# **EUROPEAN COMPLIANCE PROCESSES**

# (ECP) GC0096 STORAGE – 12 NOVEMBER 2018

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(This contents page does not form part of the Grid Code)

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#### **EUROPEAN COMPLIANCE PROCESSES**

#### ECP.1 INTRODUCTION

ECP.1.1

The European Compliance Processes ("ECP") specifies the compliance process in relation to directly connected and Embedded Power Stations (subject to a Bilateral Agreement), HVDC Systems, and Network Operator's or Non-Embedded Customer's Plant and Apparatus. For the avoidance of doubt, the requirements of the European Compliance Processes do not apply to Demand Response Providers unless they are also an EU Code User and have entered into a CUSC Contract with NGET.

Generators in respect of Electricity Storage Modules are required to meet the requirements of this ECC but are not required to satisfy the requirements of European Regulation (EU) 2016/631, European Regulation 2016/1388 or European Regulation 2016/1485. Any derogation in respect of Electricity Storage Modules would therefore be against the GB Grid Code as the requirements applicable to Electricity Storage Modules are not enforceable by EU Law:

#### (i) Type A Power Generating Modules:

the process for issuing and receiving an **Installation Document** which must be followed by **The Company** and any **User** with a **Type A Power Generating Module** to demonstrate its compliance with the **Grid Code** in relation to its **Plant** and **Apparatus** prior to the relevant **Plant** and **Apparatus** being energised.

(ii) Type B, Type C or Type D Power Generating Modules and HVDC Systems:

the process (leading to an Energisation Operational Notification) which must be followed by The Company and any User with a Type B, Type C or Type D Power Generating Module or HVDC System to demonstrate its compliance with the Grid Code in relation to its Plant and Apparatus (including OTSUA) prior to the relevant Plant and Apparatus (including any OTSUA) being energised.

the process (leading to an Interim Operational Notification and Final Operational Notification) which must be followed by The Company and any User with a Type B, Type C or Type D Power Generating Module or HVDC System or HVDC System Owner to demonstrate its compliance with the Grid Code in relation to its Plant and Apparatus (including and dynamically controlled OTSUA). This process shall be followed prior to and during the course of the relevant Plant and Apparatus (including OTSUA) being energised and Synchronised.

the process (leading to a Limited Operational Notification) which must be followed by The Company and each User with a Type B, Type C or Type D Power Generating Module or HVDC System where any of its Plant and/or Apparatus (including any OTSUA) becomes unable to comply with relevant provisions of the Grid Code, and where applicable with Appendices F1 to F5 of the Bilateral Agreement (and in the case of OTSUA Appendices OF1 to OF5 of the Bilateral Agreement). This process also includes when changes or Modifications are made to Plant and/or Apparatus (including OTSUA). This process applies to such Plant and/or Apparatus after the Plant and/or Apparatus has become Operational and until Disconnected from the Total System, (or until, in the case of

**OTSUA**, the **OTSUA Transfer Time**) when changes or **Modifications** are made.

(iii) Network Operator's or Non-Embedded Customer's Plant and Apparatus:

the process (leading to an Energisation Operational Notification) which must be followed by NGET and any Network Operator or Non-Embedded Customer to demonstrate its compliance with the Grid Code in relation to its Plant and Apparatus prior to the relevant Plant and Apparatus being energised.

the process (leading to an Interim Operational Notification and Final Operational Notification) which must be followed by NGET and any Network Operator or Non-Embedded Customer to demonstrate its compliance with the Grid Code in relation to its Plant and Apparatus. This process shall be followed prior to and during the course of the relevant Plant and Apparatus being energised and operated by using the grid connection.

the process (leading to a Limited Operational Notification) which must be followed by NGET and each Network Operator or Non-Embedded Customer where any of its Plant and/or Apparatus becomes unable to comply with relevant provisions of the Grid Code, and where applicable with Appendices F1 to F5 of the Bilateral Agreement. This process also includes changes or Modifications made to the Plant and/or Apparatus. This process applies to such Plant and/or Apparatus after the Plant and/or Apparatus has become operational and until Disconnected from the Transmission System.

- As used in the ECP references to OTSUA means OTSUA to be connected or connected to the National Electricity Transmission System prior to the OTSUA Transfer Time.
- Where a **Generator** or **HVDC System Owner** and/or **The Company** are required to apply for a derogation to the **Authority**, this is not in respect of **OTSUA**.

#### ECP.2 OBJECTIVE

- The objective of the ECP is to ensure that there is a clear and consistent process for demonstration of compliance by Users with the European Connection Conditions and Bilateral Agreement and will enable The Company to comply with its statutory and Transmission Licence obligations. For the avoidance of doubt, the requirements of the European Compliance Processes do not apply to Demand Response Providers unless they are also an EU Code User and have entered into a CUSC Contract with NGET.
- Provisions of the **ECP** which apply in relation to **OTSDUW** and **OTSUA** shall (in any particular case) apply up to the **OTSUA Transfer Time**, whereupon such provisions shall (without prejudice to any prior non-compliance) cease to apply.
- In relation to OTSDUW, provisions otherwise to be contained in a Bilateral Agreement may be contained in the Construction Agreement, and accordingly a reference in the ECP to a relevant Bilateral Agreement includes the relevant Construction Agreement.

#### ECP.3 SCOPE

- ECP.3.1 The **ECP** applies to **The Company** and to **Users**, which in the **ECP** means:
  - (a) **EU Generators** (other than in relation to **Embedded Power Stations** not subject to a **Bilateral Agreement**) including those undertaking **OTSDUW**.
  - (b) **Network Operators** who are either;
    - (i) EU Code Users in respect of their entire distribution System; or
    - (ii) **GB Code Users** in respect of their **EU Grid Supply Points** only
  - (c) Non-Embedded Customers who are EU Code Users;
  - (d) HVDC System Owners (other than those which only have Embedded HVDC Systems not subject to a Bilateral Agreement).
  - The above categories of **User** will become bound by the **ECP** prior to them generating, distributing, supplying or consuming, or in the case of **OTSUA**, transmitting, as the case may be, and references to the various categories should, therefore, be taken as referring to them in that prospective role.
  - For the avoidance of doubt, **Demand Response Providers** do not need to satisfy the requirements of this **ECP** unless they are also defined as an **EU Code User** and have a **CUSC Contract** with **NGET**. Where a **Demand Response Provider** is not an **EU Code User** and does not have a **CUSC Contract** with **NGET**, the requirements of the **Demand Response Services Code** shall only apply.
  - For the avoidance of doubt, this **ECP** does not apply to **GB Code Users** other than in respect of **Network Operator's EU Grid Supply Points**.

#### ECP.4 <u>CONNECTION PROCESS</u>

- The CUSC Contract(s) contain certain provisions relating to the procedure for connection to the National Electricity Transmission System or, in the case of Embedded Power Stations or Embedded HVDC Systems, becoming operational and include provisions to be complied with by Users prior to and during the course of The Company notifying the User that it has the right to become operational. In addition to such provisions this ECP sets out in further detail the processes to be followed to demonstrate compliance. While this ECP does not expressly address the processes to be followed in the case OTSUA connecting to a Network Operator's User System prior to OTSUA Transfer Time, the processes to be followed by The Company and the Generator in respect of the OTSUA in such circumstances shall be consistent with those set out below by reference OTSUA directly connected to the National Electricity Transmission System.
- The provisions contained in ECP.5 to ECP.7 detail the process to be followed in order for the **User's Plant** and **Apparatus** (including **OTSUA**) to become operational. This process includes
  - (i) the acceptance of an Installation Document for a Type A Power Generating Module;

- (ii) for energisation an EON for Type B, Type C or Type D Power Generating Modules, or HVDC Equipment or Network Operator's or Non-Embedded Customer's Plant and Apparatus;
- (iii) for synchronising an ION for Type B, Type C or Type D Power Generating Modules or HVDC Equipment;
- (iv) for operating by using the **Grid Supply Point** an **ION** for;
  - Network Operators who are EU Code Users in respect of their entire distribution System:
  - Network Operators who are GB Code Users in respect of their EU Grid Supply Points only: or
  - c. Non-Embedded Customers who are EU Code Users:
- (v) for final certification a **FON**.
- The provisions contained in ECP.5 relate to the connection and energisation of User's Plant and Apparatus (including OTSUA) to the National Electricity Transmission System or where Embedded, to a User's System.
- The provisions contained in ECP.6 and ECP.7 provide the process for Generators, HVDC System Owners, Network Operators and non-Embedded Customers to demonstrate compliance with the Grid Code and with, where applicable, the CUSC Contract(s) prior to and during the course of such Generator's, HVDC System Owner's (including OTSUA up to the OTSUA Transfer Time), Network Operator's and Noon-Embedded Customer's Plant and Apparatus) becoming operational.
- ECP.4.2.3 The provisions contained in ECP.8 detail the process to be followed when:
  - a Generator's or HVDC System Owner's, or Network Operator's or Non-Embedded Customer's Plant and/or Apparatus (including the OTSUA) is unable to comply with any provisions of the Grid Code and Bilateral Agreement; or,
  - (b) following any notification by a **Generator** or a **HVDC System Owner** or a **Network Operator** or a **Non-Embedded Customer** under the **PC** of any change to its **Plant** and **Apparatus** (including any **OTSUA**); or.
  - (c) a Modification to a Generator's or a HVDC System Owner's or a Network Operator's or a Non-Embedded Customer's Plant and/or Apparatus.
- ECP.4.3 <u>Embedded Medium Power Stations not subject to a Bilateral Agreement</u> and <u>Embedded HVDC Equipment not subject to a Bilateral Agreement</u>
- In the case of Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Systems not subject to a Bilateral Agreement, ensuring the obligations of the ECC and Appendix E of the relevant Bilateral Agreement between The Company and the host Network Operator are performed and discharged by the relevant party. For the avoidance of doubt the process in this ECP does not apply to Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Equipment not subject to a Bilateral Agreement.
- ECP.5 <u>ENERGISATION OPERATIONAL NOTIFICATION</u>
- The following provisions apply in relation to the issue of an Energisation
  Operational Notification in respect of a Power Station consisting of Type
  B, Type C or Type D Power Generating Modules or an HVDC System or

- a **Network Operator's** or a **Non-Embedded Customer's Plant** and **Apparatus**.
- Certain provisions relating to the connection and energisation of the User's Plant and Apparatus at the Connection Site and OTSUA at the Transmission Interface Point and in certain cases of Embedded Plant and Apparatus are specified in the CUSC and/or CUSC Contract(s). For other Embedded Plant and Apparatus the Distribution Code, the DCUSA and the Embedded Development Agreement for the connection specify equivalent provisions. Further detail on this is set out in ECP.5 below.
- ECP.5.2 The items for submission prior to the issue of an **Energisation Operational Notification** are set out in ECC.5.2
- In the case of a **Generator** or **HVDC System Owner** the items referred to in ECC.5.2 shall be submitted using the **Power Generating Module Document** or **User Data File Structure** as applicable.
- Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **User** wishing to energise its **Plant** and **Apparatus** (including passive **OTSUA**) for the first time, the **User** will submit to **The Company** a Certificate of Readiness to Energise **High Voltage** Equipment which specifies the items of **Plant** and **Apparatus** (including **OTSUA**) ready to be energised in a form acceptable to **The Company**.
- If the relevant obligations under the provisions of the CUSC and/or CUSC Contract(s) and the conditions of ECP.5 have been completed to The Company's reasonable satisfaction then The Company shall issue an Energisation Operational Notification. Any dynamically controlled reactive compensation OTSUA (including Statcoms or Static Var Compensators) shall not be Energised until the appropriate Interim Operational Notification has been issued in accordance with ECP.6.
- ECP.6 OPERATIONAL NOTIFICATION PROCESSES
- ECP.6.1 OPERATIONAL NOTIFICATION PROCESS (Type A)
- ECP.6.1.1 The following provisions apply in relation to the notification process in in respect of a **Power Station** consisting of **Type A Power Generating Modules.**
- ECP.6.1.2 Not less than 7 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** wishing to **Synchronise** its **Plant** and **Apparatus** for the first time the **Generator** will:
  - (i) submit to The Company a Notification of the User's Intention to Connect; and
  - (ii) submit to **The Company** an **Installation Document** containing at least but not limited to the items referred to at ECP.6.1.3.
- ECP.6.1.3 Items for submission prior to connection.
- Prior to the issue of an acknowledgment to connect the **Generator** must submit to **The Company** to **The Company's** satisfaction an **Installation Document** containing at least but not limited to:
  - (i) The location at which the connection is made;

- (ii) The date of the connection;
- (iii) The maximum capacity of the installation in kW;
- (iv) The type of primary energy source;
- (v) The classification of the **Power Generating Module** as an emerging technology;
- (vi) A list of references to Equipment Certificates issued by an authorised certifier or otherwise agreed with The Company used for equipment that is installed at the site or copies of the relevant Equipment Certificates issued by an Authorised Certifier or otherwise where these are relied upon as part of the evidence of compliance;
- (vii) As regards equipment used, for which an **Equipment Certificate** has not been received, information shall be provided as directed by **The Company** or the **Relevant Network Operator**; and
- (viii) The contact details of the **Generator** and the installer and their signatures.
- The items referred to in ECP.6.1.3 shall be submitted by the **Generator** in the form of an **Installation Document** for each applicable **Power Generating Module**.
- ECP.6.1.4 No **Power Generating Module** shall be **Synchronised** to the **Total System** until the later of:
  - the date specified by the Generator in the Installation Document issued in respect of each applicable Power Generating Module(s); and,
  - (b) acknowledgement is received from The Company confirming receipt of the Installation Document.
- When the requirements of ECP.6.1.2 to ECP.6.1.4 have been met, **The Company** will notify the **Generator** that the **Power Generating Module** may (subject to the **Generator** having fulfilled the requirements of ECP.6.1.3 where that applies) be **Synchronised** to the **Total System**.
- ECP.6.1.6 Not less than 7 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** wishing to decommission its **Plant** and **Apparatus** the **Generator** will submit to **The Company** a **Notification of User's Intention to Disconnect**.
- ECP.6.2 INTERIM OPERATIONAL NOTIFICATION (Type B and Type C)
- ECP.6.2.1 The following provisions apply in relation to the issue of a **Interim**Operational Notification in respect of a **Power Station** consisting of **Type**B and(or) **Type C Power Generating Modules.**
- Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** wishing to **Synchronise** its **Plant** and **Apparatus** or dynamically controlled **OTSUA** for the first time the **Generator or HVDC Equipment** owner will:
  - (iii) submit to The Company a Notification of User's Intention to Synchronise; and

- (iv) submit to **The Company** an initial **Power Generating Module Document** containing at least but not limited to the items referred to at ECP.6.2.3.
- ECP.6.2.3 Items for submission prior to issue of the **Interim Operational Notification**.
- Prior to the issue of a **Interim Operational Notification** in respect of the **EU**Code User's Plant and Apparatus or dynamically controlled OTSUA the

  Generator must submit to The Company to The Company's satisfaction a

  Interim Power Generating Module Document containing at least but not limited to:
  - (i) updated **Planning Code** data (both **Standard Planning Data** and **Detailed Planning Data**), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for **Forecast Data** items such as **Demand**;
  - (ii) for **Type C Power Generating Modules** the simulation models;
  - (iii) details of any special **Power Generating Module(s)** protection as required by ECC.6.2.2.3 . This may include Pole Slipping protection and islanding protection schemes as applicable;
  - (iv) simulation study provisions of Appendix ECP.A.3 and the results demonstrating compliance with **Grid Code** requirements of:

PC.A.5.4.2 PC.A.5.4.3.2, ECC.6.3.4, ECC.6.3.7.3.1 to ECC.6.3.7.3.6, ECC.6.3.15, ECC.6.3.16 ECC.A.6.2.5.6 ECC.A.7.2.3.1

as applicable to the **Power Generating Module(s)** or dynamically controlled **OTSUA** unless agreed otherwise by **The Company**;

- (v) a detailed schedule of the tests and the procedures for the tests required to be carried out by the Generator under ECP.7.2 to demonstrate compliance with relevant Grid Code requirements. Such schedule to be consistent with Appendix ECP.A.5 (in the case of a Synchronous Power Generating Module) or Appendix ECP.A.6 (in the case of a Power Park Modules) and OTSUA as applicable);
- (vi) copies of Manufacturer's Test Certificates or Equipment Certificates issued by an Authorised Certifier or equivalent as agreed with The Company where these are relied upon as part of the evidence of compliance and
- (vii) a Compliance Statement and a User Self Certification of Compliance completed by the EU Code User (including any Unresolved Issues) against the relevant Grid Code requirements including details of any requirements that the Generator has identified that will not or may not be met or demonstrated.

