance with the Grid Code (ECC.6.2.3.4). No alterations are to be made to any busbar protection schemes unless agreement has been reached between The Company, the Relevant Transmission Licensee, the Network Operator or Non

Embedded Customer.

No Network Operator or Non Embedded Customer equipment shall be energised until the Protection settings have been agreed prior to commissioning. The Network Operator or Non Embedded Customer shall agree with The Company (in coordination with the Relevant Transmission Licensee) and carry out a combined commissioning programme for the Protection systems, and generally, to a minimum standard as specified in the Bilateral Agreement.

ECC.6.2.3.8 Control Requirements

- ECC.6.2.3.8.1 The Company in coordination with the Relevant Transmission Licensee and the Network Operator or Non Embedded Customer shall agree on the control schemes and settings at each EU Grid Supply Point of the different control devices of the Network Operator's or Non Embedded Customer's System relevant for security of the National Electricity Transmission System. Such requirements would be pursuant to the terms of the Bilateral Agreement which shall also cover at least the following elements:
 - (a) Isolated (National Electricity Transmission System) operation;
 - (b) Damping of oscillations;
 - (c) Disturbances to the National Electricity Transmission System;
 - (d) Automatic switching to emergency supply and restoration to normal topology;
 - (e) Automatic circuit breaker re-closure (on 1-phase faults).
- ECC.6.2.3.8.2 Subject to the requirements of ECC.6.2.3.8.1 any changes to the schemes and settings, defined in ECC.6.2.3.8.1 of the different control devices of the **Network Operator**'s or **Non-Embedded Customer**'s **System** at the **EU Grid Supply Point** shall be coordinated and agreed between **The Company**, the **Relevant Transmission Licensee**, the **Network Operator** or **Non Embedded Customer**.
- ECC.6.2.3.9 Ranking of **Protection** and Control
- ECC.6.2.3.9.1 The **Network Operator** or the **Non Embedded Customer** who owns or operates an **EU Grid Supply Point** shall set the **Protection** and control devices of its **System**, in compliance with the following priority ranking, organised in decreasing order of importance:
 - (a) National Electricity Transmission System Protection;
 - (b) Protection equipment at each EU Grid Supply Point;
 - (c) Frequency control (Active Power adjustment);
 - (d) **P**ower restriction.

ECC.6.2.3.10 Synchronising

- ECC.6.2.3.10.1 Each **Network Operator** or **Non Embedded Customer** at each **EU Grid Supply Point** shall be capable of synchronisation within the range of frequencies specified in ECC.6.1.2 unless otherwise agreed with **The Company**.
- ECC.6.2.3.10.2 The Company and the Network Operator or Non Embedded Customer shall agree on the settings of the synchronisation equipment at each EU Grid Supply Point prior to the Completion Date. The Company and the relevant Network Operator or Non-Embedded Customer shall agree the synchronisation settings which shall include the following elements.
 - (a) Voltage;
 - (b) Frequency;
 - (c) phase angle range;
 - (d) deviation of voltage and Frequency.

ECC.6.3 <u>GENERAL POWER GENERATING MODULE, OTSDUW AND HVDC EQUIPMENT</u> <u>REQUIREMENTS</u>

ECC.6.3.1 This section sets out the technical and design criteria and performance requirements for Power Generating Modules and HVDC Equipment (whether directly connected to the National Electricity Transmission System or Embedded) and (where provided in this section) OTSDUW Plant and Apparatus which each Generator or HVDC System Owner must ensure are complied with in relation to its Power Generating Modules, HVDC Equipment and OTSDUW Plant and Apparatus . References to Power Generating Modules, HVDC Equipment in this ECC.6.3 should be read accordingly.

Plant Performance Requirements

ECC.6.3.2 REACTIVE CAPABILITY

ECC.6.3.2.1 Reactive Capability for Type B Synchronous Power Generating Modules

ECC.6.3.2.1.1 When operating at Maximum Capacity, all Type B Synchronous Power Generating Modules must be capable of continuous operation at any points between the limits of 0.95 Power Factor lagging and 0.95 Power Factor leading at the Grid Entry Point or User System Entry Point unless otherwise agreed with The Company or relevant Network Operator. At Active Power output levels other than Maximum Capacity, all Generating Units within a Type B Synchronous Power Generating Module must be capable of continuous operation at any point between the Reactive Power capability limits identified on the HV Generator Performance Chart unless otherwise agreed with The Company or relevant Network Operator.

ECC.6.3.2.2 Reactive Capability for Type B Power Park Modules

ECC.6.3.2.2.1 When operating at Maximum Capacity all Type B Power Park Modules must be capable of continuous operation at any points between the limits of 0.95 Power Factor lagging and 0.95 Power Factor leading at the Grid Entry Point or User System Entry Point unless otherwise agreed with The Company or relevant Network Operator. At Active Power output levels other than Maximum Capacity, each Power Park Module must be capable of continuous operation at any point between the Reactive Power capability limits identified on the HV Generator Performance Chart unless otherwise agreed with The Company or Network Operator.

ECC.6.3.2.3 Reactive Capability for Type C and D Synchronous Power Generating Modules

- ECC.6.3.2.3.1 In addition to meeting the requirements of ECC.6.3.2.3.2 ECC.6.3.2.3.5, **EU** Generators which connect a **Type C** or **Type D Synchronous Power Generating Module**(s) to a **Non Embedded Customers System** or private network, may be required to meet additional reactive compensation requirements at the point of connection between the **System** and the **Non Embedded Customer** or private network where this is required for **System** reasons.
- ECC.6.3.2.3.2 All **Type C** and **Type D Synchronous Power Generating Modules** shall be capable of satisfying the **Reactive Power** capability requirements at the **Grid Entry Point** or **User System Entry Point** as defined in Figure ECC.6.3.2.3 when operating at **Maximum Capacity**.
- ECC.6.3.2.3.3 At Active Power output levels other than Maximum Capacity, all Generating Units within a Synchronous Power Generating Module must be capable of continuous operation at any point between the Reactive Power capability limit identified on the HV Generator Performance Chart at least down to the Minimum Stable Operating Level. At reduced Active Power output, Reactive Power supplied at the Grid Entry Point (or User System Entry Point if Embedded) shall correspond to the HV Generator Performance Chart of the Synchronous Power Generating Module, taking the auxiliary supplies and the Active Power and Reactive Power losses of the Generating Unit transformer or Station Transformer into account.

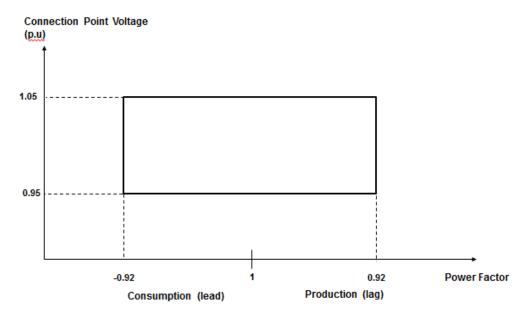
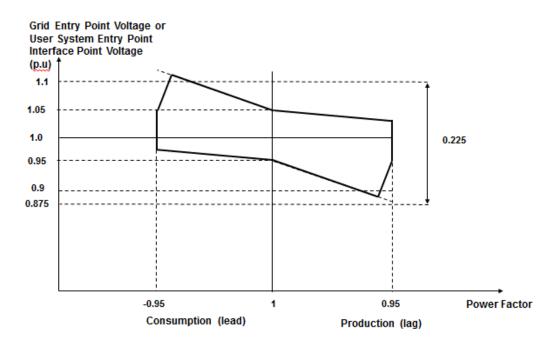


Figure ECC.6.3.2.3

- ECC.6.3.2.3.4 In addition, to the requirements of ECC.6.3.2.3.1 ECC.6.3.2.3.3 the short circuit ratio of all **Onshore Synchronous Generating Units** with an **Apparent Power** rating of less than 1600MVA shall not be less than 0.5. The short circuit ratio of **Onshore Synchronous Generating Units** with a rated **Apparent Power** of 1600MVA or above shall be not less than 0.4.
- ECC.6.3.2.4 Reactive Capability for Type C and D Power Park Modules, HVDC Equipment and OTSDUW Plant and Apparatus at the Interface Point
- ECC.6.3.2.4.1 EU Generators or HVDC System Owners which connect an Onshore Type C or Onshore Type D Power Park Module or HVDC Equipment to a Non Embedded Customers System or private network, may be required to meet additional reactive compensation requirements at the point of connection between the System and the Non Embedded Customer or private network where this is required for System reasons.
- All Onshore Type C Power Park Modules and Onshore Type D Power Park Modules ECC.6.3.2.4.2 or HVDC Converters at an HVDC Converter Station with a Grid Entry Point or User System Entry Point voltage above 33kV, or Remote End HVDC Converters with an HVDC Interface Point voltage above 33kV, or OTSDUW Plant and Apparatus with an Interface Point voltage above 33kV shall be capable of satisfying the Reactive Power capability requirements at the Grid Entry Point or User System Entry Point (or Interface Point in the case of OTSDUW Plant and Apparatus, or HVDC Interface Point in the case of a Remote End HVDC Converter Station) as defined in Figure ECC.6.3.2.4(a) when operating at Maximum Capacity (or Interface Point Capacity in the case of OTSUW Plant and Apparatus). In the case of Remote End HVDC Converters and DC Connected Power Park Modules, The Company in co-ordination with the Relevant Transmission Licensee may agree to alternative reactive capability requirements to those specified in Figure ECC.6.3.2.4(a), where it is demonstrated that it is uneconomic and inefficient to do so, for example in the case of new technologies or advanced control strategies. For the avoidance of doubt, the requirements for Offshore Power Park Modules and DC Connected Power Park Modules are defined in ECC.6.3.2.5 and ECC.6.3.2.6.





ECC.6.3.2.4.3 All Onshore Type C or Type D Power Park Modules or HVDC Converters at a HVDC Converter Station with a Grid Entry Point or User System Entry Point voltage at or below 33kV or Remote End HVDC Converter Station with an HVDC Interface Point Voltage at or below 33kV shall be capable of satisfying the Reactive Power capability requirements at the Grid Entry Point or User System Entry Point as defined in Figure ECC.6.3.2.4(b) when operating at Maximum Capacity. In the case of Remote End HVDC Converters The Company in co-ordination with the Relevant Transmission Licensee may agree to alternative reactive capability requirements to those specified in Figure ECC.6.3.2.4(b), where it is demonstrated that it is uneconomic and inefficient to do so, for example in the case of new technologies or advanced control strategies. For the avoidance of doubt, the requirements for Offshore Power Park Modules and DC Connected Power Park Modules are defined in ECC.6.3.2.5 and ECC.6.3.2.6.

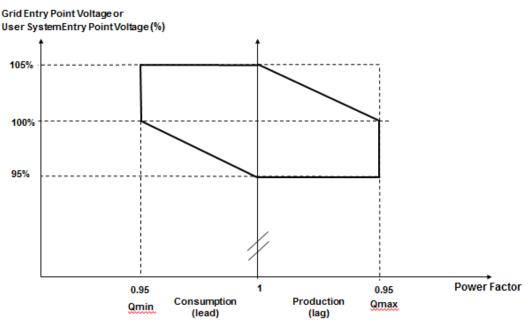


Figure ECC.6.3.2.4(a)

ECC.6.3.2.4.4 All Type C and Type D Power Park Modules, HVDC Converters at a HVDC Converter Station including Remote End HVDC Converters or OTSDUW Plant and Apparatus, shall be capable of satisfying the Reactive Power capability requirements at the Grid Entry Point or User System Entry Point (or Interface Point Capacity in the case of OTSUW Plant and Apparatus or HVDC Interface Point in the case of Remote End HVDC Converter Stations) as defined in Figure ECC.6.3.2.4(c) when operating below Maximum Capacity. With all Plant in service, the Reactive Power limits will reduce linearly below 50% Active Power output as shown in Figure ECC.6.3.2.4(c) unless the requirement to maintain the Reactive Power limits defined at Maximum Capacity (or Interface Point Capacity in the case of OTSDUW Plant and Apparatus) under absorbing Reactive Power conditions down to 20% Active Power output has been specified by The Company. These Reactive Power limits will be reduced pro rata to the amount of Plant in service. In the case of Remote End HVDC Converters, The Company in co-ordination with the Relevant Transmission Licensee may agree to alternative reactive capability requirements to those specified in Figure ECC.6.3.2.4(a), where it is demonstrated that it is uneconomic and inefficient to do so, for example in the case of new technologies or advanced control strategies. For the avoidance of doubt, the requirements for Offshore Power Park Modules and DC Connected Power Park Modules are defined in ECC.6.3.2.5 and ECC.6.3.2.6.

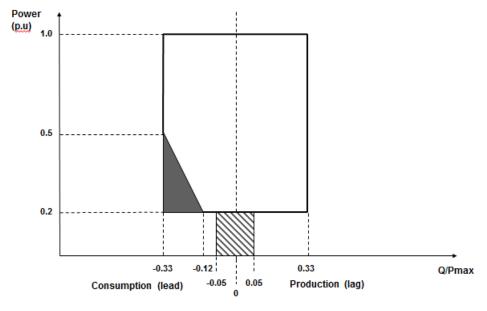


Figure ECC.6.3.2.4(c)

- ECC.6.3.2.5 Reactive Capability for Offshore Synchronous Power Generating Modules, Configuration 1 AC connected Offshore Power Park Modules and Configuration 1 DC Connected Power Park Modules.
- ECC.6.3.2.5.1 The short circuit ratio of any Offshore Synchronous Generating Units within a Synchronous Power Generating Module shall not be less than 0.5. All Offshore Synchronous Generating Units, Configuration 1 AC connected Offshore Power Park Modules or Configuration 1 DC Connected Power Park Modules must be capable of maintaining zero transfer of Reactive Power at the Offshore Grid Entry Point. The steady state tolerance on Reactive Power transfer to and from an Offshore Transmission System expressed in MVAr shall be no greater than 5% of the Maximum Capacity.
- ECC.6.3.2.5.2 For the avoidance of doubt if an **EU Generator** (including those in respect of **DC Connected Power Park Modules**) wishes to provide a **Reactive Power** capability in excess of the minimum requirements defined in ECC.6.3.2.5.1 then such capability (including steady state tolerance) shall be agreed between the **Generator**, **Offshore Transmission Licensee** and **The Company** and/or the relevant **Network Operator**.

ECC.6.3.2.6 Reactive Capability for Configuration 2 AC Connected Offshore Power Park Modules and Configuration 2 DC Connected Power Park Modules.

ECC.6.3.2.6.1 All Configuration 2 AC connected Offshore Power Park Modules and Configuration 2 DC Connected Power Park Modules shall be capable of satisfying the minimum Reactive Power capability requirements at the Offshore Grid Entry Point as defined in Figure ECC.6.3.2.6(a) when operating at Maximum Capacity. The Company in coordination with the Relevant Transmission Licensee may agree to alternative reactive capability requirements to those specified in Figure ECC.6.3.2.6(a), where it is demonstrated that it is uneconomic and inefficient to do so, for example in the case of new technologies or advanced control strategies.

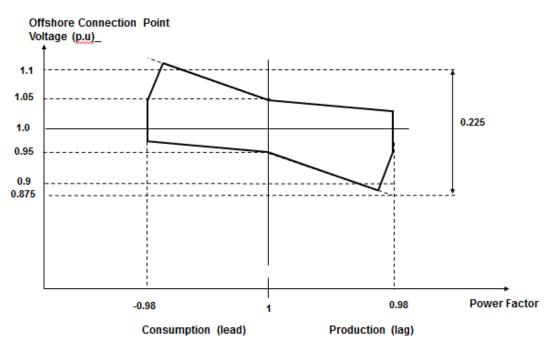


Figure ECC.6.3.2.6(a)

ECC.6.3.2.6.2 All AC Connected Configuration 2 Offshore Power Park Modules and Configuration 2 DC Connected Power Park Modules shall be capable of satisfying the Reactive Power capability requirements at the Offshore Grid Entry Point as defined in Figure ECC.6.3.2.6(b) when operating below Maximum Capacity. With all Plant in service, the Reactive Power limits will reduce linearly below 50% Active Power output as shown in Figure ECC.6.3.2.6(b) unless the requirement to maintain the Reactive Power limits defined at Maximum Capacity (or Interface Point Capacity in the case of OTSDUW Plant and Apparatus) under absorbing Reactive Power conditions down to 20% Active Power output has been specified with The Company. These Reactive Power limits will be reduced pro rata to the amount of Plant in service. The Company in co-ordination with the Relevant Transmission Licensee may agree to alternative reactive capability requirements to those specified in Figure ECC.6.3.2.6(b), where it is demonstrated that it is uneconomic and inefficient to do so, for example in the case of new technologies or advanced control strategies.

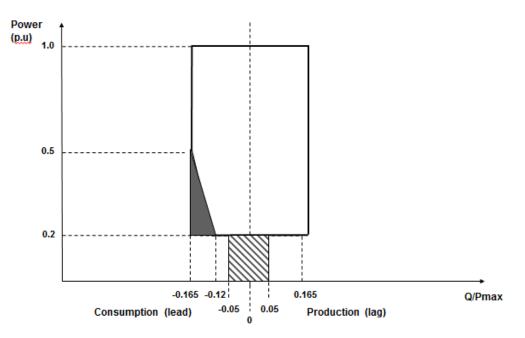
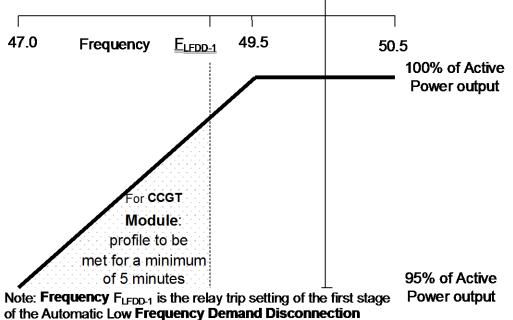


Figure ECC.6.3.2.6(b)

- ECC.6.3.2.6.3 For the avoidance of doubt if an **EU Generator** (including **Generators** in respect of **DC Connected Power Park Modules** referred to in ECC.6.3.2.6.2) wishes to provide a **Reactive Power** capability in excess of the minimum requirements defined in ECC.6.3.2.6.1 then such capability (including any steady state tolerance) shall be between the **EU Generator**, **Offshore Transmission Licensee** and **The Company** and/or the relevant **Network Operator**.
- ECC.6.3.3 OUTPUT POWER WITH FALLING FREQUENCY
- ECC.6.3.3.1 Output power with falling frequency for **Power Generating Modules** and **HVDC Equipment**
- CC.6.3.3.1.1 Each **Power Generating Module** and **HVDC Equipment** must be capable of:
 - (a) continuously maintaining constant **Active Power** output for **System Frequency** changes within the range 50.5 to 49.5 Hz; and
 - (b) (subject to the provisions of ECC.6.1.2) maintaining its Active Power output at a level not lower than the figure determined by the linear relationship shown in Figure ECC.6.3.3(a) for System Frequency changes within the range 49.5 to 47 Hz for all ambient temperatures up to and including 25°C, such that if the System Frequency drops to 47 Hz the Active Power output does not decrease by more than 5%. In the case of a CCGT Module, the above requirement shall be retained down to the Low Frequency Relay trip setting of 48.8 Hz, which reflects the first stage of the Automatic Low Frequency Demand Disconnection scheme notified to Network Operators under OC6.6.2. For System Frequency below that setting, the existing requirement shall be retained for a minimum period of 5 minutes while System Frequency remains below that setting, and special measure(s) that may be required to meet this requirement shall be kept in service during this period. After that 5 minutes period, if System Frequency remains below that setting, the special measure(s) must be discontinued if there is a materially increased risk of the Gas Turbine tripping. The need for special measure(s) is linked to the inherent Gas Turbine Active Power output reduction caused by reduced shaft speed due to falling System Frequency. Where the need for special measures is identified in order to maintain output in line with the level identified in Figure ECC.6.3.3(a) these measures should be still continued at ambient temperatures above 25°C maintaining as much of the Active Power achievable within the capability of the plant.

Figure ECC.6.3.3(a)



- Scheme
- (c) For the avoidance of doubt, in the case of a Power Generating Module including a DC Connected Power Park Module using an Intermittent Power Source where the mechanical power input will not be constant over time, the requirement is that the Active Power output shall be independent of System Frequency under (a) above and should not drop with System Frequency by greater than the amount specified in (b) above.
- (d) An HVDC System must be capable of maintaining its Active Power input (i.e. when operating in a mode analogous to Demand) from the National Electricity Transmission System (or User System in the case of an Embedded HVDC System) at a level not greater than the figure determined by the linear relationship shown in Figure ECC.6.3.3(b) for System Frequency changes within the range 49.5 to 47 Hz, such that if the System Frequency drops to 47.8 Hz the Active Power input decreases by more than 60%.

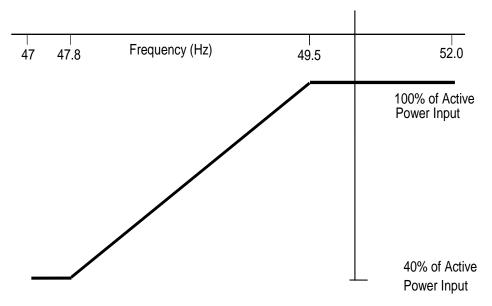


Figure ECC.6.3.3(b)

(e) In the case of an Offshore Generating Unit or Offshore Power Park Module or DC Connected Power Park Module or Remote End HVDC Converter or Transmission DC Converter, the EU Generator shall comply with the requirements of ECC.6.3.3. EU Generators should be aware that Section K of the STC places requirements on Offshore Transmission Licensees which utilise a Transmission DC Converter as part of their Offshore Transmission System to make appropriate provisions to enable EU Generators to fulfil their obligations. (f) Transmission DC Converters and Remote End HVDC Converters shall provide a continuous signal indicating the real time frequency measured at the Interface Point to the Offshore Grid Entry Point or HVDC Interface Point for the purpose of Offshore Generators or DC Connected Power Park Modules to respond to changes in System Frequency on the Main Interconnected Transmission System. A DC Connected Power Park Module or Offshore Power Generating Module shall be capable of receiving and processing this signal within 100ms.

ECC.6.3.4 ACTIVE POWER OUTPUT UNDER SYSTEM VOLTAGE VARIATIONS

ECC.6.3.4.1 At the Grid Entry Point or User System Entry Point, the Active Power output under steady state conditions of any Power Generating Module or HVDC Equipment directly connected to the National Electricity Transmission System or in the case of OTSDUW, the Active Power transfer at the Interface Point, under steady state conditions of any OTSDUW Plant and Apparatus should not be affected by voltage changes in the normal operating range specified in paragraph ECC.6.1.4 by more than the change in Active Power losses at reduced or increased voltage.

ECC.6.3.5 BLACK START

- ECC.6.3.5.1 Black Start is not a mandatory requirement, however EU Code Users may wish to notify The Company of their ability to provide a Black Start facility and the cost of the service. The Company will then consider whether it wishes to contract with the EU Code User for the provision of a Black Start service which would be specified via a Black Start Contract. Where an EU Code User does not offer to provide a cost for the provision of a Black Start Capability, The Company may make such a request if it considers System security to be at risk due to a lack of Black Start capability.
- ECC.6.3.5.2 It is an essential requirement that the National Electricity Transmission System must incorporate a Black Start Capability. This will be achieved by agreeing a Black Start Capability at a number of strategically located Power Stations and HVDC Systems. For each Power Station or HVDC System, The Company will state in the Bilateral Agreement whether or not a Black Start Capability is required.
- ECC.6.3.5.3 Where an EU Code User has entered into a Black Start Contract to provide a Black Start Capability in respect of a Type C Power Generating Module or Type D Power Generating Module (including DC Connected Power Park Modules) the following requirements shall apply.
 - (i) The **Power-Generating Module** or **DC Connected Power Park Module** shall be capable of starting from shutdown without any external electrical energy supply within a time frame specified by **The Company** in the **Black Start Contract**.
 - (ii) Each **Power Generating Module** or **DC Connected Power Park Module** shall be able to synchronise within the frequency limits defined in ECC.6.1. and, where applicable, voltage limits specified in ECC.6.1.4;
 - (iii) The **Power Generating Module** or **DC Connected Power Park Module** shall be capable of connecting on to an unenergised **System**.
 - (iv) The Power-Generating Module or DC Connected Power Park Module shall be capable of automatically regulating dips in voltage caused by connection of demand;
 - (v) The **Power Generating Module** or **DC Connected Power Park Module** shall:

be capable of Block Load Capability,

be capable of operating in LFSM-O and LFSM-U, as specified in ECC.6.3.7.1 and ECC.6.3.7.2

control **Frequency** in case of overfrequency and underfrequency within the whole **Active Power** output range between the **Minimum Regulating Level** and **Maximum Capacity** as well as at houseload operation levels

be capable of parallel operation of a few **Power Generating Modules** including **DC Connected Power Park Modules** within an isolated part of the **Total System** that is still supplying **Customers**, and control voltage automatically during the system restoration phase;

- ECC.6.3.5.4 Each HVDC System or Remote End HVDC Converter Station which has a Black Start Capability shall be capable of energising the busbar of an AC substation to which another HVDC Converter Station is connected. The timeframe after shutdown of the HVDC System prior to energisation of the AC substation shall be pursuant to the terms of the Black Start Contract. The HVDC System shall be able to synchronise within the Frequency limits defined in ECC.6.1.2.1.2 and voltage limits defined in ECC.6.1.4.1 unless otherwise specified in the Black Start Contract. Wider Frequency and voltage ranges can be specified in the Black Start Contract in order to restore System security.
- ECC.6.3.5.5 With regard to the capability to take part in operation of an isolated part of the **Total System** that is still supplying **Customers**:
 - Power Generating Modules including DC Connected Power Park Modules shall be capable of taking part in island operation if specified in the Black Start Contract required by The Company and:

the Frequency limits for island operation shall be those specified in ECC.6.1.2,

the voltage limits for island operation shall be those defined in ECC.6.1.4;

- (ii) Power Generating Modules including DC Connected Power Park Modules shall be able to operate in Frequency Sensitive Mode during island operation, as specified in ECC.6.3.7.3. In the event of a power surplus, Power Generating Modules including DC Connected Power Park Modules shall be capable of reducing the Active Power output from a previous operating point to any new operating point within the Power Generating Module Performance Chart. Power Generating Modules including DC Connected Power Park Modules shall be capable of reducing Active Power output as much as inherently technically feasible, but to at least 55 % of Maximum Capacity;
- (iii) The method for detecting a change from interconnected system operation to island operation shall be agreed between the EU Generator, The Company and the Relevant Transmission Licensee. The agreed method of detection must not rely solely on The Company, Relevant Transmission Licensee's or Network Operators switchgear position signals;
- Power Generating Modules including DC Connected Power Park Modules shall be able to operate in LFSM-O and LFSM-U during island operation, as specified in ECC.6.3.7.1 and ECC.6.3.7.2;
- ECC.6.3.5.6 With regard to quick re-synchronisation capability:
 - (i) In case of disconnection of the Power Generating Module including DC Connected Power Park Modules from the System, the Power Generating Module shall be capable of quick re-synchronisation in line with the Protection strategy agreed between The Company and/or Network Operator in co-ordination with the Relevant Transmission Licensee and the Generator;
 - (ii) A Power Generating Module including a DC Connected Power Park Module with a minimum re-synchronisation time greater than 15 minutes after its disconnection from any external power supply must be capable of Houseload Operation from any operating point on-its-Power Generating Module Performance Chart. In this case, the identification of Houseload Operation must not be based solely on the Total System's-switchgear position signals;
 - (iii) Power Generating Modules including DC Connected Power Park Modules shall be capable of Houseload Operation, irrespective of any auxiliary connection to the Total System. The minimum operation time shall be specified by The Company, taking into consideration the specific characteristics of prime mover technology.

- ECC.6.3.6 CONTROL ARRANGEMENTS
- ECC.6.3.6.1 ACTIVE POWER CONTROL
- ECC.6.3.6.1.1 <u>Active Power control in respect of Power Generating Modules including DC Connected</u> <u>Power Park Modules</u>
- ECC.6.3.6.1.1.1**Type A Power Generating Modules** shall be equipped with a logic interface (input port) in order to cease **Active Power** output within five seconds following receipt of a signal from **The Company**. **The Company** shall specify the requirements for such facilities, including the need for remote operation, in the **Bilateral Agreement** where they are necessary for **System** reasons.
- ECC.6.3.6.1.1.2**Type B Power Generating Modules** shall be equipped with an interface (input port) in order to be able to reduce **Active Power** output following receipt of a signal from **The Company**. **The Company** shall specify the requirements for such facilities, including the need for remote operation, in the **Bilateral Agreement** where they are necessary for **System** reasons.
- ECC.6.3.6.1.1.3Type C and Type D Power Generating Modules and DC Connected Power Park Modules shall be capable of adjusting the Active Power setpoint in accordance with instructions issued by The Company.
- ECC.6.3.6.1.2 <u>Active Power control in respect of HVDC Systems</u> and <u>Remote End HVDC Converter</u> <u>Stations</u>
- ECC.6.3.6.1.2.1 **HVDC Systems** shall be capable of adjusting the transmitted **Active Power** upon receipt of an instruction from **The Company** which shall be in accordance with the requirements of BC2.6.1.
- ECC.6.3.6.1.2.2The requirements for fast Active Power reversal (if required) shall be specified by The Company. Where Active Power reversal is specified in the Bilateral Agreement, each HVDC System and Remote End HVDC Converter Station shall be capable of operating from maximum import to maximum export in a time which is as fast as technically feasible or in a time that is no greater than 2 seconds except where a HVDC Converter Station Owner has justified to The Company that a longer reversal time is required.
- ECC.6.3.6.1.2.3Where an HVDC System connects various Control Areas or Synchronous Areas, each HVDC System or Remote End HVDC Converter Station shall be capable of responding to instructions issued by The Company under the Balancing Code to modify the transmitted Active Power for the purposes of cross-border balancing.
- ECC.6.3.6.1.2.4An **HVDC System** shall be capable of adjusting the ramping rate of **Active Power** variations within its technical capabilities in accordance with instructions issued by **The Company**. In case of modification of **Active Power** according to ECC.6.3.15 and ECC.6.3.6.1.2.2, there shall be no adjustment of ramping rate.
- ECC.6.3.6.1.2.5If specified by **The Company**, in coordination with the **Relevant Transmission Licensees**, the control functions of an **HVDC System** shall be capable of taking automatic remedial actions including, but not limited to, stopping the ramping and blocking FSM, LFSM-O, LFSM-U and **Frequency** control. The triggering and blocking criteria shall be specified by **The Company**.

ECC.6.3.6.2 MODULATION OF ACTIVE POWER

ECC.6.3.6.2.1 Each Power Generating Module (including DC Connected Power Park Modules) and Onshore HVDC Converters at an Onshore HVDC Converter Station must be capable of contributing to Frequency control by continuous modulation of Active Power supplied to the National Electricity Transmission System. For the avoidance of doubt each Onshore HVDC Converter at an Onshore HVDC Converter Station and/or OTSDUW DC Converter shall provide each EU Code User in respect of its Offshore Power Stations connected to and/or using an Offshore Transmission System a continuous signal indicating the real time Frequency measured at the Transmission Interface Point. A DC Connected Power Park Module or Offshore Power Generating Module shall be capable of receiving and processing this signal within 100ms.

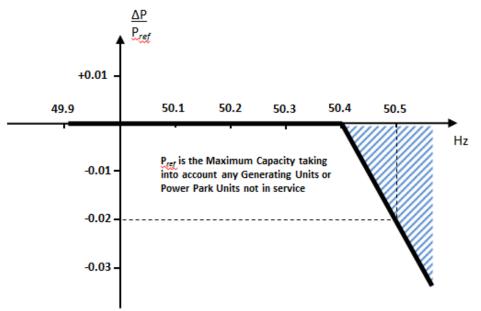
ECC.6.3.6.3 MODULATION OF REACTIVE POWER

ECC.6.3.6.3.1 Notwithstanding the requirements of ECC.6.3.2, each **Power Generating Module** or **HVDC Equipment** (and **OTSDUW Plant and Apparatus** at a **Transmission Interface Point** and **Remote End HVDC Converter** at an **HVDC Interface Point**) (as applicable) must be capable of contributing to voltage control by continuous changes to the **Reactive Power** supplied to the **National Electricity Transmission System** or the **User System** in which it is **Embedded**.

ECC.6.3.7 FREQUENCY RESPONSE

- ECC.6.3.7.1 Limited Frequency Sensitive Mode Overfrequency (LFSM-O)
- ECC.6.3.7.1.1 Each Power Generating Module (including DC Connected Power Park Modules) and HVDC Systems shall be capable of reducing Active Power output in response to Frequency on the Total System when this rises above 50.4Hz. For the avoidance of doubt, the provision of this reduction in Active Power output is not an Ancillary Service. Such provision is known as Limited High Frequency Response. The Power Generating Module (including DC Connected Power Park Modules) or HVDC Systems shall be capable of operating stably during LFSM-O operation. However for a Power Generating Module (including DC Connected Power Park Modules) or HVDC Systems operating in Frequency Sensitive Mode the requirements of LFSM-O shall apply when the frequency exceeds 50.5Hz.
- ECC.6.3.7.1.2 (i) The rate of change of **Active Power** output must be at a minimum a rate of 2 percent of output per 0.1 Hz deviation of **System Frequency** above 50.4Hz (ie a **Droop** of 10%) as shown in Figure ECC.6.3.7.1 below. This would not preclude a **EU Generator** or **HVDC System Owner** from designing their **Power Generating Module** with a **Droop** of less than 10% but in all cases the **Droop** should be 2% or greater.
 - (ii) The reduction in Active Power output must be continuously and linearly proportional, as far as is practicable, to the excess of Frequency above 50.4 Hz and must be provided increasingly with time over the period specified in (iii) below.
 - (iii) As much as possible of the proportional reduction in Active Power output must result from the frequency control device (or speed governor) action and must be achieved within 10 seconds of the time of the Frequency increase above 50.4 Hz. The Power Generating Module (including DC Connected Power Park Modules) or HVDC Systems shall be capable of initiating a power Frequency response with an initial delay that is as short as possible. If the delay exceeds 2 seconds the EU Generator or HVDC System Owner shall justify the variation, providing technical evidence to The Company.
 - (iv) The residue of the proportional reduction in Active Power output which results from automatic action of the Power Generating Module (including DC Connected Power Park Modules) or HVDC System output control devices other than the frequency control devices (or speed governors) must be achieved within 3 minutes for the time of the Frequency increase above 50.4Hz.
 - (v) For the avoidance of doubt, the LFSM-O response must be reduced when the Frequency falls again and, when to a value less than 50.4Hz, as much as possible of the increase in Active Power must be achieved within 10 seconds.

(vi) For Type A and Type B Power Generating Modules which are not required to have Frequency Sensitive Mode (FSM) as described in ECC.6.3.7.3 for deviations in Frequency up to 50.9Hz at least half of the proportional reduction in Active Power output must be achieved in 10 seconds of the time of the Frequency increase above 50.4Hz. For deviations in Frequency beyond 50.9Hz the measured rate of change of Active Power reduction must exceed 0.5%/sec of the initial output. The LFSM-O response must be reduced when the Frequency subsequently falls again and when to a value less than 50.4Hz, at least half the increase in Active Power must be achieved in 10 seconds. For a Frequency excursion returning from beyond 50.9Hz the measured rate of change of Active Power increase must exceed 0.5%/second.



Active Power Frequency response capability of when operating in LFSM-O

Figure ECC.6.3.7.1 – P_{ref} is the reference Active Power to which ΔP is related and ΔP is the change in Active Power output from the Power Generating Module (including DC Connected Power Park Modules) or HVDC System. The Power Generating Module (including DC Connected Power Park Modules or HVDC Systems) has to provide a negative Active Power output change with a droop of 10% or less based on Pref.

- ECC.6.3.7.1.3 Each Power Generating Module (including DC Connected Power Park Modules) or HVDC Systems which is providing Limited High Frequency Response (LFSM-O) must continue to provide it until the Frequency has returned to or below 50.4Hz or until otherwise instructed by The Company. EU Generators in respect of Gensets and HVDC Converter Station Owners in respect of an HVDC System should also be aware of the requirements in BC.3.7.2.2.
- ECC.6.3.7.1.4 Steady state operation below the Minimum Stable Operating Level in the case of Power Generating Modules including DC Connected Power Park Modules or Minimum Active Power Transmission Capacity in the case of HVDC Systems is not expected but if System operating conditions cause operation below the Minimum Stable Operating Level or Minimum Active Power Transmission Capacity which could give rise to operational difficulties for the Power Generating Module including a DC Connected Power Park Module or HVDC Systems then the EU Generator or HVDC System Owner shall be able to return the output of the Power Generating Module including a DC Connected Power Park Module to an output of not less than the Minimum Stable Operating Level or HVDC System to an output of not less than the Minimum Active Power Transmission Capacity.

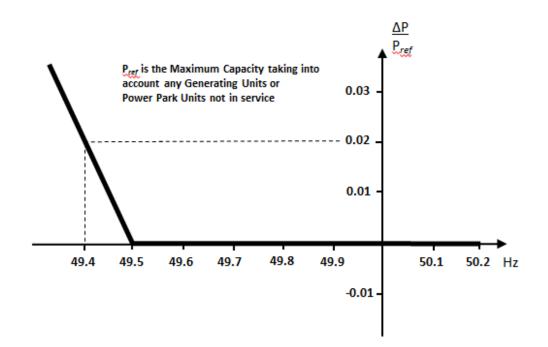
- ECC.6.3.7.1.5 All reasonable efforts should in the event be made by the **EU Generator** or **HVDC System Owner** to avoid such tripping provided that the **System Frequency** is below 52Hz in accordance with the requirements of ECC.6.1.2. If the **System Frequency** is at or above 52Hz, the requirement to make all reasonable efforts to avoid tripping does not apply and the **EU Generator** or **HVDC System Owner** is required to take action to protect its **Power Generating Modules** including **DC Connected Power Park Modules** or **HVDC Converter Stations**.
- ECC.6.3.7.2 Limited Frequency Sensitive Mode Underfrequency (LFSM-U)
- ECC.6.3.7.2.1 Each Type C Power Generating Module and Type D Power Generating Module (including DC Connected Power Park Modules) or HVDC Systems operating in Limited Frequency Sensitive Mode shall be capable of increasing Active Power output in response to System Frequency when this falls below 49.5Hz. For the avoidance of doubt, the provision of this increase in Active Power output is not a mandatory Ancillary Service and it is not anticipated Power Generating Modules (including DC Connected Power Park Modules) or HVDC Systems are operated in an inefficient mode to facilitate delivery of LFSM-U response, but any inherent capability (where available) should be made without undue delay. The Power Generating Module (including DC Connected Power Park Modules) or HVDC Systems shall be capable of stable operation during LFSM-U Mode. For example, a EU Generator which is operating with no headroom (eg it is operating at maximum output or is de-loading as part of a run down sequence and has no headroom) would not be required to provide LFSM-U.
- ECC.6.3.7.2.2 (i) The rate of change of Active Power output must be at a minimum a rate of 2 percent of output per 0.1 Hz deviation of System Frequency below 49.5Hz (ie a Droop of 10%) as shown in Figure ECC.6.3.7.2.2 below. This requirement only applies if the Power Generating Module has headroom and the ability to increase Active Power output. In the case of a Power Park Module or DC Connected Power Park Module the requirements of Figure ECC.6.3.7.2.2 shall be reduced pro-rata to the amount of Power Park Units in service and available to generate. For the avoidance of doubt, this would not preclude an EU Generator or HVDC System Owner from designing their Power Generating Module with a lower Droop setting, for example between 3 – 5%.
 - (ii) As much as possible of the proportional increase in Active Power output must result from the Frequency control device (or speed governor) action and must be achieved for Frequencies below 49.5 Hz. The Power Generating Module (including DC Connected Power Park Modules) or HVDC Systems shall be capable of initiating a power Frequency response with minimal delay. If the delay exceeds 2 seconds the EU Generator or HVDC System Owner shall justify the delay, providing technical evidence to The Company).
 - (iii) The actual delivery of **Active Power Frequency Response** in **LFSM-U** mode shall take into account

The ambient conditions when the response is to be triggered

The operating conditions of the **Power Generating Module** (including **DC Connected Power Park Modules**) or **HVDC Systems** in particular limitations on operation near **Maximum Capacity** or **Maximum HVDC Active Power Transmission Capacity** at low frequencies and the respective impact of ambient conditions as detailed in ECC.6.3.3.

The availability of primary energy sources.

(iv) In LFSM_U Mode, the Power Generating Module (including DC Connected Power Park Modules) and HVDC Systems, shall be capable of providing a power increase up to its Maximum Capacity or Maximum HVDC Active Power Transmission Capacity (as applicable).



Active Power Frequency response capability of when operating in LFSM-U

Figure ECC.6.3.7.2.2 – P_{ref} is the reference Active Power to which ΔP is related and ΔP is the change in Active Power output from the Power Generating Module (including DC Connected Power Park Modules) or HVDC System. The Power Generating Module (including DC Connected Power Park Modules or HVDC Systems) has to provide a positive Active Power output change with a droop of 10% or less based on Pref.

- ECC.6.3.7.3 Frequency Sensitive Mode (FSM)
- ECC.6.3.7.3.1 In addition to the requirements of ECC.6.3.7.1 and ECC.6.3.7.2 each **Type C Power Generating Module** and **Type D Power Generating Module** (including **DC Connected Power Park Modules**) or **HVDC Systems** must be fitted with a fast acting proportional **Frequency** control device (or turbine speed governor) and unit load controller or equivalent control device to provide **Frequency** response under normal operational conditions in accordance with **Balancing Code 3** (**BC3**). In the case of a **Power Park Module** including a **DC Connected Power Park Module**, the **Frequency** or speed control device(s) may be on the **Power Park Module** (including a **DC Connected Power Park Module**) or on each individual **Power Park Unit** (including a **Power Park Unit** within a **DC Connected Power Park Module**) or be a combination of both. The **Frequency** control device(s) (or speed governor(s)) must be designed and operated to the appropriate:

(i) **European Specification**: or

 (ii) in the absence of a relevant European Specification, such other standard which is in common use within the European Community (which may include a manufacturer specification);

as at the time when the installation of which it forms part was designed or (in the case of modification or alteration to the **Frequency** control device (or turbine speed governor)) when the modification or alteration was designed.

The European Specification or other standard utilised in accordance with sub paragraph ECC.6.3.7.3.1 (a) (ii) will be notified to The Company by the EU Generator or HVDC System Owner:

(i) as part of the application for a **Bilateral Agreement**; or

- (ii) as part of the application for a varied **Bilateral Agreement**; or
- (iii) in the case of an Embedded Development, within 28 days of entry into the Embedded Development Agreement (or such later time as agreed with The Company) or
- (iv) as soon as possible prior to any modification or alteration to the **Frequency** control device (or governor); and
- ECC.6.3.7.3.2 The Frequency control device (or speed governor) in co-ordination with other control devices must control each Type C Power Generating Module and Type D Power Generating Module (including DC Connected Power Park Modules) or HVDC Systems Active Power Output or Active Power transfer capability with stability over the entire operating range of the Power Generating Module (including DC Connected Power Park Modules) or HVDC Systems (Modules) or HVDC Systems ; and
- ECC.6.3.7.3.3 **Type C** and **Type D Power Generating Modules** and **DC Connected Power Park Modules** shall also meet the following minimum requirements:
 - (i) capable of providing **Active Power Frequency** response in accordance with the performance characteristic shown in Figure 6.3.7.3.3(a) and parameters in Table 6.3.7.3.3(a)



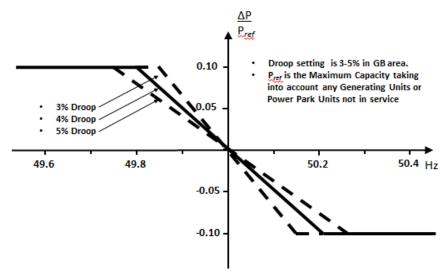


Figure 6.3.7.3.3(a) – Frequency Sensitive Mode capability of Power Generating Modules and DC Connected Power Park Modules

Parameter	Setting
Nominal System Frequency	50Hz
Active Power as a percentage of Maximum Capacity $\binom{ \Delta P_1 }{P_{max}}$	10%
Frequency Response Insensitivity in mHz ($I\Delta f_i$)	±15mHz
Frequency Response Insensitivity as a percentage of nominal frequency $\left(\frac{ \Delta f_i }{f_n}\right)$	±0.03%
Frequency Response Deadband in mHz	0 (mHz)

Droop (%)	3 – 5%

Table 6.3.7.3.3(a) – Parameters for **Active Power Frequency** response in **Frequency Sensitive Mode** including the mathematical expressions in Figure 6.3.7.3.3(a).

(ii) In satisfying the performance requirements specified in ECC.6.3.7.3(i) EU Generators in respect of each Type C and Type D Power Generating Modules and DC Connected Power Park Module should be aware:-

in the case of overfrequency, the **Active Power Frequency** response is limited by the **Minimum Regulating Level**,

in the case of underfrequency, the **Active Power Frequency** response is limited by the **Maximum Capacity**,

the actual delivery of **Active Power** frequency response depends on the operating and ambient conditions of the **Power Generating Module** (including **DC Connected Power Park Modules**) when this response is triggered, in particular limitations on operation near **Maximum Capacity** at low **Frequencies** as specified in ECC.6.3.3 and available primary energy sources.

The frequency control device (or speed governor) must also be capable of being set so that it operates with an overall speed **Droop** of between 3 – 5%. The **Frequency Response Deadband** and **Droop** must be able to be reselected repeatedly. For the avoidance of doubt, in the case of a **Power Park Module** (including **DC Connected Power Park Modules**) the speed **Droop** should be equivalent of a fixed setting between 3% and 5% applied to each **Power Park Unit** in service.

(iii) In the event of a Frequency step change, each Type C and Type D Power Generating Module and DC Connected Power Park Module shall be capable of activating full and stable Active Power Frequency response (without undue power oscillations), in accordance with the performance characteristic shown in Figure 6.3.7.3.3(b) and parameters in Table 6.3.7.3.3(b).

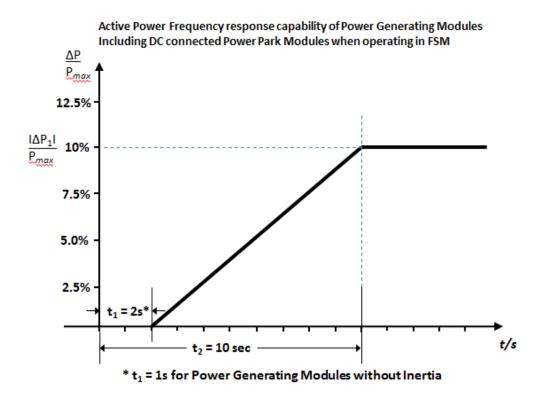
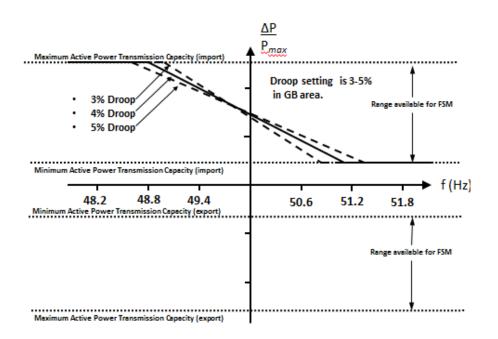


Figure 6.3.7.3.3(b) Active Power	Frequency	/ Response	canability
i iguic 0.0.7.0.0(b		ricquency	, nesponse	capability.

Parameter	Setting
Active Power as a percentage of Maximum Capacity (frequency response range) $\binom{ \Delta P_1 }{P_{max}}$	10%
Maximum admissible initial delay t ₁ for Power Generating Modules (including DC Connected Power Park Modules) with inertia unless justified as specified in ECC.6.3.7.3.3 (iv)	2 seconds
Maximum admissible initial delay t ₁ for Power Generating Modules (including DC Connected Power Park Modules) which do not contribute to System inertia unless justified as specified in ECC.6.3.7.3.3 (iv)	1 second
Activation time t ₂	10 seconds

Table 6.3.7.3.3(b) – Parameters for full activation of **Active Power Frequency** response resulting from a **Frequency** step change. Table 6.3.7.3.3(b) also includes the mathematical expressions used in Figure 6.3.7.3.3(b).

- (iv) The initial activation of Active Power Primary Frequency response shall not be unduly delayed. For Type C and Type D Power Generating Modules (including DC Connected Power Park Modules) with inertia the delay in initial Active Power Frequency response shall not be greater than 2 seconds. For Type C and Type D Power Generating Modules (including DC Connected Power Park Modules) without inertia, the delay in initial Active Power Frequency response shall not be greater than 1 second. If the Generator cannot meet this requirement they shall provide technical evidence to The Company demonstrating why a longer time is needed for the initial activation of Active Power Frequency response.
- (v) in the case of Type C and Type D Power Generating Modules (including DC Connected Power Park Modules) other than the Steam Unit within a CCGT Module the combined effect of the Frequency Response Insensitivity and Frequency Response Deadband of the Frequency control device (or speed governor) should be no greater than 0.03Hz (for the avoidance of doubt, ±0.015Hz). In the case of the Steam Unit within a CCGT Module, the Frequency Response Deadband should be set to an appropriate value consistent with the requirements of ECC.6.3.7.3.5(ii) and the requirements of BC3.7.2.2 for the provision of LFSM-O taking account of any Frequency Response Insensitivity of the Frequency control device (or speed governor);
- ECC.6.3.7.3.4 **HVDC Systems** shall also meet the following minimum requirements:
 - (i) HVDC Systems shall be capable of responding to Frequency deviations in each connected AC System by adjusting their Active Power import or export as shown in Figure 6.3.7.3.4(a) with the corresponding parameters in Table 6.3.7.3.4(a).



Active Power Frequency response capability of HVDC systems when operating in FSI

Figure 6.3.7.3.4(a) – Active Power frequency response capability of a HVDC System operating in Frequency Sensitive Mode (FSM). ΔP is the change in active power output from the HVDC System..

Parameter	Setting
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Frequency Response Deadband	0
Droop S1 and S2 (upward and downward regulation) where S1=S2.	3 – 5%
Frequency Response Insensitivity	±15mHz

Table 6.3.7.3.4(a) – Parameters for **Active Power Frequency** response in **FSM** including the mathematical expressions in Figure 6.3.7.3.4.

- (ii) Each HVDC System shall be capable of adjusting the Droop for both upward and downward regulation and the Active Power range over which Frequency Sensitive Mode of operation is available as defined in ECC.6.3.7.3.4.
- (iii) In addition to the requirements in ECC.6.3.7.4(i) and ECC.6.3.7.4(ii) each **HVDC** System shall be capable of:-

delivering the response as soon as technically feasible

delivering the response on or above the solid line in Figure 6.3.7.3.4(b) in accordance with the parameters shown in Table 6.3.7.3.4(b)

initiating the delivery of **Primary Response** in no less than 0.5 seconds unless otherwise agreed with **The Company**. Where the initial delay time $(t_1 - as shown in Figure 6.3.7.3.4(b))$ is longer than 0.5 seconds the **HVDC Converter Station Owner** shall reasonably justify it to **The Company**.

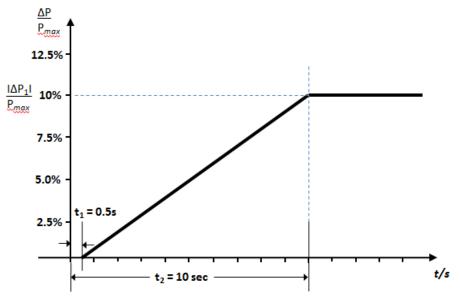




Figure 6.3.7.3.4(b) Active Power Frequency Response capability of a HVDC System. ΔP is the change in Active Power triggered by the step change in frequency

Parameter	Setting
Active Power as a percentage of Maximum Capacity (frequency response range) $\left(\frac{ \Delta P_1 }{P_{max}}\right)$	10%
Maximum admissible delay t ₁	0.5 seconds

Maximum admissible time for full	10 seconds
activation t ₂ , unless longer activation	
times are agreed with The Company	

Table 6.3.7.3.4(b) – Parameters for full activation of **Active Power Frequency** response resulting from a **Frequency** step change.

- (iv) For HVDC Systems connecting various Synchronous Areas, each HVDC System shall be capable of adjusting the full Active Power Frequency Response when operating in Frequency Sensitive Mode at any time and for a continuous time period. In addition, the Active Power controller of each HVDC System shall not have any adverse impact on the delivery of frequency response.
- ECC.6.3.7.3.5 For HVDC Systems and Type C and Type D Power Generating Modules (including DC Connected Power Park Modules), other than the Steam Unit within a CCGT Module the combined effect of the Frequency Response Insensitivity and Frequency Response Deadband of the Frequency control device (or speed governor) should be no greater than 0.03Hz (for the avoidance of doubt, ±0.015Hz). In the case of the Steam Unit within a CCGT Module, the Frequency Response Deadband should be set to an appropriate value consistent with the requirements of ECC.6.3.7.3.5(ii) and the requirements of BC3.7.2.2 for the provision of LFSM-O taking account of any Frequency Response Insensitivity of the Frequency control device (or speed governor);
 - (i) With regard to disconnection due to underfrequency, EU Generators responsible for Type C and Type D Power Generating Modules (including DC Connected Power Park Modules) capable of acting as a load, including but not limited to Pumped Storage and tidal Power Generating Modules, HVDC Systems and Remote End HVDC Converter Stations, shall be capable of disconnecting their load in case of underfrequency which will be agreed with The Company. For the avoidance of doubt this requirement does not apply to station auxiliary supplies; EU Generators in respect of Type C and Type D Pumped Storage Power Generating Modules should also be aware of the requirements in OC.6.6.6.
 - (ii) Where a Type C or Type D Power Generating Module, DC Connected Power Park Module or HVDC System becomes isolated from the rest of the Total System but is still supplying Customers, the Frequency control device (or speed governor) must also be able to control System Frequency below 52Hz unless this causes the Type C or Type D Power Generating Module or DC Connected Power Park Module to operate below its Minimum Regulating Level or Minimum Active Power Transmission Capacity when it is possible that it may, as detailed in BC 3.7.3, trip after a time. For the avoidance of doubt Power Generating Modules (including DC Connected Power Park Modules) and HVDC Systems are only required to operate within the System Frequency range 47 - 52 Hz as defined in ECC.6.1.2 and for converter based technologies, the remaining island contains sufficient fault level for effective commutation;
 - (iii) Each Type C and Type D Power Generating Module and HVDC Systems shall have the facility to modify the Target Frequency setting either continuously or in a maximum of 0.05Hz steps over at least the range 50 ±0.1Hz should be provided in the unit load controller or equivalent device.
- ECC.6.3.7.3.6 In addition to the requirements of ECC.6.3.7.3 each **Type C** and **Type D Power Generating Module** and **HVDC System** shall be capable of meeting the minimum **Frequency** response requirement profile subject to and in accordance with the provisions of Appendix A3.
- ECC.6.3.7.3.7 For the avoidance of doubt, the requirements of Appendix A3 do not apply to **Type A** and **Type B Power Generating Modules**.

- ECC.6.3.8 EXCITATION AND VOLTAGE CONTROL PERFORMANCE REQUIREMENTS
- ECC.6.3.8.1 <u>Excitation Performance Requirements for Type B Synchronous Power Generating</u> <u>Modules</u>
- ECC.6.3.8.1.1 Each Synchronous Generating Unit within a Type B Synchronous Power Generating Module shall be equipped with a permanent automatic excitation control system that shall have the capability to provide constant terminal voltage at a selectable setpoint without instability over the entire operating range of the Type B Synchronous Power Generating Module.
- ECC.6.3.8.1.2 In addition to the requirements of ECC.6.3.8.1.1, **The Company** or the relevant **Network Operator** will specify if the control system of the **Type B Synchronous Power Generating Module** shall contribute to voltage control or **Reactive Power** control or **Power Factor** control at the **Grid Entry Point** or **User System Entry Point** (or other defined busbar). The performance requirements of the control system including slope (where applicable) shall be agreed between **The Company** and/or the relevant **Network Operator** and the **EU Generator**.
- ECC.6.3.8.2 Voltage Control Requirements for **Type B Power Park Modules**
- ECC.6.3.8.2.1 **The Company** or the relevant **Network Operator** will specify if the control system of the **Type B Power Park Module** shall contribute to voltage control or **Reactive Power** control or **Power Factor** control at the **Grid Entry Point** or **User System Entry Point** (or other defined busbar). The performance requirements of the control system including slope (where applicable) shall be agreed between **The Company** and/or the relevant **Network Operator** and the **EU Generator**.
- ECC.6.3.8.3 Excitation Performance Requirements for Type C and Type D Onshore Synchronous Power Generating Modules
- ECC.6.3.8.3.1 Each Synchronous Generating Unit within a Type C and Type D Onshore Synchronous Power Generating Modules shall be equipped with a permanent automatic excitation control system that shall have the capability to provide constant terminal voltage control at a selectable setpoint without instability over the entire operating range of the Synchronous Power Generating Module.
- ECC.6.3.8.3.2 The requirements for excitation control facilities are specified in ECC.A.6. Any site specific requirements shall be specified by **The Company** or the relevant **Network Operator**.
- ECC.6.3.8.3.3 Unless otherwise required for testing in accordance with OC5.A.2, the automatic excitation control system of an **Onshore Synchronous Power Generating Module** shall always be operated such that it controls the **Onshore Synchronous Generating Unit** terminal voltage to a value that is
 - equal to its rated value: or
 - only where provisions have been made in the **Bilateral Agreement**, greater than its rated value.
- ECC.6.3.8.3.4 In particular, other control facilities including constant **Reactive Power** output control modes and constant **Power Factor** control modes (but excluding VAR limiters) are not required. However if present in the excitation or voltage control system they will be disabled unless otherwise agreed with **The Company** or the relevant **Network Operator**. Operation of such control facilities will be in accordance with the provisions contained in **BC2**.
- ECC.6.3.8.3.5 The excitation performance requirements for **Offshore Synchronous Power Generating Modules** with an **Offshore Grid Entry Point** shall be specified by **The Company**.
- ECC.6.3.8.4 <u>Voltage Control Performance Requirements for Type C and Type D Onshore Power</u> <u>Park Modules, Onshore HVDC Converters and OTSUW Plant and Apparatus at the</u> <u>Interface Point</u>

- ECC.6.3.8.4.1 Each Type C and Type D Onshore Power Park Module, Onshore HVDC Converter and **OTSDUW Plant and Apparatus** shall be fitted with a continuously acting automatic control system to provide control of the voltage at the Grid Entry Point or User System Entry Point (or Interface Point in the case of OTSDUW Plant and Apparatus) without instability over the entire operating range of the Onshore Power Park Module, or Onshore HVDC Converter or OTSDUW Plant and Apparatus. Any Plant or Apparatus used in the provisions of such voltage control within an Onshore Power Park Module may be located at the **Power Park Unit** terminals, an appropriate intermediate busbar or the Grid Entry Point or User System Entry Point. In the case of an Onshore HVDC Converter at a HVDC Converter Station any Plant or Apparatus used in the provisions of such voltage control may be located at any point within the User's Plant and Apparatus including the Grid Entry Point or User System Entry Point. OTSDUW Plant and Apparatus used in the provision of such voltage control may be located at the Offshore Grid Entry Point an appropriate intermediate busbar or at the Interface Point. When operating below 20% Maximum Capacity the automatic control system may continue to provide voltage control using any available reactive capability. If voltage control is not being provided, the automatic control system shall be designed to ensure a smooth transition between the shaded area below 20% of Active Power output and the non-shaded area above 20% of Active Power output in Figure ECC.6.3.2.5(c) and Figure ECC.6.3.2.7(b) The performance requirements for a continuously acting automatic voltage control system that shall be complied with by the User in respect of Onshore Power Park Modules, Onshore HVDC Converters at an Onshore HVDC Converter Station, OTSDUW Plant and Apparatus at the Interface Point are defined in ECC.A.7.
- ECC.6.3.8.4.3 In particular, other control facilities, including constant **Reactive Power** output control modes and constant **Power Factor** control modes (but excluding VAR limiters) are not required. However if present in the voltage control system they will be disabled unless otherwise agreed with **The Company** or the relevant **Network Operator**. Operation of such control facilities will be in accordance with the provisions contained in BC2. Where **Reactive Power** output control modes and constant **Power Factor** control modes have been fitted within the voltage control system they shall be required to satisfy the requirements of ECC.A.7.3 and ECC.A.7.4.
- ECC.6.3.8.5 Excitation Control Performance requirements applicable to AC Connected Offshore Synchronous Power Generating Modules and voltage control performance requirements applicable to AC connected Offshore Power Park Modules, DC Connected Power Park Modules and Remote End HVDC Converters
- ECC.6.3.8.5.1 A continuously acting automatic control system is required to provide control of **Reactive Power** (as specified in ECC.6.3.2.5 and ECC.6.3.2.6) at the **Offshore Grid Entry Point** (or **HVDC Interface Point** in the case of **Configuration 1 DC Connected Power Park Modules** and **Remote End HVDC Converters**) without instability over the entire operating range of the AC connected **Offshore Synchronous Power Generating Module** or **Configuration 1 AC connected Offshore Power Park Module** or **Configuration 1 DC Connected Power Park Modules** or **Remote End HVDC Converter**. The performance requirements for this automatic control system will be specified by **The Company** which would be consistent with the requirements of ECC.6.3.2.5 and ECC.6.3.2.6.
- ECC.6.3.8.5.2 A continuously acting automatic control system is required to provide control of **Reactive Power** (as specified in ECC.6.3.2.8) at the **Offshore Grid Entry Point** (or **HVDC Interface Point** in the case of **Configuration 2 DC Connected Power Park Modules**) without instability over the entire operating range of the **Configuration 2 AC connected Offshore Power Park Module** or **Configuration 2 DC Connected Power Park Modules.** otherwise the requirements of ECC.6.3.2.6 shall apply. The performance requirements for this automatic control system are specified in ECC.A.8

ECC.6.3.8.5.3 In addition to ECC.6.3.8.5.1 and ECC.6.3.8.5.2 the requirements for excitation or voltage control facilities, including **Power System Stabilisers**, where these are necessary for system reasons, will be specified by **The Company**. Reference is made to on-load commissioning witnessed by **The Company** in BC2.11.2.

ECC.6.3.9 STEADY STATE LOAD INACCURACIES

ECC.6.3.9.1 The standard deviation of Load error at steady state Load over a 30 minute period must not exceed 2.5 per cent of a Type C or Type D Power Generating Modules (including a DC Connected Power Park Module) Maximum Capacity. Where a Type C or Type D Power Generating Module (including a DC Connected Power Park Module) is instructed to Frequency sensitive operation, allowance will be made in determining whether there has been an error according to the governor droop characteristic registered under the PC.

For the avoidance of doubt in the case of a **Power Park Module** allowance will be made for the full variation of mechanical power output.

ECC.6.3.10 NEGATIVE PHASE SEQUENCE LOADINGS

ECC.6.3.10.1 In addition to meeting the conditions specified in ECC.6.1.5(b), each **Synchronous Power Generating Module** will be required to withstand, without tripping, the negative phase sequence loading incurred by clearance of a close-up phase-to-phase fault, by **System Back-Up Protection** on the **National Electricity Transmission System** or **User System** located **Onshore** in which it is **Embedded**.

ECC.6.3.11 NEUTRAL EARTHING

ECC.6.3.11 At nominal **System** voltages of 110kV and above the higher voltage windings of a transformer of a **Power Generating Module** or **HVDC Equipment** or transformer resulting from **OTSDUW** must be star connected with the star point suitable for connection to earth. The earthing and lower voltage winding arrangement shall be such as to ensure that the **Earth Fault Factor** requirement of paragraph ECC.6.2.1.1 (b) will be met on the **National Electricity Transmission System** at nominal **System** voltages of 110kV and above.

ECC.6.3.12 FREQUENCY AND VOLTAGE DEVIATIONS

ECC.6.3.12.1 As stated in ECC.6.1.2, the **System Frequency** could rise to 52Hz or fall to 47Hz. Each **Power Generating Module** (including **DC Connected Power Park Modules**) must continue to operate within this **Frequency** range for at least the periods of time given in ECC.6.1.2 unless **The Company** has specified any requirements for combined **Frequency** and voltage deviations which are required to ensure the best use of technical capabilities of **Power Generating Modules** (including **DC Connected Power Park Modules**) if required to preserve or restore system security.– Notwithstanding this requirement, **EU Generators** should also be aware of the requirements of ECC.6.3.13.

ECC.6.3.13 FREQUENCY, RATE OF CHANGE OF FREQUENCY AND VOLATGE PROTECTION SETTING ARRANGEMENTS

- ECC.6.3.13.1 EU Generators (including in respect of OTSDUW Plant and Apparatus) and HVDC System Owners will be responsible for protecting all their Power Generating Modules (and OTSDUW Plant and Apparatus) or HVDC Equipment against damage should Frequency excursions outside the range 52Hz to 47Hz ever occur. Should such excursions occur, it is up to the EU Generator or HVDC System Owner to decide whether to disconnect his Apparatus for reasons of safety of Apparatus, Plant and/or personnel.
- ECC.6.3.13.2 Each **Power Generating Module** when connected and synchronised to the **System**, shall be capable of withstanding without tripping a rate of change of **Frequency** up to and including 1 Hz per second as measured over a rolling 500 milliseconds period. Voltage dips may cause localised rate of change of **Frequency** values in excess of 1 Hz per second for short periods, and in these cases, the requirements under ECC.6.3.15 (fault ride through) supersedes this clause. For the avoidance of doubt, this requirement relates to the capabilities of **Power Generating Modules** only and does not impose the need for rate of change of **Frequency** protection nor does it impose a specific setting for anti-islanding or

loss-of-mains protection relays.

- ECC.6.3.13.3 Each **HVDC System** and **Remote End HVDC Converter Station** when connected and synchronised to the **System**, shall be capable of withstanding without tripping a rate of change of **Frequency** up to and including ±2.5Hz per second as measured over the previous 1 second period. Voltage dips may cause localised rate of change of **Frequency** values in excess of ±2.5 Hz per second for short periods, and in these cases, the requirements under ECC.6.3.15 (fault ride through) supersedes this clause. For the avoidance of doubt, this requirement relates to the capabilities of **HVDC Systems** and **Remote End HVDC Converter Stations** only and does not impose the need for rate of change of **Frequency** protection nor does it impose a specific setting for anti-islanding or loss-of-mains protection relays.
- ECC.6.3.13.4 Each **DC Connected Power Park Module** when connected to the **System**, shall be capable of withstanding without tripping a rate of change of **Frequency** up to and including ±2.0Hz per second as measured over the previous 1 second period. **Voltage** dips may cause localised rate of change of **Frequency** values in excess of ±2.0 Hz per second for short periods, and in these cases, the requirements under ECC.6.3.15 (fault ride through) supersedes this clause. For the avoidance of doubt, this requirement relates to the capabilities of **DC Connected Power Park Modules** only and does not impose the need for rate of change of **Frequency** protection nor does it impose a specific setting for anti-islanding or loss-of-mains protection relays.
- ECC.6.3.13.5 As stated in ECC.6.1.2, the **System Frequency** could rise to 52Hz or fall to 47Hz and the **System** voltage at the **Grid Entry Point** or **User System Entry Point** could rise or fall within the values outlined in ECC.6.1.4. Each **Type C** and **Type D Power Generating Module** (including **DC Connected Power Park Modules**) or any constituent element must continue to operate within this **Frequency** range for at least the periods of time given in ECC.6.1.2 and voltage range as defined in ECC.6.1.4 unless **The Company** has agreed to any simultaneous overvoltage and underfrequency relays and/or simultaneous undervoltage and over frequency relays which will trip such Power Generating Module (including **DC Connected Power Park Modules**), and any constituent element within this **Frequency** or voltage range.
- ECC.6.3.14 FAST START CAPABILITY
- ECC.6.3.14.1 It may be agreed in the **Bilateral Agreement** that a **Genset** shall have a **Fast-Start Capability**. Such **Gensets** may be used for **Operating Reserve** and their **Start-Up** may be initiated by **Frequency**-level relays with settings in the range 49Hz to 50Hz as specified pursuant to **OC2**.
- ECC.6.3.15 FAULT RIDE THROUGH
- ECC.6.3.15.1 General Fault Ride Through requirements, principles and concepts applicable to Type B, Type C and Type D Power Generating Modules and OTSDUW Plant and Apparatus subject to faults up to 140ms in duration
- ECC.6.3.15.1.1 ECC.6.3.15.1 ECC.6.3.15.8 section sets out the Fault Ride Through requirements on Type B, Type C and Type D Power Generating Modules, OTSDUW Plant and Apparatus and HVDC Equipment that shall apply in the event of a fault lasting up to 140ms in duration.
- ECC.6.3.15.1.2 Each Power Generating Module, Power Park Module, HVDC Equipment and OTSDUW Plant and Apparatus is required to remain connected and stable for any balanced and unbalanced fault where the voltage at the Grid Entry Point or User System Entry Point or (HVDC Interface Point in the case of Remote End DC Converter Stations or Interface Point in the case of OTSDUW Plant and Apparatus) remains on or above the heavy black line defined in sections ECC.6.3.15.2 ECC.6.3.15.7 below.

ECC.6.3.15.1.3 The voltage against time curves defined in ECC.6.3.15.2 – ECC.6.3.15.7 expresses the lower limit (expressed as the ratio of its actual value and its reference 1pu) of the actual course of the phase to phase voltage (or phase to earth voltage in the case of asymmetrical/unbalanced faults) on the **System** voltage level at the **Grid Entry Point** or **User System Entry Point** (or **HVDC Interface Point** in the case of **Remote End HVDC Converter Stations** or **Interface Point** in the case of **OTSDUW Plant and Apparatus**) during a symmetrical or asymmetrical/unbalanced fault, as a function of time before, during and after the fault.

ECC.6.3.15.2 Voltage against time curve and parameters applicable to Type B Synchronous Power Generating Modules

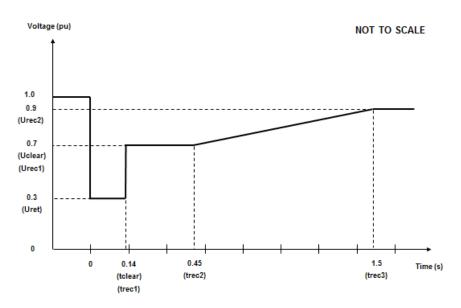
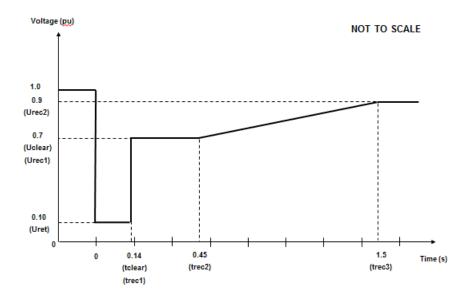


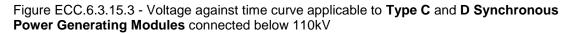
Figure ECC.6.3.15.2 - Voltage against time curve applicable to **Type B Synchronous Power Generating Modules**

Voltage parameters (pu)		Time parameters (seconds)	
Uret	0.3	tclear	0.14
Uclear	0.7	trec1	0.14
Urec1	0.7	trec2	0.45
Urec2	0.9	trec3	1.5

Table ECC.6.3.15.2 Voltage against time parameters applicable to **Type B Synchronous Power Generating Modules**

ECC.6.3.15.3 Voltage against time curve and parameters applicable to **Type C** and **D Synchronous Power Generating Modules** connected below 110kV





Voltage parameters (pu)		Time parameters (seconds)	
Uret	0.1	tclear	0.14
Uclear	0.7	trec1	0.14
Urec1	0.7	trec2	0.45
Urec2	0.9	trec3	1.5

Table ECC.6.3.15.3 Voltage against time parameters applicable to **Type C** and **D Synchronous Power Generating Modules** connected below 110kV

ECC.6.3.15.4 Voltage against time curve and parameters applicable to Type D Synchronous Power Generating Modules connected at or above 110kV

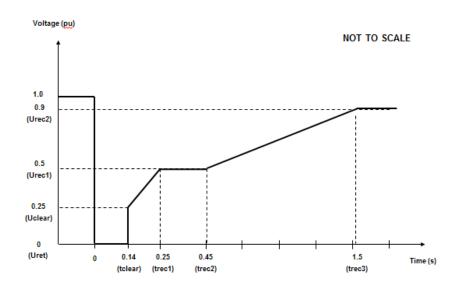


Figure ECC.6.3.15.4 - Voltage against time curve applicable to **Type D Synchronous Power Generating Modules** connected at or above 110kV

Voltage parameters (pu)		Time parameters (seconds)	
Uret	0	tclear	0.14
Uclear	0.25	trec1	0.25
Urec1	0.5	trec2	0.45
Urec2	0.9	trec3	1.5

Table ECC.6.3.15.4 Voltage against time parameters applicable to **Type D Synchronous Power Generating Modules** connected at or above 110kV

ECC.6.3.15.5 Voltage against time curve and parameters applicable to **Type B**, **C** and **D Power Park Modules** connected below 110kV

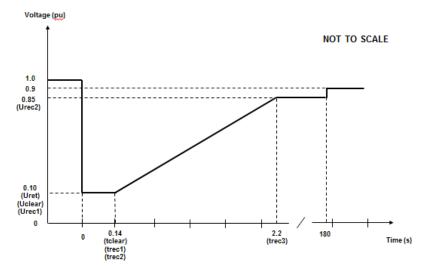


Figure ECC.6.3.15.5 - Voltage against time curve applicable to **Type B**, **C** and **D Power Park Modules** connected below 110kV

Voltage param	eters (pu)	Time parameters	(seconds)
Uret	0.10	tclear	0.14
Uclear	0.10	trec1	0.14
Urec1	0.10	trec2	0.14
Urec2	0.85	trec3	2.2

Table ECC.6.3.15.5 Voltage against time parameters applicable to **Type B**, **C** and **D Power Park Modules** connected below 110kV

ECC.6.3.15.6 Voltage against time curve and parameters applicable to Type D Power Park Modules with a Grid Entry Point or User System Entry Point at or above 110kV, DC Connected Power Park Modules at the HVDC Interface Point or OTSDUW Plant and Apparatus at the Interface Point.

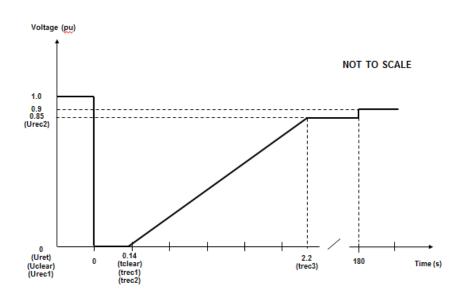


Figure ECC.6.3.15.6 - Voltage against time curve applicable to **Type D Power Park Modules** with a **Grid Entry Point** or **User System Entry Point** at or above 110kV, **DC Connected Power Park Modules** at the **HVDC Interface Point** or **OTSDUW Plant and Apparatus** at the **Interface Point**.

Voltage parameters (pu)		Time parameters (seconds)	
Uret	0	tclear	0.14
Uclear	0	trec1	0.14
Urec1	0	trec2	0.14
Urec2	0.85	trec3	2.2

- Table ECC.6.3.15.6 Voltage against time parameters applicable to a **Type D Power Park Modules** with a **Grid Entry Point** or **User System Entry Point** at or above 110kV, **DC Connected Power Park Modules** at the **HVDC Interface Point** or **OTSDUW Plant and Apparatus** at the **Interface Point**.
- ECC.6.3.15.7 <u>Voltage against time curve and parameters applicable to HVDC Systems and Remote End</u> <u>HVDC Converter Stations</u>

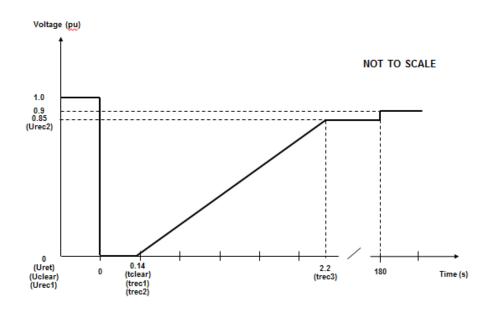


Figure ECC.6.3.15.7 - Voltage against time curve applicable to HVDC Systems and Remote End HVDC Converter Stations

Voltage parameters (pu)		Time parameters (seconds)	
Uret	0	tclear	0.14
Uclear	0	trec1	0.14
Urec1	0	trec2	0.14
Urec2	0.85	trec3	2.2

Table ECC.6.3.15.7 Voltage against time parameters applicable to HVDC Systems and Remote End HVDC Converter Stations

ECC.6.3.15.8 In addition to the requirements in ECC.6.3.15.1 – ECC.6.3.15.7:

- (i) Each Type B, Type C and Type D Power Generating Module at the Grid Entry Point or User System Entry Point, HVDC Equipment (or OTSDUW Plant and Apparatus at the Interface Point) shall be capable of satisfying the above requirements when operating at Rated MW output and maximum leading Power Factor.
- (ii) The Company will specify upon request by the User the pre-fault and post fault short circuit capacity (in MVA) at the Grid Entry Point or User System Entry Point (or HVDC Interface Point in the case of a remote end HVDC Converter Stations or Interface Point in the case of OTSDUW Plant and Apparatus).
- (iii) The pre-fault voltage shall be taken to be 1.0pu and the post fault voltage shall not be less than 0.9pu.
- (iv) To allow a User to model the Fault Ride Through performance of its Type B, Type C and/or Type D Power Generating Modules or HVDC Equipment, The Company will provide additional network data as may reasonably be required by the EU Code User to undertake such study work in accordance with PC.A.8. Alternatively, The Company may provide generic values derived from typical cases.
- (v) **The Company** will publish fault level data under maximum and minimum demand conditions in the **Electricity Ten Year Statement**.
- Each EU Generator (in respect of Type B, Type C, Type D Power Generating (vi) Modules and DC Connected Power Park Modules) and HVDC System Owners (in respect of HVDC Systems) shall satisfy the requirements in ECC.6.3.15.8(i) – (vii) unless the protection schemes and settings for internal electrical faults trips the Type B, Type C and Type D Power Generating Module, HVDC Equipment (or OTSDUW Plant and Apparatus) from the System. The protection schemes and settings should not jeopardise Fault Ride Through performance as specified in ECC.6.3.15.8(i) – (vii). The undervoltage protection at the Grid Entry Point or User System Entry Point (or HVDC Interface Point in the case of a Remote End HVDC Converter Stations or Interface Point in the case of OTSDUW Plant and Apparatus) shall be set by the EU Generator (or HVDC System Owner or OTSDUA in the case of OTSDUW Plant and Apparatus) according to the widest possible range unless The Company and the EU Code User have agreed to narrower settings. All protection settings associated with undervoltage protection shall be agreed between the EU Generator and/or HVDC System Owner with The Company and Relevant Transmission Licensee's and relevant Network Operator (as applicable).
- (vii) Each Type B, Type C and Type D Power Generating Module, HVDC System and OTSDUW Plant and Apparatus at the Interface Point shall be designed such that upon clearance of the fault on the Onshore Transmission System and within 0.5 seconds of restoration of the voltage at the Grid Entry Point or User System Entry Point or HVDC Interface Point in the case of a Remote End HVDC Converter Stations or Interface Point in the case of OTSDUW Plant and Apparatus to 90% of nominal voltage or greater, Active Power output (or Active Power transfer capability in the case of OTSDW Plant and Apparatus or Remote End HVDC Converter Stations) shall be restored to at least 90% of the level immediately before the fault. Once Active Power output (or Active Power transfer capability in the case of OTSDUW Plant and Apparatus or Remote End HVDC Converter Stations) shall be restored to at least 90% of the level immediately before the fault. Once Active Power output (or Active Power transfer capability in the case of OTSDUW Plant and Apparatus or Remote End HVDC Converter Stations) has been restored to the required level, Active Power oscillations shall be acceptable provided that:
 - The total Active Energy delivered during the period of the oscillations is at least that which would have been delivered if the Active Power was constant
 - The oscillations are adequately damped.
 - In the event of power oscillations, **Power Generating Modules** shall retain steady state stability when operating at any point on **the Power Generating Module Performance Chart**.

For AC Connected **Onshore** and **Offshore Power Park Modules** comprising switched reactive compensation equipment (such as mechanically switched capacitors and reactors), such switched reactive compensation equipment shall be controlled such that it is not switched in or out of service during the fault but may act to assist in post fault voltage recovery.

General Fault Ride Through requirements for faults in excess of 140ms in duration.

ECC.6.3.15.9

- ECC.6.3.15.9.1 <u>General Fault Ride Through requirements applicable to HVDC Equipment and OTSDUW</u> DC Converters subject to faults and voltage dips in excess of 140ms.
- ECC.6.3.15.9.1.1 The requirements applicable to HVDC Equipment including OTSDUW DC Converters subject to faults and voltage disturbances at the Grid Entry Point or User System Entry Point or Interface Point or HVDC Interface Point, including Active Power transfer capability shall be specified in the Bilateral Agreement.
- ECC.6.3.15.9.2 <u>Fault Ride Through requirements for Type C and Type D Synchronous Power Generating</u> <u>Modules and Type C and Type D Power Park Modules and OTSDUW Plant and</u> <u>Apparatus subject to faults and voltage disturbances on the Onshore Transmission</u> <u>System in excess of 140ms</u>
- ECC.6.3.15.9.2.1 The Fault Ride Through requirements for Type C and Type D Synchronous Power Generating Modules subject to faults and voltage disturbances <u>on the Onshore</u> <u>Transmission System</u> in excess of 140ms are defined in ECC.6.3.15.9.2.1(a) and the Fault Ride Through Requirements for Power Park Modules and OTSDUW Plant and Apparatus subject to faults and voltage disturbances <u>on the Onshore Transmission</u> <u>System greater than 140ms in duration are defined in ECC.6.3.15.9.2.1(b).</u>
 - (a) Requirements applicable to Synchronous Power Generating Modules subject to Supergrid Voltage dips on the Onshore Transmission System greater than 140ms in duration.

In addition to the requirements of ECC.6.3.15.1 – ECC.6.3.15.8 each **Synchronous Power Generating Module** shall:

(i) remain transiently stable and connected to the System without tripping of any Synchronous Power Generating Module for balanced Supergrid Voltage dips and associated durations on the Onshore Transmission System (which could be at the Interface Point) anywhere on or above the heavy black line shown in Figure ECC.6.3.15.9(a) Appendix 4 and Figures EA.4.3.2(a), (b) and (c) provide an explanation and illustrations of Figure ECC.6.3.15.9(a); and,

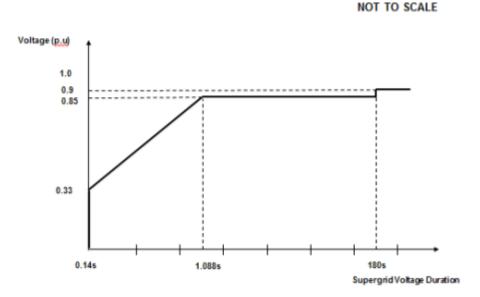


Figure ECC.6.3.15.9(a)

- (ii) provide Active Power output at the Grid Entry Point, during Supergrid Voltage dips on the Onshore Transmission System as described in Figure ECC.6.3.15.9(a), at least in proportion to the retained balanced voltage at the Onshore Grid Entry Point (for Onshore Synchronous Power Generating Modules) or Interface Point (for Offshore Synchronous Power Generating Modules) (or the retained balanced voltage at the User System Entry Point if Embedded) and shall generate maximum reactive current (where the voltage at the Grid Entry Point is outside the limits specified in ECC.6.1.4) without exceeding the transient rating limits of the Synchronous Power Generating Module and,
- (iii) restore Active Power output following Supergrid Voltage dips on the Onshore Transmission System as described in Figure ECC.6.3.15.9(a), within 1 second of restoration of the voltage to 1.0pu of the nominal voltage at the:

Onshore Grid Entry Point for directly connected Onshore Synchronous Power Generating Modules or,

Interface Point for Offshore Synchronous Power Generating Modules or.

User System Entry Point for Embedded Onshore Synchronous Power **Generating Modules** or.

User System Entry Point for Embedded Medium Power Stations not subject to a Bilateral Agreement which comprise Synchronous Generating Units and with an Onshore User System Entry Point (irrespective of whether they are located **Onshore** or **Offshore**)

to at least 90% of the level available immediately before the occurrence of the dip. Once the Active Power output has been restored to the required level, Active Power oscillations shall be acceptable provided that:

- the total Active Energy delivered during the period of the oscillations is at least that which would have been delivered if the Active Power was constant
- the oscillations are adequately damped.

For the avoidance of doubt a balanced Onshore Transmission System Supergrid Voltage meets the requirements of ECC.6.1.5 (b) and ECC.6.1.6.

(b) Requirements applicable to Type C and Type D Power Park Modules and OTSDUW Plant and Apparatus (excluding OTSDUW DC Converters) subject to Supergrid Voltage dips on the Onshore Transmission System greater than 140ms in duration.

In addition to the requirements of ECC.6.3.15.5, ECC.6.3.15.6 and ECC.6.3.15.8 (as applicable) each OTSDUW Plant and Apparatus or each Power Park Module and / or any constituent Power Park Unit, shall:

remain transiently stable and connected to the System without tripping of any (i) OTSDUW Plant and Apparatus, or Power Park Module and / or any constituent Power Park Unit, for balanced Supergrid Voltage dips and associated durations on the Onshore Transmission System (which could be at the Interface Point) anywhere on or above the heavy black line shown in Figure ECC.6.3.15.9(b). Appendix 4 and Figures EA.4.3.4 (a), (b) and (c) provide an explanation and illustrations of Figure ECC.6.3.15.9(b); and,

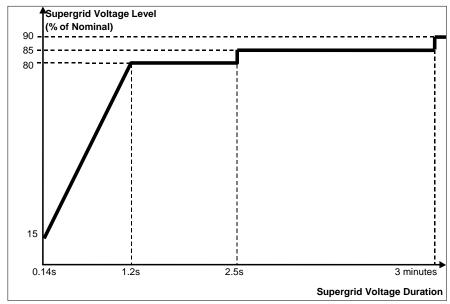


Figure ECC.6.3.15.9(b)

- (ii) provide Active Power output at the Grid Entry Point or in the case of an OTSDUW, Active Power transfer capability at the Transmission Interface Point, during Supergrid Voltage dips on the Onshore Transmission System as described in Figure ECC.6.3.15.9(b), at least in proportion to the retained balanced voltage at the Onshore Grid Entry Point (for Onshore Power Park Modules) or Interface Point (for OTSDUW Plant and Apparatus and Offshore Power Park Modules) (or the retained balanced voltage at the User System Entry Point if Embedded) except in the case of a Non-Synchronous Generating Unit or OTSDUW Plant and Apparatus or Power Park Module where there has been a reduction in the Intermittent Power Source or in the case of OTSDUW Active Power transfer capability in the time range in Figure ECC.6.3.15.9(b) that restricts the Active Power output or in the case of an OTSDUW Active Power transfer capability below this level.
- (iii) restore Active Power output (or, in the case of OTSDUW, Active Power transfer capability), following Supergrid Voltage dips on the Onshore Transmission System as described in Figure ECC.6.3.15.9(b), within 1 second of restoration of the voltage at the:

Onshore Grid Entry Point for directly connected Onshore Power Park Modules or,

Interface Point for OTSDUW Plant and Apparatus and Offshore Power Park Modules or,

User System Entry Point for Embedded Onshore Power Park Modules or,

User System Entry Point for Embedded Medium Power Stations which comprise Power Park Modules not subject to a Bilateral Agreement and with an Onshore User System Entry Point (irrespective of whether they are located Onshore or Offshore)

to the minimum levels specified in ECC.6.1.4 to at least 90% of the level available immediately before the occurrence of the dip except in the case of a **Non-Synchronous Generating Unit**, **OTSDUW Plant and Apparatus** or **Power Park Module** where there has been a reduction in the **Intermittent Power Source** in the time range in Figure ECC.6.3.15.9(b) that restricts the **Active Power** output or, in the case of **OTSDUW**, **Active Power** transfer capability below this level. Once the **Active Power** output or, in the case of **OTSDUW**, **Active Power** transfer capability has been restored to the required level, **Active Power** oscillations shall be acceptable provided that:

- the total **Active Energy** delivered during the period of the oscillations is at least that which would have been delivered if the **Active Power** was constant
- the oscillations are adequately damped.

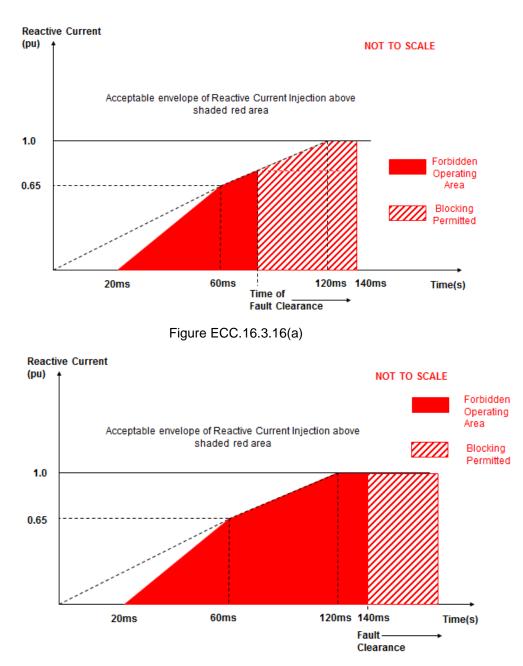
For the avoidance of doubt a balanced **Onshore Transmission System Supergrid Voltage** meets the requirements of ECC.6.1.5 (b) and ECC.6.1.6.

ECC.6.3.15.10 Other Fault Ride Through Requirements

- (i) In the case of a Power Park Module, the requirements in ECC.6.3.15.9 do not apply when the Power Park Module is operating at less than 5% of its Rated MW or during very high primary energy source conditions when more than 50% of the Power Park Units in a Power Park Module have been shut down or disconnected under an emergency shutdown sequence to protect User's Plant and Apparatus.
- (ii) In addition to meeting the conditions specified in ECC.6.1.5(b) and ECC.6.1.6, each Non-Synchronous Generating Unit, OTSDUW Plant and Apparatus or Power Park Module and any constituent Power Park Unit thereof will be required to withstand, without tripping, the negative phase sequence loading incurred by clearance of a closeup phase-to-phase fault, by System Back-Up Protection on the Onshore Transmission System operating at Supergrid Voltage.
- (iii) Generators in respect of Type B, Type C and Type D Power Park Modules and HVDC System Owners are required to confirm to The Company, their repeated ability to operate through balanced and unbalanced faults and System disturbances each time the voltage at the Grid Entry Point or User System Entry Point falls outside the limits specified in ECC.6.1.4. Demonstration of this capability would be satisfied by EU Generators and HVDC System Owners supplying the protection settings of their plant, informing The Company of the maximum number of repeated operations that can be performed under such conditions and any limiting factors to repeated operation such as protection or thermal rating; and
- (iv) Notwithstanding the requirements of ECC.6.3.15(v), Power Generating Modules shall be capable of remaining connected during single phase or three phase auto-reclosures to the National Electricity Transmission System and operating without power reduction as long as the voltage and frequency remain within the limits defined in ECC.6.1.4 and ECC.6.1.2; and
- (v) For the avoidance of doubt the requirements specified in ECC.6.3.15 do not apply to Power Generating Modules connected to either an unhealthy circuit and/or islanded from the Transmission System even for delayed auto reclosure times.
- (vi) To avoid unwanted island operation, Non-Synchronous Generating Units in Scotland (and those directly connected to a Scottish Offshore Transmission System), Power Park Modules in Scotland (and those directly connected to a Scottish Offshore Transmission System), or OTSDUW Plant and Apparatus with an Interface Point in Scotland shall be tripped for the following conditions:
 - (1) **Frequency** above 52Hz for more than 2 seconds
 - (2) **Frequency** below 47Hz for more than 2 seconds
 - (3) Voltage as measured at the Onshore Connection Point or Onshore User System Entry Point or Offshore Grid Entry Point or Interface Point in the case of OTSDUW Plant and Apparatus is below 80% for more than 2.5
 - the case of **OTSDUW Plant and Apparatus** is below 80% for more than 2.5 seconds
 - Voltage as measured at the Onshore Connection Point or Onshore User System Entry Point or Offshore Grid Entry Point or Interface Point in the case of OTSDUW Plant and Apparatus is above 120% (115% for 275kV) for more than 1 second. The times in sections (1) and (2) are maximum trip times. Shorter times may be used to protect the Non-Synchronous Generating Units, or OTSDUW Plant and Apparatus.

ECC.6.3.15.11 HVDC System Robustness

- ECC.6.3.15.11.1 The **HVDC System** shall be capable of finding stable operation points with a minimum change in **Active Power** flow and voltage level, during and after any planned or unplanned change in the **HVDC System** or AC **System** to which it is connected. **The Company** shall specify the changes in the System conditions for which the **HVDC Systems** shall remain in stable operation.
- ECC.6.3.15.11.2 The **HVDC System** owner shall ensure that the tripping or disconnection of an **HVDC Converter Station**, as part of any multi-terminal or embedded **HVDC System**, does not result in transients at the **Grid Entry Point** or **User System Entry Point** beyond the limit specified by **The Company** in co-ordination with the **Relevant Transmission Licensee**.
- ECC.6.3.15.11.3 The **HVDC System** shall withstand transient faults on HVAC lines in the network adjacent or close to the **HVDC System**, and shall not cause any of the equipment in the **HVDC System** to disconnect from the network due to autoreclosure of lines in the **System**.
- ECC.6.3.15.11.4 The **HVDC System Owner** shall provide information to **The Company** on the resilience of the **HVDC System** to AC **System** disturbances.
- ECC.6.3.16 FAST FAULT CURRENT INJECTION
- ECC.6.3.16.1 <u>General Fast Fault Current injection, principles and concepts applicable to Type B, Type</u> <u>C and Type D Power Park Modules and HVDC Equipment</u>
- ECC.6.3.16.1.1 Each **Type B**, **Type C** and **Type D Power Park Module** or **HVDC Equipment** shall be required to satisfy the following requirements.
- ECC.6.3.16.1.2 For any balanced or unbalanced fault which results in the phase voltage on one or more phases falling outside the limits specified in ECC.6.1.2 at the Grid Entry Point or User System Entry Point, each Type B, Type C and Type D Power Park Module or HVDC Equipment shall, unless otherwise agreed with The Company, be required to inject a reactive current above the shaded area shown in Figure ECC.16.3.16(a) and Figure 16.3.16(b). For the purposes of this requirement, the maximum rated current is taken to be the maximum current each Power Park Module (or constituent Power Park Unit) or HVDC Converter is capable of supplying when operating at rated Active Power and rated **Reactive Power** (as required under ECC.6.3.2) at a nominal voltage of 1.0pu. For example, in the case of a 100MW Power Park Module the Rated Active Power would be taken as 100MW and the rated Reactive Power would be taken as 32.8MVArs (ie Rated MW output operating at 0.95 Power Factor lead or 0.95 Power Factor lag as required under ECC.6.3.2.4). For the avoidance of doubt, where the phase voltage at the Grid Entry Point or User System Entry Point is not zero, the reactive current injected shall be in proportion to the retained voltage at the Grid Entry Point or User System Entry Point but shall still be required to remain above the shaded area in Figure 16.3.16(a) and Figure 16.3.16(b).