- The items referred to in ECP.6.2.3 shall be submitted by the **Generator** in the form of a **Power Generating Module Document (PGMD)** for each applicable **Power Generating Module**.
- ECP.6.2.4 No **Generating Unit** or dynamically controlled **OTSUA** shall be **Synchronised** to the **Total System** (and for the avoidance of doubt, dynamically controlled **OTSUA** will not be able to transmit) until the later of:
  - (a) the date specified by **The Company** in the **Interim Operational Notification** issued in respect of each applicable **Power Generating Module(s)** or dynamically controlled **OTSUA**; and,
  - (b) in the case of Synchronous Power Generating Module(s) only after the date of receipt by the Generator of written confirmation from The Company that the Synchronous Power Generating Module or CCGT Module as applicable has completed the following tests to demonstrate compliance with the relevant provisions of the Connection Conditions to The Company's satisfaction:
    - (i) those tests required to establish the open and short circuit saturation characteristics of the **Synchronous Power Generating Module** (as detailed in Appendix ECP.A.4.3) to enable assessment of the short circuit ratio in accordance with ECC.6.3.2. Such tests may be carried out at a location other than the **Power Station** site and supplied in the form of an **Equipment Certificate** or as otherwise agreed by **The Company**; and
    - (ii) open circuit step response tests (as detailed in Appendix ECP.A.5.2) to demonstrate compliance with ECC.A.6.2.4.1.
- ECP.6.2.5 The Company shall assess the schedule of tests submitted by the Generator with the Notification of User's Intention to Synchronise under ECP.6.2.3 and shall determine whether such schedule has been completed to The Company's satisfaction.
- ECP.6.2.6 When the requirements of ECP.6.2.2 to ECP.6.2.5 have been met, **The Company** will notify the **Generator** that the:

Synchronous Power Generating Module, CCGT Module,

Power Park Module or

Dynamically controlled OTSUA

as applicable may (subject to the **Generator** having fulfilled the requirements of ECP.6.2.3 where that applies) be **Synchronised** to the **Total System** through the issue of an **Interim Operational Notification**. Where the **Generator** is undertaking **OTSDUW** then the **Interim Operational Notification Part A** applicable to **OTSUA** and the **Interim Operational Notification Part B** applicable to the **EU Code Users Plant** and **Apparatus**. For the avoidance of doubt, the "**Interim Operational Notification Part B**" can be issued together or at different times. In respect of an **Embedded Power Station or Embedded HVDC Equipment Station** (other than a **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded HVDC Equipment Stations** not subject to a **Bilateral Agreement**, **The Company** will notify the **Network Operator** that an **Interim Operational Notification** has been issued.

- The **Interim Operational Notification** will be time limited, the expiration date being specified at the time of issue. The **Interim Operational Notification** may be renewed by **The Company**.
- The **Generator** must operate the **Power Generating Module** or **OTSUA** in accordance with the terms, arising from the **Unresolved Issues**, of the **Interim Operational Notification**. Where practicable, **The Company** will discuss such terms with the **Generator** prior to including them in the **Interim Operational Notification**.
- ECP.6.2.6.3 The **Interim Operational Notification** will include the following limitations:
  - (a) In the case of OTSUA, the Interim Operational Notification Part A permits Synchronisation of the dynamically controlled OTSUA to the Total System only for the purposes of active control of voltage and reactive power and not for the purpose of exporting Active Power.
  - (b) In the case of a Power Park Module the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) will limit the proportion of the Power Park Module which can be simultaneously Synchronised to the Total System such that neither of the following figures is exceeded:
    - (i) 20% of the Maximum Capacity of the Power Park Module (or the output of a single Power Park Unit where this exceeds 20% of the Power Station's Maximum Capacity)

until the **Generator** has completed the voltage control tests (detailed in ECP.A.6.2) (including in respect of any dynamically controlled **OTSUA**) to **The Company's** reasonable satisfaction. Following successful completion of this test each additional **Power Park Unit** should be included in the voltage control scheme as soon as is technically possible (unless **The Company** agrees otherwise).

- (c) In the case of a Synchronous Power Generating Module employing a static Excitation System the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) may, if applicable, limit the maximum Active Power output and Reactive Power output of the Synchronous Power Generating Module or CCGT module prior to the successful commissioning of the Power System Stabiliser to The Company's satisfaction, if applicable.
- ECP.6.2.6.4 Operation in accordance with the **Interim Operational Notification** whilst it is in force will meet the requirements for compliance by the **Generator** and **The Company** of all the relevant provisions of the **European Connection Conditions**.
- Other than **Unresolved Issues** that are subject to tests required under ECP.7.2 to be witnessed by **The Company**, the **Generator** must resolve any **Unresolved Issues** prior to the commencement of the tests, unless **The Company** agrees to a later resolution. The **Generator** must liaise with **The Company** in respect of such resolution. The tests that may be witnessed by **The Company** are specified in ECP.7.2.
- ECP.6.2.8 Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** wishing to commence tests required under ECP.7 to be witnessed by **The Company**,

the **Generator** will notify **The Company** that the **Power Generating Module(s)** as applicable is ready to commence such tests.

- ECP.6.2.9 The items referred to at ECP.7.3 shall be submitted by the **Generator** after successful completion of the tests required under ECP.7.2.
- ECP.6.3 <u>INTERIM OPERATIONAL NOTIFICATION (Type D and HVDC Equipment)</u>
- The following provisions apply in relation to the issue of an Interim
  Operational Notification in respect of a Power Station consisting of Type
  D Power Generating Modules or an HVDC System.
- Not less than 28 days, or such shorter period as may be acceptable in The Company's reasonable opinion, prior to the Generator or HVDC System Owner wishing to Synchronise its Plant and Apparatus or dynamically controlled OTSUA for the first time the Generator or HVDC System Owner will:
  - i. submit to The Company a Notification of User's Intention to Synchronise; and
  - ii. submit to **The Company** the items referred to at ECP.6.3.3.
- ECP.6.3.3 Items for submission prior to issue of the **Interim Operational Notification**.
- Prior to the issue of an Interim Operational Notification in respect of the EU Code User's Plant and Apparatus or dynamically controlled OTSUA the Generator or HVDC System Owner must submit to The Company to The Company's satisfaction:
  - (a) updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand;
  - (b) details of any special **Power Generating Module(s)** or **HVDC Equipment** protection as applicable. This may include Pole Slipping protection and islanding protection schemes;
  - (c) any items required by ECP.5.2, updated by the **EU Code User** as necessary;
  - (d) simulation study provisions of Appendix ECP.A.3 and the results demonstrating compliance with **Grid Code** requirements of:

PC.A.5.4.2 PC.A.5.4.3.2, ECC.6.3.4, ECC.6.3.7.3.1 to ECC.6.3.7.3.6, ECC.6.3.15, ECC.6.3.16 ECC.A.6.2.5.6 ECC.A.7.2.3.1

as applicable to the **Power Station**, **Synchronous Power Generating Module(s)**, **Power Park Module(s)**, **HVDC Equipment** or dynamically controlled **OTSUA** unless agreed otherwise by **The Company**;

- (e) a detailed schedule of the tests and the procedures for the tests required to be carried out by the Generator or HVDC System Owner under ECP.7.2 to demonstrate compliance with relevant Grid Code requirements. Such schedule to be consistent with Appendix ECP.A.5 (in the case of Synchronous Power Generating Modules) or Appendix ECP.A.6 (in the case of Power Park Modules and OTSUA as applicable) or Appendix ECP.A.7 (in the case of HVDC Equipment; and
- (f) an interim Compliance Statement and a User Self Certification of Compliance completed by the EU Code User (including any Unresolved Issues) against the relevant Grid Code requirements including details of any requirements that the Generator or HVDC System Owner has identified that will not or may not be met or demonstrated.
- The items referred to in ECP.6.3.3 shall be submitted by the **Generator** or **HVDC System Owner** using the **User Data File Structure**.
- ECP.6.3.4 No **Power Generating Module** or **HVDC Equipment** shall be **Synchronised** to the **Total System** (and for the avoidance of doubt, dynamically controlled **OTSUA** will not be able to transmit) until the later of:
  - (a) the date specified by The Company in the Interim Operational Notification issued in respect of the Power Generating Module(s) or HVDC Equipment or dynamically controlled OTSUA; and,
  - (b) if Embedded, the date of receipt of a confirmation from the Network Operator in whose System the Plant and Apparatus is connected that it is acceptable to the Network Operator that the Plant and Apparatus be connected and Synchronised; and,
  - (c) in the case of Synchronous Power Generating Module(s) only after the date of receipt by Generator of written confirmation from The Company that the Synchronous Power Generating Module has completed the following tests to demonstrate compliance with the relevant provisions of the Connection Conditions to The Company's satisfaction:
    - (i) those tests required to establish the open and short circuit saturation characteristics of the **Synchronous Power Generating Module** (as detailed in Appendix ECP.A.5.3) to enable assessment of the short circuit ratio in accordance with ECC.6.3.2. Such tests may be carried out at a location other than the **Power Station** site; and
    - (ii) open circuit step response tests (as detailed in Appendix ECP.A.5.2) to demonstrate compliance with ECC.A.6.2.4.1.
- The Company shall assess the schedule of tests submitted by the Generator or HVDC System Owner with the Notification of User's Intention to Synchronise under ECP.6.3.1 and shall determine whether such schedule has been completed to The Company's satisfaction.
- ECP.6.3.6 When the requirements of ECP.6.3.2 to ECP.6.3.5 have been met, **The Company** will notify the **Generator** or **HVDC System Owner** that the:

Synchronous Power Generating Module, CCGT Module.

Power Park Module

Dynamically controlled **OTSUA** or

#### **HVDC** Equipment,

as applicable may (subject to the Generator or HVDC System Owner having fulfilled the requirements of ECP.6.3.3 where that applies) be Synchronised to the Total System through the issue of an Interim Operational Notification. Where the Generator is undertaking OTSDUW then the Interim Operational Notification will be in two parts, with the "Interim Operational Notification Part A" applicable to OTSUA and the "Interim Operational Notification Part B" applicable to the EU Code Users Plant and Apparatus. For the avoidance of doubt, the "Interim Operational Notification Part A" and the "Interim Operational Notification Part B" can be issued together or at different times. In respect of an Embedded Power Station or Embedded HVDC Equipment Station (other than a Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Equipment Stations not subject to a Bilateral Agreement), The Company will notify the Network Operator that an Interim Operational Notification has been issued.

- The Interim Operational Notification will be time limited, the expiration date being specified at the time of issue. The Interim Operational Notification may be renewed by The Company for up to a maximum of 24 months from the date of the first issue of the Interim Operational Notification. The Company may only issue an extension to an Interim Operational Notification beyond 24 months provided the Generator or HVDC System Owner has applied for a derogation for any remaining Unresolved Issues to the Authority as detailed in ECP.9.
- The Generator or HVDC System Owner must operate the Power Generating Module or HVDC Equipment in accordance with the terms, arising from the Unresolved Issues, of the Interim Operational Notification. Where practicable, The Company will discuss such terms with the Generator or HVDC System Owner prior to including them in the Interim Operational Notification.
- ECP.6.3.6.3 The **Interim Operational Notification** will include the following limitations:
  - (a) In the case of OTSUA, the Interim Operational Notification Part A permits Synchronisation of the dynamically controlled OTSUA to the Total System only for the purposes of active control of voltage and reactive power and not for the purpose of exporting Active Power.
  - (b) In the case of a Power Park Module the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) will limit the proportion of the Power Park Module which can be simultaneously Synchronised to the Total System such that neither of the following figures is exceeded:
    - (i) 20% of the Maximum Capacity of the Power Park Module (or the output of a single Power Park Unit where this exceeds 20% of the Power Station's Maximum Capacity); nor
    - (ii) 50MW

until the **Generator** has completed the voltage control tests (detailed in ECP.A.6.3.2) to **The Company's** reasonable satisfaction. Following successful completion of this test each additional **Power Park Unit** should be included in the voltage control scheme as soon as is technically possible (unless **The Company** agrees otherwise).

- (c) In the case of a **Power Park Module** with a **Maximum Capacity** greater or equal to 100MW, the **Interim Operational Notification** (and where **OTSDUW** Arrangements apply, this reference will be to the **Interim Operational Notification Part B**) will limit the proportion of the **Power Park Module** which can be simultaneously **Synchronised** to the **Total System** to 70% of **Maximum Capacity** until the **Generator** has completed the **Limited Frequency Sensitive Mode (LFSM-O)** control tests with at least 50% of the **Maximum Capacity** of the **Power Park Module** in service ( detailed in ECP.A.6.3.3) to **The Company's** reasonable satisfaction.
- (d) In the case of a Synchronous Power Generating Module employing a static Excitation System or a Power Park Module employing a Power System Stabiliser the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) may if applicable limit the maximum Active Power output and Reactive Power output of the Synchronous Power Generating Module or CCGT module prior to the successful commissioning of the Power System Stabiliser to The Company's satisfaction.
- CP.6.3.6.4 Operation in accordance with the **Interim Operational Notification** whilst it is in force will meet the requirements for compliance by the **Generator** or **HVDC System Owner** and **The Company** of all the relevant provisions of the **European Connection Conditions**.
- Other than **Unresolved Issues** that are subject to tests required under ECP.7.2 to be witnessed by **The Company**, the **Generator** or **HVDC System Owner** must resolve any **Unresolved Issues** prior to the commencement of the tests, unless **The Company** agrees to a later resolution. The **Generator** or **HVDC System Owner** must liaise with **The Company** in respect of such resolution. The tests that may be witnessed by **The Company** are specified in ECP.7.2.
- ECP.6.3.8 Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** or **HVDC System Owner** wishing to commence tests required under ECP.7 to be witnessed by **The Company**, the **Generator** or **HVDC System Owner** will notify **The Company** that the **Power Generating Module(s)** or **HVDC Equipment(s)** as applicable is ready to commence such tests.
- The items referred to at ECP.7.3 shall be submitted by the **Generator** or the **HVDC System Owner** after successful completion of the tests required under ECP.7.2.
- ECP.6.4 <u>INTERIM OPERATIONAL NOTIFICATION</u> (Network Operator's or Non-Embedded Customer's Plant and Apparatus)
- The following provisions apply in relation to the issue of an Interim
  Operational Notification in respect of Network Operator's or NonEmbedded Customer's Plant and Apparatus.
- Not less than 28 days, or such shorter period as may be acceptable in NGET's reasonable opinion, prior to the Network Operator or Non-Embedded Customer wishing to operate its Plant and Apparatus by using the EU Grid Supply Point for the first time, the Network Operator or Non-Embedded Customer will:
  - iii. submit to NGET a Notification of User's Intention to Operate; and

- iv. submit to **NGET** the items referred to at ECP.6.4.3.
- ECP.6.4.3 Items for submission prior to issue of the **Interim Operational Notification**.
- Prior to the issue of an Interim Operational Notification in respect of the User's Plant and Apparatus at an EU Grid Supply Point, the Network Operator or Non-Embedded Customer must submit to NGET to NGET's satisfaction:
  - (a) updated **Planning Code** data (both **Standard Planning Data** and **Detailed Planning Data**), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for **Forecast Data** items such as **Demand**;
  - (b) details of any special protection as applicable;
  - (c) any items required by ECP.5.2, updated as necessary;
  - (d) data submission and results required by Appendix ECP.A.8 demonstrating compliance with **Grid Code** requirements of:

PC.A.2.2 PC.A.2.3 PC.A.2.4 PC.A.2.5.2 PC.A.2.5.3 PC.A.2.5.4 PC.A.2.5.6 PC.A.4 PC.A.6.1.3 PC.A.6.3 PC.A.6.7.1

as applicable to the **Network Operator's** or **Non-Embedded Customer's Plant** and **Apparatus** unless agreed otherwise by **NGET**;

- (e) a detailed schedule of the tests and the procedures for the tests required to be carried out by the Network Operator or Non-Embedded Customer under ECP.7.8 (or Equipment Certificates as relevant) to demonstrate compliance with relevant Grid Code requirements. Such schedule is to be consistent with Appendix ECP.A.8.
- (f) an interim Compliance Statement and a User Self Certification of Compliance completed by the User (including any Unresolved Issues) against the relevant Grid Code requirements including details of any requirements that the Network Operator or Non-Embedded Customer has identified that will not or may not be met or demonstrated.
- ECP.6.4.4 No **Network Operator's** or **Non-Embedded Customer's Plant** and **Apparatus** shall be operated by using the **EU Grid Supply Point** until the date specified by **NGET** in the **Interim Operational Notification.**
- ECP.6.4.5 NGET shall assess the schedule of tests submitted by the Network Operator or Non-Embedded Customer with the Notification of User's Intention to Operate under ECP.6.4.1 and shall determine whether such schedule has been completed to NGET's satisfaction.

- When the requirements of ECP.6.4.2 to ECP.6.4.5 have been met, **NGET** will notify the **Network Operator** or **Non-Embedded Customer** that the **Plant** and **Apparatus** may (subject to the **Network Operator** or **Non-Embedded Customer** having fulfilled the requirements of ECP.6.4.3 where that applies) be operated by using the **EU Grid Supply Point** through the issue of an **Interim Operational Notification**.
- The Interim Operational Notification will be time limited, the expiration date being specified at the time of issue. The Interim Operational Notification may be renewed by NGET for up to a maximum of 24 months from the date of the first issue of the Interim Operational Notification. NGET may only issue an extension to an Interim Operational Notification beyond 24 months provided the Network Operator or Non-Embedded Customer has applied for a derogation for any remaining Unresolved Issues to the Authority as detailed in ECP.9.
- The Network Operator or Non-Embedded Customer must operate the Plant and Apparatus in accordance with the terms, arising from the Unresolved Issues, of the Interim Operational Notification. Where practicable, NGET will discuss such terms with the Network Operator or Non-Embedded Customer prior to including them in the Interim Operational Notification.
- The Network Operator or Non-Embedded Customer must resolve any Unresolved Issues prior to the commencement of the tests, unless NGET agrees to a later resolution. The Network Operator or Non-Embedded Customer must liaise with NGET in respect of such resolution.
- ECP.6.4.8 Not less than 28 days, or such shorter period as may be acceptable in NGET's reasonable opinion, prior to the Network Operator or Non-Embedded Customer wishing to commence tests required under ECP.7.8(e) and ECP.A.8 to be witnessed by NGET the Network Operator or Non-Embedded Customer will notify NGET that the Network Operator or Non-Embedded Customer as applicable is ready to commence such tests.
- ECP.7. FINAL OPERATIONAL NOTIFICATION

<u>Final Operational Notification in respect of Generators and HVDC System Owners</u>

- The following provisions apply in relation to the issue of a **Final Operational**Notification in respect of a **Power Station** consisting of **Type B**, **Type C** and **Type D Power Generating Modules** or an **HVDC System**.
- ECP.7.2 Tests to be carried out prior to issue of the **Final Operational Notification**.
- Prior to the issue of a **Final Operational Notification** the **Generator** or **HVDC System Owner** must have completed the tests specified in this ECP.7.2.2 to **The Company's** satisfaction to demonstrate compliance with the relevant **Grid Code** provisions.
- In the case of any **Power Generating Module, OTSUA** (if applicable) or **HVDC Equipment** these tests will reflect the relevant technical requirements and will comprise one or more of the following:

- (a) Reactive capability tests to demonstrate that the **Power Generating Module, OTSUA** (if applicable) or **HVDC Equipment** can meet the requirements of ECC.6.3.2. These may be witnessed by **The Company** on site if there is no metering to **The Company** Control Centre.
- (b) voltage control system tests to demonstrate that the Power Generating Module, OTSUA (if applicable) or HVDC Equipment can meet the requirements of ECC.6.3.6.3, ECC.6.3.8 and, in the case of Power Park Module, OTSUA (if applicable) and HVDC Equipment, the requirements of ECC.A.7 or ECC.A.8 and, in the case of Synchronous Power Generating Module and CCGT Module, the requirements of ECC.A.6, and any terms specified in the Bilateral Agreement as applicable. These tests may also be used to validate the Excitation System model (PC.A.5.3) or voltage control system model (PC.A.5.4) as applicable. These tests may be witnessed by The Company.
- (c) governor or frequency control system tests to demonstrate that the Power Generating Module, OTSUA (if applicable) or HVDC Equipment can meet the requirements of ECC.6.3.6.2, ECC.6.3.7, where applicable ECC.A.3, and BC.3.7. In the case of a Type B Power Generating Module only tests BC3 and BC4 in ECP.A.5.8 Figure 2 or ECP.A.6.6 Figure 2 must be completed. The results will also validate the Mandatory Service Agreement required by ECC.8.1. These tests may also be used to validate the governor model (PC.A.5.3) or frequency control system model (PC.A.5.4) as applicable. These tests may be witnessed by The Company.
- (d) fault ride through tests in respect of a Power Station with a Maximum Capacity of 100MW or greater, comprised of one or more Power Park Modules, to demonstrate compliance with ECC.6.3.15, ECC.6.3.16 and ECC.A.4. Where test results from a Manufacturers Data & Performance Report as defined in ECP.10 have been accepted this test will not be required.
- (e) any further tests reasonably required by **The Company** and agreed with the **EU Code User** to demonstrate any aspects of compliance with the **Grid Code** and the **CUSC Contracts**.
- The Company's preferred range of tests to demonstrate compliance with the ECCs are specified in Appendix ECP.A.5 (in the case of Synchronous Power Generating Modules) or Appendix ECP.A.6 (in the case of a Power Park Modules or OTSUA (if applicable)) or Appendix ECP.A.7 (in the case of HVDC Equipment and are to be carried out by the EU Code User with the results of each test provided to The Company. The EU Code User may carry out an alternative range of tests if this is agreed with The Company. The Company may agree a reduced set of tests where there is a relevant Manufacturers Data & Performance Report as detailed in ECP.10 or an applicable Equipment Certificate has been accepted.
- In the case of **Offshore Power Park Modules** which do not contribute to **Offshore Transmission Licensee Reactive Power** capability as described in ECC.6.3.2.5 or ECC.6.3.2.6 or Voltage Control as described in ECC.6.3.8.5 the tests outlined in ECP.7.2.2 (a) and ECP.7.2.2 (b) are not required. However, the offshore **Reactive Power** transfer tests outlined in ECP.A.5.8 shall be completed in their place.
- ECP.7.2.5 Following completion of each of the tests specified in this ECP.7.2, **The Company** will notify the **Generator** or **HVDC System Owner** whether, in the

opinion of **The Company**, the results demonstrate compliance with the relevant **Grid Code** conditions.

- ECP.7.2.6 The **Generator** or **HVDC System Owner** is responsible for carrying out the tests and retains the responsibility for safety and personnel during the test.
- ECP.7.3 Items for submission prior to issue of the **Final Operational Notification**
- Prior to the issue of a **Final Operational Notification** the **Generator** or **HVDC System Owner** must submit to **The Company** to **The Company's** satisfaction:
  - (a) updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with validated actual values and updated estimates for the future including Forecast Data items such as Demand:
  - (b) any items required by ECP.5.2 and ECP.6.2.3 or ECP.6.3.3 as applicable, updated by the **EU Code User** as necessary;
  - (c) evidence to **The Company's** satisfaction that demonstrates that the controller models and/or parameters (as required under PC.A.5.3.2(c) option 2, PC.A.5.3.2(d) option 2, PC.A.5.4.2, and/or PC.A.5.4.3.2) supplied to **The Company** provide a reasonable representation of the behaviour of the **EU Code User's Plant** and **Apparatus** and **OTSUA** if applicable;
  - (d) copies of Manufacturer's Test Certificates or Equipment Certificates issued by an Authorised Certifier or equivalent where these are relied upon as part of the evidence of compliance;
  - (e) results from the tests required in accordance with ECP.7.2 carried out by the **Generator** to demonstrate compliance with relevant **Grid Code** requirements including the tests witnessed by **The Company**; and
  - (f) the final Compliance Statement and a User Self Certification of Compliance signed by the EU Code User and a statement of any requirements that the Generator or HVDC System Owner has identified that have not been met together with a copy of the derogation in respect of the same from the Authority.
- The items in ECP.7.3 should be submitted by the **Generator** (including in respect of any **OTSUA** if applicable) or **HVDC System Owner** using the **User Data File Structure**.
- If the requirements of ECP.7.2 and ECP.7.3 have been successfully met,
  The Company will notify the Generator or HVDC System Owner that
  compliance with the relevant Grid Code provisions has been demonstrated
  for the Power Generating Module(s), OTSUA if applicable or HVDC
  Equipment as applicable through the issue of a Final Operational
  Notification. In respect of a Embedded Power Station or Embedded
  HVDC Equipment other than a Embedded Medium Power Stations not
  subject to a Bilateral Agreement and Embedded HVDC Equipment not
  subject to a Bilateral Agreement, The Company will notify the Network
  Operator that a Final Operational Notification has been issued.
- ECP.7.5 If a **Final Operational Notification** can not be issued because the requirements of ECP.7.2 and ECP.7.3 have not been successfully met prior to the expiry of an **Interim Operational Notification** then the **Generator** or

**HVDC System Owner** (where licensed in respect of its activities) and/or **The Company** shall apply to the **Authority** for a derogation. The provisions of ECP.9 shall then apply.

<u>Final Operational Notification in respect of Network Operator's and Non-</u> Embedded Customer's Plant and Apparatus

- ECP.7.6 The following provisions apply in relation to the issue of a **Final Operational Notification** in respect of **Network Operators** and **Non-Embedded Customers Plant** and **Apparatus**.
- Prior to the issue of a **Final Operational Notification** the **Network Operator** and **Non-Embedded Customer** must have addressed the **Unresolved Issues** to **NGET's** satisfaction to demonstrate compliance with the relevant **Grid Code** provisions.
- Prior to the issue of a **Final Operational Notification** the **Network Operator** and **Non-Embedded Customer** must submit to **NGET** to **NGET's** satisfaction:
  - (a) updated **Planning Code** data (both **Standard Planning Data** and **Detailed Planning Data**), with validated actual values and updated estimates for the future including **Forecast Data** items such as **Demand**:
  - (b) any items required by ECP.5.2 and ECP.6.4 updated by the **User** as necessary;
  - (c) evidence to **NGET's** reasonable satisfaction that demonstrates that the models and/or parameters as required under PC.A.2.2, PC.A.2.3, PC.A.2.4, PC.A.2.5, PC.A.4 and PC.A.6 (as applicable), supplied to **NGET** provide a reasonable representation of the behaviour of the **User's Plant** and **Apparatus**;
  - (d) copies of Manufacturer's Test Certificates or Equipment Certificates issued by an Authorised Certifier or equivalent where these are relied upon as part of the evidence of compliance;
  - (e) results from the tests and simulations required in accordance with ECP.A.8 carried out by the **Network Operator** or **Non-Embedded Customer** to demonstrate compliance with relevant **Grid Code** requirements including any tests witnessed by **NGET**; and
  - (f) the final Compliance Statement and a User Self Certification of Compliance signed by the User and a statement of any requirements that the Network Operator or Non-Embedded Customer has identified that have not been met together with a copy of the derogation in respect of the same from the Authority.
- The items referred to at ECP.7.8 shall be submitted by the **Network Operator** or **Non-Embedded Customer** after successful completion of the tests required under ECP.7.8.
- If the requirements of ECP.7.8 have been successfully met, NGET will notify the Network Operator or Non-Embedded Customer that compliance with the relevant Grid Code provisions has been demonstrated for Network Operators or Non-Embedded Customers Plant and Apparatus as applicable through the issue of a Final Operational Notification.

If a **Final Operational Notification** cannot be issued because the requirements of ECP.7.8 have not been successfully met prior to the expiry of an **Interim Operational Notification**, then the **Network Operator** or **Non-Embedded Customer** and/or **NGET** shall apply to the **Authority** for a derogation. The provisions of ECP.9 shall then apply.

#### ECP.8 LIMITED OPERATIONAL NOTIFICATION

ECP.8.1 Following the issue of a Final Operational Notification for a Power Station consisting of Type B, Type C or Type D Power Generating Module or an HVDC System or Network Operators or Non-Embedded Customers Plant and Apparatus if:

- (i) the Generator or HVDC System Owner or Network Operator or Non-Embedded Customer becomes aware, that its Plant and/or Apparatus' (including OTSUA if applicable) capability to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement is not fully available then the Generator or HVDC System Owner or Network Operator or Non-Embedded Customer shall follow the process in ECP.8.2 to ECP.8.11; or,
- (ii) a Network Operator becomes aware, that the capability of Plant and/or Apparatus belonging to a Embedded Power Station or Embedded HVDC Equipment Station (other than a Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Equipment Stations not subject to a Bilateral Agreement) is failing to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement then the Network Operator shall inform The Company and The Company shall inform the Generator or HVDC System Owner and then follow the process in ECP.8.2 to ECP.8.11; or,
- (iii) The Company becomes aware through monitoring as described in OC5.4. that a Generator or HVDC System Owner Plant and/or Apparatus (including OTSUA if applicable) capability to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement is not fully available then The Company shall inform the other party. Where The Company and the Generator or HVDC System Owner cannot agree from the monitoring as described in OC5.4 whether the Plant and/or Apparatus (including OTSUA if applicable) is fully available and/or is compliant with the requirements of the Grid Code and where applicable the Bilateral Agreement, the parties shall first apply the process in OC5.5.1, before applying the process defined in ECP.8 (LON) if applicable. Where the testing instructed in accordance with OC.5.5.1 indicates that the Plant and/or Apparatus (including OTSUA if applicable) is not fully available and/or is not compliant with the requirements of the Grid Code and/or the Bilateral Agreement, or if the parties so agree, the process in ECP.8.2 to ECP.8.11 shall be followed.
- (iv) NGET becomes aware that a Network Operator's or Non-Embedded Customer's Plant and Apparatus capability to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement, is not fully available then NGET shall inform the other party and the process in ECP.8.2 to ECP.8.11 shall be followed.
- ECP.8.2 Immediately upon a **Generator**, **HVDC System Owner**, **Network Operator** or **Non-Embedded Customer** becoming aware that its **Power Generating**

Module, OTSUA (if applicable), HVDC Equipment or Plant and Apparatus, as applicable may be unable to comply with certain provisions of the Grid Code or (where applicable) the Bilateral Agreement, the Generator, HVDC System Owner Network Operator or Non-Embedded Customer shall notify The Company in writing. Additional details of any operating restrictions or changes in applicable data arising from the potential non-compliance and an indication of the date from when the restrictions will be removed and full compliance demonstrated shall be provided as soon as reasonably practical.

- If the nature of any unavailability and/or potential non-compliance described in ECP.8.1 causes or can reasonably be expected to cause a material adverse effect on the business or condition of **The Company** or other **Users** or the **National Electricity Transmission System** or any **User Systems**, then **The Company** may, notwithstanding the provisions of this ECP.8, follow the provisions of Paragraph 5.4 of the **CUSC**.
- ECP.8.4 Except where the provisions of ECP.8.3 apply, where the restriction notified in ECP.8.2 is not resolved in 28 days, then
  - (i) the Generator or HVDC System Owner with input from and discussion of conclusions with The Company, and the Network Operator where the Synchronous Power Generating Module, CCGT Module, Power Park Module or Power Station as applicable is Embedded, shall undertake an investigation to attempt to determine the causes of and determine a solution to the non-compliance. Such investigation shall continue for no longer than 56 days. During such an investigation, the Generator or HVDC System Owner shall provide to The Company the relevant data which has changed due to the restriction in respect of ECP.7.3.1 as notified to the Generator or HVDC System Owner by The Company as being required to be provided; or
  - (ii) the Network Operator or Non-Embedded Customer in discussion with NGET, shall undertake an investigation to attempt to determine the causes of and a solution to the non-compliance. Such investigation shall continue for no longer than 56 days. During such investigation the Network Operator or Non-Embedded Customer shall provide to NGET the relevant data which has changed due to the restriction in respect of ECP.7.8 as being required to be provided by NGET.

#### ECP.8.5 Issue and Effect of LON

- Following the issue of a **Final Operational Notification**, **The Company** will issue to the **Generator**, **HVDC System Owner**, **Network Operator** or **Non-Embedded Customer** a **Limited Operational Notification** if:
  - (a) by the end of the 56 day period referred to at ECP.8.4, the investigation has not resolved the non-compliance to **The Company's** satisfaction; or
  - (b) The Company is notified by a Generator, HVDC System Owner (including OTSUA if applicable), Network Operator or Non-embedded Customer of a Modification to its Plant and Apparatus; or
  - (c) The Company receives a submission of data, or a statement from a Generator, HVDC System Owner (including OTSUA if applicable), Network Operator or Non-Embedded Customer indicating a change in Plant or Apparatus or settings (including but not limited to governor and excitation control systems) that may in The Company's reasonable

opinion, acting in accordance with **Good Industry Practice** be expected to result in a material change of performance.

In the case of an Embedded Generator or Embedded HVDC System Owner, The Company will issue a copy of the Limited Operational Notification to the Network Operator.