ECC.6.3.16.1.3 The converter(s) of each Type B, Type C and Type D Power Park Module or HVDC Equipment is permitted to block upon fault clearance in order to mitigate against the risk of instability that would otherwise occur due to transient overvoltage excursions. Figure ECC.16.3.16(a) and Figure ECC.16.3.16(b) shows the impact of variations in fault clearance time which shall be no greater than 140ms. The requirements for the maximum transient overvoltage withstand capability and associated time duration, shall be agreed between the EU Code User and The Company as part of the Bilateral Agreement. Where the EU Code User is able to demonstrate to **The Company** that blocking is required in order to prevent the risk of transient over voltage excursions as specified in ECC.6.3.16.1.5. EU Generators and HVDC System Owners are required to both advise and agree with The **Company** of the control strategy, which must also include the approach taken to deblocking. Notwithstanding this requirement, EU Generators and HVDC System **Owners** should be aware of their requirement to fully satisfy the fault ride through requirements specified in ECC.6.3.15.

- ECC.6.3.16.1.4 In addition, the reactive current injected from each **Power Park Module** or **HVDC Equipment** shall be injected in proportion and remain in phase to the change in **System** voltage at the **Connection Point** or **User System Entry Point** during the period of the fault. For the avoidance of doubt, a small delay time of no greater than 20ms from the point of fault inception is permitted before injection of the in phase reactive current.
- ECC.6.3.16.1.5 Each **Type B**, **Type C** and **Type D Power Park Module** or **HVDC Equipment** shall be designed to reduce the risk of transient over voltage levels arising following clearance of the fault. **EU Generators** or **HVDC System Owners** shall be permitted to block where the anticipated transient overvoltage would otherwise exceed the maximum permitted values specified in ECC.6.1.7. Any additional requirements relating to transient overvoltage performance will be specified by The Company.
- ECC.6.3.16.1.6 In addition to the requirements of ECC.6.3.15, Generators in respect of Type B, Type C and Type D Power Park Modules and HVDC System Owners are required to confirm to The Company, their repeated ability to supply Fast Fault Current to the System each time the voltage at the Grid Entry Point or User System Entry Point falls outside the limits specified in ECC.6.1.4. EU Generators and HVDC Equipment Owners should inform The Company of the maximum number of repeated operations that can be performed under such conditions and any limiting factors to repeated operation such as protection or thermal rating; and
- ECC.6.3.16.1.7 In the case of a **Power Park Module** or **DC Connected Power Park Module**, where it is not practical to demonstrate the compliance requirements of ECC.6.3.16.1.1 to ECC.6.3.16.1.6 at the **Grid Entry Point** or **User System Entry Point**, **The Company** will accept compliance of the above requirements at the **Power Park Unit** terminals.
- ECC.6.3.16.1.8 An illustration and examples of the performance requirements expected are illustrated in Appendix 4EC.
- ECC.6.3.17 <u>SUBSYNCHRONOUS TORSIONAL INTERACTION DAMPING CAPABILITY, POWER</u> <u>OSCILLATION DAMPING CAPABILITY AND CONTROL FACILITIES FOR HVDC</u> <u>SYSTEMS</u>
- ECC.6.3.17.1 Subsynchronous Torsional Interaction Damping Capability
- ECC.6.3.17.1.1 HVDC System Owners, or Generators in respect of OTSDUW DC Converters or Network Operators in the case of an Embedded HVDC Systems not subject to a Bilateral Agreement must ensure that any of their Onshore HVDC Systems or OTSDUW DC Converters will not cause a sub-synchronous resonance problem on the Total System. Each HVDC System or OTSDUW DC Converter is required to be provided with subsynchronous resonance damping control facilities. HVDC System Owners and EU Generators in respect of OTSDUW DC Converters should also be aware of the requirements in ECC.6.1.9 and ECC.6.1.10.
- ECC.6.3.17.1.2 Where specified in the **Bilateral Agreement**, each **OTSDUW DC Converter** is required to be provided with power oscillation damping or any other identified additional control facilities.
- ECC.6.3.17.1.3 Each HVDC System shall be capable of contributing to the damping of power oscillations on the National Electricity Transmission System. The control system of the HVDC System shall not reduce the damping of power oscillations. The Company in coordination with the Relevant Transmission Licensee (as applicable) shall specify a frequency range of oscillations that the control scheme shall positively damp and the System conditions when this occurs, at least accounting for any dynamic stability assessment studies undertaken by the Relevant Transmission Licensee or The Company (as applicable) to identify the stability limits and potential stability problems on the National Electricity Transmission System. The selection of the control parameter settings shall be agreed between The Company in coordination with the Relevant Transmission Licensee and the HVDC System Owner.

- ECC.6.3.17.1.4 **The Company** shall specify the necessary extent of SSTI studies and provide input parameters, to the extent available, related to the equipment and relevant system conditions on the **National Electricity Transmission System**. The SSTI studies shall be provided by the **HVDC System Owner**. The studies shall identify the conditions, if any, where SSTI exists and propose any necessary mitigation procedure. The responsibility for undertaking the studies in accordance with these requirements lies with the **Relevant Transmission Licensee** in co-ordiantion with **The Company**. All parties shall be informed of the results of the studies.
- ECC.6.3.17.1.5 All parties identified by **The Company** as relevant to each **Grid Entry Point** or **User System Entry Point** (if **Embedded**), including the **Relevant Transmission Licensee**, shall contribute to the studies and shall provide all relevant data and models as reasonably required to meet the purposes of the studies. **The Company** shall collect this data and, where applicable, pass it on to the party responsible for the studies in accordance with Article 10 of **European Regulation 2016/1447**. Specific information relating to the interface schedules, input/output requirements, timing and submission of any studies or data would be agreed between the **User** and **The Company** and specified (where applicable) in the **Bilateral Agreement**.
- ECC.6.3.17.1.6 **The Company** in coordination with the **Relevant Transmission Licensee** shall assess the result of the SSTI studies. If necessary for the assessment, **The Company** in coordination with the **Relevant Transmission Licensee** may request that the **HVDC System Owner** perform further SSTI studies in line with this same scope and extent.
- ECC.6.3.17.1.7 **The Company** in coordination with the **Relevant Transmission Licensee** may review or replicate the study. The **HVDC System Owner** shall provide **The Company** with all relevant data and models that allow such studies to be performed. Submission of this data to **Relevant Transmission Licensee's** shall be in accordance with the requirements of Article 10 of **European Regulation** 2016/1447.
- ECC.6.3.17.1.8 Any necessary mitigating actions identified by the studies carried out in accordance with paragraphs ECC.6.3.17.1.4 or ECC.6.3.17.1.6, and reviewed by **The Company** in coordination with the **Relevant Transmission Licensees**, shall be undertaken by the **HVDC System Owner** as part of the connection of the new **HVDC Converter Station**.
- ECC.6.3.17.1.9 As part of the studies and data flow in respect of ECC.6.3.17.1 ECC.6.3.17.8 the following data exchange would take place with the time scales being pursuant to the terms of the Bilateral Agreement.

Information supplied by **The Company** and **Relevant Transmission Licensees**

Studies provided by the User

User review

The Company review

Changes to studies and agreed updates between **The Company**, the **Relevant Transmission Licensee** and **User**

Final review

ECC.6.3.17.2 Interaction between HVDC Systems or other User's Plant and Apparatus

- ECC.6.3.17.2.1 Notwithstanding the requirements of ECC6.1.9 and ECC.6.1.10, when several **HVDC Converter Stations** or other **User's Plant** and **Apparatus** are within close electrical proximity, **The Company** may specify that a study is required, and the scope and extent of that study, to demonstrate that no adverse interaction will occur. If adverse interaction is identified, the studies shall identify possible mitigating actions to be implemented to ensure compliance with the requirements of ECC.6.1.9
- ECC.6.3.17.2.2 The studies shall be carried out by the connecting **HVDC System Owner** with the participation of all other **User's** identified by **The Company** in coordination with **Relevant Transmission Licensees** as relevant to each **Connection Point**.

- ECC.6.3.17.2.3 All **User's** identified by **The Company** as relevant to the connection , and where applicable **Relevant Transmission Licensee's**, shall contribute to the studies and shall provide all relevant data and models as reasonably required to meet the purposes of the studies. **The Company** shall collect this input and, where applicable, pass it on to the party responsible for the studies in accordance with Article 10 of **European Regulation 2016/1447**. Specific information relating to the interface schedules, input/output requirements, timing and submission of any studies or data would be agreed between the **User** and **The Company** and specified (where applicable) in the **Bilateral Agreement**.
- ECC.6.3.17.2.4 **The Company** in coordination with **Relevant Transmission Licensees** shall assess the result of the studies based on their scope and extent as specified in accordance with ECC.6.3.17.2.1. If necessary for the assessment, **The Company** in coordination with the **Relevant Transmission Licensee** may request the **HVDC System Owner** to perform further studies in line with the scope and extent specified in accordance with ECC.6.3.17.2.1.
- ECC.6.3.17.2.5 **The Company** in coordination with the **Relevant Transmission Licensee** may review or replicate some or all of the studies. The **HVDC System Owner** shall provide **The Company** all relevant data and models that allow such studies to be performed.
- ECC.6.3.17.2.6 The **EU Code User** and **The Company**, in coordination with the **Relevant Transmission Licensee**, shall agree any mitigating actions identified by the studies carried out following the site specific requirements and works, including any transmission reinforcement works and / or **User** works required to ensure that all sub-synchronous oscillations are sufficiently damped.
- ECC.6.1.17.3 Fast Recovery from DC faults
- ECC.6.1.17.3.1 **HVDC Systems**, including DC overhead lines, shall be capable of fast recovery from transient faults within the **HVDC System**. Details of this capability shall be subject to the **Bilateral Agreement** and the protection requirements specified in ECC.6.2.2.
- ECC.6.1.17.4 Maximum loss of Active Power
- ECC.6.1.14.4.1 An **HVDC System** shall be configured in such a way that its loss of **Active Power** injection in the **GB Synchronous Area** shall be in accordance with the requirements of the **SQSS**.

ECC.6.3.18 SYSTEM TO GENERATOR OPERATIONAL INTERTRIPPING SCHEMES

- ECC.6.3.18.1 **The Company** may require that a **System to Generator Operational Intertripping Scheme** be installed as part of a condition of the connection of the **EU Generator**. Scheme specific details shall be included in the relevant **Bilateral Agreement** and shall, include the following information:
 - (1) the relevant category(ies) of the scheme (referred to as **Category 1 Intertripping Scheme**, **Category 2 Intertripping Scheme**, **Category 3 Intertripping Scheme** and **Category 4 Intertripping Scheme**);
 - (2) the **Power Generating Module** to be either permanently armed or that can be instructed to be armed in accordance with BC2.8;
 - (3) the time within which the **Power Generating Module** circuit breaker(s) are to be automatically tripped;
 - (4) the location to which the trip signal will be provided by The Company. Such location will be provided by The Company prior to the commissioning of the Power Generating Module.

Where applicable, the **Bilateral Agreement** shall include the conditions on the **National Electricity Transmission System** during which **The Company** may instruct the **System to Generator Operational Intertripping Scheme** to be armed and the conditions that would initiate a trip signal.

ECC.6.3.18.2 The time within which the **Power Generating Module(s)** circuit breaker(s) need to be automatically tripped is determined by the specific conditions local to the **EU Generator**. This 'time to trip' (defined as the time from provision of the trip signal by **The Company** to the specified location, to circuit breaker main contact opening) can typically range from 100ms to 10sec. A longer time to trip may allow the initiation of an automatic reduction in the **Power Generating Module(s)** output prior to the automatic tripping of the **Power Generating Module(s)** circuit breaker. Where applicable **The Company** may provide separate trip signals to allow for either a longer or shorter 'time to trip' to be initiated.

ECC.6.4 General Network Operator And Non-Embedded Customer Requirements

ECC.6.4.1 This part of the **Grid Code** describes the technical and design criteria and performance requirements for **Network Operators** and **Non-Embedded Customers**.

Neutral Earthing

ECC.6.4.2 At nominal **System** voltages of 132kV and above the higher voltage windings of three phase transformers and transformer banks connected to the **National Electricity Transmission System** must be star connected with the star point suitable for connection to earth. The earthing and lower voltage winding arrangement shall be such as to ensure that the **Earth Fault Factor** requirement of paragraph ECC.6.2.1.1 (b) will be met on the **National Electricity Transmission System** at nominal **System** voltages of 132kV and above.

Frequency Sensitive Relays

ECC.6.4.3 As explained under OC6, each Network Operator and Non Embedded Customer, will make arrangements that will facilitate automatic low Frequency Disconnection of Demand (based on Annual ACS Conditions). ECC.A.5.5. of Appendix E5 includes specifications of the local percentage Demand that shall be disconnected at specific frequencies. The manner in which Demand subject to low Frequency disconnection will be split into discrete MW blocks is specified in OC6.6. Technical requirements relating to Low Frequency Relays are also listed in Appendix E5.

Operational Metering

- ECC.6.4.4 Where **The Company** can reasonably demonstrate that an **Embedded Medium Power Station** or **Embedded HVDC System** has a significant effect on the **National Electricity Transmission System**, it may require the **Network Operator** within whose **System** the **Embedded Medium Power Station or Embedded HVDC System** is situated to ensure that the operational metering equipment described in ECC.6.5.6 is installed such that **The Company** can receive the data referred to in ECC.6.5.6. In the case of an **Embedded Medium Power Station** subject to, or proposed to be subject to a **Bilateral Agreement**, **The Company** shall notify such **Network Operator** of the details of such installation in writing within 3 months of being notified of the application to connect under **CUSC** and in the case of an **Embedded Medium Power Station** not subject to, or not proposed to be subject to a **Bilateral Agreement** in writing as a **Site Specific Requirement** in accordance with the timescales in CUSC 6.5.6. In either case the **Network Operator** shall ensure that the data referred to in ECC.6.5.6 is provided to **The Company**.
- ECC.6.4.5 Reactive Power Requirements at each EU Grid Supply Point
- ECC.6.4.5.1 At each EU Grid Supply Point, Non-Embedded Customers and Network Operatorswho are EU Code Users shall ensure their Systems are capable of steady state operation within the Reactive Power limits as specified in ECC.6.4.5.1(a) and ECC.6.4.5.1(b). Where The Company requires a Reactive Power range which is broader than the limits defined in ECC.6.4.5.1(a) and ECC.6.4.5.1(b), this will be agreed as a reasonable requirement through joint assessment between the relevant EU Code User and The Company and justified in accordance with the requirements of ECC.6.4.5.1(c), (d), (e) and (f). For Non-Embedded Customers who are EU Code Users, the Reactive Power range at each EU Grid Supply Point, under both importing and exporting conditions, shall not exceed 48% of the larger of the Maximum Import Capability or Maximum Export Capability (0.9 Power Factor import or export of Active Power), except in situations where either technical or financial system benefits are demonstrated for Non-Embedded Customers and accepted by The Company in coordination with the Relevant Transmission Licensee.
 - (a) For **Network Operators** who are **EU Code Users** at each **EU Grid Supply Point**, the **Reactive Power** range shall not exceed:
 - 48 percent (i.e. 0.9 Power Factor) of the larger of the Maximum Import Capability or Maximum Export Capability during Reactive Power import (consumption); and
 - (ii) 48 percent (i.e. 0.9 Power Factor) of the larger of the Maximum Import Capability or Maximum Export Capability during Reactive Power export (production);

Except in situations where either technical or financial system benefits are proved by **The Company** in coordination with the **Relevant Transmission Licensee** and the relevant **Network Operator** through joint analysis.

- (b) **The Company** in co-ordination with the **Relevant Transmission Licensee** shall agree with the **Network Operator** on the scope of the analysis, which shall determine the optimal solution for **Reactive Power** exchange between their **Systems** at each **EU Grid Supply Point**, taking adequately into consideration the specific **System** characteristics, variable structure of power exchange, bidirectional flows and the **Reactive Power** capabilities of the **Network Operator's System**. Any proposed solutions shall take the above issues into account and shall be agreed as a reasonable requirement through joint assessment between the relevant **Network Operator** or **Non-Embedded Customer** and **The Company** in coordination with the **Relevant Transmission Licensee**. In the event of a shared site between a **GB Code User** and **EU Code User**, the requirements would generally be allocated to each **User** on the basis of their **Demand** in the case of a **Network Operator** who is a **GB Code User** and applied on the basis of the **Maximum Import Capability** or **Maximum Export Capability** as specified in ECC.6.4.5.1 in the case of a **Network Operator** who is an **EU Code User**.
- (c) **The Company** in coordination with the **Relevant Transmission Licensee** may specify the **Reactive Power** capability range at the **EU Grid Supply Point** in another form other than **Power Factor**.
- (d) Notwithstanding the ability of Network Operators or Non Embedded Customers to apply for a derogation from ECC.6.4.5.1 (e), where an EU Grid Supply Point is shared between a Power Generating Module and a Non-Embedded Customers System, the Reactive Power range would be apportioned to each EU Code User at their Connection Point.
- ECC.6.4.5.2 Where agreed with the Network Operator who is an EU Code User and justified though appropriate System studies, The Company may reasonably require the Network Operator not to export Reactive Power at the EU Grid Supply Point (at nominal voltage) at an Active Power flow of less than 25 % of the Maximum Import Capability. Where applicable, the Authority may require The Company in coordination with the Relevant Transmission Licensee to justify its request through a joint analysis with the relevant Network Operator and demonstrate that any such requirement is reasonable. If this requirement is not justified based on the joint analysis, The Company in coordination with the Relevant Transmission Licensee and the Network Operator shall agree on necessary requirements according to the outcomes of a joint analysis.
- ECC.6.4.5.3 Notwithstanding the requirements of ECC.6.4.5.1(b) and subject to agreement between **The Company** and the relevant **Network Operator** there may be a requirement to actively control the exchange of **Reactive** Power at the **EU Grid Supply Point** for the benefit of the **Total System**. **The Company** and the relevant **Network Operator** shall agree on a method to carry out this control, to ensure the justified level of security of supply for both parties. Any such solution including joint study work and timelines would be agreed between **The Company** and the relevant **Network Operator** as reasonable, efficient and proportionate.
- ECC.6.4.5.4 In accordance with ECC.6.4.5.3, the relevant **Network Operator** may require **The Company** to consider its **Network Operator's System** for **Reactive Power** management. Any such requirement would need to be agreed between **The Company** and the relevant **Network Operator** and justified by **The Company**.
- ECC.6.5 <u>Communications Plant</u>

- ECC.6.5.1 In order to ensure control of the National Electricity Transmission System, telecommunications between Users and The Company must (including in respect of any OTSDUW Plant and Apparatus at the OTSUA Transfer Time), if required by The Company, be established in accordance with the requirements set down below.
- ECC.6.5.2 Control Telephony and System Telephony
- ECC.6.5.2.1 Control Telephony is the principle method by which a User's Responsible Engineer/Operator and The Company's Control Engineers speak to one another for the purposes of control of the Total System in both normal and emergency operating conditions. Control Telephony provides secure point to point telephony for routine Control Calls, priority Control Calls and emergency Control Calls.
- ECC.6.5.2.2 System Telephony is an alternate method by which a User's Responsible Engineer/Operator and The Company's Control Engineers speak to one another for the purposes of control of the Total System in both normal operating conditions and where practicable, emergency operating conditions. System Telephony uses the Public Switched Telephony Network to provide telephony for Control Calls, inclusive of emergency Control Calls.
- ECC.6.5.2.3 Calls made and received over **Control Telephony** and **System Telephony** may be recorded and subsequently replayed for commercial and operational reasons.
- ECC.6.5.3 <u>Supervisory Tones</u>
- ECC.6.5.3.1 **Control Telephony** supervisory tones indicate to the calling and receiving parties dial, engaged, ringing, secondary engaged (signifying that priority may be exercised) and priority disconnect tones.
- ECC.6.5.3.2 **System Telephony** supervisory tones indicate to the calling and receiving parties dial, engaged and ringing tones.
- ECC.6.5.4 Obligations in respect of Control Telephony and System Telephony
- ECC.6.5.4.1 Where **The Company** requires **Control Telephony**, **Users** are required to use the **Control Telephony** with **The Company** in respect of all **Connection Points** with the **National Electricity Transmission System** and in respect of all **Embedded Large Power Stations** and **Embedded HVDC Systems**. **The Company** will have **Control Telephony** installed at the **User's Control Point** where the **User's** telephony equipment is not capable of providing the required facilities or is otherwise incompatible with the **Transmission Control Telephony**. Details of and relating to the **Control Telephony** required are contained in the **Bilateral Agreement**.
- ECC.6.5.4.2 Where in **The Company's** sole opinion the installation of **Control Telephony** is not practicable at a **User's Control Point(s)**, **The Company** shall specify in the **Bilateral Agreement** whether **System Telephony** is required. Where **System Telephony** is required by **The Company**, the **User** shall ensure that **System Telephony** is installed.
- ECC.6.5.4.3 Where **System Telephony** is installed, **Users** are required to use the **System Telephony** with **The Company** in respect of those **Control Point(s)** for which it has been installed. Details of and relating to the **System Telephony** required are contained in the **Bilateral Agreement**.
- ECC.6.5.4.4 Where **Control Telephony** or **System Telephony** is installed, routine testing of such facilities may be required by **The Company** (not normally more than once in any calendar month). The **User** and **The Company** shall use reasonable endeavours to agree a test programme and where **The Company** requests the assistance of the **User** in performing the agreed test programme the **User** shall provide such assistance.
- ECC.6.5.4.5 **Control Telephony** and **System Telephony** shall only be used for the purposes of operational voice communication between **The Company** and the relevant **User**.

- Control Telephony contains emergency calling functionality to be used for urgent ECC.6.5.4.6 operational communication only. Such functionality enables The Company and Users to utilise a priority call in the event of an emergency. The Company and Users shall only use such priority call functionality for urgent operational communications.
- ECC.6.5.5 Technical Requirements for Control Telephony and System Telephony
- ECC.6.5.5.1 Detailed information on the technical interfaces and support requirements for Control Telephony is provided in the Control Telephony Electrical Standard identified in the Annex to the General Conditions. Where additional information, or information in relation to Control Telephony applicable in Scotland, is requested by Users, this will be provided, where possible, by The Company.
- ECC.6.5.5.2 System Telephony shall consist of a dedicated Public Switched Telephone Network telephone line that shall be installed and configured by the relevant User. The Company shall provide a dedicated free phone number (UK only), for the purposes of receiving incoming calls to The Company, which Users shall utilise for System Telephony. System Telephony shall only be utilised by The Company's Control Engineer and the User's Responsible Engineer/Operator for the purposes of operational communications.

ECC.6.5.6 **Operational Metering**

- ECC.6.5.6.1 It is an essential requirement for **The Company** and **Network Operators** to have visibility of the real time output and status of indications of User's Plant and Apparatus so they can control the operation of the System.
- ECC.6.5.6.2 Type B, Type C and Type D Power Park Modules, HVDC Equipment, Network Operators and Non Embedded Customers are required to be capable of exchanging operational metering data with The Company and Relevant Transmission Licensees (as applicable) with time stamping. Time stamping would generally be to a sampling rate of 1 second or better unless otherwise specified by The Company in the Bilateral Agreement.
- ECC.6.5.6.3 The Company in coordination with the Relevant Transmission Licensee shall specify in the Bilateral Agreement the operational metering signals to be provided by the EU Generator, HVDC System Owner, Network Operator or Non-Embedded Customer. In the case of Network Operators and Non-Embedded Customers, detailed specifications relating to the operational metering standards at EU Grid Supply Points and the data required are published as Electrical Standards in the Annex to the General Conditions.
- ECC.6.5.6.4 (a) NGET shall provide system control and data acquisition (SCADA) outstation interface equipment., each EU Code User shall provide such voltage, current, Frequency, Active Power and Reactive Power measurement outputs and plant status indications and alarms to the **Transmission** SCADA outstation interface equipment as required by The Company in accordance with the terms of the Bilateral Agreement. In the case of OTSDUW, the User shall provide such SCADA outstation interface equipment and voltage, current, Frequency, Active Power and Reactive Power measurement outputs and plant status indications and alarms to the SCADA outstation interface equipment as required by The Company in accordance with the terms of the Bilateral Agreement.
 - (b) For the avoidance of doubt, for Active Power and Reactive Power measurements, circuit breaker and disconnector status indications from:
 - (i) CCGT Modules from Type B, Type C and Type D Power Generating Modules, the outputs and status indications must each be provided to The Company on an individual CCGT Unit basis. In addition, where identified in the Bilateral Agreement, Active Power and Reactive Power measurements from Unit Transformers and/or Station Transformers must be provided.
 - (ii) For Type B, Type C and Type D Power Park Modules the outputs and status indications must each be provided to The Company on an individual Power Park Module basis. In addition, where identified in the Bilateral Agreement, Active Power and Reactive Power measurements from station transformers must be FCC 5 April 2019

provided.

- (iii) In respect of OTSDUW Plant and Apparatus, the outputs and status indications must be provided to The Company for each piece of electrical equipment. In addition, where identified in the Bilateral Agreement, Active Power and Reactive Power measurements at the Interface Point must be provided.
- (c) For the avoidance of doubt, the requirements of ECC.6.5.6.4(a) in the case of a Cascade Hydro Scheme will be provided for each Generating Unit forming part of that Cascade Hydro Scheme. In the case of Embedded Generating Units forming part of a Cascade Hydro Scheme the data may be provided by means other than NGET SCADA outstation located at the Power Station, such as, with the agreement of the Network Operator in whose system such Embedded Generating Unit is located, from the Network Operator's SCADA system to NGET. Details of such arrangements will be contained in the relevant Bilateral Agreements between The Company and the Generator and the Network Operator.
- (d) In the case of a Power Park Module, additional energy input signals (e.g. wind speed, and wind direction) may be specified in the Bilateral Agreement. A Power Available signal will also be specified in the Bilateral Agreement. The signals would be used to establish the potential level of energy input from the Intermittent Power Source for monitoring pursuant to ECC.6.6.1 and Ancillary Services and will, in the case of a wind farm, be used to provide The Company with advanced warning of excess wind speed shutdown and to determine the level of Headroom available from Power Park Modules for the purposes of calculating response and reserve. For the avoidance of doubt, the Power Available signal would be automatically provided to The Company and represent the sum of the potential output of all available and operational Power Park Units within the Power Park Module. The refresh rate of the Power Available signal shall be specified in the Bilateral Agreement.
- ECC.6.5.6.5 In addition to the requirements of the **Balancing Codes**, each **HVDC Converter** unit of an **HVDC system** shall be equipped with an automatic controller capable of receiving instructions from **The Company**. This automatic controller shall be capable of operating the **HVDC Converter** units of the **HVDC System** in a coordinated way. **The Company** shall specify the automatic controller hierarchy per **HVDC Converter** unit.
- ECC.6.5.6.6 The automatic controller of the **HVDC System** referred to in paragraph ECC.6.5.6.5 shall be capable of sending the following signal types to **The Company** (where applicable) :
 - (a) operational metering signals, providing at least the following:
 - (i) start-up signals;
 - (ii) AC and DC voltage measurements;
 - (iii) AC and DC current measurements;
 - (iv) Active and Reactive Power measurements on the AC side;
 - (v) DC power measurements;
 - (vi) HVDC Converter unit level operation in a multi-pole type HVDC Converter;
 - (vii) elements and topology status; and
 - (viii) Frequency Sensitive Mode, Limited Frequency Sensitive Mode Overfrequency and Limited Frequency Sensitive Mode Underfrequency Active Power ranges (where applicable).
 - (b) alarm signals, providing at least the following:
 - (i) emergency blocking;
 - (ii) ramp blocking;
 - (iii) fast Active Power reversal (where applicable)

- ECC.6.5.6.7 The automatic controller referred to in ECC.6.5.6.5 shall be capable of receiving the following signal types from **The Company** (where applicable) :
 - (a) operational metering signals, receiving at least the following:
 - (i) start-up command;
 - (ii) Active Power setpoints;
 - (iii) Frequency Sensitive Mode settings;
 - (iv) Reactive Power, voltage or similar setpoints;
 - (v) Reactive Power control modes;
 - (vi) power oscillation damping control; and
 - (b) alarm signals, receiving at least the following:
 - (i) emergency blocking command;
 - (ii) ramp blocking command;
 - (iii) Active Power flow direction; and
 - (iv)) fast Active Power reversal command.
 - ECC.6.5.6.8 With regards to operational metering signals, the resolution and refresh rate required would be 1 second or better unless otherwise agreed with **The Company**

Instructor Facilities

ECC.6.5.7 The **User** shall accommodate **Instructor Facilities** provided by **The Company** for the receipt of operational messages relating to **System** conditions.

Electronic Data Communication Facilities

- ECC.6.5.8 (a) All **BM Participants** must ensure that appropriate electronic data communication facilities are in place to permit the submission of data, as required by the **Grid Code**, to **The Company**.
 - (b) In addition,
 - (1) any User that wishes to participate in the Balancing Mechanism;
 - or
 - (2) any BM Participant in respect of its BM Units at a Power Station and the BM Participant is required to provide all Part 1 System Ancillary Services in accordance with ECC.8.1 (unless The Company has otherwise agreed)

must ensure that appropriate automatic logging devices are installed at the **Control Points** of its **BM Units** to submit data to and to receive instructions from **The Company**, as required by the **Grid Code**. For the avoidance of doubt, in the case of an **Interconnector User** the **Control Point** will be at the **Control Centre** of the appropriate **Externally Interconnected System Operator**.

(c) Detailed specifications of these required electronic facilities will be provided by The Company on request and they are listed as Electrical Standards in the Annex to the General Conditions.

Facsimile Machines

- ECC.6.5.9 Each **User** and **The Company** shall provide a facsimile machine or machines:
 - (a) in the case of **Generators**, at the **Control Point** of each **Power Station** and at its **Trading Point**;

- (b) in the case of **The Company** and **Network Operators**, at the **Control Centre(s)**; and
- (c) in the case of **Non-Embedded Customers** and **HVDC Equipment** owners at the **Control Point**.

Each User shall notify, prior to connection to the **System** of the **User's Plant and Apparatus**, **The Company** of its or their telephone number or numbers, and will notify **The Company** of any changes. Prior to connection to the **System** of the **User's Plant** and **Apparatus The Company** shall notify each **User** of the telephone number or numbers of its facsimile machine or machines and will notify any changes.

ECC.6.5.10 Busbar Voltage

NGET shall, subject as provided below, provide each Generator or HVDC System Owner at each Grid Entry Point where one of its Power Stations or HVDC Systems is connected with appropriate voltage signals to enable the Generator or HVDC System owner to obtain the necessary information to permit its Power Generating Modules (including DC Connected Power Park Modules) or HVDC System to be Synchronised to the National Electricity Transmission System. The term "voltage signal" shall mean in this context, a point of connection on (or wire or wires from) a relevant part of Transmission Plant and/or Apparatus at the Grid Entry Point, to which the Generator or HVDC System Owner, with The Company's agreement (not to be unreasonably withheld) in relation to the Plant and/or Apparatus to be attached, will be able to attach its Plant and/or Apparatus (normally a wire or wires) in order to obtain measurement outputs in relation to the busbar.

ECC.6.5.11 Bilingual Message Facilities

- (a) A Bilingual Message Facility is the method by which the User's Responsible Engineer/Operator, the Externally Interconnected System Operator and The Company's Control Engineers communicate clear and unambiguous information in two languages for the purposes of control of the Total System in both normal and emergency operating conditions.
- (b) A Bilingual Message Facility, where required, will provide up to two hundred pre-defined messages with up to five hundred and sixty characters each. A maximum of one minute is allowed for the transmission to, and display of, the selected message at any destination. The standard messages must be capable of being displayed at any combination of locations and can originate from any of these locations. Messages displayed in the UK will be displayed in the English language.
- (c) Detailed information on a Bilingual Message Facility and suitable equipment required for individual **User** applications will be provided by **The Company** upon request.

ECC.6.6 Monitoring

ECC.6.6.1 System Monitoring

- ECC.6.6.1.1 Each **Type C** and **Type D Power Generating Module** including **DC Connected Power Park Modules** shall be equipped with a facility to provide fault recording and monitoring of dynamic system behaviour. These requirements are necessary to record conditions during **System** faults and detect poorly damped power oscillations. This facility shall record the following parameters:
 - voltage,
 - Active Power,
 - Reactive Power, and
 - Frequency.
- ECC.6.6.1.2 Detailed specifications for fault recording and dynamic system monitoring equipment including triggering criteria and sample rates are listed as **Electrical Standards** in the **Annex** to the **General Conditions**. For Dynamic System Monitoring, the specification for the communication protocol and recorded data shall also be included in the **Electrical Standard**.

- ECC.6.6.1.3 **The Company** in coordination with the **Relevant Transmission Licensee** shall specify any requirements for **Power Quality Monitoring** in the **Bilateral Agreement**. The power quality parameters to be monitored, the communication protocols for the recorded data and the time frames for compliance shall be agreed between **The Company**, the **Relevant Transmission Licensee** and **EU Generator**.
- ECC.6.6.1.4 **HVDC Systems** shall be equipped with a facility to provide fault recording and dynamic system behaviour monitoring of the following parameters for each of its **HVDC Converter Stations**:
 - (a) AC and DC voltage;
 - (b) AC and DC current;
 - (c) Active Power;
 - (d) Reactive Power; and
 - (e) Frequency.
- ECC.6.6.1.5 **The Company** in coordination with the **Relevant Transmission Licensee** may specify quality of supply parameters to be complied with by the **HVDC System**, provided a reasonable prior notice is given.
- ECC.6.6.1.6 The particulars of the fault recording equipment referred to in ECC.6.6.1.4, including analogue and digital channels, the settings, including triggering criteria and the sampling rates, shall be agreed between the HVDC System Owner and The Company in coordination with the Relevant Transmission Licensee.
- ECC.6.6.1.7 All dynamic system behaviour monitoring equipment shall include an oscillation trigger, specified by **The Company**, in coordination with the **Relevant Transmission Licensee**, with the purpose of detecting poorly damped power oscillations.
- ECC.6.6.1.8 The facilities for quality of supply and dynamic system behaviour monitoring shall include arrangements for the HVDC System Owner and The Company and/or Relevant Transmission Licensee to access the information electronically. The communications protocols for recorded data shall be agreed between the HVDC System Owner, The Company and the Relevant Transmission Licensee.
- ECC.6.6.2 Frequency Response Monitoring
- ECC.6.6.2.1 Each Type C and Type D Power Generating Module including DC Connected Power Park Modules shall be fitted with equipment capable of monitoring the real time Active Power output of a Power Generating Module when operating in Frequency Sensitive Mode.
- ECC.6.6.2.2

Detailed specifications of the Active Power Frequency response requirements including the communication requirements are listed as Electrical Standards in the Annex to the General Conditions.

- ECC.6.6.2.3 **The Company** in co-ordination with the **Relevant Transmission Licensee** shall specify additional signals to be provided by the **EU Generator** by monitoring and recording devices in order to verify the performance of the **Active Power Frequency** response provision of participating **Power Generating Modules**.
- ECC.6.6.3 <u>Compliance Monitoring</u>
- ECC.6.6.3.1 For all on site monitoring by **The Company** of witnessed tests pursuant to the **CP** or **OC5** or **ECP** the **User** shall provide suitable test signals as outlined in either OC5.A.1or **ECP.A.4** (as applicable).
- ECC.6.6.3.2 The signals which shall be provided by the **User** to **The Company** for onsite monitoring shall be of the following resolution, unless otherwise agreed by **The Company**:

- (i) 1 Hz for reactive range tests
- (ii) 10 Hz for frequency control tests
- (iii) 100 Hz for voltage control tests
- ECC.6.6.3.3 The **User** will provide all relevant signals for this purpose in the form of d.c. voltages within the range -10V to +10V. In exceptional circumstances some signals may be accepted as d.c. voltages within the range -60V to +60V with prior agreement between the **User** and **The Company**. All signals shall:
 - (i) in the case of an **Onshore Power Generating Module** or **Onshore HVDC Convertor Station**, be suitably terminated in a single accessible location at the **Generator** or **HVDC Converter Station** owner's site.
 - (ii) in the case of an Offshore Power Generating Module and OTSDUW Plant and Apparatus, be transmitted onshore without attenuation, delay or filtering which would result in the inability to fully demonstrate the objectives of the test, or identify any potential safety or plant instability issues, and be suitably terminated in a single robust location normally located at or near the onshore Interface Point of the Offshore Transmission System to which it is connected.
- ECC.6.6.3.4 All signals shall be suitably scaled across the range. The following scaling would (unless **The Company** notify the **User** otherwise) be acceptable to **The Company**:
 - (a) 0MW to Maximum Capacity or Interface Point Capacity 0-8V dc
 - (b) Maximum leading Reactive Power to maximum lagging Reactive Power -8 to 8V dc
 - (c) 48 52Hz as -8 to 8V dc
 - (d) Nominal terminal or connection point voltage -10% to +10% as -8 to 8V dc
- ECC.6.6.3.5 The **User** shall provide to **The Company** a 230V power supply adjacent to the signal terminal location.

ECC.7 SITE RELATED CONDITIONS

- ECC.7.1 Not used.
- ECC.7.2 <u>Responsibilities For Safety</u>
- ECC.7.2.1 Any User entering and working on its Plant and/or Apparatus (including, until the OTSUA Transfer Time, any OTSUA) on a Transmission Site will work to the Safety Rules of the Relevant Transmission Licensee, as advised by The Company.
- ECC.7.2.2 For User Sites, The Company shall procure that the Relevant Transmission Licensee entering and working on Transmission Plant and/or Apparatus on a User Site will work to the User's Safety Rules.
- ECC.7.2.3 A User may, with a minimum of six weeks notice, apply to NGET for permission to work according to that Users own Safety Rules when working on its Plant and/or Apparatus on a Transmission Site rather than those set out in ECC.7.2.1. If NGET is of the opinion that the User's Safety Rules provide for a level of safety commensurate with those set out in ECC.7.2.1, NGET will notify the User, in writing, that, with effect from the date requested by the User, the User may use its own Safety Rules when working on its Plant and/or Apparatus on the Transmission Site. For a Transmission Site, in forming its opinion, NGET will seek the opinion of the Relevant Transmission Licensee. Until receipt of such written approval from NGET, the User will continue to use the Safety Rules as set out in ECC.7.2.1.

- ECC.7.2.4 In the case of a User Site, The Company may, with a minimum of six weeks notice, apply to a User for permission for the Relevant Transmission Licensee to work according to the Relevant Transmission Licensee's Safety Rules when working on Transmission Plant and/or Apparatus on that User Site, rather than the User's Safety Rules. If the User is of the opinion that the Relevant Transmission Licensee's Safety Rules, provide for a level of safety commensurate with that of that User's Safety Rules, it will notify The Company, in writing, that, with effect from the date requested by The Company, that the Relevant Transmission Licensee may use its own Safety Rules when working on its Transmission Plant and/or Apparatus on that User's Site. Until receipt of such written approval from the User, The Company shall procure that the Relevant Transmission Licensee shall continue to use the User's Safety Rules.
- ECC.7.2.5 For a Transmission Site, if The Company gives its approval for the User's Safety Rules to apply to the User when working on its Plant and/or Apparatus, that does not imply that the User's Safety Rules will apply to entering the Transmission Site and access to the User's Plant and/or Apparatus on that Transmission Site. Bearing in mind the Relevant Transmission Licensee's responsibility for the whole Transmission Site, entry and access will always be in accordance with the Relevant Transmission Licensee's site access procedures. For a User Site, if the User gives its approval for Relevant Transmission Licensee Safety Rules to apply to the Relevant Transmission Licensee when working on its Plant and Apparatus, that does not imply that the Relevant Transmission Licensee's Safety Rules will apply to entering the User Site, and access to the Transmission Plant and Apparatus on that User Site. Bearing in mind the User's responsibility for the whole User Site, entry and access will always be in accordance with the User's site access procedures.
- ECC.7.2.6 For User Sites, Users shall notify The Company of any Safety Rules that apply to the Relevant Transmission Licensee's staff working on User Sites. The Company shall procure that the Relevant Transmission Licensee shall notify Users of any Safety Rules that apply to the User's staff working on the Transmission Site.
- ECC.7.2.7 Each **Site Responsibility Schedule** must have recorded on it the **Safety Rules** which apply to each item of **Plant** and/or **Apparatus**.
- ECC.7.2.8 In the case of **OTSUA** a **User Site** or **Transmission Site** shall, for the purposes of this ECC.7.2, include a site at which there is an **Interface Point** until the **OTSUA Transfer Time** when it becomes part of the **National Electricity Transmission System**.
- ECC.7.3 <u>Site Responsibility Schedules</u>
- ECC.7.3.1 In order to inform site operational staff and **The Company's Control Engineers** of agreed responsibilities for **Plant** and/or **Apparatus** at the operational interface, a **Site Responsibility Schedule** shall be produced for **Connection Sites** (and in the case of **OTSUA**, until the **OTSUA Transfer Time**, **Interface Sites**) for **The Company**, the **Relevant Transmission Licensee** and **Users** with whom they interface.
- ECC.7.3.2 The format, principles and basic procedure to be used in the preparation of **Site Responsibility Schedules** are set down in Appendix 1.
- ECC.7.4 Operation And Gas Zone Diagrams

Operation Diagrams

ECC.7.4.1 An **Operation Diagram** shall be prepared for each **Connection Site** at which a **Connection Point** exists (and in the case of **OTSDUW Plant and Apparatus**, by **User's** for each **Interface Point**) using, where appropriate, the graphical symbols shown in Part 1A of Appendix 2. **Users** should also note that the provisions of **OC11** apply in certain circumstances.

- ECC.7.4.2 The **Operation Diagram** shall include all **HV Apparatus** and the connections to all external circuits and incorporate numbering, nomenclature and labelling, as set out in **OC11**. At those **Connection Sites** (or in the case of **OTSDUW Plant and Apparatus**, **Interface Points**) where gas-insulated metal enclosed switchgear and/or other gas-insulated **HV Apparatus** is installed, those items must be depicted within an area delineated by a chain dotted line which intersects gas-zone boundaries. The nomenclature used shall conform with that used on the relevant **Connection Site** and circuit (and in the case of **OTSDUW Plant and Apparatus**, **Interface Point** and circuit). The **Operation Diagram** (and the list of technical details) is intended to provide an accurate record of the layout and circuit interconnections, ratings and numbering and nomenclature of **HV Apparatus** and related **Plant**.
- ECC.7.4.3 A non-exhaustive guide to the types of **HV Apparatus** to be shown in the **Operation Diagram** is shown in Part 2 of Appendix 2, together with certain basic principles to be followed unless equivalent principles are approved by **The Company**.

Gas Zone Diagrams

- ECC.7.4.4 A Gas Zone Diagram shall be prepared for each Connection Site at which a Connection Point (and in the case of OTSDUW Plant and Apparatus, by User's for an Interface Point) exists where gas-insulated switchgear and/or other gas-insulated HV Apparatus is utilised. They shall use, where appropriate, the graphical symbols shown in Part 1B of Appendix 2.
- ECC.7.4.5 The nomenclature used shall conform with that used in the relevant **Connection Site** and circuit (and in the case of **OTSDUW Plant and Apparatus**, relevant **Interface Point** and circuit).
- ECC.7.4.6 The basic principles set out in Part 2 of Appendix 2 shall be followed in the preparation of **Gas Zone Diagrams** unless equivalent principles are approved by **The Company**.

Preparation of Operation and Gas Zone Diagrams for Users' Sites and Transmission Interface Sites

- ECC.7.4.7 In the case of a User Site, the User shall prepare and submit to The Company, an Operation Diagram for all HV Apparatus on the User side of the Connection Point (and in the case of OTSDUW Plant and Apparatus, on what will be the Offshore Transmission side of the Connection Point and the Interface Point) and The Company shall provide the User with an Operation Diagram for all HV Apparatus on the Transmission side of the Connection Point (and in the case of OTSDUW Plant and Apparatus on the Transmission side of the Connection Point (and in the case of OTSDUW Plant and Apparatus on what will be the Onshore Transmission side of the Interface Point, in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement.
- ECC.7.4.8 The User will then prepare, produce and distribute, using the information submitted on the User's Operation Diagram and The Company's Operation Diagram, a composite Operation Diagram for the complete Connection Site (and in the case of OTSDUW Plant and Apparatus, Interface Point), also in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement.
- ECC.7.4.9 The provisions of ECC.7.4.7 and ECC.7.4.8 shall apply in relation to **Gas Zone Diagrams** where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is utilised.

Preparation of Operation and Gas Zone Diagrams for Transmission Sites

- ECC.7.4.10 In the case of an **Transmission Site**, the **User** shall prepare and submit to **The Company** an **Operation Diagram** for all **HV Apparatus** on the **User** side of the **Connection Point**, in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.
- ECC.7.4.11 **The Company** will then prepare, produce and distribute, using the information submitted on the **User's Operation Diagram**, a composite **Operation Diagram** for the complete **Connection Site**, also in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.

- ECC.7.4.12 The provisions of ECC.7.4.10 and ECC.7.4.11 shall apply in relation to **Gas Zone Diagrams** where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is utilised.
- ECC.7.4.13 Changes to Operation and Gas Zone Diagrams
- ECC.7.4.13.1 When **The Company** has decided that it wishes to install new **HV Apparatus** or it wishes to change the existing numbering or nomenclature of **Transmission HV Apparatus** at a **Transmission Site**, **The Company** will (unless it gives rise to a **Modification** under the **CUSC**, in which case the provisions of the **CUSC** as to the timing apply) one month prior to the installation or change, send to each such **User** a revised **Operation Diagram** of that **Transmission Site**, incorporating the new **Transmission HV Apparatus** to be installed and its numbering and nomenclature or the changes, as the case may be. **OC11** is also relevant to certain **Apparatus**.
- ECC.7.4.13.2 When a **User** has decided that it wishes to install new **HV Apparatus**, or it wishes to change the existing numbering or nomenclature of its **HV Apparatus** at its **User Site**, the **User** will (unless it gives rise to a **Modification** under the **CUSC**, in which case the provisions of the **CUSC** as to the timing apply) one month prior to the installation or change, send to **The Company** a revised **Operation Diagram** of that **User Site** incorporating the **EU Code User HV Apparatus** to be installed and its numbering and nomenclature or the changes as the case may be. **OC11** is also relevant to certain **Apparatus**.
- ECC.7.4.13.3 The provisions of ECC.7.4.13.1 and ECC.7.4.13.2 shall apply in relation to **Gas Zone Diagrams** where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is installed.

<u>Validity</u>

- ECC.7.4.14 (a) The composite Operation Diagram prepared by The Company or the User, as the case may be, will be the definitive Operation Diagram for all operational and planning activities associated with the Connection Site. If a dispute arises as to the accuracy of the composite Operation Diagram, a meeting shall be held at the Connection Site, as soon as reasonably practicable, between The Company and the User, to endeavour to resolve the matters in dispute.
 - (b) The composite Operation Diagram prepared by The Company or the User, as the case may be, will be the definitive Operation Diagram for all operational and planning activities associated with the Interface Point until the OTSUA Transfer Time. If a dispute arises as to the accuracy of the composite Operation Diagram prior to the OTSUA Transfer Time, a meeting shall be held at the Interface Point, as soon as reasonably practicable, between The Company and the User, to endeavour to resolve the matters in dispute.
 - (c) An equivalent rule shall apply for **Gas Zone Diagrams** where they exist for a **Connection Site**.
- ECC.7.4.15 In the case of **OTSUA**, a **User Site** and **Transmission Site** shall, for the purposes of this ECC.7.4, include a site at which there is an **Interface Point** until the **OTSUA Transfer Time** when it becomes part of the **National Electricity Transmission System** and references to **HV Apparatus** in this ECC.7.4 shall include references to **HV OTSUA**.
- ECC.7.5 <u>Site Common Drawings</u>
- ECC.7.5.1 Site Common Drawings will be prepared for each Connection Site (and in the case of OTSDUW, each Interface Point) and will include Connection Site (and in the case of OTSDUW, Interface Point) layout drawings, electrical layout drawings, common Protection/control drawings and common services drawings.

Preparation of Site Common Drawings for a User Site and Transmission Interface Site

- ECC.7.5.2 In the case of a User Site, The Company shall prepare and submit to the User, Site Common Drawings for the Transmission side of the Connection Point (and in the case of OTSDUW Plant and Apparatus, on what will be the Onshore Transmission side of the Interface Point,) and the User shall prepare and submit to The Company, Site Common Drawings for the User side of the Connection Point (and in the case of OTSDUW, on what will be the Offshore Transmission side of the Interface Point) in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement.
- ECC.7.5.3 The User will then prepare, produce and distribute, using the information submitted on the Transmission Site Common Drawings, Site Common Drawings for the complete Connection Site (and in the case of OTSDUW, Interface Point) in accordance with the timing requirements of the Bilateral Agreement and/or Construction Agreement.

Preparation of Site Common Drawings for a Transmission Site

- ECC.7.5.4 In the case of a **Transmission Site**, the **User** will prepare and submit to **The Company Site Common Drawings** for the **User** side of the **Connection Point** in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.
- ECC.7.5.5 **The Company** will then prepare, produce and distribute, using the information submitted in the **User's Site Common Drawings**, **Site Common Drawings** for the complete **Connection Site** in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.
- ECC.7.5.6 When a **User** becomes aware that it is necessary to change any aspect of the **Site Common Drawings** at a **Connection Site** (and in the case of **OTSDUW**, **Interface Point**) it will:
 - (a) if it is a User Site, as soon as reasonably practicable, prepare, produce and distribute revised Site Common Drawings for the complete Connection Site (and in the case of OTSDUW, Interface Point); and
 - (b) if it is a Transmission Site, as soon as reasonably practicable, prepare and submit to The Company revised Site Common Drawings for the User side of the Connection Point (and in the case of OTSDUW, Interface Point) and The Company will then, as soon as reasonably practicable, prepare, produce and distribute, using the information submitted in the User's Site Common Drawings, revised Site Common Drawings for the complete Connection Site (and in the case of OTSDUW, Interface Point).

In either case, if in the **User's** reasonable opinion the change can be dealt with by it notifying **The Company** in writing of the change and for each party to amend its copy of the **Site Common Drawings** (or where there is only one set, for the party holding that set to amend it), then it shall so notify and each party shall so amend. If the change gives rise to a **Modification** under the **CUSC**, the provisions of the **CUSC** as to timing will apply.

- ECC.7.5.7 When **The Company** becomes aware that it is necessary to change any aspect of the **Site Common Drawings** at a **Connection Site**(and in the case of **OTSDUW**, **Interface Point**) it will:
 - (a) if it is a Transmission Site, as soon as reasonably practicable, prepare, produce and distribute revised Site Common Drawings for the complete Connection Site (and in the case of OTSDUW, Interface Point); and
 - (b) if it is a User Site, as soon as reasonably practicable, prepare and submit to the User revised Site Common Drawings for the Transmission side of the Connection Point (in the case of OTSDUW, Interface Point) and the User will then, as soon as reasonably practicable, prepare, produce and distribute, using the information submitted in the Transmission Site Common Drawings, revised Site Common Drawings for the complete Connection Site (and in the case of OTSDUW, Interface Point).

In either case, if in **The Company's** reasonable opinion the change can be dealt with by it notifying the **User** in writing of the change and for each party to amend its copy of the **Site Common Drawings** (or where there is only one set, for the party holding that set to amend it), then it shall so notify and each party shall so amend. If the change gives rise to a **Modification** under the **CUSC**, the provisions of the **CUSC** as to timing will apply.

<u>Validity</u>

- ECC.7.5.8 (a) The Site Common Drawings for the complete Connection Site prepared by the User or The Company, as the case may be, will be the definitive Site Common Drawings for all operational and planning activities associated with the Connection Site. If a dispute arises as to the accuracy of the Site Common Drawings, a meeting shall be held at the Site, as soon as reasonably practicable, between The Company and the User, to endeavour to resolve the matters in dispute.
 - (b) The Site Common Drawing prepared by The Company or the User, as the case may be, will be the definitive Site Common Drawing for all operational and planning activities associated with the Interface Point until the OTSUA Transfer Time. If a dispute arises as to the accuracy of the composite Operation Diagram prior to the OTSUA Transfer Time, a meeting shall be held at the Interface Point, as soon as reasonably practicable, between The Company and the User, to endeavour to resolve the matters in dispute.
- ECC.7.5.9 In the case of **OTSUA**, a **User Site** and **Transmission Site** shall, for the purposes of this ECC.7.5, include a site at which there is an **Interface Point** until the **OTSUA Transfer Time** when it becomes part of the **National Electricity Transmission System**.
- ECC.7.6 Access
- ECC.7.6.1 The provisions relating to access to **Transmission Sites** by **Users**, and to **Users' Sites** by **Relevant Transmission Licensees**, are set out in each **Interface Agreement** (or in the case of **Interfaces Sites** prior to the **OTSUA Transfer Time** agreements in similar form) with, the **Relevant Transmission Licensee** and each **User**.
- ECC.7.6.2 In addition to those provisions, where a **Transmission Site** contains exposed **HV** conductors, unaccompanied access will only be granted to individuals holding an **Authority for Access** issued by the **Relevant Transmission Licensee**.
- ECC.7.6.3 The procedure for applying for an **Authority for Access** is contained in the **Interface Agreement**.
- ECC.7.7 <u>Maintenance Standards</u>
- ECC.7.7.1 It is the **User's** responsibility to ensure that all its **Plant** and **Apparatus** (including, until the **OTSUA Transfer Time**, any **OTSUA**) on a **Transmission Site** is tested and maintained adequately for the purpose for which it is intended, and to ensure that it does not pose a threat to the safety of any **Transmission Plant**, **Apparatus** or personnel on the **Transmission Site**. **The Company** will have the right to inspect the test results and maintenance records relating to such **Plant** and **Apparatus** at any time
- ECC.7.7.2 For User Sites, The Company shall procure that the Relevant Transmission Licensee has a responsibility to ensure that all Transmission Plant and Apparatus on a User Site is tested and maintained adequately for the purposes for which it is intended and to ensure that it does not pose a threat to the safety of any User's Plant, Apparatus or personnel on the User Site.

The **User** will have the right to inspect the test results and maintenance records relating to such **Plant** and **Apparatus** on its **User Site** at any time.

ECC.7.8 <u>Site Operational Procedures</u>

- ECC.7.8.1 Where there is an interface with **National Electricity Transmission System The Company** and **Users** must make available staff to take necessary **Safety Precautions** and carry out operational duties as may be required to enable work/testing to be carried out and for the operation of **Plant** and **Apparatus** (including, prior to the **OTSUA Transfer Time**, any **OTSUA**) connected to the **Total System**.
- ECC.7.9 Generators and HVDC System owners shall provide a Control Point in respect of each Power Station directly connected to the National Electricity Transmission System and Embedded Large Power Station or HVDC System to receive and act upon instructions pursuant to OC7 and BC2 at all times that Power Generating Modules at the Power Station are generating or available to generate or HVDC Systems are importing or exporting or available to do so. The Control Point shall be continuously manned except where the Bilateral Agreement in respect of such Embedded Power Station specifies that compliance with BC2 is not required, where the Control Point shall be manned between the hours of 0800 and 1800 each day.

ECC.8 <u>ANCILLARY SERVICES</u>

ECC.8.1 System Ancillary Services

The ECC contain requirements for the capability for certain Ancillary Services, which are needed for System reasons ("System Ancillary Services"). There follows a list of these System Ancillary Services, together with the paragraph number of the ECC (or other part of the Grid Code) in which the minimum capability is required or referred to. The list is divided into two categories: Part 1 lists the System Ancillary Services which

- (a) Generators in respect of Type C and Type D Power Generating Modules (including DC Connected Power Park Modules) are obliged to provide; and,
- (b) HVDC System Owners are obliged to have the capability to supply;
- (c) Generators in respect of Medium Power Stations (except Embedded Medium Power Stations) are obliged to provide in respect of Reactive Power only:

and Part 2 lists the **System Ancillary Services** which **Generators** will provide only if agreement to provide them is reached with **The Company**:

Part 1

- (a) **Reactive Power** supplied (in accordance with ECC.6.3.2)
- (b) **Frequency** Control by means of **Frequency** sensitive generation ECC.6.3.7 and BC3.5.1

<u>Part 2</u>

- (c) Frequency Control by means of Fast Start ECC.6.3.14
- (d) Black Start Capability ECC.6.3.5
- (e) System to Generator Operational Intertripping

ECC.8.2 Commercial Ancillary Services

Other Ancillary Services are also utilised by The Company in operating the Total System if these have been agreed to be provided by a User (or other person) under an Ancillary Services Agreement or under a Bilateral Agreement, with payment being dealt with under an Ancillary Services Agreement or in the case of Externally Interconnected System Operators or Interconnector Users, under any other agreement (and in the case of Externally Interconnected System Operators and Interconnector Users includes ancillary services equivalent to or similar to System Ancillary Services) ("Commercial Ancillary Services"). The capability for these Commercial Ancillary Services is set out in the relevant Ancillary Services Agreement or Bilateral Agreement (as the case may be).

APPENDIX E1 - SITE RESPONSIBILITY SCHEDULES

FORMAT, PRINCIPLES AND BASIC PROCEDURE TO BE USED IN THE PREPARATION OF SITE RESPONSIBILITY SCHEDULES

ECC.A.1.1 Principles

Types of Schedules

- ECC.A.1.1.1 At all **Complexes** (which in the context of this ECC shall include, **Interface Sites** until the **OTSUA Transfer Time**) the following **Site Responsibility Schedules** shall be drawn up using the relevant proforma attached or with such variations as may be agreed between **The Company** and **Users**, but in the absence of agreement the relevant proforma attached will be used. In addition, in the case of **OTSDUW Plant and Apparatus**, and in readiness for the **OTSUA Transfer Time**, the **User** shall provide **The Company** with the necessary information such that **Site Responsibility Schedules** in this form can be prepared by the **Relevant Transmission Licensees** for the **Transmission Interface Site**:
 - (a) Schedule of **HV Apparatus**
 - (b) Schedule of **Plant**, **LV/MV Apparatus**, services and supplies;
 - (c) Schedule of telecommunications and measurements Apparatus.

Other than at **Power Generating Module** (including **DC Connected Power Park Modules**) and **Power Station** locations, the schedules referred to in (b) and (c) may be combined.

New Connection Sites

ECC.A.1.1.2 In the case of a new Connection Site each Site Responsibility Schedule for a Connection Site shall be prepared by The Company in consultation with relevant Users at least 2 weeks prior to the Completion Date (or, where the OTSUA is to become Operational prior to the OTSUA Transfer Time, an alternative date) under the Bilateral Agreement and/or Construction Agreement for that Connection Site (which may form part of a Complex). In the case of a new Interface Site where the OTSUA is to become Operational prior to the OTSUA Transfer Time each Site Responsibility Schedule for an Interface Site shall be prepared by The Company in consultation with relevant Users at least 2 weeks prior to the Completion Date under the Bilateral Agreement and/or Construction Agreement for that Interface Site (which may form part of a Complex) (and references to and requirements placed on "Connection Site" in this ECC shall also be read as "Interface Site" where the context requires and until the OTSUA Transfer Time). Each User shall, in accordance with the timing requirements of the Bilateral Agreement and/or **Construction Agreement**, provide information to **The Company** to enable it to prepare the Site Responsibility Schedule.

Sub-division

ECC.A.1.1.3 Each **Site Responsibility Schedule** will be subdivided to take account of any separate **Connection Sites** on that **Complex**.

<u>Scope</u>

- ECC.A.1.1.4 Each Site Responsibility Schedule shall detail for each item of Plant and Apparatus:
 - (a) **Plant/Apparatus** ownership;
 - (b) Site Manager (Controller) (except in the case of **Plant/Apparatus** located in **SPT's Transmission Area**);
 - (c) Safety issues comprising applicable Safety Rules and Control Person or other responsible person (Safety Co-ordinator), or such other person who is responsible for safety;
 - (d) Operations issues comprising applicable **Operational Procedures** and control engineer;

(e) Responsibility to undertake statutory inspections, fault investigation and maintenance.

Each **Connection Point** shall be precisely shown.

<u>Detail</u>

- ECC.A.1.1.5 (a) In the case of **Site Responsibility Schedules** referred to in ECC.A.1.1.1(b) and (c), with the exception of **Protection Apparatus** and **Intertrip Apparatus** operation, it will be sufficient to indicate the responsible **User** or **Transmission Licensee**, as the case may be.
 - (b) In the case of the Site Responsibility Schedule referred to in ECC.A.1.1.1(a) and for Protection Apparatus and Intertrip Apparatus, the responsible management unit must be shown in addition to the User or Transmission Licensee, as the case may be.
- ECC.A.1.1.6 The **HV Apparatus Site Responsibility Schedule** for each **Connection Site** must include lines and cables emanating from or traversing¹ the **Connection Site**.

Issue Details

ECC.A.1.1.7 Every page of each **Site Responsibility Schedule** shall bear the date of issue and the issue number.

Accuracy Confirmation

- ECC.A.1.1.8 When a **Site Responsibility Schedule** is prepared it shall be sent by **The Company** to the **Users** involved for confirmation of its accuracy.
- ECC.A.1.1.9 The **Site Responsibility Schedule** shall then be signed on behalf of **The Company** by its **Responsible Manager** (see ECC.A.1.1.16) and on behalf of each **User** involved by its **Responsible Manager** (see ECC.A.1.1.16), by way of written confirmation of its accuracy. The **Site Responsibility Schedule** will also be signed on behalf of the **Relevant Transmission Licensee** by its **Responsible Manager**.

Distribution and Availability

- ECC.A.1.1.10 Once signed, two copies will be distributed by **The Company**, not less than two weeks prior to its implementation date, to each **User** which is a party on the **Site Responsibility Schedule**, accompanied by a note indicating the issue number and the date of implementation.
- ECC.A.1.1.11 **The Company** and **Users** must make the **Site Responsibility Schedules** readily available to operational staff at the **Complex** and at the other relevant control points.

Alterations to Existing Site Responsibility Schedules

- ECC.A 1.1.12 Without prejudice to the provisions of ECC.A.1.1.15 which deals with urgent changes, when a **User** identified on a **Site Responsibility Schedule** becomes aware that an alteration is necessary, it must inform **The Company** immediately and in any event 8 weeks prior to any change taking effect (or as soon as possible after becoming aware of it, if less than 8 weeks remain when the **User** becomes aware of the change). This will cover the commissioning of new **Plant** and/or **Apparatus** at the **Connection Site**, whether requiring a revised **Bilateral Agreement** or not, de-commissioning of **Plant** and/or **Apparatus**, and other changes which affect the accuracy of the **Site Responsibility Schedule**.
- ECC.A 1.1.13 Where **The Company** has been informed of a change by a **User**, or itself proposes a change, it will prepare a revised **Site Responsibility Schedule** by not less than six weeks prior to the change taking effect (subject to it having been informed or knowing of the change eight weeks prior to that time) and the procedure set out in ECC.A.1.1.8 shall be followed with regard to the revised **Site Responsibility Schedule**.

¹ Details of circuits traversing the Connection Site are only needed from the date which is the earlier of the date when the Site Responsibility Schedule is first updated and 15th October 2004. In Scotland or Offshore, from a date to be agreed between The Company and the Relevant Transmission Licensee.

ECC.A 1.1.14 The revised **Site Responsibility Schedule** shall then be signed in accordance with the procedure set out in ECC.A.1.1.9 and distributed in accordance with the procedure set out in ECC.A.1.1.10, accompanied by a note indicating where the alteration(s) has/have been made, the new issue number and the date of implementation.

Urgent Changes

- ECC.A.1.1.15 When a **User** identified on a **Site Responsibility Schedule**, or **The Company**, as the case may be, becomes aware that an alteration to the **Site Responsibility Schedule** is necessary urgently to reflect, for example, an emergency situation which has arisen outside its control, the **User** shall notify **The Company**, or **The Company** shall notify the **User**, as the case may be, immediately and will discuss:
 - (a) what change is necessary to the Site Responsibility Schedule;
 - (b) whether the **Site Responsibility Schedule** is to be modified temporarily or permanently;
 - (c) the distribution of the revised **Site Responsibility Schedule**.

The Company will prepare a revised Site Responsibility Schedule as soon as possible, and in any event within seven days of it being informed of or knowing the necessary alteration. The Site Responsibility Schedule will be confirmed by Users and signed on behalf of The Company and Users and the Relevant Transmission Licensee (by the persons referred to in ECC.A.1.1.9) as soon as possible after it has been prepared and sent to Users for confirmation.

Responsible Managers

ECC.A.1.1.16 Each User shall, prior to the Completion Date under each Bilateral Agreement and/or Construction Agreement, supply to The Company a list of Managers who have been duly authorised to sign Site Responsibility Schedules on behalf of the User and The Company shall, prior to the Completion Date under each Bilateral Agreement and/or Construction Agreement, supply to that User the name of its Responsible Manager and the name of the Relevant Transmission Licensee's Responsible Manager and each shall supply to the other any changes to such list six weeks before the change takes effect where the change is anticipated, and as soon as possible after the change, where the change was not anticipated.

De-commissioning of Connection Sites

ECC.A.1.1.17 Where a **Connection Site** is to be de-commissioned, whichever of **The Company** or the **User** who is initiating the de-commissioning must contact the other to arrange for the **Site Responsibility Schedule** to be amended at the relevant time.

PROFORMA FOR SITE RESPONSIBILITY SCHEDULE

AREA

COMPLEX:

SCHEDULE:

CONNECTION SITE:

			S	AFETY	OPERA	TIONS	PARTY	
ITEM OF PLANT/ APPAR ATUS	PLANT APPAR ATUS OWNE R	SITE MANA GER	SAF ETY RUL ES	CONTRO L OR OTHER RESPON SIBLE PERSON (SAFETY CO- ORDINAT OR	OPERATI ONAL PROCED URES	CONTRO L OR OTHER RESPON SIBLE ENGINEE R	RESPON SIBLE FOR UNDERT AKING STATUT ORY INSPECTI ONS, FAULT INVESTI GATION & MAINTEN ANCE	REMARK S

PAGE:	ISSUE N	I O:	DATE:	

PROFORMA FOR SITE RESPONSIBILITY SCHEDULE

AREA

COMPLEX:

SCHEDULE:

CONNECTION SITE:

			S	AFETY	OPERA	TIONS	PARTY	
ITEM OF PLANT/ APPAR ATUS	PLANT APPAR ATUS OWNE R	SITE MANA GER	SAF ETY RUL ES	CONTRO L OR OTHER RESPON SIBLE PERSON (SAFETY CO- ORDINAT OR	OPERATI ONAL PROCED URES	CONTRO L OR OTHER RESPON SIBLE ENGINEE R	RESPON SIBLE FOR UNDERT AKING STATUT ORY INSPECTI ONS, FAULT INVESTI GATION & MAINTEN ANCE	REMARK S

NOTES:

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SECTION 'A' BUILDING AND SITE OWNER LESSEE MAINTENANCE				-	Network Area:	Area:							Revision:	
OWNER LESSEE MAINTENANCE								SEC	B. NOIL	SECTION 'B' CUSTOMER OR OTHER PARTY	MER OF	R OTHER	PARTY	
SEE	ACCESS	ACCESS REQUIRED:-						NAME:-	AE-					
TENDARCE														
NICINUM CE	SPECIAL	SPECIAL CONDITIONS						ADI	ADDRESS:-					
SAFETY								TEL	TELNO-					
SECURITY	LOCATION OF TERMINALS:-	LOCATION OF SUPPLY TERMINALS						SUE	SUB STATION:-	4				
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SECTION 'C' PLANT						ł		ł			_			
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		REMARKS												
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SPT - SP TRANSMISSION LTD				-	l	l	l	1	5	Number of the second se		2		
ST - SCOTTISH POWER TELECOMMUNICATIONS														

Scottish Hydro-Electric Transmission Limited

Site Responsibility Schedule

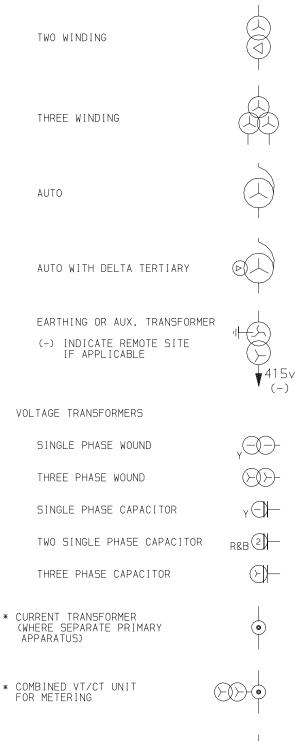
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Revision:	Operational Procedures					
Re	Safety Rules					
_	Control Authority					
	Responsible Management Unit					
Number:	Responsible System User					
_	Maintainer					
	Controller					
	Оwner					
Substation Type	Equipment					

APPENDIX E2 - OPERATION DIAGRAMS

PART 1A - PROCEDURES RELATING TO OPERATION DIAGRAMS

FIXED CAPACITOR	\pm	SWITCH DISCONNECTOR	 メ
EARTH	Ļ		
EARTHING RESISTOR	ı⊬\\\	SWITCH DISCONNECTOR	
LIQUID EARTHING RESISTOR	++ 	DISCONNECTOR (CENTRE ROTATING POST)	
ARC SUPPRESSION COIL			I
FIXED MAINTENANCE EARTHING DEV	ICE I	DISCONNECTOR (SINGLE BREAK DOUBLE ROTATING)	\langle
CARRIER COUPLING EQUIPMENT (WITHOUT VT)	R&Y	DISCONNECTOR (SINGLE BREAK)	
CARRIER COUPLING EQUIPMENT (WITH VT ON ONE PHASE)	Y CH REY	DISCONNECTOR (NON-INTERLOCKED)	 N I
CARRIER COUPLING EQUIPMENT (WITH VT ON 3 PHASES)	R&Y	DISCONNECTOR (POWER OPERATED) NA - NON-AUTOMATIC A - AUTOMATIC SO - SEQUENTIAL OPERATION FI - FAULT INTERFERING OPERATIC	
AC GENERATOR	G	EARTH SWITCH	●
SYNCHRONOUS COMPENSATOR	SC		-
CIRCUIT BREAKER		FAULT THROWING SWITCH (PHASE TO PHASE)	
CIRCUIT BREAKER WITH DELAYED AUTO RECLOSE		FAULT THROWING SWITCH (EARTH FAULT)	
	I	SURGE ARRESTOR	-
WITHDRAWABLE METALCLAD SWITCHGEAR		THYRISTOR	×

TRANSFORM	1ERS	5
(VECTORS	ΤO	INDICATE
WINDING	CON	IFIGURATION)



* OTHER PRIMARY CONNECTIONS
* CABLE & CABLE SEALING END
* THROUGH WALL BUSHING
* BYPASS FACILITY
* CROSSING OF CONDUCTORS (LOWER CONDUCTOR TO BE BROKEN)

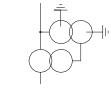
* BUSBARS

PREFERENTIAL ABBREVIATIONS

AUXILIARY TRANSFORMER	Aux T
EARTHING TRANSFORMER	ΕT
GAS TURBINE	Gas T
GENERATOR TRANSFORMER	Gen T
GRID TRANSFORMER	Gr T
SERIES REACTOR	Ser Reac
SHUNT REACTOR	Sh Reac
STATION TRANSFORMER	Stn T
SUPERGRID TRANSFORMER	SGT
UNIT TRANSFORMER	UT

* NON-STANDARD SYMBOL

PORTABLE MAINTENANCE — (O—II) DISCONNECTOR EARTH DEVICE (PANTOGRAPH TYPE)



DISCONNECTOR (KNEE TYPE)

SHORTING/DISCHARGE SWITCH

QUADRATURE BOOSTER



	- -●●●	<
SINGLE PHASE TRANSFORMER(BR NEUTRAL AND PHASE CONNECTIO		$\times - $

RESISTOR WITH INHERENT NON-LINEAR VARIABILITY, VOLTAGE DEPENDANT

PART E1B - PROCEDURES RELATING TO GAS ZONE DIAGRAMS

GAS INSULATEDBUSBAR	DOUBLE-BREAK DISCONNECTOR	
GAS BOUNDARY	EXTERNAL MOUNTED CURRENT TRANSFORMER (WHERE SEPARATE PRIMARY APPARATUS)	
GAS/GAS BOUNDARY	STOP VALVE Normally closed	
GAS/CABLE BOUNDARY 🔶	STOP VALVE NORMALLY OPEN	\bowtie
GAS/AIR BOUNDARY	GAS MONITOR	
GAS/TRANSFORMER BOUNDARY 🔶	FILTER	
MAINTENANCE VALVE	QUICK ACTING COUPLIN	IG Orto

PART E2 - NON-EXHAUSTIVE LIST OF APPARATUS TO BE INCLUDED ON OPERATION DIAGRAMS

Basic Principles

- (1) Where practicable, all the HV Apparatus on any Connection Site shall be shown on one Operation Diagram. Provided the clarity of the diagram is not impaired, the layout shall represent as closely as possible the geographical arrangement on the Connection Site.
- (2) Where more than one **Operation Diagram** is unavoidable, duplication of identical information on more than one **Operation Diagram** must be avoided.
- (3) The Operation Diagram must show accurately the current status of the Apparatus e.g. whether commissioned or decommissioned. Where decommissioned, the associated switchbay will be labelled "spare bay".
- (4) Provision will be made on the **Operation Diagram** for signifying approvals, together with provision for details of revisions and dates.
- (5) **Operation Diagrams** will be prepared in A4 format or such other format as may be agreed with **The Company**.
- (6) The **Operation Diagram** should normally be drawn single line. However, where appropriate, detail which applies to individual phases shall be shown. For example, some **HV Apparatus** is numbered individually per phase.

Apparatus To Be Shown On Operation Diagram

- (1) Busbars
- (2) Circuit Breakers
- (3) Disconnector (Isolator) and Switch Disconnecters (Switching Isolators)
- (4) Disconnectors (Isolators) Automatic Facilities
- (5) Bypass Facilities
- (6) Earthing Switches
- (7) Maintenance Earths
- (8) Overhead Line Entries
- (9) Overhead Line Traps
- (10) Cable and Cable Sealing Ends
- (11) Generating Unit
- (12) Generator Transformers
- (13) Generating Unit Transformers, Station Transformers, including the lower voltage circuitbreakers.
- (14) Synchronous Compensators
- (15) Static Variable Compensators
- (16) Capacitors (including Harmonic Filters)
- (17) Series or Shunt Reactors (Referred to as "Inductors" at nuclear power station sites)
- (18) Supergrid and Grid Transformers
- (19) Tertiary Windings
- (20) Earthing and Auxiliary Transformers
- (21) Three Phase VT's

(22)	Single Phase VT & Phase Identity
(23)	High Accuracy VT and Phase Identity
(24)	Surge Arrestors/Diverters
(25)	Neutral Earthing Arrangements on HV Plant
(26)	Fault Throwing Devices
(27)	Quadrature Boosters
(28)	Arc Suppression Coils
(29)	Single Phase Transformers (BR) Neutral and Phase Connections
(30)	Current Transformers (where separate plant items)
(31)	Wall Bushings
(32)	Combined VT/CT Units
(33)	Shorting and Discharge Switches
(34)	Thyristor
(35)	Resistor with Inherent Non-Linear Variability, Voltage Dependent
(36)	Gas Zone

APPENDIX E3 - MINIMUM FREQUENCY RESPONSE CAPABILITY REQUIREMENT PROFILE AND OPERATING RANGE FOR POWER GENERATING MODULES AND HVDC EQUIPMENT

ECC.A.3.1 <u>Scope</u>

The frequency response capability is defined in terms of **Primary Response**, **Secondary Response** and **High Frequency Response**. In addition to the requirements defined in ECC.6.3.7 this appendix defines the minimum frequency response requirements for:-

- (a) each Type C and Type D Power Generating Module
- (b) each **DC Connected Power Park Module**
- (c) each HVDC System

For the avoidance of doubt, this appendix does not apply to **Type A** and **Type B Power Generating Modules**.

OTSDUW Plant and Apparatus should facilitate the delivery of frequency response services provided by **Offshore Generating Units** and **Offshore Power Park Units**.

The functional definition provides appropriate performance criteria relating to the provision of **Frequency** control by means of **Frequency** sensitive generation in addition to the other requirements identified in ECC.6.3.7.

In this Appendix 3 to the ECC, for a **Power Generating Module** including a **CCGT Module** or a **Power Park Module** or **DC Connected Power Park Module**, the phrase **Minimum Regulating Level** applies to the entire **CCGT Module** or **Power Park Module** or **DC Connected Power Park Module** operating with all **Generating Units Synchronised** to the **System**.

The minimum **Frequency** response requirement profile is shown diagrammatically in Figure ECC.A.3.1. The capability profile specifies the minimum required level of **Frequency Response** Capability throughout the normal plant operating range.

ECC.A.3.2 Plant Operating Range

The upper limit of the operating range is the **Maximum Capacity** of the **Power Generating Module** or **Generating Unit** or **CCGT Module** or **HVDC Equipment**.

The Minimum Stable Operating Level may be less than, but must not be more than, 65% of the Maximum Capacity. Each Power Generating Module and/or Generating Unit and/or CCGT Module and/or Power Park Module or HVDC Equipment must be capable of operating satisfactorily down to the Minimum Regulating Level as dictated by System operating conditions, although it will not be instructed to below its Minimum Stable Operating Level . If a Power Generating Module or Generating Unit or CCGT Module or Power Park Module, or HVDC Equipment is operating below Minimum Stable Operating Level because of high System Frequency, it should recover adequately to its Minimum Stable Operating Level as the System Frequency returns to Target Frequency so that it can provide Primary and Secondary Response from its Minimum Stable Operating Level if the System Frequency continues to fall. For the avoidance of doubt, under normal operating conditions steady state operation below the Minimum Stable Operating Level is not expected. The Minimum Regulating Level must not be more than 55% of Maximum Capacity.

In the event of a **Power Generating Module** or **Generating Unit** or **CCGT Module** or **Power Park Module** or **HVDC Equipment** load rejecting down to no less than its **Minimum Regulating Level** it should not trip as a result of automatic action as detailed in BC3.7. If the load rejection is to a level less than the **Minimum Regulating Level** then it is accepted that the condition might be so severe as to cause it to be disconnected from the **System**.

ECC.A.3.3 <u>Minimum Frequency Response Requirement Profile</u>

Figure ECC.A.3.1 shows the minimum **Frequency** response capability requirement profile diagrammatically for a 0.5 Hz change in **Frequency**. The percentage response capabilities and loading levels are defined on the basis of the **Maximum Capacity** of the **Power Generating Module** or **CCGT Module** or **Power Park Module** or **HVDC Equipment**. Each **Power Generating Module** or and/or **CCGT Module** or **Power Park Module** (including a **DC Connected Power Park Module**) and/or **HVDC Equipment** must be capable of operating in a manner to provide **Frequency** response at least to the solid boundaries shown in the figure. If the **Frequency** response capability falls within the solid boundaries, the **Power Generating Module** or **CCGT Module** or **Power Park Module** or **HVDC Equipment** is providing response below the minimum requirement which is not acceptable. Nothing in this appendix is intended to prevent a **Power Generating Module** or **CCGT Module** or **HVDC Equipment** from being designed to deliver a **Frequency** response in excess of the identified minimum requirement.

The **Frequency** response delivered for **Frequency** deviations of less than 0.5 Hz should be no less than a figure which is directly proportional to the minimum **Frequency** response requirement for a **Frequency** deviation of 0.5 Hz. For example, if the **Frequency** deviation is 0.2 Hz, the corresponding minimum **Frequency** response requirement is 40% of the level shown in Figure ECC.A.3.1. The **Frequency** response delivered for **Frequency** deviations of more than 0.5 Hz should be no less than the response delivered for a **Frequency** deviation of 0.5 Hz.

Each **Power Generating Module** and/or **CCGT Module** and/or **Power Park Module** or **HVDC Equipment** must be capable of providing some response, in keeping with its specific operational characteristics, when operating between 95% to 100% of **Maximum Capacity** as illustrated by the dotted lines in Figure ECC.A.3.1.

At the **Minimum Stable Operating** level, each **Power Generating Module** and/or **CCGT Module** and/or **Power Park Module** and/or HV**DC Equipment** is required to provide high and low frequency response depending on the **System Frequency** conditions. Where the **Frequency** is high, the **Active Power** output is therefore expected to fall below the **Minimum Stable Operating** level.

The Minimum Regulating Level is the output at which a Power Generating Module and/or CCGT Module and/or Power Park Module and/or HVDC Equipment has no High Frequency Response capability. It may be less than, but must not be more than, 55% of the Maximum Capacity. This implies that a Power Generating Module or CCGT Module or Power Park Module) or HVDC Equipment is not obliged to reduce its output to below this level unless the Frequency is at or above 50.5 Hz (cf BC3.7).

ECC.A.3.4 <u>Testing of Frequency Response Capability</u>

The frequency response capabilities shown diagrammatically in Figure ECC.A.3.1 are measured by taking the responses as obtained from some of the dynamic step response tests specified by **The Company** and carried out by **Generators** and HV**DC System** owners for compliance purposes. The injected signal is a step of 0.5Hz from zero to 0.5 Hz **Frequency** change, and is sustained at 0.5 Hz **Frequency** change thereafter, the latter as illustrated diagrammatically in figures ECC.A.3.4 and ECC.A.3.5.

In addition to provide and/or to validate the content of **Ancillary Services Agreements** a progressive injection of a **Frequency** change to the plant control system (i.e. governor and load controller) is used. The injected signal is a ramp of 0.5Hz from zero to 0.5 Hz **Frequency** change over a ten second period, and is sustained at 0.5 Hz **Frequency** change thereafter, the latter as illustrated diagrammatically in figures ECC.A.3.2 and ECC.A.3.3. In the case of an **Embedded Medium Power Station** not subject to a **Bilateral Agreement** or **Embedded HVDC System** not subject to a **Bilateral Agreement**, **The Company** may require the **Network Operator** within whose System the **Embedded Medium Power Station** or **Embedded HVDC System** is situated, to ensure that the **Embedded Person** performs the dynamic response tests reasonably required by **The Company** in order to demonstrate compliance within the relevant requirements in the **ECC**.

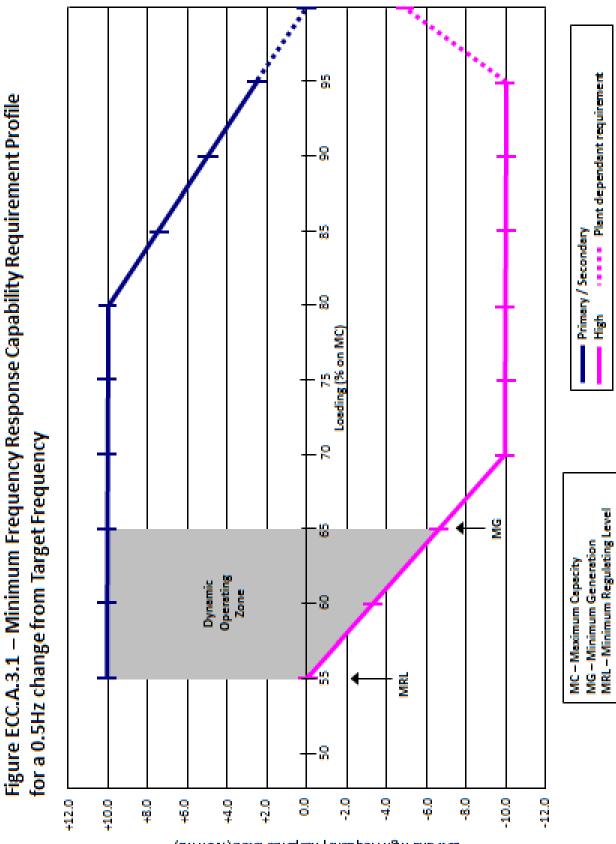
The **Primary Response** capability (P) of a **Power Generating Module** or a **CCGT Module** or **Power Park Module** or **HVDC Equipment** is the minimum increase in **Active Power** output between 10 and 30 seconds after the start of the ramp injection as illustrated diagrammatically in Figure ECC.A.3.2. This increase in **Active Power** output should be released increasingly with time over the period 0 to 10 seconds from the time of the start of the **Frequency** fall as illustrated by the response from Figure ECC.A.3.2.

The Secondary Response capability (S) of a Power Generating Module or a CCGT Module or Power Park Module or HVDC Equipment is the minimum increase in Active Power output between 30 seconds and 30 minutes after the start of the ramp injection as illustrated diagrammatically in Figure ECC.A.3.2.

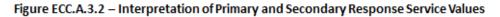
The **High Frequency Response** capability (H) of a **Power Generating Module** or a **CCGT Module** or **Power Park Module** or **HVDC Equipment** is the decrease in **Active Power** output provided 10 seconds after the start of the ramp injection and sustained thereafter as illustrated diagrammatically in Figure ECC.A.3.3. This reduction in **Active Power** output should be released increasingly with time over the period 0 to 10 seconds from the time of the start of the **Frequency** rise as illustrated by the response in Figure ECC.A.3.2.

ECC.A.3.5 Repeatability Of Response

When a **Power Generating Module** or **CCGT Module** or **Power Park Module** or **HVDC Equipment** has responded to a significant **Frequency** disturbance, its response capability must be fully restored as soon as technically possible. Full response capability should be restored no later than 20 minutes after the initial change of **System Frequency** arising from the **Frequency** disturbance. Figure ECC.A.3.1 - Minimum Frequency Response requirement profile for a 0.5 Hz frequency change from Target Frequency



(JVino %) alwal sanaq safiyonu parihidah bina wol



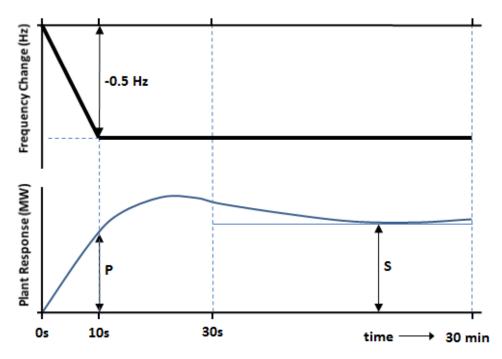
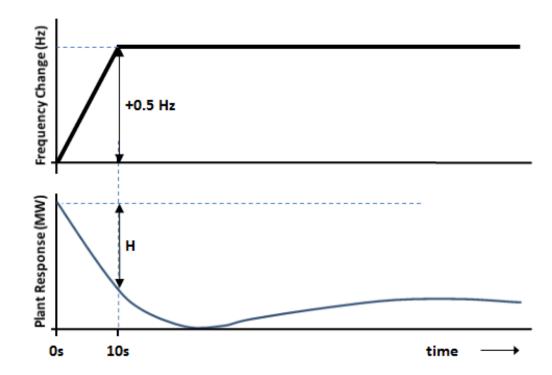


Figure ECC.A.3.3 – Interpretation of High Frequency Response Service Values





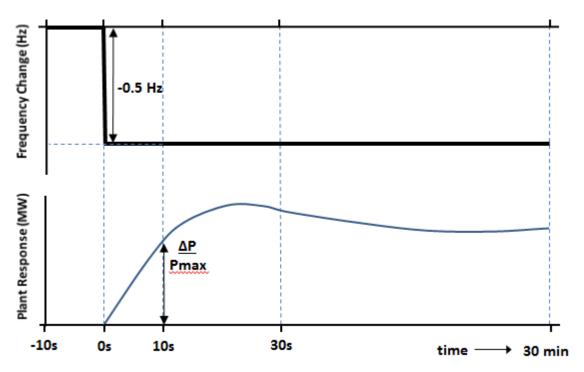
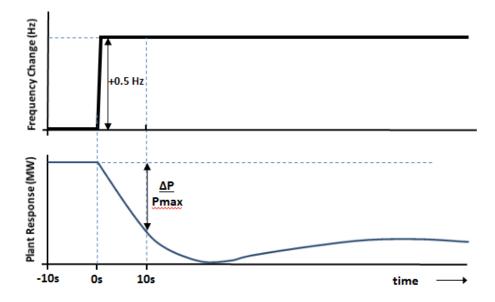


Figure ECC.A.3.5 – Interpretation of High Frequency Response Capability Values



ECC.4 - APPENDIX 4 - FAULT RIDE THROUGH REQUIREMENTS

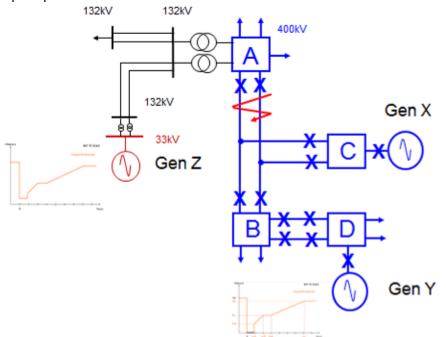
FAULT RIDE THROUGH REQUIREMENTS FOR TYPE B, TYPE C AND TYPE D POWER GENERATING MODULES (INCLUDING OFFSHORE POWER PARK MODULES WHICH ARE EITHER AC CONNECTED POWER PARK MODULES OR DC CONNECTED POWER PARK MODULES), HVDC SYSTEMS AND OTSDUW PLANT AND APPARATUS

ECC.A.4A.1 Scope

The **Fault Ride Through** requirements are defined in ECC.6.3.15. This Appendix provides illustrations by way of examples only of ECC.6.3.15.1 to ECC.6.3.15.10 and further background and illustrations and is not intended to show all possible permutations.

ECC.A.4A.2 Short Circuit Faults At Supergrid Voltage On The Onshore Transmission System Up To 140ms In Duration

For short circuit faults at **Supergrid Voltage** on the **Onshore Transmission System** (which could be at an **Interface Point**) up to 140ms in duration, the **Fault Ride Through** requirement is defined in ECC.6.3.15. In summary any **Power Generating Module** (including a **DC Connected Power Park Module**) or **HVDC System** is required to remain connected and stable whilst connected to a healthy circuit. Figure ECC.A.4.A.2 illustrates this principle.



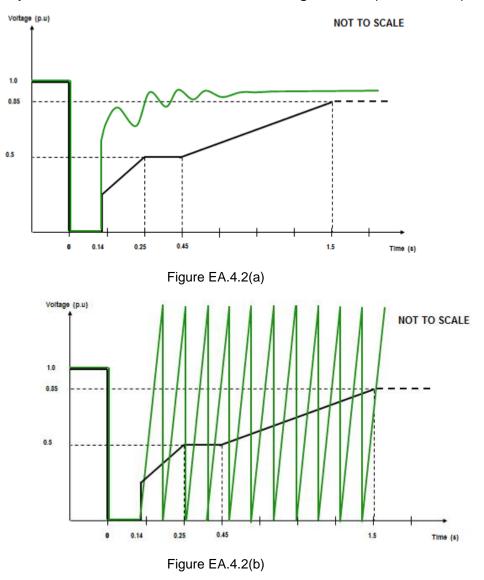


In Figure ECC.A.4.A.2 a solid three phase short circuit fault is applied adjacent to substation A resulting in zero voltage at the point of fault. All circuit breakers on the faulty circuit (Lines ABC) will open within 140ms resulting in Gen X tripping. The effect of this fault, due to the low impedance of the network, will be the observation of a low voltage at each substation node across the **Total System** until the fault has been cleared. In this example, Gen Y and Gen Z (an Embedded Generator) would need to remain connected and stable as both are still connected to the **Total System** and remain connected to healthy circuits .

The criteria for assessment is based on a voltage against time curve at each **Grid Entry Point** or **User System Entry Point**. The voltage against time curve at the **Grid Entry Point** or **User System Entry Point** varies for each different type and size of **Power Generating Module** as detailed in ECC.6.3.15.2. – ECC.6.3.15.7. The voltage against time curve represents the voltage profile at a **Grid Entry Point or User System Entry Point** that would be obtained by plotting the voltage at that **Grid Entry Point** or **User System Entry Point** before during and after the fault. This is not to be confused with a voltage duration curve (as defined under ECC.6.3.15.9) which represents a voltage level and associated time duration.

The post fault voltage at a **Grid Entry Point** or **User System Entry Point** is largely influenced by the topology of the network rather than the behaviour of the **Power Generating Module** itself. The **EU Generator** therefore needs to ensure each **Power Generating Module** remains connected and stable for a close up solid three phase short circuit fault for 140ms at the **Grid Entry Point** or **User System Entry Point**.

Two examples are shown in Figure EA.4.2(a) and Figure EA4.2(b). In Figure EA.4.2(a) the post fault profile is above the heavy black line. In this case the **Power Generating Module** must remain connected and stable. In Figure EA4.2(b) the post fault voltage dips below the heavy black line in which case the **Power Generating Module** is permitted to trip.



The process for demonstrating **Fault Ride Through** compliance against the requirements of ECC.6.3.15 is detailed in ECP.A.3.5 and ECP.A.6.7 (as applicable).

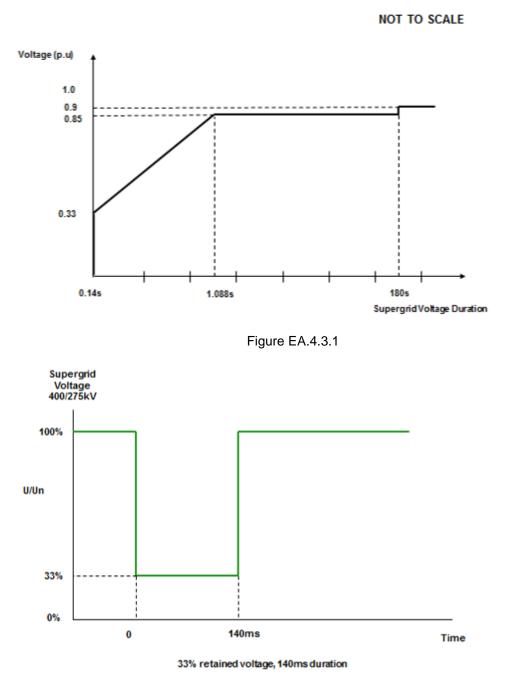
ECC.A.4A.3 Supergrid Voltage Dips On The Onshore Transmission System Greater Than 140ms In Duration

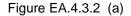
ECC.A.4A3.1 Requirements applicable to **Synchronous Power Generating Modules** subject to **Supergrid Voltage** dips on the **Onshore Transmission System** greater than 140ms in duration.

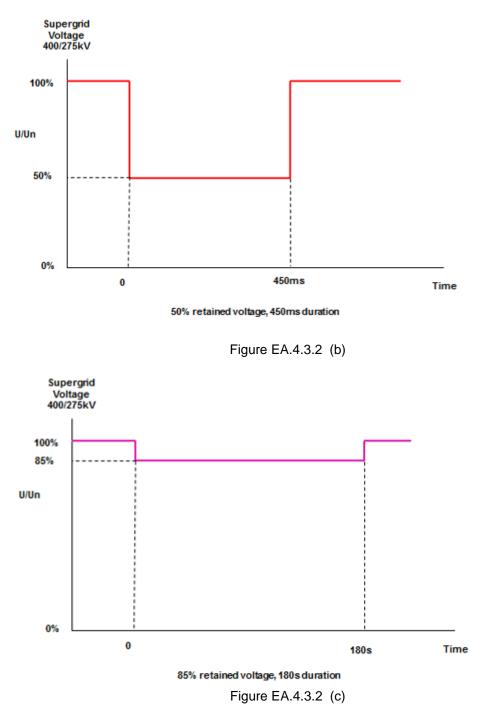
For balanced **Supergrid Voltage** dips on the **Onshore Transmission System** having durations greater than 140ms and up to 3 minutes, the **Fault Ride Through** requirement is defined in ECC.6.3.15.9.2.1(a) and Figure ECC.6.3.15.9(a) which is reproduced in this Appendix as Figure EA.4.3.1 and termed the voltage–duration profile.

This profile is not a voltage-time response curve that would be obtained by plotting the transient voltage response at a point on the **Onshore Transmission System** (or **User System** if located **Onshore**) to a disturbance. Rather, each point on the profile (ie the heavy black line) represents a voltage level and an associated time duration which connected **Synchronous Power Generating Modules** must withstand or ride through.

Figures EA.4.3.2 (a), (b) and (c) illustrate the meaning of the voltage-duration profile for voltage dips having durations greater than 140ms.