- The Limited Operational Notification will be time limited (in the case of Type D, HVDC Systems, Network Operator's or Non-Embedded Customer's Plant and Apparatus to expire no later than 12 months from the start of the non-compliance or restriction or from reconnection following a change). The Company may agree a longer duration in the case of a Limited Operational Notification following a Modification or whilst the Authority is considering the application for a derogation in accordance with ECP.9.1.
- The Limited Operational Notification will notify the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer of any restrictions on the operation of the Synchronous Power Generating Module(s), CCGT Module(s), Power Park Module(s), OTSUA if applicable, HVDC Equipment or Plant and Apparatus and will specify the Unresolved Issues. The Generator, HVDC System Owner, Network Operator or Non-Embedded Customer must operate in accordance with any notified restrictions and must resolve the Unresolved Issues.
- ECP.8.5.4 The **User** and **The Company** will be deemed compliant with all the relevant provisions of the **Grid Code** provided operation is in accordance with the **Limited Operational Notification**, whilst it is in force, and that the provisions of and referred to in ECP.8 are complied with.
- ECP.8.5.5 The Unresolved Issues included in a Limited Operational Notification will show the extent that the provisions of ECP.7.2 (testing) and ECP.7.3 (final data submission) or ECP.7.8 (d) (e) (testing) and ECP7.8 (a) (c) (data submission, As applicable, shall apply. In respect of selecting the extent of any tests which may in The Company's view reasonably be needed to demonstrate the restored capability and in agreeing the time period in which the tests will be scheduled, The Company shall, where reasonably practicable, take account of the Generator or HVDC System Owner's input to contain its costs associated with the testing.
- In the case of a change or Modification the Limited Operational Notification may specify that the affected Plant and Apparatus (including OTSUA if applicable) or associated Synchronous Power Generating Module(s) or Power Park Unit(s) must not be Synchronised or, in the case of Network Operator's or Non-Embedded Customer's Plant and Apparatus, operated until all of the following items, that in The Company's reasonable opinion are relevant, have been submitted to The Company to The Company's satisfaction:
  - (a) updated **Planning Code** data (both **Standard Planning Data** and **Detailed Planning Data**);
  - (b) details of any relevant special Power Station, Synchronous Power Generating Module(s), Power Park Module(s), OTSUA (if applicable), HVDC Equipment Station(s) or Network Operator's or Non-Embedded Customer's Plant and Apparatus protection as applicable. This may include Pole Slipping protection and islanding protection schemes; and
  - (c) simulation study provisions of Appendix ECP.A.3 or Appendix ECP.A.8 as appropriate and the results demonstrating compliance

- with **Grid Code** requirements relevant to the change or **Modification** as agreed by **The Company**; and
- (d) a detailed schedule of the tests and the procedures for the tests required to be carried out by the Generator, HVDC Equipment Station, Network Operator or Non-Embedded Customer to demonstrate compliance with relevant Grid Code requirements as agreed by The Company. The schedule of tests shall be consistent with Appendix ECP.A.5, Appendix ECP.A.6 or Appendix ECP.A.8 as appropriate; and
- (e) an interim Compliance Statement and a User Self Certification of Compliance completed by the User (including any Unresolved Issues) against the relevant Grid Code requirements including details of any requirements that the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer has identified that will not or may not be met or demonstrated; and
- (f) any other items specified in the LON.
- ECP.8.5.7 The items referred to in ECP.8.5.6 shall be submitted by the **Generator** (including in respect of any **OTSUA** if applicable) or **HVDC System Owner** using the **User Data File Structure** or **Power Generation Module Document** as applicable.
- In the case of **Synchronous Power Generating Module(s)** only, the **Unresolved Issues** of the **LON** may require that the **Generator** must complete the following tests to **The Company's** satisfaction to demonstrate compliance with the relevant provisions of the **CC**s prior to the **Synchronous Power Generating Module** being **Synchronised** to the **Total System**:
  - (a) those tests required to establish the open and short circuit saturation characteristics of the **Synchronous Power Generating Module** (as detailed in Appendix ECP.A.5.3) to enable assessment of the short circuit ratio in accordance with ECC.6.3.2.3.4 or ECC.6.3.2.5. Such tests may be carried out at a location other than the **Power Station** site; and
  - (b) open circuit step response tests (as detailed in Appendix ECP.A.5.2) to demonstrate compliance with ECC.A.6.2.4.1.
- ECP.8.6 In the case of a change or **Modification**, not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion:
  - (a) prior to the Generator or HVDC System Owner (including OTSUA if applicable) wishing to Synchronise its Plant and Apparatus for the first time following the change or Modification, the Generator or HVDC System Owner will:
  - (i) submit a Notification of User's Intention to Synchronise; and
  - (ii) submit to **The Company** the items referred to at ECP.8.5.6.
  - (b) prior to the Network Operator or Non-Embedded Customer wishing to operate its Plant and Apparatus for the first time following the change or Modification, the Network Operator or Non-Embedded Customer will;
    - (i) submit a Notification of User's intention to operate; and

- (ii) submit to **NGET** the items referred to at ECP.8.5.6
- Other than Unresolved Issues that are subject to tests to be witnessed by The Company, the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer must resolve any Unresolved Issues prior to the commencement of the tests, unless The Company agrees to a later resolution. The Generator, HVDC System Owner, Network Operator or Non-Embedded Customer must liaise with The Company in respect of such resolution. The tests that may be witnessed by The Company are specified in ECP.7.2.2.
- Not less than 28 days, or such shorter period as may be acceptable in The Company's reasonable opinion, prior to the Generator or HVDC System Owner wishing to commence tests listed as Unresolved Issues to be witnessed by The Company, the Generator or HVDC System Owner will notify The Company that the Synchronous Power Generating Module(s), CCGT Module(s), Power Park Module(s), OTSUA if applicable or HVDC Equipment as applicable is ready to commence such tests.
- ECP.8.9 The items referred to at ECP.7.3 or ECP.7.8 as applicable and listed as Unresolved Issues shall be submitted by the Generator, HVDC System Owner, Network Operator or Embedded Customer after successful completion of the tests.
- ECP.8.10 Where the **Unresolved Issues** have been resolved a **Final Operational Notification** will be issued to the **User**.
- If a **Final Operational Notification** has not been issued by **The Company** as referred to at ECP.8.5.2 (or where agreed following a **Modification** by the expiry time of the **LON**) then the **Generator**, **HVDC System Owner**, **Network Operator** or **Non-Embedded Customer** (where licensed in respect of its activities) and **The Company** shall apply to the **Authority** for a derogation.

#### ECP.9 PROCESSES RELATING TO DEROGATIONS

Whilst the Authority is considering the application for a derogation, the Interim Operational Notification or Limited Operational Notification will be extended to remain in force until the Authority has notified The Company and the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer of its decision. Where the Generator or HVDC System Owner is not licensed The Company may propose any necessary changes to the Bilateral Agreement with such unlicensed Generator or HVDC System Owner.

#### ECP.9.2 If the **Authority**:

- (a) grants a derogation in respect of the Plant and/or Apparatus, then The Company shall issue Final Operational Notification once all other Unresolved Issues are resolved; or
- (b) decides a derogation is not required in respect of the Plant and/or Apparatus then The Company will reconsider the relevant Unresolved Issues and may issue a Final Operational Notification once all other Unresolved Issues are resolved; or
- (c) decides not to grant any derogation in respect of the Plant and/or Apparatus, then there will be no Operational Notification in place and The Company and the User shall consider its rights pursuant to the CUSC.
- ECP.9.3 Where a **Interim Operational Notification** or **Limited Operational Notification** is so conditional upon a derogation and such derogation

includes any conditions (including any time limit to such derogation) the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer will progress the resolution of any Unresolved Issues and / or progress and / or comply with any conditions upon such derogation and the provisions of ECP.6 to ECP.7.11 shall apply and shall be followed.

#### ECP.10 MANUFACTURER'S DATA & PERFORMANCE REPORT

- ECP.10.1.1 Data and performance characteristics in respect of certain **Grid Code** requirements may be registered with **The Company** by **Power Park Unit** manufacturers in respect of specific models of **Power Park Units** by submitting information in the form of a **Manufacturer's Data and Performance Report** to **The Company**.
- A Generator planning to construct a new Power Station containing the appropriate version of Power Park Units in respect of which a Manufacturer's Data & Performance Report has been submitted to The Company may reference the Manufacturer's Data & Performance Report in its submissions to The Company. Any Generator considering referring to a Manufacturer's Data & Performance Report for any aspect of its Plant and Apparatus may contact The Company to discuss the suitability of the relevant Manufacturer's Data & Performance Report to its project to determine if, and to what extent, the data included in the Manufacturer's Data & Performance Report contributes towards demonstrating compliance with those aspects of the Grid Code applicable to the Generator. The Company will inform the Generator if the reference to the Manufacturer's Data & Performance Report is not appropriate or not sufficient for its project.
- The process to be followed by **Power Park Unit** manufacturers submitting a **Manufacturer's Data & Performance Report** is agreed by **The Company**. ECP.10.2 indicates the specific **Grid Code** requirement areas in respect of which a **Manufacturer's Data & Performance Report** may be submitted.
- The Company will maintain and publish a register of those Manufacturer's Data & Performance Reports which The Company has received and accepted as being an accurate representation of the performance of the relevant Plant and / or Apparatus. Such register will identify the manufacturer, the model(s) of Power Park Unit(s) to which the report applies and the provisions of the Grid Code in respect of which the report contributes towards the demonstration of compliance. The inclusion of any report in the register does not in any way confirm that any Power Park Modules which utilise any Power Park Unit(s) covered by a report is or will be compliant with the Grid Code.
- A Manufacturer's Data & Performance Report in respect of Power Park Units may cover one (or part of one) or more of the following provisions of the Grid Code:
  - (a) Fault Ride Through capability ECC.6.3.15, ECC.6.3.16.
  - (b) Power Park Module mathematical model PC.A.5.4.2.
- Reference to a **Manufacturer's Data & Performance Report** in a **EU Code User's** submissions does not by itself constitute compliance with the **Grid Code**.
- ECP.10.4 A Generator referencing a Manufacturer's Data & Performance Report should insert the relevant Manufacturer's Data & Performance Report

reference in the appropriate place in the DRC data submission, Power Generating Module Document and / or in the User Data File Structure. The Company will consider the suitability of a Manufacturer's Data & Performance Report:

- (a) in place of **DRC** data submissions a mathematical model suitable for representation of the entire **Power Park Module** as per ECP.A.3.4.4. For the avoidance of doubt only the relevant sections as specified in PC.A.2.5.5.7 apply. Site specific parameters will still need to be submitted by the **Generator**.
- (b) in place of Fault simulation studies as follows;

**The Company** will not require Fault Ride Through simulation studies to be conducted as per ECP.A.3.5.1 and qualified in ECP.A.3.5.2 provided that;

- Adequate and relevant Power Park Unit data is included in respect of Fault Ride Through testing covered in ECP.A.6.7 in the relevant Manufacturer's Data & Performance Report, and
- (ii) For each type and duration of fault as detailed in ECP.A.3.5.1, the expected minimum retained voltage is greater than the corresponding minimum voltage achieved and successfully ridden through in the fault ride through tests covered by the Manufacturer's Data & Performance Report.
- (c) to reduce the scope of compliance site tests as follows;
  - (i) Where there is a **Manufacturer's Data & Performance Report** in respect of a **Power Park Unit** which covers Fault Ride Through, **The Company** may agree that no Fault Ride Through testing is required.
- It is the responsibility of the **EU Code User** to ensure that the correct reference for the **Manufacturer's Data & Performance Report** is used and the **EU Code User** by using that reference accepts responsibility for the accuracy of the information. The **EU Code User** shall ensure that the manufacturer has kept **The Company** informed of any relevant variations in plant specification since the submission of the relevant **Manufacturer's Data & Performance Report** which could impact on the validity of the information.
- The Company may contact the Power Park Unit manufacturer directly to verify the relevance of the use of such Manufacturer's Data & Performance Report. If The Company believe the use some or all of such Manufacturer's Data & Performance Report information is incorrect or the referenced data is inappropriate then the reference to the Manufacturer's Data & Performance Report may be declared invalid by The Company. Where, and to the extent possible, the data included in the Manufacturer's Data & Performance Report is appropriate, the compliance assessment process will be continued using the data included in the Manufacturer's Data & Performance Report.
- In the case of a co-located site, for example Electricity Storage Modules

  connected within a new or existing Power Station, The Company will accept demonstration of compliance at the Grid Entry Point or User System Entry Point (if Embedded) through a combination of the capabilities of the Power Generating Modules and Electricity Storage Modules or Electricity Storage Modules and Generating Units or Power Park Modules. Users

should however be aware that for the purposes of compliance, full Grid Code compliance should be demonstrated when, for example, the **Electricity Storage Module** is out of service and the remaining **Power Generating Module** is in service and vice versa. Equally, **The Company** will accept **Manufacturer's Data & Performance Reports** for the purposes of proving compliance at co-located sites.

APPENDIX 1 NOT USED

#### **APPENDIX 2**

**USER SELF CERTIFICATION OF COMPLIANCE (Interim/Final)** 

Power Station/ HVDC Equipment	[Name of Connection Site/site of connection]	User:	[Full User name]	Maximum Capacity (MW) of Plant:	
Equipment Station	of connection]			of Plant:	

This User Self Certification of Compliance records the compliance by the EU Code User in respect of [NAME] Power Station/HVDC Equipment Station with the Grid Code and the requirements of the Bilateral Agreement and Construction Agreement dated [ ] with reference number []. It is completed by the Power Station/HVDC System Owner in the case of Plant and/or Apparatus connected to the National Electricity Transmission System and for Embedded Plant.

We have recorded our compliance against each requirement of the **Grid Code** which applies to the **Power Station/HVDC Equipment Station**, together with references to supporting evidence and a commentary where this is appropriate, and have provided this to **The Company**. A copy of the **Compliance Statement** is attached.

Supporting evidence, in the form of simulation results, test results, manufacturer's data and other documentation, is attached in the **User Data File Structure**.

The **EU Code User** hereby certifies that, to the best of its knowledge and acting in accordance with **Good Industry Practice**, the **Power Station** is compliant with the **Grid Code** and the **Bilateral Agreement** in all aspects [with the following **Unresolved Issues\***] [with the following derogation(s)\*\*]:

Connection Condition	Requirement	Ref:	Issue

ComplianceName:Title:certified by:[PERSON][PERSON DESIGNATION]Signature:Of[PERSON][User details]

Date:

\* Include for Interim User Self Certification of Compliance ahead of Interim Operational Notification

\*\* Include for final User Self Certification of Compliance ahead of Final Operational Notification where derogation(s) have been granted. If no derogation(s) required delete wording and Table.

#### **APPENDIX 3**

#### SIMULATION STUDIES

#### ECP.A.3.1 SCOPE

- This Appendix sets out the simulation studies required to be submitted to **The Company** to demonstrate compliance with the Connection Conditions unless otherwise agreed with **The Company**. This Appendix should be read in conjunction with ECP.6 with regard to the submission of the reports to **The Company**. Where there is any inconsistency in the technical requirements in respect of which compliance is being demonstrated by simulation in this Appendix and ECC.6.3 and the **Bilateral Agreement**, the provisions of the **Bilateral Agreement** and ECC.6.3 prevail. The studies specified in this Appendix will normally be sufficient to demonstrate compliance. However **The Company** may agree an alternative set of studies proposed by the **Generator** or **HVDC System Owner** provided **The Company** deem the alternative set of studies sufficient to demonstrate compliance with the **Grid Code** and the **Bilateral Agreement**.
- ECP.A.3.1.2 The **Generator** or **HVDC System Owner** shall submit simulation studies in the form of a report to demonstrate compliance. In all cases the simulation studies must utilise models applicable to the **Synchronous Power Generating Module**, **HVDC Equipment** or **Power Park Module** with proposed or actual parameter settings. Reports should be submitted in English with all diagrams and graphs plotted clearly with legible axes and scaling provided to ensure any variations in plotted values is clear. In all cases the simulation studies must be presented over a sufficient time period to demonstrate compliance with all applicable requirements.
- ECP.A.3.1.3 In the case of an **Offshore Power Station** where **OTSDUW Arrangements** apply simulation studies by the **Generator** should include the action of any relevant **OTSUA** where applicable to demonstrate compliance with the **Grid Code** and the **Bilateral Agreement** at the **Interface Point**.
- The Company will permit relaxation from the requirement ECP.A.3.2 to ECP.A.3.8 where an Equipment Certificate for the Power Generating Module or HVDC Equipment has been provided which details the characteristics from appropriate simulations on a representative installation with the same equipment and settings and the performance of the Power Generating Module or HVDC Equipment can, in The Company's opinion, reasonably represent that of the installed Power Generating Module or HVDC Equipment.
- For Type B, Type C and Type D Power Generating Modules the relevant Equipment Certificate must be supplied in the Power Generating Module Document or Users Data File structure as applicable. For HVDC Equipment the relevant Equipment Certificates must be supplied in the Users Data File structure.
- In the case of a co-located site, for example Electricity Storage Modules connected within a new or existing Power Station, The Company will accept simulation studies to demonstrate compliance at the Grid Entry Point or User System Entry Point (if Embedded) through a combination of the capabilities of the Power Generating Modules and Electricity Storage Modules or Electricity Storage Modules and Generating Units or Power Park Modules. Users should however be aware that for the purposes of simulations, full Grid Code compliance should be demonstrated when, for example, the Electricity Storage Module is out of service and the remaining Power Generating Module is in service and vice versa.