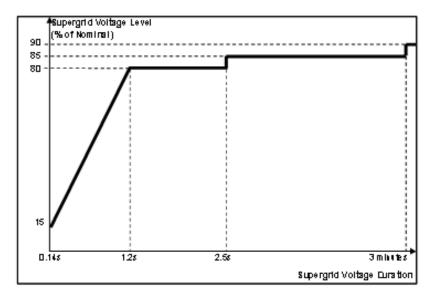




ECC.A.4A3.2 Requirements applicable to **Power Park Modules** or **OTSDUW Plant and Apparatus** subject to **Supergrid Voltage** dips on the **Onshore Transmission System** greater than 140ms in duration

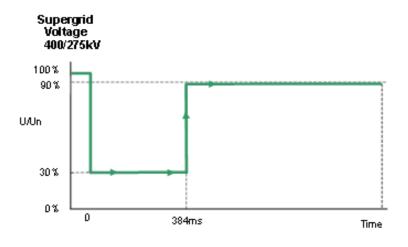
For balanced **Supergrid Voltage** dips on the **Onshore Transmission System** (which could be at an **Interface Point**) having durations greater than 140ms and up to 3 minutes the **Fault Ride Through** requirement is defined in ECC.6.3.15.9.2.1(b) and Figure ECC.6.3.15.9(b) which is reproduced in this Appendix as Figure EA.4.3.3 and termed the voltage–duration profile.

This profile is not a voltage-time response curve that would be obtained by plotting the transient voltage response at a point on the **Onshore Transmission System** (or **User System** if located **Onshore**) to a disturbance. Rather, each point on the profile (ie the heavy black line) represents a voltage level and an associated time duration which connected **Power Park Modules** or **OTSDUW Plant and Apparatus** must withstand or ride through.



Figures EA.4.3.4 (a), (b) and (c) illustrate the meaning of the voltage-duration profile for voltage dips having durations greater than 140ms.





30% retained voltage, 384ms duration

Figure EA.4.3.4(a)

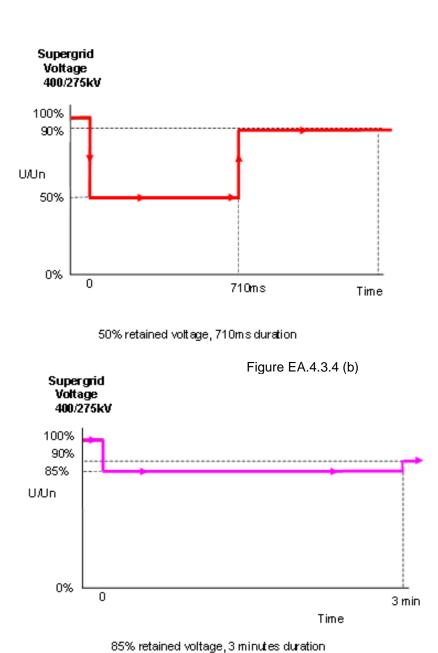
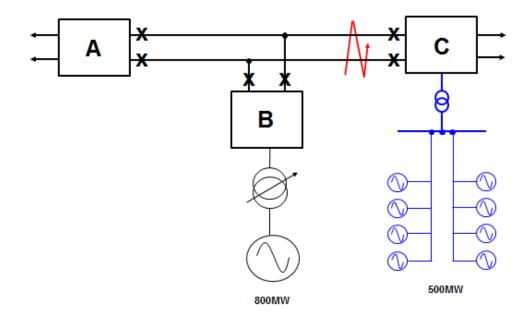


Figure EA.4.3.4 (c)

APPENDIX 4EC – FAST FAULT CURRENT INJECTION REQUIREMENTS

FAST FAULT CURRENT INJECTION REQUIREMENTS FOR POWER PARK MODULES, HVDC SYSTEMS, DC CONNECTED POWER PARK MODULES AND REMOTE END HVDC CONVERTERS

- ECC.A.4EC1 Fast Fault Current Injection requirements
- ECC.4EC1.1 <u>Fast Fault Current Injection behaviour during a solid three phase close up short circuit fault</u> <u>lasting up to 140ms</u>
- ECC.4EC1.1.1 For a voltage depression at a **Grid Entry Point or User System Point**, the **Fast Fault Current** Injection requirements are detailed in ECC.6.3.16. Figure ECC4.1 shows an example of a 500MW **Power Park Module** subject to a close up solid three phase short circuit fault connected directly connected to the **Transmission System** operating at 400kV.





ECC.4EC1.1.2 Assuming negligible impedance between the fault and substation C, the voltage at Substation C will be close to zero until circuit breakers at Substation C open, typically within 80 – 100ms, subsequentially followed by the opening of circuit breakers at substations A and B, typically 140ms after fault inception. The operation of circuit breakers at Substations A, B and C will also result in the tripping of the 800MW generator which is permitted under the SQSS. The **Power Park Module** is required to satisfy the requirements of ECC.6.3.16, and an example of the deviation in system voltage at the **Grid Entry Point** and expected reactive current injected by the **Power Park Module** before and during the fault is shown in Figure ECC4.2(a) and (b).

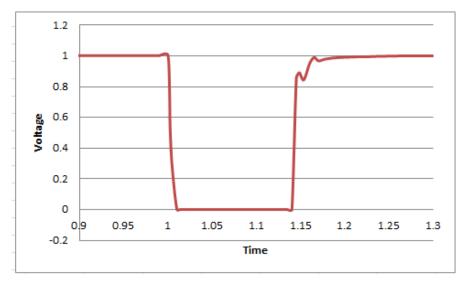


Figure ECC4.2(a) –Voltage deviation at Substation C

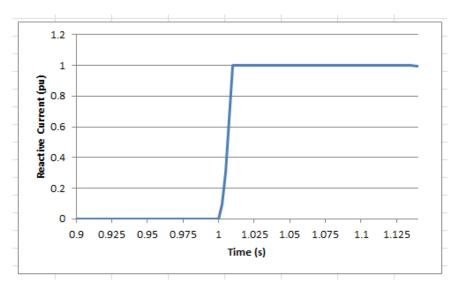


Figure ECC4.2(b) - Reactive Current Injected from the Power Park Module

connected to Substation C

It is important to note that blocking is permitted upon fault clearance in order to limit the impact of transient overvoltages. This effect is shown in Figure ECC4.3(a) and Figure ECC4.3(b)

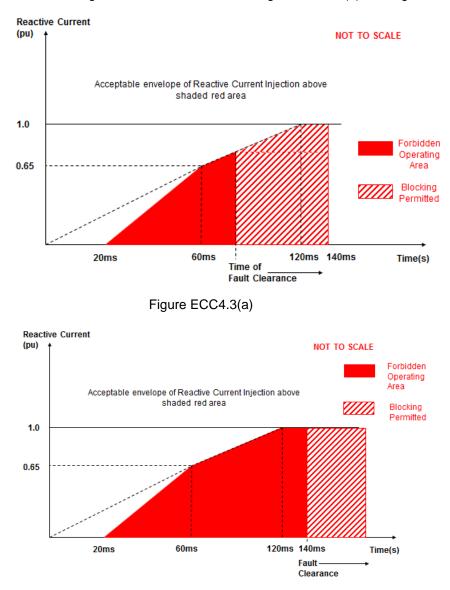


Figure ECC4.3(b)

ECC.4EC1.1.3 So long as the reactive current injected is above the shaded area as illustrated in Figure ECC4.3(a) or ECC4.3(b), the **Power Park Module** would be considered to be compliant with the requirements of ECC.6.3.16 Taking the example outlined in ECC.4EC1.1.1 where the fault is cleared in 140ms, the following diagram in Figure ECC4.4 results.

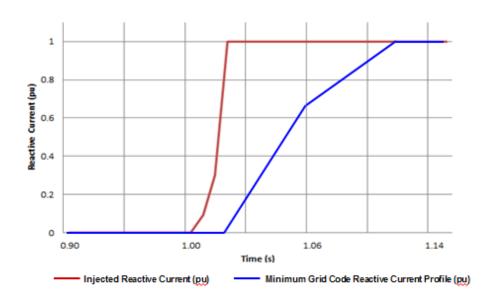


Figure ECC4.4 – Injected Reactive Current from Power Park Module

compared to the minimum required Grid Code profile

- ECC.4EC1.2 Fast Fault Current Injection behaviour during a voltage dip at the Connection Point lasting in excess of 140ms
- ECC.4EC1.2.1 Under the fault ride through requirements specified in ECC.6.3.15.9 (Voltage dips cleared in excess of 140ms), **Type B**, **Type C** and **Type D Power Park Modules** are also required to remain connected and stable for voltage dips on the **Transmission System** in excess of 140ms. Figure ECC4.4 (a) shows an example of a 500MW **Power Park Module** connected to the **Transmission System** and Figure ECC4.4 (b) shows the corresponding voltage dip seen at the **Grid Entry Point** or **User System Point** which has resulted from a remote fault on the **Transmission System** cleared in a backup operating time of 710ms.

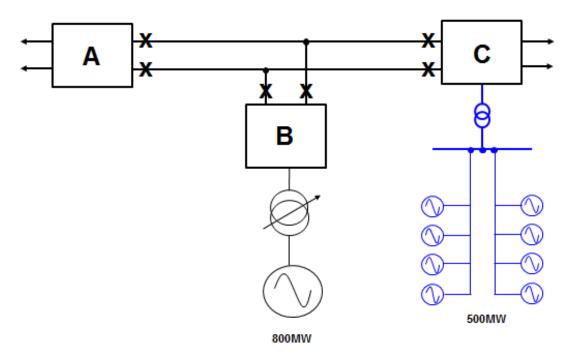
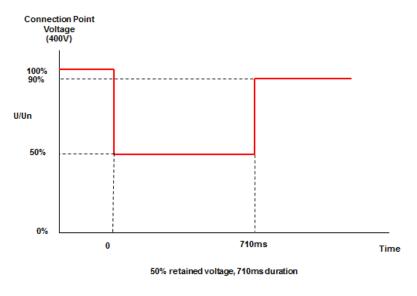


Figure ECC4.4(a)





ECC.4EC1.2.1 In this example, the voltage dips to 0.5pu for 710ms. Under ECC.6.3.16 each Type B, Type C and Type D Power Park Module is required to inject reactive current into the System and shall respond in proportion to the change in System voltage at the Grid Entry Point or User System Entry Point up to a maximum value of 1.0pu of rated current. An example of the expected injected reactive current at the Connection Point is shown in Figure ECC4.5

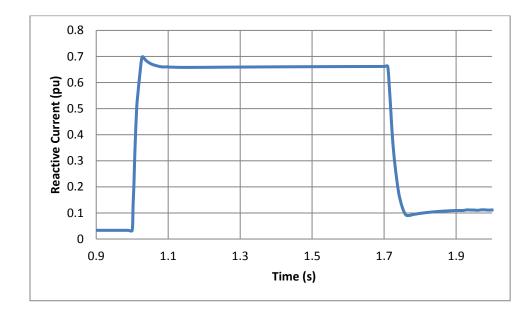


Figure ECC4.5 Reactive Current Injected for a 50% voltage dip for a period of 710ms

APPENDIX E5 - TECHNICAL REQUIREMENTS LOW FREQUENCY RELAYS FOR THE AUTOMATIC DISCONNECTION OF SUPPLIES AT LOW FREQUENCY

ECC.A.5.1 Low Frequency Relays

- ECC.A.5.1.1 The **Low Frequency Relays** to be used shall have a setting range of 47.0 to 50Hz and be suitable for operation from a nominal AC input of 63.5, 110 or 240V. The following parameters specify the requirements of approved **Low Frequency Relays**:
 - (a) **Frequency** settings: 47-50Hz in steps of 0.05Hz or better, preferably 0.01Hz;
 - (b) Operating time: Relay operating time shall not be more than 150 ms;
 - (c) Voltage lock-out: Selectable within a range of 55 to 90% of nominal voltage;
 - (d) Direction Tripping interlock for forward or reverse power flow capable of being set in either position or off
 (e) Facility stages: One or two stages of Frequency operation;
 (f) Output contacts: Two output contacts per stage to be capable of repetitively making and breaking for 1000 operations:
 (g) Accuracy: 0.01 Hz maximum error under reference environmental and system voltage conditions. 0.05 Hz maximum error at 8% of total harmonic distortion

Electromagnetic Compatibility Level.

In the case of **Network Operators** who are **GB Code Users**, the above requirements only apply to a relay (if any) installed at the **EU Grid Supply Point**. **Network Operators** who are also **GB Code Users** should continue to satisfy the requirements for low frequency relays as specified in the **CCs** as applicable to their **System**.

ECC.A.5.2 Low Frequency Relay Voltage Supplies

- ECC.A.5.2.1 It is essential that the voltage supply to the **Low Frequency Relays** shall be derived from the primary **System** at the supply point concerned so that the **Frequency** of the **Low Frequency Relays** input voltage is the same as that of the primary **System**. This requires either:
 - (a) the use of a secure supply obtained from voltage transformers directly associated with the grid transformer(s) concerned, the supply being obtained where necessary via a suitable automatic voltage selection scheme; or
 - (b) the use of the substation 240V phase-to-neutral selected auxiliary supply, provided that this supply is always derived at the supply point concerned and is never derived from a standby supply **Power Generating Module** or from another part of the **User System**.

ECC.A.5.3 Scheme Requirements

- ECC.A.5.3.1 The tripping facility should be engineered in accordance with the following reliability considerations:
 - (a) Dependability

Failure to trip at any one particular **Demand** shedding point would not harm the overall operation of the scheme. However, many failures would have the effect of reducing the amount of **Demand** under low **Frequency** control. An overall reasonable minimum requirement for the dependability of the **Demand** shedding scheme is 96%, i.e. the average probability of failure of each **Demand** shedding point should be less than 4%. Thus the **Demand** under low **Frequency** control will not be reduced by more than 4% due to relay failure.

(b) Outages

Low **Frequency Demand** shedding schemes will be engineered such that the amount of **Demand** under control is as specified in Table ECC.A.5.5.1a and is not reduced unacceptably during equipment outage or maintenance conditions.

ECC.A.5.3.2 The total operating time of the scheme, including circuit breakers operating time, shall where reasonably practicable, be less than 200 ms. For the avoidance of doubt, the replacement of plant installed prior to October 2009 will not be required in order to achieve lower total scheme operating times.

ECC.A.5.4 Low Frequency Relay Testing

ECC.A.5.4.1 **Low Frequency Relays** installed and commissioned after 1st January 2007 shall be type tested in accordance with and comply with the functional test requirements for **Frequency Protection** contained in Energy Networks Association Technical Specification 48-6-5 Issue 1 dated 2005 "ENA **Protection** Assessment Functional Test Requirements – Voltage and Frequency **Protection**".

For the avoidance of doubt, **Low Frequency Relays** installed and commissioned before 1st January 2007 shall comply with the version of ECC.A.5.1.1 applicable at the time such **Low Frequency Relays** were commissioned.

ECC.A.5.5 <u>Scheme Settings</u>

ECC.A.5.5.1 Table CC.A.5.5.1a shows, for each **Transmission Area**, the percentage of **Demand** (based on **Annual ACS Conditions**) at the time of forecast **National Electricity Transmission System** peak **Demand** that each **Network Operator** whose **System** is connected to the **Onshore Transmission System** within such **Transmission Area** shall disconnect by **Low Frequency Relays** at a range of frequencies. Where a **Network Operator's System** is connected to the **National Electricity Transmission System** in more than one **Transmission Area**, the settings for the **Transmission Area** in which the majority of the **Demand** is connected shall apply.

Frequency Hz	% Demand disconnection for each Network Operator in Transmission Area		
	NGET	SPT	SHETL
48.8	5		
48.75	5		
48.7	10		
48.6	7.5		10
48.5	7.5	10	
48.4	7.5	10	10
48.2	7.5	10	10
48.0	5	10	10
47.8	5		
Total % Demand	60	40	40

Table ECC.A.5.5.1a

Note – the percentages in table ECC.A.5.5.1a are cumulative such that, for example, should the frequency fall to 48.6 Hz in **NGET's Transmission Area**, 27.5% of the total **Demand** connected to the **National Electricity Transmission System** in **NGET's Transmission Area** shall be disconnected by the action of **Low Frequency Relays**.

The percentage **Demand** at each stage shall be allocated as far as reasonably practicable. The cumulative total percentage **Demand** is a minimum.

- ECC.A.5.5.2 In the case of a Non-Embedded Customer (who is also an EU Code User) the percentage of Demand (based on Annual ACS Conditions) at the time of forecast National Electricity Transmission System peak Demand that each Non-Embedded Customer whose System is connected to the Onshore Transmission System which shall be disconnected by Low Frequency Relays shall be in accordance with OC6.6 and the Bilateral Agreement.
- ECC.A.5.6 Connection and Reconnection
- ECC.A.5.6.1 As defined under OC.6.6 once automatic low **Frequency Demand Disconnection** has taken place, the **Network Operator** on whose **User System** it has occurred, will not reconnect until **The Company** instructs that **Network Operator** to do so in accordance with OC6. The same requirement equally applies to **Non-Embedded Customers.**
- ECC.A.5.6.2 Once **The Company** instructs the **Network Operator** or **Non Embedded Customer** to reconnect to the **National Electricity Transmission System** following operation of the **Low Frequency Demand Disconnection** scheme it shall do so in accordance with the requirements of ECC.6.2.3.10 and OC6.6.

ECC.A.5.6.3 **Network Operators** or **Non Embedded Customers** shall be capable of being remotely disconnected from the **National Electricity Transmission System** when instructed by **The Company**. Any requirement for the automated disconnection equipment for reconfiguration of the **National Electricity Transmission System** in preparation for block loading and the time required for remote disconnection shall be specified by **The Company** in accordance with the terms of the **Bilateral Agreement**.

APPENDIX E6 - PERFORMANCE REQUIREMENTS FOR CONTINUOUSLY ACTING AUTOMATIC EXCITATION CONTROL SYSTEMS FOR ONSHORE SYNCHRONOUS POWER GENERATING MODULES,

- ECC.A.6.1 <u>Scope</u>
- ECC.A.6.1.1 This Appendix sets out the performance requirements of continuously acting automatic excitation control systems for **Type C** and **Type D Onshore Synchronous Power Generating Modules** that must be complied with by the **User**. This Appendix does not limit any site specific requirements where in **The Company's** reasonable opinion these facilities are necessary for system reasons.
- ECC.A.6.1.2 Where the requirements may vary the likely range of variation is given in this Appendix. It may be necessary to specify values outside this range where **The Company** identifies a system need, and notwithstanding anything to the contrary **The Company** may specify values outside of the ranges provided in this Appendix 6. The most common variations are in the on-load excitation ceiling voltage requirements and the response time required of the **Exciter**. Actual values will be included in the **Bilateral Agreement**.
- ECC.A.6.1.3 Should an **EU Generator** anticipate making a change to the excitation control system it shall notify **The Company** under the **Planning Code** (PC.A.1.2(b) and (c)) as soon as the **EU Generator** anticipates making the change. The change may require a revision to the **Bilateral Agreement**.
- ECC.A.6.2 Requirements
- ECC.A.6.2.1 The Excitation System of a Type C or Type D Onshore Synchronous Power Generating Module shall include an excitation source (Exciter), and a continuously acting Automatic Voltage Regulator (AVR) and shall meet the following functional specification. Type D Synchronous Power Generating Modules are also required to be fitted with a Power System Stabiliser in accordance with the requirements of ECC.A.6.2.5.
- ECC.A.6.2.3 Steady State Voltage Control
- ECC.A.6.2.3.1 An accurate steady state control of the **Onshore Synchronous Power Generating Module** pre-set **Synchronous Generating Unit** terminal voltage is required. As a measure of the accuracy of the steady-state voltage control, the **Automatic Voltage Regulator** shall have static zero frequency gain, sufficient to limit the change in terminal voltage to a drop not exceeding 0.5% of rated terminal voltage, when the output of a **Synchronous Generating Unit** within an **Onshore Synchronous Power Generating Module** is gradually changed from zero to rated MVA output at rated voltage, **Active Power** and **Frequency**.
- ECC.A.6.2.4 <u>Transient Voltage Control</u>
- ECC.A.6.2.4.1 For a step change from 90% to 100% of the nominal **Onshore Synchronous Generating Unit** terminal voltage, with the **Onshore Synchronous Generating Unit** on open circuit, the **Excitation System** response shall have a damped oscillatory characteristic. For this characteristic, the time for the **Onshore Synchronous Generating Unit** terminal voltage to first reach 100% shall be less than 0.6 seconds. Also, the time to settle within 5% of the voltage change shall be less than 3 seconds.
- ECC.A.6.2.4.2 To ensure that adequate synchronising power is maintained, when the **Onshore Power Generating Module** is subjected to a large voltage disturbance, the **Exciter** whose output is varied by the **Automatic Voltage Regulator** shall be capable of providing its achievable upper and lower limit ceiling voltages to the **Onshore Synchronous Generating Unit** field in a time not exceeding that specified in the **Bilateral Agreement**. This will normally be not less than 50 ms and not greater than 300 ms. The achievable upper and lower limit ceiling voltages may be dependent on the voltage disturbance.
- ECC.A.6.2.4.3 The Exciter shall be capable of attaining an Excitation System On Load Positive Ceiling Voltage of not less than a value specified in the Bilateral Agreement that will be:

not less than 2 per unit (pu)

normally not greater than 3 pu

exceptionally up to 4 pu

of **Rated Field Voltage** when responding to a sudden drop in voltage of 10 percent or more at the **Onshore Synchronous Generating Unit** terminals. **The Company** may specify a value outside the above limits where **The Company** identifies a system need.

- ECC.A.6.2.4.4 If a static type **Exciter** is employed:
 - (i) the field voltage should be capable of attaining a negative ceiling level specified in the Bilateral Agreement after the removal of the step disturbance of ECC.A.6.2.4.3. The specified value will be 80% of the value specified in ECC.A.6.2.4.3. The Company may specify a value outside the above limits where The Company identifies a system need.
 - the Exciter must be capable of maintaining free firing when the Onshore Synchronous Generating Unit terminal voltage is depressed to a level which may be between 20% to 30% of rated terminal voltage
 - (iii) the Exciter shall be capable of attaining a positive ceiling voltage not less than 80% of the Excitation System On Load Positive Ceiling Voltage upon recovery of the Onshore Synchronous Generating Unit terminal voltage to 80% of rated terminal voltage following fault clearance. The Company may specify a value outside the above limits where The Company identifies a system need.
 - (iv) the requirement to provide a separate power source for the **Exciter** will be specified if **The Company** identifies a **Transmission System** need.
- ECC.A.6.2.5 Power Oscillations Damping Control
- ECC.A.6.2.5.1 To allow **Type D Onshore Power Generating Modules** to maintain second and subsequent swing stability and also to ensure an adequate level of low frequency electrical damping power, the **Automatic Voltage Regulator** of each **Onshore Synchronous Generating Unit** within each **Type D Onshore Synchronous Power Generating Module** shall include a **Power System Stabiliser** as a means of supplementary control.
- ECC.A.6.2.5.2 Whatever supplementary control signal is employed, it shall be of the type which operates into the **Automatic Voltage Regulator** to cause the field voltage to act in a manner which results in the damping power being improved while maintaining adequate synchronising power.
- ECC.A.6.2.5.3 The arrangements for the supplementary control signal shall ensure that the **Power System Stabiliser** output signal relates only to changes in the supplementary control signal and not the steady state level of the signal. For example, if generator electrical power output is chosen as a supplementary control signal then the **Power System Stabiliser** output should relate only to changes in the **Synchronous Generating Unit** electrical power output and not the steady state level of power output. Additionally the **Power System Stabiliser** should not react to mechanical power changes in isolation for example during rapid changes in steady state load or when providing frequency response.
- ECC.A.6.2.5.4 The output signal from the **Power System Stabiliser** shall be limited to not more than ±10% of the **Onshore Synchronous Generating Unit** terminal voltage signal at the **Automatic Voltage Regulator** input. The gain of the **Power System Stabiliser** shall be such that an increase in the gain by a factor of 3 shall not cause instability.
- ECC.A.6.2.5.5 The **Power System Stabiliser** shall include elements that limit the bandwidth of the output signal. The bandwidth limiting must ensure that the highest frequency of response cannot excite torsional oscillations on other plant connected to the network. A bandwidth of 0-5Hz would be judged to be acceptable for this application.

- ECC.A.6.2.5.6 The **EU Generator** in respect of its **Type D Synchronous Power Generating Modules** will agree **Power System Stabiliser** settings with **The Company** prior to the on-load commissioning detailed in BC2.11.2(d). To allow assessment of the performance before on-load commissioning the **EU Generator** will provide to **The Company** a report covering the areas specified in ECP.A.3.2.1.
- ECC.A.6.2.5.7 The **Power System Stabiliser** must be active within the **Excitation System** at all times when **Synchronised** including when the **Under Excitation Limiter** or **Over Excitation Limiter** are active. When operating at low load when **Synchronising** or **De-Synchronising** an **Onshore Synchronous Generating Unit**, within a **Type D Synchronous Power Generating Module**, the **Power System Stabiliser** may be out of service.
- ECC.A.6.2.5.8 Where a **Power System Stabiliser** is fitted to a **Pumped Storage Unit** within a **Type D Synchronous Power Generating Module** it must function when the **Pumped Storage Unit** is in both generating and pumping modes.
- ECC.A.6.2.6 Overall Excitation System Control Characteristics
- ECC.A.6.2.6.1 The overall **Excitation System** shall include elements that limit the bandwidth of the output signal. The bandwidth limiting must be consistent with the speed of response requirements and ensure that the highest frequency of response cannot excite torsional oscillations on other plant connected to the network. A bandwidth of 0-5 Hz will be judged to be acceptable for this application.
- ECC.A.6.2.6.2 The response of the Automatic Voltage Regulator combined with the Power System Stabiliser shall be demonstrated by injecting similar step signal disturbances into the Automatic Voltage Regulator reference as detailed in ECPA.5.2 and ECPA.5.4. The Automatic Voltage Regulator shall include a facility to allow step injections into the Automatic Voltage Regulator voltage reference, with the Onshore Type D Power Generating Module operating at points specified by The Company (up to rated MVA output). The damping shall be judged to be adequate if the corresponding Active Power response to the disturbances decays within two cycles of oscillation.
- ECC.A.6.2.6.3 A facility to inject a band limited random noise signal into the **Automatic Voltage Regulator** voltage reference shall be provided for demonstrating the frequency domain response of the **Power System Stabiliser**. The tuning of the **Power System Stabiliser** shall be judged to be adequate if the corresponding **Active Power** response shows improved damping with the **Power System Stabiliser** in combination with the **Automatic Voltage Regulator** compared with the **Automatic Voltage Regulator** alone over the frequency range 0.3Hz – 2Hz.
- ECC.A.6.2.7 Under-Excitation Limiters
- ECC.A.6.2.7.1 The security of the power system shall also be safeguarded by means of MVAr Under Excitation Limiters fitted to the Synchronous Power Generating Module Excitation System. The Under Excitation Limiter shall prevent the Automatic Voltage Regulator reducing the Synchronous Generating Unit excitation to a level which would endanger synchronous stability. The Under Excitation Limiter shall operate when the excitation system is providing automatic control. The Under Excitation Limiter shall respond to changes in the Active Power (MW) the Reactive Power (MVAr) and to the square of the Synchronous Generating Unit voltage in such a direction that an increase in voltage will permit an increase in leading MVAr. The characteristic of the Under Excitation Limiter shall be substantially linear from no-load to the maximum Active Power output of the Onshore Power Generating Module at any setting and shall be readily adjustable.

- ECC.A.6.2.7.2 The performance of the **Under Excitation Limiter** shall be independent of the rate of change of the **Onshore Synchronous Power Generating Module** load and shall be demonstrated by testing as detailed in ECP.A.5.5. The resulting maximum overshoot in response to a step injection which operates the **Under Excitation Limiter** shall not exceed 4% of the **Onshore Synchronous Generating Unit** rated MVA. The operating point of the **Onshore Synchronous Generating Unit** shall be returned to a steady state value at the limit line and the final settling time shall not be greater than 5 seconds. When the step change in **Automatic Voltage Regulator** reference voltage is reversed, the field voltage should begin to respond without any delay and should not be held down by the **Under Excitation Limiter**. Operation into or out of the preset limit levels shall ensure that any resultant oscillations are damped so that the disturbance is within 0.5% of the **Onshore Synchronous Generating Unit** MVA rating within a period of 5 seconds.
- ECC.A.6.2.7.3 The **EU Generator** shall also make provision to prevent the reduction of the **Onshore Synchronous Generating Unit** excitation to a level which would endanger synchronous stability when the **Excitation System** is under manual control.
- ECC.A.6.2.8 Over-Excitation and Stator Current Limiters
- ECC.A.6.2.8.1 The settings of the **Over-Excitation Limiter** and stator current limiter, shall ensure that the **Onshore Synchronous Generating Unit** excitation is not limited to less than the maximum value that can be achieved whilst ensuring the **Onshore Synchronous Generating Unit** is operating within its design limits. If the **Onshore Synchronous Generating Unit** excitation is reduced following a period of operation at a high level, the rate of reduction shall not exceed that required to remain within any time dependent operating characteristics of the **Onshore Synchronous Power Generating Module**.
- ECC.A.6.2.8.2 The performance of the **Over-Excitation Limiter**, shall be demonstrated by testing as described in ECP.A.5.6. Any operation beyond the **Over-Excitation Limit** shall be controlled by the **Over-Excitation Limiter** or stator current limiter without the operation of any **Protection** that could trip the **Onshore Synchronous Power Generating Module**.
- ECC.A.6.2.8.3 The **EU Generator** shall also make provision to prevent any over-excitation restriction of the **Onshore Synchronous Generating Unit** when the **Excitation System** is under manual control, other than that necessary to ensure the **Onshore Power Generating Module** is operating within its design limits.

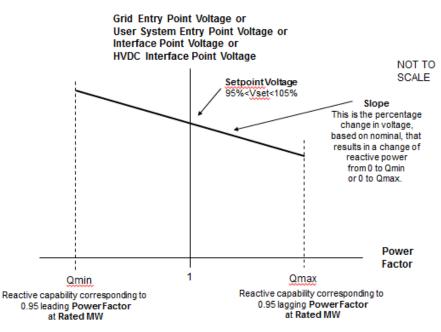
APPENDIX E7 - PERFORMANCE REQUIREMENTS FOR CONTINUOUSLY ACTING AUTOMATIC VOLTAGE CONTROL SYSTEMS FOR AC CONNECTED ONSHORE POWER PARK MODULES AND OTSDUW PLANT AND APPARATUS AT THE INTERFACE POINT HVDC SYSTEMS AND REMOTE END HVDC CONVERTER STATIONS

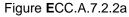
- ECC.A.7.1 Scope
- ECC.A.7.1.1 This Appendix sets out the performance requirements of continuously acting automatic voltage control systems for **Onshore Power Park Modules**, **Onshore HVDC Converters Remote End HVDC Converter Stations** and **OTSDUW Plant and Apparatus** at the **Interface Point** that must be complied with by the **User**. This Appendix does not limit any site specific requirements where in **The Company's** reasonable opinion these facilities are necessary for system reasons. The control performance requirements applicable to **Configuration 2 AC Connected Offshore Power Park Modules** and **Configuration 2 DC Connected Power Park Modules** are defined in Appendix E8.
- ECC.A.7.1.2 Proposals by **EU Generators** or **HVDC System Owners** to make a change to the voltage control systems are required to be notified to **The Company** under the **Planning Code** (PC.A.1.2(b) and (c)) as soon as the **Generator** or **HVDC System Owner** anticipates making the change. The change may require a revision to the **Bilateral Agreement**.
- ECC.A.7.1.3 In the case of a **Remote End HVDC Converter** at a **HVDC Converter Station**, the control performance requirements shall be specified in the **Bilateral Agreement**. These requirements shall be consistent with those specified in ECC.6.3.2.4. In the case where the **Remote End HVDC Converter** is required to ensure the zero transfer of **Reactive Power** at the **HVDC Interface Point** then the requirements shall be specified in ECC.A.8. In the **Bilateral Agreement** which shall be consistent with those requirements specified in ECC.A.8. In the case where a wider reactive capability has been specified in ECC.6.3.2.4, then the requirements consistent with those specified in ECC.A.7.2 shall apply with any variations being agreed between the **User** and **The Company**.
- ECC.A.7.2 <u>Requirements</u>

ECC.A.7.2.1 The Company requires that the continuously acting automatic voltage control system for the Onshore Power Park Module, Onshore HVDC Converter or OTSDUW Plant and Apparatus shall meet the following functional performance specification. If a Network Operator has confirmed to The Company that its network to which an Embedded Onshore Power Park Module or Onshore HVDC Converter or OTSDUW Plant and Apparatus is connected is restricted such that the full reactive range under the steady state voltage control requirements (ECC.A.7.2.2) cannot be utilised, The Company may specify alternative limits to the steady state voltage control range that reflect these restrictions. Where the Network Operator subsequently notifies The Company that such restriction has been removed, The Company may propose a Modification to the Bilateral Agreement (in accordance with the CUSC contract) to remove the alternative limits such that the continuously acting automatic voltage control system meets the following functional performance specification. All other requirements of the voltage control system will remain as in this Appendix.

ECC.A.7.2.2 Steady State Voltage Control

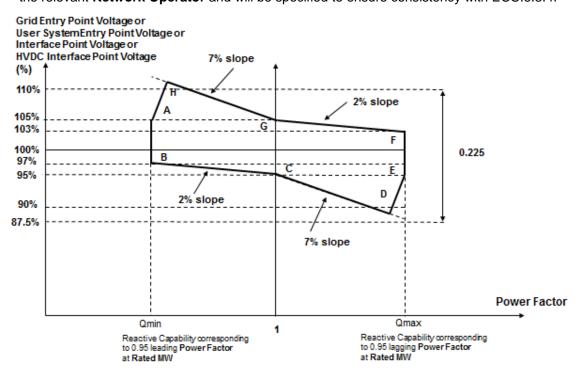
ECC.A.7.2.2.1 The Onshore Power Park Module, Onshore HVDC Converter or OTSDUW Plant and Apparatus shall provide continuous steady state control of the voltage at the Onshore Grid Entry Point (or Onshore User System Entry Point if Embedded) (or the Interface Point in the case of OTSDUW Plant and Apparatus) with a Setpoint Voltage and Slope characteristic as illustrated in Figure ECC.A.7.2.2a.



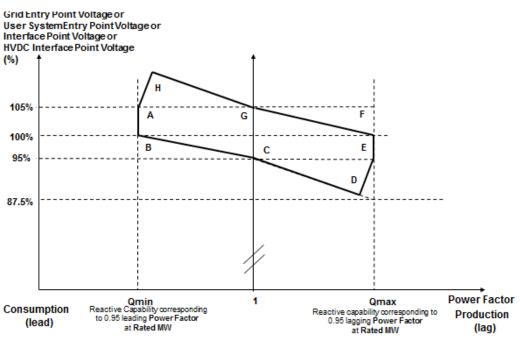


ECC.A.7.2.2.2 The continuously acting automatic control system shall be capable of operating to a **Setpoint Voltage** between 95% and 105% with a resolution of 0.25% of the nominal voltage. For the avoidance of doubt values of 95%, 95.25%, 95.5% ... may be specified, but not intermediate values. The initial **Setpoint Voltage** will be 100%. The tolerance within which this **Setpoint Voltage** shall be achieved is specified in BC2.A.2.6. For the avoidance of doubt, with a tolerance of 0.25% and a Setpoint Voltage of 100%, the achieved value shall be between 99.75% and 100.25%. **The Company** may request the **EU Generator** or **HVDC System Owner** to implement an alternative **Setpoint Voltage** within the range of 95% to 105%. For **Embedded Generators** and **Embedded HVDC System Owners** the **Setpoint Voltage** will be discussed between **The Company** and the relevant **Network Operator** and will be specified to ensure consistency with ECC.6.3.4.

ECC.A.7.2.2.3 The **Slope** characteristic of the continuously acting automatic control system shall be adjustable over the range 2% to 7% (with a resolution of 0.5%). For the avoidance of doubt values of 2%, 2.5%, 3% may be specified, but not intermediate values. The initial **Slope** setting will be 4%. The tolerance within which this **Slope** shall be achieved is specified in BC2.A.2.6. For the avoidance of doubt, with a tolerance of 0.5% and a **Slope** setting of 4%, the achieved value shall be between 3.5% and 4.5%. **The Company** may request the **EU Generator** or **HVDC System Owner** to implement an alternative slope setting within the range of 2% to 7%. For **Embedded Generators** and **Onshore Embedded HVDC Converter Station Owners** the **Slope** setting will be discussed between **The Company** and the relevant **Network Operator** and will be specified to ensure consistency with ECC.6.3.4.



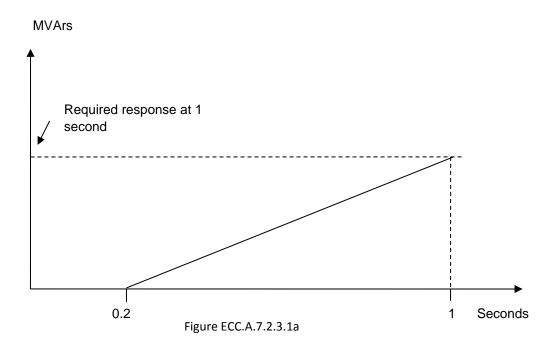






- ECC.A.7.2.24 Figure ECC.A.7.2.2b shows the required envelope of operation for -, OTSDUW Plant and Apparatus, Onshore Power Park Modules and Onshore HVDC Converters except for those Embedded at 33kV and below or directly connected to the National Electricity Transmission System at 33kV and below. Figure ECC.A.7.2.2c shows the required envelope of operation for Onshore Power Park Modules Embedded at 33kV and below, or directly connected to the National Electricity Transmission System at 33kV and below. The enclosed area within points ABCDEFGH is the required capability range within which the Slope and Setpoint Voltage can be changed.
- ECC.A.7.2.2.5 Should the operating point of the, **OTSDUW Plant and Apparatus** or **Onshore Power Park Module**, or **Onshore HVDC Converter** deviate so that it is no longer a point on the operating characteristic (figure ECC.A.7.2.2a) defined by the target **Setpoint Voltage** and **Slope**, the continuously acting automatic voltage control system shall act progressively to return the value to a point on the required characteristic within 5 seconds.
- ECC.A.7.2.2.6 Should the Reactive Power output of the OTSDUW Plant and Apparatus or Onshore Power Park Module or Onshore HVDC Converter reach its maximum lagging limit at a Onshore Grid Entry Point voltage (or Onshore User System Entry Point voltage if Embedded (or Interface Point in the case of OTSDUW Plant and Apparatus) above 95%, the OTSDUW Plant and Apparatus or Onshore Power Park Module or HVDC System shall maintain maximum lagging Reactive Power output for voltage reductions down to 95%. This requirement is indicated by the line EF in figures ECC.A.7.2.2b and ECC.A.7.2.2c as applicable. Should the Reactive Power output of the OTSDUW Plant and Apparatus or Onshore Power Park Module, or Onshore HVDC Converter reach its maximum leading limit at a Onshore Grid Entry Point voltage (or Onshore User System Entry Point voltage if-Embedded or Interface Point in the case of OTSDUW Plant and Apparatus) below 105%, the OTSDUW Plant and Apparatus or Onshore Power Park Module, or Onshore HVDC Converter shall maintain maximum leading Reactive Power output for voltage increases up to 105%. This requirement is indicated by the line AB in figures ECC.A.7.2.2b and ECC.A.7.2.2c as applicable.
- ECC.A.7.2.2.7 For Onshore Grid Entry Point voltages (or Onshore User System Entry Point voltages if Embedded-or Interface Point voltages) below 95%, the lagging Reactive Power capability of the OTSDUW Plant and Apparatus or Onshore Power Park Module or Onshore HVDC should be that which results from the supply of maximum lagging reactive Converters current whilst ensuring the current remains within design operating limits. An example of the capability is shown by the line DE in figures ECC.A.7.2.2b and ECC.A.7.2.2c. For Onshore Grid Entry Point voltages (or User System Entry Point voltages if Embedded or Interface Point voltages) above 105%, the leading Reactive Power capability of the OTSDUW Plant and Apparatus or Onshore Power Park Module or Onshore HVDC System Converter should be that which results from the supply of maximum leading reactive current whilst ensuring the current remains within design operating limits. An example of the capability is shown by the line AH in figures ECC.A.7.2.2b and ECC.A.7.2.2c as applicable. Should the Reactive Power output of the OTSDUW Plant and Apparatus or Onshore Power Park Module or Onshore HVDC Converter reach its maximum lagging limit at an Onshore Grid Entry Connection Point voltage (or Onshore User System Entry Point voltage if **Embedded** or **Interface Point** in the case of **OTSDUW Plant and Apparatus**) below 95%. the Onshore Power Park Module, Onshore HVDC Converter shall maintain maximum lagging reactive current output for further voltage decreases. Should the Reactive Power output of the OTSDUW Plant and Apparatus or Onshore Power Park Module or Onshore HVDC Converter reach its maximum leading limit at a Onshore Grid Entry Point voltage (or User System Entry Point voltage if Embedded or Interface Point voltage in the case of an OTSDUW Plant and Apparatus) above 105%, the OTSDUW Plant and Apparatus or Onshore Power Park Module or Onshore HVDC Converter shall maintain maximum leading reactive current output for further voltage increases.

- ECC.A.7.2.2.8 All **OTSDUW Plant and Apparatus** must be capable of enabling **EU Code Users** undertaking **OTSDUW** to comply with an instruction received from **The Company** relating to a variation of the **Setpoint Voltage** at the **Interface Point** within 2 minutes of such instruction being received.
- ECC.A.7.2.2.9 For OTSDUW Plant and Apparatus connected to a Network Operator's System where the Network Operator has confirmed to The Company that its System is restricted in accordance with ECC.A.7.2.1, clause ECC.A.7.2.2.8 will not apply unless The Company can reasonably demonstrate that the magnitude of the available change in Reactive Power has a significant effect on voltage levels on the Onshore National Electricity Transmission System.
- ECC.A.7.2.3 <u>Transient Voltage Control</u>
- ECC.A.7.2.3.1 For an on-load step change in **Onshore Grid Entry Point** or **Onshore User System Entry Point** voltage, or in the case of **OTSDUW Plant and Apparatus** an on-load step change in **Transmission Interface Point** voltage, the continuously acting automatic control system shall respond according to the following minimum criteria:
 - (i) the Reactive Power output response of the, OTSDUW Plant and Apparatus or Onshore Power Park Module or Onshore HVDC Converter shall commence within 0.2 seconds of the application of the step. It shall progress linearly although variations from a linear characteristic shall be acceptable provided that the MVAr seconds delivered at any time up to 1 second are at least those that would result from the response shown in figure ECC.A.7.2.3.1a.
 - (ii) the response shall be such that 90% of the change in the Reactive Power output of the, OTSDUW Plant and Apparatus or Onshore Power Park Module, or Onshore HVDC Converter will be achieved within
 - 2 seconds, where the step is sufficiently large to require a change in the steady state **Reactive Power** output from its maximum leading value to its maximum lagging value or vice versa and
 - 1 second where the step is sufficiently large to require a change in the steady state Reactive Power output from zero to its maximum leading value or maximum lagging value as required by ECC.6.3.2 (or, if appropriate ECC.A.7.2.2.6 or ECC.A.7.2.2.7);
 - (iii) the magnitude of the **Reactive Power** output response produced within 1 second shall vary linearly in proportion to the magnitude of the step change.
 - (iv) within 5 seconds from achieving 90% of the response as defined in ECC.A.7.2.3.1 (ii), the peak to peak magnitude of any oscillations shall be less than 5% of the change in steady state maximum **Reactive Power**.
 - (v) following the transient response, the conditions of ECC.A.7.2.2 apply.



ECC.A.7.2.3.2 OTSDUW Plant and Apparatus or Onshore Power Park Modules or Onshore HVDC Converters shall be capable of

- (a) changing its **Reactive Power** output from its maximum lagging value to its maximum leading value, or vice versa, then reverting back to the initial level of **Reactive Power** output once every 15 seconds for at least 5 times within any 5 minute period; and
- (b) changing its Reactive Power output from zero to its maximum leading value then reverting back to zero Reactive Power output at least 25 times within any 24 hour period and from zero to its maximum lagging value then reverting back to zero Reactive Power output at least 25 times within any 24 hour period. Any subsequent restriction on reactive capability shall be notified to The Company in accordance with BC2.5.3.2, and BC2.6.1.

In all cases, the response shall be in accordance to ECC.A.7.2.3.1 where the change in **Reactive Power** output is in response to an on-load step change in **Onshore Grid Entry Point** or **Onshore User System Entry Point** voltage, or in the case of **OTSDUW Plant and Apparatus** an on-load step change in **Transmission Interface Point** voltage.

ECC.A.7.2.4 Power Oscillation Damping

- ECC.A.7.2.4.1 The requirement for the continuously acting voltage control system to be fitted with a **Power System Stabiliser (PSS)** shall be specified if, in **The Company's** view, this is required for system reasons. However if a **Power System Stabiliser** is included in the voltage control system its settings and performance shall be agreed with **The Company** and commissioned in accordance with BC2.11.2. To allow assessment of the performance before on-load commissioning the **Generator** will provide to **The Company** a report covering the areas specified in ECP.A.3.2.2.
- ECC.A.7.2.5 Overall Voltage Control System Characteristics
- ECC.A.7.2.5.1 The continuously acting automatic voltage control system is required to respond to minor variations, steps, gradual changes or major variations in **Onshore Grid Entry Point** voltage (or **Onshore User System Entry Point** voltage if **Embedded** or **Interface Point** voltage in the case of **OTSDUW Plant and Apparatus**).

- ECC.A.7.2.5.2 The overall voltage control system shall include elements that limit the bandwidth of the output signal. The bandwidth limiting must be consistent with the speed of response requirements and ensure that the highest frequency of response cannot excite torsional oscillations on other plant connected to the network. A bandwidth of 0-5Hz would be judged to be acceptable for this application. All other control systems employed within the **OTSDUW Plant and Apparatus** or **Onshore Power Park Module** or **Onshore HVDC Converter** should also meet this requirement
- ECC.A.7.2.5.3 The response of the voltage control system (including the **Power System Stabiliser** if employed) shall be demonstrated by testing in accordance with ECP.A.6.
- ECC.A.7.3 Reactive Power Control
- ECC.A.7.3.1 As defined in ECC.6.3.8.3.4, **Reactive Power** control mode of operation is not required in respect of **Onshore Power Park Modules** or **OTSDUW Plant and Apparatus** or **Onshore HVDC Converters** unless otherwise specified by **The Company** in coordination with the relevant **Network Operator**. However where there is a requirement for **Reactive Power** control mode of operation, the following requirements shall apply.
- ECC.A.7.3.2 The Onshore Power Park Module or OTSDUW Plant and Apparatus or Onshore HVDC Converter shall be capable of setting the Reactive Power setpoint anywhere in the Reactive Power range as specified in ECC.6.3.2.4 with setting steps no greater than 5 MVAr or 5% (whichever is smaller) of full Reactive Power, controlling the reactive power at the Grid Entry Point or User System Entry Point if Embedded to an accuracy within plus or minus 5MVAr or plus or minus 5% (whichever is smaller) of the full Reactive Power.
- ECC.A.7.3.3 Any additional requirements for **Reactive Power** control mode of operation shall be specified by **The Company** in coordination with the relevant **Network Operator**..
- ECC.A.7.4 **Power Factor** Control
- ECC.A.7.4.1 As defined in ECC.6.3.8.4.3, **Power Factor** control mode of operation is not required in respect of **Onshore Power Park Modules** or **OTSDUW Plant and Apparatus** or **Onshore HVDC Converters** unless otherwise specified by **The Company** in coordination with the relevant **Network Operator.** However where there is a requirement for **Power Factor** control mode of operation, the following requirements shall apply.
- ECC.A.7.4.2 The Onshore Power Park Module or OTSDUW Plant and Apparatus or Onshore HVDC Converter shall be capable of controlling the Power Factor at the Grid Entry Point or User System Entry Point (if Embedded) within the required Reactive Power range as specified in ECC.6.3.2.2.1 and ECC.6.3.2.4 to a specified target Power Factor. The Company shall specify the target Power Factor value (which shall be achieved within 0.01 of the set Power Factor), its tolerance and the period of time to achieve the target Power Factor following a sudden change of Active Power output. The tolerance of the target Power Factor shall be expressed through the tolerance of its corresponding Reactive Power. This Reactive Power tolerance shall be expressed by either an absolute value or by a percentage of the maximum Reactive Power of the Onshore Power Park Module or OTSDUW Plant and Apparatus or Onshore HVDC Converter. The details of these requirements being pursuant to the terms of the Bilateral Agreement.
- ECC.A.7.4.3 Any additional requirements for **Power Factor** control mode of operation shall be specified by **The Company** in coordination with the relevant **Network Operator**.

APPENDIX E8 - PERFORMANCE REQUIREMENTS FOR CONTINUOUSLY ACTING AUTOMATIC VOLTAGE CONTROL SYSTEMS FOR CONFIGURATION 2 AC CONNECTED OFFSHORE POWER PARK MODULES AND CONFIGURATION 2 DC CONNECTED POWER PARK MODULES

- ECC.A.8.1 Scope
- ECC.A.8.1.1 This Appendix sets out the performance requirements of continuously acting automatic voltage control systems for Configuration 2 AC Connected Offshore Power Park Modules and Configuration 2 DC Connected Power Park Modules that must be complied with by the EU Code User. This Appendix does not limit any site specific requirements that may be specified where in The Company's reasonable opinion these facilities are necessary for system reasons.
- ECC.A.8.1.2 These requirements also apply to Configuration 2 DC Connected Power Park Modules. In the case of a Configuration 1 DC Connected Power Park Module the technical performance requirements shall be specified by The Company. Where the EU Generator in respect of a DC Connected Power Park Module has agreed to a wider reactive capability range as defined under ECC.6.3.2.5 and ECC.6.2.3.6 then the requirements that apply will be specified by The Company and which shall reflect the performance requirements detailed in ECC.A.8.2 below but with different parameters such as droop and Setpoint Voltage.
- ECC.A.8.1.3 Proposals by **EU Generators** to make a change to the voltage control systems are required to be notified to **The Company** under the **Planning Code** (PC.A.1.2(b) and (c)) as soon as the **Generator** anticipates making the change. The change may require a revision to the **Bilateral Agreement**.
- ECC.A.8.2 Requirements
- ECC.A.8.2.1 The Company requires that the continuously acting automatic voltage control system for the Configuration 2 AC connected Offshore Power Park Module and Configuration 2 DC Connected Power Park Module shall meet the following functional performance specification.
- ECC.A.8.2.2 Steady State Voltage Control
- ECC.A.8.2.2.1 The Configuration 2 AC connected Offshore Power Park Module and Configuration 2 DC Connected Power Park Module shall provide continuous steady state control of the voltage at the Offshore Connection Point with a Setpoint Voltage and Slope characteristic as illustrated in Figure ECC.A.8.2.2a.

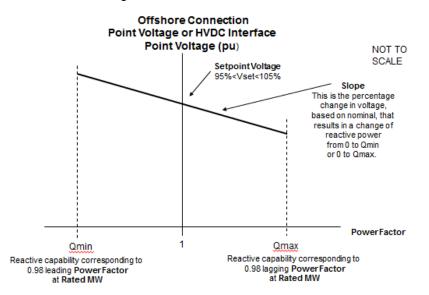
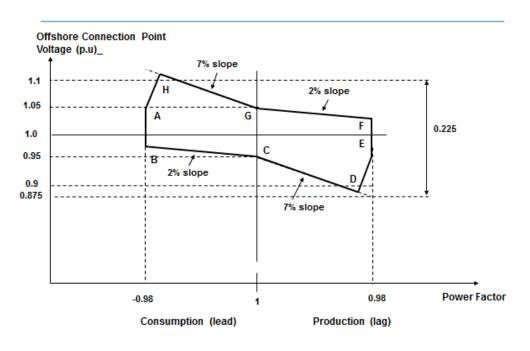


Figure ECC.A.8.2.2a

- ECC.A.8.2.2.2 The continuously acting automatic control system shall be capable of operating to a **Setpoint Voltage** between 95% and 105% with a resolution of 0.25% of the nominal voltage. For the avoidance of doubt values of 95%, 95.25%, 95.5% ... may be specified, but not intermediate values. The initial **Setpoint Voltage** will be 100%. The tolerance within which this **Setpoint Voltage** shall be achieved is specified in BC2.A.2.6. For the avoidance of doubt, with a tolerance of 0.25% and a Setpoint Voltage of 100%, the achieved value shall be between 99.75% and 100.25%. **The Company** may request the **EU Generator** to implement an alternative **Setpoint Voltage** within the range of 95% to 105%.
- ECC.A.8.2.2.3 The **Slope** characteristic of the continuously acting automatic control system shall be adjustable over the range 2% to 7% (with a resolution of 0.5%). For the avoidance of doubt values of 2%, 2.5%, 3% may be specified, but not intermediate values. The initial **Slope** setting will be 4%. The tolerance within which this **Slope** shall be achieved is specified in BC2.A.2.6. For the avoidance of doubt, with a tolerance of 0.5% and a **Slope** setting of 4%, the achieved value shall be between 3.5% and 4.5%. **The Company** may request the **EU Generator** to implement an alternative slope setting within the range of 2% to 7%.

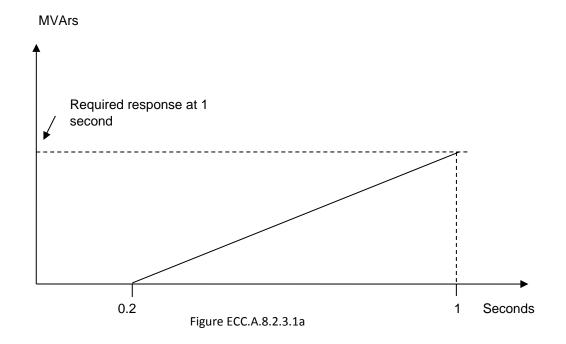




- ECC.A.8.2.2.4 Figure ECC.A.8.2.2b shows the required envelope of operation for **Configuration 2 AC connected Offshore Power Park Module** and **Configuration 2 DC Connected Power Park Module**. The enclosed area within points ABCDEFGH is the required capability range within which the **Slope** and **Setpoint Voltage** can be changed.
- ECC.A.8.2.2.5 Should the operating point of the **Configuration 2 AC connected Offshore Power Park or Configuration 2 DC Connected Power Park Module** deviate so that it is no longer a point on the operating characteristic (Figure ECC.A.8.2.2a) defined by the target **Setpoint Voltage** and **Slope**, the continuously acting automatic voltage control system shall act progressively to return the value to a point on the required characteristic within 5 seconds.

- ECC.A.8.2.2.6 Should the Reactive Power output of the Configuration 2 AC connected Offshore Power Park Module or Configuration 2 DC Connected Power Park Module reach its maximum lagging limit at an Offshore Grid Entry Point or Offshore User System Entry Point or HVDC Interface Point voltage above 95%, the Configuration 2 AC connected Offshore Power Park Module or Configuration 2 DC Connected Power Park Module shall maintain maximum lagging Reactive Power output for voltage reductions down to 95%. This requirement is indicated by the line EF in figure ECC.A.8.2.2b. Should the Reactive Power output of the Configuration 2 AC connected Offshore Power Park Module or Configuration 2 DC Connected Power Park Module reach its maximum leading limit at the Offshore Grid Entry Point or Offshore User System Entry Point or HVDC Interface Point voltage below 105%, the Configuration 2 AC connected Offshore Power Park Module or Configuration 2 DC Connected Power Park Module shall maintain maximum leading Reactive Power output for voltage increases up to 105%. This requirement is indicated by the line AB in figures ECC.A.8.2.2b.
- ECC.A.8.2.2.7 For Offshore Grid Entry Point or User System Entry Point or HVDC Interface Point voltages below 95%, the lagging Reactive Power capability of the Configuration 2 AC connected Offshore Power Park Module or Configuration 2 DC Connected Power Park Module should be that which results from the supply of maximum lagging reactive current whilst ensuring the current remains within design operating limits. An example of the capability is shown by the line DE in figures ECC.A.8.2.2b. For Offshore Grid Entry Point or Offshore User System Entry Point voltages or HVDC Interface Point voltages above 105%, the leading Reactive Power capability of the Configuration 2 AC connected Offshore Power Park Module or Configuration 2 DC Connected Power Park Module should be that which results from the supply of maximum leading reactive current whilst ensuring the current remains within design operating limits. An example of the capability is shown by the line AH in figures ECC.A.8.2.2b. Should the Reactive Power output of the Configuration 2 AC connected Offshore Power Park Module or Configuration 2 DC Connected Power Park Module reach its maximum lagging limit at an Offshore Grid Entry Point or Offshore User System Entry voltage or HVDC Interface Point voltage below 95%, the Configuration 2 AC connected Offshore Power Park Module or Configuration 2 DC Connected Power Park Module shall maintain maximum lagging reactive current output for further voltage decreases. Should the Reactive Power output of the Configuration 2 AC connected Offshore Power Park Module or Configuration 2 DC Connected Power Park Module reach its maximum leading limit at an Offshore Grid Entry Point or Offshore User System Entry voltage or HVDC Interface Point voltage above 105%, the Configuration 2 AC connected Offshore Power Park Module or Configuration 2 DC Connected Power Park Module shall maintain maximum leading reactive current output for further voltage increases.
- ECC.A.8.2.3 <u>Transient Voltage Control</u>
- ECC.A.8.2.3.1 For an on-load step change in **Offshore Grid Entry Point** or **Offshore User System Entry Point** voltage or **HVDC Interface Point** voltage, the continuously acting automatic control system shall respond according to the following minimum criteria:
 - (i) the Reactive Power output response of the Configuration 2 AC connected Offshore Power Park Module or Configuration 2 DC Connected Power Park Module shall commence within 0.2 seconds of the application of the step. It shall progress linearly although variations from a linear characteristic shall be acceptable provided that the MVAr seconds delivered at any time up to 1 second are at least those that would result from the response shown in figure ECC.A.8.2.3.1a.
 - (ii) the response shall be such that 90% of the change in the Reactive Power output of the Configuration 2 AC connected Offshore Power Park Module or Configuration 2 DC Connected Power Park Module will be achieved within
 - 2 seconds, where the step is sufficiently large to require a change in the steady state **Reactive Power** output from its maximum leading value to its maximum lagging value or vice versa and

- 1 second where the step is sufficiently large to require a change in the steady state **Reactive Power** output from zero to its maximum leading value or maximum lagging value as required by ECC.6.3.2 (or, if appropriate ECC.A.8.2.2.6 or ECC.A.8.2.2.7);
- (iii) the magnitude of the **Reactive Power** output response produced within 1 second shall vary linearly in proportion to the magnitude of the step change.
- (iv) within 5 seconds from achieving 90% of the response as defined in ECC.A.8.2.3.1 (ii), the peak to peak magnitude of any oscillations shall be less than 5% of the change in steady state maximum **Reactive Power**.
- (v) following the transient response, the conditions of ECC.A.8.2.2 apply.



ECC.A.8.2.3.2 Configuration 2 AC connected Offshore Power Park Module or Configuration 2 DC Connected Power Park Module shall be capable of

- (a) changing their **Reactive Power** output from maximum lagging value to maximum leading value, or vice versa, then reverting back to the initial level of **Reactive Power** output once every 15 seconds for at least 5 times within any 5 minute period; and
- (b) changing Reactive Power output from zero to maximum leading value then reverting back to zero Reactive Power output at least 25 times within any 24 hour period and from zero to its maximum lagging value then reverting back to zero Reactive Power output at least 25 times within any 24 hour period. Any subsequent restriction on reactive capability shall be notified to The Company in accordance with BC2.5.3.2, and BC2.6.1.

In all cases, the response shall be in accordance to ECC.A.8.2.3.1 where the change in **Reactive Power** output is in response to an on-load step change in **Offshore Grid Entry Point** or **Offshore User System Entry Point** voltage or **HVDC Interface Point** voltage.

ECC.A.8.2.4 Power Oscillation Damping

- ECC.A.8.2.4.1 The requirement for the continuously acting voltage control system to be fitted with a **Power System Stabiliser (PSS)** shall be specified if, in **The Company's** view, this is required for system reasons. However if a **Power System Stabiliser** is included in the voltage control system its settings and performance shall be agreed with **The Company** and commissioned in accordance with BC2.11.2. To allow assessment of the performance before on-load commissioning the **Generator** or **HVDC System Owner** will provide to **The Company** a report covering the areas specified in ECP.A.3.2.2.
- ECC.A.8.2.5 Overall Voltage Control System Characteristics
- ECC.A.8.2.5.1 The continuously acting automatic voltage control system is required to respond to minor variations, steps, gradual changes or major variations in **Offshore Grid Entry Point** or **Offshore User System Entry Point** or **HVDC Interface Point** voltage.
- ECC.A.8.2.5.2 The overall voltage control system shall include elements that limit the bandwidth of the output signal. The bandwidth limiting must be consistent with the speed of response requirements and ensure that the highest frequency of response cannot excite torsional oscillations on other plant connected to the network. A bandwidth of 0-5Hz would be judged to be acceptable for this application. All other control systems employed within the **Configuration 2 AC connected Offshore Power Park Module** or **Configuration 2 DC Connected Power Park Module** should also meet this requirement
- ECC.A.8.2.5.3 The response of the voltage control system (including the **Power System Stabiliser** if employed) shall be demonstrated by testing in accordance with ECP.A.6.
- ECC.A.8.3 Reactive Power Control
- ECC.A.8.3.1 Reactive Power control mode of operation is not required in respect of Configuration 2 AC connected Offshore Power Park Modules or Configuration 2 DC Connected Power Park Modules unless otherwise specified by The Company. However where there is a requirement for Reactive Power control mode of operation, the following requirements shall apply.
- ECC.A.8.3.2 Configuration 2 AC connected Offshore Power Park Modules or Configuration 2 DC Connected Power Park Modules shall be capable of setting the Reactive Power setpoint anywhere in the Reactive Power range as specified in ECC.6.3.2.8.2 with setting steps no greater than 5 MVAr or 5% (whichever is smaller) of full Reactive Power, controlling the Reactive Power at the Offshore Grid Entry Point or Offshore User System Entry Point or HVDC Interface Point to an accuracy within plus or minus 5MVAr or plus or minus 5% (whichever is smaller) of the full Reactive Power.
- ECC.A.8.3.3 Any additional requirements for **Reactive Power** control mode of operation shall be specified by **The Company**.

ECC.A.8.4 **Power Factor** Control

- ECC.A.8.4.1 **Power Factor** control mode of operation is not required in respect of **Configuration 2 AC connected Offshore Power Park Modules** or **Configuration 2 DC Connected Power Park Modules** unless otherwise specified by **The Company**. However where there is a requirement for **Power Factor** control mode of operation, the following requirements shall apply.
- ECC.A.8.4.2 Configuration 2 AC connected Offshore Power Park Modules or Configuration 2 DC Connected Power Park Modules shall be capable of controlling the Power Factor at the Offshore Grid Entry Point or Offshore User System Entry Point or HVDC Interface Point within the required Reactive Power range as specified in ECC.6.3.2.8.2 with a target Power Factor. The Company shall specify the target Power Factor (which shall be achieved to within 0.01 of the set Power Factor), its tolerance and the period of time to achieve the target Power Factor following a sudden change of Active Power output. The tolerance of the target Power Factor shall be expressed through the tolerance of its

corresponding **Reactive Power**. This **Reactive Power** tolerance shall be expressed by either an absolute value or by a percentage of the maximum **Reactive Power** of the **Configuration 2 AC connected Offshore Power Park Module** or **Configuration 2 DC Connected Power Park Module**. The details of these requirements being specified by The **Company**.

ECC.A.8.4.3 Any additional requirements for **Power Factor** control mode of operation shall be specified by **The Company**.

< END OF EUROPEAN CONNECTION CONDITIONS >

DEMAND RESPONSE SERVICES CODE (DRS)

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<u>PART I</u>

DRSC.1 INTRODUCTION

- DRSC.1.1 The **Demand Response Services Code** is concerned with **Demand Response Providers** who contract with **The Company** for the provision of **Ancillary Services**.
- DRSC.1.2 Ancillary Services are non-mandatory services used by The Company in operating the System. They are provided by Demand Response Providers with payment being dealt with under the terms of the relevant agreement for the Ancillary Service.
- DRSC.1.3 Where a **Demand Response Provider** is interested in offering an **Ancillary Service** to **The Company**, then further details and additional information of the **Ancillary Services** are available from the Balancing Services section of the **Website**.
- DRSC.1.4 Where **The Company** and a **Demand Response Provider** enter into an **Ancillary Services** agreement, it shall be in accordance with **Transmission Licence** condition C16 and the **Standard Contract Terms**.
- DRSC 1.5 **The Demand Response Services Code** which would form part of an **Ancillary Services** agreement between a **Demand Response Provider** and **The Company** nd to discharge the obligations under **European Regulation (EU) 2016/1388**. The **Ancillary Services** agreement will include an obligation on the **Demand Response Provider** to satisfy the applicable requirements of this **Demand Response Services Code**.
- DRSC.1.6 The **Demand Response Code** applies only to **Demand Response Providers** who have entered into an agreement with **The Company** to provide an **Ancillary Service**. This **Demand Response Services Code** does not apply to **Users** who are not **Demand Response Providers**.
- DRSC.1.7 For the avoidance of doubt, **Network Operators** and **Non Embedded Customers** in respect of **EU Grid Supply Points** are required to satisfy the compliance requirements in section DRSC.11 of this code in addition to the **European Compliance Processes** only if they are also a **Demand Response Provider** unless they are also a **Demand Response Provider**.
- DRSC.2 <u>OBJECTIVE</u>

The objectives of the DRSC are to

- DRSC.2.1 Ensure the obligations of European Regulation (EU) 2016/1388 have been discharged; and
- DRSC.2.2 Complement the requirements of the Ancillary Services agreement between The Company and a Demand Response Provider; and
- DRSC.2.3 Define the minimum technical and compliance requirements **Demand Response Providers** are required to satisfy if they provide a **Demand Response Service** to **The Company** under an **Ancillary Services** agreement.
- DRSC.3 <u>SCOPE</u>
- DRSC.3.1 The **DRSC** applies to any **Demand Response Provider** who has entered into an agreement to provide **Ancillary Services** with **The Company**.
- DRSC.3.2 The **DRSC** does not apply to **Users** or parties who are not **Demand Response Providers**.
- DRSC.4 <u>GENERAL PROVISIONS</u>
- DRSC.4.1 **Demand Response Services** shall be based on the following categories.
 - (a) Controlled by instruction from **The Company**
 - (i) Demand Response Active Power Control
 - (ii) Demand Response Reactive Power Control

(iii) Demand Response Transmission Constraint Management

- (b) Automatic operation once the facility has been instructed into operation upon instruction from **The Company** pursuant to the terms of the **Ancillary Services** agreement.
 - (i) Demand Response System Frequency Control
 - (ii) Demand Response Very Fast Active Power Control
- DRSC.4.2 Demand Response Providers who own, operate, control or manage Plant and Apparatus or Demand Unit(s) within a Demand Facility and/or Closed Distribution System(s) or on an aggregated basis may provide Demand Response Services to The Company. Demand Response Providers can offer Demand Response Services on an individual or collective basis and increase or decrease their Demand in accordance with the terms of their Ancillary Services agreement.
- DRSC.4.3 The **Demand Response Services** specified in DRSC.4.1 are not exclusive and do not preclude **Demand Response Providers** from negotiating other services with **The Company**. These services would be pursuant to the terms of the **Ancillary Services** agreement.

DRSC.5 <u>SPECIFIC PROVISIONS FOR DEMAND UNITS WITH DEMAND RESPONSE ACTIVE</u> <u>POWER CONTROL AND TRANSMISSION CONSTRAINT MANAGEMENT</u>

- DRSC.5.1 Where a Demand Response Provider provides Demand Response Active Power Control, Demand Response Reactive Power Control or Demand Response Transmission Constraint Management to The Company, then the following requirements as detailed below shall apply. For the avoidance of doubt these requirements shall apply either individually or where it is not part of a Demand Facility, collectively as part of a Demand Aggregation scheme through a Demand Response Provider. Demand Response Providers shall ensure that any Demand Unit which they own, operate, control or manage and which is used to provide Demand Response Services shall:-
 - (a) Be capable of satisfying the **Frequency** range requirements as specified in ECC.6.1.2.1.
 - (b) Be capable of satisfying the voltage range requirements as specified in ECC.6.1.4.1.
 - (c) Be capable of controlling the power consumption from the **Total System** in accordance with the terms of the **Ancillary Services** agreement.
 - (d) Be capable of receiving instructions from **The Company** either directly or through a third party to modify their demand in accordance with the **Demand Response Service** they have agreed to provide..
 - (e) Be capable of adjusting its **Real Power** or **Reactive Power** flow within a time period pursuant to the terms of the **Ancillary Services** agreement.
 - (f) Be capable of full execution of an instruction issued by **The Company** to modify its power flow.
 - (g) Be capable of further demand changes as instructed by The Company, following the execution of a previous instruction issued by The Company in accordance with the Ancillary Services agreement. Any such instruction shall not exceed the normal safe operating conditions of the Demand Response Provider's Plant and Apparatus or Demand Unit(s) which could cause such equipment to trip. Instructions to modify Active Power or Reactive Power flow may have immediate or delayed effects but in any event would need to comply with the requirements of the Ancillary Services agreement.
 - (h) Notify **The Company** of any change in the available capacity in accordance with the relevant **Ancillary Services** agreement.
 - (i) Be capable of withstanding a rate of change of **System Frequency** of up to a maximum of 1Hz/s measured over a 500ms time frame.

- DRSC.5.2 In addition to the requirements of DRSC.5.1, where a **Demand Response Provider** automatically modifies its **Demand** in response to changes in **System Frequency** or **System** voltage or both, **The Company** will have previously instructed the **Demand Response Provider** to switch these facilities into service in accordance with the terms of the **Ancillary Services** agreement prior to any automatic action taking place. The ability for **The Company** to issue instructions, receive acknowledgement of those instructions and receive operational metering data (for example voltage, current, **Active Power** and **Reactive Power** signals) from the **Demand Response Provider** will be dependent upon the type of **Demand Response Service** provided and shall be defined in the **Ancillary Services** agreement which shall be pursuant to the **Standard Contract Terms**.
- DRSC.5.3 Non Embedded Customers who are also Demand Response Provider's shall be capable of providing Demand Response Reactive Power Control by switching static compensation equipment into or out of service. i

DRSC.6 <u>SPECIFIC PROVISIONS FOR DEMAND UNITS WITH DEMAND RESPONSE</u> <u>FREQUENCY CONTROL</u>

- DRSC.6.1 Where a **Demand Response Provider** provides **Demand Response System Frequency Control** to **The Company** then the following requirements as detailed below shall apply. For the avoidance of doubt, these requirements apply either individually or where it is not part of a **Demand Facility**, collectively as part of a **Demand Aggregation** scheme through a **Demand Response Provider**. **Demand Response Providers** shall ensure that any **Plant** and **Apparatus** or **Demand Unit(s)** which they own, operate, control or manage, and which is used to provide **Demand Response System Frequency Control** shall:-
 - (a) Be capable of satisfying the **Frequency** range requirements as specified in ECC.6.1.2.1.
 - (b) Be capable of satisfying the voltage range requirements as specified in ECC.6.1.4.1.
 - (c) Be fitted with a deadband facility no greater than 0.03Hz unless otherwise specified in the Ancillary Services agreement. This requirement shall not apply to Demand Response Providers where only a Non–Dynamic Frequency Response Service is provided.
 - (d) The envelope of operation of the Demand Response System Frequency Control shall be in accordance with the terms of the Ancillary Services agreement. For the avoidance of doubt, continuous operation would not be required in respect of a static Frequency response service.
 - (e) Be fitted with a control system which is capable of responding to changes in System Frequency outside the nominal value of 50Hz. A deadband either side of nominal Frequency shall be permitted which shall be in accordance with the requirement of the Ancillary Services agreement.
 - (f) Be equipped with a controller that measures the actual **System Frequency**. The refresh rate for this controller shall be no longer than 0.2 seconds.
 - (g) Be able to detect a change in System Frequency of 0.01Hz. Each Demand Unit owned, operated, controlled or managed by a Demand Response Provider shall be capable of a rapid detection and respond to changes in System Frequency which shall be pursuant to the terms of the Ancillary Services agreement. An offset in the steady state measurement of Frequency shall be acceptable up to 0.05Hz. Frequency measurements must be recorded at each Demand Facility and must not be derived on an aggregated basis.

DRSC.7 <u>SPECIFIC PROVISIONS FOR DEMAND UNITS WITH DEMAND RESPONSE VERY FAST</u> <u>ACTIVE POWER CONTROL</u>

- DRSC.7.1 Where a **Demand Response Provider** provides **Demand Response Very Fast Active Power Control** to **The Company**, then the applicable requirements shall be pursuant to the terms of the **Ancillary Services** agreement which shall specify:-
 - (a) The relationship between the change in Active Power and the rate of change of System Frequency over the Demand range of the Demand Response Provider's Demand Unit(s)which they own, operate, control or manage.

- (b) The operating principles of the **Demand Response Very Fast Active Power Control** and associated performance parameters.
- (c) The response time of the **Demand Response Very Fast Active Power Control** which shall be no longer than 2 seconds from the inception of the **System Frequency** change.

DRSC.8 DATA REQUIRED BY THE COMPANY FROM DEMAND RESPONSE PROVIDER'S

DRSC.8.1 The data required to be submitted to **The Company** by a **Demand Response Provider** will vary depending upon the type of **Demand Response Service** provided and will be set out in the **Ancillary Services** agreement.

DRSC.9 OPERATIONAL METERING REQUIREMENTS

DRSC.9.1 The operational metering data required to be submitted to **The Company** will vary depending upon the type of **Demand Response Service** provided. **Demand Response Providers** may be required to install such operational metering equipment in accordance with the **Ancillary Services** agreement.

DRSC.10 INSTRUCTIONS ISSUED TO DEMAND RESPONSE PROVIDER'S

DRSC.10.1 **Demand Response Providers** may be required to be fitted with communication and instruction facilities to enable **The Company** to instruct them in the operational timeframe. These requirements will vary depending upon the type of **Demand Response Service** provided and will be set out in the **Ancillary Services** agreement.

PART II

COMPLIANCE REQUIREMENTS FOR DEMAND RESPONSE SERVICES

- DRSC.11 OPERATIONAL NOTIFICATION PROCEDURE
- DRSC.11.1 <u>General Provisions</u>
- DRSC.11.1.1 Demand Response Providers who enter into an agreement with The Company to provide Ancillary Services are required to undertake a compliance process to ensure the Plant and Apparatus or Demand Unit(s) which they own, operate, control or manage, satisfies the requirements of the Ancillary Services agreement and the Demand Response Services Code. For the avoidance of doubt, Demand Response Providers who are also EU Code Users, will also be required to satisfy the requirements of the applicable requirements of the European Compliance Processes (ECP's).
- DRSC.11.1.2 Each **Demand Response Provider**, shall confirm to **The Company** its ability to comply with the requirements of the **Ancillary Services** agreement.
- DRSC.11.1.3 Each **Demand Response Provider** shall notify **The Company** of any change to the **Plant** or **Apparatus** which they own, operate, control or manage such they are no longer able to satisfy the conditions specified in the **Ancillary Services** agreement and/or the relevant provisions of the **DRSC**. Such changes shall be notified to **The Company** in accordance with the terms of the **Ancillary Services** agreement.
- DRSC.11.2 Operational Notification Procedures for Demand Response Providers
- DRSC.11.2.1 All **Demand Response Providers** are required to undertake an **Operational Notification** procedure which shall comprise a **Demand Response Unit Document** (DRUD).

- DRSC.11.2.2 The format of the **Demand Response Unit Document (DRUD)** shall take the form shown in DRSC.A.1 and shall provide sufficient information to demonstrate the **Plant** and **Apparatus** or **Demand Unit(s)** which a **Demand Response Provider** owns, operates, controls or manages, is capable of satisfying the full requirements of the **Ancillary Services** agreement and the applicable requirements of the **DRSC**. The compliance requirements can be simplified to a single operational notification stage as well as be reduced as agreed with **The Company**. **Demand Response Providers** shall be required to submit a new **DRUD** for each subsequent **Demand Unit** added to its portfolio.
- DRSC.11.2.3 When the **Demand Response Provider** has submitted a final **DRUD** to the satisfaction of **The Company** which clearly demonstrates full compliance with the **Ancillary Services** agreement, **The Company** shall issue a **Final Operational Notification** to the **Demand Response Provider**.
- DRSC.11.3 COMPLIANCE
- DRSC.11.3.1 Responsibility of the Demand Response Provider
- DRSC.11.3.1.1 **Demand Response Providers** are required to satisfy the requirements of the **Ancillary Services** agreement which shall include satisfying the applicable requirements of this **Demand Response Services Code**.
- DRSC.11.3.1.2 Should the **Demand Response Provider** wish to modify the technical capability of the **Plant** and **Apparatus** or **Demand Unit(s)** which it owns, operates, controls or manages and which affects its compliance with the **Ancillary Services** agreement, it should notify and agree any timescales for the change with **The Company** prior to making any change.
- DRSC.11.3.1.3 Any operational incidents or failure of the **Plant** and **Apparatus** or **Demand Unit(s)** owned, operated, controlled or managed by the **Demand Response Provider** which impacts its ability to satisfy the compliance requirements detailed in this **Demand Response Services Code** shall be notified to **The Company** as soon as possible after occurrence of the incident.
- DRSC.11.3.1.4 Any planned test schedules and procedures to verify compliance of the **Plant** and **Apparatus** or **Demand Unit(s)** owned, operated, controlled or managed by the **Demand Response Provider** shall be submitted to **The Company** in advance of the tests. **The Company** shall assess the test schedules and procedures in a timely manner prior to agreeing that the **Demand Response Provider** can carry out the tests.
- DRSC.11.3.1.5 The Company may witness such tests and record the performance of the Plant and Apparatus owned, operated, controlled or managed by the Demand Response Provider to verify compliance with the Ancillary Services agreement and the Demand Response Services Code.
- DRSC.11.3.2 Role of The Company
- DRSC.11.3.2.1 The Company shall assess the compliance of the Demand Response Provider and shall undertake monitoring throughout the life time of the Plant and Apparatus or Demand Unit(s) owned, operated, controlled or managed by the Demand Response Provider to ensure compliance with the requirements of the Ancillary Services agreement. The Company shall inform the Demand Response Provider of the outcome of such assessment
- DRSC.11.3.2.2 **The Company** may require **Demand Response Providers** to carry out compliance tests and simulations according to a repeat plan or general scheme or replacement of equipment which may have an impact on the compliance of the **Plant** and **Apparatus**

or **Demand Units** owned, operated, controlled or managed by the **Demand Response Provider** as detailed in DRSC.11.3.1.3 and DRSC.11.3.1.4. **The Company** shall inform the **Demand Response Provider** of the results of these tests.

- DRSC.11.3.2.3 As part of this compliance process, the **Demand Response Provider** shall provide the following items:-
 - (a) Relevant documentation and certificates associated with the compliance process.
 - (b) Details of the technical data required to ensure compliance with the **Ancillary Services** agreement.
 - (c) Steady state and dynamic models (as applicable) of their **Demand Units** or **Plant** and **Apparatus** (or equivalent) as required and agreed with **The Company**.
 - (d) Timelines for the submission of system data required to perform System studies
 - (e) Study results showing the expected steady state and dynamic performance of the Plant and Apparatus or Demand Unit(s) or the performance of their Demand Response Service on an aggregated basis as required and agreed with The Company.
 - (f) Submission of registered **Equipment Certificates** or otherwise as agreed with **The Company**.
 - (g) Conditions and procedures for the use of relevant **Equipment Certificates** issued by an **Authorised Certifier** to a **Demand Response Provider** or equivalent to the satisfaction of **The Company**.
- DRSC.11.3.2.4 If compliance tests or simulations cannot be carried out as agreed between the **Demand Response Provider** and **The Company** due to reasons attributable to **The Company**, then **The Company** shall not unreasonably withhold the **Operational Notification** referred to in DRSC.11.2.3.
- DRSC.11.4 Compliance Testing
- DRSC.11.4.1 Common Provisions for Compliance Testing
- DRSC.11.4.1.1 The purpose of compliance testing is to ensure that the **Plant** and **Apparatus** or **Demand Unit(s)** owned, operated, controlled or managed by a **Demand Response Provider** is capable of satisfying the requirements of the **Ancillary Services** agreement and applicable sections of this **Demand Response Services Code** in addition to verifying that the models and data submitted provide a true and accurate representation of the **Plant** as built.
- DRSC.11.4.1.2 Notwithstanding the minimum requirements for compliance testing detailed in DRSC.11.4 of this **Demand Response Services Code**, **The Company** shall:-
 - (a) Allow the Demand Response Provider to carry out an alternative set of tests provided that they are efficient and sufficient to demonstrate that the Plant and Apparatus or Demand Unit(s) owned, operated, controlled or managed by a Demand Response Provider is capable of satisfying the requirements of the Ancillary Services agreement and the applicable sections of the Demand Response Services Code.

- (b) Require the **Demand Response Provider** to carry out additional or alternative tests (where reasonable) to those specified in DRSC.11.5 where they would otherwise be insufficient to demonstrate compliance with the **Ancillary Services** agreement.
- (c) Require the Demand Response Provider to be responsible for carrying out the tests in accordance with the requirements specified in DRSC.11.4 and DRSC.11.5 of the Demand Response Services Code. The Company shall cooperate with the Demand Response Provider and will not unduly delay the scheduling of the tests.
- DRSC.11.4.1.3 The Company may witness such tests (either on site or remotely from The Company's control room) to record the performance of the Demand Response Providers capability to verify compliance with the Ancillary Services agreement and the Demand Response Services Code. Where The Company witnesses the tests remotely, the Demand Response Provider shall provide the monitoring equipment necessary to record all relevant test signals and measurements in addition to ensuring that necessary representatives from the Demand Response Provider are available on site for the entire testing period. Signals specified by The Company shall be provided if for selected tests, The Company wishes to use its own equipment to record performance. The Company will inform the Demand Response Provider if it wishes to witness the tests.
- DRSC.11.5 Compliance Testing for Demand Response Providers with Demand Response Active Power Control, Reactive Power Control and Transmission Constraint Management.
- DRSC.11.5.1 Demand Modification Tests
- DRSC.11.5.1.1 Demand Response Providers who have signed an Ancillary Services agreement with The Company to provide Demand Response Active Power Control, Demand Response Reactive Power Control or Demand Response Transmission Constraint Management, are required to demonstrate (through site tests) the capability of the Plant and Apparatus or Demand Unit(s) they own, operate, control or manage to satisfy the requirements of the Ancillary Services agreement and the applicable requirements of DRSC.5. The site tests should demonstrate the capability of the Demand Response Providers ability to operate with instruction over the agreed timeframes, Demand range and duration pursuant to the terms of the Ancillary Services agreement. The tests can be completed individually or as part of a Demand aggregation scheme.
- DRSC.11.5.1.2 The tests shall be carried out either by instruction from **The Company**'s **Control Centre** or by site tests through injections applied to the **Plant** and **Apparatus** or **Demand Unit(s)** owned, operated, controlled or managed by the **Demand Response Provider**.
- DRSC.11.5.1.3 The test shall be deemed as passed if the requirements of the **Ancillary Services** agreement have been satisfied and the applicable requirements of DRSC.5 demonstrated to the satisfaction of **The Company**.
- DRSC.11.5.1.4 A list of references to **Equipment Certificates** issued by an **Authorised Certifier** (or otherwise) as agreed with **The Company**, which can be supplied by the **Demand Response Provider** to demonstrate part of the evidence of compliance;
- DRSC.11.5.2 Disconnection and Reconnection of Static Compensation Facilities
- DRSC.11.5.2.1 Demand Response Providers who have signed an Ancillary Services agreement with The Company to provide Demand Response Active Power Control, Demand Response Reactive Power Control or Demand Response Transmission Constraint Management and have also agreed to disconnect or reconnect (or both) static compensation facilities when receiving an instruction from The Company in

accordance with the requirements of the **Ancillary Services** agreement and DRSC.5.3, shall be required to demonstrate the performance of the **Plant** and **Apparatus** or **Demand Unit(s)** they own, operate, control or manage to satisfy these requirements. These requirements can be demonstrated individually or collectively as part of a demand aggregation scheme.

- DRSC.11.5.2.2 The tests shall be carried out either by instruction from **The Company**'s **Control Centre** or by site tests resulting in the disconnection and subsequent re-connection of the static compensation facilities.
- DRSC.11.5.2.3 The test shall be deemed as passed if the requirements of the **Ancillary Services** agreement have been satisfied and the applicable requirements of DRSC.5.3 demonstrated to the satisfaction of **The Company**.
- DRSC.11.6 Compliance Simulation
- DRSC.11.6.1 Common Provisions on Compliance Simulations
- DRSC.11.6.1.1 **Demand Response Providers** who agree to provide **Demand Response Very Fast Active Power Control** are required to demonstrate their ability to satisfy the requirements of the **Ancillary Services** agreement and DRSC.7 through necessary simulation studies to the satisfaction of **The Company**.
- DRSC.11.6.1.2 **Demand Response Providers** who have contracted to provide a **Demand Response Very Fast Active Power Control** service, are required to submit further simulation studies where there has been a development, replacement or modernisation of the **Plant** and **Apparatus** or **Demand Unit(s)** owned, operated, controlled or managed by the **Demand Response Provider**, or **The Company** has identified a non-compliance with the **Demand Response Provider's** ability to satisfy the requirements of the **Ancillary Services** agreement or DRSC.7.
- DRSC.11.6.1.3 Notwithstanding the requirements of DRSC.11.6.1.1 and DRSC.11.6.1.2 **The Company** shall be entitled to:-
 - (a) Allow the Demand Response Provider to carry out an alternative set of simulations provided that they are efficient and sufficient to demonstrate that the Plant and Apparatus or Demand Unit(s) owned, operated, controlled or managed by the Demand Response Provider is capable of satisfying the requirements of the Ancillary Services agreement and the applicable sections of the Demand Response Services Code.
 - (b) Require the **Demand Response Provider** to carry out additional or alternative simulations to those specified in DRSC11.6.1.1 and DRSC.11.6.1.28 where they would otherwise be insufficient to demonstrate compliance with the **Ancillary Services** agreement.
- DRSC.11.6.1.4 **The Company** may check that the **Demand Response Provider** complies with the requirements of the **Ancillary Services** agreement and the applicable sections of the **Demand Response Services Code** by carrying out its own compliance simulations based on the simulation reports, models and test measurements.
- DRSC.11.6.1.5 **The Company** will supply upon request from the **Demand Response Provider**, data to enable the **Demand Response Provider** to carry out the required simulations in accordance with the requirements of the **Ancillary Services** agreement and DRSC.11.6.

APPENDIX I – DRSC.A.1 Format of the Demand Response Unit Document (DRUD)

Demand Response Unit Document (DRUD) Statement of Compliance for Demand Response Providers

Contract company details

Contracted company name	
Primary contact name	
Contact number /s	
Email address	

Demand Response Service Details

Compliance Requirements

DRSC Requirement	Compliance Y/N	Demand Response Provider Statement
All documentation and certificates demonstrating compliance with the DRSC .		
Details of the technical data required to ensure compliance with the Ancillary Services agreement.		
Steady state and dynamic models (or equivalent information) of Plant and Apparatus or Demand Unit(s) .		
Timelines for the submission of system studies or equivalent data.		
Study results showing the expected steady state and dynamic performance of the Plant and Apparatus or Demand Unit(s)		
Conditions and procedures including the scope for registering Equipment Certificates or otherwise as agreed with The Company .		
Conditions and procedures for the use of relevant Equipment Certificates issued by an Authorised Certifier to a Demand Response Provider.		

DRSC Requirement	Compliance Y/N	Demand Response Provider Statement
Operational Metering Data to be submitted in accordance with Ancillary Services agreement.		
Ability to receive instructions to and from The Company accordance with the Ancillary Services agreement.		
Ability to operate over Frequency range as specified in DRSC.5.1(a).		
Ability to operate over voltage range as specified in DRSC.5.1(b).		
Ability to withstand a rate of change of system frequency up to a maximum of 1Hz per second as measured over a 500ms timeframe as specified in DRSC.5.1(i).		
Non-Embedded Customers who are also Demand Response Providers ability to switch static compensation equipment into or out of service in accordance with DRSC5.3 as applicable.		
Deadband settings as applicable.		
Control system block diagrams, parameters and settings as applicable.		

Declaration

Declaration – to be completed by **Customer** or the **Demand Response Provider**'s appointed technical representative

I declare that for all the Demand Response Provider's information associated with this contract:

1. Compliance with the requirements of the **Demand Response Services Code** is achieved.

2. The commissioning checks have been successfully completed.

Name:

Signature:

Company Name:

Position:

Declaration – to be completed by **The Company** Witnessing Representative if applicable. Delete if not witnessed by the **The Company**.

I confirm that I have witnessed the commissioning checks in this document on behalf of

	_ and that the results are an accurate record of the checks
Name:	
Signature:	
Company Name:	

< END OF DEMAND RESPONSE SERVICES CODE >

EUROPEAN COMPLIANCE PROCESSES

(ECP)

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EUROPEAN COMPLIANCE PROCESSES

ECP.1 INTRODUCTION

ECP.1.1 The European Compliance Processes ("ECP") specifies the compliance process in relation to directly connected and Embedded Power Stations (subject to a Bilateral Agreement), HVDC Systems, and Network Operator's or Non-Embedded Customer's Plant and Apparatus. For the avoidance of doubt, the requirements of the European Compliance Processes do not apply to Demand Response Providers unless they are also an EU Code User and have entered into a CUSC Contract with The Company:

(i) **Type A Power Generating Modules**:

the process for issuing and receiving an **Installation Document** which must be followed by **The Company** and any **User** with a **Type A Power Generating Module** to demonstrate its compliance with the **Grid Code** in relation to its **Plant** and **Apparatus** prior to the relevant **Plant** and **Apparatus** being energised.

(ii) Type B, Type C or Type D Power Generating Modules and HVDC Systems:

the process (leading to an Energisation Operational Notification) which must be followed by The Company and any User with a Type B, Type C or Type D Power Generating Module or HVDC System to demonstrate its compliance with the Grid Code in relation to its Plant and Apparatus (including OTSUA) prior to the relevant Plant and Apparatus (including any OTSUA) being energised.

the process (leading to an Interim Operational Notification and Final Operational Notification) which must be followed by The Company and any User with a Type B, Type C or Type D Power Generating Module or HVDC System or HVDC System Owner to demonstrate its compliance with the Grid Code in relation to its Plant and Apparatus (including and dynamically controlled OTSUA). This process shall be followed prior to and during the course of the relevant Plant and Apparatus (including OTSUA) being energised and Synchronised.

the process (leading to a Limited Operational Notification) which must be followed by The Company and each User with a Type B, Type C or Type D Power Generating Module or HVDC System where any of its Plant and/or Apparatus (including any OTSUA) becomes unable to comply with relevant provisions of the Grid Code, and where applicable with Appendices F1 to F5 of the Bilateral Agreement (and in the case of OTSUA Appendices OF1 to OF5 of the Bilateral Agreement). This process also includes when changes or Modifications are made to Plant and/or Apparatus (including OTSUA). This process applies to such Plant and/or Apparatus after the Plant and/or Apparatus has become Operational and until Disconnected from the Total System, (or until, in the case of OTSUA, the OTSUA Transfer Time) when changes or Modifications are made.

(iii)

Network Operator's or Non-Embedded Customer's Plant and Apparatus:

the process (leading to an **Energisation Operational Notification**) which must be followed by **The Company** and any **Network Operator** or **Non-Embedded Customer** to demonstrate its compliance with the **Grid Code** in relation to its **Plant** and **Apparatus** prior to the relevant **Plant** and **Apparatus** being energised.

the process (leading to an Interim Operational Notification and Final Operational Notification) which must be followed by The Company and any Network Operator or Non-Embedded Customer to demonstrate its compliance with the Grid Code in relation to its Plant and Apparatus. This process shall be followed prior to and during the course of the relevant Plant and Apparatus being energised and operated by using the grid connection.

the process (leading to a Limited Operational Notification) which must be followed by The Company and each Network Operator or Non-Embedded Customer where any of its Plant and/or Apparatus becomes unable to comply with relevant provisions of the Grid Code, and where applicable with Appendices F1 to F5 of the Bilateral Agreement. This process also includes changes or Modifications made to the Plant and/or Apparatus. This process applies to such Plant and/or Apparatus after the Plant and/or Apparatus has become operational and until Disconnected from the Transmission System.

- ECP.1.2 As used in the ECP references to OTSUA means OTSUA to be connected or connected to the National Electricity Transmission System prior to the OTSUA Transfer Time.
- ECP.1.3 Where a **Generator** or **HVDC System Owner** and/or **The Company** are required to apply for a derogation to the **Authority**, this is not in respect of **OTSUA**.
- ECP.2 <u>OBJECTIVE</u>
- ECP.2.1 The objective of the ECP is to ensure that there is a clear and consistent process for demonstration of compliance by Users with the European Connection Conditions and Bilateral Agreement and will enable The Company to comply with its statutory and Transmission Licence obligations. For the avoidance of doubt, the requirements of the European Compliance Processes do not apply to Demand Response Providers unless they are also an EU Code User and have entered into a CUSC Contract with The Company.
- ECP.2.2 Provisions of the **ECP** which apply in relation to **OTSDUW** and **OTSUA** shall (in any particular case) apply up to the **OTSUA Transfer Time**, whereupon such provisions shall (without prejudice to any prior non-compliance) cease to apply.
- ECP.2.3 In relation to **OTSDUW**, provisions otherwise to be contained in a **Bilateral Agreement** may be contained in the **Construction Agreement**, and accordingly a reference in the **ECP** to a relevant **Bilateral Agreement** includes the relevant **Construction Agreement**.
- ECP.3 <u>SCOPE</u>
- ECP.3.1 The **ECP** applies to **The Company** and to **Users**, which in the **ECP** means:

- (a) **EU Generators** (other than in relation to **Embedded Power Stations** not subject to a **Bilateral Agreement**) including those undertaking **OTSDUW**.
- (b) **Network Operators** who are either;
 - (i) **EU Code Users** in respect of their entire distribution **System;** or
 - (ii) **GB Code Users** in respect of their **EU Grid Supply Points** only
- (c) Non-Embedded Customers who are EU Code Users;
- (d) **HVDC System Owners** (other than those which only have **Embedded HVDC Systems** not subject to a **Bilateral Agreement**).
- ECP.3.2 The above categories of **User** will become bound by the **ECP** prior to them generating, distributing, supplying or consuming, or in the case of **OTSUA**, transmitting, as the case may be, and references to the various categories should, therefore, be taken as referring to them in that prospective role.
- ECP.3.3 For the avoidance of doubt, **Demand Response Providers** do not need to satisfy the requirements of this **ECP** unless they are also defined as an **EU Code User** and have a **CUSC Contract** with **The Company**. Where a **Demand Response Provider** is not an **EU Code User** and does not have a **CUSC Contract** with **The Company**, the requirements of the **Demand Response Services Code** shall only apply.
- ECP.3.4 For the avoidance of doubt, this ECP does not apply to GB Code Users other than in respect of Network Operator's EU Grid Supply Points.

ECP.4 CONNECTION PROCESS

- ECP.4.1 The CUSC Contract(s) contain certain provisions relating to the procedure for connection to the National Electricity Transmission System or, in the case of Embedded Power Stations or Embedded HVDC Systems, becoming operational and include provisions to be complied with by Users prior to and during the course of The Company notifying the User that it has the right to become operational. In addition to such provisions this ECP sets out in further detail the processes to be followed to demonstrate compliance. While this ECP does not expressly address the processes to be followed in the case OTSUA connecting to a Network Operator's User System prior to OTSUA Transfer Time, the processes to be followed by The Company and the Generator in respect of the OTSUA in such circumstances shall be consistent with those set out below by reference OTSUA directly connected to the National Electricity Transmission System.
- ECP.4.2 The provisions contained in ECP.5 to ECP.7 detail the process to be followed in order for the **User's Plant** and **Apparatus** (including **OTSUA**) to become operational. This process includes
 - (i) the acceptance of an Installation Document for a Type A Power Generating Module;

- (ii) for energisation an EON for Type B, Type C or Type D Power Generating Modules, or HVDC Equipment or Network Operator's or Non-Embedded Customer's Plant and Apparatus;
- (iii) for synchronising an ION for Type B, Type C or Type D Power Generating Modules or HVDC Equipment;
- (iv) for operating by using the Grid Supply Point an ION for;
 - a. **Network Operators** who are **EU Code Users** in respect of their entire distribution **System**;
 - b. Network Operators who are GB Code Users in respect of their EU Grid Supply Points only; or
 - c. Non-Embedded Customers who are EU Code Users;
- (v) for final certification a **FON**.
- ECP.4.2.1 The provisions contained in ECP.5 relate to the connection and energisation of User's Plant and Apparatus (including OTSUA) to the National Electricity Transmission System or where Embedded, to a User's System.
- ECP.4.2.2 The provisions contained in ECP.6 and ECP.7 provide the process for Generators, HVDC System Owners, Network Operators and non-Embedded Customers to demonstrate compliance with the Grid Code and with, where applicable, the CUSC Contract(s) prior to and during the course of such Generator's, HVDC System Owner's (including OTSUA up to the OTSUA Transfer Time), Network Operator's and Noon-Embedded Customer's Plant and Apparatus) becoming operational.
- ECP.4.2.3 The provisions contained in ECP.8 detail the process to be followed when:
 - (a) a Generator's or HVDC System Owner's, or Network Operator's or Non-Embedded Customer's Plant and/or Apparatus (including the OTSUA) is unable to comply with any provisions of the Grid Code and Bilateral Agreement; or,
 - (b) following any notification by a **Generator** or a **HVDC System Owner** or a **Network Operator** or a **Non-Embedded Customer** under the **PC** of any change to its **Plant** and **Apparatus** (including any **OTSUA**); or,
 - (c) a Modification to a Generator's or a HVDC System Owner's or a Network Operator's or a Non-Embedded Customer's Plant and/or Apparatus.
- ECP.4.3 Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Equipment not subject to a Bilateral Agreement
- ECP.4.3.1 In the case of Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Systems not subject to a Bilateral Agreement, ensuring the obligations of the ECC and Appendix E of the relevant Bilateral Agreement between The Company and the host Network Operator are performed and discharged by the relevant party. For the avoidance of doubt the process in this ECP does not apply to Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Equipment not subject to a Bilateral Agreement.
- ECP.5 ENERGISATION OPERATIONAL NOTIFICATION
- ECP.5.1 The following provisions apply in relation to the issue of an Energisation Operational Notification in respect of a Power Station consisting of Type B, Type C or Type D Power Generating Modules or an HVDC System or

a Network Operator's or a Non-Embedded Customer's Plant and Apparatus.

- ECP.5.1.1 Certain provisions relating to the connection and energisation of the User's Plant and Apparatus at the Connection Site and OTSUA at the Transmission Interface Point and in certain cases of Embedded Plant and Apparatus are specified in the CUSC and/or CUSC Contract(s). For other Embedded Plant and Apparatus the Distribution Code, the DCUSA and the Embedded Development Agreement for the connection specify equivalent provisions. Further detail on this is set out in ECP.5 below.
- ECP.5.2 The items for submission prior to the issue of an **Energisation Operational Notification** are set out in ECC.5.2
- ECP.5.3 In the case of a **Generator** or **HVDC System Owner** the items referred to in ECC.5.2 shall be submitted using the **Power Generating Module Document** or **User Data File Structure** as applicable.
- ECP.5.4 Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **User** wishing to energise its **Plant** and **Apparatus** (including passive **OTSUA**) for the first time, the **User** will submit to **The Company** a Certificate of Readiness to Energise **High Voltage** Equipment which specifies the items of **Plant** and **Apparatus** (including **OTSUA**) ready to be energised in a form acceptable to **The Company**.
- ECP.5.5 If the relevant obligations under the provisions of the **CUSC** and/or **CUSC Contract(s)** and the conditions of ECP.5 have been completed to **The Company's** reasonable satisfaction then **The Company** shall issue an **Energisation Operational Notification.** Any dynamically controlled reactive compensation **OTSUA** (including Statcoms or Static Var Compensators) shall not be **Energised** until the appropriate **Interim Operational Notification** has been issued in accordance with ECP.6.

ECP.6 OPERATIONAL NOTIFICATION PROCESSES

- ECP.6.1 OPERATIONAL NOTIFICATION PROCESS (Type A)
- ECP.6.1.1 The following provisions apply in relation to the notification process in in respect of a **Power Station** consisting of **Type A Power Generating Modules.**
- ECP.6.1.2 Not less than 7 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** wishing to **Synchronise** its **Plant** and **Apparatus** for the first time the **Generator** will:
 - (i) submit to The Company a Notification of the User's Intention to Connect; and
 - (ii) submit to **The Company** an **Installation Document** containing at least but not limited to the items referred to at ECP.6.1.3.
- ECP.6.1.3 Items for submission prior to connection.
- ECP.6.1.3.1 Prior to the issue of an acknowledgment to connect the **Generator** must submit to **The Company** to **The Company's** satisfaction an **Installation Document** containing at least but not limited to:
 - (i) The location at which the connection is made;

- (ii) The date of the connection;
- (iii) The maximum capacity of the installation in kW;
- (iv) The type of primary energy source;
- (v) The classification of the **Power Generating Module** as an emerging technology;
- (vi) A list of references to Equipment Certificates issued by an authorised certifier or otherwise agreed with The Company used for equipment that is installed at the site or copies of the relevant Equipment Certificates issued by an Authorised Certifier or otherwise where these are relied upon as part of the evidence of compliance;
- (vii) As regards equipment used, for which an **Equipment Certificate** has not been received, information shall be provided as directed by **The Company** or the **Relevant Network Operator;** and
- (viii) The contact details of the **Generator** and the installer and their signatures.
- ECP.6.1.3.2 The items referred to in ECP.6.1.3 shall be submitted by the **Generator** in the form of an **Installation Document** for each applicable **Power Generating Module**.
- ECP.6.1.4 No **Power Generating Module** shall be **Synchronised** to the **Total System** until the later of:
 - (a) the date specified by the **Generator** in the **Installation Document** issued in respect of each applicable **Power Generating Module(s)**; and,
 - (b) acknowledgement is received from **The Company** confirming receipt of the **Installation Document**.
- ECP.6.1.5 When the requirements of ECP.6.1.2 to ECP.6.1.4 have been met, **The Company** will notify the **Generator** that the **Power Generating Module** may (subject to the **Generator** having fulfilled the requirements of ECP.6.1.3 where that applies) be **Synchronised** to the **Total System**.
- ECP.6.1.6 Not less than 7 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** wishing to decommission its **Plant** and **Apparatus** the **Generator** will submit to **The Company** a **Notification of User's Intention to Disconnect**.
- ECP.6.2 INTERIM OPERATIONAL NOTIFICATION (Type B and Type C)
- ECP.6.2.1 The following provisions apply in relation to the issue of a Interim Operational Notification in respect of a Power Station consisting of Type B and(or) Type C Power Generating Modules.
- ECP.6.2.2 Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** wishing to **Synchronise** its **Plant** and **Apparatus** or dynamically controlled **OTSUA** for the first time the **Generator or HVDC Equipment** owner will:
 - (iii) submit to The Company a Notification of User's Intention to Synchronise; and

- (iv) submit to **The Company** an initial **Power Generating Module Document** containing at least but not limited to the items referred to at ECP.6.2.3.
- ECP.6.2.3 Items for submission prior to issue of the Interim Operational Notification.
- ECP.6.2.3.1 Prior to the issue of a Interim Operational Notification in respect of the EU Code User's Plant and Apparatus or dynamically controlled OTSUA the Generator must submit to The Company to The Company's satisfaction a Interim Power Generating Module Document containing at least but not limited to:
 - updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand;
 - (ii) for **Type C Power Generating Modules** the simulation models;
 - (iii) details of any special **Power Generating Module(s)** protection as required by ECC.6.2.2.3 . This may include Pole Slipping protection and islanding protection schemes as applicable;
 - (iv) simulation study provisions of Appendix ECP.A.3 and the results demonstrating compliance with **Grid Code** requirements of:

PC.A.5.4.2 PC.A.5.4.3.2, ECC.6.3.4, ECC.6.3.7.3.1 to ECC.6.3.7.3.6, ECC.6.3.15, ECC.6.3.16 ECC.A.6.2.5.6 ECC.A.7.2.3.1

as applicable to the **Power Generating Module(s)** or dynamically controlled **OTSUA** unless agreed otherwise by **The Company**;

- (v) a detailed schedule of the tests and the procedures for the tests required to be carried out by the Generator under ECP.7.2 to demonstrate compliance with relevant Grid Code requirements. Such schedule to be consistent with Appendix ECP.A.5 (in the case of a Synchronous Power Generating Module) or Appendix ECP.A.6 (in the case of a Power Park Modules) and OTSUA as applicable);
- (vi) copies of Manufacturer's Test Certificates or Equipment Certificates issued by an Authorised Certifier or equivalent as agreed with The Company where these are relied upon as part of the evidence of compliance and
- (vii) a **Compliance Statement** and a **User Self Certification of Compliance** completed by the **EU Code User** (including any **Unresolved Issues**) against the relevant **Grid Code** requirements including details of any requirements that the **Generator** has identified that will not or may not be met or demonstrated.

- ECP.6.2.3.2 The items referred to in ECP.6.2.3 shall be submitted by the **Generator** in the form of a **Power Generating Module Document (PGMD)** for each applicable **Power Generating Module**.
- ECP.6.2.4 No **Generating Unit** or dynamically controlled **OTSUA** shall be **Synchronised** to the **Total System** (and for the avoidance of doubt, dynamically controlled **OTSUA** will not be able to transmit) until the later of:
 - (a) the date specified by **The Company** in the **Interim Operational Notification** issued in respect of each applicable **Power Generating Module(s)** or dynamically controlled **OTSUA**; and,
 - (b) in the case of Synchronous Power Generating Module(s) only after the date of receipt by the Generator of written confirmation from The Company that the Synchronous Power Generating Module or CCGT Module as applicable has completed the following tests to demonstrate compliance with the relevant provisions of the Connection Conditions to The Company's satisfaction:
 - (i) those tests required to establish the open and short circuit saturation characteristics of the Synchronous Power Generating Module (as detailed in Appendix ECP.A.4.3) to enable assessment of the short circuit ratio in accordance with ECC.6.3.2. Such tests may be carried out at a location other than the Power Station site and supplied in the form of an Equipment Certificate or as otherwise agreed by The Company; and
 - (ii) open circuit step response tests (as detailed in Appendix ECP.A.5.2) to demonstrate compliance with ECC.A.6.2.4.1.
- ECP.6.2.5 **The Company** shall assess the schedule of tests submitted by the **Generator** with the **Notification of User's Intention to Synchronise** under ECP.6.2.3 and shall determine whether such schedule has been completed to **The Company's** satisfaction.
- ECP.6.2.6 When the requirements of ECP.6.2.2 to ECP.6.2.5 have been met, **The Company** will notify the **Generator** that the:

Synchronous Power Generating Module, CCGT Module, Power Park Module or Dynamically controlled OTSUA

as applicable may (subject to the **Generator** having fulfilled the requirements of ECP.6.2.3 where that applies) be **Synchronised** to the **Total System** through the issue of an **Interim Operational Notification**. Where the **Generator** is undertaking **OTSDUW** then the **Interim Operational Notification** will be in two parts, with the "**Interim Operational Notification Part A**" applicable to **OTSUA** and the **Interim Operational Notification Part B**" applicable to the **EU Code Users Plant** and **Apparatus**. For the avoidance of doubt, the "**Interim Operational Notification Part A**" and the **"Interim Operational Notification Part A**" and the **Station Operational Notification Part A**" and the **Station Operational Notification Part A**" and the **Station of Embedded HVDC Equipment Station** (other than a **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded HVDC Equipment Stations** not subject to a **Bilateral Agreement**, **The Company** will notify the **Network Operator** that an **Interim Operational Notification has been issued**.

- ECP.6.2.6.1 The Interim Operational Notification will be time limited, the expiration date being specified at the time of issue. The Interim Operational Notification may be renewed by The Company.
- ECP.6.2.6.2 The **Generator** must operate the **Power Generating Module** or **OTSUA** in accordance with the terms, arising from the **Unresolved Issues**, of the **Interim Operational Notification**. Where practicable, **The Company** will discuss such terms with the **Generator** prior to including them in the **Interim Operational Notification**.
- ECP.6.2.6.3 The Interim Operational Notification will include the following limitations:
 - (a) In the case of OTSUA, the Interim Operational Notification Part A permits Synchronisation of the dynamically controlled OTSUA to the Total System only for the purposes of active control of voltage and reactive power and not for the purpose of exporting Active Power.
 - (b) In the case of a Power Park Module the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) will limit the proportion of the Power Park Module which can be simultaneously Synchronised to the Total System such that neither of the following figures is exceeded:
 - (i) 20% of the Maximum Capacity of the Power Park Module (or the output of a single Power Park Unit where this exceeds 20% of the Power Station's Maximum Capacity)

until the **Generator** has completed the voltage control tests (detailed in ECP.A.6.2) (including in respect of any dynamically controlled **OTSUA**) to **The Company's** reasonable satisfaction. Following successful completion of this test each additional **Power Park Unit** should be included in the voltage control scheme as soon as is technically possible (unless **The Company** agrees otherwise).

- (c) In the case of a Synchronous Power Generating Module employing a static Excitation System the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) may, if applicable, limit the maximum Active Power output and Reactive Power output of the Synchronous Power Generating Module or CCGT module prior to the successful commissioning of the Power System Stabiliser to The Company's satisfaction, if applicable.
- ECP.6.2.6.4 Operation in accordance with the **Interim Operational Notification** whilst it is in force will meet the requirements for compliance by the **Generator** and **The Company** of all the relevant provisions of the **European Connection Conditions**.
- ECP.6.2.7 Other than **Unresolved Issues** that are subject to tests required under ECP.7.2 to be witnessed by **The Company**, the **Generator** must resolve any **Unresolved Issues** prior to the commencement of the tests, unless **The Company** agrees to a later resolution. The **Generator** must liaise with **The Company** in respect of such resolution. The tests that may be witnessed by **The Company** are specified in ECP.7.2.
- ECP.6.2.8 Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** wishing to commence tests required under ECP.7 to be witnessed by **The Company**,

the **Generator** will notify **The Company** that the **Power Generating Module(s)** as applicable is ready to commence such tests.

ECP.6.2.9 The items referred to at ECP.7.3 shall be submitted by the **Generator** after successful completion of the tests required under ECP.7.2.

ECP.6.3 INTERIM OPERATIONAL NOTIFICATION (Type D and HVDC Equipment)

- ECP.6.3.1 The following provisions apply in relation to the issue of an Interim Operational Notification in respect of a Power Station consisting of Type D Power Generating Modules or an HVDC System.
- ECP.6.3.2 Not less than 28 days, or such shorter period as may be acceptable in The Company's reasonable opinion, prior to the Generator or HVDC System Owner wishing to Synchronise its Plant and Apparatus or dynamically controlled OTSUA for the first time the Generator or HVDC System Owner will:
 - i. submit to The Company a Notification of User's Intention to Synchronise; and
 - ii. submit to **The Company** the items referred to at ECP.6.3.3.
- ECP.6.3.3 Items for submission prior to issue of the Interim Operational Notification.
- ECP.6.3.3.1 Prior to the issue of an Interim Operational Notification in respect of the EU Code User's Plant and Apparatus or dynamically controlled OTSUA the Generator or HVDC System Owner must submit to The Company to The Company's satisfaction:
 - (a) updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand;
 - (b) details of any special Power Generating Module(s) or HVDC Equipment protection as applicable. This may include Pole Slipping protection and islanding protection schemes;
 - (c) any items required by ECP.5.2, updated by the **EU Code User** as necessary;
 - (d) simulation study provisions of Appendix ECP.A.3 and the results demonstrating compliance with **Grid Code** requirements of:

PC.A.5.4.2 PC.A.5.4.3.2, ECC.6.3.4, ECC.6.3.7.3.1 to ECC.6.3.7.3.6, ECC.6.3.15, ECC.6.3.16 ECC.A.6.2.5.6 ECC.A.7.2.3.1

as applicable to the **Power Station**, **Synchronous Power Generating Module(s)**, **Power Park Module(s)**, **HVDC Equipment** or dynamically controlled **OTSUA** unless agreed otherwise by **The Company**;

- (e) a detailed schedule of the tests and the procedures for the tests required to be carried out by the Generator or HVDC System Owner under ECP.7.2 to demonstrate compliance with relevant Grid Code requirements. Such schedule to be consistent with Appendix ECP.A.5 (in the case of Synchronous Power Generating Modules) or Appendix ECP.A.6 (in the case of Power Park Modules and OTSUA as applicable) or Appendix ECP.A.7 (in the case of HVDC Equipment; and
- (f) an interim Compliance Statement and a User Self Certification of Compliance completed by the EU Code User (including any Unresolved Issues) against the relevant Grid Code requirements including details of any requirements that the Generator or HVDC System Owner has identified that will not or may not be met or demonstrated.
- ECP.6.3.3.2 The items referred to in ECP.6.3.3 shall be submitted by the **Generator** or **HVDC System Owner** using the **User Data File Structure**.
- ECP.6.3.4 No **Power Generating Module** or **HVDC Equipment** shall be **Synchronised** to the **Total System** (and for the avoidance of doubt, dynamically controlled **OTSUA** will not be able to transmit) until the later of:
 - (a) the date specified by **The Company** in the **Interim Operational Notification** issued in respect of the **Power Generating Module(s)** or **HVDC Equipment or** dynamically controlled **OTSUA**; and,
 - (b) if **Embedded**, the date of receipt of a confirmation from the **Network Operator** in whose **System** the **Plant and Apparatus** is connected that it is acceptable to the **Network Operator** that the **Plant and Apparatus** be connected and **Synchronised**; and,
 - (c) in the case of Synchronous Power Generating Module(s) only after the date of receipt by Generator of written confirmation from The Company that the Synchronous Power Generating Module has completed the following tests to demonstrate compliance with the relevant provisions of the Connection Conditions to The Company's satisfaction:
 - (i) those tests required to establish the open and short circuit saturation characteristics of the Synchronous Power Generating Module (as detailed in Appendix ECP.A.5.3) to enable assessment of the short circuit ratio in accordance with ECC.6.3.2. Such tests may be carried out at a location other than the Power Station site; and
 - (ii) open circuit step response tests (as detailed in Appendix ECP.A.5.2) to demonstrate compliance with ECC.A.6.2.4.1.
- ECP.6.3.5 **The Company** shall assess the schedule of tests submitted by the **Generator** or **HVDC System Owner** with the **Notification of User's Intention to Synchronise** under ECP.6.3.1 and shall determine whether such schedule has been completed to **The Company's** satisfaction.
- ECP.6.3.6 When the requirements of ECP.6.3.2 to ECP.6.3.5 have been met, The Company will notify the Generator or HVDC System Owner that the: Synchronous Power Generating Module, CCGT Module, Power Park Module Dynamically controlled OTSUA or

HVDC Equipment,

as applicable may (subject to the Generator or HVDC System Owner having fulfilled the requirements of ECP.6.3.3 where that applies) be Synchronised to the Total System through the issue of an Interim Operational Notification. Where the Generator is undertaking OTSDUW then the Interim Operational Notification will be in two parts, with the "Interim Operational Notification Part A" applicable to OTSUA and the "Interim Operational Notification Part B" applicable to the EU Code Users Plant and Apparatus. For the avoidance of doubt, the "Interim Operational Notification Part A" and the "Interim Operational Notification Part B" can be issued together or at different times. In respect of an Embedded Power Station or Embedded HVDC Equipment Station (other than a Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Equipment Stations not subject to a Bilateral Agreement), The Company will notify the Network Operator that an Interim Operational Notification has been issued.

- ECP.6.3.6.1 The Interim Operational Notification will be time limited, the expiration date being specified at the time of issue. The Interim Operational Notification may be renewed by The Company for up to a maximum of 24 months from the date of the first issue of the Interim Operational Notification. The Company may only issue an extension to an Interim Operational Notification beyond 24 months provided the Generator or HVDC System Owner has applied for a derogation for any remaining Unresolved Issues to the Authority as detailed in ECP.9.
- ECP.6.3.6.2 The Generator or HVDC System Owner must operate the Power Generating Module or HVDC Equipment in accordance with the terms, arising from the Unresolved Issues, of the Interim Operational Notification. Where practicable, The Company will discuss such terms with the Generator or HVDC System Owner prior to including them in the Interim Operational Notification.
- ECP.6.3.6.3 The Interim Operational Notification will include the following limitations:
 - (a) In the case of OTSUA, the Interim Operational Notification Part A permits Synchronisation of the dynamically controlled OTSUA to the Total System only for the purposes of active control of voltage and reactive power and not for the purpose of exporting Active Power.
 - (b) In the case of a Power Park Module the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) will limit the proportion of the Power Park Module which can be simultaneously Synchronised to the Total System such that neither of the following figures is exceeded:
 - 20% of the Maximum Capacity of the Power Park Module (or the output of a single Power Park Unit where this exceeds 20% of the Power Station's Maximum Capacity); nor
 - (ii) 50MW

until the **Generator** has completed the voltage control tests (detailed in ECP.A.6.3.2) to **The Company's** reasonable satisfaction. Following successful completion of this test each additional **Power Park Unit** should be included in the voltage control scheme as soon as is technically possible (unless **The Company** agrees otherwise).

- (c) In the case of a Power Park Module with a Maximum Capacity greater or equal to 100MW, the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) will limit the proportion of the Power Park Module which can be simultaneously Synchronised to the Total System to 70% of Maximum Capacity until the Generator has completed the Limited Frequency Sensitive Mode (LFSM-O) control tests with at least 50% of the Maximum Capacity of the Power Park Module in service (detailed in ECP.A.6.3.3) to The Company's reasonable satisfaction.
- (d) In the case of a Synchronous Power Generating Module employing a static Excitation System or a Power Park Module employing a Power System Stabiliser the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) may if applicable limit the maximum Active Power output and Reactive Power output of the Synchronous Power Generating Module or CCGT module prior to the successful commissioning of the Power System Stabiliser to The Company's satisfaction.
- ECP.6.3.6.4 Operation in accordance with the **Interim Operational Notification** whilst it is in force will meet the requirements for compliance by the **Generator** or **HVDC System Owner** and **The Company** of all the relevant provisions of the **European Connection Conditions**.
- ECP.6.3.7 Other than **Unresolved Issues** that are subject to tests required under ECP.7.2 to be witnessed by **The Company**, the **Generator** or **HVDC System Owner** must resolve any **Unresolved Issues** prior to the commencement of the tests, unless **The Company** agrees to a later resolution. The **Generator** or **HVDC System Owner** must liaise with **The Company** in respect of such resolution. The tests that may be witnessed by **The Company** are specified in ECP.7.2.
- ECP.6.3.8 Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** or **HVDC System Owner** wishing to commence tests required under ECP.7 to be witnessed by **The Company**, the **Generator** or **HVDC System Owner** will notify **The Company** that the **Power Generating Module(s)** or **HVDC Equipment(s)** as applicable is ready to commence such tests.
- ECP.6.3.9 The items referred to at ECP.7.3 shall be submitted by the **Generator** or the **HVDC System Owner** after successful completion of the tests required under ECP.7.2.
- ECP.6.4 <u>INTERIM OPERATIONAL NOTIFICATION (Network Operator's or Non-</u> Embedded Customer's Plant and Apparatus)
- ECP.6.4.1 The following provisions apply in relation to the issue of an Interim Operational Notification in respect of Network Operator's or Non-Embedded Customer's Plant and Apparatus.
- ECP.6.4.2 Not less than 28 days, or such shorter period as may be acceptable in The Company's reasonable opinion, prior to the Network Operator or Non-Embedded Customer wishing to operate its Plant and Apparatus by using the EU Grid Supply Point for the first time, the Network Operator or Non-Embedded Customer will:
 - iii. submit to The Company a Notification of User's Intention to Operate; and

- iv. submit to **The Company** the items referred to at ECP.6.4.3.
- ECP.6.4.3 Items for submission prior to issue of the Interim Operational Notification.
- ECP.6.4.3.1 Prior to the issue of an Interim Operational Notification in respect of the User's Plant and Apparatus at an EU Grid Supply Point, the Network Operator or Non-Embedded Customer must submit to The Company to The Company's satisfaction:
 - (a) updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand;
 - (b) details of any special protection as applicable;
 - (c) any items required by ECP.5.2, updated as necessary;
 - (d) data submission and results required by Appendix ECP.A.8 demonstrating compliance with **Grid Code** requirements of:

PC.A.2.2 PC.A.2.3 PC.A.2.4 PC.A.2.5.2 PC.A.2.5.3 PC.A.2.5.4 PC.A.2.5.6 PC.A.4 PC.A.6.1.3 PC.A.6.3 PC.A.6.7.1

as applicable to the **Network Operator's** or **Non-Embedded Customer's Plant** and **Apparatus** unless agreed otherwise by **The Company**;

- (e) a detailed schedule of the tests and the procedures for the tests required to be carried out by the **Network Operator** or **Non-Embedded Customer** under ECP.7.8 (or **Equipment Certificates** as relevant) to demonstrate compliance with relevant **Grid Code** requirements. Such schedule is to be consistent with Appendix ECP.A.8.
- (f) an interim Compliance Statement and a User Self Certification of Compliance completed by the User (including any Unresolved Issues) against the relevant Grid Code requirements including details of any requirements that the Network Operator or Non-Embedded Customer has identified that will not or may not be met or demonstrated.
- ECP.6.4.4 No Network Operator's or Non-Embedded Customer's Plant and Apparatus shall be operated by using the EU Grid Supply Point until the date specified by The Company in the Interim Operational Notification.
- ECP.6.4.5 The Company shall assess the schedule of tests submitted by the Network Operator or Non-Embedded Customer with the Notification of User's

Intention to Operate under ECP.6.4.1 and shall determine whether such schedule has been completed to **The Company's** satisfaction.

- ECP.6.4.6 When the requirements of ECP.6.4.2 to ECP.6.4.5 have been met, **The Company** will notify the **Network Operator** or **Non-Embedded Customer** that the **Plant** and **Apparatus** may (subject to the **Network Operator** or **Non-Embedded Customer** having fulfilled the requirements of ECP.6.4.3 where that applies) be operated by using the **EU Grid Supply Point** through the issue of an **Interim Operational Notification**.
- ECP.6.4.6.1 The Interim Operational Notification will be time limited, the expiration date being specified at the time of issue. The Interim Operational Notification may be renewed by The Company for up to a maximum of 24 months from the date of the first issue of the Interim Operational Notification. The Company may only issue an extension to an Interim Operational Notification beyond 24 months provided the Network Operator or Non-Embedded Customer has applied for a derogation for any remaining Unresolved Issues to the Authority as detailed in ECP.9.
- ECP.6.4.6.2 The Network Operator or Non-Embedded Customer must operate the Plant and Apparatus in accordance with the terms, arising from the Unresolved Issues, of the Interim Operational Notification. Where practicable, The Company will discuss such terms with the Network Operator or Non-Embedded Customer prior to including them in the Interim Operational Notification.
- ECP.6.4.7 The Network Operator or Non-Embedded Customer must resolve any Unresolved Issues prior to the commencement of the tests, unless The Company agrees to a later resolution. The Network Operator or Non-Embedded Customer must liaise with The Company in respect of such resolution.
- ECP.6.4.8 Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Network Operator** or **Non-Embedded Customer** wishing to commence tests required under ECP.7.8(e) and ECP.A.8 to be witnessed by **The Company** the **Network Operator** or **Non-Embedded Customer** will notify **The Company** that the **Network Operator** or **Non-Embedded Customer** as applicable is ready to commence such tests.

ECP.7. FINAL OPERATIONAL NOTIFICATION

<u>Final Operational Notification in respect of Generators and HVDC</u> System Owners

- ECP.7.1 The following provisions apply in relation to the issue of a Final Operational Notification in respect of a Power Station consisting of Type B, Type C and Type D Power Generating Modules or an HVDC System.
- ECP.7.2 Tests to be carried out prior to issue of the **Final Operational Notification**.
- ECP.7.2.1 Prior to the issue of a **Final Operational Notification** the **Generator** or **HVDC System Owner** must have completed the tests specified in this ECP.7.2.2 to **The Company's** satisfaction to demonstrate compliance with the relevant **Grid Code** provisions.

- ECP.7.2.2 In the case of any **Power Generating Module, OTSUA** (if applicable) or **HVDC Equipment** these tests will reflect the relevant technical requirements and will comprise one or more of the following:
 - (a) Reactive capability tests to demonstrate that the **Power Generating Module, OTSUA** (if applicable) or **HVDC Equipment** can meet the requirements of ECC.6.3.2. These may be witnessed by **The Company** on site if there is no metering to **The Company** Control Centre.
 - (b) voltage control system tests to demonstrate that the Power Generating Module, OTSUA (if applicable) or HVDC Equipment can meet the requirements of ECC.6.3.6.3, ECC.6.3.8 and, in the case of Power Park Module, OTSUA (if applicable) and HVDC Equipment, the requirements of ECC.A.7 or ECC.A.8 and, in the case of Synchronous Power Generating Module and CCGT Module, the requirements of ECC.A.6, and any terms specified in the Bilateral Agreement as applicable. These tests may also be used to validate the Excitation System model (PC.A.5.3) or voltage control system model (PC.A.5.4) as applicable. These tests may be witnessed by The Company.
 - (c) governor or frequency control system tests to demonstrate that the Power Generating Module, OTSUA (if applicable) or HVDC Equipment can meet the requirements of ECC.6.3.6.2, ECC.6.3.7, where applicable ECC.A.3, and BC.3.7. In the case of a Type B Power Generating Module only tests BC3 and BC4 in ECP.A.5.8 Figure 2 or ECP.A.6.6 Figure 2 must be completed. The results will also validate the Mandatory Service Agreement required by ECC.8.1. These tests may also be used to validate the governor model (PC.A.5.3) or frequency control system model (PC.A.5.4) as applicable. These tests may be witnessed by The Company.
 - (d) fault ride through tests in respect of a Power Station with a Maximum Capacity of 100MW or greater, comprised of one or more Power Park Modules, to demonstrate compliance with ECC.6.3.15, ECC.6.3.16 and ECC.A.4. Where test results from a Manufacturers Data & Performance Report as defined in ECP.10 have been accepted this test will not be required.
 - (e) any further tests reasonably required by **The Company** and agreed with the **EU Code User** to demonstrate any aspects of compliance with the **Grid Code** and the **CUSC Contracts**.
- ECP.7.2.3 The Company's preferred range of tests to demonstrate compliance with the ECCs are specified in Appendix ECP.A.5 (in the case of Synchronous Power Generating Modules) or Appendix ECP.A.6 (in the case of a Power Park Modules or OTSUA (if applicable)) or Appendix ECP.A.7 (in the case of HVDC Equipment and are to be carried out by the EU Code User with the results of each test provided to The Company. The EU Code User may carry out an alternative range of tests if this is agreed with The Company. The Company may agree a reduced set of tests where there is a relevant Manufacturers Data & Performance Report as detailed in ECP.10 or an applicable Equipment Certificate has been accepted.
- ECP.7.2.4 In the case of **Offshore Power Park Modules** which do not contribute to **Offshore Transmission Licensee Reactive Power** capability as described in ECC.6.3.2.5 or ECC.6.3.2.6 or Voltage Control as described in ECC.6.3.8.5 the tests outlined in ECP.7.2.2 (a) and ECP.7.2.2 (b) are not

required. However, the offshore **Reactive Power** transfer tests outlined in ECP.A.5.8 shall be completed in their place.

- ECP.7.2.5 Following completion of each of the tests specified in this ECP.7.2, **The Company** will notify the **Generator** or **HVDC System Owner** whether, in the opinion of **The Company**, the results demonstrate compliance with the relevant **Grid Code** conditions.
- ECP.7.2.6 The **Generator** or **HVDC System Owner** is responsible for carrying out the tests and retains the responsibility for safety and personnel during the test.
- ECP.7.3 Items for submission prior to issue of the **Final Operational Notification**
- ECP.7.3.1 Prior to the issue of a **Final Operational Notification** the **Generator** or **HVDC System Owner** must submit to **The Company** to **The Company's** satisfaction:
 - updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with validated actual values and updated estimates for the future including Forecast Data items such as Demand;
 - (b) any items required by ECP.5.2 and ECP.6.2.3 or ECP.6.3.3 as applicable, updated by the **EU Code User** as necessary;
 - (c) evidence to The Company's satisfaction that demonstrates that the controller models and/or parameters (as required under PC.A.5.3.2(c) option 2, PC.A.5.3.2(d) option 2, PC.A.5.4.2, and/or PC.A.5.4.3.2) supplied to The Company provide a reasonable representation of the behaviour of the EU Code User's Plant and Apparatus and OTSUA if applicable;
 - (d) copies of **Manufacturer's Test Certificates** or **Equipment Certificates** issued by an **Authorised Certifier** or equivalent where these are relied upon as part of the evidence of compliance;
 - (e) results from the tests required in accordance with ECP.7.2 carried out by the **Generator** to demonstrate compliance with relevant **Grid Code** requirements including the tests witnessed by **The Company**; and
 - (f) the final Compliance Statement and a User Self Certification of Compliance signed by the EU Code User and a statement of any requirements that the Generator or HVDC System Owner has identified that have not been met together with a copy of the derogation in respect of the same from the Authority.
- ECP.7.3.2 The items in ECP.7.3 should be submitted by the **Generator** (including in respect of any **OTSUA** if applicable) or **HVDC System Owner** using the **User Data File Structure**.
- ECP.7.4 If the requirements of ECP.7.2 and ECP.7.3 have been successfully met, **The Company** will notify the **Generator** or **HVDC System Owner** that compliance with the relevant **Grid Code** provisions has been demonstrated for the **Power Generating Module(s)**, **OTSUA** if applicable or **HVDC Equipment** as applicable through the issue of a **Final Operational Notification**. In respect of a **Embedded Power Station** or **Embedded HVDC Equipment** other than a **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded HVDC Equipment** not

subject to a **Bilateral Agreement**, **The Company** will notify the **Network Operator** that a **Final Operational Notification** has been issued.

ECP.7.5 If a Final Operational Notification can not be issued because the requirements of ECP.7.2 and ECP.7.3 have not been successfully met prior to the expiry of an Interim Operational Notification then the Generator or HVDC System Owner (where licensed in respect of its activities) and/or The Company shall apply to the Authority for a derogation. The provisions of ECP.9 shall then apply.

Final Operational Notification in respect of Network Operator's and Non-Embedded Customer's Plant and Apparatus

- ECP.7.6 The following provisions apply in relation to the issue of a Final Operational Notification in respect of Network Operators and Non-Embedded Customers Plant and Apparatus.
- ECP.7.7 Prior to the issue of a Final Operational Notification the Network Operator and Non-Embedded Customer must have addressed the Unresolved Issues to The Company's satisfaction to demonstrate compliance with the relevant Grid Code provisions.
- ECP.7.8 Prior to the issue of a Final Operational Notification the Network Operator and Non-Embedded Customer must submit to The Company to The Company's satisfaction:
 - updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with validated actual values and updated estimates for the future including Forecast Data items such as Demand;
 - (b) any items required by ECP.5.2 and ECP.6.4 updated by the **User** as necessary;
 - (c) evidence to The Company's reasonable satisfaction that demonstrates that the models and/or parameters as required under PC.A.2.2, PC.A.2.3, PC.A.2.4, PC.A.2.5, PC.A.4 and PC.A.6 (as applicable), supplied to The Company provide a reasonable representation of the behaviour of the User's Plant and Apparatus;
 - (d) copies of **Manufacturer's Test Certificates** or **Equipment Certificates** issued by an **Authorised Certifier** or equivalent where these are relied upon as part of the evidence of compliance;
 - (e) results from the tests and simulations required in accordance with ECP.A.8 carried out by the Network Operator or Non-Embedded Customer to demonstrate compliance with relevant Grid Code requirements including any tests witnessed by The Company; and
 - (f) the final Compliance Statement and a User Self Certification of Compliance signed by the User and a statement of any requirements that the Network Operator or Non-Embedded Customer has identified that have not been met together with a copy of the derogation in respect of the same from the Authority.
- ECP.7.9 The items referred to at ECP.7.8 shall be submitted by the **Network Operator** or **Non-Embedded Customer** after successful completion of the tests required under ECP.7.8.

- ECP.7.10 If the requirements of ECP.7.8 have been successfully met, **The Company** will notify the **Network Operator** or **Non-Embedded Customer** that compliance with the relevant **Grid Code** provisions has been demonstrated for **Network Operators** or **Non-Embedded Customers Plant** and **Apparatus** as applicable through the issue of a **Final Operational Notification**.
- ECP.7.11 If a **Final Operational Notification** cannot be issued because the requirements of ECP.7.8 have not been successfully met prior to the expiry of an **Interim Operational Notification**, then the **Network Operator** or **Non-Embedded Customer** and/or **The Company** shall apply to the **Authority** for a derogation. The provisions of ECP.9 shall then apply.

ECP.8 LIMITED OPERATIONAL NOTIFICATION

- ECP.8.1 Following the issue of a Final Operational Notification for a Power Station consisting of Type B, Type C or Type D Power Generating Module or an HVDC System or Network Operators or Non-Embedded Customers Plant and Apparatus if:
 - (i) the Generator or HVDC System Owner or Network Operator or Non-Embedded Customer becomes aware, that its Plant and/or Apparatus' (including OTSUA if applicable) capability to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement is not fully available then the Generator or HVDC System Owner or Network Operator or Non-Embedded Customer shall follow the process in ECP.8.2 to ECP.8.11; or,
 - (ii) a Network Operator becomes aware, that the capability of Plant and/or Apparatus belonging to a Embedded Power Station or Embedded HVDC Equipment Station (other than a Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Equipment Stations not subject to a Bilateral Agreement) is failing to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement then the Network Operator shall inform The Company and The Company shall inform the Generator or HVDC System Owner and then follow the process in ECP.8.2 to ECP.8.11; or,
 - The Company becomes aware through monitoring as described in (iii) OC5.4, that a Generator or HVDC System Owner Plant and/or Apparatus (including OTSUA if applicable) capability to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement is not fully available then The Company shall inform the other party. Where The Company and the Generator or HVDC System Owner cannot agree from the monitoring as described in OC5.4 whether the Plant and/or Apparatus (including OTSUA if applicable) is fully available and/or is compliant with the requirements of the Grid Code and where applicable the Bilateral Agreement, the parties shall first apply the process in OC5.5.1, before applying the process defined in ECP.8 (LON) if applicable. Where the testing instructed in accordance with OC.5.5.1 indicates that the **Plant** and/or **Apparatus** (including **OTSUA** if applicable) is not fully available and/or is not compliant with the requirements of the Grid Code and/or the Bilateral Agreement, or if the parties so agree, the process in ECP.8.2 to ECP.8.11 shall be followed.

- (iv) The Company becomes aware that a Network Operator's or Non-Embedded Customer's Plant and Apparatus capability to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement, is not fully available then The Company shall inform the other party and the process in ECP.8.2 to ECP.8.11 shall be followed.
- ECP.8.2 Immediately upon a Generator, HVDC System Owner, Network Operator or Non-Embedded Customer becoming aware that its Power Generating Module, OTSUA (if applicable), HVDC Equipment or Plant and Apparatus, as applicable may be unable to comply with certain provisions of the Grid Code or (where applicable) the Bilateral Agreement, the Generator, HVDC System Owner Network Operator or Non-Embedded Customer shall notify The Company in writing. Additional details of any operating restrictions or changes in applicable data arising from the potential non-compliance and an indication of the date from when the restrictions will be removed and full compliance demonstrated shall be provided as soon as reasonably practical.
- ECP.8.3 If the nature of any unavailability and/or potential non-compliance described in ECP.8.1 causes or can reasonably be expected to cause a material adverse effect on the business or condition of **The Company** or other **Users** or the **National Electricity Transmission System** or any **User Systems**, then **The Company** may, notwithstanding the provisions of this ECP.8, follow the provisions of Paragraph 5.4 of the **CUSC**.
- ECP.8.4 Except where the provisions of ECP.8.3 apply, where the restriction notified in ECP.8.2 is not resolved in 28 days, then
 - (i) the Generator or HVDC System Owner with input from and discussion of conclusions with The Company, and the Network Operator where the Synchronous Power Generating Module, CCGT Module, Power Park Module or Power Station as applicable is Embedded, shall undertake an investigation to attempt to determine the causes of and determine a solution to the noncompliance. Such investigation shall continue for no longer than 56 days. During such an investigation, the Generator or HVDC System Owner shall provide to The Company the relevant data which has changed due to the restriction in respect of ECP.7.3.1 as notified to the Generator or HVDC System Owner by The Company as being required to be provided; or
 - (ii) the Network Operator or Non-Embedded Customer in discussion with The Company, shall undertake an investigation to attempt to determine the causes of and a solution to the non-compliance. Such investigation shall continue for no longer than 56 days. During such investigation the Network Operator or Non-Embedded Customer shall provide to The Company the relevant data which has changed due to the restriction in respect of ECP.7.8 as being required to be provided by The Company.
- ECP.8.5 Issue and Effect of LON
- ECP.8.5.1 Following the issue of a Final Operational Notification, The Company will issue to the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer a Limited Operational Notification if:

- (a) by the end of the 56 day period referred to at ECP.8.4, the investigation has not resolved the non-compliance to **The Company's** satisfaction; or
- (b) The Company is notified by a Generator, HVDC System Owner (including OTSUA if applicable), Network Operator or Nonembedded Customer of a Modification to its Plant and Apparatus; or
- (c) The Company receives a submission of data, or a statement from a Generator, HVDC System Owner (including OTSUA if applicable), Network Operator or Non-Embedded Customer indicating a change in Plant or Apparatus or settings (including but not limited to governor and excitation control systems) that may in The Company's reasonable opinion, acting in accordance with Good Industry Practice be expected to result in a material change of performance.

In the case of an Embedded Generator or Embedded HVDC System Owner, The Company will issue a copy of the Limited Operational Notification to the Network Operator.

- ECP.8.5.2 The Limited Operational Notification will be time limited (in the case of Type D, HVDC Systems, Network Operator's or Non-Embedded Customer's Plant and Apparatus to expire no later than 12 months from the start of the non-compliance or restriction or from reconnection following a change). The Company may agree a longer duration in the case of a Limited Operational Notification following a Modification or whilst the Authority is considering the application for a derogation in accordance with ECP.9.1.
- ECP.8.5.3 The Limited Operational Notification will notify the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer of any restrictions on the operation of the Synchronous Power Generating Module(s), CCGT Module(s), Power Park Module(s), OTSUA if applicable, HVDC Equipment or Plant and Apparatus and will specify the Unresolved Issues. The Generator, HVDC System Owner, Network Operator or Non-Embedded Customer must operate in accordance with any notified restrictions and must resolve the Unresolved Issues.
- ECP.8.5.4 The **User** and **The Company** will be deemed compliant with all the relevant provisions of the **Grid Code** provided operation is in accordance with the **Limited Operational Notification**, whilst it is in force, and that the provisions of and referred to in ECP.8 are complied with.
- ECP.8.5.5 The **Unresolved Issues** included in a **Limited Operational Notification** will show the extent that the provisions of ECP.7.2 (testing) and ECP.7.3 (final data submission) or ECP.7.8 (d) (e) (testing) and ECP7.8 (a) (c) (data submission, As applicable, shall apply. In respect of selecting the extent of any tests which may in **The Company's** view reasonably be needed to demonstrate the restored capability and in agreeing the time period in which the tests will be scheduled, **The Company** shall, where reasonably practicable, take account of the **Generator** or **HVDC System Owner's** input to contain its costs associated with the testing.
- ECP.8.5.6 In the case of a change or Modification the Limited Operational Notification may specify that the affected Plant and Apparatus (including OTSUA if applicable) or associated Synchronous Power Generating Module(s) or Power Park Unit(s) must not be Synchronised or, in the case of Network Operator's or Non-Embedded Customer's Plant and Apparatus, operated until all of the following items, that in The Company's

reasonable opinion are relevant, have been submitted to **The Company** to **The Company's** satisfaction:

- (a) updated **Planning Code** data (both **Standard Planning Data** and **Detailed Planning Data**);
- (b) details of any relevant special Power Station, Synchronous Power Generating Module(s), Power Park Module(s), OTSUA (if applicable), HVDC Equipment Station(s) or Network Operator's or Non-Embedded Customer's Plant and Apparatus protection as applicable. This may include Pole Slipping protection and islanding protection schemes; and
- (c) simulation study provisions of Appendix ECP.A.3 or Appendix ECP.A.8 as appropriate and the results demonstrating compliance with Grid Code requirements relevant to the change or Modification as agreed by The Company; and
- (d) a detailed schedule of the tests and the procedures for the tests required to be carried out by the Generator, HVDC Equipment Station, Network Operator or Non-Embedded Customer to demonstrate compliance with relevant Grid Code requirements as agreed by The Company. The schedule of tests shall be consistent with Appendix ECP.A.5, Appendix ECP.A.6 or Appendix ECP.A.8 as appropriate; and
- (e) an interim Compliance Statement and a User Self Certification of Compliance completed by the User (including any Unresolved Issues) against the relevant Grid Code requirements including details of any requirements that the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer has identified that will not or may not be met or demonstrated; and
- (f) any other items specified in the **LON**.
- ECP.8.5.7 The items referred to in ECP.8.5.6 shall be submitted by the **Generator** (including in respect of any **OTSUA** if applicable) or **HVDC System Owner** using the **User Data File Structure** or **Power Generation Module Document** as applicable.
- ECP.8.5.8 In the case of Synchronous Power Generating Module(s) only, the Unresolved Issues of the LON may require that the Generator must complete the following tests to The Company's satisfaction to demonstrate compliance with the relevant provisions of the CCs prior to the Synchronous Power Generating Module being Synchronised to the Total System:
 - (a) those tests required to establish the open and short circuit saturation characteristics of the Synchronous Power Generating Module (as detailed in Appendix ECP.A.5.3) to enable assessment of the short circuit ratio in accordance with ECC.6.3.2.3.4 or ECC.6.3.2.5. Such tests may be carried out at a location other than the Power Station site; and
 - (b) open circuit step response tests (as detailed in Appendix ECP.A.5.2) to demonstrate compliance with ECC.A.6.2.4.1.
- ECP.8.6 In the case of a change or **Modification**, not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion:

- (a) prior to the Generator or HVDC System Owner (including OTSUA if applicable) wishing to Synchronise its Plant and Apparatus for the first time following the change or Modification, the Generator or HVDC System Owner will:
- (i) submit a Notification of User's Intention to Synchronise; and
- (ii) submit to **The Company** the items referred to at ECP.8.5.6.
- (b) prior to the Network Operator or Non-Embedded Customer wishing to operate its Plant and Apparatus for the first time following the change or Modification, the Network Operator or Non-Embedded Customer will;
 - (i) submit a Notification of User's intention to operate; and
 - (ii) submit to **The Company** the items referred to at ECP.8.5.6
- ECP.8.7 Other than Unresolved Issues that are subject to tests to be witnessed by The Company, the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer must resolve any Unresolved Issues prior to the commencement of the tests, unless The Company agrees to a later resolution. The Generator, HVDC System Owner, Network Operator or Non-Embedded Customer must liaise with The Company in respect of such resolution. The tests that may be witnessed by The Company are specified in ECP.7.2.2.
- ECP.8.8 Not less than 28 days, or such shorter period as may be acceptable in The Company's reasonable opinion, prior to the Generator or HVDC System Owner wishing to commence tests listed as Unresolved Issues to be witnessed by The Company, the Generator or HVDC System Owner will notify The Company that the Synchronous Power Generating Module(s), CCGT Module(s), Power Park Module(s), OTSUA if applicable or HVDC Equipment as applicable is ready to commence such tests.
- ECP.8.9 The items referred to at ECP.7.3 or ECP.7.8 as applicable and listed as Unresolved Issues shall be submitted by the Generator, HVDC System Owner, Network Operator or Embedded Customer after successful completion of the tests.
- ECP.8.10 Where the **Unresolved Issues** have been resolved a **Final Operational Notification** will be issued to the **User**.
- ECP.8.11 If a **Final Operational Notification** has not been issued by **The Company** as referred to at ECP.8.5.2 (or where agreed following a **Modification** by the expiry time of the **LON**) then the **Generator**, **HVDC System Owner**, **Network Operator** or **Non-Embedded Customer** (where licensed in respect of its activities) and **The Company** shall apply to the **Authority** for a derogation.

ECP.9 PROCESSES RELATING TO DEROGATIONS

ECP.9.1 Whilst the Authority is considering the application for a derogation, the Interim Operational Notification or Limited Operational Notification will be extended to remain in force until the Authority has notified The Company and the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer of its decision. Where the Generator or HVDC System Owner is not licensed The Company may propose any necessary changes to the Bilateral Agreement with such unlicensed Generator or HVDC System Owner.

ECP.9.2 If the **Authority**:

- (a) grants a derogation in respect of the Plant and/or Apparatus, then The Company shall issue Final Operational Notification once all other Unresolved Issues are resolved; or
- (b) decides a derogation is not required in respect of the Plant and/or Apparatus then The Company will reconsider the relevant Unresolved Issues and may issue a Final Operational Notification once all other Unresolved Issues are resolved; or
- (c) decides not to grant any derogation in respect of the Plant and/or Apparatus, then there will be no Operational Notification in place and The Company and the User shall consider its rights pursuant to the CUSC.
- ECP.9.3 Where a Interim Operational Notification or Limited Operational Notification is so conditional upon a derogation and such derogation includes any conditions (including any time limit to such derogation) the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer will progress the resolution of any Unresolved Issues and / or progress and / or comply with any conditions upon such derogation and the provisions of ECP.6 to ECP.7.11 shall apply and shall be followed.

ECP.10 MANUFACTURER'S DATA & PERFORMANCE REPORT

- ECP.10.1.1 Data and performance characteristics in respect of certain Grid Code requirements may be registered with The Company by Power Park Unit manufacturers in respect of specific models of Power Park Units by submitting information in the form of a Manufacturer's Data and Performance Report to The Company.
- ECP.10.1.2 A Generator planning to construct a new Power Station containing the appropriate version of Power Park Units in respect of which a Manufacturer's Data & Performance Report has been submitted to The Company may reference the Manufacturer's Data & Performance Report in its submissions to The Company. Any Generator considering referring to a Manufacturer's Data & Performance Report for any aspect of its Plant and Apparatus may contact The Company to discuss the suitability of the relevant Manufacturer's Data & Performance Report to its project to determine if, and to what extent, the data included in the Manufacturer's Data & Performance Report contributes towards demonstrating compliance with those aspects of the Grid Code applicable to the Generator. The Company will inform the Generator if the reference to the Manufacturer's Data & Performance Report is not appropriate or not sufficient for its project.
- ECP.10.1.3 The process to be followed by **Power Park Unit** manufacturers submitting a **Manufacturer's Data & Performance Report** is agreed by **The Company**. ECP.10.2 indicates the specific **Grid Code** requirement areas in respect of which a **Manufacturer's Data & Performance Report** may be submitted.
- ECP.10.1.4 **The Company** will maintain and publish a register of those **Manufacturer's Data & Performance Reports** which **The Company** has received and accepted as being an accurate representation of the performance of the relevant **Plant** and / or **Apparatus**. Such register will identify the manufacturer, the model(s) of **Power Park Unit(s)** to which the report applies and the provisions of the **Grid Code** in respect of which the report contributes towards the demonstration of compliance. The inclusion of any report in the register does not in any way confirm that any **Power Park Modules** which

utilise any **Power Park Unit(s)** covered by a report is or will be compliant with the **Grid Code**.

- ECP.10.2 A Manufacturer's Data & Performance Report in respect of Power Park Units may cover one (or part of one) or more of the following provisions of the Grid Code:
 - (a) Fault Ride Through capability ECC.6.3.15, ECC.6.3.16.
 - (b) Power Park Module mathematical model PC.A.5.4.2.
- ECP.10.3 Reference to a **Manufacturer's Data & Performance Report** in a **EU Code User's** submissions does not by itself constitute compliance with the **Grid Code**.
- ECP.10.4 A Generator referencing a Manufacturer's Data & Performance Report should insert the relevant Manufacturer's Data & Performance Report reference in the appropriate place in the DRC data submission, Power Generating Module Document and / or in the User Data File Structure. The Company will consider the suitability of a Manufacturer's Data & Performance Report:
 - (a) in place of DRC data submissions a mathematical model suitable for representation of the entire Power Park Module as per ECP.A.3.4.4. For the avoidance of doubt only the relevant sections as specified in PC.A.2.5.5.7 apply. Site specific parameters will still need to be submitted by the Generator.
 - (b) in place of Fault simulation studies as follows;

The Company will not require Fault Ride Through simulation studies to be conducted as per ECP.A.3.5.1 and qualified in ECP.A.3.5.2 provided that;

- (i) Adequate and relevant **Power Park Unit** data is included in respect of Fault Ride Through testing covered in ECP.A.6.7 in the relevant **Manufacturer's Data & Performance Report**, and
- (ii) For each type and duration of fault as detailed in ECP.A.3.5.1, the expected minimum retained voltage is greater than the corresponding minimum voltage achieved and successfully ridden through in the fault ride through tests covered by the Manufacturer's Data & Performance Report.
- (c) to reduce the scope of compliance site tests as follows;
 - (i) Where there is a Manufacturer's Data & Performance Report in respect of a Power Park Unit which covers Fault Ride Through, The Company may agree that no Fault Ride Through testing is required.
- ECP.10.5 It is the responsibility of the **EU Code User** to ensure that the correct reference for the **Manufacturer's Data & Performance Report** is used and the **EU Code User** by using that reference accepts responsibility for the accuracy of the information. The **EU Code User** shall ensure that the manufacturer has kept **The Company** informed of any relevant variations in

plant specification since the submission of the relevant **Manufacturer's Data & Performance Report** which could impact on the validity of the information.

ECP.10.6 The Company may contact the Power Park Unit manufacturer directly to verify the relevance of the use of such Manufacturer's Data & Performance Report. If The Company believe the use some or all of such Manufacturer's Data & Performance Report information is incorrect or the referenced data is inappropriate then the reference to the Manufacturer's Data & Performance Report may be declared invalid by The Company. Where, and to the extent possible, the data included in the Manufacturer's Data & Performance Report is appropriate, the compliance assessment process will be continued using the data included in the Manufacturer's Data & Performance Report.

> APPENDIX 1 NOT USED

APPENDIX 2

USER SELF CERTIFICATION OF COMPLIANCE (Interim/Final)

Power Station/[Name of Connection Site/siteHVDCConnection Site/siteUser:Equipmentof connection]Station	[Full User name]	Maximum Capacity (MW) of Plant:	
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This User Self Certification of Compliance records the compliance by the EU Code User in respect of [NAME] Power Station/HVDC Equipment Station with the Grid Code and the requirements of the Bilateral Agreement and Construction Agreement dated [] with reference number []. It is completed by the Power Station/HVDC System Owner in the case of Plant and/or Apparatus connected to the National Electricity Transmission System and for Embedded Plant.

We have recorded our compliance against each requirement of the **Grid Code** which applies to the **Power Station/HVDC Equipment Station**, together with references to supporting evidence and a commentary where this is appropriate, and have provided this to **The Company**. A copy of the **Compliance Statement** is attached.

Supporting evidence, in the form of simulation results, test results, manufacturer's data and other documentation, is attached in the **User Data File Structure**.

The **EU Code User** hereby certifies that, to the best of its knowledge and acting in accordance with **Good Industry Practice**, the **Power Station** is compliant with the **Grid Code** and the **Bilateral Agreement** in all aspects [with the following **Unresolved Issues***] [with the following derogation(s)**]:

Connection Condition	Requirement	Ref:	Issue

Compliance certified by:	Name: [PERSON] Signature:	Title: [PERSON DESIGNATION] Of
	[PERSON] Date:	[User details]

* Include for Interim User Self Certification of Compliance ahead of Interim Operational Notification.

** Include for final User Self Certification of Compliance ahead of Final Operational Notification where derogation(s) have been granted. If no derogation(s) required delete wording and Table.

APPENDIX 3

SIMULATION STUDIES

- ECP.A.3.1 <u>SCOPE</u>
- ECP.A.3.1.1 This Appendix sets out the simulation studies required to be submitted to **The Company** to demonstrate compliance with the Connection Conditions unless otherwise agreed with **The Company**. This Appendix should be read in conjunction with ECP.6 with regard to the submission of the reports to **The Company**. Where there is any inconsistency in the technical requirements in respect of which compliance is being demonstrated by simulation in this Appendix and ECC.6.3 and the **Bilateral Agreement**, the provisions of the **Bilateral Agreement** and ECC.6.3 prevail. The studies specified in this Appendix will normally be sufficient to demonstrate compliance. However **The Company** may agree an alternative set of studies proposed by the **Generator** or **HVDC System Owner** provided **The Company** deem the alternative set of studies sufficient to demonstrate compliance with the **Grid Code** and the **Bilateral Agreement**.
- ECP.A.3.1.2 The **Generator** or **HVDC System Owner** shall submit simulation studies in the form of a report to demonstrate compliance. In all cases the simulation studies must utilise models applicable to the **Synchronous Power Generating Module**, **HVDC Equipment** or **Power Park Module** with proposed or actual parameter settings. Reports should be submitted in English with all diagrams and graphs plotted clearly with legible axes and scaling provided to ensure any variations in plotted values is clear. In all cases the simulation studies must be presented over a sufficient time period to demonstrate compliance with all applicable requirements.
- ECP.A.3.1.3 In the case of an **Offshore Power Station** where **OTSDUW Arrangements** apply simulation studies by the **Generator** should include the action of any relevant **OTSUA** where applicable to demonstrate compliance with the **Grid Code** and the **Bilateral Agreement** at the **Interface Point**.
- ECP.A.3.1.4 **The Company** will permit relaxation from the requirement ECP.A.3.2 to ECP.A.3.8 where an **Equipment Certificate** for the **Power Generating Module** or **HVDC Equipment** has been provided which details the characteristics from appropriate simulations on a representative installation with the same equipment and settings and the performance of the **Power Generating Module** or **HVDC Equipment** can, in **The Company's** opinion, reasonably represent that of the installed **Power Generating Module** or **HVDC Equipment**.
- ECP.A.3.1.5 For Type B, Type C and Type D Power Generating Modules the relevant Equipment Certificate must be supplied in the Power Generating Module Document or Users Data File structure as applicable. For HVDC Equipment the relevant Equipment Certificates must be supplied in the Users Data File structure.
- ECP.A.3.2 Power System Stabiliser Tuning
- ECP.A.3.2.1 In the case of a **Synchronous Power Generating Module** with an **Excitation System Power System Stabiliser** the **Power System Stabiliser** tuning simulation study report required by ECC.A.6.2.5.6 or required by the **Bilateral Agreement** shall contain:
 - (i) the Excitation System model including the Power System Stabiliser with settings as required under the Planning Code (PC.A.5.3.2(c))

- (ii) open circuit time series simulation study of the response of the Excitation System to a +10% step change from 90% to 100% terminal voltage.
- (iii) on load time series dynamic simulation studies of the response of the Excitation System with and without the Power System Stabiliser to 2% and 10% steps in the reference voltage and a three phase short circuit fault applied to the higher voltage side of the Synchronous Power Generating Module transformer for 100ms. The simulation studies should be carried out with the Synchronous Power Generating Module operating at full Active Power and maximum leading Reactive Power import_with the fault level at the Supergrid HV connection point at minimum or as otherwise agreed with The Company. The results should show the Synchronous Power Generating Module field voltage, terminal voltage, Power System Stabiliser output, Active Power and Reactive Power output.
- (iv) gain and phase Bode diagrams for the open loop frequency domain response of the Synchronous Power Generating Module Excitation System with and without the Power System Stabiliser. These should be in a suitable format to allow assessment of the phase contribution of the Power System Stabiliser and the gain and phase margin of the Excitation System with and without the Power System Stabiliser in service.
- (v) an eigenvalue plot to demonstrate that all modes remain stable when the **Power System Stabiliser** gain is increased by at least a factor of 3 from the designed operating value.
- (vi) gain Bode diagram for the closed loop on load frequency domain response of the Synchronous Power Generating Module Excitation System with and without the Power System Stabiliser. The Synchronous Power Generating Module operating at full load and at unity power factor. These diagrams should be in a suitable format to allow comparison of the Active Power damping across the frequency range specified in ECC.A.6.2.6.3 with and without the Power System Stabiliser in service.
- ECP.A.3.2.2 In the case of Onshore Non-Synchronous Power Generating Module, Onshore HVDC Equipment and Onshore Power Park Modules and OTSDUW Plant and Apparatus at the Interface Point the Power System Stabiliser tuning simulation study report required by ECC.A.7.2.4.1 or ECC.A.8.2.4 or required by the Bilateral Agreement shall contain:
 - (i) the Voltage Control System model including the Power System Stabiliser with settings as required under the Planning Code (PC.A.5.4) and Bilateral Agreement.
 - (ii) on load time series dynamic simulation studies of the response of the Voltage Control System with and without the Power System Stabiliser to 2% and 10% steps in the reference voltage and a three phase short circuit fault applied to the Grid Entry Point or the Interface Point in the case of OTSDUW Plant and Apparatus for 100ms. The simulation studies should be carried out operating at full Active Power and maximum leading Reactive Power import condition with the fault level at the Supergrid HV connection point at

minimum or as otherwise agreed with **The Company**. The results should show appropriate signals to demonstrate the expected damping performance of the **Power System Stabiliser**.

(iii) any other simulation as specified in the Bilateral Agreement or agreed between the **Generator** or **HVDC System Owner** or **Offshore Transmission Licensee** and **The Company**.

ECP.A.3.3 Reactive Capability across the Voltage Range

- ECP.A.3.3.1 (a) The **Generator** shall supply simulation studies to demonstrate the capability to meet ECC.6.3.4.1 by submission of a report containing:
 - (i) a load flow simulation study result to demonstrate the maximum lagging Reactive Power capability of the Synchronous Power Generating Module, OTSUA or Power Park Module at Maximum Capacity when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in the case of OTSUA) voltage is at 105% of nominal.
 - (ii) a load flow simulation study result to demonstrate the maximum leading Reactive Power capability of the Synchronous Power Generating Module, OTSUA or Power Park Module at Maximum Capacity when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in the case of OTSUA) voltage is at 95% of nominal.
 - (iii) a load flow simulation study result to demonstrate the maximum lagging Reactive Power capability of the Synchronous Power Generating Module OTSUA or Power Park Module at the Minimum Regulating Level when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in the case of OTSUA) voltage is at 105% of nominal.
 - (iv) a load flow simulation study result to demonstrate the maximum leading Reactive Power capability of the Synchronous Power Generating Module, OTSUA or Power Park Module at the Minimum Regulating Level when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in the case of OTSUA) voltage is at 95% of nominal.
- ECP.A.3.3.1 (b) The **HVDC System Owner** shall supply simulation studies to demonstrate the capability to meet ECC.6.3.4.1 by submission of a report containing:
 - (i) a load flow simulation study result to demonstrate the maximum lagging Reactive Power capability of the Synchronous Power Generating Module, HVDC Equipment, OTSUA or Power Park Module at Maximum HVDC Active Power Transmission Capacity when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in case of OTSUA) voltage is at 105% of nominal.
 - (ii) a load flow simulation study result to demonstrate the maximum leading Reactive Power capability of the Synchronous Power Generating Module, HVDC Equipment, OTSUA or Power Park Module at Maximum HVDC Active Power Transmission Capacity when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in case of OTSUA) voltage is at 95% of nominal.

- (iii) a load flow simulation study result to demonstrate the maximum lagging Reactive Power capability of the Synchronous Power Generating Module, HVDC Equipment or Power Park Module at the Minimum HVDC Active Power Transmission Capacity when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in case of OTSUA) voltage is at 105% of nominal.
- (iv) a load flow simulation study result to demonstrate the maximum leading Reactive Power capability of the Synchronous Power Generating Module, HVDC Equipment or Power Park Module at the Minimum HVDC Active Power Transmission Capacity when the Grid Entry Point or User System Entry Point voltage if Embedded or Interface Point (in case of OTSUA) is at 95% of nominal.
- ECP.A.3.3.2 In the case of a **Synchronous Power Generating Module** the terminal voltage in the simulation should be the nominal voltage for the machine.
- ECP.A.3.3.3 In the case of a **Power Park Module** where the load flow simulation studies show that the individual **Power Park Units** deviate from nominal voltage to meet the **Reactive Power** requirements then evidence must be provided from factory (e.g. in a **Manufacturer's Data & Performance Report**) or site testing that the **Power Park Unit** is capable of operating continuously at the operating points determined in the load flow simulation studies.
- ECP.A.3.4 Voltage Control and Reactive Power Stability
- ECP.A.3.4.1 This section applies to HVDC Equipment; and Type C & Type D Power Park Modules to demonstrate the voltage control capability and Type B Power Park Modules to demonstrate the voltage control capability if specified by The Company.

In the case of a power station containing **Power Park Modules** and/or **OTSUA** the **Generator** shall provide a report to demonstrate the dynamic capability and control stability of the **Power Park Module**. The report shall contain:

- a dynamic time series simulation study result of a sufficiently large negative step in System voltage to cause a change in Reactive Power from zero to the maximum lagging value at Rated MW.
- a dynamic time series simulation study result of a sufficiently large positive step in System voltage to cause a change in Reactive Power from zero to the maximum leading value at Rated MW.
- (iii) a dynamic time series simulation study result to demonstrate control stability at the lagging **Reactive Power** limit by application of a -2% voltage step while operating within 5% of the lagging **Reactive Power** limit.
- (iv) a dynamic time series simulation study result to demonstrate control stability at the leading **Reactive Power** limit by application of a +2% voltage step while operating within 5% of the leading **Reactive Power** limit.
- ECP.A.3.4.2 All the above studies should be completed with a network operating at the voltage applicable for zero **Reactive Power** transfer at the **Grid Entry Point** or **User System Entry Point** if **Embedded** or, in the case of **OTSUA**, **Interface Point** unless stated otherwise. The fault level at the HV connection point should be set at the minimum level as agreed with **The Company**.

ECP.A.3.5 Fault Ride Through and Fast Fault Current Injection

ECP.A.3.5.1 This section applies to **Type B**, **Type C and Type D Power Generating Modules** and **HVDC Equipment** to demonstrate the modules fault ride through and **Fast Fault Current** injection capability.

The **Generator** or **HVDC System Owner** shall supply time series simulation study results to demonstrate the capability of **Synchronous Power Generating Module, HVDC Equipment,** and **Power Park Modules** and **OTSUA** to meet ECC.6.3.15 and ECC.6.3.16 by submission of a report containing:

- (i) a time series simulation study of a 140ms three phase short circuit fault with a retained voltage as detailed in table A.3.5.1 below applied at the **Grid Entry Point** or (**User System Entry Point** if **Embedded**) of the **Power Generating Module** or **HVDC Equipment** or **OTSUA**.
 - (ii) a time series simulation study of 140ms unbalanced short circuit faults with a retained voltage as detailed in table 1 on the faulted phase(s) applied at the Grid Entry Point or (User System Entry Point if Embedded) of the Power Generating Module or HVDC Equipment or OTSUA. The unbalanced faults to be simulated are:
 - 1. a phase to phase fault
 - 2. a two phase to earth fault
 - 3. a single phase to earth fault.

Power Generating Module	Retained
	Voltage
Synchronous Power Generating Module	
Туре В	30%
Type C or Type D with Grid connection point	10%
voltage <110kV	
Type D with connection point voltage >110kV	0%
Power Park Module	
Type B or Type C or Type D with connection point	10%
voltage < 110kV	
Type D with connection point voltage >110kV	0%
HVDC Equipment	10%

Table A.3.5.1

For a **Power Generating Module** or **HVDC Equipment** or **OTSUA** the simulation study should be completed with the **Power Generating Module** or **HVDC Equipment** or **OTSUA** operating at full **Active Power** and maximum leading **Reactive Power** and the fault level at the **Supergrid** HV connection point at minimum or as otherwise agreed with **The Company** as detailed in ECC.6.3.15.8.

- (iii) time series simulation studies of balanced Supergrid voltage dips applied on the nearest point of the National Electricity Transmission System operating at Supergrid voltage to the Synchronous Power Generating Module or OTSUA. The simulation studies should include:
 - 1. 50% retained voltage lasting 0.45 seconds
 - 2. 70% retained voltage lasting 0.81 seconds
 - 3. 80% retained voltage lasting 1.00 seconds
 - 4. 85% retained voltage lasting 180 seconds.

For a Synchronous Power Generating Module or OTSUA, the simulation study should be completed with the Synchronous Power Generating Module or OTSUA operating at full Active Power and zero Reactive Power output and the fault level at the Supergrid HV connection point at minimum or as otherwise agreed with The Company. Where the Synchronous Power Generating Module is Embedded the minimum Network Operator's System impedance to the Supergrid HV connection point shall be used which may be calculated from the maximum fault level at the User System Entry Point.

- (iii) time series simulation studies of balanced Supergrid voltage dips applied on the nearest point of the National Electricity Transmission System operating at Supergrid voltage to the HVDC Equipment or Power Park Module. The simulation studies should include:
 - 1. 30% retained voltage lasting 0.384 seconds
 - 2. 50% retained voltage lasting 0.71 seconds
 - 3. 80% retained voltage lasting 2.5 seconds
 - 4. 85% retained voltage lasting 180 seconds.

For HVDC Equipment or Power Park Modules the simulation study should be completed with the HVDC Equipment or Power Park Module operating at full Active Power and zero Reactive Power output and the fault level at the Supergrid HV connection point at minimum or as otherwise agreed with The Company. Where the HVDC Equipment or Power Park Module is Embedded the minimum Network Operator's System impedance to the Supergrid HV connection point shall be used which may be calculated from the maximum fault level at the User System Entry Point.

For **HVDC Equipment** the simulations should include the duration of each voltage dip 1 to 4 above for which the **HVDC Equipment** will remain connected.

- ECP.A.3.5.2 In the case of **Power Park Modules** comprised of **Power Park Units** in respect of which the **User's** reference to a **Manufacturer's Data & Performance Report** has been accepted by **The Company** for Fault Ride Through, ECP.A.3.5.1 will not apply provided:
 - (i) the Generator or HVDC System Owner demonstrates by load flow simulation study result that the faults and voltage dips at either side of the Power Park Unit transformer corresponding to the required faults and voltage dips in ECP.A.3.5.1 applied at the nearest point of the National Electricity Transmission System operating at Supergrid voltage are less than those included in the Manufacturer's Data & Performance Report,
 - or;
 - (ii) the same or greater percentage faults and voltage dips in ECP.A.3.5.1 have been applied at either side of the **Power Park Unit** transformer in the **Manufacturer's Data & Performance Report**.
- ECP.A.3.6 Limited Frequency Sensitive Mode Over Frequency (LFSM-O)
- ECP.A.3.6.1 This section applies to **Type B**, **Type C and Type D Power Generating Modules**, **HVDC Equipment** to demonstrate the capability to modulate Active Power at high frequency as required by ECC6.3.7.3.5(ii).
- ECP.A.3.6.2 The simulation study should comprise of a Power Generating Module or

HVDC Equipment connected to the total **System** with a local load shown as "X" in figure ECP.A.3.6.1. The load "X" is in addition to any auxiliary load of the **Power Station** connected directly to the **Power Generating Module** or **HVDC Equipment** and represents a small portion of the **System** to which the **Power Generating Module** or **HVDC Equipment** is attached. The value of "X" should be the minimum for which the **Power Generating Module** or **HVDC Equipment** can control the power island frequency to less than 52Hz consistent with ECC.6.3.7.3.5(ii). Where transient excursions above 52Hz occur the **Generator** or **HVDC Equipment Owner** should ensure that the duration above 52Hz is less than any high frequency protection system applied to the **Power Generating Module** or **HVDC Equipment**.

- ECP.A.3.6.3 For **HVDC Equipment** and **Power Park Modules** consisting of units connected wholly by power electronic devices the simulation methodology may be modified by the addition of a **Synchronous Power Generating** Module (G2) connected as indicated in Figure ECP.A.3.6.2. This additional **Synchronous Power Generating Module** should have an inertia constant of 3.5MWs/MVA, be initially operating at rated power output and unity power factor. The mechanical power of the **Synchronous Power Generating Module** (G2) should remain constant throughout the simulation.
- ECP.A.3.6.4 At the start of the simulation study the **Power Generating Module** or **HVDC Equipment** will be operating maximum **Active Power** output. The **Power Generating Module** or **HVDC Equipment** will then be islanded from the **Total System** but still supplying load "X" by the opening of a breaker, which is not the **Power Generating Module** or **HVDC Equipment** connection circuit breaker (the governor should therefore, not receive any signals that the breaker has opened other than the reduction in load and subsequent increase in speed). A schematic arrangement of the simulation study is illustrated by Figure ECP.A.3.6.1.

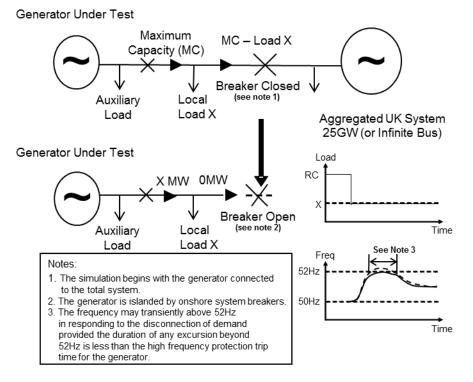


Figure ECP.A.3.6.1 – Diagram of Load Rejection Study

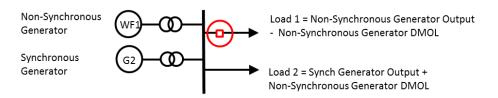
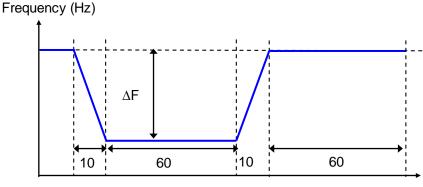


Figure ECP.A.3.6.2 – Addition of Generator G2 if applicable

- ECP.A.3.6.5 Simulation study shall be performed for type B, C & D in Limited Frequency Sensitive Mode (LFSM) and Frequency Sensitive Mode (FSM) for type C & D. The simulation study results should indicate Active Power and Frequency.
- ECP.A.3.6.6 To allow validation of the model used to simulate load rejection in accordance with ECC.6.3.7.3.5 as described a further simulation study is required to represent the largest positive **Frequency** injection step or fast ramp (BC1 and BC3 of Figure 2) that will be applied as a test as described in ECP.A.5.8 and ECP.A.6.6.

Limited Frequency Sensitive Mode – Under Frequency (LFSM-U)

- ECP.A.3.6.7This section applies to:
Synchronous Power Generating Modules, Type C & D; or,
HVDC Equipment; or,
Power Park Modules, Type C & D to demonstrate the modules capability to
modulate Active Power at low frequency.
- ECP.A.3.6.8 To demonstrate the LFSM-U low **Frequency** control when operating in Limited Frequency Sensitive Mode the Generator or HVDC System Owner shall submit a simulation study representing the response of the Power Generating Module or HVDC Equipment operating at 80% of Maximum Capacity. The simulation study event shall be equivalent to:
 - (i) a sufficiently large reduction in the measured **System Frequency** ramped over 10 seconds to cause an increase in Active Power output to the **Maximum Capacity** followed by
 - 60 seconds of steady state with the measured System Frequency depressed to the same level as in ECP.A.3.6.8.1 (i) as illustrated in Figure ECP.A.3.6.1 below.
 - (iii) then increase of the measured System Frequency ramped over 10 seconds to cause a reduction in Active Power output back to the original Active Power level followed by at least 60 seconds of steady output.



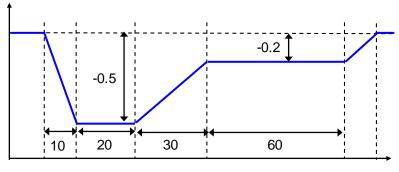
Time (seconds)

Figure ECP.A.3.6.1

ECP.A.3.7 Voltage and **Frequency** Controller Model Verification and Validation

- ECP.A.3.7.1 For Type C and Type D Synchronous Power Generating Modules, HVDC Equipment or Power Park Modules the Generator or HVDC System Owner shall provide simulation studies to verify that the proposed controller models supplied to The Company under the Planning Code are fit for purpose. These simulation study results shall be provided in the timescales stated in the Planning Code.
- ECP.A.3.7.2 To demonstrate the **Frequency** control or governor/load controller/plant model the **Generator** or **HVDC System Owner** shall submit a simulation study representing the response of the **Synchronous Power Generating Module, HVDC Equipment** or **Power Park Module** operating at 80% of **Maximum Capacity**. The simulation study event shall be equivalent to:
 - (i) a ramped reduction in the measured **System Frequency** of 0.5Hz in 10 seconds followed by
 - (ii) 20 seconds of steady state with the measured **System Frequency** depressed by 0.5Hz followed by
 - (iii) a ramped increase in measured **System Frequency** of 0.3Hz over 30 seconds followed by
 - (iv) 60 seconds of steady state with the measured **System Frequency** depressed by 0.2Hz as illustrated in Figure ECP.A.3.7.2 below.

Frequency (Hz)



Time (seconds)

Figure ECP.A.3.7.2

The simulation study shall show **Active Power** output (MW) and the equivalent of **Frequency** injected.

- ECP.A.3.7.3 To demonstrate the **Excitation System** model the **Generator** shall submit simulation studies representing the response of the **Synchronous Power Generating Module** as follows:
 - (i) operating open circuit at rated terminal voltage and subjected to a 10% step increase in terminal voltage reference from 90% to 100%.
 - (ii) operating at Rated MW, nominal terminal voltage and unity power factor subjected to a 2% step increase in the voltage reference. Where a Power System Stabiliser is included within the Excitation System this shall be in service.

The simulation study shall show the **Synchronous Power Generating Module** terminal voltage, field voltage, **Active Power**, **Reactive Power** and **Power System Stabiliser** output signal as appropriate.

- ECP.A.3.7.4 To demonstrate the Voltage Controller model the **Generator** or **HVDC System Owner** shall submit a simulation study representing the response of the **HVDC Equipment** or **Power Park Module** operating at **Rated MW** and unity power factor at the connection point to a 2% step increase in the voltage reference. The simulation study shall show the terminal voltage, **Active Power**, **Reactive Power** and **Power System Stabiliser** output signal as appropriate.
- ECP.A.3.7.5 To validate that the excitation and voltage control models submitted under the **Planning Code** are a reasonable representation of the dynamic behaviour of the **Synchronous Power Generating Module**, **HVDC Equipment** or **Power Park Module** as built, the **Generator** or **HVDC System Owner** shall repeat the simulation studies outlined above but using the operating conditions of the equivalent tests. The simulation study results shall be displayed overlaid on the actual test results.
- ECP.A.3.7.6 For Type C and Type D Synchronous Power Generating Modules or HVDC Equipment to validate that the governor/load controller/plant or Frequency control models submitted under the Planning Code is a reasonable representation of the dynamic behaviour of the Synchronous Power Generating Module or HVDC Equipment Station as built, the Generator or HVDC System Owner shall repeat the simulation studies outlined above but using the operating conditions of the equivalent tests. The simulation study results shall be displayed overlaid on the actual test results.
- ECP.A.3.8 <u>Sub-synchronous Resonance control and Power Oscillation Damping control</u> for HVDC System.
- ECP.A.3.8.1 To demonstrate the compliance of the sub-synchronous control capability with ECC.6.3.17.1) and the terms of the **Bilateral Agreement** the **HVDC System Owner** shall submit a simulation study report
- ECP.A.3.8.2 Where power oscillation damping control function is specified on a **HVDC Equipment** the **HVDC System Owner** shall submit a simulation study report to demonstrate the compliance with ECC.6.3.17.2 and the terms of the **Bilateral Agreement**.
- ECP.A.3.8.3 The simulation studies should utilise the **HVDC Equipment** control system models including the settings as required under the **Planning Code** (PC.A.5.3.2). The network conditions for the above simulation studies should be discussed with **The Company** prior to commencing any simulation studies.

APPENDIX 4

ONSITE SIGNAL PROVISION FOR WITNESSING TESTS

ECP.A.4.1 During any tests witnessed on-site by **The Company**, the following signals shall be provided to **The Company** by the **Generator** undertaking **OTSDUW or HVDC System Owner** in accordance with ECC.6.6.3.

ECP.A.4.2 Synchronous Power Generating Modules

ECP.A.4.2(a)	MW - Active Power at Synchronous			
All Tests	Generating Unit terminals			
ECP.A.4.2(b)	MVAr - Reactive Power at terminals			
Reactive &	• Vt - Synchronous Generating Unit terminal			
Excitation	voltage			
System	Efd- Synchronous Generating Unit field voltage			
	and/or main exciter field voltage			
	• Ifd - Synchronous Generating Unit Field			
	current (where possible)			
	Power System Stabiliser output, where			
	applicable.			
	Noise – Injected noise signal (where applicable			
	and possible)			
ECP.A.4.2(c)	 Fsys - System Frequency 			
Governor System	 Finj - Injected Speed Setpoint 			
& Frequency	Logic - Stop / Start Logic Signal			
Response	For Gas Turbines:			
	GT Fuel Demand			
	GT Fuel Valve Position			
	GT Inlet Guide Vane Position			
	GT Exhaust Gas Temperature			
	For Steam Turbines at >= 1Hz:			
	 Pressure before Turbine Governor Valves Turbine Governor Valve Positions 			
	 Furbine Governor Valve Positions Governor Oil Pressure* 			
	 Boiler Pressure Set Point * 			
	Superheater Outlet Pressure *			
	 Pressure after Turbine Governor Valves* 			
	Boiler Firing Demand*			
	*Where applicable (typically not in CCGT module)			
	For Hydro Plant:			
	Speed Governor Demand Signal			
	Actuator Output Signal			
	Guide Vane / Needle Valve Position			
ECP.A.4.2(d)	Fsys - System Frequency			
Compliance with	Finj - Injected Speed Setpoint			
ECC.6.3.3	Appropriate control system parameters as agreed with The Company (See ECP 4.5.9)			
	with The Company (See ECP.A.5.9)			
ECP.A.4.2(e)	MW - Synchronous Power Generating			
Real Time on site	Module Active Power at the Grid Entry			
or Down-	Point or (User System Entry Point if			
loadable	Embedded).			
	MVAr - Synchronous Power Generating			
	Module Reactive Power at the Grid Entry			
	Point or (User System Entry Point if			
	Embedded).			

 Line-line Voltage (kV) at the Grid Entry Point or (User System Entry Point if Embedded).
--

ECP.A.4.3 Power Park Modules, OTSDUA and HVDC Equipment

	Each Power Park Module and HVDC Equipment
	at Grid Entry Point or User System Entry Point
ECP.A.4.3.1(a)	Total Active Power (MW)
Real Time on site.	 Total Reactive Power (MVAr)
	Line-line Voltage (kV)
	System Frequency (Hz)
ECP.A.4.3.1(b) Real Time on site or Down-	 Injected frequency signal (Hz) or test logic signal (Boolean) when appropriate
loadable	 Injected voltage signal (per unit voltage) or test logic signal (Boolean) when appropriate
	 In the case of an Onshore Power Park Module the Onshore Power Park Module site voltage (MV) (kV)
	Power System Stabiliser output, where appropriate
	• In the case of a Power Park Module or HVDC
	 Equipment where the Reactive Power is provided by from more than one Reactive Power source, the individual Reactive Power contributions from each source, as agreed with The Company. In the case of HVDC Equipment appropriate control system parameters as agreed with The Company (See ECP.A.7) In the case of an Offshore Power Park Module the Total Active Power (MW) and the Total Reactive Power (MVAr) at the offshore Grid Entry Point
ECP.A.4.3.1(c) Real Time on site or Down- loadable	 Available power for Power Park Module (MW) Power source speed for Power Park Module (e.g. wind speed) (m/s) when appropriate Power source direction for Power Park Module (degrees) when appropriate See ECP.A.4.3.2

- ECP.A.4.3.2 **The Company** accept that the signals specified in ECP.A.4.3.1(c) may have lower effective sample rates than those required in ECC.6.6.3 although any signals supplied for connection to **The Company's** recording equipment which do not meet at least the sample rates detailed in ECC.6.6.3 should have the actual sample rates indicated to **The Company** before testing commences.
- ECP.A.4.3.3 For all **The Company** witnessed testing either;
 - (i) the Generator or HVDC System Owner shall provide to The Company all signals outlined in ECP.A.4.3.1 direct from the Power Park Module control system without any attenuation, delay or filtering which would result in the inability to fully demonstrate the objectives of the test, or identify any potential safety or plant instability issues, and with a signal update rate corresponding to ECC.6.6.3.2; or
 - (ii) in the case of **Onshore Power Park Modules** the **Generator HVDC System Owner** shall provide signals ECP.A.4.3.1(a) direct from one or more transducer(s) connected to current and voltage transformers for monitoring in real time on site; or,

- (iii) In the case of Offshore Power Park Modules and OTSDUA signals ECP.A.4.3.1(a) will be provided at the Interface Point by the Offshore Transmission Licensee pursuant to the STC or by the Generator when OTSDUW Arrangements apply.
- ECP.A.4.3.4 Options ECP.A.4.3.3 (ii) and (iii) will only be available on condition that;
 - (a) all signals outlined in ECP.A.4.3.1 are recorded and made available to **The Company** by the **Generator** or **HVDC System Owner** from the **Power Park Module** or **OTSDUA** or **HVDC Equipment** control systems as a download once the testing has been completed; and
 - (b) the full test results are provided by the **Generator HVDC System Owner** within 2 working days of the test date to **The Company** unless **The Company** agrees otherwise; and
 - (c) all data is provided with a sample rate in accordance with ECC.6.6.3.3 unless **The Company** agrees otherwise; and
 - (d) in **The Company's** reasonable opinion the solution does not unreasonably add a significant delay between tests or impede the volume of testing which can take place on the day.
- ECP.A.4.3.5 In the case of where transducers connected to current and voltage transformers are installed (ECP.A.4. 3.3(ii) and (iii)), the transducers shall meet the following specification
 - (a) The transducer(s) shall be permanently installed to easily allow safe testing at any point in the future, and to avoid a requirement for recalibration of the current transformers and voltage transformers.
 - (b) The transducer(s) should be directly connected to the metering quality current transformers and voltage transformers or similar.
 - (c) The transducers shall either have a response time no greater than 50ms to reach 90% of output, or no greater than 300ms to reach 99.5%.

APPENDIX 5

COMPLIANCE TESTING OF SYNCHRONOUS POWER GENERATING MODULES

ECP.A.5.1 <u>SCOPE</u>

- ECP.A.5.1.1 This Appendix sets out the tests contained therein to demonstrate compliance with the relevant clauses of the European Connection Conditions of the **Grid Code**. This Appendix shall be read in conjunction with the ECP with regard to the submission of the reports to **The Company**.
- ECP.A.5.1.2 The tests specified in this Appendix will normally be sufficient to demonstrate compliance however **The Company** may:
 - (i) agree an alternative set of tests provided **The Company** deem the alternative set of tests sufficient to demonstrate compliance with the **Grid Code** and **Bilateral Agreement**; and/or
 - (ii) require additional or alternative tests if information supplied to The Company during the compliance process suggests that the tests in this Appendix will not fully demonstrate compliance with the relevant section of the Grid Code or Bilateral Agreement.
 - (iii) Agree a reduced set of tests for subsequent Synchronous Power Generating Module following successful completion of the first Synchronous Power Generating Module tests in the case of a Power Station comprised of two or more Synchronous Power Generating Module which The Company reasonably considers to be identical.
 - lf:
 - (a) the tests performed pursuant to ECP.A.5.1.2(iii) in respect of subsequent Synchronous Power Generating Modules do not replicate the full tests for the first Synchronous Power Generating Module , or
 - (b) any of the tests performed pursuant to ECP.A.5.1.2(iii) do not fully demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and / or **Bilateral Agreement**,

then notwithstanding the provisions above, the full testing requirements set out in this Appendix will be applied.

- ECP.A.5.1.3 The **Generator** is responsible for carrying out the tests set out in and in accordance with this Appendix and the **Generator** retains the responsibility for the safety of personnel and plant during the test. **The Company** will witness all of the tests outlined or agreed in relation to this Appendix unless **The Company** decides and notifies the **Generator** otherwise. Reactive Capability tests may be witnessed by **The Company** remotely from the **The Company** control centre. For all on site **The Company** witnessed tests the **Generator** should ensure suitable representatives from the **Generator** and manufacturer (if appropriate) are available on site for the entire testing period. In all cases the **Generator** shall provide suitable monitoring equipment to record all relevant test signals as outlined below in ECP.A.6.1.5.
- ECP.A.5.1.6 The **Generator** shall submit a schedule of tests to **The Company** in accordance with CP.4.3.1.

ECP.A.5.1.7 Prior to the testing of a Synchronous Power Generating Module the

Generator shall complete the **Integral Equipment Test** procedure in accordance with OC.7.5.

- ECP.A.5.1.8 Full **Synchronous Power Generating Module** testing as required by CP.7.2 is to be completed as defined in ECP.A.5.2 through to ECP.A.5.9.
- ECP.A.5.1.9 The Company will permit relaxation from the requirement ECP.A.5.2 to ECP.A.5.9 where an Equipment Certificate for the Synchronous Power Generating Module has been provided which details the characteristics from tests on a representative machine with the same equipment and settings and the performance of the Synchronous Power Generating Module can, in The Company's opinion, reasonably represent that of the installed Synchronous Power Generating Module at that site. For Type B, Type C and Type D Power Generating Modules the relevant Equipment Certificate must be supplied in the Power Generating Module Document or Users Data File structure as applicable.
- ECP.A.5.2 Excitation System Open Circuit Step Response Tests
- ECP.A.5.2.1 The open circuit step response of the **Excitation System** will be tested by applying a voltage step change from 90% to 100% of the nominal **Synchronous Power Generating Module** terminal voltage, with the **Synchronous Power Generating Module** on open circuit and at rated speed.
- ECP.A.5.2.1 The test shall be carried out prior to synchronisation in accordance with CP.6.4. This is not witnessed by **The Company** unless specifically requested by **The Company**. Where **The Company** is not witnessing the tests, the Generator shall supply the recordings of the following signals to **The Company** in an electronic spreadsheet format:

Vt - Synchronous Generating Unit terminal voltage

Efd - Synchronous Generating Unit field voltage or main exciter field

voltage

Ifd- **Synchronous Generating Unit** field current (where possible) Step injection signal

- ECP.A.5.2.3 Results shall be legible, identifiable by labelling, and shall have appropriate scaling.
- ECP.A.5.3 Open & Short Circuit Saturation Characteristics
- ECP.A.5.3.1 The test shall normally be carried out prior to synchronisation in accordance with ECP.6.2.4 or ECP.6.3.4 **Equipment Certificates** or Manufacturer's Test Certificates may be used where appropriate may be used if agreed by **The Company**.
- ECP.A.5.3.2 This is not witnessed by **The Company**. Graphical and tabular representations of the results in an electronic spreadsheet format showing per unit open circuit terminal voltage and short circuit current versus per unit field current shall be submitted to **The Company**.
- ECP.A.5.3.3 Results shall be legible, identifiable by labelling, and shall have appropriate scaling.
- ECP.A.5.4 Excitation System On-Load Tests
- ECP.A.5.4.1 The time domain performance of the **Excitation System** shall be tested by application of voltage step changes corresponding to 1% and 2% of the nominal terminal voltage.

ECP.A.5.4.2 Where a **Power System Stabiliser** is present:

- (i) The PSS must only be commissioned in accordance with BC2.11.2. When a PSS is switched on for the first time as part of on-load commissioning or if parameters have been adjusted the Generator should consider reducing the PSS output gain by at least 50% and should consider reducing the limits on PSS output by at least a factor of 5 to prevent unexpected PSS action affecting the stability of the Synchronous Generating Unit or the National Electricity Transmission System.
- (ii) The time domain performance of the Excitation System shall be tested by application of voltage step changes corresponding to 1% and 2% of the nominal terminal voltage, repeating with and without the PSS in service.
- (iii) The frequency domain tuning of the PSS shall also be demonstrated by injecting a 0.2Hz-3Hz band limited random noise signal into the Automatic Voltage Regulator Setpoint with the Synchronous Generating Unit operating at points specified by The Company (up to rated MVA output).
- (iv) The PSS gain margin shall be tested by increasing the PSS gain gradually to threefold and observing the Synchronous Generating Unit steady state Active Power output.
- (v) The interaction of the PSS with changes in Active Power shall be tested by application of a +0.5Hz frequency injection to the governor while the Synchronous Generating Unit is selected to Frequency Sensitive Mode.
- (vi) If the **Synchronous Power Generating Module** is of the **Pumped Storage** type then the step tests shall be carried out, with and without the **PSS**, in the pumping mode in addition to the generating mode.
- (vii) Where the Bilateral Agreement requires that the PSS is in service at a specified loading level additional testing witnessed by The Company will be required during the commissioning process before the Synchronous Power Generating Module may exceed this output level.
- (viii) Where the **Excitation System** includes a **PSS**, the **Generator** shall provide a suitable noise source to facilitate noise injection testing.
- ECP.A.5.4.3 The following typical procedure is provided to assist **Generators** in drawing up their own site specific procedures for the **The Company** witnessed **PSS** Tests.