#### ECP.A.3.2 Power System Stabiliser Tuning

- ECP.A.3.2.1 In the case of a **Synchronous Power Generating Module** with an **Excitation System Power System Stabiliser** the **Power System Stabiliser** tuning simulation study report required by ECC.A.6.2.5.6 or required by the **Bilateral Agreement** shall contain:
  - (i) the Excitation System model including the Power System Stabiliser with settings as required under the Planning Code (PC.A.5.3.2(c))
  - (ii) open circuit time series simulation study of the response of the **Excitation System** to a +10% step change from 90% to 100% terminal voltage.
  - (iii) on load time series dynamic simulation studies of the response of the Excitation System with and without the Power System Stabiliser to 2% and 10% steps in the reference voltage and a three phase short circuit fault applied to the higher voltage side of the Synchronous Power Generating Module transformer for 100ms. The simulation studies should be carried out with the Synchronous Power Generating Module operating at full Active Power and maximum leading Reactive Power import\_with the fault level at the Supergrid HV connection point at minimum or as otherwise agreed with The Company. The results should show the Synchronous Power Generating Module field voltage, terminal voltage, Power System Stabiliser output, Active Power and Reactive Power output.
  - (iv) gain and phase Bode diagrams for the open loop frequency domain response of the **Synchronous Power Generating Module Excitation System** with and without the **Power System Stabiliser**. These should be in a suitable format to allow assessment of the phase contribution of the **Power System Stabiliser** and the gain and phase margin of the **Excitation System** with and without the **Power System Stabiliser** in service.
  - (v) an eigenvalue plot to demonstrate that all modes remain stable when the **Power System Stabiliser** gain is increased by at least a factor of 3 from the designed operating value.
  - (vi) gain Bode diagram for the closed loop on load frequency domain response of the Synchronous Power Generating Module Excitation System with and without the Power System Stabiliser. The Synchronous Power Generating Module operating at full load and at unity power factor. These diagrams should be in a suitable format to allow comparison of the Active Power damping across the frequency range specified in ECC.A.6.2.6.3 with and without the Power System Stabiliser in service.
- In the case of Onshore Non-Synchronous Power Generating Module, Onshore HVDC Equipment and Onshore Power Park Modules and OTSDUW Plant and Apparatus at the Interface Point the Power System Stabiliser tuning simulation study report required by ECC.A.7.2.4.1 or ECC.A.8.2.4 or required by the Bilateral Agreement shall contain:

- (i) the Voltage Control System model including the Power System Stabiliser with settings as required under the Planning Code (PC.A.5.4) and Bilateral Agreement.
- (ii) on load time series dynamic simulation studies of the response of the Voltage Control System with and without the Power System Stabiliser to 2% and 10% steps in the reference voltage and a three phase short circuit fault applied to the Grid Entry Point or the Interface Point in the case of OTSDUW Plant and Apparatus for 100ms. The simulation studies should be carried out operating at full Active Power and maximum leading Reactive Power import condition with the fault level at the Supergrid HV connection point at minimum or as otherwise agreed with The Company. The results should show appropriate signals to demonstrate the expected damping performance of the Power System Stabiliser.
- (iii) any other simulation as specified in the Bilateral Agreement or agreed between the **Generator** or **HVDC System Owner** or **Offshore Transmission Licensee** and **The Company**.

### ECP.A.3.3 Reactive Capability across the Voltage Range

- ECP.A.3.3.1 (a) The **Generator** shall supply simulation studies to demonstrate the capability to meet ECC.6.3.4.1 by submission of a report containing:
  - (i) a load flow simulation study result to demonstrate the maximum lagging Reactive Power capability of the Synchronous Power Generating Module, OTSUA or Power Park Module at Maximum Capacity when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in the case of OTSUA) voltage is at 105% of nominal.
  - (ii) a load flow simulation study result to demonstrate the maximum leading Reactive Power capability of the Synchronous Power Generating Module, OTSUA or Power Park Module at Maximum Capacity when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in the case of OTSUA) voltage is at 95% of nominal.
  - (iii) a load flow simulation study result to demonstrate the maximum lagging Reactive Power capability of the Synchronous Power Generating Module OTSUA or Power Park Module at the Minimum Regulating Level when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in the case of OTSUA) voltage is at 105% of nominal.
  - (iv) a load flow simulation study result to demonstrate the maximum leading Reactive Power capability of the Synchronous Power Generating Module, OTSUA or Power Park Module at the Minimum Regulating Level when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in the case of OTSUA) voltage is at 95% of nominal.
- ECP.A.3.3.1 (b) The **HVDC System Owner** shall supply simulation studies to demonstrate the capability to meet ECC.6.3.4.1 by submission of a report containing:
  - (i) a load flow simulation study result to demonstrate the maximum lagging Reactive Power capability of the Synchronous Power Generating Module, HVDC Equipment, OTSUA or Power Park Module at Maximum HVDC Active Power Transmission Capacity

when the **Grid Entry Point** or **User System Entry Point** if **Embedded** or **Interface Point** (in case of **OTSUA**) voltage is at 105% of nominal.

- (ii) a load flow simulation study result to demonstrate the maximum leading Reactive Power capability of the Synchronous Power Generating Module, HVDC Equipment, OTSUA or Power Park Module at Maximum HVDC Active Power Transmission Capacity when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in case of OTSUA) voltage is at 95% of nominal.
- (iii) a load flow simulation study result to demonstrate the maximum lagging Reactive Power capability of the Synchronous Power Generating Module, HVDC Equipment or Power Park Module at the Minimum HVDC Active Power Transmission Capacity when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in case of OTSUA) voltage is at 105% of nominal.
- (iv) a load flow simulation study result to demonstrate the maximum leading Reactive Power capability of the Synchronous Power Generating Module, HVDC Equipment or Power Park Module at the Minimum HVDC Active Power Transmission Capacity when the Grid Entry Point or User System Entry Point voltage if Embedded or Interface Point (in case of OTSUA) is at 95% of nominal.
- ECP.A.3.3.2 In the case of a **Synchronous Power Generating Module** the terminal voltage in the simulation should be the nominal voltage for the machine.
- ECP.A.3.3.3 In the case of a **Power Park Module** where the load flow simulation studies show that the individual **Power Park Units** deviate from nominal voltage to meet the **Reactive Power** requirements then evidence must be provided from factory (e.g. in a **Manufacturer's Data & Performance Report**) or site testing that the **Power Park Unit** is capable of operating continuously at the operating points determined in the load flow simulation studies.

# ECP.A.3.4 <u>Voltage Control and Reactive Power Stability</u>

ECP.A.3.4.1 This section applies to HVDC Equipment; and Type C & Type D Power Park Modules to demonstrate the voltage control capability and Type B Power Park Modules to demonstrate the voltage control capability if specified by The Company.

In the case of a power station containing **Power Park Modules** and/or **OTSUA** the **Generator** shall provide a report to demonstrate the dynamic capability and control stability of the **Power Park Module**. The report shall contain:

- (i) a dynamic time series simulation study result of a sufficiently large negative step in **System** voltage to cause a change in **Reactive Power** from zero to the maximum lagging value at **Rated MW**.
- (ii) a dynamic time series simulation study result of a sufficiently large positive step in **System** voltage to cause a change in **Reactive Power** from zero to the maximum leading value at **Rated MW**.
- (iii) a dynamic time series simulation study result to demonstrate control stability at the lagging **Reactive Power** limit by application of a -2% voltage step while operating within 5% of the lagging **Reactive Power** limit.

- (iv) a dynamic time series simulation study result to demonstrate control stability at the leading **Reactive Power** limit by application of a +2% voltage step while operating within 5% of the leading **Reactive Power** limit.
- All the above studies should be completed with a network operating at the voltage applicable for zero **Reactive Power** transfer at the **Grid Entry Point** or **User System Entry Point** if **Embedded** or, in the case of **OTSUA**, **Interface Point** unless stated otherwise. The fault level at the HV connection point should be set at the minimum level as agreed with **The Company**.

#### ECP.A.3.5 Fault Ride Through and Fast Fault Current Injection

This section applies to **Type B, Type C and Type D Power Generating Modules** and **HVDC Equipment** to demonstrate the modules fault ride through and **Fast Fault Current** injection capability.

The **Generator** or **HVDC System Owner** shall supply time series simulation study results to demonstrate the capability of **Synchronous Power Generating Module, HVDC Equipment,** and **Power Park Modules** and **OTSUA** to meet ECC.6.3.15 and ECC.6.3.16 by submission of a report containing:

- (i) a time series simulation study of a 140ms three phase short circuit fault with a retained voltage as detailed in table A.3.5.1 below applied at the Grid Entry Point or (User System Entry Point if Embedded) of the Power Generating Module or HVDC Equipment or OTSUA.
  - (ii) a time series simulation study of 140ms unbalanced short circuit faults with a retained voltage as detailed in table 1 on the faulted phase(s) applied at the Grid Entry Point or (User System Entry Point if Embedded) of the Power Generating Module or HVDC Equipment or OTSUA. The unbalanced faults to be simulated are:
    - 1. a phase to phase fault
    - 2. a two phase to earth fault
    - 3. a single phase to earth fault.

Power Generating Module	Retained
	Voltage
Synchronous Power Generating Module	
Type B	30%
Type C or Type D with Grid connection point	10%
voltage <110kV	
Type D with connection point voltage >110kV	0%
Power Park Module	
Type B or Type C or Type D with <b>connection</b>	10%
point voltage < 110kV	
Type D with connection point voltage >110kV	0%
HVDC Equipment	10%

Table A.3.5.1

For a **Power Generating Module** or **HVDC Equipment** or **OTSUA** the simulation study should be completed with the **Power Generating Module** or **HVDC Equipment** or **OTSUA** operating at full **Active Power** and maximum leading **Reactive Power** and the fault level at the **Supergrid** HV connection point at minimum or as otherwise agreed with **The Company** as detailed in ECC.6.3.15.8.

- (iii) time series simulation studies of balanced Supergrid voltage dips applied on the nearest point of the National Electricity Transmission System operating at Supergrid voltage to the Synchronous Power Generating Module or OTSUA. The simulation studies should include:
  - 1. 50% retained voltage lasting 0.45 seconds
  - 2. 70% retained voltage lasting 0.81 seconds
  - 3. 80% retained voltage lasting 1.00 seconds
  - 4. 85% retained voltage lasting 180 seconds.

For a Synchronous Power Generating Module or OTSUA, the simulation study should be completed with the Synchronous Power Generating Module or OTSUA operating at full Active Power and zero Reactive Power output and the fault level at the Supergrid HV connection point at minimum or as otherwise agreed with The Company. Where the Synchronous Power Generating Module is Embedded the minimum Network Operator's System impedance to the Supergrid HV connection point shall be used which may be calculated from the maximum fault level at the User System Entry Point.

- (iii) time series simulation studies of balanced Supergrid voltage dips applied on the nearest point of the National Electricity Transmission System operating at Supergrid voltage to the HVDC Equipment or Power Park Module. The simulation studies should include:
  - 1. 30% retained voltage lasting 0.384 seconds
  - 2. 50% retained voltage lasting 0.71 seconds
  - 3. 80% retained voltage lasting 2.5 seconds
  - 4. 85% retained voltage lasting 180 seconds.

For HVDC Equipment or Power Park Modules the simulation study should be completed with the HVDC Equipment or Power Park Module operating at full Active Power and zero Reactive Power output and the fault level at the Supergrid HV connection point at minimum or as otherwise agreed with The Company. Where the HVDC Equipment or Power Park Module is Embedded the minimum Network Operator's System impedance to the Supergrid HV connection point shall be used which may be calculated from the maximum fault level at the User System Entry Point.

For **HVDC Equipment** the simulations should include the duration of each voltage dip 1 to 4 above for which the **HVDC Equipment** will remain connected.

- ECP.A.3.5.2 In the case of **Power Park Modules** comprised of **Power Park Units** in respect of which the **User's** reference to a **Manufacturer's Data & Performance Report** has been accepted by **The Company** for Fault Ride Through, ECP.A.3.5.1 will not apply provided:
  - (i) the Generator or HVDC System Owner demonstrates by load flow simulation study result that the faults and voltage dips at either side of the Power Park Unit transformer corresponding to the required faults and voltage dips in ECP.A.3.5.1 applied at the nearest point of the National Electricity Transmission System operating at Supergrid voltage are less than those included in the Manufacturer's Data & Performance Report,

or;

(ii) the same or greater percentage faults and voltage dips in ECP.A.3.5.1 have been applied at either side of the **Power Park Unit** transformer in the **Manufacturer's Data & Performance Report**.

- ECP.A.3.6 <u>Limited Frequency Sensitive Mode Over Frequency (LFSM-O)</u>
- ECP.A.3.6.1 This section applies to **Type B, Type C and Type D Power Generating Modules, HVDC Equipment** to demonstrate the capability to modulate Active Power at high frequency as required by ECC6.3.7.3.5(ii).
- The simulation study should comprise of a **Power Generating Module** or **HVDC Equipment** connected to the total **System** with a local load shown as "X" in figure ECP.A.3.6.1. The load "X" is in addition to any auxiliary load of the **Power Station** connected directly to the **Power Generating Module** or **HVDC Equipment** and represents a small portion of the **System** to which the **Power Generating Module** or **HVDC Equipment** is attached. The value of "X" should be the minimum for which the **Power Generating Module** or **HVDC Equipment** can control the power island frequency to less than 52Hz consistent with ECC.6.3.7.3.5(ii). Where transient excursions above 52Hz occur the **Generator** or **HVDC Equipment Owner** should ensure that the duration above 52Hz is less than any high frequency protection system applied to the **Power Generating Module** or **HVDC Equipment**.
- ECP.A.3.6.3 For HVDC Equipment and Power Park Modules consisting of units connected wholly by power electronic devices the simulation methodology may be modified by the addition of a Synchronous Power Generating Module (G2) connected as indicated in Figure ECP.A.3.6.2. This additional Synchronous Power Generating Module should have an inertia constant of 3.5MWs/MVA, be initially operating at rated power output and unity power factor. The mechanical power of the Synchronous Power Generating Module (G2) should remain constant throughout the simulation.
- At the start of the simulation study the **Power Generating Module** or **HVDC Equipment** will be operating maximum **Active Power** output. The **Power Generating Module** or **HVDC Equipment** will then be islanded from the **Total System** but still supplying load "X" by the opening of a breaker, which is not the **Power Generating Module** or **HVDC Equipment** connection circuit breaker (the governor should therefore, not receive any signals that the breaker has opened other than the reduction in load and subsequent increase in speed). A schematic arrangement of the simulation study is illustrated by Figure ECP.A.3.6.1.

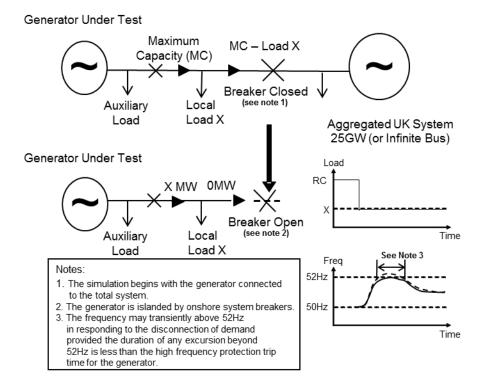


Figure ECP.A.3.6.1 – Diagram of Load Rejection Study

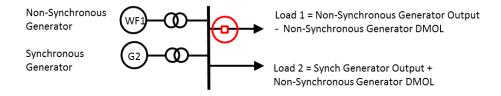


Figure ECP.A.3.6.2 – Addition of Generator G2 if applicable

- ECP.A.3.6.5 Simulation study shall be performed for type B, C & D in **Limited Frequency Sensitive Mode** (LFSM) and **Frequency Sensitive Mode** (FSM) for type C & D. The simulation study results should indicate **Active Power** and **Frequency**.
- ECP.A.3.6.6 To allow validation of the model used to simulate load rejection in accordance with ECC.6.3.7.3.5 as described a further simulation study is required to represent the largest positive **Frequency** injection step or fast ramp (BC1 and BC3 of Figure 2) that will be applied as a test as described in ECP.A.5.8 and ECP.A.6.6.