Test	Injection	Notes		
	Synchronous Generating Unit running at Maximum			
	Capacity, unity pf, PSS Switched Off			
1	 Record steady state for 10 seconds 			
	 Inject +1% step to AVR Voltage Setpoint and hold for a least 10 seconds until stabilised 			
	Remove step returning AVR Voltage Setpoint to nominal and hold for at least 10 seconds			
2	Record steady state for 10 seconds			
	 Inject +2% step to AVR Voltage Setpoint and hold for at least 10 seconds until stabilised 			

• Remove step returning AVR Voltage Setpoint to nominal	
and hold for at least 10 seconds	
 Inject band limited (0.2-3Hz) random noise signal into 	
voltage Setpoint and measure frequency spectrum of Real	
Power.	
Remove noise injection.	
Switch On Power System Stabiliser	
 Record steady state for 10 seconds 	
• Inject +1% step to AVR Voltage Setpoint and hold for at	
least 10 seconds until stabilised	
Remove step returning AVR Voltage Setpoint to nominal	
and hold for at least 10 seconds	
Record steady state for 10 seconds	
least 10 seconds until stabilised	
Remove step returning AVR Voltage Setpoint to nominal	
and hold for at least 10 seconds	
Increase PSS gain at 30second intervals. i.e.	
x1 - x1.5 - x2 - x2.5 - x3	
Return PSS gain to initial setting	
Power.	
Remove noise injection.	
Select the governor to FSM	
Inject +0.5 Hz step into governor.	
	 and hold for at least 10 seconds Inject band limited (0.2-3Hz) random noise signal into voltage Setpoint and measure frequency spectrum of Real Power. Remove noise injection. Switch On Power System Stabiliser Record steady state for 10 seconds Inject +1% step to AVR Voltage Setpoint and hold for at least 10 seconds until stabilised Remove step returning AVR Voltage Setpoint to nominal and hold for at least 10 seconds Inject +2% step to AVR Voltage Setpoint and hold for at least 10 seconds Inject +2% step to AVR Voltage Setpoint to nominal and hold for at least 10 seconds Inject +2% step to AVR Voltage Setpoint to nominal and hold for at least 10 seconds Increase PSS gain at 30second intervals. i.e. x1 - x1.5 - x2 - x2.5 - x3 Return PSS gain to initial setting Inject band limited (0.2-3Hz) random noise signal into voltage Setpoint and measure frequency spectrum of Real Power. Remove noise injection. Select the governor to FSM

ECP.A.5.5 Under-excitation Limiter Performance Test

- ECP.A.5.5.1 Initially the performance of the **Under-excitation Limiter** should be checked by moving the limit line close to the operating point of the **Synchronous Generating Unit** when operating close to unity power factor. The operating point of the **Synchronous Generating Unit** is then stepped into the limit by applying a 2% decrease in **Automatic Voltage Regulator** Setpoint voltage.
- ECP.A.5.5.2 The final performance of the **Under-excitation Limiter** shall be demonstrated by testing its response to a step change corresponding to a 2% decrease in **Automatic Voltage Regulator Setpoint** voltage when the **Synchronous Generating Unit** is operating just off the limit line, at the designed setting as indicated on the **Performance Chart** [P-Q Capability Diagram] submitted to **The Company** under OC2.
- ECP.A.5.5.3 Where possible the **Under-excitation Limiter** should also be tested by operating the tap- changer when the **Synchronous Generating Unit** is operating just off the limit line, as set up.
- ECP.A.5.5.4 The **Under-excitation Limiter** will normally be tested at low active power output and at maximum **Active Power** output.
- ECP.A.5.5.5 The following typical procedure is provided to assist **Generators** in drawing up their own site specific procedures for the **The Company** witnessed **Under-excitation Limiter** Tests.

Test	Injection	Notes
	Synchronous Generating Unit running at Maximum	
	Capacity and unity power factor. Under-excitation	
	limit temporarily moved close to the operating point of	

	the Synchronous Generating Unit.	
1	 PSS on. Inject -2% voltage step into AVR voltage Setpoint and hold at least for 10 seconds until stabilised Remove step returning AVR Voltage Setpoint to nominal and hold for at least 10 seconds 	
	Under-excitation limit moved to normal position. Synchronous Generating Unit running at Maximum Capacity and at leading Reactive Power close to Under-excitation limit.	
2	 PSS on. Inject -2% voltage step into AVR voltage Setpoint and hold at least for 10 seconds until stabilised Remove step returning AVR Voltage Setpoint to nominal and hold for at least 10 seconds 	

ECP.A.5.6 Over-excitation Limiter Performance Test

- ECP.A.5.6.1 The performance of the **Over-excitation Limiter**, where it exists, shall be demonstrated by testing its response to a step increase in the Automatic Voltage Regulator Setpoint voltage that results in operation of the Overexcitation Limiter. Prior to application of the step the **Synchronous Generating Unit** shall be generating **Maximum Capacity** and operating within its continuous **Reactive Power** capability. The size of the step will be determined by the minimum value necessary to operate the Over-excitation Limiter and will be agreed by **The Company** and the **Generator**. The resulting operation beyond the **Over-excitation Limit** shall be controlled by the **Over-excitation Limiter** without the operation of any protection that could trip the **Synchronous Power Generating Module**. The step shall be removed immediately on completion of the test.
- ECP.A.5.6.2 If the **Over-excitation Limiter** has multiple levels to account for heating effects, an explanation of this functionality will be necessary and if appropriate, a description of how this can be tested.
- ECP.A.5.6.3 The following typical procedure is provided to assist **Generators** in drawing up their own site specific procedures for the **The Company** witnessed **Under-excitation Limiter** Tests.

Test	Injection	Notes
	Synchronous Generating Unit running at Maximum Capacity and maximum lagging Reactive Power.	
	Over-excitation Limit temporarily set close to this operating point. PSS on.	
1	 Inject positive voltage step into AVR voltage Setpoint and hold Wait till Over-excitation Limiter operates after sufficient time delay to bring back the excitation back to the limit. Remove step returning AVR Voltage Setpoint to nominal. 	
	Over-excitation Limit restored to its normal operating value. PSS on.	

ECP.A.5.7 <u>Reactive Capability</u>

- ECP.A.5.7.1 The **Reactive Power** capability on each **Synchronous Power Generating Module** will normally be demonstrated by :
 - (a) operation of the Synchronous Power Generating Module at maximum

lagging **Reactive Power** and Maximum Capacity for 1 hour

(b) operation of the **Synchronous Power Generating Module** at maximum leading **Reactive Power** and Maximum Capacity for 1 hour.

(c) operation of the **Synchronous Power Generating Module** at maximum lagging **Reactive Power** and **Minimum Stable Operating Level** for 1 hour

(d) operation of the **Synchronous Power Generating Module** at maximum leading **Reactive Power** and **Minimum Stable Operating Level** for 1 hour.

(e) operation of the **Synchronous Power Generating Module** at maximum lagging **Reactive Power** and a power output between **Maximum Capacity** and **Minimum Stable Operating Level**.

(f) operation of the **Synchronous Power Generating Module** at maximum leading **Reactive Power** and a power output between **Maximum Capacity** and **Minimum Stable Operating Level**.

- ECP.A.5.7.2 In the case of an **Embedded Synchronous Power Generating Module** where distribution network considerations restrict the **Synchronous Power Generating Module Reactive Power** Output **The Company** will only require demonstration within the acceptable limits of the **Network Operator's System**.
- ECP.A.5.7.3 The test procedure, time and date will be agreed with **The Company** and will be to the instruction of **The Company** control centre and shall be monitored and recorded at both **The Company** control centre and by the **Generator**.
- ECP.A.5.7.4 Where the **Generator** is recording the voltage, **Active Power** and **Reactive Power** at the HV connection point the voltage for these tests **Active Power** and **Reactive Power** at the **Synchronous Power Generating Module** terminals may also be included. The results shall be supplied in an electronic spreadsheet format. Where applicable the **Synchronous Power Generating Module** transformer tapchanger position should be noted throughout the test period.
- ECP.A.5.8 Governor and Load Controller Response Performance
- ECP.A.5.8.1 The governor and load controller response performance will be tested by injecting simulated frequency deviations into the governor and load controller systems. Such simulated frequency deviation signals must be injected simultaneously at both speed governor and load controller setpoints. For **CCGT modules**, simultaneous injection into all gas turbines, steam turbine governors and module controllers is required.
- ECP.A.5.8.2 Prior to witnessing the governor tests set out in ECP.A.5.8.6, **The Company** requires the **Generator** to conduct the preliminary tests detailed in ECP.A.5.8.4 and send the results to **The Company** for assessment unless agreed otherwise by **The Company**. The results should be supplied in an electronic spreadsheet format. These tests shall be completed at least two weeks prior to the witnessed governor response tests.
- ECP.A.5.8.3 Where a **CCGT module** or **Synchronous Power Generating Module** is capable of operating on alternative fuels, tests will be required to demonstrate performance when operating on each fuel. **The Company** may agree a reduction from the tests listed in ECP.A.5.8.6 for demonstrating performance on the alternative fuel. This includes the case where a main fuel is supplemented by bio-fuel.

Preliminary Governor Frequency Response Testing

ECP.A.5.8.4 Prior to conducting the full set of tests as per ECP.A.5.8.6, **Generators** are required to conduct a preliminary set of tests below to confirm the frequency injection method is correct and the plant control performance is within expectation. The test numbers refer to Figure 1 below. With the plant running at 80% of full load, the following frequency injections shall be applied.

Test No	Frequency Injection	Notes
(Figure1)		
8	 Inject -0.5Hz frequency fall over 10 sec 	
	 Hold for a further 20 sec 	
	• At 30 sec from the start of the test, Inject a +0.3Hz frequency	
	rise over 30 sec.	
	 Hold until conditions stabilise 	
	 Remove the injected signal as a ramp over 10 seconds 	
13	 Inject - 0.5Hz frequency fall over 10 sec 	
	 Hold until conditions stabilise 	
	 Remove the injected signal as a ramp over 10 seconds 	
14	 Inject +0.5Hz frequency rise over 10 sec 	
	 Hold until conditions stabilise 	
	 Remove the injected signal as a ramp over 10 seconds 	
Н	 Inject - 0.5Hz frequency fall as a stepchange 	
	 Hold until conditions stabilise 	
	 Remove the injected signal as a stepchange 	
1	 Inject +0.5Hz frequency rise as a stepchange 	
	 Hold until conditions stabilise 	
	 Remove the injected signal as a stepchange 	

ECP.A.5.8.5 The recorded results (e.g. Finj, MW and control signals) should be sampled at a minimum rate of 1 Hz to allow **The Company** to assess the plant performance from the initial transients (seconds) to the final steady state conditions (5-15 minutes depending on the plant design). This is not witnessed by **The Company**. The **Generator** shall supply the recordings including data to **The Company** in an electronic spreadsheet format. Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

Full Frequency Response Testing Schedule Witnessed by The Company

ECP.A.5.8.6 The tests are to be conducted at a number of different Module Load Points (MLP). The load points are conducted as shown below unless agreed otherwise by **The Company**.

Module Load Point 6	100% MEL
(Maximum Export Limit)	
Module Load Point 5	95% MEL
Module Load Point 4	80% MEL
(Mid-point of Operating Range)	
Module Load Point 3	70% MEL
Module Load Point 2	MRL+10% or
(Lower of MRL+10% or Minimum Stable Operating Level	MSOL
Module Load Point 1	MRL
(Minimum regulating level)	

- ECP.A.5.8.7 The tests are divided into the following three types;
 - Frequency response compliance and volume tests as per ECP.A.5.8.
 Figure 1. These tests consist of frequency profile and ramp tests and adjustments to the target frequency setpoint as per ECP.5.8 Figure 3.

- (ii) System islanding and step response tests as shown by ECP.A.5.8. Figure 2.
- (iii) Frequency response tests in Limited Frequency Sensitive Mode (LFSM) to demonstrate LFSM-O and LFSM-U capability as shown by ECP.A.5.8 Figure 2.
- ECP.A.5.8.8 There should be sufficient time allowed between tests for control systems to reach steady state. Where the diagram states 'HOLD' the current injection should be maintained until the **Active Power** (MW) output of the **Synchronous Power Generating Module** or **CCGT Module** has stabilised. The frequency response capability test (see Figure 1) injection signal shall be returned to zero at the same rate at which it was applied. **The Company** may require repeat tests should the tests give unexpected results.

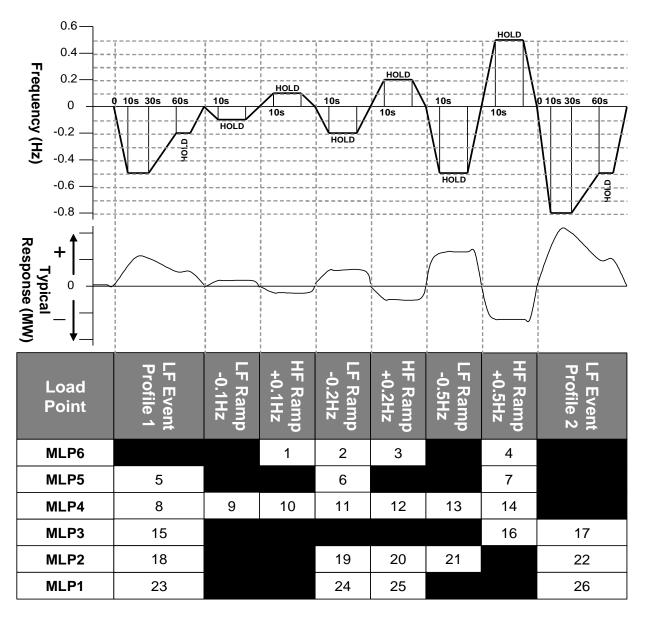
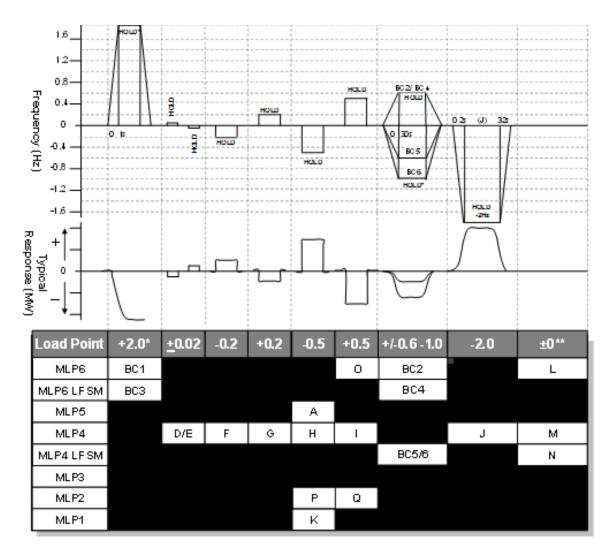


Figure 1: Frequency Response Capability FSM Ramp Response Tests



Elauro 2. Eroquono	v Doononoo Conok		I ECM II and ECM C+/	n Doononoo Tooto
FIGULE Z. FIEQUELIC	v Response Gabai	JIIILV LEQIVI-U. I	LFSM-U and FSM Ste	

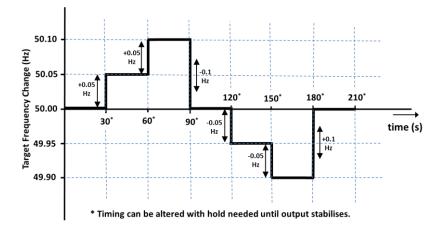
* This will generally be +2.0Hz unless an injection of this size causes a reduction in plant output that takes the operating point below **Minimum Stable Operating Level** in which case an appropriate injection should be calculated in accordance with the following:

For example 0.9Hz is needed to take an initial output 65% to a final output of 20%. If the initial output was not 65% and the **Minimum Stable Operating Level** is not 20% then the injected step should be adjusted accordingly as shown in the example given below

Initial Output	65%
Minimum Stable Operating Level	20%
Frequency Controller Droop	4%
Frequency to be injected = $(0.65-0.20)x0.04x50 =$	0.9Hz

** Tests L and M in Figure 2 shall be conducted if in this range of tests the system frequency feedback signal is replaced by the injection signal rather than the injection signal being added to the system frequency signal. The tests will consist of monitoring the **Synchronous Power Generating Module and CCGT Module** in **Frequency Sensitive Mode** during normal system frequency variations without applying any injection. Test N in figure 2 shall be conducted in all cases. All three tests should be conducted for a period of at least 10 minutes.

ECP.A.5.8.9 The target frequency adjustment facility should be demonstrated from the normal control point within the range of 49.9Hz to 50.1Hz by step changes to the target frequency setpoint as indicated in ECP.A.5.8 Figure 3



ECP.A.5.8 Figure 3 – Target Frequency setting changes

- ECP.A.5.9 Compliance with ECC.6.3.3 Functionality Test
- ECP.A.5.9.1 Where the plant design includes active control function or functions to deliver ECC.6.3.3 compliance, the **Generator** will propose and agree a test procedure with **The Company**, which will demonstrate how the **Synchronous Power Generating Module Active Power** output responds to changes in **System Frequency** and ambient conditions (e.g. by **Frequency** and temperature injection methods).
- ECP.A.5.9.2 The **Generator** shall inform **The Company** if any load limiter control is additionally employed.
- ECP.A.5.9.3 With Setpoint to the signals specified in ECP.A.4, **The Company** will agree with the **Generator** which additional control system parameters shall be monitored to demonstrate the functionality of ECC.6.3.3 compliance systems. Where **The Company** recording equipment is not used results shall be supplied to **The Company** in an electronic spreadsheet format

APPENDIX 6

COMPLIANCE TESTING OF POWER PARK MODULES

- ECP.A.6.1 SCOPE
- ECP.A.6.1.1 This Appendix outlines the general testing requirements for **Power Park Modules** and **OTSDUA** to demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and **Bilateral Agreement**. The tests specified in this Appendix will normally be sufficient to demonstrate compliance however **The Company** may:
 - i) agree an alternative set of tests provided **The Company** deem the alternative set of tests sufficient to demonstrate compliance with the **Grid Code**, **Ancillary Services Agreement** and **Bilateral Agreement**; and/or
 - ii) require additional or alternative tests if information supplied to **The Company** during the compliance process suggests that the tests in this Appendix will not fully demonstrate compliance with the relevant section of the **Grid Code**, **Ancillary Services Agreement** or **Bilateral Agreement**; and/or
 - iii) require additional tests if a **Power System Stabiliser** is fitted; and/or
 - iv) agree a reduced set of tests if a relevant **Manufacturer's Data & Performance Report** has been submitted to and deemed to be appropriate by **The Company**; and/or
 - <u>v</u>) agree a reduced set of tests for subsequent **Power Park Modules** or **OTSDUA** following successful completion of the first **Power Park Module** or **OTSDUA** tests in the case of a **Power Station** comprised of two or more **Power Park Modules** or **OTSDUA** which **The Company** reasonably considers to be identical.
 - lf:
 - (a) the tests performed pursuant to ECP.A.6.1.1(iv) do not replicate the results contained in the **Manufacturer's Data & Performance Report** or
 - (b) the tests performed pursuant to ECP.A.6.1.1(v) in respect of subsequent Power Park Modules or OTSDUA do not replicate the full tests for the first Power Park Module or OTSDUA, or
 - (c) any of the tests performed pursuant to ECP.A.6.1.1(iv) or ECP.A.6.1.1(v) do not fully demonstrate compliance with the relevant aspects of the Grid Code, Ancillary Services Agreement and / or Bilateral Agreement,

then notwithstanding the provisions above, the full testing requirements set out in this Appendix will be applied.

ECP.A.6.1.2 The **Generator** is responsible for carrying out the tests set out in and in accordance with this Appendix and the **Generator** retains the responsibility for the safety of personnel and plant during the test. **The Company** will witness all of the tests outlined or agreed in relation to this Appendix unless **The Company** decides and notifies the **Generator** otherwise. Reactive Capability tests may be witnessed by **The Company** remotely from **The Company** control centre. For all on site **The Company** witnessed tests the

Generator must ensure suitable representatives from the **Generator** and / or **Power Park Module** manufacturer (if appropriate) and/or **OTSDUA** manufacturer (if appropriate) are available on site for the entire testing period. In all cases and in addition to any recording of signals conducted by **The Company** the **Generator** shall record all relevant test signals as outlined in ECP.A.4.

- ECP.A.6.1.3 In addition to the dynamic signals supplied in ECP.A.4 the **Generator** shall inform **The Company** of the following information prior to the commencement of the tests and any changes to the following, if any values change during the tests:
 - (i) All relevant transformer tap numbers; and
 - (ii) Number of **Power Park Units** in operation
- ECP.A.6.1.4 The **Generator** shall submit a detailed schedule of tests to **The Company** in accordance with CP.6.3.1, and this Appendix.
- ECP.A.6.1.5 Prior to the testing of a **Power Park Module** or **OTSDUA** the **Generator** shall complete the **Integral Equipment Tests** procedure in accordance with OC.7.5
- ECP.A.6.1.6 Partial **Power Park Module** or **OTSDUA** testing as defined in ECP.A.6.2 and ECP.A.6.3 is to be completed at the appropriate stage in accordance with ECP.6, ECP6.4A, ECP6.4B.
- ECP.A.6.1.7 Full **Power Park Module** or **OTSDUA** testing as required by CP.7.2 is to be completed as defined in ECP.A.6.4 through to ECP.A.6.7
- ECP.A.6.1.8 Where **OTSDUW Arrangements** apply and prior to the **OTSUA Transfer Time** any relevant **OTSDUW Plant** and **Apparatus** shall be considered within the scope of testing described in this Appendix. Performance shall be assessed against the relevant Grid Code requirements for **OTSDUW Plant** and **Apparatus** at the **Interface Point** and other **Generator Plant** and **Apparatus** at the **Offshore Grid Entry Point**. This Appendix should be read accordingly.
- ECP.A.6.1.9 **The Company** will permit relaxation from the requirement ECP.A.6.2 to ECP.A.6.8 where an **Equipment Certificate** for the **Power Park Module** has been provided which details the characteristics from tests on a representative installation with the same equipment and settings and the performance of the **Power Park Module** can, in **The Company's** opinion, reasonably represent that of the installed **Power Park Module** at that site. For **Type B**, **Type C** and **Type D Power Park Modules** the relevant **Equipment Certificate** must be supplied in the **Power Generating Module Document** or **Users Data File structure** as applicable.
- ECP.A.6.2 <u>Pre 20% (or <50MW)</u> Synchronised Power Park Module Basic Voltage Control Tests
- ECP.A.6.2.1 Before 20% of the **Power Park Module** (or 50MW if less) has commissioned, either voltage control test ECP.A.6.5.6(i) or (ii) must be completed in accordance with ECP.6, ECP.6A or ECP.6B. In the case of an **Offshore Power Park Module** the test must be completed by the **Generator** undertaking **OTSDUW** or the **Offshore Transmission Licencee** under STCP19-5.
- ECP.A.6.2.2 In the case of an **Offshore Power Park Module** which provides all or a portion of the **Reactive Power** capability as described in ECC.6.3.2.5.2 or ECP.6.3.2.6.3 and / or voltage control requirements as described in

ECC.6.3.8.5 to enable an **Offshore Transmission Licensee** to meet the requirements of **STC** Section K, the **Generator** is required to cooperate with the **Offshore Transmission Licensee** to conduct the 20% voltage control test. The results in relation to the **Offshore Power Park Module** will be assessed against the requirements in the **Bilateral Agreement**.

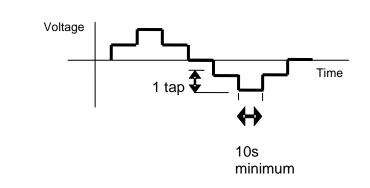
ECP.A.6.3 Power Park Modules with Maximum Capacity ≥100MW Pre 70% Power Park Module Tests

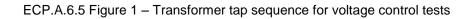
- ECP.A.6.3.1 Before 70% but with at least 50% of the Power Park Module commissioned the following Limited Frequency Sensitive tests as detailed in ECP.A.6.6.2 must be completed.
 (a) BC3
 - <u>(b)</u> BC4
- ECP.A.6.4 <u>Reactive Capability Test</u>
- ECP.A.6.4.1 This section details the procedure for demonstrating the reactive capability of an **Onshore Power Park Module** or an **Offshore Power Park Module** or **OTSDUA** which provides all or a portion of the **Reactive Power** capability as described in ECC.6.3.2.5.2 or ECP.6.3.2.6.3 as applicable (for the avoidance of doubt, an **Offshore Power Park Module** which does not provide part of the **Offshore Transmission Licensee Reactive Power** capability as described in ECC.6.3.2.5.1 and ECP.6.3.2.6.1 should complete the **Reactive Power** transfer / voltage control tests as per section ECP.A.6.8). These tests should be scheduled at a time where there are at least 95% of the **Power Park Units** within the **Power Park Module** in service. There should be sufficient MW resource forecasted in order to generate at least 85% of **Maximum Capacity** of the **Power Park Module**.
- ECP.A.6.4.2 The tests shall be performed by modifying the voltage set-point of the voltage control scheme of the **Power Park Module** or **OTSDUA** by the amount necessary to demonstrate the required reactive range. This is to be conducted for the operating points and durations specified in ECP.A.6.4.5.
- ECP.A.6.4.3 An Embedded Generator or Embedded Generator undertaking OTSDUW should liaise with the relevant Network Operator to ensure the following tests will not have an adverse impact upon the Network Operator's System as per OC.7.5. In situations where the tests have an adverse impact upon the Network Operator's System The Company will only require demonstration within the acceptable limits of the Network Operator. For the avoidance of doubt, these tests do not negate the requirement to produce a complete Power Park Module or OTSDUA performance chart as specified in OC2.4.2.1
- ECP.A.6.4.4 In the case where the **Reactive Power** metering point is not at the same location as the **Reactive Power** capability requirement, then an equivalent **Reactive Power** capability for the metering point shall be agreed between the **Generator** and **The Company**.
- ECP.A.6.4.5 The following tests shall be completed:
 - (i) Operation in excess of 60% **Maximum Capacity** and maximum continuous lagging **Reactive Power** for 30 minutes.
 - (ii) Operation in excess of 60% **Maximum Capacity** and maximum continuous leading **Reactive Power** for 30 minutes.

- (iii) Operation at 50% **Maximum Capacity** and maximum continuous leading **Reactive Power** for 30 minutes.
- (iv) Operation at 20% **Maximum Capacity** and maximum continuous leading **Reactive Power** for 60 minutes.
- (v) Operation at 20% **Maximum Capacity** and maximum continuous lagging **Reactive Power** for 60 minutes.
- (vi) Operation at less than 20% Maximum Capacity and unity Power Factor for 5 minutes. This test only applies to systems which do not offer voltage control below 20% of Maximum Capacity.
- (vii) Operation at the lower of the Minimum Stable Operating Level or 0% Maximum Capacity and maximum continuous leading Reactive Power for 5 minutes. This test only applies to systems which offer voltage control below 20% and hence establishes actual capability rather than required capability.
- (viii) Operation at the lower of the Minimum Stable Operating Level or 0% Maximum Capacity and maximum continuous lagging Reactive Power for 5 minutes. This test only applies to systems which offer voltage control below 20% and hence establishes actual capability rather than required capability.
- ECP.A.6.4.6 Within this ECP lagging **Reactive Power** is the export of **Reactive Power** from the **Power Park Module** to the **Total System** and leading **Reactive Power** is the import of **Reactive Power** from the **Total System** to the **Power Park Module** or **OTSDUA**.
- ECP.A.6.5 Voltage Control Tests
- ECP.A.6.5.1 This section details the procedure for conducting voltage control tests on Onshore Power Park Modules or OTSDUA or an Offshore Power Park **Module** which provides all or a portion of the voltage control capability as described in ECC.6.3.8.5 (for the avoidance of doubt, Offshore Power Park Modules which do not provide part of the Offshore Transmission Licensee voltage control capability as described in CC6.3.8.5 should complete the Reactive Power transfer / voltage control tests as per section ECP.A.6.8). These tests should be scheduled at a time when there are at least 95% of the Power Park Units within the Power Park Module in service. There should be sufficient MW resource forecasted in order to generate at least 65% of Maximum Capacity of the Onshore Power Park Module. An Embedded Generator or Embedded Generators undertaking OTSDUW should also liaise with the relevant Network Operator to ensure all requirements covered in this section will not have a detrimental effect on the Network Operator's System.
- ECP.A.6.5.2 The voltage control system shall be perturbed with a series of step injections to the **Power Park Module** voltage Setpoint, and where possible, multiple upstream transformer taps. In the case of an **Offshore Power Park Module** providing part of the **Offshore Transmission Licensee** voltage control capability this may require a series of step injections to the voltage Setpoint of the **Offshore Transmission Licensee** control system.
- ECP.A.6.5.3 For steps initiated using network tap changers the **Generator** will need to coordinate with **The Company** or the relevant **Network Operator** as appropriate. The time between transformer taps shall be at least 10 seconds as per ECP.A.6.5 Figure 1.

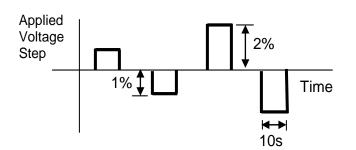
- ECP.A.6.5.4 For step injection into the **Power Park Module** or **OTSDUA** voltage Setpoint, steps of ±1% and ±2% (or larger if required by **The Company**) shall be applied to the voltage control system Setpoint summing junction. The injection shall be maintained for 10 seconds as per ECP.A.6.5 Figure 2.
- ECP.A.6.5.5 Where the voltage control system comprises of discretely switched plant and apparatus additional tests will be required to demonstrate that its performance is in accordance with **Grid Code** and **Bilateral Agreement** requirements.
- ECP.A.6.5.6 Tests to be completed:

(i)





(ii)



ECP.A.6.5 Figure 2 – Step injection sequence for voltage control tests

- ECP.A.6.5.7 In the case of **OTSDUA** where the **Bilateral Agreement** specifies additional damping facilities additional testing to demonstrate these damping facilities may be required.
- ECP.A.6.6 Frequency Response Tests
- ECP.A.6.6.1 This section describes the procedure for performing frequency response testing on a **Power Park Module**. These tests should be scheduled at a time where there are at least 95% of the **Power Park Units** within the **Power Park Module** in service. There should be sufficient MW resource forecasted in order to generate at least 65% of **Maximum Capacity** of the **Power Park Module**.
- ECP.A.6.6.2 The frequency controller shall be in **Frequency Sensitive Mode** or **Limited Frequency Sensitive Mode** as appropriate for each test. Simulated frequency deviation signals shall be injected into the frequency controller

setpoint/feedback summing junction. If the injected frequency signal replaces rather than sums with the real system frequency signal then the additional tests outlined in ECP.A.6.6.6 shall be performed with the **Power Park Module** or **Power Park Unit** in normal **Frequency Sensitive Mode** monitoring actual system frequency, over a period of at least 10 minutes. The aim of this additional test is to verify that the control system correctly measures the real system frequency for normal variations over a period of time.

ECP.A.6.6.3 In addition to the frequency response requirements it is necessary to demonstrate the **Power Park Module** ability to deliver a requested steady state power output which is not impacted by power source variation as per ECC.6.3.9. This test shall be conducted in **Limited Frequency Sensitive Mode** at a part-loaded output for a period of 10 minutes as per ECP.A.6.6.6.

Preliminary Frequency Response Testing

ECP.A.6.6.4 Prior to conducting the full set of tests as per ECP.A.6.6.6, **Generators** are required to conduct the preliminary set of tests below to confirm the frequency injection method is correct and the plant control performance is within expectation. The test numbers refer to Figure 1 below. The test should be conducted when sufficient MW resource is forecasted in order to generate at least 65% of **Maximum Capacity** of the **Power Park Module**. The following frequency injections shall be applied when operating at module load point 4.

Test No (Figure1)	Frequency Injection	Notes
8	 Inject -0.5Hz frequency fall over 10 sec Hold for a further 20 sec At 30 sec from the start of the test, Inject a +0.3Hz frequency rise over 30 sec. 	
	 Hold until conditions stabilise Remove the injected signal as a ramp over 10 seconds 	
13	 Inject - 0.5Hz frequency fall over 10 sec Hold until conditions stabilise Remove the injected signal as a ramp over 10 seconds 	
14	 Inject +0.5Hz frequency rise over 10 sec Hold until conditions stabilise Remove the injected signal as a ramp over 10 seconds 	
Н	 Inject - 0.5Hz frequency fall as a stepchange Hold until conditions stabilise Remove the injected signal as a stepchange 	
1	 Inject +0.5Hz frequency rise as a stepchange Hold until conditions stabilise Remove the injected signal as a stepchange 	

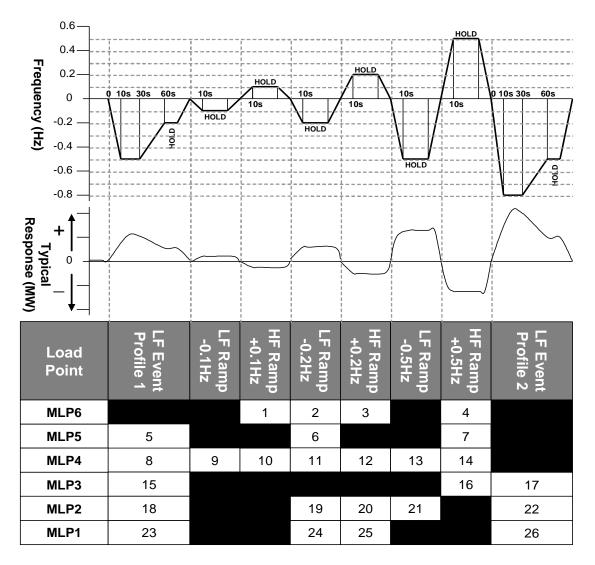
ECP.A.6.6.5 The recorded results (e.g. Finj, MW and control signals) should be sampled at a minimum rate of 1 Hz to allow **The Company** to assess the plant performance from the initial transients (seconds) to the final steady state conditions (5-15 minutes depending on the plant design). This is not witnessed by **The Company**. The **Generator** shall supply the recordings including data to **The Company** in an electronic spreadsheet format. Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

Full Frequency Response Testing Schedule Witnessed by The Company.

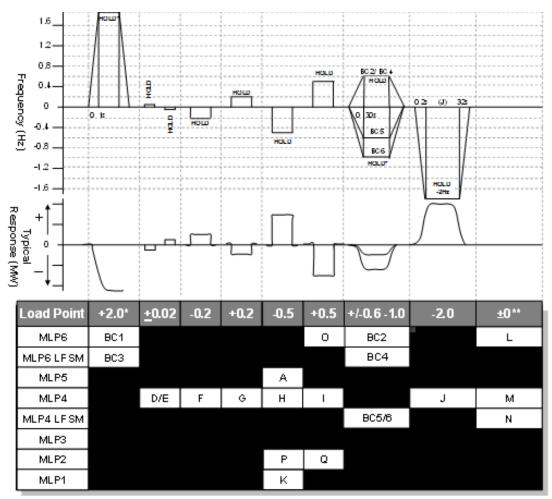
ECP.A.6.6.6 The tests are to be conducted at a number of different Module Load Points (MLP). In the case of a **Power Park Module** the module load points are conducted as shown below unless agreed otherwise by **The Company**.

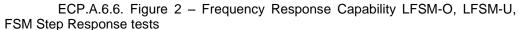
Module Load Point 6	100% MEL
(Maximum Export Limit)	
Module Load Point 5	90% MEL
Module Load Point 4	80% MEL
(Mid point of Operating Range)	
Module Load Point 3	MRL+20%
Module Load Point 2	MRL+10% or
Lower of MRL +10% or Minimum Stable Operating Level	MSOL
Module Load Point 1	MRL
(Minimum regulating level)	

- ECP.A.6.6.7 The tests are divided into the following two types;
 - (i) Frequency response compliance and volume tests as per ECP.A.6.6. Figure 1. These tests consist of frequency profile and ramp tests and adjustments to target frequency setpoint as per ECP.A.6.6 Figure 3.
 - (ii) System islanding and step response tests as shown by ECP.A.6.6. Figure 2.
 - (iii) Frequency response tests in Limited Frequency Sensitive Mode (LFSM) to demonstrate LFSM-O and LFSM-U capability as shown by ECP.A.6.6 Figure 2.
- ECP.A.6.6.8 There should be sufficient time allowed between tests for control systems to reach steady state (depending on available power resource). Where the diagram states 'HOLD' the current injection should be maintained until the **Active Power** (MW) output of the **Power Park Module** has stabilised. All frequency response tests should be removed over the same timescale for which they were applied. **The Company** may require repeat tests should the response volume be affected by the available power, or if tests give unexpected results.



ECP.A.6.6. Figure 1 – Frequency Response Capability FSM Ramp Response tests





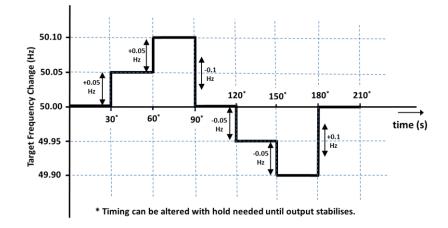
* This will generally be +2.0Hz unless an injection of this size causes a reduction in plant output that takes the operating point below **Minimum Stable Operating Level** in which case an appropriate injection should be calculated in accordance with the following:

For example 0.9Hz is needed to take an initial output 65% to a final output of 20%. If the initial output was not 65% and the **Minimum Stable Operating Level** is not 20% then the injected step should be adjusted accordingly as shown in the example given below

Initial Output	65%
Minimum Stable Operating Level	20%
Frequency Controller Droop	4%
Frequency to be injected = $(0.65-0.20)x0.04x50 =$	0.9Hz

** Tests L and M in Figure 2 shall be conducted if in this range of tests the system frequency feedback signal is replaced by the injection signal rather than the injection signal being added to the system frequency signal. The tests will consist of monitoring the **Power Park Module** in **Frequency Sensitive Mode** during normal system frequency variations without applying any injection. Test N in Figure 2 shall be conducted in all cases. All three tests should be conducted for a period of at least 10 minutes.

ECP.A.6.6.9 The target frequency adjustment facility should be demonstrated from the normal control point within the range of 49.9Hz to 50.1Hz by step changes to the target frequency setpoint as indicated in ECP.A.6.6 Figure 3.

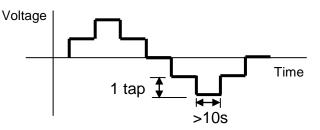


ECP.A.6.6. Figure 3 – Target Frequency setting changes

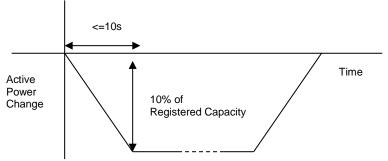
- ECP.A.6.7 Fault Ride Through Testing
- ECP.A.6.7.1 This section describes the procedure for conducting fault ride through tests on a single **Power Park Unit** as required by ECP.7.2.2(d).
- ECP.A.6.7.2 The test circuit will utilise the full **Power Park Unit** with no exclusions (e.g. in the case of a wind turbine it would include the full wind turbine structure) and shall be conducted with sufficient resource available to produce at least 95% of the **Maximum Capacity** of the **Power Park Unit**. The test will comprise of a number of controlled short circuits applied to a test network to which the **Power Park Unit** is connected, typically comprising of the **Power Park Unit** transformer and a test impedance to shield the connected network from voltage dips at the **Power Park Unit** terminals.
- ECP.A.6.7.3 In each case the tests should demonstrate the minimum voltage at the **Power Park Unit** terminals or **High Voltage** side of the **Power Park Unit** transformer which the **Power Park Unit** can withstand for the length of time specified in ECP.A.6.7.5. Any test results provided to **The Company** should contain sufficient data pre and post fault in order to determine steady state values of all signals, and the power recovery timescales.
- ECP.A.6.7.4 In addition to the signals outlined in ECP.A.4.2. the following signals from either the **Power Park Unit** terminals or **High Voltage** side of the **Power Park Unit** transformer should be provided for this test only:
 - (i) Phase voltages
 - (ii) Positive phase sequence and negative phase sequence voltages
 - (iii) Phase currents
 - (iv) Positive phase sequence and negative phase sequence currents
 - (v) Estimate of **Power Park Unit** negative phase sequence impedance
 - (vi) MW **Active Power** at the power generating module.
 - (vii) MVAr **Reactive Power** at the power generating module.
 - (viii) Mechanical Rotor Speed
 - (ix) Real / reactive, current / power Setpoint as appropriate
 - (x) Fault ride through protection operation (e.g. a crowbar in the case of a doubly fed induction generator)
 - (xi) Any other signals relevant to the control action of the fault ride through control deemed applicable for model validation.

At a suitable frequency rate for fault ride through tests as agreed with **The Company**.

- ECP.A.6.7.5 The tests should be conducted for the times and fault types indicated in ECC.6.3.15 as applicable.
- ECP.A.6.8 <u>Reactive Power Transfer / Voltage Control Tests for Offshore Power Park</u> <u>Modules</u>
- ECP.A.6.8.1 In the case of an Offshore Power Park Module which provides all or a portion of the Reactive Power capability as described in ECP.6.3.2.5.2 or ECP.6.3.6.3 and / or voltage control requirements as described in ECC.6.3.8.5 to enable an Offshore Transmission Licensee to meet the requirements of STC Section K, the testing, will comprise of the entire control system responding to changes at the onshore Interface Point. Therefore the tests in this section ECP.A.6.8 will not apply. The Generator shall cooperate with the relevant Offshore Transmission Licensee to facilitate these tests as required by The Company. The testing may be combined with testing of the corresponding Offshore Transmission Licensee requirements under the STC. The results in relation to the Offshore Power Park Module will be assessed against the requirements in the Bilateral Agreement.
- ECP.A.6.8.2 In the case of an Offshore Power Park Module which does not provide part of the Offshore Transmission Licensee Reactive Power capability the following procedure for conducting Reactive Power transfer control tests on Offshore Power Park Modules and / or voltage control system as per CC6.3.2(e)(i) and CC6.3.2(e)(ii) apply. These tests should be carried out prior to 20% of the Power Park Units within the Offshore Power Park Module being synchronised, and again when at least 95% of the Power Park Units within the Offshore Power Park Module in service. There should be sufficient power resource forecast to generate at least 85% of the Maximum Capacity of the Offshore Power Park Module.
- ECP.A.6.8.3 The **Reactive Power** control system shall be perturbed by a series of system voltage changes and changes to the **Active Power** output of the **Offshore Power Park Module**.
- ECP.A.6.8.4 System voltage changes should be created by a series of multiple upstream transformer taps. The **Generator** should coordinate with **The Company** or the relevant **Network Operator** in order to conduct the required tests. The time between transformer taps should be at least 10 seconds as per ECP.A.6.8 Figure 1.
- ECP.A.6.8.5 The active power output of the **Offshore Power Park Module** should be varied by applying a sufficiently large step to the frequency controller Setpoint/feedback summing junction to cause a 10% change in output of the **Maximum Capacity** of the **Offshore Power Park Module** in a time not exceeding 10 seconds. This test does not need to be conducted provided that the frequency response tests as outlined in ECP.A.6.6 are completed.
- ECP.A.6.8.6 The following diagrams illustrate the tests to be completed:



ECP.A.6.8 Figure 1 – Transformer tap sequence for reactive transfer tests



ECP.A.6.8 Figure 2 – Active Power ramp for reactive transfer tests

APPENDIX 7

COMPLIANCE TESTING FOR HVDC EQUIPMENT

ECP.A.7.1 <u>SCOPE</u>

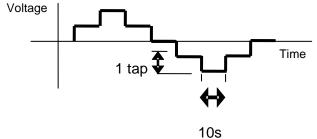
- ECP.A.7.1.1 This Appendix outlines the general testing requirements for **HVDC System Owners** to demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and **Bilateral Agreement**. The tests specified in this Appendix will normally be sufficient to demonstrate compliance however **The Company** may:
 - i) agree an alternative set of tests provided **The Company** deem the alternative set of tests sufficient to demonstrate compliance with the **Grid Code**, **Ancillary Services Agreement** and **Bilateral Agreement**; and/or
 - ii) require additional or alternative tests if information supplied to **The Company** during the compliance process suggests that the tests in this Appendix will not fully demonstrate compliance with the relevant section of the **Grid Code**, **Ancillary Services Agreement** or **Bilateral Agreement**; and/or
 - iii) require additional tests if control functions to improve damping of power system oscillations and/or subsynchronous resonance torsional oscillations required by the **Bilateral Agreement** or included in the control scheme and active; and/or
 - iv) agree a reduced set of tests for subsequent HVDC Equipment following successful completion of the first HVDC Equipment tests in the case of an installation comprising of two or more HVDC Systems or DC Connected Power Park Modules which The Company reasonably considers to be identical.
 - lf:
 - the tests performed pursuant to ECP.A.7.1.1(iv) in respect of subsequent HVDC Systems or DC Connected Power Park Modules do not replicate the full tests for the first HVDC Equipment, or
 - (b) any of the tests performed pursuant to ECP.A.7.1.1(iv) do not fully demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and / or **Bilateral**
- ECP.A.7.1.2 The HVDC System Owner is responsible for carrying out the tests set out in and in accordance with this Appendix and the HVDC System Owner retains the responsibility for the safety of personnel and plant during the test. The HVDC System Owner is responsible for ensuring that suitable arrangements are in place with the Externally Interconnected System Operator to facilitate testing. The Company will witness all of the tests outlined or agreed in relation to this Appendix unless The Company decides and notifies the HVDC System Owner otherwise. Reactive Capability tests if required, may be witnessed by The Company remotely from The Company control centre. For all on site The Company witnessed tests the HVDC System Owner must ensure suitable representatives from the HVDC System Owner and / or HVDC Equipment manufacturer (if appropriate) are available on site for the entire testing period. In all cases and in addition to any recording of signals conducted by The Company the HVDC System Owner shall record all relevant test signals as outlined in ECP.A.4.

- ECP.A.7.1.3 In addition to the dynamic signals supplied in ECP.A.4 the **HVDC System Owner** shall inform **The Company** of the following information prior to the commencement of the tests and any changes to the following, if any values change during the tests:
 - (i) All relevant transformer tap numbers.
- ECP.A.7.1.4 The **HVDC System Owner** shall submit a detailed schedule of tests to **The Company** in accordance with CP.6.3.1, and this Appendix.
- ECP.A.7.1.5 Prior to the testing of HVDC Equipment the HVDC System Owner shall complete the Integral Equipment Tests procedure in accordance with OC.7.5
- ECP.A.7.1.6 Full **HVDC Equipment** testing as required by ECP.7.2 is to be completed as defined in ECP.A.7.2 through to ECP.A.7.5
- ECP.A.7.1.7 **The Company** will permit relaxation from the requirement ECP.A.7.2 to ECP.A.7.5 where an **Equipment Certificate** for **HVDC Equipment** has been provided which details the characteristics from tests on a representative installation with the same equipment and settings and the performance of the **HVDC Equipment** can, in **The Company's** opinion, reasonably represent that of the installed **HVDC Equipment** at that site. The relevant **Equipment Certificate** must be supplied in the **Users Data File structure**.
- ECP.A.7.2 Reactive Capability Test
- ECP.A.7.2.1 This section details the procedure for demonstrating the reactive capability of **HVDC Equipment.** These tests should be scheduled at a time where there are sufficient MW resource forecasted in order to import and export full **Maximum Capacity** of the **HVDC Equipment**.
- ECP.A.7.2.2 The tests shall be performed by modifying the voltage set-point of the voltage control scheme of the **HVDC Equipment** by the amount necessary to demonstrate the required reactive range. This is to be conducted for the operating points and durations specified in ECP.A.7.2.5.
- ECP.A.7.2.3 Embedded HVDC System Owners should liaise with the relevant Network Operator to ensure the following tests will not have an adverse impact upon the Network Operator's System as per OC.7.5. In situations where the tests have an adverse impact upon the Network Operator's System The Company will only require demonstration within the acceptable limits of the Network Operator. For the avoidance of doubt, these tests do not negate the requirement to produce a complete HVDC Equipment performance chart as specified in OC2.4.2.1
- ECP.A.7.2.4 In the case where the **Reactive Power** metering point is not at the same location as the **Reactive Power** capability requirement, then an equivalent **Reactive Power** capability for the metering point shall be agreed between the **HVDC System Owner** and **The Company**.
- ECP.A.7.2.5 The following tests shall be completed for both importing and exporting of Active Power for a **DC Converter**:
 - (i) Operation at **Maximum Capacity** and maximum continuous lagging **Reactive Power** for 60 minutes.
 - (ii) Operation at **Maximum Capacity** and maximum continuous leading **Reactive Power** for 60 minutes.

- (iii) Operation at 50% **Maximum Capacity** and maximum continuous leading **Reactive Power** for 60 minutes.
- (iv) Operation at 50% **Maximum Capacity** and maximum continuous lagging **Reactive Power** for 60 minutes.
- (v) Operation at **Minimum Capacity** and maximum continuous leading Reactive Power for 60 minutes.
- (vi) Operation at **Minimum Capacity** and maximum continuous lagging **Reactive Power** for 60 minutes.
- ECP.A.7.2.6 For the avoidance of doubt, lagging **Reactive Power** is the export of **Reactive Power** from the **HVDC Equipment** to the **Total System** and leading **Reactive Power** is the import of **Reactive Power** from the **Total System** to the **HVDC Equipment**.
- ECP.A.7.3 Not Used

ECP.A.7.4 Voltage Control Tests

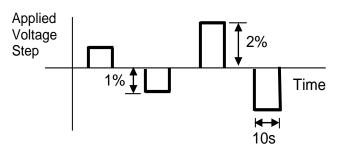
- ECP.A.7.4.1 This section details the procedure for conducting voltage control tests on HVDC Equipment. These tests should be scheduled at a time where there are sufficient MW resource in order to import and export Maximum Capacity of the HVDC Equipment . An Embedded HVDC System Owner should also liaise with the relevant Network Operator to ensure all requirements covered in this section will not have a detrimental effect on the Network Operator's System.
- ECP.A.7.4.2 The voltage control system shall be perturbed with a series of step injections to the **HVDC Equipment** voltage Setpoint, and where possible, multiple upstream transformer taps.
- ECP.A.7.4.3 For steps initiated using network tap changers the **HVDC System Owner** will need to coordinate with **The Company** or the relevant **Network Operator** as appropriate. The time between transformer taps shall be at least 10 seconds as per ECP.A.7.4 Figure 1.
- ECP.A.7.4.4 For step injection into the **HVDC Equipment** voltage Setpoint, steps of $\pm 1\%$ and $\pm 2\%$ shall be applied to the voltage control system Setpoint summing junction. The injection shall be maintained for 10 seconds as per ECP.A.7.4 Figure 2.
- ECP.A.7.4.5 Where the voltage control system comprises of discretely switched plant and apparatus additional tests will be required to demonstrate that its performance is in accordance with **Grid Code** and **Bilateral Agreement** requirements.
- ECP.A.7.4.6 Tests to be completed:
 - (i)



minimum

ECP.A.7.4 Figure 1 – Transformer tap sequence for voltage control tests

(ii)



ECP.A.7.4 Figure 2 - Step injection sequence for voltage control tests

- ECP.A.7.5 Frequency Response Tests
- ECP.A.7.5.1 This section describes the procedure for performing frequency response testing on HVDC Equipment. These tests should be scheduled at a time where there are sufficient MW resource in order to import and export full Maximum Capacity of the HVDC Equipment. The HVDC System Owner is responsible for ensuring that suitable arrangements are in place with the Externally Interconnected System Operator to facilitate the active power changes required by these tests
- ECP.A.7.5.2 The frequency controller shall be in **Frequency Sensitive Mode** or **Limited Frequency Sensitive Mode** as appropriate for each test. Simulated frequency deviation signals shall be injected into the frequency controller Setpoint/feedback summing junction. If the injected frequency signal replaces rather than sums with the real system frequency signal then the additional tests outlined in ECP.A.7.5.6 shall be performed with the **HVDC Equipment** in normal **Frequency Sensitive Mode** monitoring actual system frequency, over a period of at least 10 minutes. The aim of this additional test is to verify that the control system correctly measures the real system frequency for normal variations over a period of time.
- ECP.A.7.5.3 In addition to the frequency response requirements it is necessary to demonstrate the **HVDC Equipment** ability to deliver a requested steady state power output which is not impacted by power source variation as per ECC.6.3.9. This test shall be conducted in **Limited Frequency Sensitive Mode** at a part-loaded output for a period of 10 minutes as per ECP.A.7.5.6.

Preliminary Frequency Response Testing

ECP.A.7.5.4 Prior to conducting the full set of tests as per ECP.A.7.5.6, **HVDC System Owners** are required to conduct a preliminary set of tests below to confirm the frequency injection method is correct and the plant control performance is within expectation. The test numbers refer to Figure 1 below. These tests should be scheduled at a time where there are sufficient MW resource in order to export full **Maximum Capacity** from the **HVDC Equipment**. The following frequency injections shall be applied when operating at module load point 4.

Test No (Figure1)	Frequency Injection	Notes
8	 Inject -0.5Hz frequency fall over 10 sec Hold for a further 20 sec At 30 sec from the start of the test, Inject a +0.3Hz frequency rise over 30 sec. Hold until conditions stabilise Remove the injected signal as a ramp over 10 seconds 	
13	 Inject - 0.5Hz frequency fall over 10 sec Hold until conditions stabilise Remove the injected signal as a ramp over 10 seconds 	
14	 Inject +0.5Hz frequency rise over 10 sec Hold until conditions stabilise Remove the injected signal as a ramp over 10 seconds 	
Н	 Inject - 0.5Hz frequency fall as a stepchange Hold until conditions stabilise Remove the injected signal as a stepchange 	
1	 Inject +0.5Hz frequency rise as a stepchange Hold until conditions stabilise Remove the injected signal as a stepchange 	

ECP.A.7.5.5 The recorded results (e.g. Finj, MW and control signals) should be sampled at a minimum rate of 1 Hz to allow **The Company** to assess the plant performance from the initial transients (seconds) to the final steady state conditions (5-15 minutes depending on the plant design). This is not witnessed by **The Company**. The **HVDC System Owner** shall supply the recordings including data to **The Company** in an electronic spreadsheet format. Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

Full Frequency Response Testing Schedule Witnessed by **The Company**

ECP.A.7.5.6 The tests are to be conducted at a number of different Module Load Points (MLP). In the case of **HVDC Equipment** the load points are conducted as shown below unless agreed otherwise by **The Company**.

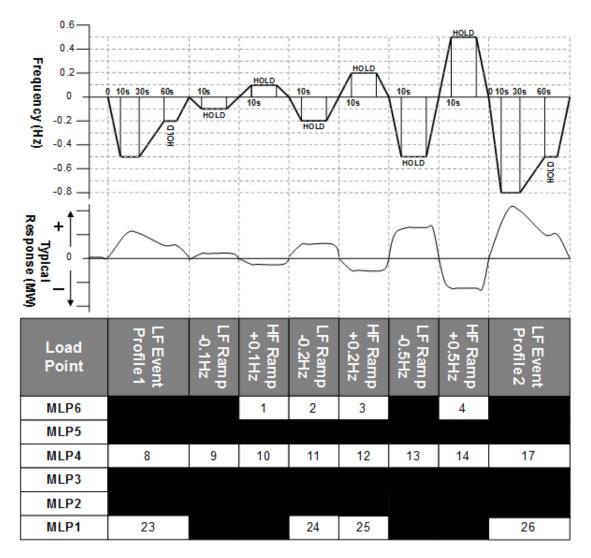
Module Load Point 6 (Maximum Export Limit)	100% MEL
Module Load Point 5	90% MEL
Module Load Point 4	80% MEL
(Mid point of Operating Range)	
Module Load Point 3	MRL+20%
Module Load Point 2	MRL+10%
Module Load Point 1	MRL
(Minimum regulating level)	

ECP.A.7.5.7 The tests are divided into the following two types;

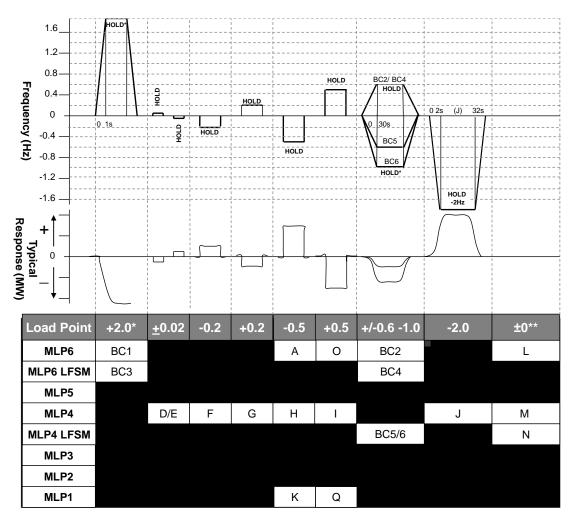
- (i) Frequency response compliance and volume tests as per ECP.A.7.5. Figure 1. These tests consist of frequency profile and ramp tests and adjustments to target frequency setpoint as per ECP.A.7.5 Figure 3
- (ii) System islanding and step response tests as shown by ECP.A.7.5 Figure 2

ECP.A.7.5. Fig 1 and 2 are shown for the Importing of Active Power, simulated frequency polarity should be reversed when exporting Active Power.

ECP.A.7.5.8 There should be sufficient time allowed between tests for control systems to reach steady state (depending on available power resource). Where the diagram states 'HOLD' the current injection should be maintained until the **Active Power** (MW) output of the **HVDC Equipment** has stabilised. All frequency response tests should be removed over the same timescale for which they were applied. **The Company** may require repeat tests should the response volume be affected by the available power, or if tests give unexpected results.



ECP.A.7.5. Figure 1 – Frequency Response Capability FSM Ramp Response tests



ECP.A.7.5. Figure 2 – Frequency Response Capability LFSM-O, LFSM-U, FSM Step Response tests

* This will generally be +2.0Hz unless an injection of this size causes a reduction in plant output that takes the operating point below **Minimum Capacity** in which case an appropriate injection should be calculated in accordance with the following:

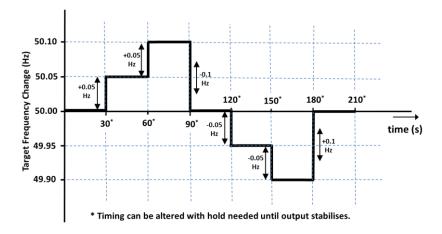
For example 0.9Hz is needed to take an initial output 65% to a final output of 20%. If the initial output was not 65% and the **Minimum Capacity** is not 20% then the injected step should be adjusted accordingly as shown in the example given below

Initial Output	65%
Minimum Capacity	20%
Frequency Controller Droop	4%
Frequency to be injected =	(0.65-0.20)x0.04x50 = 0.9Hz

** Tests L and M in Figure 2 shall be conducted if in this range of tests the system frequency feedback signal is replaced by the injection signal rather than the injection signal being added to the system frequency signal. The tests will consist of monitoring the **HVDC Equipment** in **Frequency Sensitive Mode** during normal system frequency variations without applying any injection. Test N in Figure 2 shall be conducted in all cases. All three tests should be conducted for a period of at least 10 minutes.

ECP.A.7.5.9 The target frequency adjustment facility should be demonstrated from the normal control point within the range of 49.9Hz to 50.1Hz by step changes to

the target frequency setpoint as indicated in ECP.A.7.5 Figure 3.



ECP.A.7.5. Figure 3 – Target Frequency setting changes

APPENDIX 8

SIMULATION STUDIES AND COMPLIANCE TESTING FOR NETWORK OPERATORS AND NON-EMBEDDED CUSTOMERS PLANT AND APPARATUS

- ECP.A.8.1 <u>Compliance testing for disconnection and reconnection of Network</u> <u>Operator's Plant and Apparatus</u>
- ECP.A.8.1.1 **Network Operators** shall comply with the following applicable requirements in respect of **EU Grid Supply Points**:
 - (i) Demand disconnection schemes;
 - (ii) Synchronising; and/or
 - (iii) low frequency demand disconnection;
- ECP.A.8.1.2 The requirements for demand disconnection, other than low frequency demand disconnection, are pursuant to the requirements of the **Bilateral Agreement.** Any requirements for testing shall be agreed with the **User** where such requirements are applicable.
- ECP.A.8.1.3 The requirements for synchronising (where applicable) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.2.3.10. Any requirements for testing (as applicable) shall be agreed with the **User** and carried out during the commissioning process.
- ECP.A.8.1.4 **Network Operators** who are **EU Code Users** must demonstrate compliance with the low frequency demand disconnection requirements of ECC.6.4.3, ECC.A.5 and OC.6.6 for their entire distribution **System**.
- ECP.A.8.1.5 An equipment certificate may be submitted to **The Company** instead of part of the tests provided for in ECP.A.8.1.1.
- ECP.A.8.2 Compliance testing for operational metering at EU Grid Supply Points
- ECP.A.8.2.1 The requirements for operational metering (where required) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.5.6. Any applicable requirements for testing shall be agreed with the **User** and carried out during the commissioning process. An **Equipment Certificate** may be used for this purpose where agreed with **The Company**.
- ECP.A.8.3 <u>Compliance testing for disconnection and reconnection of Non-</u> Embedded Customers Plant and Apparatus
- ECP.A.8.3.1 **Non-Embedded Customers** shall comply with the following requirements where applicable:
 - (i) Demand disconnection schemes;
 - (ii) Synchronising; and/or
 - (iii) low frequency demand disconnection;
- ECP.A.8.3.2 The requirements for demand disconnection, other than low frequency demand disconnection, are pursuant to the requirements of the

Bilateral Agreement. Any requirements for testing shall be agreed with the **User**.

- ECP.A.8.3.3 The requirements for synchronising (where applicable) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.2.3.10. Any requirements for testing (as applicable) shall be agreed with the **User** and carried out during the commissioning process.
- ECP.A.8.3.4 **Non-Embedded Customers** who are **EU Code Users** must demonstrate compliance with the low frequency demand disconnection requirements of ECC.6.4.3, ECC.A.5 and OC.6.6 of their **System**.
- ECP.A.8.3.5 An equipment certificate may be submitted to **The Company** instead of part of the tests provided for in ECP.A.8.3.1.
- ECP.A.8.4 <u>Compliance testing for operational metering on Non-Embedded</u> <u>Customers Plant and Apparatus</u>
- ECP.A.8.4.1 The requirements for operational metering (where required)) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.5.6. Any applicable requirements for testing shall be agreed with the **User** and carried out during the commissioning process. An **Equipment Certificate** may be used for this purpose where agreed with **The Company**.
- ECP.A.8.5 Common Provisions on Compliance Simulations
- ECP.A.8.5.1 **Users** are required to provide simulation studies or equivalent information to the satisfaction of **The Company** in the following circumstances.
 - (i) a new connection to the **Transmission System** is required forming part of an **EU Grid Supply Point**;
 - (ii) a Substantial Modification takes place at an EU Grid Supply Point
 - (iii) **The Company** becomes aware of a potential non-compliance by the **Network Operator** or **Non-Embedded Customer** at an **EU Grid Supply Point**.
- ECP.A.8.5.2 Notwithstanding the requirements of ECP.A.8.5.1, **The Company** shall be entitled to:-
 - (a) Allow the Network Operator or Non-Embedded Customer to carry out an alternative set of simulations (or equivalent information) provided that they demonstrate that the Network Operators or Non-Embedded Customers Plant and Apparatus is capable of satisfying the applicable requirements of the Data Registration Code.
 - (b) Require the Network Operator or Non-Embedded Customer to

carry out additional or alternative simulations (or equivalent information) to those specified in ECP.A.8.5.1 where they would otherwise be insufficient to demonstrate compliance.

- (c) **The Company** may check that the **Network Operator** or **Non-Embedded Customer** complies with the requirements of the **Grid Code** by carrying out its own compliance simulations based on the simulation reports, models and test measurements submitted under the **Data Registration Code**.
- ECP.A.8.5.3 **The Company** will supply (under PC.A.8) upon request to the **Network Operator** or **Non-Embedded Customer**, data to enable the **Network Operator** or **Non-Embedded Customer** to carry out the required simulations or supply the equivalent information required under the **Data Registration Code**.
- ECP.A.8.6 Compliance simulations for EU Grid Supply Points
- ECP.A.8.6.1 **Networks Operators** who are also **EU Code Users**, are required to provide simulation studies (or make available equivalent information) at each **EU Grid Supply Point** to demonstrate compliance with the **Reactive Power** capability requirements set out in ECC.6.4.5. The study or equivalent information provided shall include a steady state simulation model under both maximum and minimum demand conditions. In addition, the model or equivalent information provided shall include the conditions when the **Reactive Power** export is at an **Active Power** flow of less than 25% of the **Maximum Import Capability** as detailed under ECC.6.4.5.2. In all cases the models or equivalent information submitted shall be agreed and approved with **The Company**.
- ECP.A.8.7 <u>Compliance simulations for Non-Embedded Customers Plant and</u> <u>Apparatus</u>
- ECP.A.8.7.1 **None Embedded Customers** who are also **EU Code Users** are required at each **EU Grid Supply Point** to provide simulation studies (or equivalent information) to demonstrate compliance with the **Reactive Power** capability requirements set out in ECC.6.4.5. The study or equivalent information provided shall include a steady state simulation model under both maximum and minimum demand conditions and with and without on-site generation. In all cases the models or equivalent information submitted shall be agreed and approved with **The Company**.
- ECP.A.8.8 Compliance monitoring at EU Grid Supply Points
- ECP.A.8.8.1 To satisfy the requirements of ECC.6.4.5, **EU Code Users** who are either **Network Operators** or **Non-Embedded Customers** shall ensure their **Plant** and **Apparatus** is equipped (where applicable) with the necessary equipment to measure the **Active Power** and **Reactive Power**, at each **EU Grid Supply Point**. The requirement for and time frame for compliance monitoring shall be agreed between **The Company** and the **EU Code User** for each **EU Grid Supply Point**.

APPENDIX 8

SIMULATION STUDIES AND COMPLIANCE TESTING FOR NETWORK OPERATORS AND NON-EMBEDDED CUSTOMERS PLANT AND APPARATUS

- ECP.A.8.1 Compliance testing for disconnection and reconnection of Network Operator's Plant and Apparatus
- ECP.A.8.1.1 **Network Operators** shall comply with the following applicable requirements in respect of **EU Grid Supply Points**:
 - (iv) Demand disconnection schemes;
 - (v) Synchronising; and/or
 - (vi) low frequency demand disconnection;
- ECP.A.8.1.2 The requirements for demand disconnection, other than low frequency demand disconnection, are pursuant to the requirements of the **Bilateral Agreement.** Any requirements for testing shall be agreed with the **User** where such requirements are applicable.
- ECP.A.8.1.3 The requirements for synchronising (where applicable) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.2.3.10. Any requirements for testing (as applicable) shall be agreed with the **User** and carried out during the commissioning process.
- ECP.A.8.1.4 **Network Operators** who are **EU Code Users** must demonstrate compliance with the low frequency demand disconnection requirements of ECC.6.4.3, ECC.A.5 and OC.6.6 for their entire distribution **System**.
- ECP.A.8.1.5 An equipment certificate may be submitted to **The Company** instead of part of the tests provided for in ECP.A.8.1.1.
- ECP.A.8.2 Compliance testing for operational metering at EU Grid Supply Points
- ECP.A.8.2.1 The requirements for operational metering (where required) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.5.6. Any applicable requirements for testing shall be agreed with the **User** and carried out during the commissioning process. An **Equipment Certificate** may be used for this purpose where agreed with **The Company**.
- ECP.A.8.3 <u>Compliance testing for disconnection and reconnection of Non-Embedded</u> <u>Customers Plant and Apparatus</u>
- ECP.A.8.3.1 **Non-Embedded Customers** shall comply with the following requirements where applicable:
 - (iv) Demand disconnection schemes;
 - (v) Synchronising; and/or
 - (vi) low frequency demand disconnection;
- ECP.A.8.3.2 The requirements for demand disconnection, other than low frequency demand disconnection, are pursuant to the requirements of the **Bilateral Agreement.** Any requirements for testing shall be agreed with the **User**.
- ECP.A.8.3.3 The requirements for synchronising (where applicable) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.2.3.10. Any requirements for testing (as applicable) shall be agreed with the **User** and carried out during the commissioning process.

- ECP.A.8.3.4 **Non-Embedded Customers** who are **EU Code Users** must demonstrate compliance with the low frequency demand disconnection requirements of ECC.6.4.3, ECC.A.5 and OC.6.6 of their **System**.
- ECP.A.8.3.5 An equipment certificate may be submitted to **The Company** instead of part of the tests provided for in ECP.A.8.3.1.
- ECP.A.8.4 Compliance testing for operational metering on Non-Embedded Customers Plant and Apparatus
- ECP.A.8.4.1 The requirements for operational metering (where required)) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.5.6. Any applicable requirements for testing shall be agreed with the **User** and carried out during the commissioning process. An **Equipment Certificate** may be used for this purpose where agreed with **The Company**.
- ECP.A.8.5 <u>Common Provisions on Compliance Simulations</u>
- ECP.A.8.5.1 **Users** are required to provide simulation studies or equivalent information to the satisfaction of **The Company** in the following circumstances.
 - (iv) a new connection to the **Transmission System** is required forming part of an **EU Grid Supply Point**;
 - (v) a Substantial Modification takes place at an EU Grid Supply Point
 - (vi) **The Company** becomes aware of a potential non-compliance by the **Network Operator** or **Non-Embedded Customer** at an **EU Grid Supply Point**.
- ECP.A.8.5.2 Notwithstanding the requirements of ECP.A.8.5.1, **The Company** shall be entitled to:-
 - (d) Allow the Network Operator or Non-Embedded Customer to carry out an alternative set of simulations (or equivalent information) provided that they demonstrate that the Network Operators or Non-Embedded Customers Plant and Apparatus is capable of satisfying the applicable requirements of the Data Registration Code.
 - (e) Require the **Network Operator** or **Non-Embedded Customer** to carry out additional or alternative simulations (or equivalent information) to those specified in ECP.A.8.5.1 where they would otherwise be insufficient to demonstrate compliance.
 - (f) The Company may check that the Network Operator or Non-Embedded Customer complies with the requirements of the Grid Code by carrying out its own compliance simulations based on the simulation reports, models and test measurements submitted under the Data Registration Code.
- ECP.A.8.5.3 **The Company** will supply (under PC.A.8) upon request to the **Network Operator** or **Non-Embedded Customer**, data to enable the **Network Operator** or **Non-Embedded Customer** to carry out the required simulations or supply the equivalent information required under the **Data Registration Code**.
- ECP.A.8.6 Compliance simulations for EU Grid Supply Points

- ECP.A.8.6.1 **Networks Operators** who are also **EU Code Users**, are required to provide simulation studies (or make available equivalent information) at each **EU Grid Supply Point** to demonstrate compliance with the **Reactive Power** capability requirements set out in ECC.6.4.5. The study or equivalent information provided shall include a steady state simulation model under both maximum and minimum demand conditions. In addition, the model or equivalent information provided shall include the conditions when the **Reactive Power** export is at an **Active Power** flow of less than 25% of the **Maximum Import Capability** as detailed under ECC.6.4.5.2. In all cases the models or equivalent information submitted shall be agreed and approved with **The Company**
- ECP.A.8.7Compliance simulations for Non-Embedded Customers Plant and ApparatusECP.A.8.7.1None Embedded Customers who are also EU Code Users are required at
each EU Grid Supply Point to provide simulation studies (or
equivalent information) to demonstrate compliance with the Reactive
Power capability requirements set out in ECC.6.4.5. The study or equivalent
information provided shall include a steady state simulation model under both
maximum and minimum demand conditions and with and without on-site
generation. In all cases the models or equivalent information submitted shall
be agreed and approved with The Company.
- ECP.A.8.8 Compliance monitoring at EU Grid Supply Points
- ECP.A.8.8.1 To satisfy the requirements of ECC.6.4.5, **EU Code Users** who are either **Network Operators** or **Non-Embedded Customers** shall ensure their **Plant** and **Apparatus** is equipped (where applicable) with the necessary equipment to measure the **Active Power** and **Reactive Power**, at each **EU Grid Supply Point**. The requirement for and time frame for compliance monitoring shall be agreed between **The Company** and the **EU Code User** for each **EU Grid Supply Point**.

< End of ECP >

BALANCING CODE NO. 1

(BC1)

PRE GATE CLOSURE PROCESS

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BC1.1 INTRODUCTION

Balancing Code No1 (BC1) sets out the procedure for:

- (a) the submission of **BM Unit Data** and/or **Generating Unit Data** (which could be part of a **Power Generating Module**) by each **BM Participant**;
- (b) the submission of certain System data by each Network Operator; and
- (c) the provision of data by The Company,

in the period leading up to Gate Closure.

BC1.2 OBJECTIVE

The procedure for the submission of **BM Unit Data** and/or **Generating Unit Data** is intended to enable **The Company** to assess which **BM Units** and **Generating Units** (which could be part of a **Power Generating Module**) are expected to be operating in order that **The Company** can ensure (so far as possible) the integrity of the **National Electricity Transmission System**, and the security and quality of supply.

Where reference is made in this **BC1** to **Generating Units** and/or **Power Generating Modules** (unless otherwise stated) it only applies:

- (a) to each Generating Unit which forms part of the BM Unit of a Cascade Hydro Scheme; and
- (b) at an **Embedded Exemptable Large Power Station** where the relevant **Bilateral Agreement** specifies that compliance with **BC1** is required:
 - (i) to each Generating Unit which could be part of a Synchronous Power Generating Module, or
 - (ii) to each **Power Park Module** where the **Power Station** comprises **Power Park Modules**.

BC1.3 SCOPE

BC1 applies to The Company and to Users, which in this BC1 means:-

- (a) **BM Participants**;
- (b) Externally Interconnected System Operators; and
- (c) Network Operators.

BC1.4 SUBMISSION OF DATA

In the case of Additional BM Units or Secondary BM Units any data submitted by Users under this BC1 must represent the value of the data at the relevant GSP Group.

In the case of all other **BM Units** or **Generating Units Embedded** in a **User System**, any data submitted by **Users** under this **BC1** must represent the value of the data at the relevant **Grid Supply Point**.

BC1.4.1 <u>Communication With Users</u>

- (a) Submission of BM Unit Data and Generating Unit Data by Users to The Company specified in BC1.4.2 to BC1.4.4 (with the exception of BC1.4.2(f)) is to be by use of electronic data communications facilities, as provided for in CC.6.5.8 or ECC.6.5.8 (as applicable). However, data specified in BC1.4.2(c) and BC1.4.2(e) only, may be submitted by telephone or fax.
- (b) In the event of a failure of the electronic data communication facilities, the data to apply in relation to a pre-Gate Closure period will be determined in accordance with the Data Validation, Consistency and Defaulting Rules, based on the most recent data received and acknowledged by The Company.

- (c) **Planned Maintenance Outages** will normally be arranged to take place during periods of low data transfer activity.
- (d) Upon any **Planned Maintenance Outage**, or following an unplanned outage described in BC1.4.1(b) (where it is termed a "failure") in relation to a pre-**Gate Closure** period:
 - (i) BM Participants should continue to act in relation to any period of time in accordance with the Physical Notifications current at the time of the start of the Planned Maintenance Outage or the computer system failure in relation to each such period of time subject to the provisions of BC2.5.1. Depending on when in relation to Gate Closure the planned or unplanned maintenance outage arises such operation will either be operation in preparation for the relevant output in real time, or will be operation in real time. No further submissions of BM Unit Data and/or Generating Unit Data (other than data specified in BC1.4.2(c) and BC1.4.2(e)) should be attempted. Plant failure or similar problems causing significant deviation from Physical Notification should be notified to The Company by the submission of a revision to Export and Import Limits in relation to the BM Unit and /or Generating Unit so affected;
 - during the outage, revisions to the data specified in BC1.4.2(c) and BC1.4.2(e) may be submitted. Communication between Users Control Points and The Company during the outage will be conducted by telephone; and
 - (iii) no data will be transferred from **The Company** to the **BMRA** until the communication facilities are re-established.

BC1.4.2 Day Ahead Submissions

Data for any **Operational Day** may be submitted to **The Company** up to several days in advance of the day to which it applies, as provided in the **Data Validation, Consistency and Defaulting Rules**. However, **Interconnector Users** must submit **Physical Notifications**, and any associated data as necessary, each day by 11:00 hours in respect of the next following **Operational Day** in order that the information used in relation to the capability of the respective **External Interconnection** is expressly provided. **The Company** shall not by the inclusion of this provision be prevented from utilising the provisions of BC1.4.5 if necessary.

The data may be modified by further data submissions at any time prior to **Gate Closure**, in accordance with the other provisions of **BC1**. The data to be used by **The Company** for operational planning will be determined from the most recent data that has been received by **The Company** by 11:00 hours on the day before the **Operational Day** to which the data applies, or from the data that has been defaulted at 11:00 hours on that day in accordance with BC1.4.5. Any subsequent revisions received by **The Company** under the Grid Code will also be utilised by **The Company**. In the case of all data items listed below, with the exception of item (e), **Dynamic Parameters** (Day Ahead), the latest submitted or defaulted data, as modified by any subsequent revisions, will be carried forward into operational timescales. The individual data items are listed below:

(a) **Physical Notifications**

Physical Notifications, being the data listed in **BC1** Appendix 1 under that heading, are required by **The Company** at 11:00 hours each day for each **Settlement Period** of the next following **Operational Day**, in respect of;

- (1) **BM Units**:
 - (i) with a Demand Capacity with a magnitude of 50MW or more in NGET's Transmission Area or 10MW or more in SHETL's Transmission Area or 30MW or more in SPT's Transmission Area; or
 - (ii) comprising Generating Units (as defined in the Glossary and Definitions and not limited by BC1.2) and/or Power Generating Modules and/or CCGT Modules and/or Power Park Modules in each case at Large Power Stations, Medium Power Stations and Small Power Stations where such Small Power Stations are directly connected to the Transmission System;

- or
- (iii) where the **BM Participant** chooses to submit **Bid-Offer Data** in accordance with BC1.4.2(d) for **BM Units** not falling within (i) or (ii) above,

and

(2) each Generating Unit where applicable under BC1.2.

Physical Notifications may be submitted to **The Company** by **BM Participants**, for the **BM Units**, and **Generating Units**, specified in this BC1.4.2(a) at an earlier time, or **BM Participants** may rely upon the provisions of BC1.4.5 to create the **Physical Notifications** by data defaulting pursuant to the **Grid Code** utilising the rules referred to in that paragraph at 11:00 hours in any day.

Physical Notifications (which must comply with the limits on maximum rates of change listed in BC1 Appendix 1) must, subject to the following operating limits, represent the Users best estimate of expected input or output of Active Power and shall be prepared in accordance with Good Industry Practice. Physical Notifications for any BM Unit, and any Generating Units, should normally be consistent with the Dynamic Parameters and Export and Import Limits and must not reflect any BM Unit or any Generating Units, proposing to operate outside the limits of its Demand Capacity and (and in the case of BM Units) Generation Capacity and, in the case of a BM Unit (as defined in the Glossary and Definitions and not limited by BC1.2) and/or Power Generating Module and/or CCGT Module and/or Power Park Module, its Registered Capacity.

These Physical Notifications provide, amongst other things, indicative Synchronising and De-Synchronising times to The Company in respect of any BM Unit comprising a Generating Unit (as defined in the Glossary and Definitions and not limited by BC1.2) and/or Power Generating Module and/or CCGT Module and/or Power Park Module, and for any Generating Units, and provide an indication of significant Demand changes in respect of other BM Units.

(b) **Quiescent Physical Notifications**

Each **BM Participant** may, in respect of each of its **BM Units**, submit to **The Company** for each **Settlement Period** of the next following **Operational Day** the data listed in **BC1** Appendix 1 under the heading of "**Quiescent Physical Notifications**" to amend the data already held by **The Company** in relation to **Quiescent Physical Notifications**, which would otherwise apply for those **Settlement Periods**.

(c) Export and Import Limits

Each **BM Participant** may, in respect of each of its **BM Units** and its **Generating Units** submit to **The Company** for any part or for the whole of the next following **Operational Day** the data listed in **BC1** Appendix 1 under the heading of "**Export and Import Limits**" to amend the data already held by **The Company** in relation to **Export and Import Limits**, which would otherwise apply for those **Settlement Periods**.

Export and Import Limits respectively represent the maximum export to or import from the **National Electricity Transmission System** for a **BM Unit** and a **Generating Unit** and are the maximum levels that the **BM Participant** wishes to make available and must be prepared in accordance with **Good Industry Practice**.

(d) <u>Bid-Offer Data</u>

Each **BM Participant** may, in respect of each of its **BM Units**, but must not in respect of its **Generating Units** submit to **The Company** for any **Settlement Period** of the next following **Operational Day** the data listed in **BC1** Appendix 1 under the heading of "**Bid-Offer Data**" to amend the data already held by **The Company** in relation to **Bid-Offer Data**, which would otherwise apply to those **Settlement Periods**. The submitted **Bid-Offer Data** will be utilised by **The Company** in the preparation and analysis of its operational plans for the next following **Operational Day**. **Bid-Offer Data** may not be submitted unless an automatic logging device has been installed at the **Control Point** for the **BM Unit** in accordance with CC.6.5.8(b) or ECC.6.5.8(b) (as applicable).

(e) Dynamic Parameters (Day Ahead)

Each **BM Participant** may, in respect of each of its **BM Units**, but must not in respect of its **Generating Units** submit to **The Company** for the next following **Operational Day** the data listed in **BC1** Appendix 1 under the heading of "**Dynamic Parameters**" to amend that data already held by **The Company**.

These **Dynamic Parameters** shall reasonably reflect the expected true operating characteristics of the **BM Unit** and shall be prepared in accordance with **Good Industry Practice**. In any case where non-zero **QPN** data has been provided in accordance with BC1.4.2(b), the **Dynamic Parameters** will apply to the element being offered for control only, i.e. to the component of the **Physical Notification** between the **QPN** and the full level of the **Physical Notification**.

The **Dynamic Parameters** applicable to the next following **Operational Day** will be utilised by **The Company** in the preparation and analysis of its operational plans for the next following **Operational Day** and may be used to instruct certain **Ancillary Services**. For the avoidance of doubt, the **Dynamic Parameters** to be used in the current **Operational Day** will be those submitted in accordance with BC2.5.3.1.

(f) Other Relevant Data

By 11:00 hours each day, each **BM Participant**, in respect of each of its **BM Units** and **Generating Units** for which **Physical Notifications** are being submitted, shall, if it has not already done so, submit to **The Company** (save in respect of item (vi) and (vii) where the item shall be submitted only when reasonably required by **The Company**), in respect of the next following **Operational Day** the following:

- (i) in the case of a CCGT Module and/or a Synchronous Power Generating Module, a CCGT Module Matrix and/or a Synchronous Power Generating Module Matrix as described in BC1 Appendix 1;
- (ii) details of any special factors which in the reasonable opinion of the BM Participant may have a material effect or present an enhanced risk of a material effect on the likely output (or consumption) of such BM Unit(s). Such factors may include risks, or potential interruptions, to BM Unit fuel supplies, or developing plant problems, details of tripping tests, etc. This information will normally only be used to assist in determining the appropriate level of Operating Margin that is required under OC2.4.6;
- (iii) in the case of **Generators**, any temporary changes, and their possible duration, to the **Registered Data** of such **BM Unit**;
- (iv) in the case of Suppliers, details of Customer Demand Management taken into account in the preparation of its BM Unit Data;
- (v) details of any other factors which The Company may take account of when issuing Bid-Offer Acceptances for a BM Unit (e.g., Synchronising or De-Synchronising Intervals);
- (vi) in the case of a Cascade Hydro Scheme, the Cascade Hydro Scheme Matrix as described in BC1 Appendix 1;
- (vii) in the case of a **Power Park Module**, a **Power Park Module Availability Matrix** as described in **BC1** Appendix 1;
- (viii) in the case of an Additional BM Unit or a Secondary BM Unit an Aggregator Impact Matrix as described in BC1 Appendix 1.
- (g) Joint BM Unit Data

BM Participants may submit **Joint BM Unit Data** in accordance with the provisions of the **BSC**. For the purposes of the Grid Code, such data shall be treated as data submitted under **BC1**.

BC1.4.3 Data Revisions

The BM Unit Data, and Generating Unit Data, derived at 1100 hours each day under BC1.4.2 above may need to be revised by the BM Participant for a number of reasons, including for example, changes to expected output or input arising from revised contractual positions, plant breakdowns, changes to expected Synchronising or De-Synchronising times, etc, occurring before Gate Closure. BM Participants should use reasonable endeavours to ensure that the data held by The Company in relation to its BM Units and Generating Units, is accurate at all times. Revisions to BM Unit Data, and Generating Unit Data for any period of time up to Gate Closure should be submitted to The Company as soon as reasonably practicable after a change becomes apparent to the BM Participant. The Company will use reasonable endeavours to utilise the most recent data received from Users, subject to the application of the provisions of BC1.4.5, for its preparation and analysis of operational plans.

BC1.4.4 Receipt Of BM Unit Data Prior To Gate Closure

BM Participants submitting **Bid-Offer Data**, in respect of any **BM Unit** for use in the **Balancing Mechanism** for any particular **Settlement Period** in accordance with the **BSC**, must ensure that **Physical Notifications** and **Bid-Offer Data** for such **BM Units** are received in their entirety and logged into **The Company's** computer systems by the time of **Gate Closure** for that **Settlement Period**. In all cases the data received will be subject to the application under the **Grid Code** of the provisions of BC1.4.5.

For the avoidance of doubt, no changes to the **Physical Notification**, **QPN** data or **Bid-Offer Data** for any **Settlement Period** may be submitted to **The Company** after **Gate Closure** for that **Settlement Period**.

BC1.4.5 BM Unit Data Defaulting, Validity And Consistency Checking

In the event that no submission of any or all of the **BM Unit Data** and **Generating Unit Data** in accordance with BC1.4.2 in respect of an **Operational Day**, is received by **The Company** by 11:00 hours on the day before that **Operational Day**, **The Company** will apply the **Data Validation**, **Consistency and Defaulting Rules**, with the default rules applicable to **Physical Notifications**, **Quiescent Physical Notifications** and **Export and Import Limits** data selected as follows:

- (a) for an **Interconnector Users BM Unit**, the defaulting rules will set some or all of the data for that **Operational Day** to zero, unless the relevant Interconnector arrangements, as agreed with **The Company**, state otherwise (in which case (b) applies); and
- (b) for all other **BM Units** or **Generating Units**, the defaulting rules will set some or all of the data for that **Operational Day** to the values prevailing in the current **Operational Day**.

A subsequent submission by a **User** of a data item which has been so defaulted under the **Grid Code** will operate as an amendment to that defaulted data and thereby replace it. Any such subsequent submission is itself subject to the application under the **Grid Code** of the **Data Validation**, **Consistency and Defaulting Rules**.

BM Unit Data and Generating Unit Data submitted in accordance with the provisions of BC1.4.2 to BC1.4.4 will be checked under the Grid Code for validity and consistency in accordance with the Data Validation, Consistency and Defaulting Rules. If any BM Unit Data and Generating Unit Data so submitted fails the data validity and consistency checking, this will result in the rejection of all data submitted for that BM Unit or Generating Unit included in the electronic data file containing that data item and that BM Unit's or Generating Unit's data items will be defaulted under the Grid Code in accordance with the Data Validation, Consistency and Defaulting Rules. Data for other BM Units and Generating Units included in the same electronic data file will not be affected by such rejection and will continue to be validated and checked for consistency prior to acceptance. In the event that rejection of any BM Unit Data and Generating Unit Data occurs, details will be made available to the relevant BM Participant via the electronic data communication facilities. In the event of a difference between the BM Unit Data for the Cascade Hydro Scheme, the BM Unit Data shall take precedence.

BC1.4.6 Special Provisions Relating To Interconnector Users

- (a) The total of the relevant Physical Notifications submitted by Interconnector Users in respect of any period of time should not exceed the capability (in MW) of the respective External Interconnection for that period of time. In the event that it does, then The Company shall advise the Externally Interconnected System Operator accordingly. In the period between such advice and Gate Closure, one or more of the relevant Interconnector Users would be expected to submit revised Physical Notifications to The Company to eliminate any such over-provision.
- (b) In any case where, as a result of a reduction in the capability (in MW) of the External Interconnection in any period during an Operational Day which is agreed between The Company and an Externally Interconnected System Operator after 0900 hours on the day before the beginning of such Operational Day, the total of the Physical Notifications in the relevant period using that External Interconnection, as stated in the BM Unit Data exceeds the reduced capability (in MW) of the respective External Interconnection in that period then The Company shall notify the Externally Interconnected System Operator accordingly.

BC1.5 INFORMATION PROVIDED BY THE COMPANY

The Company shall provide data to the Balancing Mechanism Reporting Agent or BSCCo each day in accordance with the requirements of the BSC in order that the data may be made available to Users via the Balancing Mechanism Reporting Service (or by such other means) in each case as provided in the BSC. Where The Company provides such information associated with the secure operation of the System to the Balancing Mechanism Reporting Agent, the provision of that information is additionally provided for in the following sections of this BC1.5. The Company shall be taken to have fulfilled its obligations to provide data under BC1.5.1, BC1.5.2, and BC1.5.3 by so providing such data to the Balancing Mechanism Reporting Agent.

BC1.5.1 Demand Estimates

Normally by 0900 hours each day, **The Company** will make available to **Users** a forecast of **National Demand** and the **Demand** for a number of pre-determined constraint groups (which may be updated from time to time, as agreed between **The Company** and **BSCCo**) for each **Settlement Period** of the next following **Operational Day**. Normally by 1200 hours each day, **The Company** will make available to **Users** a forecast of **National Electricity Transmission System Demand** for each **Settlement Period** of the next **Operational Day**. Further details are provided in Appendix 2.

BC1.5.2 Indicated Margin And Indicated Imbalance

Normally by 1200 hours each day, **The Company** will make available to **Users** an **Indicated Margin** and an **Indicated Imbalance** for each **Settlement Period** of the next following **Operational Day**. **The Company** will use reasonable endeavours to utilise the most recent data received from **Users** in preparing for this release of data. Further details are provided in Appendix 2.

BC1.5.3 Provision Of Updated Information

The Company will provide updated information on **Demand** and other information at various times throughout each day, as detailed in Appendix 2. **The Company** will use reasonable endeavours to utilise the most recent data received from **Users** in preparing for this release of data.

BC1.5.4 Reserve And System Margin

Contingency Reserve

(a) The amount of Contingency Reserve required at the day ahead stage and in subsequent timescales will be decided by The Company on the basis of historical trends in the reduction in availability of Large Power Stations and increases in forecast Demand up to real time operation. Where Contingency Reserve is to be allocated to thermal Gensets, The Company will instruct through a combination of Ancillary Services instructions and Bid-Offer Acceptances, the time at which such Gensets are required to synchronise, such instructions to be consistent with Dynamic Parameters and other contractual arrangements.

Operating Reserve

(b) The amount of Operating Reserve required at any time will be determined by The Company having regard to the Demand levels, Large Power Station availability shortfalls and the greater of the largest secured loss of generation (ie, the loss of generation against which, as a requirement of the Licence Standards, the National Electricity Transmission System must be secured) or loss of import from or sudden export to External Interconnections. The Company will allocate Operating Reserve to the appropriate BM Units and Generating Units so as to fulfil its requirements according to the Ancillary Services available to it and as provided in the BC.

System Margin

- (c) In the period following 1200 hours each day and in relation to the following Operational Day, The Company will monitor the total of the Maximum Export Limit component of the Export and Import Limits received against forecast National Electricity Transmission System Demand and the Operating Margin and will take account of Dynamic Parameters to see whether the anticipated level of the System Margin for any period is insufficient.
- (d) Where the level of the System Margin for any period is, in The Company's reasonable opinion, anticipated to be insufficient, The Company will send (by such data transmission facilities as have been agreed) a National Electricity Transmission System Warning Electricity Margin Notice in accordance with OC7.4.8 to each Generator, Supplier, Externally Interconnected System Operator, Network Operator and Non-Embedded Customer.
- (e) Where, in **The Company's** judgement the **System Margin** at any time during the current **Operational Day** is such that there is a high risk of **Demand** reduction being instructed, a **National Electricity Transmission System Warning High Risk of Demand Reduction** will be issued, in accordance with OC7.4.8.

- (f) The monitoring will be conducted on a regular basis and a revised National Electricity Transmission System Warning - Electricity Margin Notice or High Risk of Demand Reduction may be sent out from time to time, including within the post Gate Closure phase. This will reflect any changes in Physical Notifications and Export and Import Limits which have been notified to The Company, and will reflect any Demand Control which has also been so notified. This will also reflect generally any changes in the forecast Demand and the relevant Operating Margin.
- (g) To reflect changing conditions, a National Electricity Transmission System Warning
 Electricity Margin Notice may be superseded by a National Electricity Transmission System Warning - High Risk of Demand Reduction and vice-versa.
- (h) If the continuing monitoring identifies that the System Margin is anticipated, in The Company's reasonable opinion, to be sufficient for the period for which previously a National Electricity Transmission System Warning had been issued, The Company will send (by such data transmission facilities as have been agreed) a Cancellation of National Electricity Transmission System Warning to each User who had received a National Electricity Transmission System Warning Electricity Margin Notice or High Risk of Demand Reduction for that period. The issue of a Cancellation of National Electricity Transmission System Warning is not an assurance by The Company that in the event, the System Margin will be adequate, but reflects The Company's reasonable opinion that the insufficiency is no longer anticipated.
- (i) If continued monitoring indicates the **System Margin** becoming reduced **The Company** may issue further **National Electricity Transmission System Warnings - Electricity Margin Notice** or **High Risk of Demand Reduction**.
- (j) The Company may issue a National Electricity Transmission System Warning -Electricity Margin Notice or High Risk of Demand Reduction for any period, not necessarily relating to the following Operational Day, where it has reason to believe there will be a reduced System Margin over a period (for example in periods of protracted Plant shortage, the provisions of OC7.4.8.6 apply).
- BC1.5.5 System And Localised NRAPM (Negative Reserve Active Power Margin)
 - (a) (i) System Negative Reserve Active Power Margin

Synchronised Gensets must at all times be capable of reducing output such that the total reduction in output of all **Synchronised Gensets** is sufficient to offset the loss of the largest secured demand on the **System** and must be capable of sustaining this response;

(ii) Localised Negative Reserve Active Power Margin

Synchronised Gensets must at all times be capable of reducing output to allow transfers to and from the **System Constraint Group** (as the case may be) to be contained within such reasonable limit as **The Company** may determine and must be capable of sustaining this response.

(b) The Company will monitor the total of Physical Notifications of exporting BM Units and Generating Units (where appropriate) received against forecast Demand and, where relevant, the appropriate limit on transfers to and from a System Constraint Group and will take account of Dynamic Parameters and Export and Import Limits received to see whether the level of System NRAPM or Localised NRAPM for any period is likely to be insufficient. In addition, The Company may increase the required margin of System NRAPM or Localised NRAPM to allow for variations in forecast Demand. In the case of System NRAPM, this may be by an amount (in The Company's reasonable discretion) not exceeding five per cent of forecast Demand for the period in question. In the case of Localised NRAPM, this may be by an amount (in The Company's reasonable discretion) not exceeding ten per cent of the forecast Demand for the period in question;

- (c) Where the level of System NRAPM or Localised NRAPM for any period is, in The Company's reasonable opinion, likely to be insufficient The Company may contact all Generators in the case of low System NRAPM and may contact Generators in relation to relevant Gensets in the case of low Localised NRAPM. The Company will raise with each Generator the problems it is anticipating due to low System NRAPM or Localised NRAPM and will discuss whether, in advance of Gate Closure:-
 - (i) any change is possible in the **Physical Notification** of a **BM Unit** which has been notified to **The Company**; or
 - (ii) any change is possible to the **Physical Notification** of a **BM Unit** within an **Existing AGR Plant** within the **Existing AGR Plant Flexibility Limit**;

in relation to periods of low **System NRAPM** or (as the case may be) low **Localised NRAPM**. **The Company** will also notify each **Externally Interconnected System Operator** of the anticipated low **System NRAPM** or **Localised NRAPM** and request assistance in obtaining changes to **Physical Notifications** from **BM Units** in that **External System**.

(d) Following **Gate Closure**, the procedure of BC2.9.4 will apply.