#### <u>Limited Frequency Sensitive Mode – Under Frequency (LFSM-U)</u>

ECP.A.3.6.7 This section applies to:

Synchronous Power Generating Modules, Type C & D; or, HVDC Equipment; or,

**Power Park Modules, Type C & D** to demonstrate the modules capability to modulate Active Power at low frequency.

To demonstrate the LFSM-U low Frequency control when operating in Limited Frequency Sensitive Mode the Generator or HVDC System Owner shall submit a simulation study representing the response of the Power Generating Module or HVDC Equipment operating at 80% of Maximum Capacity. The simulation study event shall be equivalent to:

- (i) a sufficiently large reduction in the measured **System Frequency** ramped over 10 seconds to cause an increase in Active Power output to the **Maximum Capacity** followed by
- (ii) 60 seconds of steady state with the measured **System Frequency** depressed to the same level as in ECP.A.3.6.8.1 (i) as illustrated in Figure ECP.A.3.6.1 below.
- (iii) then increase of the measured **System Frequency** ramped over 10 seconds to cause a reduction in Active Power output back to the original Active Power level followed by at least 60 seconds of steady output.

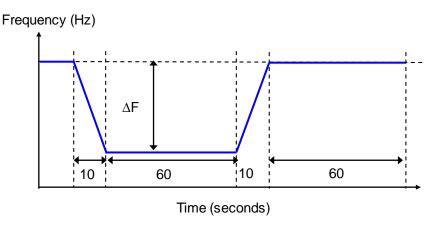


Figure ECP.A.3.6.1

#### ECP.A.3.7 Voltage and Frequency Controller Model Verification and Validation

- ECP.A.3.7.1 For Type C and Type D Synchronous Power Generating Modules, HVDC Equipment or Power Park Modules the Generator or HVDC System Owner shall provide simulation studies to verify that the proposed controller models supplied to The Company under the Planning Code are fit for purpose. These simulation study results shall be provided in the timescales stated in the Planning Code.
- ECP.A.3.7.2 To demonstrate the **Frequency** control or governor/load controller/plant model the **Generator** or **HVDC System Owner** shall submit a simulation study representing the response of the **Synchronous Power Generating Module**, **HVDC Equipment** or **Power Park Module** operating at 80% of **Maximum Capacity**. The simulation study event shall be equivalent to:
  - (i) a ramped reduction in the measured **System Frequency** of 0.5Hz in 10 seconds followed by
  - (ii) 20 seconds of steady state with the measured **System Frequency** depressed by 0.5Hz followed by
  - (iii) a ramped increase in measured **System Frequency** of 0.3Hz over 30 seconds followed by
  - (iv) 60 seconds of steady state with the measured **System Frequency** depressed by 0.2Hz as illustrated in Figure ECP.A.3.7.2 below.

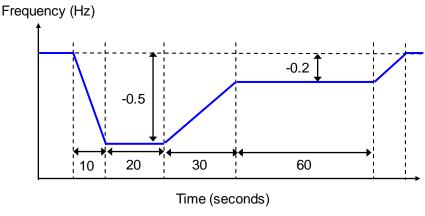


Figure ECP.A.3.7.2

The simulation study shall show **Active Power** output (MW) and the equivalent of **Frequency** injected.

- ECP.A.3.7.3 To demonstrate the **Excitation System** model the **Generator** shall submit simulation studies representing the response of the **Synchronous Power Generating Module** as follows:
  - (i) operating open circuit at rated terminal voltage and subjected to a 10% step increase in terminal voltage reference from 90% to 100%.
  - (ii) operating at **Rated MW**, nominal terminal voltage and unity power factor subjected to a 2% step increase in the voltage reference. Where a **Power System Stabiliser** is included within the **Excitation System** this shall be in service.

The simulation study shall show the **Synchronous Power Generating Module** terminal voltage, field voltage, **Active Power**, **Reactive Power** and **Power System Stabiliser** output signal as appropriate.

- ECP.A.3.7.4 To demonstrate the Voltage Controller model the **Generator** or **HVDC System**Owner shall submit a simulation study representing the response of the **HVDC**Equipment or Power Park Module operating at Rated MW and unity power factor at the connection point to a 2% step increase in the voltage reference. The simulation study shall show the terminal voltage, Active Power, Reactive Power and Power System Stabiliser output signal as appropriate.
- ECP.A.3.7.5 To validate that the excitation and voltage control models submitted under the **Planning Code** are a reasonable representation of the dynamic behaviour of the **Synchronous Power Generating Module**, **HVDC Equipment** or **Power Park Module** as built, the **Generator** or **HVDC System Owner** shall repeat the simulation studies outlined above but using the operating conditions of the equivalent tests. The simulation study results shall be displayed overlaid on the actual test results.
- For Type C and Type D Synchronous Power Generating Modules or HVDC
  Equipment to validate that the governor/load controller/plant or Frequency
  control models submitted under the Planning Code is a reasonable
  representation of the dynamic behaviour of the Synchronous Power
  Generating Module or HVDC Equipment Station as built, the Generator or
  HVDC System Owner shall repeat the simulation studies outlined above but
  using the operating conditions of the equivalent tests. The simulation study
  results shall be displayed overlaid on the actual test results.

- ECP.A.3.8 Sub-synchronous Resonance control and Power Oscillation Damping control for HVDC System.
- ECP.A.3.8.1 To demonstrate the compliance of the sub-synchronous control capability with ECC.6.3.17.1) and the terms of the **Bilateral Agreement** the **HVDC System**Owner shall submit a simulation study report
- ECP.A.3.8.2 Where power oscillation damping control function is specified on a **HVDC Equipment** the **HVDC System Owner** shall submit a simulation study report to demonstrate the compliance with ECC.6.3.17.2 and the terms of the **Bilateral Agreement.**
- ECP.A.3.8.3 The simulation studies should utilise the **HVDC Equipment** control system models including the settings as required under the **Planning Code** (PC.A.5.3.2). The network conditions for the above simulation studies should be discussed with **The Company** prior to commencing any simulation studies.

#### **APPENDIX 4**

#### ONSITE SIGNAL PROVISION FOR WITNESSING TESTS

During any tests witnessed on-site by **The Company**, the following signals shall be provided to **The Company** by the **Generator** undertaking **OTSDUW or HVDC System Owner** in accordance with ECC.6.6.3.

#### ECP.A.4.2 Synchronous Power Generating Modules

ECP.A.4.2(a)	MW - Active Power at Synchronous	
All Tests	Generating Unit terminals	
ECP.A.4.2(b)	MVAr - Reactive Power at terminals	
Reactive &	Vt - Synchronous Generating Unit terminal	
Excitation	voltage	
System	• Efd- Synchronous Generating Unit field	
Cyclo	voltage and/or main exciter field voltage	
	Ifd — Synchronous Generating Unit Field	
	current (where possible)	
	Power System Stabiliser output, where	
	applicable.	
	Noise – Injected noise signal (where applicable)	
	and possible)	
ECD () (2(c)	• '	
ECP.A.4.2(c) Governor System	Fsys - System Frequency     Fini Uniceted Speed Settleint	
& Frequency	Finj - Injected Speed Setpoint     Jogia, Stan / Start Logia Signal	
Response	Logic - Stop / Start Logic Signal     For Gas Turbines:	
	GT Fuel Velve Position	
	GT Fuel Valve Position	
	GT Inlet Guide Vane Position	
	GT Exhaust Gas Temperature	
	For Steam Turbines at >= 1Hz:	
	Pressure before Turbine Governor Valves     This Country of the Property	
	Turbine Governor Valve Positions	
	Governor Oil Pressure*	
	Boiler Pressure Set Point *	
	Superheater Outlet Pressure *	
	Pressure after Turbine Governor Valves*	
	Boiler Firing Demand*  **********************************	
	*Where applicable (typically not in CCGT module)	
	For Hydro Plant:	
	Speed Governor Demand Signal	
	Actuator Output Signal	
EOD A 4 0/ 1)	Guide Vane / Needle Valve Position	
ECP.A.4.2(d)	Fsys - System Frequency	
Compliance with	Finj - Injected Speed Setpoint	
ECC.6.3.3	Appropriate control system parameters as	
	agreed with <b>The Company</b> (See ECP.A.5.9)	
ECD (4.2(a)	NAME Complement Desired Operation	
ECP.A.4.2(e) Real Time on site	MW - Synchronous Power Generating     Module Active Power at the Grid Entry	
or Down-	Point or (User System Entry Point if	
loadable	Embedded).	
ioadabio	MVAr - Synchronous Power Generating	
	Module Reactive Power at the Grid Entry	
	Point or (User System Entry Point if	
	Embedded).	
	Liliboudouj.	

Line-line Voltage (kV) at the Point or (User System Ent Embedded).	
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#### ECP.A.4.3 Power Park Modules, OTSDUA and HVDC Equipment

	Each Power Park Module and HVDC Equipment	
	at Grid Entry Point or User System Entry Point	
ECP.A.4.3.1(a)	Total Active Power (MW)	
Real Time on site.	<ul> <li>Total Reactive Power (MVAr)</li> </ul>	
	<ul> <li>Line-line Voltage (kV)</li> </ul>	
	System Frequency (Hz)	
ECP.A.4.3.1(b) Real Time on site or Down- loadable	<ul> <li>Injected frequency signal (Hz) or test logic signal (Boolean) when appropriate</li> <li>Injected voltage signal (per unit voltage) or test logic signal (Boolean) when appropriate</li> <li>In the case of an Onshore Power Park Module the Onshore Power Park Module site voltage (MV) (kV)</li> <li>Power System Stabiliser output, where appropriate</li> <li>In the case of a Power Park Module or HVDC Equipment where the Reactive Power is provided by from more than one Reactive Power source, the individual Reactive Power contributions from each source, as agreed with The Company.</li> <li>In the case of HVDC Equipment appropriate control system parameters as agreed with The Company (See ECP.A.7)</li> <li>In the case of an Offshore Power Park Module the Total Active Power (MW) and the Total Reactive</li> </ul>	
	Power (MVAr) at the offshore Grid Entry Point	
ECP.A.4.3.1(c) Real Time on site or Down- loadable	<ul> <li>Available power for Power Park Module (MW)</li> <li>Power source speed for Power Park Module (e.g. wind speed) (m/s) when appropriate</li> <li>Power source direction for Power Park Module (degrees) when appropriate</li> <li>See ECP.A.4.3.2</li> </ul>	

ECP.A.4.3.2 **The Company** accept that the signals specified in ECP.A.4.3.1(c) may have lower effective sample rates than those required in ECC.6.6.3 although any signals supplied for connection to **The Company's** recording equipment which do not meet at least the sample rates detailed in ECC.6.6.3 should have the actual sample rates indicated to **The Company** before testing commences.

#### ECP.A.4.3.3 For all **The Company** witnessed testing either;

- the Generator or HVDC System Owner shall provide to The Company all signals outlined in ECP.A.4.3.1 direct from the Power Park Module control system without any attenuation, delay or filtering which would result in the inability to fully demonstrate the objectives of the test, or identify any potential safety or plant instability issues, and with a signal update rate corresponding to ECC.6.6.3.2; or
- in the case of **Onshore Power Park Modules** the **Generator HVDC System Owner** shall provide signals ECP.A.4.3.1(a) direct from one or more transducer(s) connected to current and voltage transformers for monitoring in real time on site; or,

- (iii) In the case of **Offshore Power Park Modules** and **OTSDUA** signals ECP.A.4.3.1(a) will be provided at the **Interface Point** by the **Offshore Transmission Licensee** pursuant to the STC or by the **Generator** when **OTSDUW Arrangements** apply.
- ECP.A.4.3.4 Options ECP.A.4.3.3 (ii) and (iii) will only be available on condition that;
  - (a) all signals outlined in ECP.A.4.3.1 are recorded and made available to The Company by the Generator or HVDC System Owner from the Power Park Module or OTSDUA or HVDC Equipment control systems as a download once the testing has been completed; and
  - (b) the full test results are provided by the **Generator HVDC System**Owner within 2 working days of the test date to **The Company** unless
    The Company agrees otherwise; and
  - (c) all data is provided with a sample rate in accordance with ECC.6.6.3.3 unless **The Company** agrees otherwise; and
  - (d) in **The Company's** reasonable opinion the solution does not unreasonably add a significant delay between tests or impede the volume of testing which can take place on the day.
- ECP.A.4.3.5 In the case of where transducers connected to current and voltage transformers are installed (ECP.A.4. 3.3(ii) and (iii)), the transducers shall meet the following specification
  - (a) The transducer(s) shall be permanently installed to easily allow safe testing at any point in the future, and to avoid a requirement for recalibration of the current transformers and voltage transformers.
  - (b) The transducer(s) should be directly connected to the metering quality current transformers and voltage transformers or similar.
  - (c) The transducers shall either have a response time no greater than 50ms to reach 90% of output, or no greater than 300ms to reach 99.5%.

#### **APPENDIX 5**

#### COMPLIANCE TESTING OF SYNCHRONOUS POWER GENERATING MODULES

#### ECP.A.5.1 SCOPE

- ECP.A.5.1.1 This Appendix sets out the tests contained therein to demonstrate compliance with the relevant clauses of the European Connection Conditions of the **Grid Code**. This Appendix shall be read in conjunction with the ECP with regard to the submission of the reports to **The Company**.
- ECP.A.5.1.2 The tests specified in this Appendix will normally be sufficient to demonstrate compliance however **The Company** may:
  - (i) agree an alternative set of tests provided **The Company** deem the alternative set of tests sufficient to demonstrate compliance with the **Grid Code** and **Bilateral Agreement**; and/or
  - (ii) require additional or alternative tests if information supplied to **The Company** during the compliance process suggests that the tests in this Appendix will not fully demonstrate compliance with the relevant section of the **Grid Code** or **Bilateral Agreement.**
  - (iii) Agree a reduced set of tests for subsequent Synchronous Power Generating Module following successful completion of the first Synchronous Power Generating Module tests in the case of a Power Station comprised of two or more Synchronous Power Generating Module which The Company reasonably considers to be identical.

If:

- (a) the tests performed pursuant to ECP.A.5.1.2(iii) in respect of subsequent **Synchronous Power Generating Modules** do not replicate the full tests for the first **Synchronous Power Generating Module**, or
- (b) any of the tests performed pursuant to ECP.A.5.1.2(iii) do not fully demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and / or **Bilateral Agreement**,

then notwithstanding the provisions above, the full testing requirements set out in this Appendix will be applied.

- ECP.A.5.1.3 The **Generator** is responsible for carrying out the tests set out in and in accordance with this Appendix and the **Generator** retains the responsibility for the safety of personnel and plant during the test. **The Company** will witness all of the tests outlined or agreed in relation to this Appendix unless **The Company** decides and notifies the **Generator** otherwise. Reactive Capability tests may be witnessed by **The Company** remotely from the **The Company** control centre. For all on site **The Company** witnessed tests the **Generator** should ensure suitable representatives from the **Generator** and manufacturer (if appropriate) are available on site for the entire testing period. In all cases the **Generator** shall provide suitable monitoring equipment to record all relevant test signals as outlined below in ECP.A.6.1.5.
- ECP.A.5.1.6 The **Generator** shall submit a schedule of tests to **The Company** in accordance with CP.4.3.1.
- ECP.A.5.1.7 Prior to the testing of a Synchronous Power Generating Module the

**Generator** shall complete the **Integral Equipment Test** procedure in accordance with OC.7.5.

- ECP.A.5.1.8 Full **Synchronous Power Generating Module** testing as required by CP.7.2 is to be completed as defined in ECP.A.5.2 through to ECP.A.5.9.
- The Company will permit relaxation from the requirement ECP.A.5.2 to ECP.A.5.9 where an Equipment Certificate for the Synchronous Power Generating Module has been provided which details the characteristics from tests on a representative machine with the same equipment and settings and the performance of the Synchronous Power Generating Module can, in The Company's opinion, reasonably represent that of the installed Synchronous Power Generating Module at that site. For Type B, Type C and Type D Power Generating Modules the relevant Equipment Certificate must be supplied in the Power Generating Module Document or Users Data File structure as applicable.
- ECP.A.5.1.10 In the case of a co-located site, for example Electricity Storage Modules connected within a new or existing Power Station, The Company will accept test results to demonstrate compliance at the Grid Entry Point or User System Entry Point (if Embedded) through a combination of the capabilities of the Power Generating Modules and Electricity Storage Modules or Electricity Storage Modules and Generating Units or Power Park Modules. Users should however be aware that for the purposes of testing, full Grid Code compliance should be demonstrated when, for example, the Electricity Storage Module is out of service and the remaining Power Generating Module is in service and vice versa. In the case of a Synchronous Electricity Storage Module, The Company would expect the full set of tests to be completed as detailed in ECP.A.5.2 to ECP.A.5.9.
- ECP.A.5.2 Excitation System Open Circuit Step Response Tests
- ECP.A.5.2.1 The open circuit step response of the **Excitation System** will be tested by applying a voltage step change from 90% to 100% of the nominal **Synchronous Power Generating Module** terminal voltage, with the **Synchronous Power Generating Module** on open circuit and at rated speed.
- ECP.A.5.2.1 The test shall be carried out prior to synchronisation in accordance with CP.6.4. This is not witnessed by **The Company** unless specifically requested by **The Company**. Where **The Company** is not witnessing the tests, the Generator shall supply the recordings of the following signals to **The Company** in an electronic spreadsheet format:
  - Vt Synchronous Generating Unit terminal voltage
    Efd Synchronous Generating Unit field voltage or main exciter field voltage
    Ifd- Synchronous Generating Unit field current (where possible)
    Step injection signal
- ECP.A.5.2.3 Results shall be legible, identifiable by labelling, and shall have appropriate scaling.
- ECP.A.5.3 Open & Short Circuit Saturation Characteristics
- ECP.A.5.3.1 The test shall normally be carried out prior to synchronisation in accordance with ECP.6.2.4 or ECP.6.3.4 **Equipment Certificates** or Manufacturer's Test Certificates may be used where appropriate may be used if agreed by **The Company**.