BC1.6 SPECIAL PROVISIONS RELATING TO NETWORK OPERATORS

BC1.6.1 User System Data From Network Operators

- (a) By 1000 hours each day each Network Operator will submit to The Company in writing, confirmation or notification of the following in respect of the next Operational Day:
 - (i) constraints on its User System which The Company may need to take into account in operating the National Electricity Transmission System. In this BC1.6.1 the term "constraints" shall include restrictions on the operation of Embedded Power Generating Modules, and/or Embedded CCGT Units, and/or Embedded Power Park Modules as a result of the User System to which the Power Generating Module and/or CCGT Unit and/or Power Park Module is connected at the User System Entry Point being operated or switched in a particular way, for example, splitting the relevant busbar. It is a matter for the Network Operator and the Generator to arrange the operation or switching, and to deal with any resulting consequences. The Generator, after consultation with the Network Operator, is responsible for ensuring that no BM Unit Data submitted to The Company can result in the violation of any such constraint on the User System.
 - (ii) the requirements of voltage control and MVAr reserves which **The Company** may need to take into account for **System** security reasons.
 - (iii) where applicable, updated best estimates of Maximum Export Capacity and Maximum Import Capacity and Interface Point Target Voltage/Power Factor for any Interface Point connected to its User System including any requirement for post-fault actions to be implemented on the relevant Offshore Transmission System by The Company.
 - (iv) constraints on its User System which The Company may need to take into account when issuing Bid-Offer Acceptances to Additional BM units or Secondary BM units.
- (b) The form of the submission will be:
 - (i) that of a BM Unit output or consumption (for MW and for MVAr, in each case a fixed value or an operating range, on the User System at the User System Entry Point, namely in the case of a BM Unit comprising a Generating Unit (as defined in the Glossary and Definitions and not limited by BC1.2) on the higher voltage side of the generator step-up transformer, and/or in the case of a Power Generating Module, at the point of connection and/or in the case of a Power Park Module, at

the point of connection) required for particular **BM Units** (identified in the submission) connected to that **User System** for each **Settlement Period** of the next **Operational Day**;

- (ii) adjusted in each case for MW by the conversion factors applicable for those BM Units to provide output or consumption at the relevant Grid Supply Points.
- (c) At any time and from time to time, between 1000 hours each day and the expiry of the next **Operational Day**, each **Network Operator** must submit to **The Company** in writing any revisions to the information submitted under this BC1.6.1.

BC1.6.2 Notification Of Times To Network Operators

The Company will make available indicative Synchronising and De-Synchronising times to each Network Operator, but only relating to BM Units comprising a Generating Unit (as defined in the Glossary and Definitions and not limited by BC1.2) or a Power Park Module or a CCGT Module and/or a Power Generating Module, Embedded within that Network Operator's User System and those Gensets directly connected to the National Electricity Transmission System which The Company has identified under OC2 as being those which may, in the reasonable opinion of **The Company**, affect the integrity of that **User System**. If in preparing for the operation of the Balancing Mechanism, The Company becomes aware that a BM Unit directly connected to the National Electricity Transmission System may, in its reasonable opinion, affect the integrity of that other User System which, in the case of a BM Unit comprising a Generating Unit (as defined in the Glossary and Definitions and not limited by BC1.2) and/or a Power Generating Module and/or a CCGT Module and/or a Power Park Module, it had not so identified under OC2, then The Company may make available details of its indicative Synchronising and De-Synchronising times to that other User and shall inform the relevant BM Participant that it has done so, identifying the BM Unit concerned.

BC1.7 SPECIAL ACTIONS

- BC1.7.1 The Company may need to identify special actions (either pre- or post-fault) that need to be taken by specific Users in order to maintain the integrity of the National Electricity Transmission System in accordance with the Licence Standards and The Company Operational Strategy.
 - (a) For a Generator special actions will generally involve a Load change or a change of required Notice to Deviate from Zero NDZ, in a specific timescale on individual or groups of Gensets.
 - (b) For **Network Operators** these special actions will generally involve **Load** transfers between **Grid Supply Points** or arrangements for **Demand** reduction by manual or automatic means.
 - (c) For Externally Interconnected System Operators (in their co-ordinating role for Interconnector Users using their External System) these special actions will generally involve an increase or decrease of net power flows across an External Interconnection by either manual or automatic means.
- BC1.7.2 These special actions will be discussed and agreed with the relevant **User** as appropriate. The actual implementation of these special actions may be part of an "emergency circumstances" procedure described under **BC2**. If not agreed, generation or **Demand** may be restricted or may be at risk.
- BC1.7.3 **The Company** will normally issue the list of special actions to the relevant **Users** by 1700 hours on the day prior to the day to which they are to apply.

BC1.8 PROVISION OF REACTIVE POWER CAPABILITY

BC1.8.1 Under certain operating conditions **The Company** may identify through its **Operational Planning** that an area of the **National Electricity Transmission System** may have insufficient **Reactive Power** capability available to ensure that the operating voltage can be maintained in accordance with **The Company's Licence Standards**.

In respect of Onshore Synchronous Generating Unit(s) belonging to GB Code Users

 that have a Connection Entry Capacity in excess of Rated MW (or the Connection Entry Capacity of the CCGT Module exceeds the sum of Rated MW of the Generating Units comprising the CCGT Module); and

- that are not capable of continuous operation at any point between the limits 0.85 Power Factor lagging and 0.95 Power Factor leading at the Onshore Synchronous Generating Unit terminals at Active Power output levels higher than Rated MW; and
- (iii) that have either a Completion Date on or after 1st May 2009, or where its Connection Entry Capacity has been increased above Rated MW (or the Connection Entry Capacity of the CCGT Module has increased above the sum of Rated MW of the Generating Units comprising the CCGT Module) such increase takes effect on or after 1st May 2009 but only in respect of GB Generators that are classified as GB Code Users ; and
- (iv) that are in an area of potentially insufficient **Reactive Power** capability as described in this clause BC1.8.1,

The Company may instruct the Onshore Synchronous Generating Unit(s) to limit its submitted Physical Notifications to no higher than Rated MW (or the Active Power output at which it can operate continuously between the limits 0.85 Power Factor lagging to 0.95 Power Factor leading at its terminals if this is higher) for a period specified by The Company. Such an instruction must be made at least 1 hour prior to Gate Closure, although The Company will endeavour to give as much notice as possible. The instruction may require that a Physical Notification is re-submitted. The period covered by the instruction will not exceed the expected period for which the potential deficiency has been identified. Compliance with the instruction will not incur costs to The Company in the Balancing Mechanism. The detailed provisions relating to such instructions will normally be set out in the relevant Bilateral Agreement.

BC1.8.2 BC1.8.1 shall not apply to **EU Code Users** where the obligations under CC.6.3.2(a) apply only to **GB Generators**. For the avoidance of doubt, **EU Code User's** are only required to satisfy the requirements of the **ECC's** and not the **CC's**.

APPENDIX 1 - BM UNIT DATA

BC1.A.1 More detail about valid values required under the Grid Code for BM Unit Data and Generating Unit Data may be identified by referring to the Data Validation, Consistency and Defaulting Rules. In the case of Embedded BM Units and Generating Units the BM Unit Data and the Generating Unit Data shall represent the value at the relevant Grid Supply Point. Where data is submitted on a Generating Unit basis, the provisions of this Appendix 1 shall in respect of such data submission apply as if references to BM Unit were replaced with Generating Unit. Where The Company and the relevant User agree, submission on a Generating Unit basis (in whole or in part) may be otherwise than in accordance with the provisions of the Appendix 1.

BC1.A.1.1 Physical Notifications

For each **BM Unit**, the **Physical Notification** is a series of MW figures and associated times, making up a profile of intended input or output of **Active Power** at the **Grid Entry Point** or **Grid Supply Point**, as appropriate. For each **Settlement Period**, the first "from time" should be at the start of the **Settlement Period** and the last "to time" should be at the end of the **Settlement Period**.

The input or output reflected in the **Physical Notification** for a single **BM Unit** (or the aggregate **Physical Notifications** for a collection of **BM Units** at a **Grid Entry Point** or **Grid Supply Point** or to be transferred across an **External Interconnection**, owned or controlled by a single **BM Participant**) must comply with the following limits regarding maximum rates of change, either for a single change or a series of related changes :

•	for a change of up to 300MW	no limit;
•	for a change greater than 300MW and less than 1000MW	50MW per minute;
•	for a change of 1000MW or more	40MW per minute,

unless prior arrangements have been discussed and agreed with **The Company**. This limitation is not intended to limit the Run-Up or Run-Down Rates provided as **Dynamic Parameters**.

An example of the format of **Physical Notification** is shown below. The convention to be applied is that where it is proposed that the **BM Unit** will be importing, the **Physical Notification** is negative.

			From		То
Data Name	BMU name	Time From	level	Time To	Level
			(MW)		MW)
PN, TAGENT,	BMUNIT01	, 2001-11-03 06:30	, 77	, 2001-11-03 07:00	, 100
PN , TAGENT ,	BMUNIT01	, 2001-11-03 07:00	, 100	, 2001-11-03 07:12	, 150
PN , TAGENT ,	BMUNIT01	, 2001-11-03 07:12	, 150	, 2001-11-03 07:30	, 175

A linear interpolation will be assumed between the **Physical Notification** From and To levels specified for the **BM Unit** by the **BM Participant**.

BC1.A.1.2 Quiescent Physical Notifications (QPN)

For each **BM Unit** (optional) A series of MW figures and associated times, which describe the MW levels to be deducted from the **Physical Notification** of a **BM Unit** to determine a resultant operating level to which the **Dynamic Parameters** associated with that **BM Unit** apply.

An example of the format of data is shown below.

			From		То
Data Name	BMU name	Time From	level	Time To	level
			(MW)		(MW)
QPN, TAGENT,	BMUNIT04	, 2001-11-03 06:30	, -200	, 2001-11-03 07:00	, -220
QPN, TAGENT,	BMUNIT04	, 2001-11-03 07:00	, -220	, 2001-11-03 07:18	, -245
QPN , TAGENT ,	BMUNIT04	, 2001-11-03 07:18	, -245	, 2001-11-03 07:30	, -300

A linear interpolation will be assumed between the **QPN** From and To levels specified for the **BM Unit** by the **BM Participant**.

BC1.A.1.3 Export And Import Limits

BC1.A.1.3.1 Maximum Export Limit (MEL)

A series of MW figures and associated times, making up a profile of the maximum level at which the **BM Unit** may be exporting (in MW) to the **National Electricity Transmission System** at the **Grid Entry Point** or **Grid Supply Point** or **GSP Group**, as appropriate.

For a **Power Park Module**, the Maximum Export Limit should reflect the maximum possible **Active Power** output from each **Power Park Module** consistent with the data submitted within the **Power Park Module Availability Matrix** as defined under BC.1.A.1.8. For the avoidance of doubt, in the case of a **Power Park Module** this would equate to the **Registered Capacity** less the unavailable **Power Park Units** within the **Power Park Module** and not include weather corrected MW output from each **Power Park Unit**.

BC1.A.1.3.2 Maximum Import Limit (MIL)

A series of MW figures and associated times, making up a profile of the maximum level at which the **BM Unit** may be importing (in MW) from the **National Electricity Transmission System** at the **Grid Entry Point** or **Grid Supply Point** or **GSP Group**, as appropriate.

An example format of data is shown below. MEL must be positive or zero, and MIL must be negative or zero.

Data Name	BMU name	Time From	From level (MW)	Time To	To level (MW)
			-	, 2001-11-03 09:35 , 2001-11-03 12:45	
MIL , TAGENT ,	BMUNIT04,	, 2001-11-03 06:30	, -200	, 2001-11-03 07:00	, -220

BC1.A.1.4 Bid-Offer Data

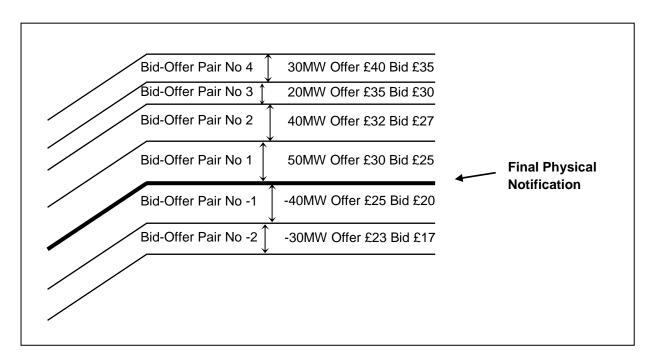
For each **BM Unit** for each **Settlement Period**:

Up to 10 Bid-Offer Pairs as defined in the **BSC**.

An example of the format of data is shown below.

					Pair	From	То	Offer	Bid
Data	Name	BMU name	Time from	Time to	ID	Level	Level	(£/	(£/
						(MW)	(MW)	MWh)	MWh)
BOD,	TAGENT	, BMUNIT01	, 2000-10-28 12:00	, 2000-10-28 13:30 ,	4	, 30	, 30 ,	40	, 35
BOD,	TAGENT	, BMUNIT01	, 2000-10-28 12:00	, 2000-10-28 13:30 ,	3	, 20	, 20 ,	35	, 30
BOD,	TAGENT	, BMUNIT01	, 2000-10-28 12:00	, 2000-10-28 13:30 ,	2	, 40	, 40 ,	32	, 27
BOD,	TAGENT	, BMUNIT01	, 2000-10-28 12:00	, 2000-10-28 13:30 ,	1	, 50	, 50 ,	30	, 25
BOD,	TAGENT	, BMUNIT01	, 2000-10-28 12:00	, 2000-10-28 13:30 ,	-1	, -40	, -40 ,	25	, 20
BOD,	TAGENT	, BMUNIT01	, 2000-10-28 12:00	, 2000-10-28 13:30 ,	-2	, -30	, -30 ,	23	, 17

This example of Bid-Offer data is illustrated graphically below:



BC1.A.1.5 Dynamic Parameters

The **Dynamic Parameters** comprise:

- Up to three Run-Up Rate(s) and up to three Run-Down Rate(s), expressed in MW/minute and associated Run-Up Elbow(s) and Run-Down Elbow(s), expressed in MW for output and the same for input. It should be noted that Run-Up Rate(s) are applicable to a MW figure becoming more positive;
- Notice to Deviate from Zero (NDZ) output or input, being the notification time required for a **BM Unit** to start importing or exporting energy, from a zero **Physical Notification** level as a result of a **Bid-Offer Acceptance**, expressed in minutes;
- Notice to Deliver Offers (NTO) and Notice to Deliver Bids (NTB), expressed in minutes, indicating the notification time required for a BM Unit to start delivering Offers and Bids respectively from the time that the Bid-Offer Acceptance is issued. In the case of a BM Unit comprising a Genset, NTO and NTB will be set to a maximum period of two minutes;
- Minimum Zero Time (MZT), being either the minimum time that a **BM Unit** which has been exporting must operate at zero or be importing, before returning to exporting or the minimum time that a **BM Unit** which has been importing must operate at zero or be exporting before returning to importing, as a result of a **Bid-Offer Acceptance**, expressed in minutes;
- Minimum Non-Zero Time (MNZT), expressed in minutes, being the minimum time that a **BM Unit** can operate at a non-zero level as a result of a **Bid-Offer Acceptance**;
- Stable Export Limit (SEL) expressed in MW at the Grid Entry Point or Grid Supply Point or GSP Group, as appropriate, being the minimum value at which the BM Unit can, under stable conditions, export to the National Electricity Transmission System;
- Stable Import Limit (SIL) expressed in MW at the Grid Entry Point or Grid Supply Point or GSP Group, as appropriate, being the minimum value at which the BM Unit can, under stable conditions, import from the National Electricity Transmission System;
- Maximum Delivery Volume (MDV), expressed in MWh, being the maximum number of MWh of Offer (or Bid if MDV is negative) that a particular **BM Unit** may deliver within the associated Maximum Delivery Period (MDP), expressed in minutes, being the maximum period over which the MDV applies.
- Last Time to Cancel Synchronisation, expressed in minutes with an upper limit of 60 minutes, being the notification time required to cancel a BM Unit's transition from operation at zero. This parameter is only applicable where the transition arises either from a Physical Notification or, in the case where the Physical Notification is zero, a Bid-Offer Acceptance. There can be up to three Last Time to Cancel Synchronisation(s) each applicable for a range of values of Notice to Deviate from Zero.

BC1.A.1.6 CCGT Module Matrix

- BC1.A.1.6.1 **CCGT Module Matrix** showing the combination of **CCGT Units** running in relation to any given MW output, in the form of the diagram illustrated below. The **CCGT Module Matrix** is designed to achieve certainty in knowing the number of **CCGT Units** synchronised to meet the **Physical Notification** and to achieve a **Bid-Offer Acceptance**.
- BC1.A.1.6.2 In the case of a **Range CCGT Module**, and if the **Generator** so wishes, a request for the single **Grid Entry Point** at which power is provided from the **Range CCGT Module** to be changed in accordance with the provisions of BC1.A.1.6.4 below:

CCGT Module Matrix example form

CCGT MODULE	CCGT GENERATING UNITS* AVAILABLE								
ACTIVE POWER	1st GT	2 nd GT	3 rd GT	4th GT	5th GT	6th GT	1st ST	2nd ST	3rd ST
MW	ACTIVE POWER OUTPUT								
	150	150	150				100		
0MW to 150MW	/								
151MW to 250MW	/						/		
251MW to 300MW	/	/							
301MW to 400MW	/	/					/		
401MW to 450MW	/	/	/						
451MW to 550MW	/	/	/				/		

* as defined in the Glossary and Definitions and not limited by BC1.2

- BC1.A.1.6.3 In the absence of the correct submission of a CCGT Module Matrix the last submitted (or deemed submitted) CCGT Module Matrix shall be taken to be the CCGT Module Matrix submitted hereunder.
- BC1.A.1.6.4 The data may also include in the case of a **Range CCGT Module**, a request for the **Grid Entry Point** at which the power is provided from the **Range CCGT Module** to be changed with effect from the beginning of the following **Operational Day** to another specified single **Grid Entry Point** (there can be only one) to that being used for the current **Operational Day**. **The Company** will respond to this request by 1600 hours on the day of receipt of the request. If **The Company** agrees to the request (such agreement not to be unreasonably withheld), the **Generator** will operate the **Range CCGT Module** in accordance with the request. If **The Company** does not agree, the **Generator** will, if it produces power from that **Range CCGT Module**, continue to provide power from the **Range CCGT Module** to the **Grid Entry Point** being used at the time of the request. The request can only be made up to 1100 hours in respect of the following **Operational Day**. No subsequent request to change can be made after 1100 hours in respect of the following **Operational Day**. Nothing in this paragraph shall prevent the busbar at the **Grid Entry Point** being operated in separate sections.
- BC1.A.1.6.5 The principles set out in PC.A.3.2.3 apply to the submission of a **CCGT Module Matrix** and accordingly the **CCGT Module Matrix** can only be amended as follows:
 - (a) Normal CCGT Module

if the CCGT Module is a Normal CCGT Module, the CCGT Units within that CCGT Module can only be amended such that the CCGT Module comprises different CCGT Units if The Company gives its prior consent in writing. Notice of the wish to amend the CCGT Units within such a CCGT Module must be given at least 6 months before it is wished for the amendment to take effect;

(b) Range CCGT Module

if the CCGT Module is a Range CCGT Module, the CCGT Units within that CCGT Module can only be amended such that the CCGT Module comprises different CCGT Units for a particular Operational Day if the relevant notification is given by 1100 hours on the day prior to the Operational Day in which the amendment is to take effect. No subsequent amendment may be made to the CCGT Units comprising the CCGT Module in respect of that particular Operational Day.

- BC1.A.1.6.6 In the case of a **CCGT Module Matrix** submitted (or deemed to be submitted) as part of the other data for **CCGT Modules**, the output of the **CCGT Module** at any given instructed MW output must reflect the details given in the **CCGT Module Matrix**. It is accepted that in cases of change in MW in response to instructions issued by **The Company** there may be a transitional variance to the conditions reflected in the **CCGT Module Matrix**. In achieving an instruction the range of number of **CCGT Units** envisaged in moving from one MW output level to the other must not be departed from. Each **Generator** shall notify **The Company** as soon as practicable after the event of any such variance. It should be noted that there is a provision above for the **Generator** to revise the **CCGT Module Matrix**, subject always to the other provisions of this **BC1**;
- BC1.A.1.6.7 Subject as provided above, **The Company** will rely on the **CCGT Units** specified in such **CCGT Module Matrix** running as indicated in the **CCGT Module Matrix** when it issues an instruction in respect of the **CCGT Module**;
- BC1.A.1.6.8 Subject as provided in BC1.A.1.6.5 above, any changes to the **CCGT Module Matrix** must be notified immediately to **The Company** in accordance with the relevant provisions of **BC1**.
- BC1.A.1.7 Cascade Hydro Scheme Matrix
- BC1.A.1.7.1 A Cascade Hydro Scheme Matrix showing the performance of individual Generating Units forming part of a Cascade Hydro Scheme in response to Bid-Offer Acceptance. An example table is shown below:

Plant	Synchronises when offer is greater
	than
Generating Unit 1	MW
Generating Unit 2	MW
Generating Unit 3	MW
Generating Unit 4	MW
Generating Unit 5	MW

Cascade Hydro Scheme Matrix example form

- BC1.A.1.8 Power Park Module Availability Matrix
- BC1.A.1.8.1 Power Park Module Availability Matrix showing the number of each type of Power Park Units expected to be available is illustrated in the example form below. The Power Park Module Availability Matrix is designed to achieve certainty in knowing the number of Power Park Units Synchronised to meet the Physical Notification and to achieve a Bid-Offer Acceptance by specifying which BM Unit each Power Park Module forms part of. The Power Park Module Availability Matrix may have as many columns as are required to provide information on the different make and model for each type of Power Park Unit in a Power Park Module and as many rows as are required to provide information on the Power Park Modules within each BM Unit. The description is required to assist identification of the Power Park Units within the Power Park Module and correlation with data provided under the Planning Code.

Power Park Module Availability Matrix example form

BM Unit Name								
Power Park Module [unique identifier]								
POWER PARK		POWER P	ARK UNITS					
UNIT AVAILABILITY	Туре А	Туре В	Туре С	Type D				
Description								
(Make/Model)								
Number of units								
Power Park Module [uniqu	ue identifier]	·						
POWER PARK		POWER P	ARK UNITS					
UNIT AVAILABILITY	Туре А	Туре В	Туре С	Type D				
Description								
(Make/Model)								
Number of units								

- BC1.A.1.8.2 In the absence of the correct submission of a **Power Park Module Availability Matrix** the last submitted (or deemed submitted) **Power Park Module Availability Matrix** shall be taken to be the **Power Park Module Availability Matrix** submitted hereunder.
- BC1.A.1.8.3 The Company will rely on the Power Park Units, Power Park Modules and BM Units specified in such Power Park Module Availability Matrix running as indicated in the Power Park Module Availability Matrix when it issues an instruction in respect of the BM Unit.
- BC1.A.1.8.4 Subject as provided in PC.A.3.2.4 any changes to **Power Park Module** or **BM Unit** configuration, or availability of **Power Park Units** which affects the information set out in the **Power Park Module Availability Matrix** must be notified immediately to **The Company** in accordance with the relevant provisions of **BC1**. Initial notification may be by telephone. In some circumstances, such as a significant re-configuration of a **Power Park Module** due to an unplanned outage, a revised **Power Park Module Availability Matrix** must be supplied on **The Company's** request.

BC1.A.1.9 Synchronous Power Generating Module Matrix

- BC1.A.1.9.1 Synchronous Power Generating Module Matrix showing the combination of Synchronous Power Generating Units running in relation to any given MW output, in the form of the table illustrated below. The Synchronous Power Generating Module Matrix is designed to achieve certainty in knowing the number of Synchronous Power Generating Units synchronised to meet the Physical Notification and to achieve a Bid-Offer Acceptance.
- BC1.A.1.9.2 This data need not be provided where a submission has been made in respect of BC1.A.1.6, BC1.A.1.7 or BC1.A.1.8

SYNCHRONOUS POWER GENERATING	SYNCHRONOUS POWER GENERATING UNITS* AVAILABLE								
MODULE MATRIX	1st GT	2 nd GT	3 rd GT	4th GT	5th GT	6th GT	1st ST	2nd ST	3rd ST
MW			AC	TIVE P	OWEF		PUT		
	150	150	150				100		
0MW to 150MW	/								
151MW to 250MW	/						/		
251MW to 300MW	/	/							
301MW to 400MW	/	/					/		
401MW to 450MW	/	/	/						
451MW to 550MW	/	/	/				/		

Synchronous Power Generating Module Matrix example form

* as defined in the Glossary and Definitions and not limited by BC1.2

- BC1.A.1.9.3 In the absence of the correct submission of a Synchronous Power Generating Module Matrix the last submitted (or deemed submitted) Synchronous Power Generating Module Matrix shall be taken to be the Synchronous Power Generating Module Matrix submitted hereunder.
- BC1.A.1.9.4 The principles set out in PC.A.3.2.5 apply to the submission of a Synchronous Power Generating Module Matrix and accordingly the Synchronous Power Generating Module Matrix can only be amended as if the Synchronous Power Generating Units within that Synchronous Power Generating Module can only be amended such that the Synchronous Power Generating Module comprises different Synchronous Power Generating Units if The Company gives its prior consent in writing. Notice of the wish to amend the Synchronous Power Generating Units within such a Synchronous Power Generating Module must be given at least 6 months before it is wished for the amendment to take effect;
- BC1.A.1.9.5 In the case of a **Synchronous Power Generating Module Matrix** submitted (or deemed to be submitted) as part of the other data for **Synchronous Power Generating Modules**, the output of the **Synchronous Power Generating Module** at any given instructed MW output must reflect the details given in the **Synchronous Power Generating Module Matrix**. It is accepted that in cases of change in MW in response to instructions issued by **The Company** there may be a transitional variance to the conditions reflected in the **Synchronous Power Generating Module Matrix**. In achieving an instruction the range of number of **Synchronous Power Generating Units** envisaged in moving from one MW output level to the other must not be departed from. Each **Generator** shall notify **The Company** as soon as practicable after the event of any such variance. It should be noted that there is a provision above for the **Generator** to revise the **Synchronous Power Generating Module Matrix**, subject always to the other provisions of this **BC1**;
- BC1.A.1.9.6 Subject as provided above, **The Company** will rely on the **Synchronous Power Generating Units** specified in such **Synchronous Power Generating Module Matrix** running as indicated in the **Synchronous Power Generating Module Matrix** when it issues an instruction in respect of the **Synchronous Power Generating Module**;
- BC1.A.1.9.7 Subject as provided in BC1.A.1.9.4 above, any changes to the **Synchronous Power Generating Module Matrix** must be notified immediately to **The Company** in accordance with the relevant provisions of **BC1**.

BC1.A.10 Aggregator Impact Matrix

BC1.A.10.1 For each Additional BM Unit and Secondary BM Unit the relevant BM Participant will submit data relating to the effect of a Bid-Off Acceptance on each Grid Supply Point within the GSP Group over which the Additional BM Unit or Secondary BM Unit was defined.

BC1.A.10.2 For each Additional BM Unit and Secondary BM Unit the relevant BM Participant will also provide the post-codes and MSIDs that make up the Additional BM Unit or Secondary BM Unit

Aggregator Impact Matrix example form

BMU Name						
Operational Day from which values apply						
Grid Supply Point	% Impact	Grid Supply Point	% Impact			

APPENDIX 2 - DATA TO BE MADE AVAILABLE BY THE COMPANY

BC1.A.2.1 Initial Day Ahead Demand Forecast

Normally by 09:00 hours each day, values (in MW) for each **Settlement Period** of the next following **Operational Day** of the following data items:-

- (i) Initial forecast of National Demand;
- (II) Initial forecast of **Demand** for a number of predetermined constraint groups.

BC1.A.2.2 Initial Day Ahead Market Information

Normally by 12:00 hours each day, values (in MW) for each **Settlement Period** of the next following **Operational Day** of the following data items:-

(i) Initial National Indicated Margin

This is the difference between the sum of **BM Unit** MELs and the forecast of **National Electricity Transmission System Demand**.

(ii) Initial National Indicated Imbalance

This is the difference between the sum of **Physical Notifications** for **BM Units** comprising **Generating Units** (as defined in the Glossary and Definitions and not limited by BC1.2) and/or **Power Generating Modules** and/or **CCGT Modules** and/or **Power Park Modules** and the forecast of **National Electricity Transmission System Demand**.

(iii) Forecast of National Electricity Transmission System Demand.

BC1.A.2.3 Current Day And Day Ahead Updated Market Information

Data will normally be made available by the times shown below for the associated periods of time:

Target Data		
Release Time	Period Start Time	Period End Time
02:00	02:00 D0	05:00 D+1
10:00	10:00 D0	05:00 D+1
16:00	05:00 D+1	05:00 D+2
16:30	16:30 D0	05:00 D+1
22:00	22:00 D0	05:00 D+2

In this table, D0 refers to the current day, D+1 refers to the next day and D+2 refers to the day following D+1.

In all cases, data will be ½ hourly average MW values calculated by **The Company**. Information to be released includes:

National Information

- (i) National Indicated Margin;
- (ii) National Indicated Imbalance;
- (iii) Updated forecast of National Electricity Transmission System Demand.

(i) Indicated Constraint Boundary Margin;

This is the difference between the Constraint Boundary Transfer limit and the difference between the sum of **BM Unit** MELs and the forecast of local **Demand** within the constraint boundary.

(ii) Local Indicated Imbalance;

This is the difference between the sum of **Physical Notifications** for **BM Units** comprising **Generating Units** (as defined in the Glossary and Definitions and not limited by BC1.2) and/or **Power Generating Modules** and/or **CCGT Modules** and/or **Power Park Modules** and the forecast of local **Demand** within the constraint boundary.

(iii) Updated forecast of the local **Demand** within the constraint boundary.

< END OF BALANCING CODE NO. 1 >

BALANCING CODE NO. 4

(BC4)

TERRE PROCESSES

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BC4.1 INTRODUCTION

Balancing Code No 4 (BC4) sets out the procedures for:

- (a) prequalifation requirements for participation in **TERRE** by **BM Participants**;
- (b) submission of data by BM Participants wishing to take part in TERRE;
- (c) validation of data from BM Participants wishing to take part in TERRE;
- (d) issuing of **RR Instructions**;
- (e) publication of **TERRE** related data.

BC4.2 <u>OBJECTIVE</u>

This procedure facilitates the participation of **BM Participants** in the **TERRE** market. Participation in **TERRE** is voluntary for **BM Participants**.

BC4.3 <u>SCOPE</u>

BC4 applies to :-

- (a) The Company;
- (b) BM Participants;
- (b) Externally Interconnected System Operators; and
- (c) Network Operators.

BC4.4 PREQUALIFCATION

European Regulation (EU) 2017/1485 provides an overview of the minimum technical requirements and the prequalification process for **TERRE**.

BC4.4.1 <u>Minimum Techincal Requirements</u>

- (a) **BM Participants** must have the ability to submit data and receive instructions by the use of electronic data communication facilities as provided for in CC.6.5.8
- (b) **BM Participants** must be capable of following an **RR Instruction** issued by **The Company**
- (c) BM Participants must be able to provide Physical Notifications
- (d) **BM Participants** must be able to provide a subset of **Dynamic Parameters** (as detailed in BC4.5.2)
- (e) BM Participants must provide operational metering for their total output and for any individual component that may have an output greater than 1MW. This metering must have the following accuracy;
 - a. For a **BM Unit** with either **Generation Capacity** greater than 100MW or **Demand Capacity** greater than 100MW metering accuracy better than 0.5%
 - b. For a BM Unit with a Generation Capacity greater than 10MW but less than or equal to 100MW or Demand Capacity greater than 10MW but less than or equal to 100MW metering accuracy better than 1%
 - c. For all other BM Units an accuracy better than 2.5% is required
- (f) **BM Participants** must have the ability to inform **The Company** if their availability changes using **Export and Import Limits**

(g) For **BM Participants** connected within a **User System BM Participants** must be capable of informing **Network Operators** of their availability and aciviation in realtime if required

BC4.4.2 <u>Prequalification Timelines</u>

European Regulation 2017/1485 gives the following minimum timescales for the prequalification process

- (a) Within 8 weeks of a formal application from the **BM Participant The Company** shall confirm the application is complete (from the perspective of information provision)
- (b) If the application is incomplete the **BM Participant** shall provide the missing evidence within 4 weeks of the a request from **The Company** or it will be presumed that the application has been withdrawn
- (c) Within 3 months of confirming that all information has been provided The Company shall confirm if the potential BM Participant meets the requirements in BC4.4.1. For the avoidance of doubt – The Company will not carry out independent tests but will review the evidence provided

BC4.4.3 <u>Requalification criteria</u>

Under certain conditions an **BM Participant** must requailify

- (a) Every five years a **BM Participant** must requalify to the technical requirements in BC4.4.1 and according to the timescales in BC4.4.2
- (b) If at any time a **BM Participant** becomes aware of changes to the configuration forming the **BM Unit** that means the minimum technical requirements in BC4.4.1 can no longer be met that **BM Participant** must withdraw from TERRE and must requailify

BC4.5 SUBMISSION OF TERRE RELATED DATA BY BM Participants

BC4.5.1 Communication from BM Participants to The Company

- (a) Submission of data specified in BC4.5.2 will be by use of electronic data communications facilities, as provided for in CC.6.5.8
- (b) In the event of a failure of the electronic data communication facilities the data used in the TERRE auction will be based on the most recent data received and acknowledged by The Company. In the event of missing data it will be assumed the BM Participant did not wish to submit data for the relevant TERRE Auction Period.
- (c) **Planned Maintenance Outages** will normally be arranged to take place during periods of low data transfer activity.
- (d) Upon any **Planned Maintenance Outage**, or following an unplanned outage described in BC4.5.1(b) (where it is termed a "failure") in relation to a pre-**TERRE Gate Closure**:
 - (i) If a BM Participant has submitted Physical Notifications and a TERRE Bid for a TERRE Auction Period the BM Particpant should continue to act in relation to any period of time in accordance with the Physical Notifications current at the time of the start of the Planned Maintenance Outage or the computer system failure in relation to each such period of time subject to the provisions of BC2.5.1. Depending on when in relation to TERRE Gate Closure the planned or unplanned maintenance outage arises such operation will either be operation in preparation for the relevant output in real time, or will be operation in

real time. No further submissions of **BM Participants** data should be attempted. **Plant** failure or similar problems causing significant deviation from **Physical Notification** should be notified to **The Company** by the submission of a revision to **Export and Import Limits** in relation to the **RR Provider** so affected;

• (ii) no data will be transferred from **The Company** to the **BMRA** until the communication facilities are re-established.

BC4.5.2 RR Provider Data submissions before TERRE Gate Closure

To participate in a **TERRE** auction a **BM Participant** must have prequalified and must submit a **TERRE Bid** covering at least one of the **TERRE Activation Periods** within the **TERRE Auction Period**.

In addition to a valid **TERRE Bid** a sub-set of **Balancing Mechanism** parameters are also required covering the **TERRE Auction Period** and the **Settlement Periods** immediately before and after the **TERRE Auction Period** (to allow ramping before and after).

If a **BM Participant** is active in the **Balancing Mechanism** the only additional data needed to participate in a **TERRE** auction is a valid **TERRE Bid** covering the relevant times.

For a **BM Participant** that is not active in the **Balancing Mechanism** the following subset of parameters are required with exceptions as noted below:

(a) Physical Notifications

Physical Notifications follow the same format and rules as covered in **BC1 and BC2** with the following exceptions;

- (1) A BM Participant that is not active in the Balancing Mechanism but wishes to participate in TERRE is only required to have submitted Physical Notifications covering the TERRE Auction Period and the Settlement Periods immediately before and after the TERRE Auction Period for which they have submitted a TERRE Bid.
- (2) Defaulting rules as described in the Data Validation, Consistency and Defaulting Rules will only apply to Settlement Periods for which the BM Participant previously submitted Physical Notifications for the previous Operational Day.
- (b) Export and Import Limits

For a **BM Participant** that is not active in the **Balancing Mechanism** but wishes to participate in **TERRE** these are the same as described in BC1 and BC2

(c) Run Up Rate and Run Down Rates

For a **BM Participant** that is not active in the **Balancing Mechanism** but wishes to participate in **TERRE** these are the same as described in BC1 and BC2

(d) For a BM Participant that is not active in the Balancing Mechanism but wishes to participate in TERRE the other Dynamic Parameters listed in BC1.A.1.5 are not required

TERRE Bids must follow the formats and rules in the TERRE Data Validation and Consistency Rules

BC4.5.3 Re-submission of parameters by BM Participants before TERRE Gate Closure

The rules outlined in BC1 and BC2 for the revision of **Physicial Notifications, Export and Import Limits, Run Up Rates** and **Run Down Rates** also apply for **TERRE**.

TERRE Bids can be revised up to **TERRE Gate Closure** in order to be used in the **TERRE** auction (as described in the TERRE Data Validation and Consistency Rules).

BC4.5.4 Defaulting rules for TERRE Bids

TERRE Bids will not be defaulted using previously submitted values. This is due to the ability to link **TERRE Bids** and the re-use of sequence numbers. Hence a **BM Participant** wishing to participitate in a particular **TERRE** auction must submit **RR Bids** specifically covering the relevant **TERRE Activation Periods**.

.

BC4.6 Processing of TERRE Bids before passing to the TERRE Central Platfom

BC4.6.1 Cases where a TERRE Bid will be Restricted

TERRE Bids will be passed to the **TERRE Central Platform** but will be flagged as **Restricted** under the following cases

- (a) Data within the submission does not conform to formats required as detailed in the **TERRE Data Validation and Consistency Rules** (e.g. missing or incorrect keywrods, data in the wrong order, corrupted files etc.)
- (b) If a **TERRE Bid** does not have a corresponding **Physical Notification** the **TERRE Bid** will be flgged as **Restricted**
- (c) If a **TERRE Bid** will result in violating a **System Constraint** it will be flagged as **Restricted**
- (d) If a BM Participant has already been instructed for an Ancillary Service or for Reserve a TERRE Bid may need to be flagged as Restricted. For the avoidance of doubt – participation in TERRE does not exclude an BM Participant from offering other services to The Company but on occasions if there are conflicts between services The Company may have to flag these TERRE Bids as Restricted
- BC4.7 Instructing BM Participants

BC4.7.1 <u>Communication from The Company to BM Participants</u>

For the purposes of communication an **RR Instruction** will follow the same format as a **Bid-Offer Acceptance** and so the rules of BC2.7 also apply for **RR Instructions.**

BC4.7.2 Creating RR Instructions from RR Acceptances

Results from the **TERRE Central Platform** are returned to **The Company** in the form of **RR Acceptances**.

RR Acceptances do not include physical ramps and so **Run Up Rates** and **Run Down Rates** will be used to create **RR Instructions**

In order to comply with all of the **RR Acceptances** for a **BM Participant** several **RR Instructions** may be required.

RR instructions will ramp **BM Participants** from their **Commtted Level**, hold them at the required output level, and then return the **BM Participant** back to the **Commited Level**.

The **TERRE** market wishes to incentivise **RR Instructions** which ramp within +/-5 minutes of the start and end of the **TERRE Activation Periods**. Hence, where possible, **Run Up Rates** and **Run Down Rates** will be applied so that ramping is symmetric around the start and end of the **TERRE Activition Periods**.

However the **TERRE Product** allows for up to 30 minute ramping to and from full activation and so for the first and final ramps up to 30 minutes of ramping can be used for creating an **RR Instruction**.

Details of how **RR Instructions** will be created can be found in the **TERRE Instruction** Guide.

BC4.7.3 Cases where RR Instructions may not be issued

In the time between receiving **TERRE Bids** and the **RR Acceptances** being returned to **The Company** system conditions may require the issuing of a **Bid Offer Acceptance** to the **BM Participant** for which the **RR Acceptance** applies.

In these cases it may be necessary to not issue an **RR Instruction** to the **BM Participant** or to modify the **RR Instruction** so that it is compatible with the **Bid Offer Acceptance t**hat has been previously been issued to the **BM Participant**.

This situation can only arise for a **BM Participant** which is also active in the **Balancing Mechanism**.

The following may apply:

- (a) If the Bid Offer Acceptance is in the same direction as the RR Instruction but the MW levels of the RR Instruction are less than the Committed Level after the Bid Offer Acceptance is applied the RR Instruction will not be issued.
- (b) If the Bid Offer Acceptance is in the same direction as the RR Instruction but the MW levels of the RR Instruction are greater than the Commited Level after the Bid Offer Acceptance is applied the RR Instruction will be issued relative to the Commited Level Level
- (c) If the **Bid Offer Acceptance** is in the opposite direction to the **RR Instruction** the **RR** instruction will not be issued

BC4.7.4 Infeasibility of RR Acceptances

If the **RR Acceptances** for an **BM Participant** are not consistent with the **Physical Noifications** and the **Run Up Rates** and **Run Down Rates** then **The Company** will adjust the MW levels so that **RR Instructions** can be created using the declared parameters.

Details of how these infeasibility rules will be applied are contained in the **TERRE** Instruction Guide.

BC4.8 Publication of TERRE Data

BC4.8.1 Publication of Data at the European level

BC4.8.2 Publication of Data at the National level

The Company shall provide data in accordance with the requirements of the BSC. The following data items will be provided:

- (a) **TERRE Bids** and details of those restricted
- (b) Final Physical Notifications
- (c) RR Activations
- (d) RR Instructions
- (e) Interconnector Volumes per 15 minute period of the TERRE Activation Period
- (f) The TERRE clearing price
- (g) Volume of GB need met

BC4.9 Outages of computer systems leading to the suspension of the TERRE market

The **TERRE** market operates in short processing times meaning that **Planned Maintenance Outages** or unplanned computer system failures can result in the suspension of the **TERRE** market.

Suspension of the **TERRE** market in GB will occur in the following circumstances:

- (a) Loss of communication from The Company to the TERRE Central Platform
- (b) Failure of the TERRE Central Platform to produce RR Acceptances
- (c) Loss of communication from the TERRE Central Platform to The Company
- (d) Loss of electronic logging devices to a large number of BM Participants

DATA REGISTRATION CODE (DRC)

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DRC.1 INTRODUCTION

- DRC.1.1 The **Data Registration Code** ("**DRC**") presents a unified listing of all data required by **The Company** from **Users** and by **Users** from **The Company**, from time to time under the **Grid Code**. The data which is specified in each section of the **Grid Code** is collated here in the **RC**. Where there is any inconsistency in the data requirements under any particular section of the **Grid Code** and the **Data Registration Code** the provisions of the particular section of the **Grid Code** shall prevail.
- DRC.1.2 The **DRC** identifies the section of the **Grid Code** under which each item of data is required .
- DRC.1.3 The Code under which any item of data is required specifies procedures and timings for the supply of that data, for routine updating and for recording temporary or permanent changes to that data. All timetables for the provision of data are repeated in the **DRC**.
- DRC.1.4 Various sections of the **Grid Code** also specify information which **Users** will receive from **The Company**. This information is summarised in a single schedule in the **DRC** (Schedule 9).
- DRC.1.5 The categorisation of data into **DPD I** and **DPD II** is indicated in the **DRC** below.

DRC.2 <u>OBJECTIVE</u>

The objective of the DRC is to:

- DRC.2.1 List and collate all the data to be provided by each category of **User** to **The Company** under the **Grid Code**.
- DRC.2.2 List all the data to be provided by **The Company** to each category of **User** under the **Grid Code**.

DRC.3 SCOPE

- DRC.3.1 The DRC applies to The Company and to Users, which in this DRC means:-
 - (a) Generators (including those undertaking OTSDUW and/or those who own and/or operate DC Connected Power Park Modules);
 - (b) Network Operators;
 - (c) DC Converter Station owners and HVDC System Owners;
 - (d) Suppliers;
 - (e) **Non-Embedded Customers** (including, for the avoidance of doubt, a **Pumped Storage Generator** in that capacity);
 - (f) Externally Interconnected System Operators;
 - (g) Interconnector Users; and
 - (h) BM Participants.
- DRC.3.2 For the avoidance of doubt, the DRC applies to both GC Code Users and EU Code Users User's.

DRC.4 DATA CATEGORIES AND STAGES IN REGISTRATION

- DRC.4.1.1 Within the **DRC** each data item is allocated to one of the following three categories:
 - (a) Standard Planning Data (SPD)
 - (b) Detailed Planning Data (DPD)
 - (c) **Operational Data**

- DRC.4.2 <u>Standard Planning Data (SPD)</u>
- DRC.4.2.1 The **Standard Planning Data** listed and collated in this **DRC** is that data listed in Part 1 of the Appendix to the **PC**.
- DRC.4.2.2 **Standard Planning Data** will be provided to **The Company** in accordance with PC.4.4 and PC.A.1.2.
- DRC.4.3 Detailed Planning Data (DPD)
- DRC.4.3.1 The **Detailed Planning Data** listed and collated in this **DRC** is categorised as **DPD I** and **DPD II** and is that data listed in Part 2 of the Appendix to the **PC**.
- DRC.4.3.2 **Detailed Planning Data** will be provided to **The Company** in accordance with PC.4.4, PC.4.5 and PC.A.1.2.
- DRC.4.4 <u>Operational Data</u>
- DRC.4.4.1 **Operational Data** is data which is required by the **Operating Codes** and the **Balancing Codes**. Within the **DRC**, **Operational Data** is sub-categorised according to the Code under which it is required, namely **OC1**, **OC2**, **BC1** or **BC2**.
- DRC.4.4.2 **Operational Data** is to be supplied in accordance with timetables set down in the relevant **Operating Codes** and **Balancing Codes** and repeated in tabular form in the schedules to the **DRC**.
- DRC.5 PROCEDURES AND RESPONSIBILITIES

DRC.5.1 Responsibility For Submission And Updating Of Data

In accordance with the provisions of the various sections of the **Grid Code**, each **User** must submit data as summarised in DRC.6 and listed and collated in the attached schedules.

- DRC.5.2 Methods Of Submitting Data
- DRC.5.2.1 Wherever possible the data schedules to the **DRC** are structured to serve as standard formats for data submission and such format must be used for the written submission of data to **The Company**.
- DRC.5.2.2 Data must be submitted to the **Transmission Control Centre** notified by **The Company** or to such other department or address as **The Company** may from time to time advise. The name of the person at the **User Site** who is submitting each schedule of data must be included.
- DRC.5.2.3 Where a computer data link exists between a **User** and **The Company**, data may be submitted via this link. **The Company** will, in this situation, provide computer files for completion by the **User** containing all the data in the corresponding **DRC** schedule.

Data submitted can be in an electronic format using a proforma to be supplied by **The Company** or other format to be agreed annually in advance with **The Company**. In all cases the data must be complete and relate to, and relate only to, what is required by the relevant section of the **Grid Code**.

- DRC.5.2.4 Other modes of data transfer, such as magnetic tape, may be utilised if **The Company** gives its prior written consent.
- DRC.5.2.5 Generators, HVDC System Owners and DC Converter Station owners submitting data for a Power Generating Module, Generating Unit, DC Converter, HVDC System, Power Park Module (including DC Connected Power Park Modules) or CCGT Module before the issue of a Final Operational Notification should submit the DRC data schedules and compliance information required under the CP electronically using the User Data File Structure unless otherwise agreed with The Company.

- DRC.5.3 Changes To Users' Data
- DRC.5.3.1 Whenever a **User** becomes aware of a change to an item of data which is registered with **The Company** the **User** must notify **The Company** in accordance with each section of the Grid Code. The method and timing of the notification to **The Company** is set out in each section of the Grid Code.
- DRC.5.4 Data Not Supplied
- DRC.5.4.1 Users and The Company are obliged to supply data as set out in the individual sections of the Grid Code and repeated in the DRC. If a User fails to supply data when required by any section of the Grid Code, The Company will estimate such data if and when, in The Company's view, it is necessary to do so. If The Company fails to supply data when required by any section of the Grid Code, the User to whom that data ought to have been supplied, will estimate such data if and when, in that User's view, it is necessary to do so. Such estimates will, in each case, be based upon data supplied previously for the same Plant or Apparatus or upon corresponding data for similar Plant or Apparatus or upon such other information as The Company or that User, as the case may be, deems appropriate.
- DRC.5.4.2 **The Company** will advise a **User** in writing of any estimated data it intends to use pursuant to DRC.5.4.1 relating directly to that **User's Plant** or **Apparatus** in the event of data not being supplied.
- DRC.5.4.3 A **User** will advise **The Company** in writing of any estimated data it intends to use pursuant to DRC.5.4.1 in the event of data not being supplied.
- DRC.5.5 <u>Substituted Data</u>
- DRC.5.5.1 In the case of PC.A.4 only, if the data supplied by a **User** does not in **The Company's** reasonable opinion reflect the equivalent data recorded by **The Company**, **The Company** may estimate such data if and when, in the view of **The Company**, it is necessary to do so. Such estimates will, in each case, be based upon data supplied previously for the same **Plant** or **Apparatus** or upon corresponding data for similar **Plant** or **Apparatus** or upon such other information as **The Company** deems appropriate.
- DRC.5.5.2 **The Company** will advise a **User** in writing of any estimated data it intends to use pursuant to DRC.5.5.1 relating directly to that **User's Plant** or **Apparatus** where it does not in **The Company's** reasonable opinion reflect the equivalent data recorded by **The Company**. Such estimated data will be used by **The Company** in place of the appropriate data submitted by the **User** pursuant to PC.A.4 and as such shall be deemed to accurately represent the **User's** submission until such time as the **User** provides data to **The Company's** reasonable satisfaction.
- DRC.6 DATA TO BE REGISTERED
- DRC.6.1 Schedules 1 to 19 attached cover the following data areas.
- DRC.6.1.1 <u>Schedule 1 Power Generating Module, Generating Unit (or CCGT Module), Power Park</u> <u>Module (including DC Connected Power Park Module and Power Park Unit), HVDC System</u> <u>and DC Converter Technical Data.</u>

Comprising **Power Generating Module**, **Generating Unit** (and **CCGT Module**), **Power Park Module** (including **DC Connected Power Park Module** and **Power Park Unit**) and **DC Converter** fixed electrical parameters.

DRC.6.1.2 Schedule 2 - Generation Planning Parameters

Comprising the **Genset** parameters required for **Operational Planning** studies.

DRC.6.1.3 <u>Schedule 3 - Large Power Station Outage Programmes, Output Usable And Inflexibility</u> Information.

Comprising generation outage planning, **Output Usable** and inflexibility information at timescales down to the daily **BM Unit Data** submission.

DRC.6.1.4 Schedule 4 - Large Power Station Droop And Response Data.

Comprising data on governor **Droop** settings and **Primary**, **Secondary** and **High Frequency Response** data for **Large Power Stations**.

DRC.6.1.5 Schedule 5 – User's System Data.

Comprising electrical parameters relating to **Plant** and **Apparatus** connected to the **National Electricity Transmission System**.

DRC.6.1.6 <u>Schedule 6 – Users Outage Information.</u>

Comprising the information required by **The Company** for outages on the **User System**, including outages at **Power Stations** other than outages of **Gensets**

DRC.6.1.7 <u>Schedule 7 - Load Characteristics.</u>

Comprising the estimated parameters of load groups in respect of, for example, harmonic content and response to frequency.

- DRC.6.1.8 <u>Schedule 8 BM Unit Data.</u>
- DRC.6.1.9 Schedule 9 Data Supplied By The Company To Users.
- DRC.6.1.10 Schedule 10 Demand Profiles And Active Energy Data

Comprising information relating to the **Network Operators'** and **Non-Embedded Customers'** total **Demand** and **Active Energy** taken from the **National Electricity Transmission System**

DRC.6.1.11 Schedule 11 - Connection Point Data

Comprising information relating to **Demand**, demand transfer capability and the **Small Power Station**, **Medium Power Station** and **Customer** generation connected to the **Connection Point**

DRC.6.1.12 Schedule 12 - Demand Control Data

Comprising information related to **Demand Control**

DRC.6.1.13 Schedule 13 - Fault Infeed Data

Comprising information relating to the short circuit contribution to the **National Electricity Transmission System** from **Users** other than **Generators**, **HVDC System Owners** and **DC Converter Station** owners.

DRC.6.1.14 Schedule 14 - Fault Infeed Data (Generators Including Unit And Station Transformers)

Comprising information relating to the Short Circuit contribution to the **National Electricity Transmission System** from **Generators**, **HVDC System Owners** and **DC Converter Station** owners.

DRC.6.1.15 <u>Schedule 15 – Mothballed Power Generating Module, Mothballed Generating Unit,</u> <u>Mothballed Power Park Module (including Mothballed DC Connected Power Park Modules),</u> <u>Mothballed HVDC Systems, Mothballed HVDC Converters, Mothballed DC Converters at a</u> <u>DC Converter Station and Alternative Fuel Data</u>

> Comprising information relating to estimated return to service times for **Mothballed Power Generating Modules**, **Mothballed Generating Units**, **Mothballed Power Park Modules** (including **Mothballed DC Connected Power Park Modules**), **Mothballed HVDC Systems**, **Mothballed HVDC Converters** and **Mothballed DC Converters at a DC Converter Station** and the capability of gas-fired **Generating Units** to operate using alternative fuels.

DRC.6.1.16 Schedule 16 – Black Start Information

Comprising information relating to **Black Start**.

DRC.6.1.17 Schedule 17 – Access Period Schedule

Comprising Access Period information for Transmission Interface Circuits within an Access Group.

DRC.6.1.18 Schedule 18 – Generators Undertaking OTSDUW Arrangements

Comprising electrical parameters relating to OTSDUW Plant and Apparatus between the Offshore Grid Entry Point and Transmission Interface Point.

DRC.6.1.19 Schedule 19 – User Data File Structure

Comprising information relating to the User Data File Structure.

DRC.6.2 The **Schedules** applicable to each class of **User** are as follows:

User	<u>Schedule</u>
Generators with Large Power Stations	1, 2, 3, 4, 9, 14, 15, 16, 19
Generators with Medium Power Stations (see notes 2, 3, 4)	1, 2 (part), 9, 14, 15, 19
Generators with Small Power Stations directly connected to the National Electricity Transmission System	1, 6, 14, 15, 19
Generators undertaking OTSDUW (see note 5)	18, 19
All Users connected directly to the National Electricity Transmission System	5, 6, 9
All Users connected directly to the National Electricity Transmission System other than Generators	10,11,13,17
All Users connected directly to the National Electricity Transmission System with Demand	7, 9
A Pumped Storage Generator, Externally Interconnected System Operator and Interconnector Users	12 (as marked)
All Suppliers	12
All Network Operators	12
All BM Participants	8
All DC Converter Station owners	1, 4, 9, 14, 15, 19

Notes:

- (1) **Network Operators** must provide data relating to **Small Power Stations** and/or **Customer Generating Plant Embedded** in their **Systems** when such data is requested by **The Company** pursuant to PC.A.3.1.4 or PC.A.5.1.4.
- (2) The data in schedules 1, 14 and 15 need not be supplied in relation to Medium Power Stations connected at a voltage level below the voltage level of the Subtransmission System except in connection with a CUSC Contract or unless specifically requested by The Company.
- (3) Each Network Operator within whose System an Embedded Medium Power Station not subject to a Bilateral Agreement or Embedded DC Converter Station not subject to a Bilateral Agreement is situated shall provide the data to The Company in respect of each such Embedded Medium Power Station or Embedded DC Converter Station or HVDC System.
- (4) In the case of Schedule 2, Generators, HVDC System Owners, DC Converter Station owners or Network Operators in the case of Embedded Medium Power Stations not subject to a Bilateral Agreement or Embedded DC Converter Stations not subject to a Bilateral Agreement, would only be expected to submit data in relation to Standard Planning Data as required by the Planning Code.

(5) In the case of Generators undertaking OTSDUW, the Generator will need to supply User data in accordance with the requirements of Large or Small Power Stations (as defined in DRC.6.2) up to the Offshore Grid Entry Point. In addition, the User will also need to submit Offshore Transmission System data in between the Interface Point and its Connection Points in accordance with the requirements of Schedule 18.

SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE, DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA PAGE 1 OF 19

ABBREVIATIONS:	
SPD = Standard Planning Data	DPD = Detailed Planning Data
% on MVA = % on Rated MVA	RC = Registered Capacity MC = Maximum Capacity
% on 100 = % on 100 MVA	OC1 , BC1 , etc = Grid Code for which data is required
CUSC Contract = User data which may be submitted to the Relevant Transmission Licensees by The Company, following the acceptance by a User of a CUSC Contract.	CUSC App. Form = User data which may be submitted to the Relevant Transmission Licensees by The Company, following an application by a User for a CUSC Contract.

Note:

All parameters, where applicable, are to be measured at nominal System Frequency

- + these SPD items should only be given in the data supplied with the application for a CUSC Contract.
- * Asterisk items are not required for **Small Power Stations** and **Medium Power Stations**

Information is to be given on a **Unit** basis, unless otherwise stated. Where references to **CCGT Modules** are made, the columns "G1" etc should be amended to read "M1" etc, as appropriate

- These data items may be submitted to the Relevant Transmission Licensees from The Company in respect of the National Electricity Transmission System. The data may be submitted to the Relevant Transmission Licensees in a summarised form e.g. network model; the data transferred will have been originally derived from data submitted by Users to The Company.
- these data items may be submitted to the Relevant Transmission Licensee from The Company in respect to Relevant Units only. The data may be submitted to the Relevant Transmission Licensee in a summarised form e.g. network model; the data transferred will have been originally derived from data submitted by Users to The Company.

SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE, DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA PAGE 2 OF 19

POWER STATION NAME: _____

DATE: _____

DATA DESCRIPTION	UNITS	DATA RTL	A to	DATA CAT.	GENERATING UNIT OR STATION DATA							
	onno	CUSC Cont ract	CUSC App. Form	0,111	F.Yr. 0	F.Yr. 1	F.Yr. 2	F.Yr. 3	F.Yr. 4	F.Yr. 5	F.Yr. 6	
GENERATING STATION DEMANDS: Demand associated with the Power Station supplied through the National Electricity Transmission System or the Generator's User System (PC.A.5.2)												
 The maximum Demand that could occur. Demand at specified time of annual peak half hour of National Electricity Transmission System Demand at Annual ACS Conditions. 	MW MVAr MW MVAr			dpd I dpd I dpd II dpd II								
Demand at specified time of annual minimum half-hour of National Electricity Transmission System Demand .	MW MVAr			DPD II DPD II								
(Additional Demand supplied through the unit transformers to be provided below)												
INDIVIDUAL GENERATING UNIT (OR AS THE CASE MAY BE, SYCNHRONOUS POWER GENERATING MODULE OR CCGT MODULE) DATA					G1	G2	G3	G4	G5	G6	STN	
Point of connection to the National Electricity Transmission System (or the Total System if embedded) of the Generating Unit or Synchronous Power Generating Module (other than a CCGT Unit) or the CCGT Module , as the case may be in terms of geographical and electrical location and system voltage (<i>PC.A.3.4.1</i>)	Text		•	SPD								
If the busbars at the Connection Point are normally run in separate sections identify the section to which the Generating Unit (other than a CCGT Unit) or Synchronous Power Generating Module or CCGT Module , as the case may be is connected <i>(PC.A.3.1.5)</i>	Section Number		•	SPD								

Type of Unit (steam, Gas Turbine Combined Cycle Gas Turbine Unit , tidal, wind, etc.) (<i>PC.A.3.2.2 (h)</i>)						
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SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE, DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA

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INDIVIDUAL SYNCHRONOUS POWER GENERATING MODULE GENERATING UNIT (OR AS THE CASE MAY BE, CCGT MODULE) DATA				G1	G2	G3	G4	G5	G6	STN
A list of the Generating Units and CCGT Units within a Synchronous Power Generating Module or CCGT Module, identifying each CCGT Unit, and the Power Generating Module or CCGT Module of which it forms part, unambiguously. In the case of a Range CCGT Module, details of the possible configurations should also be submitted. (<i>PC.A.3.2.2 (g)</i>)		•	SPD							

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SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE, DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA

PAGE 4 OF 19

		DATA to			GE	NERAT	ING UN	NIT (OR	CCGT	MODI	JLE,
DATA DESCRIPTION	UNITS		TL	DATA CAT.				-	MAY BE		
		CUSC Cont	CUSC App.		G1	G2	G3	G4	G5	G6	STN
		ract	Form								
Rated MVA (PC.A.3.3.1)	MVA		-	SPD+							
Rated MW (PC.A.3.3.1) Rated terminal voltage (PC.A.5.3.2.(a) &	MW kV		•	SPD+ DPD I							
PC.A.5.4.2 (b))	ΓV										
*Performance Chart at Onshore				SPD	(see C	DC2 for s	specifica	tion)	1	I	1
Synchronous Generating Unit stator											
terminals $(PC.A.3.2.2(f)(i))$											
* Performance Chart of the Offshore Synchronous Generating Unit at the											
Offshore Grid Entry Point											
(PC.A.3.2.2(f)(ii))											
* Synchronous Generating Unit											
Performance Chart (PC.A.3.2.2(f))											
* Power Generating Module Performance											
Chart of the Synchronous Power Generating Module (PC.A.3.2.2(f))											
* Maximum terminal voltage set											
point(PC.A.5.3.2.(a) & PC.A.5.4.2 (b))	kV			DPD I							
* Terminal voltage set point step resolution				DPD I							
- if not continuous (PC.A.5.3.2.(a) &	kV			51 51							
PC.A.5.4.2 (b)) *Output Usable (on a monthly basis)	MW			SPD	(0)(0)	ot in rola	tion to C	CGTM	odules v	vhon ro	quirod
(PC.A.3.2.2(b))	1010.0			SFD	· ·				Code, th		
(1 0) (0)2)2(0))								r Schedu	,	no aato	
Turbo-Generator inertia constant (for	MW secs		-	SPD+			1	1			
synchronous machines) (PC.A.5.3.2(a))	/MVA										
Short circuit ratio (synchronous machines)			•	SPD+							
(<i>PC.A.5.3.2(a)</i>) Normal auxiliary load supplied by the	MW			DPD II							
Generating Unit at rated MW output	MVAr			DPD II							
(PC.A.5.2.1)											
Rated field current at rated MW and MVAr	A			DPD II							
output and at rated terminal voltage											
(PC.A.5.3.2 (a))											
Field current open circuit saturation curve											
(as derived from appropriate											
manufacturers' test certificates):											
(PC.A.5.3.2 (a))	A			DPD II							
120% rated terminal volts	A			DPD II							
110% rated terminal volts 100% rated terminal volts	A A			DPD II DPD II							
90% rated terminal volts	A			DPD II							
80% rated terminal volts	A			DPD II							
70% rated terminal volts	А			DPD II							
60% rated terminal volts	А			DPD II							
50% rated terminal volts											
IMPEDANCES: (Unsaturated)											
Direct axis synchronous reactance	% on MVA			DPD I							
(PC.A.5.3.2(a))											
Direct axis transient reactance	% on MVA		•	SPD+							
(PC.A.3.3.1(a)& PC.A.5.3.2(a)											
Direct axis sub-transient reactance $(PC \land 5 \land 2)$	% on MVA			DPD I							
(<i>PC.A.5.3.2(a)</i>) Quad axis synch reactance (<i>PC.A.5.3.2(a</i>))	% on MVA			DPD I							
Quad axis synchreactance (<i>PCA.S.S.2(a)</i>) Quad axis sub-transient reactance	% on MVA			DPDI							
(PC.A.5.3.2(a))											
Stator leakage reactance (PC.A.5.3.2(a))	% on MVA			DPD I							
Armature winding direct current	% on MVA			DPD I							
resistance. (PC.A.5.3.2(a))]	I	I	I	I	l	I	I	I	I	

In Scotland, negative sequence resistance (<i>PC.A.2.5.6 (a) (iv</i>)	% on MVA		DPI							
Note:- the above data item relating to armature winding direct-current resistance need only be provided by Generators in relation to Generating Units or Synchronous Generating Units within Power Generating Modules commissioned after 1st March 1996 and in cases where, for whatever reason, the Generator is aware of the value of the data item.										

SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA PAGE 5 OF 19

DATA DESCRIPTION	UNITS	DAT R1		DATA CAT.	GEN	IERAT	'ING U	INIT OF	R STAT	TION E	ΔΑΤΑ
		CUSC Contract	CUSC App. Form		G1	G2	G3	G4	G5	G6	STN
TIME CONSTANTS											
(Short-circuit and Unsaturated) Direct axis transient time constant	S			DPD I							
(PC.A.5.3.2(a))	5			DFDT							
Direct axis sub-transient time constant	S			DPD I							
(PC.A.5.3.2(a)) Quadrature axis sub-transient time constant	S	_		DPD I							
(PC.A.5.3.2(a))	5			DPDT							
Stator time constant (PC.A.5.3.2(a))	S			DPD I							
MECHANICAL PARAMETERS											
(PC.A.5.3.2(a))											
The number of turbine generator masses				DPD II							
Diagram showing the Inertia and parameters for each turbine generator mass for the	Kgm ²			DPD II							
complete drive train				DPD II							
Diagram showing Stiffness constants and	Nm/rad			DPD II							
parameters between each turbine generator				DPD II							
mass for the complete drive train											
Number of poles	0/			DPD II							
Relative power applied to different parts of the turbine	%			DPD II							
Torsional mode frequencies	Hz			DPD II							
Modal damping decrement factors for the				DPD II							
different mechanical modes											
GENERATING UNIT STEP-UP											
TRANSFORMER											
Rated MVA (PC.A.3.3.1 & PC.A.5.3.2)	MVA		-	SPD+							
Voltage Ratio (PC.A.5.3.2)	-		_	DPDI							
Positive sequence reactance: (PC.A.5.3.2)											
Max tap	% on MVA		-	SPD+							
Min tap	% on MVA		-	SPD+							
Nominal tap	% on MVA		-	SPD+							
Positive sequence resistance: (PC.A.5.3.2)											
Max tap	% on MVA			DPD II							
Min tap	% on MVA			DPD II							
Nominal tap	% on MVA			DPD II							
Zero phase sequence reactance (PC.A.5.3.2)	% on MVA +% / -%			DPD II DPD II							
Tap change range (PC.A.5.3.2)				DPD II DPD II							
Tap change step size <i>(PC.A.5.3.2)</i> Tap changer type: on-load or off-circuit	% On/Off			DPD II DPD II							
(PC.A.5.3.2)				ווטייט							

SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE, DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA

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DATA DESCRIPTION	UNITS	DAT R1		DATA CAT.	GENERATING UNIT OR STATION DATA									
		CUSC Contract	CUSC App. Form		G1	G2	G3	G4	G5	G6	STN			
EXCITATION:			1 0111											
Note: The data items requested under O Units on the System at 9 January out under Option 2. Generators Generating Unit and Synchrono date, those Generating Unit or Sy reason such as refurbishment afte excitation control systems where, a under Option 2 in relation to that Ge	1995 (in this must supply us Power G nchronous l er the releva as a result o	paragra the da eneration Power (ant date f testing	aph, th ita as ng Un Genera and () or oth	e "relevant set out un it excitatio ating Unit Generating ner process	t date") nder Op n contr excitat g Unit s, the o	or they ption 2 rol syste ion con or Syn Genera	r may pi (and no ems cor trol syst achronc itor is a	rovide th ot those nmission tems rec ous Pov	ne new o under ned afte commiss ver Ger	data ite Option or the re sioned neratin	ems se 1) fo elevar for an g Un			
Option 1														
DC gain of Excitation Loop (<i>PC.A.5.3.2(c)</i>) Max field voltage (<i>PC.A.5.3.2(c)</i>) Min field voltage (<i>PC.A.5.3.2(c)</i>) Rated field voltage (<i>PC.A.5.3.2(c)</i>) Max rate of change of field volts: (<i>PC.A.5.3.2(c)</i>) Rising Falling	V V V V/Sec V/Sec			DPD II DPD II DPD II DPD II DPD II DPD II										
Details of Excitation Loop (<i>PC.A.5.3.2(c)</i>) Described in block diagram form showing transfer functions of individual elements	Diagram			DPD II	(pleas	se attac	:h)							
Dynamic characteristics of over- excitation limiter (<i>PC.A.5.3.2(c)</i>) Dynamic characteristics of under-excitation limiter (<i>PC.A.5.3.2(c</i>))				dpd II dpd II										
Option 2														
Exciter category, e.g. Rotating Exciter, or Static Exciter etc ($PC.A.5.3.2(c)$) Excitation System Nominal ($PC.A.5.3.2(c)$) Response V_{I} Rated Field Voltage ($PC.A.5.3.2(c)$) U_{fN} No-load Field Voltage ($PC.A.5.3.2(c)$) U_{fO} Excitation System On-Load ($PC.A.5.3.2(c)$) Positive Ceiling Voltage U_{pL+} Excitation System No-Load ($PC.A.5.3.2(c)$) Positive Ceiling Voltage U_{pO+}	E Sec ⁻¹ V V V		•	SPD DPD II DPD II DPD II DPD II DPD II										
Excitation System No-Load (PC.A.5.3.2(c)) Negative Ceiling Voltage U _{p0} .	V			DPD II										
Power System Stabiliser (PSS) fitted (PC.A.3.4.2)	Yes/No		•	SPD										
Stator Current Limit (PC.A.5.3.2(c))	А			DPD II										
Details of Excitation System (<i>PC.A.5.3.2(c)</i>) (including PSS if fitted) described in block diagram form showing transfer functions of individual elements.	Diagram			DPD II										
Details of Over-excitation Limiter (<i>PC.A.5.3.2(c)</i>) described in block diagram form showing transfer functions of individual elements.	Diagram			DPD II										
Details of Under-excitation Limiter (PC.A.5.3.2(c)) described in block diagram form showing transfer functions of individual elements.	Diagram			DPD II										

					1	1
						1
						1

SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE, DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA PAGE 7 OF 19

DATA DESCRIPTION	UNITS	DAT	A to	DATA	GEN	IERAT	ING UN		STAT	ION D	ATA
		RT		CAT.							
		CUSC	CUSC	-	G1	G2	G3	G4	G5	G6	STN
		Contract	App. Form		01	02	00	01	00	00	0111
GOVERNOR AND ASSOCIATED PRIME MOVE	ER PARAN	IETERS	8	l							
Note: The data items requested under Optic	n 1 helow	may co	ntinue	to be prov	uided by	Gener	ators in	relation	to Gen	orating	llnite
on the System at 9 January 1995 (in											
under Option 2. Generators must su											
Unit and Synchronous Power Gene					•			•	,		•
Generating Unit and Synchronous											
such as refurbishment after the releva	int date an	d Gene	rating	Unit and	Synchro	onous	Power (Genera	ting Un	it gove	rnor
control systems where, as a result of	testing or c	ther pro	ocess,	the Gene	r ator is a	aware c	of the dat	a items	listed u	under C	ption 2
in relation to that Generating Unit an	d Synchro	onous F	Power	Generati	ng Unit.						
Option 1											
GOVERNOR PARAMETERS (REHEAT											
UNITS (PC.A.5.3.2(d) – Option 1(i))											
HP Governor average gain	MW/Hz			DPD II							
Speeder motor setting range	Hz			DPD II							
HP governor valve time constant	S			DPD II							
HP governor valve opening limits				DPD II							
HP governor valve rate limits				DPD II							
Re-heat time constant (stored Active Energy	S			DPD II							
in reheater)											
IP governor average gain	MW/Hz			DPD II							
IP governor setting range	Hz			DPD II							
IP governor time constant	S			DPD II							
IP governor valve opening limits				DPD II							
IP governor valve rate limits				DPD II		Ι	Į				
Details of acceleration sensitive				DPD II	(please	attach)				
elements HP & IP in governor loop					(、				
Governor block diagram showing				DPD II	(please	e attach)				
transfer functions of individual elements											
GOVERNOR (Non-reheat steam and Gas											
Turbines) (PC.A.5.3.2(d) – Option 1(ii))											
(100, 100, 100, 100, 100, 100, 100, 100,											
Governor average gain	MW/Hz			DPD II							
Speeder motor setting range				DPD II							
Time constant of steam or fuel governor valve	s			DPD II							
Governor valve opening limits	Ŭ			DPDI							
Governor valve rate limits				DPD II							
Time constant of turbine	S			DPD II							
Governor block diagram	-			DPD II	(please	attach)				
-						1	1				

SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA PAGE 8 OF 19

DATA DESCRIPTION	UNITS	DAT R1		DATA CAT.	GEN	ERAT	ING U	NIT O	R STA	TION	DATA
		CUSC Contract	CUSC App. Form		G1	G2	G3	G4	G5	G6	STN
(PC.A.5.3.2(d) – Option 1(iii)) BOILER & STEAM TURBINE DATA*											
Boiler time constant (Stored Active Energy)	s			DPD II							
HP turbine response ratio: (Proportion of Primary Response arising from HP turbine)	%			DPD II							
HP turbine response ratio: (Proportion of High Frequency Response arising from HP turbine)	%			dpd II							
	I	ind of C	Dption	1							
Option 2											
All Generating Units and Synchronous Power Generating Units											
Governor Block Diagram showing transfer function of individual elements including acceleration sensitive elements				dpd II							
Governor Time Constant (<i>PC.A.5.3.2(d)</i> – <i>Option 2(i))</i> #Governor Deadband	Sec			DPD II							
(PC.A.5.3.2(d) - Option 2(i))											
- Maximum Setting - Normal Setting - Minimum Setting	±Hz ±Hz ±Hz			DPD DPD DPD							
Speeder Motor Setting Range (PC.A.5.3.2(d) – Option 2(i))	%			DPD II							
Average Gain (PC.A.5.3.2(d) – Option 2(i))	MW/Hz			DPD II							
Steam Units											
(PC.A.5.3.2(d) – Option 2(ii))											
HP Valve Time Constant	sec			DPD II							
HP Valve Opening Limits	%			DPD II							
HP Valve Opening Rate Limits HP Valve Closing Rate Limits	%/sec %/sec			DPD II DPD II							
HP Turbine Time Constant ($PC.A.5.3.2(d) - Option 2(ii)$)	sec			DPD II							
IP Valve Time Constant	sec			DPD II							
IP Valve Opening Limits	%			DPD II							
IP Valve Opening Rate Limits	%/sec			DPD II							
IP Valve Closing Rate Limits IP Turbine Time Constant	%/sec sec			dpd II dpd II							
(PC.A.5.3.2(d) – Option 2(ii))											
LP Valve Time Constant	sec			DPD II							
LP Valve Opening Limits	%										
LP Valve Opening Rate Limits	%/sec %/sec			DPD II DPD II							
LP Valve Closing Rate Limits LP Turbine Time Constant (PC.A.5.3.2(d) – Option 2(ii))	%/sec sec			DPD II DPD II							
Reheater Time Constant	sec			DPD II							
Boiler Time Constant	sec			DPD II							
HP Power Fraction	%			DPD II							
IP Power Fraction	%			DPD II							

Where the generating unit or synchronous power generating unit governor does not have a selectable deadband facility, then the actual value of the deadband need only be provided.

SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE, DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA PAGE 9 OF 19

DATA DESCRIPTION	UNITS	DAT R		DATA CAT.	GEN	NERAT	ing u	NIT OF	R STAT	ION D	ATA
		CUSC Contract	CUSC App. Form		G1	G2	G3	G4	G5	G6	STN
Gas Turbine Units											
(PC.A.5.3.2(d) – Option 2(iii))											
Inlet Guide Vane Time Constant	sec			DPD II							
Inlet Guide Vane Opening Limits	%			DPD II							
Inlet Guide Vane Opening Rate Limits	%/sec			DPD II							
Inlet Guide Vane Closing Rate Limits	%/sec			DPDI							
(PC.A.5.3.2(d) - Option 2(iii))	70/300										
Fuel Valve Time Constant		_		DPD II							
	Sec										
Fuel Valve Opening Limits	%			DPD II							
Fuel Valve Opening Rate Limits	%/sec			DPD II							
Fuel Valve Closing Rate Limits	%/sec			DPD II							
(PC.A.5.3.2(d) – Option 2(iii))											
Waste Heat Recovery Boiler Time Constant											
Hydro Generating Units											
(PC.A.5.3.2(d) - Option 2(iv))											
Guide Vane Actuator Time Constant		_		DPD II							
	sec			DPD II DPD II							
Guide Vane Opening Limits	%										
Guide Vane Opening Rate Limits	%/sec			DPD II							
Guide Vane Closing Rate Limits	%/sec			DPD II							
Water Time Constant	sec			DPD II							
		nd of O	ntion 2								
UNIT CONTROL OPTIONS*											
(PC.A.5.3.2(e)											
Maximum droop	%			DPD II							
Normal droop	%			DPD II							
Minimum droop	%			DPD II							
	70			DFDII							
Maximum frequency deadband	±Hz			DPD II							
Normal frequency deadband	±Hz			DPDI							
				DPD II							
Minimum frequency deadband	±Hz			DPD II							
Maximum frequency Insensitivity1Normal	±Hz			DPDII							
frequency Insensitivity1	±Hz			DPDII							
Minimum frequency Insensitivity1	±Hz			DPDI							
	±112										
Maximum Output deadband	±MW			DPD II							
Normal Output deadband	±MW			DPD II							
Minimum Output deadband	±MW			DPD II							
Maximum Output Insensitivity1	±Hz			DPDII							
Normal Output Insensitivity1	±Hz			DPDII							
Minimum Output Insensitivity1	±Hz			DPDII							
	-112										
Fraguanay sottings between which											
Frequency settings between which Unit Load Controller droop applies:											
Marianum											
Maximum	Hz			DPD II							
Normal	Hz			DPD II							
Minimum	Hz			DPD II							
	Vee/NI-			000 ''							
Sustained response normally selected	Yes/No			DPD II							
1 Data required only in respect of Power											
Generating Modules											

SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE, DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA

DATA DESCRIPTION	UNITS	DAT R1		DATA CAT.			ARK UI .E, AS				
		CUSC Contract	CUSC App. Form		G1	G2	G3	G4	G5	G6	STN
Power Park Module Rated MVA (<i>PC.A.3.3.1(a</i>))	MVA			SPD+							
Power Park Module Rated MW (PC.A.3.3.1(a))	MW		-	SPD+							
*Performance Chart of a Power Park Module at the connection point (<i>PC.A.3.2.2(f)(ii)</i>)				SPD	(see OC	2 for s	pecifica	ation)	<u>-</u>	<u> </u>	
* Output Usable (on a monthly basis) (<i>PC.A.3.2.2(b)</i>)	MW			SPD	(except required this data 3)	l on a i	unit bas	sis unde	er the (Grid Co	ode,
Number & Type of Power Park Units within each Power Park Module (<i>PC.A.3.2.2(k)</i>)				SPD							
Number & Type of Offshore Power Park Units within each Offshore Power Park String and the number of Offshore Power Park Strings and connection point within				SPD							
each Offshore Power Park Module (PC.A.3.2.2.(k))											
In the case where an appropriate Manufacturer's Data & Performance Report is registered with The Company then subject to The Company's agreement, the report reference may be given as an alternative to completion of the following sections of this Schedule 1 to the end of page 11 with the exception of the sections marked	Reference the Manufacturer's Data & Performance Report			SPD							
thus # below.	-										
Power Park Unit Model - A validated mathematical model in accordance with PC.5.4.2 (a)	Transfer function block diagram and algebraic equations, simulation and measured test results			DPD II							

SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA

PAGE 11 OF 19

DATA DESCRIPTION	UNITS	DAT		DATA CAT.	POWER MODUL			•			
		CUSC Contract	CUSC App.		G1	G2	G3	G4	G5	G6	STN
Power Park Unit Data (where applicable)			Form								
Rated MVA (<i>PC.A.3.3.1(e)</i>)	MVA			SPD+							
Rated MW (PC.A.3.3.1(e)) Rated MW (PC.A.3.3.1(e))	MW			SPD+							
Rated terminal voltage (PC.A.3.3.1(e))	V			SPD+							
Site minimum air density (<i>PC.A.5.4.2(b</i>))	kg/m ³										
Site maximum air density (FC.A.J.4.2(b))	kg/m ³			DPD II							
Site average air density	kg/m ³			DPD II							
Year for which air density data is submitted	Kg/III*			DPD II							
Number of pole pairs			-	DPD II							
Blade swept area	m²			DPD II							
Gear Box Ratio				DPD II							
Stator Resistance (PC.A.5.4.2(b))	% on MVA		_	SPD+							
Stator Reactance (<i>PC.A.3.3.1(e)</i>)	% on MVA			SPD+							
Magnetising Reactance (PC.A.3.3.1(e))	% on MVA			SPD+							
Rotor Resistance (at starting).	% on MVA		-	DPD II							
(PC.A.5.4.2(b))	70 UT IVIVA			DFDI							
Rotor Resistance (at rated running)	% on MVA			SPD+							
(PC.A.3.3.1(e))			-	SI DŦ							
Rotor Reactance (at starting).	% on MVA			DPD II							
(PC.A.5.4.2(b))											
Rotor Reactance (at rated running)	% on MVA			SPD							
(PC.A.3.3.1(e))	70 ON WWY		-	0.5							
Equivalent inertia constant of the first mass	MW secs			SPD+							
(e.g. wind turbine rotor and blades) at	/MVA		_	•••••							
minimum speed	,										
(PC.A.5.4.2(b))											
Equivalent inertia constant of the first mass	MW secs		-	SPD+							
(e.g. wind turbine rotor and blades) at	/MVA	_									
synchronous speed (<i>PC.A.5.4.2(b</i>))	,										
Equivalent inertia constant of the first mass	MW secs		-	SPD+							
(e.g. wind turbine rotor and blades) at rated	/MVA			-							
speed											
(PC.A.5.4.2(b))											
Equivalent inertia constant of the second	MW secs			SPD+							
mass (e.g. generator rotor) at minimum speed	/MVA										
(PC.A.5.4.2(b))											
Equivalent inertia constant of the second	MW secs		-	SPD+							
mass (e.g. generator rotor) at synchronous	/MVA										
speed (PC.A.5.4.2(b))		1									
Equivalent inertia constant of the second	MW secs		-	SPD+							
mass (e.g. generator rotor) at rated speed	/MVA										
(PC.A.5.4.2(b))											
Equivalent shaft stiffness between the two	Nm / electrical		-	SPD+							
masses (PC.A.5.4.2(b))	radian										

SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE, DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA PAGE 12 OF 19

DATA DESCRIPTION	UNITS	DAT R1		DATA CAT.						VER PA 1AY BE	
		CUSC Contract	CUSC App. Form		G1	G2	G3	G4	G5	G6	STN
Minimum generator rotor speed (Doubly Fed Induction Generators) (<i>PC.A.3.3.1(e)</i>)	RPM		-	SPD+							
Maximum generator rotor speed (Doubly Fed Induction Generators) (<i>PC.A.3.3.1(e)</i>)	RPM			SPD+							
The optimum generator rotor speed versus wind speed (<i>PC.A.5.4.2(b</i>))	tabular format			DPD II							
Power Converter Rating (Doubly Fed Induction Generators) (<i>PC.A.5.4.2(b)</i>)	MVA			DPD II							
The rotor power coefficient (C _p) versus tip speed ratio (λ) curves for a range of blade angles (where applicable) (<i>PC.A.5.4.2(b)</i>)	Diagram + tabular format			DPD II							
# The electrical power output versus generator rotor speed for a range of wind speeds over the entire operating range of the Power Park Unit . (PC.A.5.4.2(b))	Diagram + tabular format			DPD II							
The blade angle versus wind speed curve (PC.A.5.4.2(b))	Diagram + tabular format			DPD II							
The electrical power output versus wind speed over the entire operating range of the Power Park Unit . (<i>PC.A.5.4.2(b)</i>)	Diagram + tabular format			DPD II							
Transfer function block diagram, parameters and description of the operation of the power electronic converter including fault ride though capability (where applicable). (<i>PC.A.5.4.2(b)</i>)	Diagram			DPD II							
For a Power Park Unit consisting of a synchronous machine in combination with a back to back DC Converter or HVDC Converter , or for a Power Park Unit not driven by a wind turbine, the data to be supplied shall be agreed with The Company in accordance with PC.A.7. (<i>PC.A.5.4.2(b)</i>)											

SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE, DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA PAGE 13 OF 19

DATA DESCRIPTION	UNITS	DAT. R1		DATA CAT.	PC	OWER F MODU		•			
		CUSC Contract	CUSC App. Form		G1	G2	G3	G4	G5	G6	STN
Torque / Speed and blade angle control systems and parameters (<i>PC.A.5.4.2(c)</i>)	Diagram			DPD II							
For the Power Park Unit , details of the torque / speed controller and blade angle controller in the case of a wind turbine and power limitation functions (where applicable) described in block diagram form showing transfer functions and parameters of individual elements											
Voltage/ Reactive Power/Power Factor control system parameters (<i>PC.A.5.4.2(d)</i>)	Diagram			DPD II							
# For the Power Park Unit and Power Park Module details of Voltage/Reactive Power/Power Factor controller (and PSS if fitted) described in block diagram form including parameters showing transfer functions of individual elements.											
 # Frequency control system parameters (PC.A.5.4.2(e)) # For the Power Park Unit and Power Park Module details of the Frequency controller described in block diagram form showing transfer functions and barameters of individual elements. 	Diagram			DPD II							
As an alternative to PC.A.5.4.2 (a), (b), (c), (d), (e) and (f), is the submission of a single complete model that consists of the full information required under PC.A.5.4.2 (a), (b), (c), (d) (e) and (f) provided that all the information required under PC.A.5.4.2 (a), b), (c), (d), (e) and (f) individually is clearly identifiable. (PC.A.5.4.2(g))	Diagram			DPD II							
# Harmonic Assessment Information (<i>PC.A.5.4.2(h))</i> (as defined in IEC 61400-21 (2001)) for each Power Park Unit :-											
# Flicker coefficient for continuous operation				DPD I							
# Flicker step factor				DPD I							
# Number of switching operations in a 10 minute window				DPD I							
# Number of switching operations in a 2 hour window				DPD I							
# Voltage change factor				DPD I							
# Current Injection at each harmonic for each Power Park Unit and for each Power Park Module	Tabular format			DPD I							

SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE, DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA PAGE 14 OF 19

HVDC SYSTEM AND DC CONVERTER STATION TECHNICAL DATA

HVDC SYSTEM OR DC CONVERTER STATION NAME

DATE:

Data Description	Units	DATA RTL	to	Data	DC Converter Station Data
(PC.A.4)		CUSC	CUSC	Category	
(FC.A.4)		Contract	App. Form		
HVDC SYSTEM AND DC CONVERTER					
STATION DEMANDS:					
Demand supplied through Station Transformers associated with the DC					
Converter Station and HVDC System					
[PC.A.4.1]	MW			DPD II	
- Demand with all DC Converters and	MVAr			DPD II	
HVDC Converters within and HVDc	MW			DPD II	
System operating at Rated MW import.	MVAr			DPD II	
- Demand with all DC Converters and					
HVDC Converters within an HVDC					
System operating at Rated MW export.					
Additional Demand associated with the DC					
Converter Station or HVDC System	MW			DPD II	
supplied through the National Electricity	MVAr			DPD II	
Transmission System. [PC.A.4.1]	MW			DPD II	
- The maximum Demand that could occur.	MVAr			DPD II	
- Demand at specified time of annual	MW			DPD II	
peak half hour of The Company Demand	MVAr			DPD II	
at Annual ACS Conditions.					
- Demand at specified time of annual	-		_	SPD+	
minimum half-hour of The Company Demand.	Text		-		
				SPD+	
DC CONVERTER STATION AND HVDC	Text				
SYSTEM DATA				SPD+	
Number of poles, i.e. number of DC Converters					
or HVDC Converters within the HVDC System			•		
Pole arrangement (e.g. monopole or bipole)					
Details of each viable operating configuration				SPD	
	Diagram				
Configuration 1	Diagram				
Configuration 2 Configuration 3	Diagram Diagram				
Configuration 3	Diagram				
Configuration 5	Diagram				

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Configuration 6			
Remote ac connection arrangement	Diagram		

SCHEDULE 1 – POWER PARK MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE, DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA PAGE 15 OF 19

Data Description	Units	DAT		Data Category	Ope	eratin	g Coi	nfigura	ation	
		CUSC Contract	CUSC App. Form	Calegory	1	2	3	4	5	6
DC CONVERTER STATION AND HVDC SYSTEM DATA (PC.A.3.3.1d)										
DC Converter or HVDC Converter Type (e.g. current or Voltage source)	Text		•	SPD						
Point of connection to the National Electricity Transmission System (or the Total System if Embedded) of the DC Converter Station or HVDC System configuration in terms of geographical and electrical location and	Text		•	SPD						
system voltage If the busbars at the Connection Point are normally run in separate sections identify the section to which the DC Converter Station or HVDC System configuration is connected	Section Number		•	SPD						
Rated MW import per pole [PC.A.3.3.1] Rated MW export per pole [PC.A.3.3.1]	MW MW		•	SPD + SPD +						
ACTIVE POWER TRANSFER CAPABILITY (PC.A.3.2.2) Registered Capacity Registered Import Capacity Minimum Generation Minimum Import Capacity	MW MW MW MW		-	SPD SPD						
Maximum HVDC Active Power Transmission Capacity	MW			SPD						
Minimum Active Power Transmission Capacity	MW			SPD						
Import MW available in excess of Registered Import Capacity and Maximum Active Power Transmission Capacity	MW			SPD						
Time duration for which MW in excess of Registered Import Capacity is available	Min			SPD						
Export MW available in excess of Registered Capacity and Maximum Active Power Transmission Capacity.	MW			SPD						
Time duration for which MW in excess of Registered Capacity is available	Min			SPD						

SCHEDULE 1 –POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE, DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA PAGE 16 OF 19

Data Description	Units	DATA to RTL		RTL		Data Category	Ope	eratin	g Cor	figura	ation	
		CUSC Contract	CUSC App. Form	ealegely	1	2	3	4	5	6		
DC CONVERTER AND HVDC CONVERTER TRANSFORMER [PC.A.5.4.3.1 Rated MVA Winding arrangement Nominal primary voltage Nominal secondary (converter-side) voltage(s) Positive sequence reactance Maximum tap Nominal tap Minimum tap Positive sequence resistance Maximum tap Nominal tap Nominal tap Nominal tap Sero phase sequence reactance Tap change range Number of steps	MVA kV kV % on MVA % on MVA % on MVA % on MVA % on MVA % on MVA % on MVA % on MVA % on MVA			DPD II DPD II								

SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), DC CONNECTED POWER PARK MODULE, HVDC SYSTEM, POWER PARK MODULE AND DC CONVERTER TECHNICAL DATA PAGE 17 OF 19

Data Description	Units	DATA to RTL		Data Category	Operating configuration						
		CUSC Contract	CUSC App. Form		1	2	3	4	5	6	
DC NETWORK [PC.A.5.4.3.1 (c)]											
Rated DC voltage per pole Rated DC current per pole	kV A			DPD II DPD II							
Details of the DC Network described in diagram form including resistance, inductance and capacitance of all DC cables and/or DC lines. Details of any line reactors (including line reactor resistance), line capacitors, DC filters, earthing electrodes and other conductors that form part of the DC Network should be shown.	Diagram			DPD II							
DC CONVERTER STATION AND HVDC SYSTEM AC HARMONIC FILTER AND REACTIVE COMPENSATION EQUIPMENT [PC.A.5.4.3.1 (d)]											
For all switched reactive compensation equipment	Diagram		•	DPD II							
Total number of AC filter banks Diagram of filter connections Type of equipment (e.g. fixed or variable) Capacitive rating; or Inductive rating; or Operating range Reactive Power capability as a function of various MW transfer levels	Text Diagram Text MVAr MVAr MVAr Table			DPD II DPD II DPD II DPD II DPD II DPD II DPD II							

SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE, DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA PAGE 18 OF 19

Data Description	Units	DAT	DATA to Data		Operating					
		RTL		Category	configuration					
		CUSC Contract	CUSC App. Form		1	2	3	4	5	6

Data Description	Units	DATA to RTL		Data	Data Operating Category configuration					
		CUSC Contract	CUSC App. Form	Category	со 1	nfigi 2	Jrati 3	on 4	5	6
CONTROL SYSTEMS [PC.A.5.4.3.2]			FOIII							
Static $V_{DC} - P_{DC}$ (DC voltage – DC power) or Static $V_{DC} - I_{DC}$ (DC voltage – DC current) characteristic (as appropriate) when operating as –Rectifier –Inverter	Diagram			DPD II						
Details of rectifier mode control system,	Diagram			DPD II						
in block diagram form together with parameters showing transfer functions of individual elements.	Diagram			DPD II						
Details of inverter mode control system, in block diagram form showing transfer functions of individual elements including parameters.	Diagram			DPD II						
Details of converter transformer tap changer control system in block diagram form showing transfer functions of individual elements including parameters. (Only required for DC Converters and HVDC Systems connected to the National Electricity Transmission System.)	Diagram			DPD II						
Details of AC filter and reactive compensation equipment control systems in block diagram form showing transfer functions of individual elements including parameters. (Only required for DC Converters and HVDC Systems connected to the National Electricity Transmission System.)	Diagram			DPD II						
Details of any frequency and/or load control systems in block diagram form showing transfer functions of individual elements including parameters.	Diagram									
Details of any large or small signal modulating controls, such as power oscillation damping controls or sub-synchronous oscillation damping controls, that have not been submitted as part of the above control system data.	Diagram			DPD II						
Details of HVDC Converter unit models and/or control systems in block diagram form showing transfer functions of individual elements including parameters.	Diagram			DPD II						
Details of AC component models and/or control systems in block diagram form showing transfer functions of individual elements	Diagram			DPD II						
including parameters. Details of DC Grid models and/or control systems in block diagram form showing transfer functions of individual elements including	Diagram									
parameters.				DPD II						
Details of Voltage and power controller and/or control systems in block diagram form showing transfer functions of individual elements including parameters.	Diagram			DPD II						
Details of Special control features if applicable (eg power oscillation damping (POD) function, subsynchronous torsional interaction (SSTI) control and/or control systems in block diagram form showing transfer functions of individual elements including parameters.	Diagram			DPD II						
Details of Multi terminal control, if applicable and/or control systems in block diagram form showing transfer functions of individual	Diagram			DPD II						
elements including parameters. Details of HVDC System protection models as agreed between The Company the HVDC System Owner and/or control systems in block diagram form showing transfer functions of individual elements including parameters.	Diagram			DPD II						
Transfer block diagram representation of the reactive power control at converter ends for a voltage source converter	Diagram									
	RC							5 Ar	ril 2(019

SCHEDULE 1 – POWER GENERATING MODULE, GENERATING UNIT (OR CCGT MODULE), POWER PARK MODULE, DC CONNECTED POWER PARK MODULE, HVDC SYSTEM AND DC CONVERTER TECHNICAL DATA PAGE 19 OF 19

Data Description	Units		TA to TL	Data Category	Operating configuration							
		CUSC Contract	CUSC App. Form	e angery	1	2	3	4	5	6		
LOADING PARAMETERS [PC.A.5.4.3.3]												
MW Export Nominal loading rate Maximum (emergency) loading rate	MW/s MW/s			DPD I DPD I								
MW Import Nominal loading rate Maximum (emergency) loading rate	MW/s MW/s			DPD I DPD I								
Maximum recovery time, to 90% of pre-fault loading, following an AC system fault or severe voltage depression.	s			DPD II								
Maximum recovery time, to 90% of pre-fault loading, following a transient DC Network fault.	S			DPD II								

<u>NOTE:</u> Users are referred to Schedules 5 & 14 which set down data required for all Users directly connected to the National Electricity Transmission System, including Power Stations. Generators undertaking OTSDUW Arrangements and are utilising an OTSDUW DC Converter are referred to Schedule 18.

SCHEDULE 2 - GENERATION PLANNING PARAMETERS PAGE 1 OF 3

This schedule contains the **Genset Generation Planning Parameters** required by **The Company** to facilitate studies in **Operational Planning** timescales.

For a Generating Unit including those within a Power Generating Module (other than a Power Park Unit) at a Large Power Station the information is to be submitted on a unit basis and for a CCGT Module or Power Park Module at a Large Power Station the information is to be submitted on a module basis, unless otherwise stated.

Where references to **CCGT Modules** or **Power Park Modules** at a **Large Power Station** are made, the columns "G1" etc should be amended to read "M1" etc, as appropriate.

Power Station: _____

Generation Planning Parameters

DATA DESCRIPTION	UNITS	DAT R1		DATA CAT.	GENSET OR STA		TATION	TION DATA			
-		CUSC Contract	CUSC App. Form		G1	G2	G3	G4	G5	G6	STN
OUTPUT CAPABILITY (PC.A.3.2.2) Registered Capacity on a station and unit basis (on a station and module basis in the case of a CCGT Module or Power Park Module at a Large Power Station)	MW			SPD							
Maximum Capacity on a Power Generating Module basis and Synchronous Generating Unit basis and Registered Capacity on a Power Station basis)			•								
Minimum Generation (on a module basis in the case of a CCGT Module or Power Park Module at a Large Power Station)	MW			SPD							
Minimum Stable Operating Level (on a module basis in the case of a Power Generating Module at a Large Power Station			•								
MW available from Power Generating Modules and Generating Units or Power Park Modules in excess of Registered Capacity or Maximum Capacity	MW		-	SPD							
REGIME UNAVAILABILITY											
These data blocks are provided to allow fixed periods of unavailability to be registered.											
Expected Running Regime. Is Power Station normally available for full output 24 hours per day, 7 days per week? If No please provide details of unavailability below. (<i>PC.A.3.2.2.</i>)			•	SPD							
Earliest Synchronising time: <i>OC2.4.2.1(a)</i> Monday Tuesday – Friday Saturday – Sunday	hr/min hr/min hr/min			OC2 OC2 OC2							- - -
Latest De-Synchronising time: <i>OC2.4.2.1(a)</i> Monday – Thursday Friday Saturday – Sunday	hr/min hr/min hr/min			OC2 OC2 OC2							- - -
SYNCHRONISING PARAMETERS OC2.4.2.1(a) Notice to Deviate from Zero (NDZ) after 48 hour Shutdown	Mins	•		OC2							

Station Synchronising Intervals (SI) after 48 hour Shutdown	Mins	•		-	-	-	-	-	-		ĺ
Synchronising Group (if applicable)	1 to 4		OC2							-	

SCHEDULE 2 - GENERATION PLANNING PARAMETERS PAGE 2 OF 3

DATA DESCRIPTION	UNITS	DAT R	TA to	DATA CAT.		GEI	NSET (OR STA	TION DA	TA	
		CUSC Contract	CUSC App. Form		G1	G2	G3	G4	G5	G6	STN
Synchronising Generation (SYG) after 48 hour Shutdown PC.A.5.3.2(f) & OC2.4.2.1(a)	MW	•		DPD II & OC2							-
De-Synchronising Intervals (Single value) <i>OC2.4.2.1(a)</i>	Mins	•		OC2	-	-	-	-	-	-	
RUNNING AND SHUTDOWN PERIOD LIMITATIONS:											
Minimum Non Zero time (MNZT) after 48 hour Shutdown <i>OC</i> 2. <i>4.2.1(a)</i>	Mins	•		OC2							
Minimum Zero time (MZT) OC2.4.2.1(a)	Mins			OC2							
Existing AGR Plant Flexibility Limit (Existing AGR Plant only)	No.			OC2							
80% Reactor Thermal Power (expressed as Gross-Net MW) (Existing AGR Plant only)	MW			OC2							
Frequency Sensitive AGR Unit Limit (Frequency Sensitive AGR Units only)	No.			OC2							
RUN-UP PARAMETERS PC.A.5.3.2(f) & OC2.4.2.1(a) Run-up rates (RUR) after 48 hour Shutdown: (See note 2 page 3)	(Note tł	hat for I	DPD o	nly a single (f run-up is requi		m Syncl	n Gen to	Registe	ered
MW Level 1 (MWL1) MW Level 2 (MWL2)	MW MW	•		OC2 OC2							-
				DPD II &							
RUR from Synch. Gen to MWL1 RUR from MWL1 to MWL2 RUR from MWL2 to RC	MW/Mins MW/Mins MW/Mins	:		0C2 0C2 0C2							
<u>Run-Down Rates</u> (RDR):	(Note that	l t for DF	PD only	/ a single va		un-down s require		om Regi	stered C	l apacity	to de-
MWL2 RDR from RC to MWL2	MW MW/Min	:		OC2 DPD II OC2							
MWL1 RDR from MWL2 to MWL1 RDR from MWL1 to de-synch	MW MW/Min MW/Min	:		OC2 OC2 OC2							

SCHEDULE 2 - GENERATION PLANNING PARAMETERS PAGE 3 OF 3

		DATA	to	DATA				07.17		• - •	
DATA DESCRIPTION	UNITS	RTL CUSC	cusc	CAT.		GENS	-	-	-		0
		COSC			G1	G2	G3	G4	G5	G6	STN
REGULATION PARAMETERS											
OC2.4.2.1(a)											
Regulating Range	MW	•		DPD II							
Load rejection capability while still	MW	-		DPD II							
Synchronised and able to supply Load.											
GAS TURBINE LOADING PARAMETERS:											
0C2.4.2.1(a)											
Fast loading	MW/Min	-		OC2							
Slow loading	MW/Min	•		OC2							
CCGT MODULE PLANNING MATRIX				OC2	(pleas	se attac	h)				
POWER PARK MODULE PLANNING				OC2	(pleas	se attac	h)				
MATRIX						I	1				
Power Park Module Active Power Output/				OC2	(nleas	e attac	 h)				
Intermittent Power Source Curve				002	(pieda		")				
(eg MW output / Wind speed)											
	1	1	1	I	1		1				1 1

NOTES:

- (1) To allow for different groups of Gensets within a Power Station (eg. Gensets with the same operator) each Genset may be allocated to one of up to four Synchronising Groups. Within each such Synchronising Group the single synchronising interval will apply but between Synchronising Groups a zero synchronising interval will be assumed.
- (2) The run-up of a Genset from synchronising block load to Registered Capacity or Maximum Capacity is represented as a three stage characteristic in which the run-up rate changes at two intermediate loads, MWL1 and MWL2. The values MWL1 & MWL2 can be different for each Genset.

SCHEDULE 3 - LARGE POWER STATION OUTAGE PROGRAMMES, OUTPUT USABLE AND INFLEXIBILITY INFORMATION PAGE 1 OF 3

(Also outline information on contracts involving External Interconnections)

For a **Generating Unit** at a **Large Power Station** the information is to be submitted on a unit basis and for a **CCGT Module** or **Power Park Module** at a **Large Power Station** the information is to be submitted on a module basis, unless otherwise stated.

DATA DESCRIPTION		UNITS	TIME COVERED	UPDATE TIME	DATA CAT.	DAT/ RT	
			COVERED		CAT.	RI	L
Power Station name: Generating Unit (or CCGT Module of Large Power Station) number: Registered Capacity:							
Large Power Station OUTAGE PROGRAMME	Large Power Station OUTPUT USABLE						
	OUTFOI USABLE						
PLAN	INING FOR YEARS 3 - 7 AHEA	<u>D</u> (OC2.4.1	.2.1(a)(i), (e) & (j))		CUSC	CUSC
	Monthly average OU	MW	F. yrs 5 - 7	Week 24	SPD	Contract	App. Form
Provisional outage programme comprising:			C. yrs 3 - 5	Week 2	OC2		
duration		weeks	"	"		-	
preferred start		date	"	"	"		
earliest start		date	"	"	"		
latest finish		date	"	"	"		
	Weekly OU	MW	"	"	"		
(The Company respon	nse as detailed in OC2		C. yrs 3 - 5	Week12)			
	he Company suggested change	es or	C. yrs 3 - 5	Week14)		•	
Updated provisional outage			C. yrs 3 - 5	Week 25	OC2		
programme comprising:			C. yis 5 - 5	Week 25	002		
duration		weeks	"	"			
preferred start		date	"	"	"		
earliest start		date	"	"	"		
latest finish		date	"	"	"		
	Updated weekly OU	MW	"		"	•	
(The Company respon	Inse as detailed in OC2 for	I	C. yrs 3 - 5	Week28)			
	to The Company suggested cha	anges or	C. yrs 3 - 5	Week31)		•	
	 rther suggested revisions etc. (a	s	1	l)			
detailed in OC2 for	r i	1	C. yrs 3 - 5	Week42)			
Agreement of final			C. yrs 3 - 5	Week 45	OC2	-	
Generation Outage Programme							
PLANNI	NG FOR YEARS 1 - 2 AHEAD (OC2.4.1.2.2	2(a) & OC2.4.1.2.	2 <i>(i))</i>	1		
Update of previously agreed Final Generation Outage Programme			C. yrs 1 - 2	Week 10	OC2		
	Weekly OU	MW	"	"		-	

SCHEDULE 3 - LARGE POWER STATION OUTAGE PROGRAMMES, OUTPUT **USABLE AND INFLEXIBILITY INFORMATION**

PAGE 2 OF 3

DATA DESCRIPTION		UNITS	TIME	UPDATE	DATA	DA	TA to
			COVERED	TIME	CAT	R	
(The Company resp for	oonse as detailed in OC2		C. yrs 1 – 2	Week 12)			App. Form
_	The Company suggested al outages)	changes	C. yrs 1 – 2	Week 14)		•	
	Revised weekly OU		C. yrs 1 – 2	Week 34	OC2	-	
(The Company resp for	oonse as detailed in OC2	I	C. yrs 1 – 2	Week 39)		•	
	The Company suggested al outages)	changes	C. yrs 1 – 2	Week 46)			
Agreement of final Generation Outage Programme			C. yrs 1 – 2	Week 48	OC2		
	PLANNING F	OR YEAR	0		1		
Updated Final Generation Outage Programme			C. yr 0 Week 2 ahead to year end	1600 Weds.	OC2		
	OU at weekly peak	MW	"	n	"		
(The Company resp ((oonse as detailed in OC2 fo		C. yrs 0 Weeks 2 to 52 ahead	1600) Friday))			
(The Company resp (oonse as detailed in OC2 fo	l pr	Weeks 2 - 7 ahead	1600) Thurs)			
Forecast return to services (Planned Outage or breakdown)		date	days 2 to 14 ahead	0900 daily	OC2		
	OU (all hours)	MW	и	"	OC2		
(The Company resp (oonse as detailed in OC2 fo	br	days 2 to 14 ahead	1600) daily)			
	INFLEXI	BILITY					
	Genset inflexibility	Min MW (Weekly)	Weeks 2 - 8 ahead	1600 Tues	OC2		
(The Company resp (Power Margin	oonse on Negative Reserv	e Active	п	1200) Friday)			
	Genset inflexibility	Min MW (daily)	days 2 -14 ahead	0900 daily	OC2		
(The Company resp (Power Margin	oonse on Negative Reserv	e Active	"	1600) daily)			

SCHEDULE 3 - LARGE POWER STATION OUTAGE PROGRAMMES, OUTPUT USABLE AND INFLEXIBILITY INFORMATION PAGE 3 OF 3

DATA DESCRIPTION	UNITS	TIME COVERED	UPDATE TIME	DATA CAT	DAT R1	
OUTPUT F	ROFILES					
					Contract	CUSC App. Form
In the case of Large Power Stations whose output may be expected to vary in a random manner (eg. wind power) or to some other pattern (eg. Tidal) sufficient information is required to enable an understanding of the possible profile		F. yrs 1 - 7	Week 24	SPD		

Notes: 1. The week numbers quoted in the Update Time column refer to standard weeks in the current year.

GOVERNOR DROOP AND RESPONSE (PC.A.5.5 = CUSC Contract)

The Data in this Schedule 4 is to be supplied by Generators with respect to all Large Power Stations, HVDC System Owners and by DC Converter Station owners (where agreed), whether directly connected or Embedded

						Ī			
DATA	NORMAL VALUE	MM	DATA		DROOP%		H	RESPONSE CAPABILITY	ABILITY
DESCRIPTION			CAT	Llnit 1	LInit 2	LInit 3	Primarv	Secondary	High Frequency
MLP1	Designed Minimum Operating Level or Minimum Regulating Level (for a CCGT Module or Power Park Module, on a modular basis assuming all units are Synchronised)								
MLP2	Minimum Generation or Minimum Stable Operating Level (for a CCGT Module or Power Park Module, or Power Generating Module on a modular basis assuming all units are Synchronised)								
MLP3	70% of Registered Capacity or Maximum Capacity								
MLP4	80% of Registered Capacity or Maximum Capacity								
MLP5	95% of Registered Capacity or Maximum Capacity								
MLP6	Registered Capacity or Maximum Capacity								
Notes: 1. The data provi	Notes: 1. The data provided in this Schedule 4 is not intended to constrain any Ancillary Services Agreement.	rain any <i>i</i>	Ancillary S	services Aç	Jreement.				
	Registered Capacity or Maximum Capacity should be identical to that provided in Schedule 2.	ilicai lo li	iat provided	a In ocneuu	le z.				

- The Governor Droop should be provided for each Generating Unit(excluding Power Park Units), Power Park Module, HVDC Converter or DC Converter. The Response Capability should be provided for each Genset or DC Converter. ė
- Primary, Secondary and High Frequency Response are defined in CC.A.3.2 and are based on a frequency ramp of 0.5Hz over 10 seconds. Primary Response is the minimum value of response between 10s and 30s after the frequency ramp starts, Secondary Response between 30s and 30 minutes, and High Frequency Response is the minimum value after 10s on an indefinite basis. 4.
- values of MLP1 to MLP6 can take any value between Designed Operating Minimum Level or Minimum Regulating |Level and Registered Capacity or Maximum Capacity. If MLP1 is not provided at the Designed Minimum Operating Level, the value of the Designed Minimum Operating Level should be separately stated. For plants which have not yet Synchronised, the data values of MLP1 to MLP6 should be as described above. For plants which have already Synchronised, the <u>ю</u>.
- Generating Modules Offshore Generating Units, Offshore Power Park Modules and/or Offshore DC Converters to satisfy the frequency response requirements of frequency measured at the Transmission Interface Point to the Offshore Grid Entry Point (as detailed in CC.6.3.7(vii) and CC.6.3.7(viii) to enable Offshore Power For the avoidance of doubt Transmission DC Converters and OTSDUW DC Converters must be capable of providing a continuous signal indicating the real time CC.6.3.7. <u>ن</u>

SCHEDULE 4 - LARGE POWER STATION DROOP AND RESPONSE DATA PAGE 1 OF 1

SCHEDULE 5 - USERS SYSTEM DATA PAGE 1 OF 11

The data in this Schedule 5 is required from **Users** who are connected to the **National Electricity Transmission System** via a **Connection Point** (or who are seeking such a connection). **Generators** undertaking **OTSDUW** should use **DRC** Schedule 18 although they should still supply data under Schedule 5 in relation to their **User's System** up to the **Offshore Grid Entry Point**.

Table 5 (a)

DATA	DESCRIPTION	UNITS	DATA	to RTL	DATA CATEGORY
			CUSC Contract	CUSC App. Form	
USER	<u>S SYSTEM LAYOUT (</u> PC.A.2.2)				
	gle Line Diagram showing all or part of the User's System is ed. This diagram shall include:-				SPD
(a) (b)	all parts of the User's System , whether existing or proposed, operating at Supergrid Voltage , and in Scotland and Offshore , also all parts of the User System operating at 132kV, all parts of the User's System operating at a voltage of 50kV, and in Scotland and Offshore greater than 30kV, or higher which can interconnect Connection Points , or split bus-bars at a single Connection Point ,		•	•	
(c)	all parts of the User's System between Embedded Medium Power Stations or Large Power Stations or Offshore Transmission Systems connected to the User's Subtransmission System and the relevant Connection Point or Interface Point,		-	•	
(d)	all parts of the User's System at a Transmission Site.		-	•	
User's the Us Comp	ingle Line Diagram may also include additional details of the s Subtransmission System, and the transformers connecting ser's Subtransmission System to a lower voltage. With The any's agreement, it may also include details of the User's m at a voltage below the voltage of the Subtransmission m.		-	•	
the ex to both electri transfe for eq and O	Single Line Diagram shall depict the arrangement(s) of all of isting and proposed load current carrying Apparatus relating in existing and proposed Connection Points , showing cal circuitry (ie. overhead lines, underground cables, power primers and similar equipment), operating voltages. In addition, upment operating at a Supergrid Voltage , and in Scotland ffshore also at 132kV, circuit breakers and phasing gements shall be shown.		-	•	

SCHEDULE 5 - USERS SYSTEM DATA PAGE 2 OF 11

Table 5(b)

DATA DESCRIPTION	UNITS	DA		DATA
		EX	-	CATEGORY
		CUSC Contract	CUSC App. Form	
REACTIVE COMPENSATION (PC.A.2.4)				
For independently switched reactive compensation equipment not owned by a Relevant Transmission Licensee connected to the User's System at 132kV and above, and also in Scotland and Offshore , connected at 33kV and above, other than power factor correction equipment associated with a customers Plant or Apparatus :				
Type of equipment (eg. fixed or variable)	Text	•	•	SPD
Capacitive rating; or	MVAr	•	•	SPD
Inductive rating; or	MVAr		•	SPD
Operating range	MVAr	•		SPD
Details of automatic control logic to enable operating characteristics to be determined	text and/or diagrams	•	•	SPD
Point of connection to User's System (electrical location and system voltage)	Text	•	•	SPD
SUBSTATION INFRASTRUCTURE (PC.A.2.2.6(b))				
For the infrastructure associated with any User's equipment at a				
Substation owned by a Relevant Transmission Licensee or				
operated or managed by The Company:-				
Rated 3-phase rms short-circuit withstand current	kA	•	•	SPD
Rated 1-phase rms short-circuit withstand current	kA	•		SPD
Rated Duration of short-circuit withstand	s			SPD
Rated rms continuous current	A	•	•	SPD

SCHEDULE 5 – USERS SYSTEM DATA PAGE 3 OF 11

Table 5 (c)

ράτα	DESCRIPTION	UNITS	DA	ТΔ	DATA
DAIA		ONITO	EX		
					CATEGORY
			CUSC	CUSC	
			Contract		
				Form	
LUMP	ED SUSCEPTANCES (PC.A.2.3)				
Equiva	alent Lumped Susceptance required for all parts of the			•	
User's	s Subtransmission System which are not included in the				
	e Line Diagram.				
This s	hould not include:		•	•	
(a)	independently switched reactive compensation			=	
、 <i>,</i>	equipment identified above.				
(b)	any susceptance of the User's System inherent in the				
()	Demand (Reactive Power) data provided in Schedule				
	1 (Generator Data) or Schedule 11 (Connection Point				
	data).				
Equiva	alent lumped shunt susceptance at nominal Frequency.	% on 100		•	SPD
		MVA			
			+		

USER'S SYSTEM DATA

(PC.A.2.2.4) (
CUSC Contract & CUSC Application Form) Circuit Parameters The data below is all Standard Planning Data. Details are to be given for all circuits shown on the Single Line Diagram Table 5 (d)

e (mutual) /A	В	
ase Sequence (% on 100 MVA	×	
Zero Phase Sequence (self) Zero Phase Sequence (mutual) % on 100 MVA % on 100 MVA	Я	
nce (self) VA	В	
² hase Sequence % on 100 MVA	×	
Zero Pha %	R	
/A	В	
Positive Phase Sequence % on 100 MVA	×	
	R	
Operating Voltage kV		
Rated Voltage kV		
Node 2		
Node 1		
Years Valid		

SCHEDULE 5 - USERS SYSTEM DATA PAGE 4 OF 11

Data should be supplied for the current, and each of the seven succeeding Financial Years. This should be done by showing for which years the data is valid in the first column of the Table. .-

Issue 5 Revision 33

Notes

Transformer Data (PC.A.2.2.5) (■ CUSC Contract & ■ CUSC Application Form) **USERS SYSTEM DATA**

The data below is all Standard Planning Data, and details should be shown below of all transformers shown on the Single Line Diagram. Details of Winding Arrangement, Tap Changer and earthing details are only required for transformers connecting the User's higher voltage system with its Primary Voltage System.

Earthin g Details (delete	as app.) *	Direct/	Res/	Rea		Direct/	Res/	Rea		Direct	/Res/	Rea	Direct/	Res/	Rea		Direct/	Roc/
	type (delete	/NO	OFF		/NO	OFF		/NO	OFF		/NO	OFF	/NO	OFF		/NO	OFF	
Tap Changer	step size %																	
μ	range +% to -%																	
Winding Arr.																		
Zero Sequence React- ance	% on Rating																	
ase stance ig	Nom. Tap																	
Positive Phase Sequence Resistance % on Rating	Min. Tap																	
Pc Seque	Max. Tap																	
se tance J	Nom. Tap																	
Positive Phase Sequence Reactance % on Rating	Min. Tap																	
Po Seque %	Max. Tap																	
Ratio	۲V																	
Voltage Ratio	νн																	
Rating MVA																		
Trans- former																		
Name of Node	Conn- ection Point																	
Years Na valid No																		

If Resistance or Reactance please give impedance value

Notes

- Data should be supplied for the current, and each of the seven succeeding Financial Years. This should be done by showing for which years the data is valid in the first column of the Table . -
- For a transformer with two secondary windings, the positive and zero phase sequence leakage impedances between the HV and LV1, HV and LV2, and LV1 and LV2 windings are required. ц Сі

SCHEDULE 5 – USERS SYSTEM DATA **PAGE 5 OF 11**

USER'S SYSTEM DATA

Switchgear Data (PC.A.2.2.6(a)) (
CUSC Contract & CUSC Application Form

provided for all circuit breakers irrespective of voltage located at a Connection Site which is owned by a Relevant Transmission Licensee The data below is all Standard Planning Data, and should be provided for all switchgear (ie. circuit breakers, load disconnectors and disconnectors) operating at a Supergrid Voltage, and also in Scotland and Offshore, operating at 132kV. In addition, data should be or operated or managed by The Company.

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DC time constant at testing of asymmetri	breaking ability(s)	
Rated rms continuous current (A)		
Rated short-circuit peak making current	1 Phase kA peak	
Rated short making	3 Phase kA peak	
Rated short-circuit breaking current	1 Phase kA rms	
Rated sh breaking	3 Phase kA rms	
Operating Voltage kV rms		
Rated Voltage kV rms		
Switch No.		
Connect-ion Point		
Years Valid		

SCHEDULE 5 –USERS SYSTEM DATA PAGE 6 OF 11

- 1. Rated Voltage should be as defined by IEC 694.
- Data should be supplied for the current, and each of the seven succeeding Financial Years. This should be done by showing for which years the data is valid in the first column of the Table ц сі

Notes

SCHEDULE 5 –USERS SYSTEM DATA PAGE 7 OF 11

Table 5(g)

DATA	DESCRIPTION	UNITS	DATA	to RTL	DATA
					CATEGORY
PROT	ECTION SYSTEMS (PC.A.6.3)		CUSC Contract	CUSC App. Form	
whi circ info the bes	Illowing information relates only to Protection equipment ch can trip or inter-trip or close any Connection Point uit breaker or any Transmission circuit breaker. The irmation need only be supplied once, in accordance with timing requirements set out in PC.A.1.4 (b) and need not supplied on a routine annual basis thereafter, although The mpany should be notified if any of the information inges.				
(a)	A full description, including estimated settings, for all relays and Protection systems installed or to be installed on the User's System ;		-		DPD II
(b)	A full description of any auto-reclose facilities installed or to be installed on the User's System , including type and time delays;		•		DPD II
(c)	A full description, including estimated settings, for all relays and Protection systems installed or to be installed on the Power Generating Module , Power Park Module or Generating Unit's generator transformer, unit transformer, station transformer and their associated connections;		-		DPD II
(d)	For Generating Units (other than Power Park Units) having a circuit breaker at the generator terminal voltage clearance times for electrical faults within the Generating Unit zone must be declared.		-		DPD II
(e)	Fault Clearance Times: Most probable fault clearance time for electrical faults on any part of the Users System directly connected to the National Electricity Transmission System .	mSec	•		DPD II

DAT	ADESCRIPTION	UNITS	DATA	to RTL	DATA
					CATEGORY
POW	ER PARK MODULE/UNIT PROTECTION SYSTEMS		CUSC Contract	CUSC App. Form	
Detail	s of settings for the Power Park Module/Unit protection relays		Contract	7.pp. 1 0111	
(to ind	clude): (PC.A.5.4.2(f))				
(a)	Under frequency,		-		DPD II
(b)	Over Frequency,		-		DPD II
(c)	Under Voltage, Over Voltage,		-		DPD II
(d)	Rotor Over current		-		DPD II
(e)	Stator Over current,.		-		DPD II
(f)	High Wind Speed Shut Down Level		-		DPD II
(g)	Rotor Underspeed		-		DPD II
(h)	Rotor Overspeed		-		DPD II

SCHEDULE 5 - USERS SYSTEM DATA PAGE 8 OF 11

Information for Transient Overvoltage Assessment (DPD I) (PC.A.6.2 CUSC Contract)

The information listed below may be requested by **The Company** from each **User** with respect to any **Connection Site** between that **User** and the **National Electricity Transmission System**. The impact of any third party **Embedded** within the **Users System** should be reflected.

- (a) Busbar layout plan(s), including dimensions and geometry showing positioning of any current and voltage transformers, through bushings, support insulators, disconnectors, circuit breakers, surge arresters, etc. Electrical parameters of any associated current and voltage transformers, stray capacitances of wall bushings and support insulators, and grading capacitances of circuit breakers;
- (b) Electrical parameters and physical construction details of lines and cables connected at that busbar. Electrical parameters of all plant e.g., transformers (including neutral earthing impedance or zig-zag transformers if any), series reactors and shunt compensation equipment connected at that busbar (or to the tertiary of a transformer) or by lines or cables to that busbar;
- (c) Basic insulation levels (BIL) of all **Apparatus** connected directly, by lines or by cables to the busbar;
- (d) Characteristics of overvoltage **Protection** devices at the busbar and at the termination points of all lines, and all cables connected to the busbar;
- (e) Fault levels at the lower voltage terminals of each transformer connected directly or indirectly to the **National Electricity Transmission System** without intermediate transformation;
- (f) The following data is required on all transformers operating at Supergrid Voltage throughout Great Britain and, in Scotland and Offshore, also at 132kV: three or five limb cores or single phase units to be specified, and operating peak flux density at nominal voltage.
- (g) An indication of which items of equipment may be out of service simultaneously during **Planned Outage** conditions.

Harmonic Studies (**DPD I**) (PC.A.6.4 CUSC Contract)

The information given below, both current and forecast, where not already supplied in this Schedule 5 may be requested by **The Company** from each **User** if it is necessary for **The Company** to evaluate the production/magnification of harmonic distortion on the **National Electricity Transmission System** and **User's** systems. The impact of any third party **Embedded** within the **User's System** should be reflected:

(a) Overhead lines and underground cable circuits of the **User's Subtransmission System** must be differentiated and the following data provided separately for each type:

Positive phase sequence resistance

Positive phase sequence reactance

Positive phase sequence susceptance

(b) for all transformers connecting the User's Subtransmission System to a lower voltage:

Rated MVA

Voltage Ratio

Positive phase sequence resistance

Positive phase sequence reactance

SCHEDULE 5 – USERS SYSTEM DATA PAGE 9 OF 11

(c) at the lower voltage points of those connecting transformers:

Equivalent positive phase sequence susceptance

Connection voltage and MVAr rating of any capacitor bank and component design parameters if configured as a filter

Equivalent positive phase sequence interconnection impedance with other lower voltage points

The minimum and maximum Demand (both MW and MVAr) that could occur

Harmonic current injection sources in Amps at the Connection voltage points

Details of traction loads, eg connection phase pairs, continuous variation with time, etc.

(d) an indication of which items of equipment may be out of service simultaneously during **Planned Outage** conditions

Voltage Assessment Studies (DPD I) (PC.A.6.5 CUSC Contract)

The information listed below, where not already supplied in this Schedule 5, may be requested by **The Company** from each **User** with respect to any **Connection Site** if it is necessary for **The Company** to undertake detailed voltage assessment studies (eg to examine potential voltage instability, voltage control co-ordination or to calculate voltage step changes). The impact of any third party **Embedded** within the **Users System** should be reflected:

(a) For all circuits of the User's Subtransmission System:

Positive Phase Sequence Reactance

Positive Phase Sequence Resistance

Positive Phase Sequence Susceptance

MVAr rating of any reactive compensation equipment

(b) for all transformers connecting the User's Subtransmission System to a lower voltage:

Rated MVA

Voltage Ratio

Positive phase sequence resistance

Positive Phase sequence reactance

Tap-changer range

Number of tap steps

Tap-changer type: on-load or off-circuit

AVC/tap-changer time delay to first tap movement

AVC/tap-changer inter-tap time delay

SCHEDULE 5 – USERS SYSTEM DATA PAGE 10 OF 11

(c) at the lower voltage points of those connecting transformers:-

Equivalent positive phase sequence susceptance

MVAr rating of any reactive compensation equipment

Equivalent positive phase sequence interconnection impedance with other lower voltage points

The maximum **Demand** (both MW and MVAr) that could occur

Estimate of voltage insensitive (constant power) load content in % of total load at both winter peak and 75% off-peak load conditions

Short Circuit Analyses: (DPD I) (PC.A.6.6 CUSC Contract)

The information listed below, both current and forecast, and where not already supplied under this Schedule 5, may be requested by **The Company** from each **User** with respect to any **Connection Site** where prospective short-circuit currents on equipment owned by a **Relevant Transmission Licensee** or operated or managed by **The Company** are close to the equipment rating. The impact of any third party **Embedded** within the **User's System** should be reflected:-

(a) For all circuits of the **User's Subtransmission System**:

Positive phase sequence resistance

Positive phase sequence reactance

Positive phase sequence susceptance

Zero phase sequence resistance (both self and mutuals)

Zero phase sequence reactance (both self and mutuals)

Zero phase sequence susceptance (both self and mutuals)

(b) for all transformers connecting the **User's Subtransmission System** to a lower voltage:

Rated MVA

Voltage Ratio

Positive phase sequence resistance (at max, min and nominal tap)

Positive Phase sequence reactance (at max, min and nominal tap)

Zero phase sequence reactance (at nominal tap)

Tap changer range

Earthing method: direct, resistance or reactance

Impedance if not directly earthed

(c) at the lower voltage points of those connecting transformers:-

The maximum **Demand** (in MW and MVAr) that could occur

Short-circuit infeed data in accordance with PC.A.2.5.6(a) unless the **User's** lower voltage network runs in parallel with the **Subtransmission System**, when to prevent double counting in each node infeed data, a π equivalent comprising the data items of PC.A.2.5.6(a) for each node together with the positive phase sequence interconnection impedance between the nodes shall be submitted.

SCHEDULE 5 – USERS SYSTEM DATA PAGE 11 OF 11

Dynamic Models:(DPD II) (PC.A.6.7 ■ CUSC Contract)

The information listed below, both current and forecast, and where not already supplied under this Schedule 5, may be requested by **The Company** from each **EU Code User** or in respect of each **EU Grid Supply Point** with respect to any **Connection Site**

- (a) Dynamic model structure and block diagrams including parameters, transfer functions and individual elements (as applicable)
- (b) Power control functions and block diagrams including parameters, transfer functions and individual elements (as applicable)
- (c) Voltage control functions and block diagrams including parameters, transfer functions and individual elements (as applicable)
- (d) Converter control models and block diagrams including parameters, transfer functions and individual elements (as applicable)

SCHEDULE 6 – USERS OUTAGE INFORMATION PAGE 1 OF 2

DATA DESCRIPTION	UNITS	DATA	to RTL	TIMESCALE	UPDATE	DATA
	on no	271171		COVERED	TIME	CAT.
		CUSC Contract	CUSC App.			
Details are required from Network Operators of proposed outages in their User Systems and from Generators with respect to their outages, which may affect the performance of the Total System (eg. at a Connection Point or constraining Embedded Large Power Stations or constraints to the Maximum Import Capacity or Maximum Export Capacity at an Interface Point) (<i>OC2.4.1.3.2(a) & (b)</i>)		-	Form	Years 2-5	Week 8 (Network Operator etc) Week 13 (Generators)	OC2 OC2
(The Company advises Network Operators of National Electricity Transmission System outages affecting their Systems)				Years 2-5	Week 28)	
Network Operator informs The Company if unhappy with proposed outages)		•		u	Week 30	OC2
(The Company draws up revised National Electricity Transmission System (outage plan advises Users of operational effects)				u	Week 34)	
Generators and Non-Embedded Customers provide Details of Apparatus owned by them (other than Gensets) at each Grid Supply Point (<i>OC2.4.1.3.3</i>)		-		Year 1	Week 13	OC2
(The Company advises Network Operators of outages affecting their Systems) (OC2.4.1.3.3)				Year 1	Week 28)	
Network Operator details of relevant outages affecting the Total System (<i>OC2.4.1.3.3</i>)		-		Year 1	Week 32	OC2
Details of:- Maximum Import Capacity for each Interface Point Maximum Export Capacity for each Interface Point Changes to previously declared values of the Interface Point Target Voltage/Power Factor (OC2.4.1.3.3(c)).	MVA / MW MVA / MW V (unless power factor control			Year 1	Week 32	OC2
(The Company informs Users of aspects that may affect their Systems) (OC2.4.1.3.3)				Year 1	Week 34)	
Users inform The Company if unhappy with aspects as notified (<i>OC2.4.1.3.3</i>)		-		Year 1	Week 36	OC2
(The Company issues final National Electricity Transmission System (outage plan with advice of operational) (<i>OC2.4.1.3.3</i>) (effects on Users System)		•		Year 1	Week 49	OC2
Generator, Network Operator and Non-Embedded Customers to inform The Company of changes to outages previously requested				Week 8 ahead to year end	As occurring	OC2
Details of load transfer capability of 12MW or more between Grid Supply Points in England and Wales and 10MW or more between Grid Supply Points in				Within Yr 0	As The Company request	OC2
Scotland. Details of:- Maximum Import Capacity for each Interface Point Maximum Export Capacity for each Interface Point Changes to previously declared values of the Interface Point Target Voltage/Power Factor Note: Users should refer to OC2 for full d	MVA / MW MVA / MW V (unless power factor control			Within Yr 0	As occurring	OC2

<u>Note:</u> **Users** should refer to **OC2** for full details of the procedure summarised above and for the information which **The Company** will provide on the **Programming Phase**.

SCHEDULE 6 – USERS OUTAGE INFORMATION PAGE 2 OF 2

The data below is to be provided to **The Company** as required for compliance with the European Commission Regulation No 543/2013 (OC2.4.2.3). Data provided under Article Numbers 7.1(a), 7.1(b), 15.1(a), 15.1(b), and 15.1(c) and 15.1(d) is to be provided using **MODIS**.

ECR ARTICLE No.	DATA DESCRIPTION	USERS PROVIDING DATA	FREQUENCY OF SUBMISSION
7.1(a)	Planned unavailability of the Apparatus belonging to a Non-Embedded Customer where OC2.4.7 (a) applies - Energy Identification Code (EIC)* - Unavailable demand capacity during the event (MW) - Estimated start date and time (dd.mm.yy hh:mm) - Estimated end date and time (dd.mm.yy hh:mm) - Reason for unavailability from the list below: . Maintenance . Failure . Shutdown . Other	Non-Embedded Customer	To be received by The Company as soon as reasonably possible but in any case to facilitate publication of data no later than 1 hour after a decision has been made by the Non- Embedded Customer regarding the planned unavailability
7.1(b)	Changes in actual availability of the Apparatus belonging to a Non-Embedded Customer where OC2.4.7 (b) applies - Energy Identification Code (EIC)* - Unavailable demand capacity during the event (MW) - Start date and time (dd.mm.yy hh:mm) - Estimated end date and time (dd.mm.yy hh:mm) - Reason for unavailability from the list below : . Maintenance . Failure . Shutdown . Other	Non-Embedded Customer	To be received by The Company as soon as reasonably possible but in any case to facilitate publication of data no later than 1 hour after the change in actual availability
8.1	Year Ahead Forecast Margin information as provided in accordance with OC2.4.1.2.2	Generator	In accordance with OC2.4.1.2.2
14.1(a)	Registered Capacity or Maximum Capacity for Generating Units or Power Generating Modules with greater than 1 MW Registered Capacity or Maximum Capacity provided in accordance with PC.4.3.1 and PC.A.3.4.3 or PC.A.3.1.4 - Registered Capacity or Maximum Capacity (MW) - Production type (from that listed under PC.A.3.4.3)	Generator	Week 24
14.1(b)	Power Station Registered Capacity for units with equal or greater than 100 MW Registered Capacity provided in accordance with PC.4.3.1 and PC.A.3.4.3 - Power Station name - Location of Generating Unit - Production type (from that listed under PC.A.3.4.3) - Voltage connection levels - Registered Capacity or Maximum Capacity (MW)	Generator	Week 24
14.1(c)	Estimated output of Active Power of a BM Unit or Generating Unit for each per Settlement Period of the next Operational Day provided in accordance with BC1.4.2 - Physical Notification	Generator	In accordance with BC1.4.2

15.1(a)	Planned unavailability of a Generating Unit where OC2.4.7(c) applies - Power Station name - Generating Unit and/or Power Generating Module name - Location of Generating Unit and/or Power Generating Module - Generating Unit Registered Capacity (MW) - Production type (from that listed under PC.A.3.4.3) - Output Usable (MW) during the event - Start date and time (dd.mm.yy hh:mm) - Estimated end date and time (dd.mm.yy hh:mm) - Reason for unavailability from the list below: . Maintenance . Shutdown . Other	Generator	To be received by The Company as soon as reasonably possible but in any case to facilitate publication of data no later than 1 hour after a decision has been made by the Generator regarding the planned unavailability
15.1(b)	Changes in availability of a Generating Unit and/or Power Generating Module where OC2.4.7 (d) applies - Power Station name - Generating Unit and/or Power Generating Module name - Location of Generating Unit and/or Power Generating Module - Generating Unit Registered Capacity and Power Generating Module Maximum Capacity (MW) - Production type(from that listed under PC.A.3.4.3) - Maximum Export Limit (MW) during the event - Start date and time (dd.mm.yy hh:mm) - Estimated end date and time (dd.mm.yy hh:mm) - Reason for unavailability from the list below: . Maintenance . Shutdown . Other	Generator	To be received by The Company as soon as reasonably possible but in any case to facilitate publication of data no later than 1 hour after the change in actual availability
15.1(c)	Planned unavailability of a Power Station where OC2.4.7(e) applies - Power Station name - Location of Power Station - Power Station Registered Capacity (MW) - Production type (from that listed under PC.A.3.4.3) - Power Station aggregated Output Usable (MW) during the event - Start date and time (dd.mm.yy hh:mm) - Estimated end date and time (dd.mm.yy hh:mm) - Reason for unavailability from the list below: . Maintenance . Shutdown . Other	Generator	To be received by The Company as soon as reasonably possible but in any case to facilitate publication of data no later than 1 hour after a decision has been made by the Generator regarding the planned unavailability
15.1(d)	Changes in actual availability of a Power Station where OC2.4.7 (f) applies - Power Station name - Location of Power Station - Power Station Registered Capacity (MW) - Production type (from that listed under PC.A.3.4.3) - Power Station aggregated Maximum Export Limit (MW) during the event - Start date and time (dd.mm.yy hh:mm) - Estimated end date and time (dd.mm.yy hh:mm) - Reason for unavailability from the list below: . Maintenance . Shutdown . Other	Generator	To be received by The Company as soon as reasonably possible but in any case to facilitate publication of data no later than 1 hour after the change in actual availability

* Energy Identification Coding (EIC) is a coding scheme that is approved by ENTSO-E for standardised electronic data interchanges and is utilised for reporting to the Central European Transparency Platform. The Company will act as the Local Issuing Office for IEC in respect of GB.

SCHEDULE 7 - LOAD CHARACTERISTICS AT GRID SUPPLY POINTS PAGE 1 OF 1

All data in this schedule 7 is categorised as **Standard Planning Data** (**SPD**) and is required for existing and agreed future connections. This data is only required to be updated when requested by **The Company**.

					DATA	A FOR	FUTU	JRE Y	EAR	S
DATA DESCRIPTION	UNITS	DAT		Yr 1	Yr 2	Yr 3	Yr 4	Yr 5	Yr 6	Yr 7
		R								
		CUSC Contract	CUSC App.							
			Form							
FOR ALL TYPES OF DEMAND FOR EACH GRID SUPPLY POINT										
The following information is required infrequently										
and should only be supplied, wherever possible,										
when requested by The Company (PC.A.4.7)										
Details of individual loads which have				(Plea	l ase A	l ttach)				
Characteristics significantly different from the				(,				
typical range of domestic or commercial and										
industrial load supplied: (PC.A.4.7(a))										
Sensitivity of demand to fluctuations in voltage										
And frequency on National Electricity										
Transmission System at time of peak Connection Point Demand (Active Power)										
(PC.A.4.7(b))										
(1 0.7.7.7.1(0))										
Voltage Sensitivity (PC.A.4.7(b))	MW/kV									
	MVAr/kV									
Frequency Sensitivity (PC.A.4.7(b))	MW/Hz									
	MVAr/Hz									
Reactive Power sensitivity should relate to the										
Power Factor information given in Schedule 11										
(or for Generators , Schedule 1) and note 6 on										
Schedule 11 relating to Reactive Power therefore										
applies: (PC.A.4.7(b))										
Phase unbalance imposed on the National Electricity Transmission System (PC.A.4.7(d))										
- maximum	%									
- average	%									
~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~										
Maximum Harmonic Content imposed on National										
Electricity Transmission System (PC.A.4.7(e))	1									
Details of any local subjet										
Details of any loads which may cause <b>Demand</b>										
Fluctuations greater than those permitted under										
Engineering Recommendation P28, Stage 1 at the <b>Point of Common Coupling</b> including										
Flicker Severity (Short Term) and Flicker										
Severity (Long Term) (PC.A.4.7(f))										
	1	1	1	1	i	1		1	1	L

#### SCHEDULE 8 - DATA SUPPLIED BY BM PARTICIPANTS PAGE 1 OF 1

CODE	DESCRIPTION
BC1	Physical Notifications
BC1	Quiescent Physical Notifications
BC1 & BC2	Export and Import Limits
BC1	Bid-Offer Data
BC1	Dynamic Parameters (Day Ahead)
BC2	Dynamic Parameters (For use in Balancing Mechanism)
BC1 & BC2	Other Relevant Data
BC1	Joint BM Unit Data

- No information collated under this Schedule will be transferred to the Relevant Transmission Licensees

#### SCHEDULE 9 - DATA SUPPLIED BY THE COMPANY TO USERS PAGE 1 OF 1

(Example of data to be supplied)

CODE	DESCRIPTION
сс	Operation Diagram
сс	Site Responsibility Schedules
РС	Day of the peak National Electricity Transmission System Demand
	Day of the minimum National Electricity Transmission System Demand
OC2	Surpluses and OU requirements for each Generator over varying timescales
	Equivalent networks to Users for Outage Planning
	Negative Reserve Active Power Margins (when necessary)
	Operating Reserve information
BC1	Demand Estimates, Indicated Margin and Indicated Imbalance, indicative Synchronising and Desynchronising times of Embedded Power Stations to Network Operators, special actions.
BC2	Bid-Offer Acceptances, Ancillary Services instructions to relevant Users, Emergency Instructions
BC3	Location, amount, and <b>Low Frequency Relay</b> settings of any <b>Low Frequency</b> <b>Relay</b> initiated <b>Demand</b> reduction for <b>Demand</b> which is <b>Embedded</b> .

- No information collated under this Schedule will be transferred to the **Relevant Transmission** Licensees

#### DATA TO BE SUPPLIED BY THE COMPANY TO USERS

#### PURSUANT TO THE TRANSMISSION LICENCE

1. The **Transmission Licence** requires **The Company** to publish annually the **Seven Year Statement** which is designed to provide **Users** and potential **Users** with information to enable them to identify opportunities for continued and further use of the **National Electricity Transmission System**.

When an **User** is considering a development at a specific site, certain additional information may be required in relation to that site which is of such a level of detail that it is inappropriate to include it in the **Seven Year Statement**. In these circumstances the **User** may contact **The Company** who will be pleased to arrange a discussion and the provision of such additional information relevant to the site under consideration as the **User** may reasonably require.

2. The **Transmission Licence** also requires **The Company** to offer terms for an agreement for connection to and use of the **National Electricity Transmission System** and further information will be given by **The Company** to the potential **User** in the course of the discussions of the terms of such an agreement.

#### SCHEDULE 10 - DEMAND PROFILES AND ACTIVE ENERGY DATA PAGE 1 OF 2

The following information is required from each **Network Operator** and from each **Non-Embedded Customer**. The data should be provided in calendar week 24 each year (although **Network Operators** may delay the submission until calendar week 28).

									DATA CAT
0	1	2	3	4	5	6	/	TIME	
(PC.A.4.	2) (∎ – C	USC Co	ntract &	CUSC A	Application	Form)	I	I	I
Day of He	or's ann	l uol Movir	l num don		nnual AC	S Conditi		1	
									ACS
		it of <b>Hati</b>			anonnoon	on oyster	ii Deina		A00
		imum <b>Na</b>	tional El	ectricity	Transmis	sion Syst	em Dem	and at avera	ge conditions
(MW)				-		-			-
								Wk.24	SPD
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	Day of Us Day of an Condition Day of an	0 1 (PC.A.4.2) (■ – C Day of User's ann Day of annual pea Conditions (MW) Day of annual min	0 1 2 (PC.A.4.2) (■ – CUSC Co. Day of <b>User's</b> annual Maxir Day of annual peak of <b>National Conditions</b> (MW) Day of annual minimum <b>Na</b>	0 1 2 3 (PC.A.4.2) (■ – CUSC Contract & ■ Day of User's annual Maximum dem Day of annual peak of National Elec Conditions (MW) Day of annual minimum National Elec	0 1 2 3 4 (PC.A.4.2) (= – CUSC Contract & = CUSC A Day of User's annual Maximum demand at A Day of annual peak of National Electricity To Conditions (MW) Day of annual minimum National Electricity	0 1 2 3 4 5 (PC.A.4.2) (= – CUSC Contract & = CUSC Application Day of User's annual Maximum demand at Annual AC Day of annual peak of National Electricity Transmissi Conditions (MW) Day of annual minimum National Electricity Transmis	0 1 2 3 4 5 6 (PC.A.4.2) (= - CUSC Contract & CUSC Application Form) Day of User's annual Maximum demand at Annual ACS Condition Day of annual peak of National Electricity Transmission System Conditions (MW) Day of annual minimum National Electricity Transmission System	0 1 2 3 4 5 6 7 (PC.A.4.2) (= - CUSC Contract & CUSC Application Form) Day of User's annual Maximum demand at Annual ACS Conditions (MW) Day of annual peak of National Electricity Transmission System Demand Conditions (MW) Day of annual minimum National Electricity Transmission System Demanded Day of Annual Maximum Annual ACS Conditions (MW)	0       1       2       3       4       5       6       7       TIME         (PC.A.4.2) (I – CUSC Contract & CUSC Application Form)         Day of User's annual Maximum demand at Annual ACS Conditions (MW)         Day of annual peak of National Electricity Transmission System Demand at Annual Conditions (MW)         Day of annual minimum National Electricity Transmission System Demand at average (MW)

#### SCHEDULE 10 - DEMAND PROFILES AND ACTIVE ENERGY DATA PAGE 2 OF 2

DATA DESCRIPTION	Out	-turn	F.Yr.	Update	Data Cat	DATA to RTL
	Actual	Weather Corrected.	0	Time		
(PC.A.4.3)						CUSC CUSC Contract App. Form
Active Energy Data				Week 24	SPD	
Total annual <b>Active Energy</b> requirements under average conditions of each <b>Network</b> <b>Operator</b> and each <b>Non-</b> <b>Embedded Customer</b> in the following categories of <b>Customer</b> Tariff:-						••
LV1 LV2 LV3 EHV HV Traction Lighting <b>User System</b> Losses						
Active Energy from Embedded Small Power Stations and Embedded Medium Power Stations						•••

#### NOTES:

- 1. 'F. yr.' means 'Financial Year'
- 2. Demand and Active Energy Data (General)

**Demand** and **Active Energy** data should relate to the point of connection to the **National Electricity Transmission System** and should be net of the output (as reasonably considered appropriate by the **User**) of all **Embedded Small Power Stations**, **Medium Power Stations** and **Customer Generating Plant**. Auxiliary demand of **Embedded Power Stations** should be included in the demand data submitted by the **User** at the **Connection Point**. **Users** should refer to the **PC** for a full definition of the **Demand** to be included.

- 3. **Demand** profiles and **Active Energy** data should be for the total **System** of the **Network Operator**, including all **Connection Points**, and for each **Non-Embedded Customer**. **Demand Profiles** should give the numerical maximum demand that in the **User**'s opinion could reasonably be imposed on the **National Electricity Transmission System**.
- 4. In addition the demand profile is to be supplied for such days as **The Company** may specify, but such a request is not to be made more than once per calendar year.

#### SCHEDULE 11 - CONNECTION POINT DATA PAGE 1 OF 5

The following information is required from each **Network Operator** and from each **Non-Embedded Customer**. The data should be provided in calendar week 24 each year (although **Network Operators** may delay the submission until calendar week 28).

# Table 11(a)

#### **Connection Point:**

Connection Point Demand at the time of -	a) maximum <b>Demand</b>
(select each one in turn)	b) peak National Electricity Transmission System Demand (specified
(Provide data for each Access Period	by <b>The Company</b> )
associated with the Connection Point)	c) minimum National Electricity Transmission System Demand
	(specified by <b>The Company</b> )
	d) maximum Demand during Access Period
	e) specified by either The Company or an User
Name of Transmission Interface Circuit out	PC.A.4.
of service during Access Period (if regd).	1.4.2

DATA DESCRIPTION (CUSC Contract □ & CUSC Application Form ■)	Outtur n	Outturn	F.Yr	F.Yr	F.Yr.	F.Yr.	F.Yr.	F.Yr	F.Yr	F.Yr	DATA CAT
		Weather Corrected	1	2	3	4	5	6	7	8	
Date of a), b), c), d) or e) as denoted above.									-		PC.A.4. 3.3
Time of a), b), c), d) or e) as denoted above.											PC.A.4. 3.3
Connection Point Demand (MW)											PC.A.4. 3.1
Connection Point Demand (MVAr)											PC.A.4. 3.1
Deduction made at <b>Connection Point</b> for <b>Small Power Stations</b> , <b>Medium Power</b> <b>Stations</b> and <b>Customer Generating Plant</b> (MW)											PC.A.4. 3.2(a)
Reference to valid Single Line Diagram											PC.A.4. 3.5
Reference to node and branch data.											PC.A.2. 2

Note: The following data block can be repeated for each post fault network revision that may impact on the Transmission System.

Reference to post-fault revision of <b>Single</b> Line Diagram						PC.A.4. 5
Reference to post-fault revision of the node and branch data associated with the <b>Single</b> Line Diagram						PC.A.4. 5
Reference to the description of the actions and timescales involved in effecting the post- fault actions (e.g. auto-switching, manual, teleswitching, overload protection operation etc)						PC.A.4. 5

Access Group:			
Note: The following data block to be repeated for each Connection Po	nt with the Access Group	p.	
Name of associated <b>Connection Point</b> within the same <b>Access Group:</b>			PC.A.4. 3.1
Demand at associated Connection Point (MW)			PC.A.4. 3.1
Demand at associated Connection Point (MVAr)			PC.A.4. 3.1
Deduction made at associated Connection Point for Small Power Stations, Medium Power Stations and Customer Generating			PC.A.4. 3.2(a)

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Plant (MW)

#### SCHEDULE 11 - CONNECTION POINT DATA PAGE 2 OF 5

			Emb	oedded (	Generat	ion Data	3				
Connection Point:											
DATA DESCRIPTION	Outturn	Outturn Weather	F.Yr	F.Yr	F.Yr.	F.Yr.	F.Yr.	F.Yr	F.Yr	F.Yr	DATA CAT
		Correcte d	1	2	3	4	5	6	7	8	
Small Power		Connecti								ons,	
Station, Medium		Power Sta		r Custor	ner Gen	erating	Station	s the foll	owing		
Power Station	informati	on is requi	red:								
and <b>Customer</b> Generation											
Summary											
No. of Small								1			PC.A.3.1.
Power Stations,											4(a)
Medium Power											.(0)
Stations or											
Customer Power											
Stations											
Number of											PC.A.3.1.
Generating Units											4(a)
within these											
stations											
Summated											PC.A.3.1.
Capacity of all											4(a)
these Generating											
Units											
Where the <b>Network</b>	Operator'	's System	places a	a constra	int on th	e capaci	ity of an	Embedo	ded Larg	je	
Power Station	-	-	-				-		_	-	
Station Name											PC.A.3.2.
											2(c) PC.A.3.2.
Generating Unit											2(c)
System											PC.A.3.2.
Constrained											2(c)(i)
Capacity				ļ							
Reactive											PC.A.3.2.
Despatch											2(c)(ii)
Network											
Restriction											

Where the Network	Operator'	s System	places a	constra	int on th	e capaci	ty of an	Offshor	е	
Transmission Syst	em at an Ir	nterface P	oint							
Offshore										PC.A.3.2.
Transmission										2(c)
System Name										
Interface Point										PC.A.3.2.
Name										2(c)
Maximum Export										PC.A.3.2.
Capacity										2(c)
Maximum Import										PC.A.3.2.
Capacity										2(c)

# <u> Table 11(b)</u>

	Loss of mains protection settings	PC.A.3.1.4 (a)						
nissions.	Loss of mains protection type	PC.A.3.1.4 (a)						
eek 24 data subr	Control mode voltage target and reactive range or target pf (as appropriate)	PC.A.3.1.4 (a)						
ne with the W	Control mode	PC.A.3.1.4 (a)						
fective 2015 in li	Where it generates electricity from wind or PV, the geographical location of the primary or higher voltage substation to which it connects	PC.A.3.1.4 (a)						
and above, the following information is required, effective 2015 in line with the Week 24 data submissions.	Lowest voltage node on the most up-to-date Single Line Diagram to which it connects or where it will export most of its power	PC.A.3.1.4 (a)						
following informa	Registered capacity in MW (as MW (as defined in the <b>Distribution</b> Code)	PC.A.3.1.4 (a)						
ove, the	CHP (Y/N)	PC.A.3 .1.4						
	Technology Type type	PC.A.3.1.4 (a)						
For each Embedded Small Power Station of 1MW	Generator unit Reference	PC.A.3.1.4 (a)						
dded Small P	Connection Date (Financial Year for generator connecting after week 24 2015)							
or each <b>Embe</b>	An Embedded Small Power Station reference unique to each Network Operator	PC.A.3.1.4 (a)						
ш	DESCRIPTION	DATA CAT						

# SCHEDULE 11 - CONNECTION POINT DATA PAGE 3 OF 5

Table 11(c)

#### SCHEDULE 11 - CONNECTION POINT DATA PAGE 4 OF 5

#### NOTES:

- 1. 'F.Yr.' means 'Financial Year'. F.Yr. 1 refers to the current financial year.
- All Demand data should be net of the output (as reasonably considered appropriate by the User) of all Embedded Small Power Stations, Medium Power Stations and Customer Generating Plant. Generation and / or Auxiliary demand of Embedded Large Power Stations should not be included in the demand data submitted by the User. Users should refer to the PC for a full definition of the Demand to be included.
- 3. Peak **Demand** should relate to each **Connection Point** individually and should give the maximum demand that in the **User's** opinion could reasonably be imposed on the **National Electricity Transmission System**. **Users** may submit the **Demand** data at each node on the **Single Line Diagram** instead of at a **Connection Point** as long as the **User** reasonably believes such data relates to the peak (or minimum) at the **Connection Point**.

In deriving **Demand** any deduction made by the **User** (as detailed in note 2 above) to allow for **Embedded Small Power Stations**, **Medium Power Stations** and **Customer Generating Plant** is to be specifically stated as indicated on the Schedule.

- 4. The Company may at its discretion require details of any Embedded Small Power Stations or Embedded Medium Power Stations whose output can be expected to vary in a random manner (eg. wind power) or according to some other pattern (eg. tidal power)
- 5. Where more than 95% of the total **Demand** at a **Connection Point** is taken by synchronous motors, values of the **Power Factor** at maximum and minimum continuous excitation may be given instead. **Power Factor** data should allow for series reactive losses on the **User's System** but exclude reactive compensation network susceptance specified separately in Schedule 5.
- 6. Where a **Reactive Despatch Network Restriction** is in place which requires the generator to maintain a target voltage set point this should be stated as an alternative to the size of the **Reactive Despatch Network Restriction**.

#### SCHEDULE 11 - CONNECTION POINT DATA PAGE 5 OF 5

<u>Table 11 (d)</u>

# Embedded Small Power Stations <1MW

Network	
Operator	

Fuel Type	Aggregate Registered Capacity Total MW	Number of PGMs	Comments
Biomass			
Fossil brown coal/lignite			
Fossil coal-derived gas			
Fossil gas			
Fossil hard coal			
Fossil oil			
Fossil oil shale			
Fossil peat			
Geothermal			
Hydro pumped storage			
Hydro run-of-river and poundage			
Hydro water reservoir			
Marine			
Nuclear			
Other renewable			
Solar			
Waste			
Wind offshore			
Wind onshore			
<u>Other</u>			

#### SCHEDULE 12 - DEMAND CONTROL PAGE 1 OF 2

The following information is required from each **Network Operator** and where indicated with an asterisk from **Externally Interconnected System Operators** and/or **Interconnector Users** and a **Pumped Storage Generator**. Where indicated with a double asterisk, the information is only required from **Suppliers**.

DATA DESCRIPTION	UNITS		UPDATE TIME	
Demand Control Demand met or to be relieved by				
Demand Control (averaging at the Demand Control Notification Level or more over a half hour) at each Connection Point.				
<b>Demand Control</b> at time of <b>National</b> <b>Electricity Transmission System</b> weekly peak demand				
Amount Duration	MW Min	)F.yrs 0 to 5 )	Week 24	OC1
For each half hour	MW	Wks 2-8 ahead	1000 Mon	OC1
For each half hour	MW	Days 2-12 ahead	1200 Wed	OC1
For each half hour	MW	Previous calendar day	0600 daily	OC1
**Customer Demand Management (at the Customer Demand Management Notification Level or more at the Connection Point)				
For each half hour	MVV	Any time in Control Phase		OC1
For each half hour	MW	Remainder of period	When changes occur to previous plan	OC1
For each half hour	MW	Previous calendar day	0600 daily	OC1
**In Scotland, <b>Load Management</b> <b>Blocks</b> For each block of 5MW or more, for each half hour	MW	For the next day	11:00	OC1

### SCHEDULE 12 - DEMAND CONTROL PAGE 2 OF 2

	TIME COVERED	UPDATE TIME	DATA CAT.
MW	Year ahead from week 24	Week 24	DPD I
Hz	"	n	n
S	n	"	"
S	n	n	n
Text	Year ahead from week 24	Annual in week 24	OC6
MW	n	n	"
% % %	11 11 11 11	" " "	"
%	"	"	"
	Hz S S Text MW	week 24 Hz " S " S " Text Year ahead from week 24 MW " Year ahead from week 24 MW "	week 24         "           Hz         "         "           S         "         "           S         "         "           S         "         "           Text         Year ahead from week 24         Annual in week 24           MW         "         "           MW         "         "           %         "         "           %         "         "           %         "         "           %         "         "

Notes:

- 1. **Network Operators** may delay the submission until calendar week 28.
- 2. No information collated under this Schedule will be transferred to the **Relevant Transmission Licensees** (or **Generators** undertaking **OTSDUW**).

### SCHEDULE 12A - AUTOMATIC LOW FREQUENCY DEMAND DISCONNECTION PAGE 1 OF 1

Time Covered: Year ahead from week 24 Update Time: Annual in week 24 Data Category: OC6

	GSP		L	ow Freque	ency Dema	and Discor	nnection B	locks MW			Residual
	Demand	1	2	3	4	5	6	7	8	9	demand
Grid Supply Point	MW	48.8Hz	48.75Hz	48.7Hz	48.6Hz	48.5Hz	48.4Hz	48.2Hz	48.0Hz	47.8Hz	MW
GSP1											
GSP2											
GSP3											
Total demand discon per block	nected MW %										
Total demand discon	nection	MW (	% of aggre	egate dem	and of	MW)					

Note:

All demand refers to that at the time of forecast **National Electricity Transmission System** peak demand.

Network Operators may delay the submission until calendar week 28

No information collated under this schedule will be transferred to the **Relevant Transmission Licensees** (or **Generators** undertaking **OTSDUW**).

### SCHEDULE 13 - FAULT INFEED DATA PAGE 1 OF 2

The data in this Schedule 13 is all **Standard Planning Data**, and is required from all **Users** other than **Generators** who are connected to the **National Electricity Transmission System** via a **Connection Point** (or who are seeking such a connection). A data submission is to be made each year in Week 24 (although **Network Operators** may delay the submission until Week 28). A separate submission is required for each node included in the **Single Line Diagram** provided in Schedule 5.

DATA DESCRIPTION	UNITS	F.Yr 0	F.Yr. 1	F.Yr. 2	F.Yr. 3	F.Yr. 4	F.Yr. 5	F.Yr. 6	F.Yr. 7	DAT. RT	
SHORT CIRCUIT INFEED TO NATIONAL ELECTRICITY TRANSMISSION SYSTEM FRO USERS SYSTEM AT A CONNE POINT	OM	0	1	2	3	4	3	0	ľ	CUSC Contract	CUSC App. Form
(PC.A.2.5)											
Name of node or <b>Connection Point</b>											•
Symmetrical three phase short-circuit current infeed											
- at instant of fault	kA										
<ul> <li>after subtransient fault current contribution has substantially decayed</li> </ul>	Ка										•
Zero sequence source impedances as seen from the <b>Point of Connection</b> or node on the <b>Single Line</b> <b>Diagram</b> (as appropriate) consistent with the maximum infeed above:											
- Resistance	% on 100										•
- Reactance	% on 100										•
Positive sequence X/R ratio at instance of fault											•
Pre-Fault voltage magnitude at which the maximum fault currents were calculated	p.u.										•

### SCHEDULE 13 - FAULT INFEED DATA PAGE 2 OF 2

DATA DESCRIPTION	UNITS	F.Yr 0	F.Yr. 1	F.Yr. 2	F.Yr. 3	F.Yr. 4	F.Yr. 5	F.Yr. 6	F.Yr. 7	DAT. RT	
SHORT CIRCUIT INFEED TO NATIONAL ELECTRICITY TRANSMISSION SYSTEM FROM USERS SYSTEM AT A CONNER POINT Negative sequence impedances of User's System as seen from the Point of Connection or node on the Single Line Diagram (as appropriate). If no data is given, it will be assumed that they are equal to the positive sequence	DM									CUSC Contract	CUSC App. Form
values. - Resistance	% o 100	n									•
- Reactance	% o 100	n									■

### SCHEDULE 14 - FAULT INFEED DATA (GENERATORS INCLUDING UNIT TRANSFORMERS AND STATION TRANSFORMERS) PAGE 1 OF 5

The data in this Schedule 14 is all **Standard Planning Data**, and is to be provided by **Generators**, with respect to all directly connected **Power Stations**, all **Embedded Large Power Stations** and all **Embedded Medium Power Stations** connected to the **Subtransmission System**. A data submission is to be made each year in Week 24.

### Fault infeeds via Unit Transformers

A submission should be made for each **Generating Unit** (including those which are part of a **Synchronous Power Generating Module**) with an associated **Unit Transformer**. Where there is more than one **Unit Transformer** associated with a **Generating Unit**, a value for the total infeed through all **Unit Transformers** should be provided. The infeed through the **Unit Transformer(s)** should include contributions from all motors normally connected to the **Unit Board**, together with any generation (eg **Auxiliary Gas Turbines**) which would normally be connected to the **Unit Board**, and should be expressed as a fault current at the **Generating Unit** terminals for a fault at that location.

DATA DESCRIPTION	UNITS	F.Yr. 0	F.Yr. 1	F.Yr 2	F.Yr. 3	F.Yr. 4	F.Yr. 5	F.Yr. 6	F.Yr. 7	DAT R	
(PC.A.2.5)				•		•		-		CUSC Contract	CUSC App. Form
Name of Power Station											
Number of Unit Transformer											-
Symmetrical three phase short- circuit current infeed through the <b>Unit Transformers</b> (s) for a fault at the <b>Generating Unit</b> terminals											
- at instant of fault	kA										•
<ul> <li>after subtransient fault current contribution has substantially decayed</li> </ul>	kA										•
Positive sequence X/R ratio at instance of fault											•
Subtransient time constant (if significantly different from 40ms)	ms										•
Pre-fault voltage at fault point (if different from 1.0 p.u.)											•
The following data items need only be supplied if the <b>Generating Unit</b> Step-up Transformer can supply zero sequence current from the <b>Generating Unit</b> side to the <b>National Electricity</b> <b>Transmission System</b>											
Zero sequence source impedances as seen from the <b>Generating Unit</b> terminals consistent with the maximum infeed above:											
- Resistance	% on 100										•
- Reactance	% on 100										•

### SCHEDULE 14 - FAULT INFEED DATA (GENERATORS INCLUDING UNIT TRANSFORMERS AND STATION TRANSFORMERS) PAGE 2 OF 5

### Fault infeeds via Station Transformers

A submission is required for each **Station Transformer** directly connected to the **National Electricity Transmission System**. The submission should represent normal operating conditions when the maximum number of **Gensets** are **Synchronised** to the **System**, and should include the fault current from all motors normally connected to the **Station Board**, together with any Generation (eg **Auxiliary Gas Turbines**) which would normally be connected to the **Station Board**. The fault infeed should be expressed as a fault current at the hv terminals of the **Station Transformer** for a fault at that location.

If the submission for normal operating conditions does not represent the worst case, then a separate submission representing the maximum fault infeed that could occur in practice should be made.

DATA DESCRIPTION	UNITS	F.Yr.	DATA	to							
		0	1	2	3	4	5	6	7	RTL	
(PC.A.2.5)										CUSC Contract	CUSC App. Form
Name of Power Station											•
Number of Station Transformer				-							-
Symmetrical three phase short-circuit current infeed for a fault at the <b>Connection Point</b>											
- at instant of fault	kA										-
<ul> <li>after subtransient fault current contribution has substantially decayed</li> </ul>	kA										•
Positive sequence X/R ratio At instance of fault											-
Subtransient time constant (if significantly different from 40ms)	mS										•
Pre-fault voltage (if different from 1.0 p.u.) at fault point (See note 1)											•
Zero sequence source Impedances as seen from the <b>Point of Connection</b> Consistent with the maximum Infeed above:											
- Resistance	% on 100										-
- Reactance	% on 100										-

Note 1. The pre-fault voltage provided above should represent the voltage within the range 0.95 to 1.05 that gives the highest fault current

Note 2. % on 100 is an abbreviation for % on 100 MVA

### SCHEDULE 14 - FAULT INFEED DATA (GENERATORS INCLUDING UNIT TRANSFORMERS AND STATION TRANSFORMERS) PAGE 3 OF 5

### Fault infeeds from Power Park Modules

A submission is required for the whole **Power Park Module** and for each **Power Park Unit** type or equivalent. The submission shall represent operating conditions that result in the maximum fault infeed. The fault current from all motors normally connected to the **Power Park Unit's** electrical system shall be included. The fault infeed shall be expressed as a fault current at the terminals of the **Power Park Unit**, or the **Common Collection Busbar** if an equivalent **Single Line Diagram** and associated data as described in PC.A.2.2.2 is provided, and the **Grid Entry Point**, or **User System Entry Point** if **Embedded**, for a fault at the **Grid Entry Point**, or **User System Entry Point** if **Embedded**.

Should actual data in respect of fault infeeds be unavailable at the time of the application for a **CUSC Contract** or **Embedded Development Agreement**, a limited subset of the data, representing the maximum fault infeed that may result from all of the plant types being considered, shall be submitted. This data will, as a minimum, represent the root mean square of the positive, negative and zero sequence components of the fault current for both single phase and three phase solid faults at the **Grid Entry Point** (or **User System Entry Point** if **Embedded**) at the time of fault application and 50ms following fault application. Actual data in respect of fault infeeds shall be submitted to **The Company** as soon as it is available, in line with PC.A.1.2

DATA DESCRIPTION	<u>UNITS</u>	F.Yr.	<u>F.Yr.</u>	<u>F.Yr.</u>	<u>F.Yr.</u>	F.Yr.	F.Yr.	F.Yr.	F.Yr.		A to
		<u>0</u>	<u>1</u>	<u>2</u>	<u>3</u>	4	<u>5</u>	<u>6</u>	<u>7</u>	R	
(PC.A.2.5)										CUSC Contract	CUSC App. Form
Name of Power Station											•
Name of Power Park Module				-							•
Power Park Unit type		1		-							•
<ul> <li>A submission shall be provided for the contribution of the entire Power Park</li> <li>Module and each type of Power Park</li> <li>Unit or equivalent to the positive, negative and zero sequence components of the short circuit current at the Power Park Unit terminals, or Common Collection Busbar, and Grid Entry Point or User System</li> <li>Entry Point if Embedded for</li> <li>(i) a solid symmetrical three phase short circuit</li> <li>(ii) a solid single phase to earth short circuit</li> <li>(iii) a solid two phase to earth short circuit</li> <li>(iv) a solid two phase to earth short circuit</li> <li>at the Grid Entry Point or User System Entry Point if Embedded.</li> </ul>											-
If protective controls are used and active for the above conditions, a submission shall be provided in the limiting case where the protective control is not active. This case may											-
require application of a non-solid fault, resulting in a retained voltage at the fault point.											

### SCHEDULE 14 - FAULT INFEED DATA (GENERATORS INCLUDING UNIT TRANSFORMERS AND STATION TRANSFORMERS) PAGE 4 OF 5

DATA DESCRIPTION	<u>UNITS</u>	<u>F.Yr.</u> <u>0</u>	<u>F.Yr.</u> <u>1</u>	<u>F.Yr.</u> <u>2</u>	<u>F.Yr.</u> <u>3</u>	<u>F.Yr.</u> <u>4</u>	<u>F.Yr.</u> <u>5</u>	<u>F.Yr.</u> <u>6</u>	<u>F.Yr.</u> <u>7</u>	DATA to	DATA DESCRIPTION
			_	_	_	_	_	_	_	RTL	
										CUSC Contract	CUSC App. Form
- A continuous time trace and table showing the root mean square of the positive, negative and zero sequence components of the fault current from the time of fault inception to 140ms after fault inception at 10ms intervals	Graphical and tabular kA versus s										
- A continuous time trace and table showing the positive, negative and zero sequence components of retained voltage at the terminals or <b>Common</b> <b>Collection</b> <b>Busbar</b> , if appropriate	p.u. versus s										
- A continuous time trace and table showing the root mean square of the positive, negative and zero sequence components of retained voltage at the fault point, if appropriate	p.u. versus s										

### SCHEDULE 14 - FAULT INFEED DATA (GENERATORS INCLUDING UNIT TRANSFORMERS AND STATION TRANSFORMERS) PAGE 5 OF 5

DATA	<u>UNITS</u>	<u>F.Yr.</u>	DATA	DATA							
DESCRIPTION		<u>0</u>	1	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>	to RTL	DESCRIPTION
										CUSC Contract	CUSC App. Form
For <b>Power Park Units</b> that utilise a protective control, such as a crowbar circuit,	% on										
- additional rotor resistance applied to the <b>Power Park</b> <b>Unit</b> under a fault	MVA % on										•
situation - additional rotor reactance applied to the <b>Power Park Unit</b> under a fault situation.	MVA										•
Positive sequence X/R ratio of the equivalent at time of fault at the Common Collection Busbar											•
Minimum zero sequence impedance of the equivalent at a Common Collection Busbar											-
Active Power generated pre-fault	MW										-
Number of <b>Power Park</b> <b>Units</b> in equivalent generator											-
Power Factor (lead or lag)											•
Pre-fault voltage (if different from 1.0 p.u.) at fault point (See note 1)	p.u.										•
Items of reactive compensation switched in pre-fault											•

Note 1. The pre-fault voltage provided above should represent the voltage within the range 0.95 to 1.05 that gives the highest fault current

INCLUDING MOTHBALLED DC CONNECTED POWER PARK MODULES), MOTHBALLED HVDC SYSTEMS, MOTHBALLED HVDC MOTHBALLED POWER GENERATING MODULES, MOTHBALLED GENERATING UNIT, MOTHBALLED POWER PARK MODULE The following data items must be supplied with respect to each Mothballed Power Generating Module, Mothballed Generating Unit, Mothballed Power Park Module (including Mothballed DC Connected Power Park Modules), Mothballed HVDC Systems, CONVERTERS OR MOTHBALLED DC CONVERTER AT A DC CONVERTER STATION AND ALTERNATIVE FUEL DATA Mothballed HVDC Converters or Mothballed DC Converters at a DC Converter station

Generating Unit, Power Park Module or DC Converter Name (e.g. Unit

Power Station

DATA	UNITS DATA CAT	DATA CAT			GENE	GENERATING UNIT DATA	DATA		
z			7	1-2	2-3	3-6	6-12	>12	Total MW
			month	months	months	months	months	months	being
									returned
MW output	MM								
that can be									
returned to									
service									

Notes

- Mothballed HVDC Systems, Mothballed HVDC Converters or Mothballed DC Converter at a DC Converter Station to service once The time periods identified in the above table represent the estimated time it would take to return the Mothballed Power Generating Module, Mothballed Generating Unit, Mothballed Power Park Module (Mothballed DC Connected Power Park Modules), a decision to return has been made. .-
  - Converter at a DC Converter Station can be physically returned in stages covering more than one of the time periods identified in the Mothballed DC Connected Power Park Module), Mothballed HVDC System, Mothballed HVDC Converter or Mothballed DC Where a Mothballed Power Generating Module, Mothballed Generating Unit, Mothballed Power Park Module (including a above table then information should be provided for each applicable time period. ц сі
    - The estimated notice to physically return MW output to service should be determined in accordance with Good Industry Practice assuming normal working arrangements and normal plant procurement lead times. *с*і.
- The MW output values in each time period should be incremental MW values, e.g. if 150MW could be returned in 2 3 months and an additional 50MW in 3 – 6 months then the values in the columns should be Nil, Nil, 150, 50, Nil, 200 respectively. 4.
- Mothballed DC Converter at a DC Converter Station achieving the estimated values provided in this table, excluding factors relating Significant factors which may prevent the Mothballed Power Generating Module, Mothballed Generating Unit, Mothballed Power Park Module (Mothballed DC Connected Power Park Modue). Mothballed HVDC System, Mothballed HVDC Converter or to Transmission Entry Capacity, should be appended separately. ы. С

### SCHEDULE 15 – MOTHBALLED POWER GENERATING MODULE, MOTHBALLED GENERATING UNIT, MOTHBALLED POWER PARK MODULE (INCLUDING MOTHBALLED DC CONNECTED POWER PARK MODULES), MOTHBALLED HVDC SYSTEMS, MOTHBALLED HVDC CONVERTERS, MOTHBALLED DC CONVERTERS AT A DC CONVERTER STATION AND ALTERNATIVE FUEL DATA PAGE 1 OF 3

## ALTERNATIVE FUEL INFORMATION

The following data items for alternative fuels need only be supplied with respect to each Generating Unit whose primary fuel is gas ncluding thos which form part of a Power Generating Module.

DATA DESCRIPTION		Generating Unit Name (e.g. Unit 1)			
	UNITS DATA CAT	A_	GENERATIN	GENERATING UNIT DATA	
		-	2	Э	4
Alternative Fuel Type Text (*please specify)	II QAQ	O II Oil distillate	e Other gas*	Other*	Other*
CHANGEOVER TO ALTERNATIVE FUEL					
For off-line changeover:					
Time to carry out off-line fuel changeover Minutes	s DPD II	10			
Maximum output following off-line changeover MW	DPD II	=			
For on-line changeover:					
Time to carry out on-line fuel changeover Minutes	s DPD II	=			
Maximum output during on-line fuel changeover MW	II QAQ	=			
Maximum output following on-line changeover MW	DPD II	=			
Maximum operating time at full load assuming:					
Typical stock levels Hours	II DAD	=			
Maximum possible stock levels Hours		110			
Maximum rate of replacement of depleted stocks of MWh(e alternative fuels on the basis of <b>Good Industry</b> /day <b>Practice</b>	MWh(electrical) DPD II /day	=			
Is changeover to alternative fuel used in normal Text operating arrangements?	DPD II	=			
Number of successful changeovers carried out in		0 / 1-2 /	0 / 1-2 /	0 / 1-5 /	0 / 1-5 /
the last <b>The Company Financial Year</b> Text (** delete as appropriate)	II QAQ	0 II 6-10 / 11-20 / >>20 **	0/ 6-10/11-20/ >20 **	6-10 / 11-20 / >20 **	6-10 / 11-20 / >20 **

SCHEDULE 15 – MOTHBALLED POWER GENERATING MODULES, MOTHBALLED GENERATING UNIT, MOTHBALLED POWER PARK MODULE (INCLUDING DC CONNECTED POWER PARK MODULES), MOTHBALLED HVDC SYSTEMS, MOTHBALLED HVDC CONVERTERS, MOTHBALLED DC CONVERTERS AT A DC CONVERTER STATION AND ALTERNATIVE FUEL DATA PAGE 2 OF 3

### SCHEDULE 15 – MOTHBALLED POWER GENERATING MODULES, MOTHBALLED GENERATING UNIT, MOTHBALLED POWER PARK MODULE (INCLUDING MOTHBALLED DC CONNECTED POWER PARK MODULES), MOTHBALLED HVDC SYSTEMS, MOTHBALLED HVDC CONVERTERS MOTHBALLED DC CONVERTERS AT A DC CONVERTER STATION AND ALTERNATIVE FUEL DATA PAGE 3 OF 3

CHANGEOVER BACK TO MAIN FUEL For off-line changeover: Time to carry out off-line fuel channenver For on-line changeover: Time to carry out on-line fuel channenver channenver channenver channenver	DATA DESCRIPTION	UNITS	DATA CAT		GENERATING UNIT DATA	UNIT DATA	
O MAIN FUEL ine fuel ine fuel ine fuel				Ļ	2	3	7
ine fuel ine fuel ine fuel	EOVER BACK TO MAIN FUEL						
ine fuel ine fuel no on-line fuel	ne changeover:						
ine fuel		(0					
li el	ne changeover:						
fuel		ű					
	-	n					
	num output auring on-line ruel MW						

Notes

- Where a Generating Unit has the facilities installed to generate using more than one alternative fuel type details of each alternative fuel should be given. <u>-</u>
  - Significant factors and their effects which may prevent the use of alternative fuels achieving the estimated values provided in this table (e.g. emissions limits, distilled water stocks etc.) should be appended separately <u>с</u>і

- No information collated under this Schedule will be transferred to the **Relevant Transmission Licensees** Issue 5 Revision 33 DRC 5 April 2019 79 of 107

### SCHEDULE 16 - BLACK START INFORMATION PAGE 1 OF 1

BLACK START INFORMATION		
The following data/text items are required from each <b>Generator</b> for each <b>BM Unit</b> at a <b>Large Power Station</b> as detailed in PC.A.5.7. Data is not required for <b>Generating Units</b> that are contracted to provide <b>Black Start Capability</b> , <b>Power Generating Modules Power Park Modules</b> or <b>Generating Units</b> that have an <b>Intermittent Power Source</b> . The data should be provided in accordance with PC.A.1.2 and also, where possible, upon request from <b>The Company</b> during a <b>Black Start</b> .	led in PC.A.5.7 <b>Park Modules</b> e possible, upoi	Data is not or Generating
Data Description (PC.A.5.7) (■ CUSC Contract)	Units	Data Category
Assuming all <b>BM Units</b> were running immediately prior to the <b>Total Shutdown</b> or <b>Partial Shutdown</b> and in the event of loss of all external power supplies, provide the following information:		
a) Expected time for the first and subsequent <b>BM Units</b> to be <b>Synchronised</b> , from the restoration of external power supplies, assuming external power supplies are not available for up to 24hrs	Tabular or Graphical	II QAQ
b) Describe any likely issues that would have a significant impact on a <b>BM Unit's</b> time to be <b>Synchronised</b> arising as a direct consequence of the inherent design or operational practice of the <b>Power Station</b> and/or <b>BM Unit</b> , e.g. limited barring facilities, time from a <b>Total Shutdown</b> or <b>Partial Shutdown</b> at which batteries would be discharged.	Text	II DPD II
Block Loading Capability:		
c) Provide estimated <b>Block Loading Capability</b> from 0MW to <b>Registered Capacity</b> of each <b>BM Unit</b> based on the unit being 'hot' (run prior to shutdown) and also 'cold' (not run for 48hrs or more prior to the shutdown). The <b>Block Loading Capability</b> should be valid for a frequency deviation of 49.5Hz – 50.5Hz. The data should identify any required 'hold' points.	Tabular or Graphical	II QAQ

### SCHEDULE 17 - ACCESS PERIOD DATA PAGE 1 OF 1

### (PC.A.4 - CUSC Contract ■)

Submissions by**Users** using this Schedule 17 shall commence in 2011 and shall then continue in each year thereafter

### Access Group

Asset Identifier	Start Week	End Week	Maintenance Year (1, 2 or 3)	Duration	Potential Concurrent Outage (Y/N)

Comments			

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 1 OF 24

The data in this Schedule 18 is required from **Generators** who are undertaking **OTSDUW** and connecting to a **Transmission Interface Point**.

DATA DESCRIPTION	UNITS	DATA RTL	A to	DATA CAT.	GI	ENERA	ting u	NIT OR	STATI	ON DAT	ГA
		CUSC Cont ract	CUSC App. Form		F.Yr0	F.Yr1	F.Yr2	F.Yr3	F.Yr4	F.Yr5	F.Yr 6
INDIVIDUAL OTSDUW DATA											
Interface Point Capacity (PC.A.3.2.2 (a))	MW MVAr		•								
Performance Chart at the <b>Transmission</b> Interface Point for <b>OTSDUW</b> Plant and Apparatus (PC.A.3.2.2(f)(iv)			•								
OTSDUW DEMANDS											
Demand associated with the OTSDUW Plant and Apparatus (excluding OTSDUW DC Converters – see Note 1)) supplied at each Interface Point. The User should also provide the Demand supplied to each Connection Point on the OTSDUW Plant and Apparatus. (PC.A.5.2.5)											
<ul> <li>The maximum Demand that could occur.</li> <li>Demand at specified time of annual peak half hour of National Electricity Transmission System Demand at Annual ACS Conditions.</li> </ul>	MW MVAr MW MVAr			DPD I DPD I DPD II DPD II							
<ul> <li>Demand at specified time of annual minimum half-hour of National Electricity Transmission System Demand.</li> </ul>	MW MVAr			dpd II dpd II							
(Note 1 – <b>Demand</b> required from <b>OTSDUW DC Converters</b> should be supplied under page 2 of Schedule 18).											

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 2 OF 24

### OTSDUW USERS SYSTEM DATA

DATA	DESCRIPTION	UNITS	DATA	to RTL	DATA CATEGORY
	HORE TRANSMISSION SYSTEM LAYOUT 2.2.1, PC.A.2.2.2 and P.C.A.2.2.3)		CUSC Contract	CUSC App. Form	
Transr	<b>Ie Line Diagram</b> showing connectivity of all of the <u>Offshore</u> <u>nission System</u> including all <b>Plant and Apparatus</b> between the <b>ce Point</b> and all Connection <b>Points</b> is required.		•	•	SPD
existing existing showin (includ	<b>ngle Line Diagram</b> shall depict the arrangement(s) of all of the g and proposed load current carrying <b>Apparatus</b> relating to both g and proposed <b>Interface Points</b> and <b>Connection Points</b> , g electrical circuitry (ie. overhead lines, underground cables ing subsea cables), power transformers and similar equipment), ng voltages, circuit breakers and phasing arrangements		•	•	SPD
Opera Appara	tional Diagrams of all substations within the OTSDUW Plant and atus			•	SPD
SUBS	TATION INFRASTRUCTURE (PC.A.2.2.6)				
For the <b>Appar</b>	infrastructure associated with any OTSDUW Plant and atus				
Rated	3-phase rms short-circuit withstand current	kA			SPD
	1-phase rms short-circuit withstand current	kA			SPD
Rated	Duration of short-circuit withstand	S			SPD
Rated	rms continuous current	A	•	•	SPD
LUMPI	ED SUSCEPTANCES (PC.A.2.3)				
Subtra	lent Lumped Susceptance required for all parts of the User's nsmission System (including OTSDUW Plant and Apparatus) which included in the Single Line Diagram.		•	•	
This st	ould not include:				
(a)	independently switched reactive compensation equipment identified above.		•		
(b)	any susceptance of the <b>OTSDUW Plant and Apparatus</b> inherent in the <b>Demand (Reactive Power</b> ) data provided on Page 1 and 2 of this Schedule 14.				
Equiva	lent lumped shunt susceptance at nominal Frequency.	% on 100 MVA			

	, th			
	Length (km)			
SU	Summer (MVA)			be provided
Maximum Continuous Ratings	Sprng Autumn (MVA)			osle bluods
Max	Winter (MVA)			inductances
ERS	B0 %100M VA			he Mutual
ZPS PARAMETERS	X0 %100M VA			i Data Daratus ti
SdZ	R0 %100 MVA			1 Branch
TERS	B 1 %100 MVA			art 3 – 2. JUW Plar
PPS PARAMETERS	X1 %100 MVA			12-1m P
бdd	R1 %100 MVA			e: STCF
	Circuit			eferenc e exists
	Operating Voltage (kV)			Notes 1. For information equivalent STC Reference: STCP12-1m Part 3 – 2.1 Branch Data 2. In the case where an overhead line exists within the OTSDUW Plant and Apparatus the Mutual inductances should also be provided.
	Rated Voltage (kV)			ation equiv
	Node 2			informe accase
	Node 1			Notes 1. For 2. In th

**SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA** PAGE 3 OF 24

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**OFFSHORE TRANSMISSION SYSTEM DATA** 

Branch Data (PC.A.2.2.4)

OFFSHORE TRANSMISSION SYSTEM DATA

2 Winding Transformer Data (PC.A.2.2.5)

The data below is Standard Planning Data, and details should be shown below of all transformers shown on the Single Line Diagram

	PAGE 4 OF	24	
Earthing Imped Ance method			
Earthing Method (Direct /Res /Reac)			
Winding Arr.			
	type		
Tap Changer	Step size %		
Tap	Range +% to -%		
ase istance 1VA	Nom Tap		
Positive Phase Sequence Resistance % on 100 MVA	Min Tap		
	Max Tap		
ase ctance VA	Nom Tap		
Positive Phase Sequence Reactance % on 100MVA	Min Tap		
% enbəS od	Мах Тар		
Trans-former			
Rating (MVA)			
(kV) LV			
LV Node			
HV (kV)			
HV Node HV (KV)			Notes

# 1 For information the corresponding STC Reference is STCP12-1: Part 3 – 2.4 Transformers

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 4 OF 24

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## USERS SYSTEM DATA (OTSUA)

Auto Transformer Data 3-Winding (PC.A.2.2.5)

The data below is all Standard Planning Data, and details should be shown below of all transformers shown on the Single Line Diagram.

		PA	GE	5 OF 2	24	
The Compa nyCode						
The The Compa Compa ny nyCode Sheet						
	ZOT Dflt X/R =20	Х _{от} % 100	_			
TERS (F	ZC Dflt X/	R _{от} % 100	MVA			
ARAME'	ZOL	Х _{ог} 100	MVA			
A SAZ -	ZC	R _{oL} % 100	MVA			
	HOZ	Х _{он} % 100	MVA			
Earthin EQUIVALENT T ZPS PARAMETERS (FLIP) g impeda nce Method	ZC	R _{0H} % 100	MVA			
Earthin I g Impeda nce Method						
	Vinding Nrrange	ment				
	Type V onload	Offload				
Taps	Step size (	Range Step Type Winding +% to -% size (onload Arrange % Offload ment				
	Range +% to -%					
nase ce MVA	Nom Tap					
Positive Phase Sequence Resistance % on 100 MVA	Min Tap					
Positive Phase Sequence Reactance % on 100MVA	Max Min Nom Tap Tap Tap					
o Posit Se Re % on	Max Tap					
Transformer						
Rating (MVA)						
PSS/E Circuit						
Ę ⟨<						
HV V _H LV V _L PSS/E Rating Transfo Positive Phase NODE (kV) NODE (kV) Circuit (MVA) rmer Sequence Reactance % on 100MVA						
(kV) (kV)						
NODE						

**SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA** 

## 1. For information STC Reference: STCP12-1: Part 3 - 2.4 Transformers

**OFFSHORE TRANSMISSION SYSTEM DATA** 

### Circuit Breaker Data (PC.A.2.2.6(a))

The data below is all Standard Planning Data, and should be provided for all OTSUA switchgear (ie. circuit breakers, load disconnectors and disconnectors)

PAG	GE 6 OF 24	
	DC time constant at testing of asymmetrical breaking ability (s)	
	Fault Make Rating (Peak Asymmetrical) (1 phase) (kA)	
1 Phase	Fault Break Fault Break Fault Make DC time Rating (RMS Rating (Peak Rating (Peak constant at Symmetrical) Asymmetrical) Asymmetrical (1 phase) (kA) (1 phase) (kA) (1 phase) (kA) (3 phase) (kA) (3 phase) (kA) (4 phase) (kA) (4 phase) (kA) (4 phase) (kA) (5 phase) (4	
2	Fault Break Rating (RMS Symmetrical) (1 phase) (kA)	
	Fault Rating (RMS Symmetrical) (1 phase) (MVA)	
	Fault Make Rating (Peak Asymmetrical) (3 phase) (kA)	
3 Phase	Fault Break Rating (Peak Asymmetrical) (3 phase) (kA)	
ε σ	Fault Break Rating (RMS Symmetrical) (3 phase) (kA)	
	Continuo Fault Rating us (RMS Rating Symmetrical) (A) (3 phase) (MVA)	
	Continuo us (A)	
ting	Total Time (mS)	
Assumed Operating Times	Minimum Protection & Trip Relay (mS)	
Assu	Circuit Breaker (mS)	
	Year Commission ed	
	Type	
er Date	Model	
Break	Make	
Circuit Breaker Data	Rated Operatin Voltage g Voltage	
	Rated Voltage	
	Name	
	Location	

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 6 OF 24

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 7 OF 24

### **OFFSHORE TRANSMISSION SYSTEM DATA**

### REACTIVE COMPENSATION EQUIPMENT (PC.A.2.4(e))

ltem	Node	kV	Device No.	Rating (MVAr)	P Loss (kW)	Tap range	Connection Arrangement

Notes:

1.For information STC Reference: STCP12-1: Part 3 - 2.5 Reactive Compensation Equipment

2. Data relating to continuously variable reactive compensation equipment (such as statcoms or SVCs) should be entered on the SVC Modelling table.

3. For the avoidance of doubt this includes any AC Reactive Compensation equipment included within the OTSDUW DC Converter other than harmonic filter data which is to be entered in the harmonic filter data table.

PC.A.2.4.1(e)	A mathematical representation in block diagram format to model the control of any
	dynamic compensation plant. The model should be suitable for RMS dynamic stability
	type studies in which the time constants used should not be less than 10ms.

Connection (Direct/Tert iary)	
PPS_R PPS_X ZPS_R ZPS_X Winding Type	
X0 ZPS_X	
R0 ZPS_R	
X1 PPS_X	
R1 PPS_R	
Normal Running Mode	
Max Min Slope Voltage MVAr MVAr % Dependant at HV at HV Q Limit	
Slope %	
Min MVAr at HV	
Max MVAr at HV	
Target Voltage (kV)	
Norminal Target Voltage Voltage (kV) (kV)	
Control Node	
LV Node	
HV Node	Notes:

**OFFSHORE TRANSMISSION SYSTEM DATA** REACTIVE COMPENSATION - SVC Modelling Data (PC.A.2.4.1(e)(iii)) 1. For information the equivalent STC Ref, erence is: STCP12-1: Part 3 - 2.7 SVC Modelling Data

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 8 OF 24

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 9 OF 24

### OFFSHORE TRANSMISSION SYSTEM DATA

Harmonic Filter Data (including **OTSDUW DC Converter** harmonic Filter Data) (PC.A.5.4.3.1(d) and PC.A.6.4.2)

Site Name	SLD Reference	e Point of F	ilter Connection	
			1	
-				
Manufacturer	Model	Filter Type	Filter connection	Notes
			Ungrounded)	
	1	1		
Bus Voltage	Rating	Q factor	Tuning Frequency	Notes
Component Param	eters (as per SLD)			
Parameter as applicable           Filter         Capacitance         Inductance (milli-         Resistance         Notes				
	Filter Capacitance Ind Component (R, (micro-Farads) C or L)			Notes
			(Ohms)	
C or L)				
Bus Voltage       Rating       Q factor       Tuning Frequency       Notes         Component Parameters (as per SLD)				
Image: State of the state	Hz and higher			
Bus Voltage       Rating       Q factor       Tuning Fr         Component Parameters (as per SLD)       Parameter as applicable       Resist         Filter       Capacitance (micro-Farads)       Inductance (milli- Henrys)       Resist (Ohr         C or L)       Inductance (milli- Henrys)       Resist         Image: Component (R, Correct (micro-Farads))       Inductance (milli- Henrys)       Resist         Image: Correct (micro-Farads)       Inductance (milli- Henrys)       Resist         Image: Correct (micro-Farads)       Image: Correct (micro-Farads)       Image: Correct (milli- Henrys)         Image: Correct (micro-Farads)       Image: Correct (micro-Farads)       Image: Correct (milli- Henrys)         Image: Correct (micro-Farads)       Image: Correct (milli- Henrys)       Resist (micro-Farads)         Image: Correct (Micro-Farads)       Image: Correct (Milli- Henrys)       Image: Correct (Milli- Henrys)         Image: Correct (Milli- Henrys)       Image: Correct (Milli- Henrys)       Image: Correct (Milli- Henrys)         Image: Correct (Milli- Henrys)       Image: Correct (Milli- Henrys)       Image: Correct (Milli- Henrys)         Image: Correct (Milli- Henrys)       Image: Correct (Milli- Henrys)       Image: Correct (Milli- Henrys)         Image: Correct (Milli- Henrys)       Image: Correct (Milli- Henrys)       Image: Correct (Milli- Henrys) <td< td=""><td></td><td></td></td<>				
2. Graph of angle	(degree) against fre	equency (Hz)		

Notes:

1. For information STC Reference: STCP12-1: Part 3 - 2.8 Harmonic Filter Data

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 10 OF 24

### Information for Transient Overvoltage Assessment (DPD I) (PC.A.6.2 CUSC Contract)

The information listed below may be requested by **The Company** from each **User** undertaking **OTSDUW** with respect to any **Interface Point** or **Connection Point** to enable **The Company** to assess transient overvoltage on the **National Electricity Transmission System**.

- (a) Busbar layout plan(s), including dimensions and geometry showing positioning of any current and voltage transformers, through bushings, support insulators, disconnectors, circuit breakers, surge arresters, etc. Electrical parameters of any associated current and voltage transformers, stray capacitances of wall bushings and support insulators, and grading capacitances of circuit breakers;
- (b) Electrical parameters and physical construction details of lines and cables connected at that busbar. Electrical parameters of all plant e.g., transformers (including neutral earthing impedance or zig-zag transformers if any), series reactors and shunt compensation equipment connected at that busbar (or to the tertiary of a transformer) or by lines or cables to that busbar;
- (c) Basic insulation levels (BIL) of all **Apparatus** connected directly, by lines or by cables to the busbar;
- (d) Characteristics of overvoltage **Protection** devices at the busbar and at the termination points of all lines, and all cables connected to the busbar;
- (e) Fault levels at the lower voltage terminals of each transformer connected to each **Interface Point** or **Connection Point** without intermediate transformation;
- (f) The following data is required on all transformers within the **OTSDUW Plant and Apparatus**.
- (g) An indication of which items of equipment may be out of service simultaneously during **Planned Outage** conditions.