- ECP.A.5.3.2 This is not witnessed by **The Company**. Graphical and tabular representations of the results in an electronic spreadsheet format showing per unit open circuit terminal voltage and short circuit current versus per unit field current shall be submitted to **The Company**.
- ECP.A.5.3.3 Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

#### ECP.A.5.4 Excitation System On-Load Tests

ECP.A.5.4.1 The time domain performance of the **Excitation System** shall be tested by application of voltage step changes corresponding to 1% and 2% of the nominal terminal voltage.

#### ECP.A.5.4.2 Where a **Power System Stabiliser** is present:

- (i) The PSS must only be commissioned in accordance with BC2.11.2. When a PSS is switched on for the first time as part of on-load commissioning or if parameters have been adjusted the Generator should consider reducing the PSS output gain by at least 50% and should consider reducing the limits on PSS output by at least a factor of 5 to prevent unexpected PSS action affecting the stability of the Synchronous Generating Unit or the National Electricity Transmission System.
- (ii) The time domain performance of the **Excitation System** shall be tested by application of voltage step changes corresponding to 1% and 2% of the nominal terminal voltage, repeating with and without the **PSS** in service.
- (iii) The frequency domain tuning of the PSS shall also be demonstrated by injecting a 0.2Hz-3Hz band limited random noise signal into the Automatic Voltage Regulator Setpoint with the Synchronous Generating Unit operating at points specified by The Company (up to rated MVA output).
- (iv) The **PSS** gain margin shall be tested by increasing the **PSS** gain gradually to threefold and observing the **Synchronous Generating Unit** steady state **Active Power** output.
- (v) The interaction of the PSS with changes in Active Power shall be tested by application of a +0.5Hz frequency injection to the governor while the Synchronous Generating Unit is selected to Frequency Sensitive Mode.
- (vi) If the Synchronous Power Generating Module is of the Pumped Storage type then the step tests shall be carried out, with and without the PSS, in the pumping mode in addition to the generating mode. In the case of a Synchronous Electricity Storage Module the tests shall be carried out with and without the PSS in both importing and exporting modes of operation.
- (vii) Where the Bilateral Agreement requires that the PSS is in service at a specified loading level additional testing witnessed by The Company will be required during the commissioning process before the Synchronous Power Generating Module may exceed this output level.
- (viii) Where the **Excitation System** includes a **PSS**, the **Generator** shall provide a suitable noise source to facilitate noise injection testing.

ECP.A.5.4.3 The following typical procedure is provided to assist **Generators** in drawing up their own site specific procedures for the **The Company** witnessed **PSS** Tests.

Test	Injection	Notes
	Synchronous Generating Unit running at Maximum	
	Capacity, unity pf, PSS Switched Off	
1	Record steady state for 10 seconds	
	• Inject +1% step to AVR Voltage Setpoint and hold for at least	
	10 seconds until stabilised	
	Remove step returning AVR Voltage Setpoint to nominal and	
	hold for at least 10 seconds	
2	<ul> <li>Record steady state for 10 seconds</li> </ul>	
	• Inject +2% step to AVR Voltage Setpoint and hold for at least	
	10 seconds until stabilised	
	Remove step returning AVR Voltage Setpoint to nominal and	
	hold for at least 10 seconds	
3	• Inject band limited (0.2-3Hz) random noise signal into voltage	
	Setpoint and measure frequency spectrum of Real Power.	
	Remove noise injection.	
	Switch On Power System Stabiliser	
4	Record steady state for 10 seconds	
	• Inject +1% step to AVR Voltage Setpoint and hold for at least	
	10 seconds until stabilised	
	Remove step returning AVR Voltage Setpoint to nominal and	
_	hold for at least 10 seconds	
5	Record steady state for 10 seconds	
	• Inject +2% step to AVR Voltage Setpoint and hold for at least	
	10 seconds until stabilised	
	Remove step returning AVR Voltage Setpoint to nominal and     held for at least 40 accords.	
6	<ul><li>hold for at least 10 seconds</li><li>Increase PSS gain at 30second intervals. i.e.</li></ul>	
О	x1 – x1.5 – x2 – x2.5 – x3	
	• Return PSS gain to initial setting	
7	Inject band limited (0.2-3Hz) random noise signal into voltage	
'	Setpoint and measure frequency spectrum of Real Power.	
	Remove noise injection.	
8	Select the governor to FSM	
٦	• Inject +0.5 Hz step into governor.	
	Hold until generator MW output is stabilised	
	Remove step	
	1 110111010 0109	

#### ECP.A.5.5 <u>Under-excitation Limiter Performance Test</u>

- Initially the performance of the **Under-excitation Limiter** should be checked by moving the limit line close to the operating point of the **Synchronous Generating Unit** when operating close to unity power factor. The operating point of the **Synchronous Generating Unit** is then stepped into the limit by applying a 2% decrease in **Automatic Voltage Regulator** Setpoint voltage.
- ECP.A.5.5.2 The final performance of the **Under-excitation Limiter** shall be demonstrated by testing its response to a step change corresponding to a 2% decrease in **Automatic Voltage Regulator Setpoint** voltage when the **Synchronous Generating Unit** is operating just off the limit line, at the designed setting as indicated on the **Performance Chart** [P-Q Capability Diagram] submitted to **The Company** under OC2.
- ECP.A.5.5.3 Where possible the **Under-excitation Limiter** should also be tested by operating the tap- changer when the **Synchronous Generating Unit** is

operating just off the limit line, as set up.

- ECP.A.5.5.4 The **Under-excitation Limiter** will normally be tested at low active power output and at maximum **Active Power** output.
- ECP.A.5.5.5 The following typical procedure is provided to assist **Generators** in drawing up their own site specific procedures for the **The Company** witnessed **Under-excitation Limiter** Tests.

Test	Injection	Notes
	Synchronous Generating Unit running at Maximum Capacity and unity power factor. Under-excitation limit temporarily moved close to the operating point of the Synchronous Generating Unit.	
1	<ul> <li>PSS on.</li> <li>Inject -2% voltage step into AVR voltage Setpoint and hold at least for 10 seconds until stabilised</li> <li>Remove step returning AVR Voltage Setpoint to nominal and hold for at least 10 seconds</li> </ul>	
	Under-excitation limit moved to normal position.  Synchronous Generating Unit running at Maximum  Capacity and at leading Reactive Power close to  Under-excitation limit.	
2	<ul> <li>PSS on.</li> <li>Inject -2% voltage step into AVR voltage Setpoint and hold at least for 10 seconds until stabilised</li> <li>Remove step returning AVR Voltage Setpoint to nominal and hold for at least 10 seconds</li> </ul>	

#### ECP.A.5.6 Over-excitation Limiter Performance Test

The performance of the **Over-excitation Limiter**, where it exists, shall be demonstrated by testing its response to a step increase in the Automatic Voltage Regulator Setpoint voltage that results in operation of the Over-excitation Limiter. Prior to application of the step the **Synchronous Generating Unit** shall be generating **Maximum Capacity** and operating within its continuous **Reactive Power** capability. The size of the step will be determined by the minimum value necessary to operate the Over-excitation Limiter and will be agreed by **The Company** and the **Generator**. The resulting operation beyond the **Over-excitation Limit** shall be controlled by the **Over-excitation Limiter** without the operation of any protection that could trip the **Synchronous Power Generating Module**. The step shall be removed immediately on completion of the test.

- ECP.A.5.6.2 If the **Over-excitation Limiter** has multiple levels to account for heating effects, an explanation of this functionality will be necessary and if appropriate, a description of how this can be tested.
- ECP.A.5.6.3 The following typical procedure is provided to assist **Generators** in drawing up their own site specific procedures for the **The Company** witnessed **Under-excitation Limiter** Tests.

Test	Injection	Notes
	Synchronous Generating Unit running at Maximum Capacity and maximum lagging Reactive Power.	
	Over-excitation Limit temporarily set close to this operating point. <b>PSS</b> on.	
1	Inject positive voltage step into AVR voltage Setpoint and	

<ul> <li>hold</li> <li>Wait till Over-excitation Limiter operates after sufficient time delay to bring back the excitation back to the limit.</li> <li>Remove step returning AVR Voltage Setpoint to nominal.</li> </ul>	
Over-excitation Limit restored to its normal operating value. <b>PSS</b> on.	

#### ECP.A.5.7 Reactive Capability

- ECP.A.5.7.1 The **Reactive Power** capability on each **Synchronous Power Generating Module** will normally be demonstrated by :
  - (a) operation of the **Synchronous Power Generating Module** at maximum lagging **Reactive Power** and Maximum Capacity for 1 hour
  - (b) operation of the **Synchronous Power Generating Module** at maximum leading **Reactive Power** and Maximum Capacity for 1 hour.
  - (c) operation of the **Synchronous Power Generating Module** at maximum lagging **Reactive Power** and **Minimum Stable Operating Level** for 1 hour
  - (d) operation of the **Synchronous Power Generating Module** at maximum leading **Reactive Power** and **Minimum Stable Operating Level** for 1 hour.
  - (e) operation of the **Synchronous Power Generating Module** at maximum lagging **Reactive Power** and a power output between **Maximum Capacity** and **Minimum Stable Operating Level**.
  - (f) operation of the **Synchronous Power Generating Module** at maximum leading **Reactive Power** and a power output between **Maximum Capacity** and **Minimum Stable Operating Level**.

In the case of a **Synchronous Electricity Storage Module**, **The Company** shall have discretion to reduce the durations of the tests set out in ECP.A.5.7.1 (a) – (f), depending upon the capability of the energy store.

- ECP.A.5.7.2 In the case of an **Embedded Synchronous Power Generating Module** where distribution network considerations restrict the **Synchronous Power Generating Module Reactive Power** Output **The Company** will only require demonstration within the acceptable limits of the **Network Operator's System**.
- ECP.A.5.7.3 The test procedure, time and date will be agreed with **The Company** and will be to the instruction of **The Company** control centre and shall be monitored and recorded at both **The Company** control centre and by the **Generator**.
- Where the **Generator** is recording the voltage, **Active Power** and **Reactive Power** at the HV connection point the voltage for these tests **Active Power** and **Reactive Power** at the **Synchronous Power Generating Module** terminals may also be included. The results shall be supplied in an electronic spreadsheet format. Where applicable the **Synchronous Power Generating Module** transformer tapchanger position should be noted throughout the test period.
- ECP.A.5.8 Governor and Load Controller Response Performance
- ECP.A.5.8.1 The governor and load controller response performance will be tested by injecting simulated frequency deviations into the governor and load controller systems. Such simulated frequency deviation signals must be injected

simultaneously at both speed governor and load controller setpoints. For **CCGT modules**, simultaneous injection into all gas turbines, steam turbine governors and module controllers is required.

- Prior to witnessing the governor tests set out in ECP.A.5.8.6, **The Company** requires the **Generator** to conduct the preliminary tests detailed in ECP.A.5.8.4 and send the results to **The Company** for assessment unless agreed otherwise by **The Company**. The results should be supplied in an electronic spreadsheet format. These tests shall be completed at least two weeks prior to the witnessed governor response tests.
- ECP.A.5.8.3 Where a **CCGT module** or **Synchronous Power Generating Module** is capable of operating on alternative fuels, tests will be required to demonstrate performance when operating on each fuel. **The Company** may agree a reduction from the tests listed in ECP.A.5.8.6 for demonstrating performance on the alternative fuel. This includes the case where a main fuel is supplemented by bio-fuel.

Preliminary Governor Frequency Response Testing

ECP.A.5.8.4 Prior to conducting the full set of tests as per ECP.A.5.8.6, **Generators** are required to conduct a preliminary set of tests below to confirm the frequency injection method is correct and the plant control performance is within expectation. The test numbers refer to Figure 1 below. With the plant running at 80% of full load, the following frequency injections shall be applied.

Test No	Frequency Injection	Notes
(Figure1)		
8	Inject -0.5Hz frequency fall over 10 sec	
	Hold for a further 20 sec	
	• At 30 sec from the start of the test, Inject a +0.3Hz frequency	
	rise over 30 sec.	
	Hold until conditions stabilise	
	Remove the injected signal as a ramp over 10 seconds	
13	Inject - 0.5Hz frequency fall over 10 sec	
	Hold until conditions stabilise	
	Remove the injected signal as a ramp over 10 seconds	
14	Inject +0.5Hz frequency rise over 10 sec	
	Hold until conditions stabilise	
	Remove the injected signal as a ramp over 10 seconds	
Н	Inject - 0.5Hz frequency fall as a stepchange	
	Hold until conditions stabilise	
	Remove the injected signal as a stepchange	
I	Inject +0.5Hz frequency rise as a stepchange	
	Hold until conditions stabilise	
	Remove the injected signal as a stepchange	

ECP.A.5.8.5 The recorded results (e.g. Finj, MW and control signals) should be sampled at a minimum rate of 1 Hz to allow **The Company** to assess the plant performance from the initial transients (seconds) to the final steady state conditions (5-15 minutes depending on the plant design). This is not witnessed by **The Company**. The **Generator** shall supply the recordings including data to **The Company** in an electronic spreadsheet format. Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

Full Frequency Response Testing Schedule Witnessed by The Company

ECP.A.5.8.6 The tests are to be conducted at a number of different Module Load Points (MLP). The load points are conducted as shown below unless agreed otherwise by **The Company**.

Module Load Point 6	100% MEL
(Maximum Export Limit)	
Module Load Point 5	95% MEL
Module Load Point 4	80% MEL
(Mid-point of Operating Range)	
Module Load Point 3	70% MEL
Module Load Point 2	MRL+10% or
(Lower of MRL+10% or Minimum Stable Operating Level	MSOL
Module Load Point 1	MRL
(Minimum regulating level)	

#### ECP.A.5.8.7 The tests are divided into the following three types;

- (i) Frequency response compliance and volume tests as per ECP.A.5.8. Figure 1. These tests consist of frequency profile and ramp tests and adjustments to the target frequency setpoint as per ECP.5.8 Figure 3.
- (ii) System islanding and step response tests as shown by ECP.A.5.8. Figure 2.
- (iii) Frequency response tests in **Limited Frequency Sensitive Mode (LFSM)** to demonstrate **LFSM-O** and **LFSM-U** capability as shown by ECP.A.5.8 Figure 2.

## There should be sufficient time allowed between tests for control systems to reach steady state. Where the diagram states 'HOLD' the current injection should be maintained until the **Active Power** (MW) output of the **Synchronous Power Generating Module** or **CCGT Module** has stabilised. The frequency response capability test (see Figure 1) injection signal shall be returned to zero at the same rate at which it was applied. **The Company** may require repeat tests should the tests give unexpected results.