### Harmonic Studies (DPD I) (PC.A.6.4 CUSC Contract)

The information given below, both current and forecast, where not already supplied in this Schedule 14 may be requested by **The Company** from each **User** if it is necessary for **The Company** to evaluate the production/magnification of harmonic distortion on **National Electricity Transmission System**. The impact of any third party **Embedded** within the **User's System** should be reflected:-

(a) Overhead lines and underground cable circuits (including subsea cables) of the User's OTSDUW Plant and Apparatus must be differentiated and the following data provided separately for each type:-

Positive phase sequence resistance Positive phase sequence reactance Positive phase sequence susceptance

(b) for all transformers connecting the OTSDUW Plant and Apparatus to a lower voltage:-

Rated MVA Voltage Ratio Positive phase sequence resistance Positive phase sequence reactance

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 11 OF 24

(c) at the lower voltage points of those connecting transformers:-

### Equivalent positive phase sequence susceptance

Connection voltage and MVAr rating of any capacitor bank and component design parameters if configured as a filter

Equivalent positive phase sequence interconnection impedance with other lower voltage points The minimum and maximum **Demand** (both MW and MVAr) that could occur Harmonic current injection sources in Amps at the Connection Points and Interface Points

(d) an indication of which items of equipment may be out of service simultaneously during **Planned Outage** conditions

### Voltage Assessment Studies (DPD I) (PC.A.6.5 CUSC Contract)

The information listed below, where not already supplied in this Schedule 14, may be requested by **The Company** from each **User** undertaking **OTSDUW** with respect to any **Connection Point** or **Interface Point** if it is necessary for **The Company** to undertake detailed voltage assessment studies (eg to examine potential voltage instability, voltage control co-ordination or to calculate voltage step changes on the **National Electricity Transmission System**).

### (a) For all circuits of the User's OTSDUW Plant and Apparatus:-

Positive Phase Sequence Reactance Positive Phase Sequence Resistance Positive Phase Sequence Susceptance MVAr rating of any reactive compensation equipment

(b) for all transformers connecting the User's OTSDUW Plant and Apparatus to a lower voltage:-

Rated MVA Voltage Ratio Positive phase sequence resistance Positive Phase sequence reactance Tap-changer range Number of tap steps Tap-changer type: on-load or off-circuit AVC/tap-changer time delay to first tap movement AVC/tap-changer inter-tap time delay

### (c) at the lower voltage points of those connecting transformers

Equivalent positive phase sequence susceptance MVAr rating of any reactive compensation equipment Equivalent positive phase sequence interconnection impedance with other lower voltage points The maximum **Demand** (both MW and MVAr) that could occur Estimate of voltage insensitive (constant power) load content in % of total load at both winter peak and 75% off-peak load conditions

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 12 OF 24

### Short Circuit Analyses: (DPD I) (PC.A.6.6 CUSC Contract)

The information listed below, both current and forecast, and where not already supplied under this Schedule 14, may be requested by **The Company** from each **User** undertaking **OTSDUW** with respect to any **Connection Point or Interface Point** where prospective short-circuit currents on **Transmission** equipment are close to the equipment rating.

(a) For all circuits of the User's OTSDUW Plant and Apparatus:-Positive phase sequence resistance
Positive phase sequence reactance
Positive phase sequence susceptance
Zero phase sequence resistance (both self and mutuals)
Zero phase sequence susceptance (both self and mutuals)
Zero phase sequence susceptance (both self and mutuals)

(b) for all transformers connecting the User's OTSDUW Plant and Apparatus to a lower voltage:-

Rated MVA Voltage Ratio Positive phase sequence resistance (at max, min and nominal tap) Positive Phase sequence reactance (at max, min and nominal tap) Zero phase sequence reactance (at nominal tap) Tap changer range Earthing method: direct, resistance or reactance Impedance if not directly earthed

(c) at the lower voltage points of those connecting transformers:-

The maximum **Demand** (in MW and MVAr) that could occur

Short-circuit infeed data in accordance with PC.A.2.5.6(a) unless the **User's OTSDUW Plant and Apparatus** runs in parallel with the **Subtransmission System**, when to prevent double counting in each node infeed data, a  $\pi$  equivalent comprising the data items of PC.A.2.5.6(a) for each node together with the positive phase sequence interconnection impedance between the nodes shall be submitted.

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 13 OF 24

Fault infeed data to be submitted by **OTSDUW Plant and Apparatus** providing a fault infeed (including **OTSDUW DC Converters**) (PC.A.2.5.5)

A submission is required for OTSDUW Plant and Apparatus (including OTSDUW DC Converters at each Transmission Interface Point and Connection Point. The submission shall represent operating conditions that result in the maximum fault infeed. The fault current from all auxiliaries of the OTSDUW Plant and Apparatus at the Transmission Interface Point and Connection Point shall be included. The fault infeed shall be expressed as a fault current at the Transmission Interface Point and also at each Connection Point.

Should actual data in respect of fault infeeds be unavailable at the time of the application for a **CUSC Contract** or **Embedded Development Agreement**, a limited subset of the data, representing the maximum fault infeed that may result from the **OTSDUW Plant and Apparatus**, shall be submitted. This data will, as a minimum, represent the root mean square of the positive, negative and zero sequence components of the fault current for both single phase and three phase solid faults at each **Connection Point** and **Interface Point** at the time of fault application and 50ms following fault application. Actual data in respect of fault infeeds shall be submitted to **The Company** as soon as it is available, in line with PC.A.1.2.

DATA DESCRIPTION	<u>UNITS</u>		F.Yr.	<u>F.Yr.</u>	<u>F.Yr.</u>	F.Yr.		<u>F.Yr.</u>	<u>F.Yr.</u>	DATA to	o RTL
(PC.A.2.5)		<u>0</u>	<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>	CUSC Contract	CUSC App.
Name of OTSDUW Plant and Apparatus											Form
OTSDUW DC Converter type (ie voltage or current source)											
<ul> <li>A submission shall be provided for the contribution of each OTSDUW</li> <li>Plant and Apparatus to the positive, negative and zero sequence components of the short circuit current at the Interface Point and each Connection Point for</li> <li>(i) a solid symmetrical three phase short circuit</li> <li>(ii) a solid single phase to earth short circuit</li> <li>(iii) a solid phase to phase short circuit</li> <li>(iv) a solid two phase to earth short circuit</li> </ul>											
If protective controls are used and active for the above conditions, a											•
submission shall be provided in the limiting case where the protective											•
control is not active. This case may require application of a non-solid fault, resulting in a retained voltage at the fault point.											-
											•

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 14 OF 24

DATA DESCRIPTION	<u>UNITS</u>	<u>F.</u> <u>Yr.</u> 0	<u>F.</u> <u>Yr.</u> <u>1</u>	<u>F.</u> <u>Yr.</u> 2	<u>F.</u> <u>Yr.</u> <u>3</u>	<u>F.</u> <u>Yr.</u> <u>4</u>	<u>F.</u> <u>Yr.</u> 5	<u>F.</u> <u>Yr.</u> <u>6</u>	<u>F.</u> <u>Yr.</u> <u>7</u>		Ā to <b>TL</b>
		<u> </u>	<u> </u>		<u> </u>	<u>-</u>	<u> </u>	<u> </u>	<u> </u>	CUSC Contract	CUSC App.
- A continuous time trace and table showing the root mean square of the positive, negative and zero sequence components of the fault current from the time of fault inception to 140ms after fault inception at 10ms intervals	Graphical and tabular kA versus s										Form ■
- A continuous time trace and table showing the positive, negative and zero sequence components of retained voltage at the Interface Point and each Connection Point, if appropriate	p.u. versus s										•
- A continuous time trace and table showing the root mean square of the positive, negative and zero sequence components of retained voltage at the fault point, if appropriate	p.u. versus s										•
Positive sequence X/R ratio of the equivalent at time of fault at the Interface Point and each Connection Point											•
Minimum zero sequence impedance of the equivalent at the Interface Point and each Connection Point											•
Active Power transfer at the Interface Point and each Connection Point pre-fault	MW										•
Power Factor (lead or lag)											
Pre-fault voltage (if different from 1.0 p.u.) at fault point (See note 1)	p.u.										•
Items of reactive compensation switched in pre-fault											■

### Note 1. The pre-fault voltage provided above should represent the voltage within the range 0.95 to 1.05 that gives the highest fault current

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 15 OF 24

Thermal Ratings Data (PC.A.2.2.4)

CIRCUIT RATING SCHEDULE

Voltage	
132kV	

### **Offshore TO Name**

	Issue Date

Т

CIRCUIT Name from Site A - Site B

%Nom 84% 100% 95% mva 125 90% mva 118	Limit Line Line Line Line Line Line Line Line	Amps 485 580 580 580 580 580 580 580	MVA 111 132 132 132 132 132 132 132	%Nom 84% 100% 95% mva 116	Limit Line Line Line Line	Amps 450 540 540 540 540 540	MVA 103 123 123 123 123	%Nom 84% 100% 95%	Limit Line Line Line Line	Amps 390 465 465 465	MVA 89 106 106 106
100% 95% mva 125 90% mva	Line Line Line Line Line Line Line	580 580 580 580 580 580 580	132 132 132 132 132	100% 95% mva	Line Line Line Line	540 540 540	123 123 123	100% 95%	Line Line Line	465 465	106 106
95% mva 125 90% mva	Line Line Line Line Line Line	580 580 580 580 580 580	132 132 132 132	95% mva	Line Line Line	540 540	123 123	95%	Line Line	465	106
mva 125 90% mva	Line Line Line Line Line Line	580 580 580 580 580	132 132 132	mva	Line Line	540	123		Line		
mva 125 90% mva	Line Line Line Line Line	580 580 580 580	132 132		Line					465	106
125 90% mva	Line Line Line Line	580 580 580	132			540	122				
90% mva	Line Line Line	580 580		116	1.5.4.4		120	mva	Line	465	106
mva	Line Line	580	132		Line	540	123	100	Line	465	106
mva	Line				Line	540	123		Line	465	106
	-		132	90%	Line	540	123	90%	Line	465	106
	line	580	132		Line	540	123		Line	465	106
118	_	580	132	mva	Line	540	123	mva	Line	465	106
	Line	580	132	110	Line	540	123	95	Line	465	106
	Line	580	132		Line	540	123		Line	465	106
84%	Line	580	132	84%	Line	540	123	84%	Line	465	106
	Line	590	135		Line	545	125		Line	470	108
mva	Line	630	144	mva	Line	580	133	mva	Line	495	113
110	Line	710	163	103	Line	655	149	89	Line	555	126
	Line	810	185		Line	740	170		Line	625	143
75%	Line	580	132	75%	Line	540	123	75%	Line	465	106
	Line	595	136		Line	555	126		Line	475	109
mva	Line	650	149	mva	Line	600	137	mva	Line	510	116
99	Line	760	173	92	Line	695	159	79	Line	585	134
	Line	885	203		Line	810	185		Line	685	156
60%	Line	580	132	60%	Line	540	123	60%	Line	465	106
											110
											121
79				73				63			145
	Line	985	226		Line	900	206		Line	755	173
30%	Line	580	132	30%	Line	540	123	30%	Line	465	106
	Line	615	141		Line	570	130		Line	490	112
mva	Line	710	163	mva	Line	655	150	mva	Line	555	127
39	Line	895	205	36	Line	820	187	31	Line	690	158
	Line	1110	255		Line	1010	230		Line	845	193
	mva 110 75% mva 99 60% mva 79 30% mva	84%Line LinemvaLine Line110Line Line75%Line Line99Line Line60%Line Line79Line Line30%Line Line Line30%Line Line Line30%Line Line Line30%Line Line Line30%Line Line Line30%Line Line Line30%Line Line Line30%Line Line30%Line Line39Line	84%         Line         580           B4%         Line         590           mva         Line         630           110         Line         710           Line         710         810           75%         Line         595           mva         Line         650           99         Line         650           99         Line         605           Mva         Line         605           mva         Line         605           mva         Line         675           79         Line         820           Line         985         985           30%         Line         580           Line         615           mva         Line         615           mva         Line         710           39         Line         895	84%         Line         580         132           84%         Line         590         135           mva         Line         630         144           110         Line         710         163           Line         810         185         132           75%         Line         580         132           10         Line         595         136           mva         Line         650         149           99         Line         760         173           Line         605         138         132           60%         Line         605         138           mva         Line         605         138           mva         Line         675         155           79         Line         880         132           Line         615         141           mva         Line         580         132           10         Line         615         141           mva         Line         580         132           Line         615         141           mva         Line         710         163	84%         Line         580         132         84%           Line         590         135         mva           110         Line         630         144         mva           110         Line         710         163         103           75%         Line         580         132         75%           110         Line         580         132         75%           75%         Line         580         132         75%           110         Line         595         136         mva           99         Line         650         149         mva           99         Line         760         173         92           100         Line         605         138         mva           100         Line         675         155         mva           79         Line         820         187         73           203         Line         580         132         30%           100         Line         580         132         30%           101         Line         615         141         mva           30%         Line         710 <td>84%         Line         580         132         84%         Line           84%         Line         590         135         144         mva         Line           mva         Line         630         144         mva         Line           110         Line         710         163         103         Line           110         Line         710         163         103         Line           110         Line         710         163         103         Line           110         Line         580         132         75%         Line           110         Line         595         136         Line         Line           75%         Line         650         149         mva         Line           99         Line         760         173         92         Line           100         Line         885         203         Line         Line           99         Line         580         132         60%         Line           100         Line         675         155         mva         Line           79         Line         820         187         73</td> <td>84%         Line         580         132         84%         Line         540           nwa         Line         630         144         mva         Line         580           110         Line         710         163         103         Line         655           110         Line         710         163         103         Line         655           110         Line         710         163         103         Line         655           110         Line         580         132         75%         Line         540           110         Line         595         136         Line         540           110         Line         650         149         mva         Line         600           99         Line         760         173         92         Line         695           Line         760         173         92         Line         695           Line         605         138         Line         540           Line         675         155         mva         Line         520           79         Line         675         155         mva         Line</td> <td>84%         Line         580         132         84%         Line         540         123           mva         Line         630         144         mva         Line         580         133           110         Line         630         144         mva         Line         580         133           110         Line         710         163         103         Line         655         149           110         Line         710         163         103         Line         655         149           110         Line         580         132         75%         Line         540         123           75%         Line         580         132         75%         Line         540         123           mva         Line         650         149         mva         Line         600         137           99         Line         760         173         92         Line         605         159           Line         605         138         mva         Line         560         123           mva         Line         675         155         mva         Line         560         123<td>84%         Line         580         132         84%         Line         540         123         84%           mva         Line         630         144         mva         Line         580         133         mva           110         Line         630         144         mva         Line         580         133         mva           110         Line         710         163         103         Line         655         149         89           75%         Line         580         132         75%         Line         540         123         75%           mva         Line         595         136         103         Line         555         126         75%           mva         Line         650         149         mva         Line         555         126         75%           mva         Line         650         149         mva         Line         600         137         mva           99         Line         760         173         92         Line         605         138         10         185         79           mva         Line         605         138         Line</td><td>84%         Line         580         132         84%         Line         540         123         84%         Line           mva         Line         630         144         mva         Line         580         133         mva         Line           110         Line         710         163         103         Line         655         149         89         Line           110         Line         710         163         103         Line         655         149         89         Line           75%         Line         580         132         75%         Line         555         126         Line         Line           mva         Line         595         136         Line         555         126         Line         Line         Line         Line         595         136         Line         600         137         mva         Line         Line         Line         555         126         Line         Line         Line         Line         S0         133         mva         Line         Line         S0         137         mva         Line         Line         Line         Line         S0         138         Line<td>84%         Line         580         132         84%         Line         540         123         84%         Line         465           mva         Line         630         144         mva         Line         580         133         mva         Line         470           110         Line         630         144         mva         Line         580         133         mva         Line         495           110         Line         710         163         103         Line         655         149         89         Line         555           100         185         132         75%         Line         555         126         Line         625           75%         Line         595         136         Line         555         126         Line         475           mva         Line         650         149         mva         Line         600         137         mva         Line         510           99         Line         760         173         92         Line         695         159         79         Line         585           Line         605         138         Line         56</td></td></td>	84%         Line         580         132         84%         Line           84%         Line         590         135         144         mva         Line           mva         Line         630         144         mva         Line           110         Line         710         163         103         Line           110         Line         710         163         103         Line           110         Line         710         163         103         Line           110         Line         580         132         75%         Line           110         Line         595         136         Line         Line           75%         Line         650         149         mva         Line           99         Line         760         173         92         Line           100         Line         885         203         Line         Line           99         Line         580         132         60%         Line           100         Line         675         155         mva         Line           79         Line         820         187         73	84%         Line         580         132         84%         Line         540           nwa         Line         630         144         mva         Line         580           110         Line         710         163         103         Line         655           110         Line         710         163         103         Line         655           110         Line         710         163         103         Line         655           110         Line         580         132         75%         Line         540           110         Line         595         136         Line         540           110         Line         650         149         mva         Line         600           99         Line         760         173         92         Line         695           Line         760         173         92         Line         695           Line         605         138         Line         540           Line         675         155         mva         Line         520           79         Line         675         155         mva         Line	84%         Line         580         132         84%         Line         540         123           mva         Line         630         144         mva         Line         580         133           110         Line         630         144         mva         Line         580         133           110         Line         710         163         103         Line         655         149           110         Line         710         163         103         Line         655         149           110         Line         580         132         75%         Line         540         123           75%         Line         580         132         75%         Line         540         123           mva         Line         650         149         mva         Line         600         137           99         Line         760         173         92         Line         605         159           Line         605         138         mva         Line         560         123           mva         Line         675         155         mva         Line         560         123 <td>84%         Line         580         132         84%         Line         540         123         84%           mva         Line         630         144         mva         Line         580         133         mva           110         Line         630         144         mva         Line         580         133         mva           110         Line         710         163         103         Line         655         149         89           75%         Line         580         132         75%         Line         540         123         75%           mva         Line         595         136         103         Line         555         126         75%           mva         Line         650         149         mva         Line         555         126         75%           mva         Line         650         149         mva         Line         600         137         mva           99         Line         760         173         92         Line         605         138         10         185         79           mva         Line         605         138         Line</td> <td>84%         Line         580         132         84%         Line         540         123         84%         Line           mva         Line         630         144         mva         Line         580         133         mva         Line           110         Line         710         163         103         Line         655         149         89         Line           110         Line         710         163         103         Line         655         149         89         Line           75%         Line         580         132         75%         Line         555         126         Line         Line           mva         Line         595         136         Line         555         126         Line         Line         Line         Line         595         136         Line         600         137         mva         Line         Line         Line         555         126         Line         Line         Line         Line         S0         133         mva         Line         Line         S0         137         mva         Line         Line         Line         Line         S0         138         Line<td>84%         Line         580         132         84%         Line         540         123         84%         Line         465           mva         Line         630         144         mva         Line         580         133         mva         Line         470           110         Line         630         144         mva         Line         580         133         mva         Line         495           110         Line         710         163         103         Line         655         149         89         Line         555           100         185         132         75%         Line         555         126         Line         625           75%         Line         595         136         Line         555         126         Line         475           mva         Line         650         149         mva         Line         600         137         mva         Line         510           99         Line         760         173         92         Line         695         159         79         Line         585           Line         605         138         Line         56</td></td>	84%         Line         580         132         84%         Line         540         123         84%           mva         Line         630         144         mva         Line         580         133         mva           110         Line         630         144         mva         Line         580         133         mva           110         Line         710         163         103         Line         655         149         89           75%         Line         580         132         75%         Line         540         123         75%           mva         Line         595         136         103         Line         555         126         75%           mva         Line         650         149         mva         Line         555         126         75%           mva         Line         650         149         mva         Line         600         137         mva           99         Line         760         173         92         Line         605         138         10         185         79           mva         Line         605         138         Line	84%         Line         580         132         84%         Line         540         123         84%         Line           mva         Line         630         144         mva         Line         580         133         mva         Line           110         Line         710         163         103         Line         655         149         89         Line           110         Line         710         163         103         Line         655         149         89         Line           75%         Line         580         132         75%         Line         555         126         Line         Line           mva         Line         595         136         Line         555         126         Line         Line         Line         Line         595         136         Line         600         137         mva         Line         Line         Line         555         126         Line         Line         Line         Line         S0         133         mva         Line         Line         S0         137         mva         Line         Line         Line         Line         S0         138         Line <td>84%         Line         580         132         84%         Line         540         123         84%         Line         465           mva         Line         630         144         mva         Line         580         133         mva         Line         470           110         Line         630         144         mva         Line         580         133         mva         Line         495           110         Line         710         163         103         Line         655         149         89         Line         555           100         185         132         75%         Line         555         126         Line         625           75%         Line         595         136         Line         555         126         Line         475           mva         Line         650         149         mva         Line         600         137         mva         Line         510           99         Line         760         173         92         Line         695         159         79         Line         585           Line         605         138         Line         56</td>	84%         Line         580         132         84%         Line         540         123         84%         Line         465           mva         Line         630         144         mva         Line         580         133         mva         Line         470           110         Line         630         144         mva         Line         580         133         mva         Line         495           110         Line         710         163         103         Line         655         149         89         Line         555           100         185         132         75%         Line         555         126         Line         625           75%         Line         595         136         Line         555         126         Line         475           mva         Line         650         149         mva         Line         600         137         mva         Line         510           99         Line         760         173         92         Line         695         159         79         Line         585           Line         605         138         Line         56

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 16 OF 24

	6hr 20m 10m 5m 3m						
	6hr 20m 10m 5m 3m						
Notes or Restrictions Detailed							

Notes: 1. For information the equivalent STC Reference: STCP12-1: Part 3 - 2.6 Thermal Ratings 2. The values shown in the above table is example data.

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 17 OF 24

### Protection Policy (PC.A.6.3)

To include details of the protection policy

Protection Schedules(PC.A.6.3)

Data schedules for the protection systems associated with each primary plant item including: Protection, Intertrip Signalling & operating times Intertripping and protection unstabilisation initiation Synchronising facilities Delayed Auto Reclose sequence schedules

### Automatic Switching Scheme Schedules (PC.A.2.2.7)

A diagram of the scheme and an explanation of how the system will operate and what plant will be affected by the scheme's operation.

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 18 OF 24

### GENERATOR INTERTRIP SCHEMES (PC.A.2.2.7(b))

Substation: _____

**Details of Generator Intertrip Schemes:** 

A diagram of the scheme and an explanation of how the system will operate and what plant will be effected by the schemes operation.

### DEMAND INTERTRIP SCHEMES (PC.A.2.2.7(b))

Substation:_____

Details of Demand Intertrip Schemes:

A diagram of the scheme and an explanation of how the system will operate and what plant will be effected by the schemes operation

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 19 OF 24

Specific Operating Requirements (CC.5.2.1)

### SUBSTATION OPERATIONAL GUIDE

Substation: _____

### Location Details:

Postal Address:	Telephone Nos.	Map Ref.
Transmission Interface		
Generator Interface		

- 1. Substation Type:
- **2.** Voltage Control: (short description of voltage control system. To include mention of modes ie Voltage, manual etc. Plus control step increments ie 0.5%-0.33kV?)
- 3. Energisation Switching Information: (The standard energisation switching process from dead.)

### 4. Intertrip Systems:

- **5. Reactive Plant Outage:** (*A* short explanation of any system re-configurations required to facilitate the outage of any reactive plant which form part of the OTSDUW Plant and Apparatus equipment. Also any generation restrictions required).
- 6. Harmonic Filter Outage: (An explanation as to any OTSDUW Plant and Apparatus reconfigurations required to facilitate the outage and maintain the system within specified Harmonic limits, also any generation restrictions required).

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 20 OF 24

### OTSDUW DC CONVERTER TECHNICAL DATA

### OTSDUW DC CONVERTER NAME

DATE:_____

Data Description	Units	DATA RTL	to	Data Category	DC Converter Station Data
(PC.A.4 and PC.A.5.2.5)		CUSC Contract	CUSC App.		
OTSDUW DC CONVERTER (CONVERTER DEMANDS):			Form		
Demand supplied through Station Transformers associated with the OTSDUW DC Converter at each Interface Point and each Offshore Connection Point Grid Entry Point [PC.A.4.1]					
- Demand with all OTSDUW DC Converters operating at Interface Point Capacity .	MW MVAr			DPD II DPD II	
- Demand with all OTSDUW DC Converters operating at maximum Interface Point flow from the Interface Point to each Offshore Grid Entry Point	MW MVAr			dpd II dpd II	
· - The maximum <b>Demand</b> that could occur.	MW MVAr			DPD II DPD II	
- <b>Demand</b> at specified time of annual peak half hour of <b>The Company Demand</b>	MW MVAr			DPD II DPD II	
at Annual ACS Conditions.	MW MVAr			DPD II	
<ul> <li>Demand at specified time of annual minimum half-hour of The Company</li> <li>Demand.</li> </ul>					
	Text			SPD+	
OTSDUW DC CONVERTER DATA	_			SPD+	
Number of poles, i.e. number of <b>OTSDUW DC</b> <b>Converters</b>	Text		•		
Pole arrangement (e.g. monopole or bipole)	Diagram				
Return path arrangement					
Details of each viable operating configuration	Diagram		•	SPD+	
Configuration 1 Configuration 2 Configuration 3 Configuration 4 Configuration 5 Configuration 6	Diagram Diagram Diagram Diagram Diagram				

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 21 OF 24

Data Description	Units	DAT R1		Data Category	Ор	Operating Configuration							
		CUSC Contract	CUSC App. Form	butogoly	1	2	3	4	5	6			
OTSDUW DC CONVERTER DATA (PC.A.3.3.1(d))													
<b>OTSDUW DC Converter</b> Type (e.g. current or Voltage source)	Text		•	SPD									
If the busbars at the <b>Interface Point</b> or Connection Point are normally run in separate sections identify the section to which the	Section Number		•	SPD									
OTSDUW DC Converter configuration is connected	MW		•	SPD+									
Rated MW import per pole (PC.A.3.3.1) Rated MW export per pole (PC.A.3.3.1)	MW		•	SPD+									
ACTIVE POWER TRANSFER CAPABILITY (PC.A.3.2.2) Interface Point Capacity													
	MW MVAr		•	SPD SPD									
OTSDUW DC CONVERTER TRANSFORMER													
(PC.A.5.4.3.1) Rated MVA	MVA			DPD II									
Winding arrangement Nominal primary voltage Nominal secondary (converter-side) voltage(s)	kV kV			DPD II DPD II									
Positive sequence reactance Maximum tap Nominal tap Minimum tap	% on MVA % on MVA			DPD II DPD II DPD II									
Positive sequence resistance Maximum tap Nominal tap Minimum tap	% on MVA % on			DPD II DPD II DPD II DPD II									
Zero phase sequence reactance Tap change range Number of steps	% on MVA % on MVA % on MVA % on			DPD II DPD II DPD II									
	MVA +% / -%												

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 22 OF 24

Data Description	Units	DAT R	A to FL	Data Category	Operating configuration							
		CUSC Contract	CUSC App. Form		1	2	3	4	5	6		
OTSDUW DC CONVERTER NETWORK DATA												
(PC.A.5.4.3.1 (c))	kV			DPD II								
Rated DC voltage per pole Rated DC current per pole	A			DPD II								
Details of the <b>OTSDUW DC Network</b> described in diagram form including resistance, inductance and capacitance of all DC cables and/or DC lines. Details of any line reactors (including line reactor resistance), line capacitors, DC filters, earthing electrodes and other conductors that form part of the <b>OTSDUW DC</b> <b>Network</b> should be shown.	Diagram			DPD II								

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 23 OF 24

Data Description	Units		ΓA to <b>TL</b>	Data Category	Ope	rating	config	uratio	'n	
		CUSC Contract	CUSC App. Form	Category	1	2	3	4	5	6
OTSDUW DC CONVERTER CONTROL SYSTEMS										
(PC.A.5.4.3.2)										
Static $V_{DC} - P_{DC}$ (DC voltage – DC power) or Static $V_{DC} - I_{DC}$ (DC voltage – DC current) characteristic (as appropriate) when	Diagram Diagram			DPD II DPD II						
operating as –Rectifier –Inverter	Diagram			DPD II						
Details of rectifier mode control system, in block diagram form together with parameters showing transfer functions of	Diagram			DPD II						
individual elements.	Diagram			DPD II						
Details of inverter mode control system, in block diagram form showing transfer functions of individual elements including parameters (as applicable).	Diagram			DPD II						
Details of <b>OTSDUW DC Converter</b> transformer tap changer control system in block diagram form showing transfer functions of individual elements including parameters.	Diagram			DPD II						
Details of AC filter control systems in block diagram form showing transfer functions of individual elements including parameters	Diagram			DPD II						
Details of any frequency and/or load control systems in block diagram form showing transfer functions of individual elements including parameters.	Diagram			DPD II						
Details of any large or small signal modulating controls, such as power oscillation damping controls or sub-synchronous oscillation damping controls, that have not been submitted as part of the above control system data.	Diagram			DPD II						
Transfer block diagram representation of the reactive power control at converter ends for a voltage source converter.										

### SCHEDULE 18 - OFFSHORE TRANSMISSION SYSTEM DATA PAGE 24 OF 24

Data Description	Units	nits DATA to Data RTL Category		Operating configuration						
		CUSC Contract	CUSC App. Form		1	2	3	4	5	6
LOADING PARAMETERS (PC.A.5.4.3.3)										
MW Export from the <b>Offshore Grid Entry</b> <b>Point</b> to the <b>Transmission Interface Point</b> Nominal loading rate Maximum (emergency) loading rate	MW/s MW/s			DPD I DPD I						
Maximum recovery time, to 90% of pre-fault loading, following an AC system fault or severe voltage depression.	S			DPD II						
Maximum recovery time, to 90% of pre-fault loading, following a transient DC Network fault.	s			DPD II						

### SCHEDULE 19 – USER DATA FILE STRUCTURE PAGE 1 OF 2

Part A: Commercial & Legal           A2         Commissioning         Commissioning & Test Programmes           A3         Statements         Statements of Readiness           A9         AS Monitoring         Ancillary Services Monitoring           A10         Self Certification         User Self Certification of Compliance           A11         Compliance statements         Compliance Statement           Part 1: Safety & System Operation         Interface Agreements         Interface Agreements           1.1         Interface Agreements         Local Switching Procedures           1.2         Safety Rules         Safety Rules           1.3         Switching Procedures         Local Switching Procedures           1.4         Earthing         Earthing           1.5         SRS         Site Responsibility Schedules           1.6         Diagrams         Operational and Gas Zone Diagrams           1.7         Drawings         Site Common Drawings           1.8         Telephony         Control Telephony           1.9         Safety Procedures         Local Safety Procedures           1.10         Co-ordinators         Safety Co-ordinators           1.11         RISSP         Record of Inter System Safety Precautions           1.12 </th <th>i.d.</th> <th>Folder name</th> <th>Description of contents</th>	i.d.	Folder name	Description of contents
A3       Statements       Statements of Readiness         A9       AS Monitoring       Ancillary Services Monitoring         A10       Self Certification       User Self Certification of Compliance         A11       Compliance statements       Compliance Statement         Part 1:       Safety & System Operation         1.1       Interface Agreements       Interface Agreements         1.2       Safety Rules       Safety Rules         1.3       Switching Procedures       Local Switching Procedures         1.4       Earthing       Earthing         1.5       SRS       Site Responsibility Schedules         1.6       Diagrams       Operational and Gas Zone Diagrams         1.7       Drawings       Site Common Drawings         1.8       Telephony       Control Telephony         1.9       Safety Procedures       Local Safety Procedures         1.10       Co-ordinators       Safety Co-ordinators         1.11       RISSP       Record of Inter System Safety Precautions         1.12       Tel Numbers       Telephone Numbers for Joint System         1.11       RISSP       Record of Inter System Safety Precautions         1.12       Tel Numbers       Conotact Details (fax, tel, email)	Part A:	Commercial & Legal	
A9AS MonitoringAncillary Services MonitoringA10Self CertificationUser Self Certification of ComplianceA11Compliance statementsCompliance StatementPart 1: Safety & System OperationInterface AgreementsInterface Agreements1.1Interface AgreementsInterface Agreements1.2Safety RulesSafety Rules1.3Switching ProceduresLocal Switching Procedures1.4EarthingEarthing1.5SRSSite Responsibility Schedules1.6DiagramsOperational and Gas Zone Diagrams1.7DrawingsSite Common Drawings1.8TelephonyControl Telephony1.9Safety ProceduresLocal Safety Procedures1.10Co-ordinatorsSafety Co-ordinators1.11RISSPRecord of Inter System Safety Precautions1.12Tel NumbersTelephone Numbers for Joint System1.13Contact DetailsContact Details (fax, tel, email)1.14Restoration PlanLocal Joint Restoration Plan (incl. black start if applicable)1.15MaintenanceMaintenance StandardsPart 2: Connection Technical DataSpecial Automatic Facilities2.1DRC Schedule 5DRC Schedule 5 – Users System Data2.2Protection ReportProtection Settings Reports2.3Special Automatic FacilitiesSpecial Automatic Facilities e.g. intertrip Facilities2.4Operational MeteringOperational Metering2.5Tariff Metering	A2	Commissioning	Commissioning & Test Programmes
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<u> </u>	2.6	Operational Comms	Operational Communications
2.8 Power Quality Power Quality Test Results (if required)	2.7	Monitoring	
	2.8	Power Quality	Power Quality Test Results (if required)

The structure of the User Data File Structure is given below.

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Part 3: Generator Technical Data					
3.1	DRC Schedule 1	DRC Schedule 1 - Generating Unit, Power Generating Module, HVDC System and DC Converter Technical Data			
3.2	DRC Schedule 2	DRC Schedule 2 - Generation Planning Data			
3.3	DRC Schedule 4	DRC Schedule 4 – Frequency Droop & Response			
3.4	DRC Schedule 14	DRC Schedule 14 – Fault Infeed Data – Generators			
3.5	Special Generator Protection	Special Generator Protection eg Pole slipping; islanding			
3.6	Compliance Tests	Compliance Tests & Evidence			
3.7	Compliance Studies	Compliance Simulation Studies			
3.8	Site Specific	Bilateral Connections Agreement Technical Data & Compliance			
Part 4: General DRC Schedules					
4.1	DRC Schedule 3	DRC Schedule 3 – Large Power Station Outage Information			
4.2	DRC Schedule 6	DRC Schedule 6 – Users Outage Information			
4.3	DRC Schedule 7	DRC Schedule 7 – Load Characteristics			
4.4	DRC Schedule 8	DRC Schedule 8 – BM Unit Data (if applicable)			
4.5	DRC Schedule 10	DRC Schedule 10 – Demand Profiles			
4.6	DRC Schedule 11	DRC Schedule 11 – Connection Point Data			
	Part 5: OTSDUW Data And Information (if applicable and prior to OTSUA Transfer Time)				
		Diagrams Circuits Plant and Apparatus Circuit Parameters Protection Operation and Autoswitching Automatic Control Systems Mathematical model of dynamic			
		compensation plant			

### < END OF DATA REGISTRATION CODE >

# **GENERAL CONDITIONS**

(GC)

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### GC.1 INTRODUCTION

GC.1.1 The **General Conditions** contain provisions which are of general application to all provisions of the **Grid Code**. Their objective is to ensure, to the extent possible, that the various sections of the **Grid Code** work together and work in practice for the benefit of all **Users**.

### GC.2 <u>SCOPE</u>

GC.2.1 The **General Conditions** apply to all **Users** (including, for the avoidance of doubt, **The Company**).

### GC.3 UNFORESEEN CIRCUMSTANCES

GC.3.1 If circumstances arise which the provisions of the **Grid Code** have not foreseen, **The Company** shall, to the extent reasonably practicable in the circumstances, consult promptly and in good faith all affected **Users** in an effort to reach agreement as to what should be done. If agreement between **The Company** and those **Users** as to what should be done cannot be reached in the time available, **The Company** shall determine what is to be done. Wherever **The Company** makes a determination, it shall do so having regard, wherever possible, to the views expressed by **Users** and, in any event, to what is reasonable in all the circumstances. Each **User** shall comply with all instructions given to it by **The Company** following such a determination provided that the instructions are consistent with the then current technical parameters of the particular **User's System** registered under the **Grid Code**. **The Company** shall promptly refer all such unforeseen circumstances and any such determination to the Panel for consideration in accordance with GC.4.2(e).

### GC.4 NOT USED

### GC.5 COMMUNICATION BETWEEN THE COMPANY AND USERS

- GC.5.1 Unless otherwise specified in the **Grid Code**, all instructions given by **The Company** and communications (other than relating to the submission of data and notices) between **The Company** and **Users** (other than **Generators**, **DC Converter Station** owners or **Suppliers**) shall take place between the **The Company Control Engineer** based at the **Transmission Control Centre** notified by **The Company** to each **User** prior to connection, and the relevant **User Responsible Engineer/Operator**, who, in the case of a **Network Operator**, will be based at the **Control Centre** notified by the **Network Operator** to **The Company** prior to connection.
- GC.5.2 Unless otherwise specified in the Grid Code all instructions given by The Company and communications (other than relating to the submission of data and notices) between The Company and Generators and/or DC Converter Station owners and/or Suppliers shall take place between the The Company Control Engineer based at the Transmission Control Centre notified by The Company to each Generator or DC Converter Station owner prior to connection, or to each Supplier prior to submission of BM Unit Data, and either the relevant Generator's or DC Converter Station owner's or Supplier's Trading Point (if it has established one) notified to The Company or the Control Point of the Supplier or the Generator's Power Station or DC Converter Station, as specified in each relevant section of the Grid Code. In the absence of notification to the contrary, the Control Point of a Generator's Power Station will be deemed to be the Power Station at which the Generating Units or Power Park Modules are situated.
- GC.5.3 Unless otherwise specified in the **Grid Code**, all instructions given by **The Company** and communications (other than relating to the submission of data and notices) between **The Company** and **Users** will be given by means of the **Control Telephony** referred to in CC.6.5.2.
- GC.5.4 If the **Transmission Control Centre** notified by **The Company** to each **User** prior to connection, or the **User Control Centre**, notified in the case of a **Network Operator** to **The Company** prior to connection, is moved to another location, whether due to an emergency or for any other reason, **The Company** shall notify the relevant **User** or the **User** shall notify **The Company**, as the case may be, of the new location and any changes to the **Control Telephony** or **System Telephony** necessitated by such move, as soon as practicable following the move.

- GC.5.5 If any **Trading Point** notified to **The Company** by a **Generator** or **DC Converter Station** owner prior to connection, or by a **Supplier** prior to submission of **BM Unit Data**, is moved to another location or is shut down, the **Generator**, **DC Converter Station** owner or **Supplier** shall immediately notify **The Company**.
- GC.5.6 The recording (by whatever means) of instructions or communications given by means of **Control Telephony** or **System Telephony** will be accepted by **The Company** and **Users** as evidence of those instructions or communications.

#### GC.6 MISCELLANEOUS

- GC.6.1 Data and Notices
- GC.6.1.1 Data and notices to be submitted either to **The Company** or to **Users** under the **Grid Code** (other than data which is the subject of a specific requirement of the **Grid Code** as to the manner of its delivery) shall be delivered in writing either by hand or sent by first-class pre-paid post, or by facsimile transfer or by electronic mail to a specified address or addresses previously supplied by **The Company** or the **User** (as the case may be) for the purposes of submitting that data or those notices.
- GC.6.1.2 References in the **Grid Code** to "in writing" or "written" include typewriting, printing, lithography, and other modes of reproducing words in a legible and non-transitory form and in relation to submission of data and notices includes electronic communications.
- GC.6.1.3 Data delivered pursuant to paragraph GC.6.1.1, in the case of data being submitted to **The Company**, shall be addressed to the **Transmission Control Centre** at the address notified by **The Company** to each **User** prior to connection, or to such other Department within **The Company** or address, as **The Company** may notify each **User** from time to time, and in the case of notices to be submitted to **Users**, shall be addressed to the chief executive of the addressee (or such other person as may be notified by the **User** in writing to **The Company** from time to time) at its address(es) notified by each **User** to **The Company** in writing from time to time for the submission of data and service of notices under the **Grid Code** (or failing which to the registered or principal office of the addressee).
- GC.6.1.4 All data items, where applicable, will be referenced to nominal voltage and **Frequency** unless otherwise stated.

### GC.7 OWNERSHIP OF PLANT AND/OR APPARATUS

References in the **Grid Code** to **Plant** and/or **Apparatus** of a **User** include **Plant** and/or **Apparatus** used by a **User** under any agreement with a third party.

### GC.8 SYSTEM CONTROL

Where a **User's System** (or part thereof) is, by agreement, under the control of **The Company**, then for the purposes of communication and co-ordination in operational timescales **The Company** can (for those purposes only) treat that **User's System** (or part thereof) as part of the **National Electricity Transmission System**, but, as between **The Company** and **Users**, it shall remain to be treated as the **User's System** (or part thereof).

### GC.9 EMERGENCY SITUATIONS

**Users** should note that the provisions of the **Grid Code** may be suspended, in whole or in part, during a Security Period, as more particularly provided in the **Fuel Security Code**, or pursuant to any directions given and/or orders made by the **Secretary of State** under section 96 of the **Act** or under the Energy Act 1976.

#### GC.10 MATTERS TO BE AGREED

Save where expressly stated in the **Grid Code** to the contrary where any matter is left to **The Company** and **Users** to agree and there is a failure so to agree the matter shall not without the consent of both **The Company** and **Users** be referred to arbitration pursuant to the rules of the **Electricity Supply Industry Arbitration Association**.

### GC.11 GOVERNANCE OF ELECTRICAL STANDARDS

- GC.11.1 In relation to the **Electrical Standards** the following provisions shall apply.
- GC.11.2 (a) If a User, or in respect of the Electrical Standards in (b) to the annex, The Company, or in respect of the Electrical Standards in (a) to the annex, or in respect of the Electrical Standards in (c) or (d) to the annex, the Relevant Scottish Transmission Licensee, wishes to:-
  - (i) raise a change to an **Electrical Standard**;
  - (ii) add a new standard to the list of Electrical Standards;
  - (iii) delete a standard from being an Electrical Standard,

it shall activate the Electrical Standards procedure.

(b) The **Electrical Standards** procedure is the notification to the secretary to the **Panel** of the wish to so change, add or delete an **Electrical Standard**. That notification must contain details of the proposal, including an explanation of why the proposal is being made.

#### GC.11.3 Ordinary Electrical Standards Procedure

- (a) Unless it is identified as an urgent Electrical Standards proposal (in which case GC.11.4 applies) or unless the notifier requests that it be tabled at the next Panel meeting, as soon as reasonably practicable following receipt of the notification, the Panel secretary shall forward the proposal, with a covering paper, to Panel members.
- (b) If no objections are raised within 20 Business Days of the date of the proposal, then it shall be deemed approved pursuant to the Electrical Standards procedure, and The Company shall make the change to the relevant Electrical Standard or the list of Electrical Standards contained in the Annex to this GC.11.
- (c) If there is an objection (or if the notifier had requested that it be tabled at the next **Panel** meeting rather than being dealt with in writing), then the proposal will be included in the agenda for the next following **Panel** meeting.
- (d) If there is broad consensus at the **Panel** meeting in favour of the proposal, **The Company** will make the change to the **Electrical Standard** or the list of **Electrical Standards** contained in the Annex to this GC.11.
- (e) If there is no such broad consensus, including where the Panel believes that further consultation is needed, **The Company** will establish a **Panel** working group if this was thought appropriate and in any event **The Company** shall undertake a consultation of **Authorised Electricity Operators** liable to be materially affected by the proposal.
- (f) Following such consultation, The Company will report back to Panel members, either in writing or at a Panel meeting. If there was broad consensus in the consultation, then The Company will make the change to the Electrical Standard or the list of Electrical Standards contained in the Annex to this GC.11.
- (g) Where following such consultation there is no broad consensus, the matter will be referred to the Authority who will decide whether the proposal should be implemented and will notify The Company of its decision. If the decision is to so implement the change, The Company will make the change to the Electrical Standard or the list of Electrical Standards contained in the Annex to this GC.11.
- (h) In all cases where a change is made to the list of Electrical Standards, The Company will publish and circulate a replacement page for the Annex to this GC covering that list and reflecting the change.
- GC.11.4 Urgent Electrical Standards Procedure

- (a) If the notification is marked as an urgent Electrical Standards proposal, the Panel secretary will contact Panel members in writing to see whether a majority who are contactable agree that it is urgent and in that notification the secretary shall propose a timetable and procedure which shall be followed.
- (b) If such members do so agree, then the secretary will initiate the procedure accordingly, having first obtained the approval of the **Authority**.
- (c) If such members do not so agree, or if the **Authority** declines to approve the proposal being treated as an urgent one, the proposal will follow the ordinary **Electrical Standards** procedure as set out in GC.11.3 above.
- (d) If a proposal is implemented using the urgent Electrical Standards procedure, The Company will contact all Panel members after it is so implemented to check whether they wish to discuss further the implemented proposal to see whether an additional proposal should be considered to alter the implementation, such proposal following the ordinary Electrical Standards procedure.

### GC.12 CONFIDENTIALITY

- GC.12.1 Users should note that although the Grid Code contains in certain sections specific provisions which relate to confidentiality, the confidentiality provisions set out in the CUSC apply generally to information and other data supplied as a requirement of or otherwise under the Grid Code. To the extent required to facilitate the requirements of the EMR Documents, Users that are party to the Grid Code but are not party to the CUSC Framework Agreement agree that the confidentiality provisions of the CUSC are deemed to be imported into the Grid Code.
- GC.12.2 The Company has obligations under the STC to inform Relevant Transmission Licensees of certain data. The Company may pass on User data to a Relevant Transmission Licensee where:
  - (a) The Company is required to do so under a provision of Schedule 3 of the STC; and/or
  - (b) permitted in accordance with PC.3.4, PC.3.5 and OC2.3.2.
- GC.12.3 The Company has obligations under the EMR Documents to inform EMR Administrative Parties of certain data. The Company may pass on User data to an EMR Administrative Party where The Company is required to do so under an EMR Document.
- GC.12.4 The Company may use User data for the purpose of carrying out its EMR Functions.

### GC.13 RELEVANT TRANSMISSION LICENSEES

- GC.13.1 It is recognised that the **Relevant Transmission Licensees** are not parties to the **Grid Code**. Accordingly, notwithstanding that Operating Code No. 8 Appendix 1 ("OC8A") and Appendix 2 ("OC8B"), OC7.6, OC9.4 and OC9.5 refer to obligations which will in practice be performed by the **Relevant Transmission Licensees** in accordance with relevant obligations under the **STC**, for the avoidance of doubt all contractual rights and obligations arising under OC8A, OC8B, OC7.6, OC9.4 and OC9.5 shall exist between **The Company** and the relevant **User** and in relation to any enforcement of those rights and obligations OC8A, OC8B, OC7.6, OC9.4 and OC9.5 shall be so read and construed. The **Relevant Transmission Licensees** shall enjoy no enforceable rights under OC8A, OC8B, OC7.6, OC9.4 and OC9.5 nor shall they be liable (other than pursuant to the **STC**) for failing to discharge any obligations under OC8A, OC8B, OC7.6, OC9.4 and OC9.5.
- GC.13.2 For the avoidance of doubt nothing in this **Grid Code** confers on any **Relevant Transmission Licensee** any rights, powers or benefits for the purpose of the Contracts (Rights of Third Parties) Act 1999.

### GC.14 BETTA TRANSITION ISSUES

GC.14.1 The provisions of Part A of the Appendix to the **General Conditions** apply in relation to issues arising out of the transition associated with the designation of **GC Modification Proposals** by the **Secretary of State** in accordance with the provisions of the Energy Act 2004 for the purposes of Condition C14 of **The Company's Transmission Licence**.

### GC.15 EMBEDDED EXEMPTABLE LARGE AND MEDIUM POWER STATIONS

- GC.15.1 This GC.15.1 shall have an effect until and including 31st March 2007.
  - (i) CC.6.3.2, CC.6.3.7, CC.8.1 and BC3.5.1; and
  - (ii) Planning Code obligations and other Connection Conditions; shall apply to a User who owns or operates an Embedded Exemptable Large Power Station, or a Network Operator in respect of an Embedded Exemptable Medium Power Station, except where and to the extent that, in respect of that Embedded Exemptable Large Power Station or Embedded Exemptable Medium Power Station, The Company agrees or where the relevant User and The Company fail to agree, where and to the extent that the Authority consents.

# ANNEX TO THE GENERAL CONDITIONS

The Electrical Standards are as follows:

### (a) Electrical Standards applicable for NGET's Transmission System

The Relevan	t Electrical Standards Document (RES)	Reference	Issue	Date
Parts 1 to 3			3.0	March 2018
Part 4 – Spec	cific Requirements			
1	Back-Up Protection Grading across NGET's and other Network Operator Interfaces	PS(T)044(RES)	1.0	September 2014
2	Ratings and General Requirements for Plant, Equipment, Apparatus and Services for the National Grid System and Connections Points to it.	TS 1 (RES)	1.0	February 2018
3	Substations	TS 2.01 (RES)	1.0	February 2018
4	Switchgear	TS 2.02 (RES)	1.0	October 2014
5	Substation Auxiliary Supplies	TS 2.12 (RES)	1.0	October 2014
6	Ancillary Light Current Equipment	TS 2.19 (RES)	1.0	October 2014
7	Substation Interlocking Schemes	TS 3.01.01 (RES)	1.0	February 2018
8	Earthing Requirements	TS 3.01.02 (RES)	1.0	October 2014
9	Circuit Breakers	TS 3.02.01 (RES)	2.0	February 2018
10	Disconnectors and Earthing Switches	TS 3.02.02 (RES)	1.0	October 2014
11	Current Transformers for Protection and General Use on the 132kV, 275kV and 400kV Systems	TS 3.02.04 (RES)	1.0	October 2014
12	Voltage Transformers	TS 3.02.05 (RES)	1.0	September 2016
13	Bushings	TS 3.02.07 (RES)	1.0	October 2014
14	Solid Core Post Insulators for Substations	TS 3.02.09 (RES)	1.0	October 2014
15	Voltage Dividers	TS 3.02.12 (RES)	1.0	September 2016
16	Gas Insulated Switchgear	TS 3.02.14 (RES)	1.0	October 2014
17	Environmental and Test Requirements for Electronic Equipment	TS 3.24.15 (RES)	1.0	October 2014
18	Busbar Protection	TS 3.24.34 (RES)	1.0	October 2014
19	Circuit Breaker Fail Protection	TS 3.24.39 (RES)	1.0	October 2014
20	Synchronising And Voltage Selection	TS.3.24.60 (RES)	2.0	January 2018
21	System Monitor – Dynamic System Monitoring (DSM)	TS 3.24.70 (RES)	2.0	February 2018
22	System Monitoring – Fault Recording	TS 3.24.71 (RES)	1.0	February 2018
23	Protection & Control for HVDC Systems	TS 3.24.90 (RES)	1.0	October 2014
24	Ancillary Services Business Monitoring	TS 3.24.95 (RES)	2.0	February 2018
25	Operational Data Transmission	TS 3.24.100 (RES)	1.0	February 2018
26	Guidance for Working in	TGN(E)186 (RES)	1.0	October 2018

	Proximity to Live Conductors			
Additional Requirements				
Control Telephony Electrical Standard			1.0	17 th Sept 2007

### (b) Electronic data communications facilities applicable in all **Transmission Areas**.

Communications Standards for Electronic Data Communication Facilities and Automatic Logging Devices	Issue 4	26 th Aug 2015
EDT Interface Specification	Issue 4	18 th Dec 2000
EDT Submitter Guidance Note	Issue 1	21 st Dec 2001
EDL Message Interface Specification	Issue 4	20 th Jun 2000
EDL Instruction Interface Valid Reason Codes	Issue 2	23 rd Jul 2001
MODIS Interface Specification	Version 4	26 th May 2015

### (c) Scottish Electrical Standards applicable for SPT's Transmission System.

RES-01-100	Relevant Electrical Standards for Plant, Equipment and Apparatus for connection to the	Issue 1
	SP Transmission System	

1.	NGTS 1:	Rating and General Requirements for Plant, Equipment, Apparatus and Services for the National Grid System and Direct Connection to it. Issue 3 March 1999.
2.	NGTS 2.1:	Substations Issue 2 May 1995
3.	NGTS 3.1.1:	Substation Interlocking Schemes. Issue 1 October 1993.
4.	NGTS 3.2.1:	Circuit Breakers and Switches. Issue 1 September 1992.
5.	NGTS 3.2.2:	Disconnectors and Earthing Switches. Issue 1 March 1994.
6.	NGTS 3.2.3:	Metal-Oxide surge arresters for use on 132, 275 and 400kV systems. Issue 2 May 1994.
7.	NGTS 3.2.4:	Current Transformers for protection and General use on the 132, 275 and 400kV systems. Issue 1 September 1992.
8.	NGTS 3.2.5:	Voltage Transformers for use on the 132, 275 and 400 kV systems. Issue 2 March 1994.
9.	NGTS 3.2.6:	Current and Voltage Measurement Transformers for Settlement Metering of 33, 66, 132, 275 and 400kV systems. Issue 1 September 1992.
10.	NGTS 3.2.7:	Bushings for the Grid Systems. Issue 1 September 1992.
11.	NGTS 3.2.9:	Post Insulators for Substations. Issue 1 May 1996.
12.	NGTS 2.6:	Protection Issue 2 June 1994.
13.	NGTS 3.11.1:	Capacitors and Capacitor Banks. Issued 1 March 1993.

## APPENDIX TO THE GENERAL CONDITIONS

### PART A

### GC.A.1 Introduction

- GC.A.1.1 This Appendix Part A to the **General Conditions** deals with issues arising out of the transition associated with the designation of amendments to the **Grid Code** by the **Secretary of State** in accordance with the provisions of the Energy Act 2004 for the purposes of Condition C14 of **The Company's Transmission** Licence at that time. For the purposes of this Appendix to the **General Conditions**, the version of the **Grid Code** as amended by the changes designated by the **Secretary of State** and as further amended from time to time shall be referred to as the "**GB Grid Code**". The process and amendments referred to in this Appendix Part A took place before the separation of **The Company** from **NGET** and the introduction into the **Grid Code** of **Offshore Transmission Licencees** and this Part A shall be construed accordingly.
- GC.A.1.2 The provisions of this Appendix Part A to the **General Conditions** shall only apply to **Users** (as defined in GC.A.1.4) and **The Company** after **Go-Live** for so long as is necessary for the transition requirements referred to in GC.A.1.1 and cut-over requirements (as further detailed in GC.A.3.1) to be undertaken.
- GC.A.1.3 In this Appendix Part A to the **General Conditions**:
  - (a) Existing E&W Users and E&W Applicants are referred to as "E&W Users";
  - (b) Users who as at 1 January 2005 have entered into an agreement or have accepted an offer for connection to and/or use of the Transmission System of NGET are referred to as "Existing E&W Users";
  - (c) Users (or prospective Users) other than Existing E&W Users who apply during the Transition Period for connection to and/or use of the Transmission System of NGET are referred to as "E&W Applicants";
  - (d) Existing Scottish Users and Scottish Applicants are referred to as "Scottish Users";
  - (e) Users who as at 1 January 2005 have entered into an agreement or have accepted an offer for connection to and/or use of the Transmission System of either SPT or SHETL are referred to as "Existing Scottish Users";
  - (f) Users (or prospective Users) other than Existing Scottish Users who apply during the Transition Period for connection to and/or use of the Transmission System of either SPT or SHETL are referred to as "Scottish Applicants";
  - (g) the term "**Transition Period**" means the period from **Go-Active** to **Go-Live** (unless it is provided to be different in relation to a particular provision), and is the period with which this AppendixPart A to the **General Conditions** deals;
  - (h) the term "Interim GB SYS" means the document of that name referred to in Condition C11 of The Company's Transmission Licence;
  - (i) the term "**Go-Active**" means the date on which the amendments designated by the **Secretary of State** to the **Grid Code** in accordance with the Energy Act 2004 come into effect; and
  - (j) the term "**Go-Live**" means the date which the **Secretary of State** indicates in a direction shall be the BETTA go-live date.
- GC.A.1.4 The provisions of GC.2.1 shall not apply in respect of this Appendix to the **General Conditions**, and in this Appendix Part A to the **General Conditions** the term "**Users**" means:
  - (a) Generators;
  - (b) Network Operators;
  - (c) Non-Embedded Customers;
  - (d) Suppliers;
  - (e) BM Participants; and
  - (f) Externally Interconnected System Operators,

### (g) DC Converter Station owners

to the extent that the provisions of this Appendix Part A to the **General Conditions** affect the rights and obligations of such **Users** under the other provisions of the **GB Grid Code**.

- GC.A.1.5 The **GB Grid Code** has been introduced with effect from **Go-Active** pursuant to the relevant licence changes introduced into **The Company's Transmission Licence**. **The Company** is required to implement and comply, and **Users** to comply, with the **GB Grid Code** subject as provided in this Appendix Part A to the **General Conditions**, which provides for the extent to which the **GB Grid Code** is to apply to **The Company** and **Users** during the **Transition Period**.
- GC.A.1.6 This Appendix Part A to the **General Conditions** comprises:
  - (a) this Introduction;
  - (b) **GB Grid Code** transition issues; and
  - (c) Cut-over issues.
- GC.A.1.7 Without prejudice to GC.A.1.8, the failure of any **User** or **The Company** to comply with this Appendix Part A to the **General Conditions** shall not invalidate or render ineffective any part of this Appendix Part A to the **General Conditions** or actions undertaken pursuant to this Appendix to the **General Conditions**.
- GC.A.1.8 A **User** or **The Company** shall not be in breach of any part of this Appendix Part A to the **General Conditions** to the extent that compliance with that part is beyond its power by reason of the fact that any other **User** or **The Company** is in default of its obligations under this Appendix Part A to the **General Conditions**.
- GC.A.1.9 Without prejudice to any specific provision under this Appendix Part A to the **General Conditions** as to the time within which or the manner in which a **User** or **The Company** should perform its obligations under this Appendix to the **General Conditions**, where a **User** or **The Company** is required to take any step or measure under this Appendix Part A to the **General Conditions**, such requirement shall be construed as including any obligation to:
  - (a) take such step or measure as quickly as reasonably practicable; and
  - (b) do such associated or ancillary things as may be necessary to complete such step or measure as quickly as reasonably practicable.
- GC.A.1.10 **The Company** shall use reasonable endeavours to identify any amendments it believes are needed to the **GB Grid Code** in respect of the matters referred to for the purposes of Condition C14 of **The Company's Transmission Licence** and in respect of the matters identified in GC.A.1.11, and, having notified the **Authority** of its consultation plans in relation to such amendments, **The Company** shall consult in accordance with the instructions of the **Authority** concerning such proposed amendments.
- GC.A.1.11 The following matters potentially require amendments to the **GB Grid Code**:
  - (a) The specific detail of the obligations needed to manage implementation in the period up to and following (for a temporary period) **Go-Live** to achieve the change to operation under the **GB Grid Code** (to be included in GC.A.3).
  - (b) Information (including data) and other requirements under the **GB Grid Code** applicable to **Scottish Users** during the **Transition Period** (to be included in GC.A.2).
  - (c) The conclusions of Ofgem/DTI in relation to small and/or embedded generator issues under BETTA and allocation of access rights on a GB basis.
  - (d) Any arrangements required to make provision for operational liaison, including **Black Start** and islanding arrangements in Scotland.
  - (e) Any arrangements required to make provision for cascade hydro **BM Units**.
  - (f) Any consequential changes to the safety co-ordination arrangements resulting from **STC** and **STC** procedure development.
  - (g) Any arrangements required to reflect the **Electrical Standards** for the **Transmission Systems** of **SPT** and **SHETL**.
  - (h) The conclusions of Ofgem/DTI in relation to planning and operating standards.

- GC.A.1.12 **The Company** shall notify the **Authority** of any amendments that **The Company** identifies as needed pursuant to GC.A.1.10 and shall make such amendments as the **Authority** approves.
- GC.A.2 <u>GB Grid Code Transition</u>

### General Provisions

GC.A.2.1 The provisions of the **GB Grid Code** shall be varied or suspended (and the requirements of the **GB Grid Code** shall be deemed to be satisfied) by or in accordance with, and for the period and to the extent set out in this GC.A.2, and in accordance with the other applicable provisions in this Appendix Part A to the **General Conditions**.

### GC.A.2.2 <u>E&W Users:</u>

In furtherance of the licence provisions referred to in GC.A.1.5, E&W Users shall comply with the GB Grid Code during the Transition Period, but shall comply with and be subject to it subject to this Appendix to the General Conditions, including on the basis that:

- (a) during the **Transition Period** the **Scottish Users** are only complying with the **GB Grid Code** in accordance with this Appendix Part A to the **General Conditions**; and
- (b) during the Transition Period the National Electricity Transmission System shall be limited to the Transmission System of NGET, and all rights and obligations of E&W Users in respect of the National Electricity Transmission System under the GB Grid Code shall only apply in respect of the Transmission System of NGET, and all the provisions of the GB Grid Code shall be construed accordingly.

### GC.A.2.3 Scottish Users:

In furtherance of the licence provisions referred to in GC.A.1.5, Scottish Users shall comply with the GB Grid Code and the GB Grid Code shall apply to or in relation to them during the Transition Period only as provided in this Appendix Part A to the General Conditions.

### GC.A.2.4 <u>THE COMPANY:</u>

In furtherance of the licence provisions referred to in GC.A.1.5, **The Company** shall implement and comply with the **GB Grid Code** during the **Transition Period**, but shall implement and comply with and be subject to it subject to, and taking into account, all the provisions of this Appendix Part A to the **General Conditions**, including on the basis that:

- (a) during the Transition Period The Company's rights and obligations in relation to E&W Users in respect of the National Electricity Transmission System under the GB Grid Code shall only apply in respect of the Transmission System of NGET, and all the provisions of the GB Grid Code shall be construed accordingly; and
- (b) during the Transition Period The Company's rights and obligations in relation to Scottish Users in respect of the National Electricity Transmission System under the GB Grid Code shall only be as provided in this Appendix Part A to the General Conditions.

### Specific Provisions

GC.A.2.5 Definitions:

The provisions of the **GB Grid Code Glossary and Definitions** shall apply to and for the purposes of this Appendix Part A to the **General Conditions** except where provided to the contrary in this Appendix Part A to the **General Conditions**.

### GC.A.2.6 Identification of Documents:

In the period beginning at **Go-Active**, **Scottish Users** will work with **The Company** to identify and agree with **The Company** any documents needed to be in place in accordance with the **GB Grid Code**, to apply from **Go-Live** or as earlier provided for under this Appendix Part A to the **General Conditions**, including (without limitation) **Site Responsibility Schedules**, **Gas Zone Diagrams** and **OC9 Desynchronised Island Procedures**.

### GC.A.2.7 Data:

Each Scottish User must provide, or enable a SPT or SHETL to provide, The Company, as soon as reasonably practicable upon request, with all data which The Company needs in order to implement, with effect from Go-Live, the GB Grid Code in relation to Scotland. This data will include, without limitation, the data that a new User is required to submit to The Company under CC.5.2. The Company is also entitled to receive data on Scottish Users over SPT or SHETL's SCADA links to the extent that The Company needs it for use in testing and in order to implement, with effect from Go-Live, the GB Grid Code in relation to Scotland. After Go-Live such data shall, notwithstanding GC.A.1.2, be treated as though it had been provided to The Company under the enduring provisions of the GB Grid Code.

### GC.A.2.8 Verification of Data etc:

The Company shall be entitled to request from a Scottish User (which shall comply as soon as reasonably practicable with such a request) confirmation and verification of any information (including data) that has been received by SPT or SHETL under an existing grid code and passed on to The Company in respect of that Scottish User. After Go-Live such information (including data) shall, notwithstanding GC.A.1.2, be treated as though provided to The Company under the enduring provisions of the GB Grid Code.

### GC.A.2.9 Grid Code Review Panel:

- (a) The individuals whose names are notified to The Company by the Authority prior to Go-Active as Panel members (and alternate members, if applicable) are agreed by Users (including Scottish Users) and The Company to constitute the Panel members and alternate members of the Grid Code Review Panel as at the first meeting of the Grid Code Review Panel after Go-Active as if they had been appointed as Panel members (and alternate members) pursuant to the relevant provisions of the Constitution and Rules of the Grid Code Review Panel incorporating amendments equivalent to the amendments to GC.4.2 and GC.4.3 designated by the Secretary of State in accordance with the provisions of the Energy Act 2004 for the purposes of Condition C14 of The Company's Transmission Licence.
- (b) The provisions of GC.4 of the **GB Grid Code** shall apply to, and in respect of, **Scottish Users** from **Go-Active**.

### GC.A.2.10 Interim GB SYS:

Where requirements are stated in, or in relation to, the **GB Grid Code** with reference to the **Seven Year Statement**, they shall be read and construed as necessary as being with reference to the **Interim GB SYS**.

### GC.A.2.11 <u>General Conditions:</u>

The provisions of GC.4, GC.12 and GC.13.2 of the **GB Grid Code** shall apply to and be complied with by **Scottish Users** in respect of this Appendix Part A to the **General Conditions**.

### GC.A.2.12 OC2 Data

- (a) The following provisions of the **GB Grid Code** shall apply to and be complied with by **Scottish Users** with effect from the relevant date indicated below:
  - (i) OC2.4.1.2.3 (a) from 19 January 2005 in respect of 2 to 52 week submissions,
  - (ii) OC2.4.1.2.4 (c) from 25 February 2005 in respect of 2 to 49 day submissions,
  - (iii) OC2.4.1.2.4 (b) from 22 March 2005 in respect of 2 to 14 day submissions,

The data to be submitted in respect of OC2.4.1.2.3 (a) and OC2.4.1.2.4 (b) and (c) need only be in respect of dates on or after 1 April 2005.

### GC.A.3 <u>Cut-over</u>

- GC.A.3.1 It is anticipated that it will be appropriate for arrangements to be put in place for final transition to BETTA in the period up to and following (for a temporary period) **Go-Live**, for the purposes of:
  - (a) managing the transition from operations under the **Grid Code** as in force immediately prior to **Go-Active** to operations under the **GB Grid Code** and the **BSC** as in force on and after **Go-Active**;

- (b) managing the transition from operations under the existing grid code applicable to Scottish Users as in force immediately prior to Go-Active to operations under the GB Grid Code as in force on and after Go-Active;
- (c) managing the transition of certain data from operations under the existing grid code applicable to Scottish Users before and after Go-Active; and
- (d) managing GB Grid Code systems, processes and procedures so that they operate effectively at and from Go-Live.
- GC.A.3.2
  - (a) The provisions of BC1 (excluding BC1.5.1, BC1.5.2 and BC1.5.3) shall apply to and be complied with by Scottish Users and by The Company in respect of such Scottish Users with effect from 11:00 hours on the day prior to Go-Live
    - (b) Notwithstanding (a) above, Scottish Users may submit data for Go-Live 3 days in advance of Go-Live on the basis set out in the Data Validation, Consistency and Defaulting Rules which shall apply to Scottish Users and The Company in respect of such Scottish Users on that basis and for such purpose.
    - (c) The **Operational Day** for the purposes of any submissions by **Scottish Users** prior to **Go-Live** under a) and b) above for the day of Go-Live shall be 00:00 hours on Go Live to 05:00 hours on the following day.
    - (d) The provisions of **BC2** shall apply to and be complied with by **Scottish Users** and by **The Company** in respect of such Scottish Users with effect from 23:00 hours on the day prior to Go-Live.
    - (e) The provisions of **OC7.4.8** shall apply to and be complied with by **Scottish Users** and by **The** Company in respect of such Scottish Users with effect from 11:00 hours on the day prior to Go-Live.
    - (f) In order to facilitate cut-over, Scottish Users acknowledge and agree that The Company will exchange data submitted by such Scottish Users under BC1 prior to Go-Live with the Scottish system operators to the extent necessary to enable the cut-over.
    - (g) Except in the case of Reactive Power, Scottish Users should only provide Ancillary Services from Go-Live where they have been instructed to do so by The Company. In the case of Reactive Power, at Go-Live a Scottish Users MVAr output will be deemed to be the level instructed by The Company under BC2, following this Scottish Users should operate in accordance with BC2.A.2.6 on the basis that MVAr output will be allowed to vary with system conditions.

### PART B

- GC.B.1 Introduction
- GCB.1.1 This Appendix Part B to the General Conditions deals with issues arising out of the transition associated with the approval and implementation of Grid Code Modification Proposal GC0112 (Modifications relating to the transfer of the system operator functions from NGET to NGESO).
- GC.B.1.2 This Appendix Part B sets out the arrangements such that:
  - B.1.2.1 the Post GC0112 Grid Code reflects the Transfer of the System Operator Role;
  - B.1.2.2 certain amendments are made to Grid Code Related Agreements/Documents to reflect the Transfer of the System Operator Role,
  - B.1.2.2 arrangements can be put in place prior to the SO Transfer Date to enable the transition of the operations with NGET under the Pre GC0112 Grid Code to operations with NGESO under the Post GC0112 Grid Code; and
  - B.1.2.3 each **User** co-operates in relation to the transition.
- GC.B.1.3 The provisions of the Post GC0112 Grid Code shall be suspended until the SO Transfer Date except for this Appendix Part B (and any related definitions within it) which will take immediate effect on the Implementation Date for GC0112.

- GC.B.1.4 In this (and solely for the purposes of this) Appendix Part B the following terms have the following meaning:
  - B.1.4.1 the term "Grid Code Related Agreements/Documents" shall mean each or any of those agreements or documents entered into under or envisaged by the Pre GC0112 Grid Code prior t the SO Transfer Date which continue on and after the SO Transfer Date;
  - B.1.4.2 the term "GC0112" shall mean Grid Code Modification Proposal 0112 (Amendments relating to the transfer of the system operator functions from NGET to NGESO);
  - B.1.4.3 the term "NGET" shall mean National Grid Electricity Transmission plc;
  - B.1.4.4 the term "NGESO" shall mean National Grid Electricity System Operator Limited;
  - B.1.4.5 the term "Post GC0112 Grid Code" means the version of the Grid Code as amended by GC 0112;
  - B.1.4.6 the term "Pre GC Grid Code" means the version of the Grid Code prior to amendment by GC0112;
  - B.1.4.7 the term **"SO Transfer Date**" means the date on which **NGET's Transmission Licence** is transferred in part to **NGESO** to reflect the **Transfer of the System Operator Role**; and
  - B.1.4.8 the term **"Transfer of the System Operator Role**" means the the transfer, by means of the transfer in part of **NGET's Transmission Licence**, of the system operator role to **NGESO**.
- GC.B.1.5 Without prejudice to any specific provision under this Appendix Part B as to the time within which or the manner in which any party should perform its obligations under this Appendix Part B, where a party is required to take any step or measure under this Appendix Part B, such requirement shall be construed as including any obligation to:
  - B.1.5.1 take such step or measure as quickly as reasonably practicable; and
  - B.1.5.2 do such associated or ancillary things as may be necessary to complete such step or measure as quickly as reasonably practicable.

### GC.B.2 GC0112: AMENDMENTS TO EXISTING AGREEMENTS AND DOCUMENTS

- GC.B.2.1 Each Grid Code Related Agreement/Document in place or issued by a party in accordance with the terms of the Pre GC0112 Grid Code shall be read and construed, with effect from the SO Transfer Date, as if it (and any defined terms within itand the effect of it and those defined terms) recognise and reflect the Transfer of the SO Functions and as if any references in it to NGET in the context of its system operator role were references to NGESO/The Company as appropriate.
- GC.B.2.2 In the context of any **Site Responsibility Schedule** in existence at the **SO Transfer Date** and which would require, following the **Transfer of the System Operator Role**, the signature of either **NGESO** instead of **NGET** or both the signature of **NGESO** and **NGET**, **NGESO** and **NGET** acknowledge and the **Users** agree that the signature of **NGET** on such **Site Responsibility Schedule** shall be considered to be the signature of **NGESO** and/or **NGET** as appropriate.

### GC.B.3 GC0112: TRANSITION

- GC.B.3.1 Each party shall take such steps and do such things in relation to the Grid Code and the Grid Code Related Agreements/Documentation as are within its power and as are reasonably necessary or appropriate in order to give full and timely effect to the Transfer of the SO Role and the transition of the operations, systems, process and procedures and the rights and obligations relating to the Transfer of the SO Role under the Grid Code from NGET to NGESO.
- GC. B.3.2 Each party agrees that (a) all things done by **NGET** pursuant to the Grid Code in its system operator role prior to the **SO Transfer Date** shall be deemed to have been done by **NGESO** and (b) all things received by **NGET** pursuant to the Grid Code in its system operator role (including but not limited to notices) shall be deemed to have been received by **NGESO** and (c) all things issued by **NGET** (including but not limited to notices) shall be deemed to have been issued by **NGESO**.

### GC.B.3.3 In particular:

- B.1.5.1 Users acknowledge and agree that NGET can exchange information and data submitted by Users under the Grid Code prior to the SO Transfer Date with NGESO to the extent necessary to enable the transition of the system operator role from NGET to NGESO;
- B.1.5.2 **NGET** will identify and publish as soon as practicable and in any event prior to 31 January 2019 any specific requirements (such requirements being reasonable and recognising the timescale) on **Users** necessary to manage the transition of the operations, systems, process and procedures and the rights and obligations relating to the **Transfer of the SO Role** under the Grid Code from **NGET** to **NGESO**;
- B.1.5.2 Users acknowledge that under the Pre GC0112 Grid Code NGET received certain data and information from Users which is no longer "live" data or information ("Legacy Data") that if it was new data and information of that type would not be available to NGET as a Relevant Transmisison Licence from the SO Transfer Date consent to the retention of such Legacy Data by NGET where embedded in NGET systems or models.

< END OF GENERAL CONDITIONS >

# REVISIONS

(R)

(This section does not form part of the Grid Code)

- R.1 **The Company's Transmission Licence** sets out the way in which changes to the Grid Code are to be made and reference is also made to **The Company's** obligations under the General Conditions.
- R.2 All pages re-issued have the revision number on the lower left hand corner of the page and date of the revision on the lower right hand corner of the page.
- R.3 The Grid Code was introduced in March 1990 and the first issue was revised 31 times. In March 2001 the New Electricity Trading Arrangements were introduced and Issue 2 of the Grid Code was introduced which was revised 16 times. At British Electricity Trading and Transmission Arrangements (BETTA) Go-Active Issue 3 of the Grid Code was introduced and subsequently revised 35 times. At Offshore Go-active Issue 4 of the Grid Code was introduced and has been revised 13 times since its original publication. Issue 5 of the Grid Code was published to accommodate the changes made by Grid Code Modification A/10 which has incorporated the Generator compliance process into the Grid Code.
- R.4 This Revisions section provides a summary of the sections of the Grid Code changed by each revision to Issue 5.
- R.5 All enquiries in relation to revisions to the Grid Code, including revisions to Issues 1, 2, 3, 4 and 5 should be addressed to the Grid Code development team at the following email address:

Grid.Code@nationalgrideso.com

Revision	Section	Related Modification	Effective Date
0	Glossary and Definitions	A/10 and G/11	17 August 2012
0	Planning Code – PC.2.1	G/11	17 August 2012
0	Planning Code – PC.5.4	G/11	17 August 2012
0	Planning Code – PC.8	G/11	17 August 2012
0	Planning Code – PC.8.2	G/11	17 August 2012
0	Planning Code – PC.A.1	G/11	17 August 2012
0	Planning Code – PC.A.2	A/10 and G/11	17 August 2012
0	Planning Code – PC.A.3	G/11	17 August 2012
0	Planning Code – PC.A.5	A/10 and G/11	17 August 2012
0	Compliance Processes	A/10	17 August 2012
0	Connection Conditions – CC.1.1	A/10	17 August 2012
0	Connection Conditions – CC.2.2	G/11	17 August 2012
0	Connection Conditions – CC.3.3	A/10	17 August 2012
0	Connection Conditions – CC.4.1	A/10	17 August 2012
0	Connection Conditions – CC.5.2	G/11	17 August 2012
0	Connection Conditions – CC.6.1	G/11	17 August 2012
0	Connection Conditions – CC.6.3	G/11	17 August 2012
0	Connection Conditions – CC.6.6	A/10	17 August 2012
0	Connection Conditions – CC.7.2	G/11	17 August 2012

Revision	Section	Related Modification	Effective Date
0	Connection Conditions – CC.7.4	G/11	17 August 2012
0	Connection Conditions – CC.A.1	G/11	17 August 2012
0	Connection Conditions – CC.A.2	G/11	17 August 2012
0	Connection Conditions – CC.A.3	G/11	17 August 2012
0	Connection Conditions – CC.A.4	G/11	17 August 2012
0	Connection Conditions – CC.A.6	A/10	17 August 2012
0	Connection Conditions – CC.A.7	A/10 and G/11	17 August 2012
0	Connection Conditions – Figure CC.A.3.1	G/11	17 August 2012
0	Operating Code No. 2 – OC2.4	G/11	17 August 2012
0	Operating Code No. 2 – OC2.A.1	G/11	17 August 2012
0	Operating Code No. 5 – OC5.3	A/10	17 August 2012
0	Operating Code No. 5 – OC5.5	A/10 and G/11	17 August 2012
0	Operating Code No. 5 – OC5.7	G/11	17 August 2012
0	Operating Code No. 5 – OC5.8	A/10 and G/11	17 August 2012
0	Operating Code No. 5 – OC5.A.1	A/10	17 August 2012
0	Operating Code No. 5 – OC5.A.2	A/10	17 August 2012
0	Operating Code No. 5 – OC5.A.3	A/10	17 August 2012
0	Operating Code No. 5 – OC5.A.4	A/10	17 August 2012
0	Operating Code No. 7 – OC7.4	G/11	17 August 2012
0	Operating Code No. 8 – OC8.2	G/11	17 August 2012

Revision	Section	Related Modification	Effective Date
0	Operating Code No. 8 – OC8A.1	G/11	17 August 2012
0	Operating Code No. 8 – OC8A.5	G/11	17 August 2012
0	Operating Code No. 8 – OC8B.1	G/11	17 August 2012
0	Operating Code No. 8 – OC8B.4	G/11	17 August 2012
0	Operating Code No. 8 – OC8B.5	G/11	17 August 2012
0	Operating Code No. 8 – OC8B Appendix E	G/11	17 August 2012
0	Operating Code No. 9 – OC9.2	G/11	17 August 2012
0	Operating Code No. 9 – OC9.4	G/11	17 August 2012
0	Operating Code No. 9 – OC9.5	G/11	17 August 2012
0	Operating Code No. 12 – OC12.3	G/11	17 August 2012
0	Operating Code No. 12 – OC12.4	G/11	17 August 2012
0	Balancing Code No. 1 – BC1.5	G/11	17 August 2012
0	Balancing Code No. 1 – BC1.8	G/11	17 August 2012
0	Balancing Code No. 1 – BC1.A.1	G/11	17 August 2012
0	Balancing Code No. 2 – BC2.5	G/11	17 August 2012
0	Balancing Code No. 2 – BC2.8	G/11	17 August 2012
0	Balancing Code No. 2 – BC2.A.2	G/11	17 August 2012
0	Balancing Code No. 2 – BC2.A.3	G/11	17 August 2012
0	Balancing Code No. 2 – BC2.A.4	G/11	17 August 2012
0	Balancing Code No. 3 – BC3.5	G/11	17 August 2012

Revision	Section	Related Modification	Effective Date
0	Balancing Code No. 3 – BC3.7	G/11	17 August 2012
0	Data Registration Code – DRC.1.5	G/11	17 August 2012
0	Data Registration Code – DRC.4.2	G/11	17 August 2012
0	Data Registration Code – DRC.4.4	G/11	17 August 2012
0	Data Registration Code – DRC.5.2	A/10 and G/11	17 August 2012
0	Data Registration Code – DRC.5.5	G/11	17 August 2012
0	Data Registration Code – DRC.6.1	A/10 and G/11	17 August 2012
0	Data Registration Code – DRC.6.2	A/10	17 August 2012
0	Data Registration Code – Schedule 1	A/10 and G/11	17 August 2012
0	Data Registration Code – Schedule 2	G/11	17 August 2012
0	Data Registration Code – Schedule 3	G/11	17 August 2012
0	Data Registration Code – Schedule 4	G/11	17 August 2012
0	Data Registration Code – Schedule 5	G/11	17 August 2012
0	Data Registration Code – Schedule 10	G/11	17 August 2012
0	Data Registration Code – Schedule 12A	G/11	17 August 2012
0	Data Registration Code – Schedule 14	A/10 and G/11	17 August 2012
0	Data Registration Code – Schedule 15	G/11	17 August 2012
0	Data Registration Code – Schedule 19	A/10	17 August 2012
0	General Conditions – GC.4	G/11	17 August 2012
0	General Conditions – GC.12	G/11	17 August 2012

Revision	Section	Related Modification	Effective Date
0	General Conditions – GC.15	G/11	17 August 2012
0	General Conditions – GC.A1	G/11	17 August 2012
0	General Conditions – GC.A2	G/11	17 August 2012
0	General Conditions – GC.A3	G/11	17 August 2012
1	Operating Code No. 8 – OC8A.5.3.4	C/12	6 November 2012
1	Operating Code No. 8 – OC8B.5.3.4	C/12	6 November 2012
2	Balancing Code No. 1 – BC1.2.1	B/12	31 January 2013
2	Balancing Code No. 1 – BC1.4.2	B/12	31 January 2013
2	Balancing Code No. 1 – BC1.A.1.5	B/12	31 January 2013
2	Connection Conditions – CC.7.7	D/12	31 January 2013
3	Glossary and Definitions	C/11	2 April 2013
3	Operating Code No. 8 – OC8A.4.3.5	B/10	2 April 2013
3	Operating Code No. 8 – OC8B.4.3.5	B/10	2 April 2013
3	Balancing Code No. 2 – BC2.5	C/11	2 April 2013
4	Glossary and Definitions	GC0060 (F/12)	19 August 2013
4	Planning Code – PC.A.5	GC0040 (A/12)	19 August 2013
4	Operating Code No. 2 – OC2.A.10	GC0060 (F/12)	19 August 2013
4	Data Registration Code – Schedule 1	GC0040 (A/12)	19 August 2013
4	Data Registration Code – Schedule 2	GC0060 (F/12)	19 August 2013
5	Glossary and Definitions	GC0033, 71, 72 and 73	05 November 2013

Revision	Section	Related Modification	Effective Date
5	General Conditions – GC.4	GC0071, 72 and 73	05 November 2013
5	General Conditions – GC.14	GC0071, 72 and 73	05 November 2013
5	General Conditions – GC.16	GC0071, 72 and 73	05 November 2013
6	Connection Conditions – CC.A.7	GC0065	13 December 2013
6	Planning Code – PC.A.3	GC0037	13 December 2013
6	Operating Code No. 2 – OC2.4.2	GC0037	13 December 2013
6	Operating Code No. 2 – Appendix 4	GC0037	13 December 2013
6	Balancing Code No. 1 – BC1.4.2	GC0037	13 December 2013
6	Balancing Code No. 1 – BC1.A.1.8	GC0037	13 December 2013
7	Glossary and Definitions	GC0044	31 March 2014
7	Operating Code No. 9 – OC9.2.5	GC0044	31 March 2014
7	Operating Code No. 9 – OC9.4.6	GC0044	31 March 2014
7	Operating Code No. 9 – OC9.4.7.4	GC0044	31 March 2014
7	Operating Code No. 9 – OC9.4.7.9	GC0044	31 March 2014
7	Operating Code No. 9 – OC9.4.7.10	GC0044	31 March 2014
7	Balancing Code No. 2 – BC2.9.2.2	GC0044	31 March 2014
8	Glossary and Definitions	Secretary of State direction – Generator	10 June 2014

Revision	Section	Related Modification	Effective Date
		Commissioning Clause	
8	Planning Code	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Connection Conditions	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Compliance Processes	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Operating Code No. 5	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Operating Code No. 7	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Operating Code No. 8	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Operating Code No. 8A	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Operating Code No. 8B	Secretary of State direction – Generator Commissioning Clause	10 June 2014
8	Balancing Code No. 2	Secretary of State direction – Generator Commissioning Clause	10 June 2014

Revision	Section	Related Modification	Effective Date
9	Operating Code No. 6 – OC6.5	GC0050	01 July 2014
9	Operating Code No. 6 – OC6.7	GC0050	01 July 2014
9	Balancing Code No. 2 – Appendix 3 Annexures	GC0068	01 July 2014
9	Balancing Code No. 2 – Appendix 4 Annexure	GC0068	01 July 2014
10	Glossary and Definitions	Secretary of State direction – EMR	01 August 2014
10	Planning Code – PC.5.4	Secretary of State direction – EMR	01 August 2014
10	Planning Code – PC.5.6	Secretary of State direction – EMR	01 August 2014
10	General Conditions – GC.4.6	Secretary of State direction – EMR	01 August 2014
10	General Conditions – GC.12	Secretary of State direction – EMR	01 August 2014
11	Planning Code – PC.A.3.1.4	GC0042	21 August 2014
11	Planning Code – PC.A.5	GC0042	21 August 2014
11	Data Registration Code – DRC6.1.11	GC0042	21 August 2014
11	Data Registration Code – Schedule 11	GC0042	21 August 2014
12	Glossary and Definitions	GC0083	01 November 2014
12	Planning Code – PC.A.3.4.3	GC0083	01 November 2014
12	Planning Code – PC.D.1	GC0052	01 November 2014
12	Operating Code No. 2 – OC2.4.2.3	GC0083	01 November 2014
12	Operating Code No. 2 – OC2.4.7	GC0083	01 November 2014

Revision	Section	Related Modification	Effective Date
12	Operating Code No. 6 – OC6.1.5	GC0061	01 November 2014
12	Data Registration Code – Schedule 1	GC0052	01 November 2014
12	Data Registration Code – Schedule 2	GC0052	01 November 2014
12	Data Registration Code – Schedule 6	GC0083	01 November 2014
13	Glossary and Definitions	GC0063	22 January 2015
13	Connection Conditions – CC.6.5.6	GC0063	22 January 2015
13	Balancing Code No. 1 – BC1.A.1.3.1	GC0063	22 January 2015
13	General Conditions – Annex to General Conditions	GC0080	22 January 2015
14	Connection Conditions - CC6.1.7	GC0076	26 August 2015
15	Glossary and Definitions	GC0023	03 February 2016
15	Connection Conditions - CC6.2.2	GC0023	03 February 2016
15	Connection Conditions - CC6.2.3	GC0023	03 February 2016
15	Planning Code - PC.A.5.3.2	GC0028	03 February 2016
15	Connection Conditions - CC 6.3.2	GC0028	03 February 2016
15	Connection Conditions - CC 6.3.8	GC0028	03 February 2016
15	Compliance Processes – CP.A.3.3.2	GC0028	03 February 2016
15	Compliance Processes – CP.A.3.3.3 & 4	GC0028	03 February 2016
15	Operating Code No. 2 – OC2.4.2.1	GC0028	03 February 2016
15	Operating Code No. 5 - OC5.A.2.7.5	GC0028	03 February 2016
15	Balancing Code No. 2 – BC2.A.2.6	GC0028	03 February 2016

Revision	Section	Related Modification	Effective Date
15	Data Registration Code – Schedule 1	GC0028	03 February 2016
15	Connection Conditions - CC.6.1.5	GC0088	03 February 2016
15	Connection Conditions - CC.6.1.6	GC0088	03 February 2016
16	Connections Conditions - CC.6.3.15.1	GC0075	24 May 2016
16	Connections Conditions - CC.6.3.15.2	GC0075	24 May 2016
16	Connections Conditions - CC.A.7.2.3.1	GC0075	24 May 2016
16	Connections Conditions - CC.A.7.2.3.2	GC0075	24 May 2016
16	Operating Code No. 9 – OC9.4.7.9	Communications/ Interface Standards	24 May 2016
16	General Condition - Annex to General Conditions	Communications/ Interface Standards	24 May 2016
16	Glossary and Definitions – 'Cluster' removed	Housekeeping change - error resulting from Issue 3 Revision 10	24 May 2016
16	Glossary and Definitions – 'Maximum Import Capacity' amended	Housekeeping change – duplicate definition	24 May 2016
17	Connections Conditions - CC.6.3.15.1	GC0062	29 June 2016
17	Connections Conditions - CC.6.3.15.2	GC0062	29 June 2016
17	Connections Conditions – Appendix 4	GC0062	29 June 2016
18	Operating Code No. 2 – OC2.4.1.3	GC0092	11 August 2016
19	Glossary and Definitions 'Inadequate System Margin' amended	GC0093	30 September 2016

Revision	Section	Related Modification	Effective Date
19	Operating Conditions – OC7.4.8.4	GC0093	30 September 2016
19	Operating Conditions – OC7.4.8.5	GC0093	30 September 2016
19	Operating Conditions – OC7.4.8.6	GC0093	30 September 2016
19	Operating Conditions – OC7.4.8.6.1	GC0093	30 September 2016
19	Operating Conditions – OC7.4.8.10	GC0093	30 September 2016
19	Operating Conditions – Appendix 1	GC0093	30 September 2016
19	Balancing Conditions – BC1.5.4	GC0093	30 September 2016
19	Balancing Conditions – BC2.4.2	GC0093	30 September 2016
20	General Conditions - GC	GC0086	20 February 2017
20	Glossary and Definitions	GC0086	20 February 2017
20	Constitution and Rules of the Grid Code Review Panel	GC0086	20 February 2017
20	Governance Rules - GR	GC0086	20 February 2017
21	Connection Conditions – CC	GC0077	21 March 2017
22	Glossary and Definitions	GC0100, 101 and 102	16 May 2018
22	Planning Code - PC	GC0100, 101 and 102	16 May 2018
22	Connections Code - CC	GC0100, 101 and 102	16 May 2018

Revision	Section	Related Modification	Effective Date
22	European Connections Code - ECC	GC0100, 101 and 102	16 May 2018
22	Compliance Processes	GC0100, 101 and 102	16 May 2018
22	European Compliance Processes	GC0100, 101 and 102	16 May 2018
22	Operating Code No.1	GC0100, 101 and 102	16 May 2018
22	Operating Code No.2	GC0100, 101 and 102	16 May 2018
22	Operating Code No.5	GC0100, 101 and 102	16 May 2018
22	Operating Code No.6	GC0100, 101 and 102	16 May 2018
22	Operating Code No.7	GC0100, 101 and 102	16 May 2018
22	Operating Code No.8	GC0100, 101 and 102	16 May 2018
22	Operating Code No.8a	GC0100, 101 and 102	16 May 2018
22	Operating Code No.8b	GC0100, 101 and 102	16 May 2018
22	Operating Code No.9	GC0100, 101 and 102	16 May 2018
22	Operating Code No.10	GC0100, 101 and 102	16 May 2018
22	Operating Code No.11	GC0100, 101 and 102	16 May 2018
22	Operating Code No.12	GC0100, 101 and 102	16 May 2018

Revision	Section	Related Modification	Effective Date
22	Balancing Code No.1	GC0100, 101 and 102	16 May 2018
22	Balancing Code No.2	GC0100, 101 and 102	16 May 2018
22	Balancing Code No.3	GC0100, 101 and 102	16 May 2018
22	Data Registration Code	GC0100, 101 and 102	16 May 2018
23	Governance Rules	GC0119	10 August 2018
24	Glossary and Definitions	G0115 and GC0116	16 August 2018
24	Planning Code	GC0115	16 August 2018
24	Connection Conditions	GC0115	16 August 2018
24	European Connection Conditions	GC0115	16 August 2018
24	Compliance Processes	GC0115	16 August 2018
24	European Compliance Processes	GC0115	16 August 2018
24	Operating Code No.5	GC0115	16 August 2018
24	Operating Code No.8a	GC0115	16 August 2018
24	Balancing Code No.1	GC0115	16 August 2018
24	Balancing Code No.2	GC0115	16 August 2018
24	Data Registration Code	GC0115	16 August 2018
25	Glossary and Definitions	GC0097 and GC0104	07 September 2018
25	Balancing Code No.1	GC0097	07 September 2018

Revision	Section	Related Modification	Effective Date
25	Balancing Code No.2	GC0097	07 September 2018
25	Balancing Code No.4	GC0097	07 September 2018
25	Planning Code	GC0104	07 September 2018
25	Connection Conditions	GC0104	07 September 2018
25	European Connection Conditions	GC0104	07 September 2018
25	Demand Response Services	GC0104	07 September 2018
25	European Compliance Processes	GC0104	07 September 2018
25	Data Registration Code	GC0104	07 September 2018
26	Preface	GC0115	26 September 2018
26	Glossary Definitions	GC0115	26 September 2018
26	Operating Code 1	GC0115	26 September 2018
26	Operating Code 2	GC0115	26 September 2018
26	Operating Code 6	GC0115	26 September 2018
26	Operating Code 7	GC0115	26 September 2018
26	Operating Code 8	GC0115	26 September 2018
26	Operating Code 8B	GC0115	26 September 2018
26	Operating Code 9	GC0115	26 September 2018
26	Operating Code 10	GC0115	26 September 2018
26	Operating Code 11	GC0115	26 September 2018
26	Operating Code 12	GC0115	26 September 2018

Revision	Section	Related Modification	Effective Date
26	Balancing Code 3	GC0115	26 September 2018
26	General Conditions	GC0115	26 September 2018
26	Governance Rules	GC0115	26 September 2018
26	Glossary Definitions	GC0116	26 September 2018
27	European Connection Conditions	GC0110	04 October 2018
28	Glossary Definitions	GC0099	01 November 2018
28	Balancing Code 1	GC0099	01 November 2018
28	Balancing Code 2	GC0099	01 November 2018
29	Planning Code	GC0098	01 November 2018
30	Operating Code 5	GC0108	18 December 2018
31	Planning Code	GC0106	14 March 2019
31	Data Registration Code	GC0106	14 March 2019
32	Glossary and Definitions	GC0112	1 April 2019
32	Planning Code	GC0112	1 April 2019
32	Connections Conditions	GC0112	1 April 2019
32	European Connections	GC0112	1 April 2019
32	Operating Code 6	GC0112	1 April 2019
32	Operating Code 7	GC0112	1 April 2019
32	Operating Code 8	GC0112	1 April 2019
32	Operating Code 8A	GC0112	1 April 2019

32Operating Code32Operating Code32Balancing Code32Balancing Code32Data Registration32General Condit32Governance R32Glossary and Def33Glossary and Def33Planning Code33European Connection	e 11 GC0112 le 1 GC0112 le 2 GC0112 le 2 GC0112 i Code GC0112 ions GC0112	1 April 2019         1 April 2019
32       Balancing Cod         32       Balancing Cod         32       Data Registration         32       General Condit         32       Governance R         32       Glossary and Def         33       Glossary and Def         33       Connection Cond	e 11       GC0112         le 1       GC0112         le 2       GC0112         i Code       GC0112         ions       GC0112	1 April 2019 1 April 2019 1 April 2019 1 April 2019
32     Balancing Cod       32     Data Registration       32     General Condit       32     Governance R       32     Glossary and Def       33     Glossary and Def       33     Planning Cod       33     Connection Cond	le 1     GC0112       le 2     GC0112       i Code     GC0112       ions     GC0112	1 April 2019 1 April 2019
32       Data Registration         32       General Condit         32       Governance R         32       Glossary and Def         33       Glossary and Def         33       Planning Cod         33       Connection Cond	ions GC0112	1 April 2019
32     General Condit       32     Governance R       32     Glossary and Def       33     Glossary and Def       33     Planning Cod       33     Connection Cond	ions GC0112	
32   Governance R     32   Glossary and Def     33   Glossary and Def     33   Planning Cod     33   Connection Cond	IONS	1 April 2010
32   Glossary and Def     33   Glossary and Def     33   Planning Cod     33   Connection Cond	CC0112	1 April 2019
33   Glossary and Def     33   Planning Cod     33   Connection Cond	ules	1 April 2019
33   Planning Cod     33   Connection Cond	initions GC0120	1 April 2019
33 Connection Cond	initions GC0122	5 April 2019
	de GC0122	5 April 2019
33 European Connection	ditions GC0122	5 April 2019
	Conditions GC0122	5 April 2019
33 Demand Response	Services GC0122	5 April 2019
33 European Compliance	Processes GC0122	5 April 2019
33 Balancing Coc	le 1 GC0122	5 April 2019
33 Balancing Coc	le 2 GC0122	5 April 2019
33 Data Registration	Code GC0122	5 April 2019
33 General Condit	I	5 April 2019

< END OF REVISIONS>