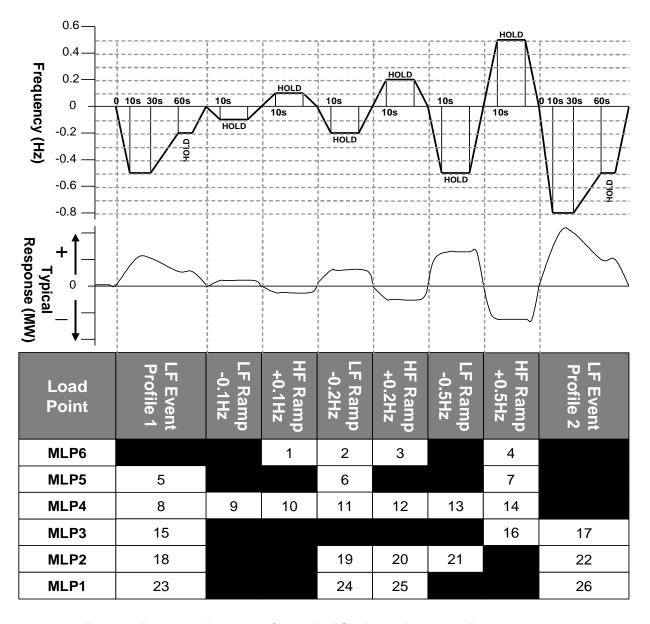


Figure 1: Frequency Response Capability FSM Ramp Response Tests

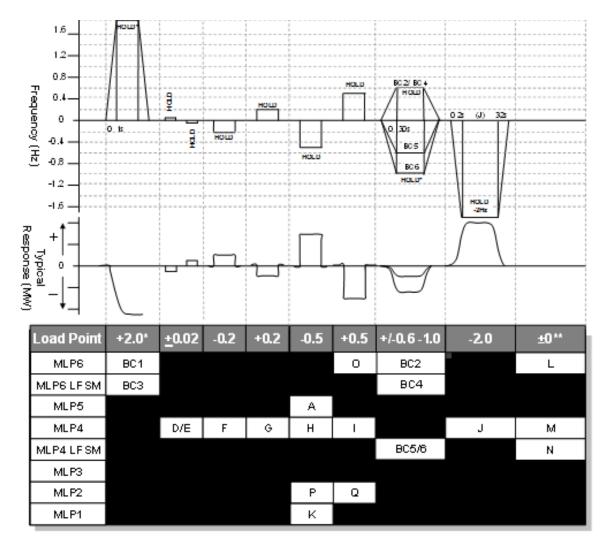


Figure 2: Frequency Response Capability LFSM-O, LFSM-U and FSM Step Response Tests

\* This will generally be +2.0Hz unless an injection of this size causes a reduction in plant output that takes the operating point below **Minimum Stable Operating Level** in which case an appropriate injection should be calculated in accordance with the following:

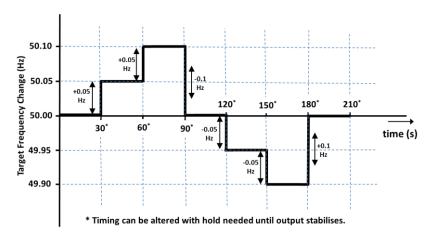
For example 0.9Hz is needed to take an initial output 65% to a final output of 20%. If the initial output was not 65% and the **Minimum Stable Operating Level** is not 20% then the injected step should be adjusted accordingly as shown in the example given below

Initial Output	65%
Minimum Stable Operating Level	20%
Frequency Controller Droop	4%
Frequency to be injected = $(0.65-0.20)x0.04x50 =$	0.9Hz

\*\* Tests L and M in Figure 2 shall be conducted if in this range of tests the system frequency feedback signal is replaced by the injection signal rather than the injection signal being added to the system frequency signal. The tests will consist of monitoring the **Synchronous Power Generating Module and CCGT Module** in **Frequency Sensitive Mode** during normal system frequency variations without applying any injection. Test N in figure 2 shall be conducted in all cases. All three tests should be conducted for a period of at least 10 minutes.

ECP.A.5.8.9 The target frequency adjustment facility should be demonstrated from the

normal control point within the range of 49.9Hz to 50.1Hz by step changes to the target frequency setpoint as indicated in ECP.A.5.8 Figure 3



ECP.A.5.8 Figure 3 - Target Frequency setting changes

#### ECP.A.5.9 Compliance with ECC.6.3.3 Functionality Test

Where the plant design includes active control function or functions to deliver ECC.6.3.3 compliance, the **Generator** will propose and agree a test procedure with **The Company**, which will demonstrate how the **Synchronous Power Generating Module Active Power** output responds to changes in **System Frequency** and ambient conditions (e.g. by **Frequency** and temperature injection methods).

ECP.A.5.9.2 The **Generator** shall inform **The Company** if any load limiter control is additionally employed.

ECP.A.5.9.3 With Setpoint to the signals specified in ECP.A.4, **The Company** will agree with the **Generator** which additional control system parameters shall be monitored to demonstrate the functionality of ECC.6.3.3 compliance systems. Where **The Company** recording equipment is not used results shall be supplied to **The Company** in an electronic spreadsheet format

#### **APPENDIX 6**

#### COMPLIANCE TESTING OF POWER PARK MODULES

#### ECP.A.6.1 SCOPE

- ECP.A.6.1.1 This Appendix outlines the general testing requirements for **Power Park Modules** and **OTSDUA** to demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and **Bilateral Agreement**. The tests specified in this Appendix will normally be sufficient to demonstrate compliance however **The Company** may:
  - agree an alternative set of tests provided The Company deem the alternative set of tests sufficient to demonstrate compliance with the Grid Code, Ancillary Services Agreement and Bilateral Agreement; and/or
  - require additional or alternative tests if information supplied to **The Company** during the compliance process suggests that the tests in this Appendix will not fully demonstrate compliance with the relevant section of the **Grid Code**, **Ancillary Services Agreement** or **Bilateral Agreement**; and/or
  - iii) require additional tests if a Power System Stabiliser is fitted; and/or
  - <u>iv)</u> agree a reduced set of tests if a relevant **Manufacturer's Data & Performance Report** has been submitted to and deemed to be appropriate by **The Company**; and/or
  - agree a reduced set of tests for subsequent Power Park Modules or OTSDUA following successful completion of the first Power Park Module or OTSDUA tests in the case of a Power Station comprised of two or more Power Park Modules or OTSDUA which The Company reasonably considers to be identical.

If:

- (a) the tests performed pursuant to ECP.A.6.1.1(iv) do not replicate the results contained in the **Manufacturer's Data & Performance Report** or
- (b) the tests performed pursuant to ECP.A.6.1.1(v) in respect of subsequent **Power Park Modules** or **OTSDUA** do not replicate the full tests for the first **Power Park Module** or **OTSDUA**, or
- (c) any of the tests performed pursuant to ECP.A.6.1.1(iv) or ECP.A.6.1.1(v) do not fully demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and / or **Bilateral Agreement**,

then notwithstanding the provisions above, the full testing requirements set out in this Appendix will be applied.

ECP.A.6.1.2 The **Generator** is responsible for carrying out the tests set out in and in accordance with this Appendix and the **Generator** retains the responsibility for the safety of personnel and plant during the test. **The Company** will witness all of the tests outlined or agreed in relation to this Appendix unless **The Company** decides and notifies the **Generator** otherwise. Reactive Capability tests may be witnessed by **The Company** remotely from **The Company** control centre. For all on site **The Company** witnessed tests the **Generator** 

must ensure suitable representatives from the **Generator** and / or **Power Park Module** manufacturer (if appropriate) and/or **OTSDUA** manufacturer (if appropriate) are available on site for the entire testing period. In all cases and in addition to any recording of signals conducted by **The Company** the **Generator** shall record all relevant test signals as outlined in ECP.A.4.

- ECP.A.6.1.3 In addition to the dynamic signals supplied in ECP.A.4 the **Generator** shall inform **The Company** of the following information prior to the commencement of the tests and any changes to the following, if any values change during the tests:
  - (i) All relevant transformer tap numbers; and
  - (ii) Number of **Power Park Units** in operation
- ECP.A.6.1.4 The **Generator** shall submit a detailed schedule of tests to **The Company** in accordance with CP.6.3.1, and this Appendix.
- Prior to the testing of a **Power Park Module** or **OTSDUA** the **Generator** shall complete the **Integral Equipment Tests** procedure in accordance with OC.7.5
- ECP.A.6.1.6 Partial **Power Park Module** or **OTSDUA** testing as defined in ECP.A.6.2 and ECP.A.6.3 is to be completed at the appropriate stage in accordance with ECP.6, ECP6.4A, ECP6.4B.
- ECP.A.6.1.7 Full **Power Park Module** or **OTSDUA** testing as required by CP.7.2 is to be completed as defined in ECP.A.6.4 through to ECP.A.6.7
- ECP.A.6.1.8 Where **OTSDUW Arrangements** apply and prior to the **OTSUA Transfer Time** any relevant **OTSDUW Plant and Apparatus** shall be considered within the scope of testing described in this Appendix. Performance shall be assessed against the relevant Grid Code requirements for **OTSDUW Plant and Apparatus** at the **Interface Point** and other **Generator Plant and Apparatus** at the **Offshore Grid Entry Point**. This Appendix should be read accordingly.
- The Company will permit relaxation from the requirement ECP.A.6.2 to ECP.A.6.8 where an Equipment Certificate for the Power Park Module has been provided which details the characteristics from tests on a representative installation with the same equipment and settings and the performance of the Power Park Module can, in The Company's opinion, reasonably represent that of the installed Power Park Module at that site. For Type B, Type C and Type D Power Park Modules the relevant Equipment Certificate must be supplied in the Power Generating Module Document or Users Data File structure as applicable.
- ECP.A.6.1.10 In the case of a co-located site, for example Electricity Storage Modules connected within a new or existing Power Station, The Company will accept test results to demonstrate compliance at the Grid Entry Point or User System Entry Point (if Embedded) through a combination of the capabilities of the Power Generating Modules and Electricity Storage Modules or Electricity Storage Modules and Generating Units or Power Park Modules. Users should however be aware that for the purposes of testing, full Grid Code compliance should be demonstrated when, for example, the Electricity Storage Module is out of service and the remaining Power Generating Module is in service and vice versa. In the case of a Non-Synchronous Electricity Storage Module, The Company would expect the full set of tests to be completed as detailed in ECP.A.6.2 to ECP.A.6.8.
- ECP.A.6.2 Pre 20% (or <50MW) Synchronised Power Park Module Basic Voltage

#### **Control Tests**

- ECP.A.6.2.1 Before 20% of the **Power Park Module** (or 50MW if less) has commissioned, either voltage control test ECP.A.6.5.6(i) or (ii) must be completed in accordance with ECP.6, ECP.6A or ECP.6B. In the case of an **Offshore Power Park Module** the test must be completed by the **Generator** undertaking **OTSDUW** or the **Offshore Transmission Licencee** under STCP19-5.
- In the case of an **Offshore Power Park Module** which provides all or a portion of the **Reactive Power** capability as described in ECC.6.3.2.5.2 or ECP.6.3.2.6.3 and / or voltage control requirements as described in ECC.6.3.8.5 to enable an **Offshore Transmission Licensee** to meet the requirements of **STC** Section K, the **Generator** is required to cooperate with the **Offshore Transmission Licensee** to conduct the 20% voltage control test. The results in relation to the **Offshore Power Park Module** will be assessed against the requirements in the **Bilateral Agreement**.
- ECP.A.6.3 Power Park Modules with Maximum Capacity ≥100MW Pre 70% Power Park Module Tests
- ECP.A.6.3.1 Before 70% but with at least 50% of the **Power Park Module** commissioned the following **Limited Frequency Sensitive** tests as detailed in ECP.A.6.6.2 must be completed.
  - (a) BC3
  - (b) BC4
- ECP.A.6.4 Reactive Capability Test
- ECP.A.6.4.1 This section details the procedure for demonstrating the reactive capability of an Onshore Power Park Module or an Offshore Power Park Module or OTSDUA which provides all or a portion of the Reactive Power capability as described in ECC.6.3.2.5.2 or ECP.6.3.2.6.3 as applicable (for the avoidance of doubt, an Offshore Power Park Module which does not provide part of the Offshore Transmission Licensee Reactive Power capability as described in ECC.6.3.2.5.1 and ECP.6.3.2.6.1 should complete the Reactive Power transfer / voltage control tests as per section ECP.A.6.8). These tests should be scheduled at a time where there are at least 95% of the Power Park Units within the Power Park Module in service. There should be sufficient MW resource forecasted in order to generate at least 85% of Maximum Capacity of the Power Park Module.
- ECP.A.6.4.2 The tests shall be performed by modifying the voltage set-point of the voltage control scheme of the **Power Park Module** or **OTSDUA** by the amount necessary to demonstrate the required reactive range. This is to be conducted for the operating points and durations specified in ECP.A.6.4.5.
- An Embedded Generator or Embedded Generator undertaking OTSDUW should liaise with the relevant Network Operator to ensure the following tests will not have an adverse impact upon the Network Operator's System as per OC.7.5. In situations where the tests have an adverse impact upon the Network Operator's System The Company will only require demonstration within the acceptable limits of the Network Operator. For the avoidance of doubt, these tests do not negate the requirement to produce a complete Power Park Module or OTSDUA performance chart as specified in OC2.4.2.1
- ECP.A.6.4.4 In the case where the **Reactive Power** metering point is not at the same location as the **Reactive Power** capability requirement, then an equivalent **Reactive Power** capability for the metering point shall be agreed between the **Generator** and **The Company**.

#### ECP.A.6.4.5 The following tests shall be completed:

- (i) Operation in excess of 60% **Maximum Capacity** and maximum continuous lagging **Reactive Power** for 30 minutes.
- (ii) Operation in excess of 60% **Maximum Capacity** and maximum continuous leading **Reactive Power** for 30 minutes.
- (iii) Operation at 50% **Maximum Capacity** and maximum continuous leading **Reactive Power** for 30 minutes.
- (iv) Operation at 20% **Maximum Capacity** and maximum continuous leading **Reactive Power** for 60 minutes.
- (v) Operation at 20% **Maximum Capacity** and maximum continuous lagging **Reactive Power** for 60 minutes.
- (vi) Operation at less than 20% Maximum Capacity and unity Power Factor for 5 minutes. This test only applies to systems which do not offer voltage control below 20% of Maximum Capacity.
- (vii) Operation at the lower of the Minimum Stable Operating Level or 0% Maximum Capacity and maximum continuous leading Reactive Power for 5 minutes. This test only applies to systems which offer voltage control below 20% and hence establishes actual capability rather than required capability.
- (viii) Operation at the lower of the Minimum Stable Operating Level or 0% Maximum Capacity and maximum continuous lagging Reactive Power for 5 minutes. This test only applies to systems which offer voltage control below 20% and hence establishes actual capability rather than required capability.

In the case of a **Non-Synchronous Electricity Storage Module**, **The**Company shall have discretion to reduce the duration of the tests required in ECP.A.6.4.5 (i) – (viii) depending upon the capability of the energy store.

ECP.A.6.4.6 Within this ECP lagging **Reactive Power** is the export of **Reactive Power** from the **Power Park Module** to the **Total System** and leading **Reactive Power** is the import of **Reactive Power** from the **Total System** to the **Power Park Module** or **OTSDUA**.

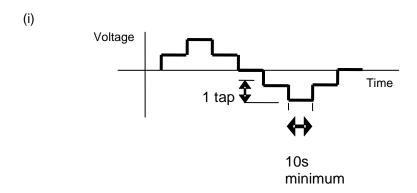
#### ECP.A.6.5 Voltage Control Tests

ECP.A.6.5.1 This section details the procedure for conducting voltage control tests on Onshore Power Park Modules or OTSDUA or an Offshore Power Park Module which provides all or a portion of the voltage control capability as described in ECC.6.3.8.5 (for the avoidance of doubt, Offshore Power Park Modules which do not provide part of the Offshore Transmission Licensee voltage control capability as described in CC6.3.8.5 should complete the Reactive Power transfer / voltage control tests as per section ECP.A.6.8). These tests should be scheduled at a time when there are at least 95% of the Power Park Units within the Power Park Module in service. There should be sufficient MW resource forecasted in order to generate at least 65% of Maximum Capacity of the Onshore Power Park Module. An Embedded Generator or Embedded Generators undertaking OTSDUW should also liaise with the relevant Network Operator to ensure all requirements covered in this section will not have a detrimental effect on the Network Operator's System.

- ECP.A.6.5.2 The voltage control system shall be perturbed with a series of step injections to the **Power Park Module** voltage Setpoint, and where possible, multiple upstream transformer taps. In the case of an **Offshore Power Park Module** providing part of the **Offshore Transmission Licensee** voltage control capability this may require a series of step injections to the voltage Setpoint of the **Offshore Transmission Licensee** control system.
- ECP.A.6.5.3 For steps initiated using network tap changers the **Generator** will need to coordinate with **The Company** or the relevant **Network Operator** as appropriate. The time between transformer taps shall be at least 10 seconds as per ECP.A.6.5 Figure 1.
- ECP.A.6.5.4 For step injection into the **Power Park Module** or **OTSDUA** voltage Setpoint, steps of ±1% and ±2% (or larger if required by **The Company**) shall be applied to the voltage control system Setpoint summing junction. The injection shall be maintained for 10 seconds as per ECP.A.6.5 Figure 2.
- ECP.A.6.5.5 Where the voltage control system comprises of discretely switched plant and apparatus additional tests will be required to demonstrate that its performance is in accordance with **Grid Code** and **Bilateral Agreement** requirements.

#### ECP.A.6.5.6 Tests to be completed:

(ii)



ECP.A.6.5 Figure 1 – Transformer tap sequence for voltage control tests

Applied Voltage Step 1% 1 Time

ECP.A.6.5 Figure 2 – Step injection sequence for voltage control tests

ECP.A.6.5.7 In the case of **OTSDUA** where the **Bilateral Agreement** specifies additional damping facilities additional testing to demonstrate these damping facilities may be required.

#### ECP.A.6.6 <u>Frequency Response Tests</u>

- ECP.A.6.6.1 This section describes the procedure for performing frequency response testing on a **Power Park Module**. These tests should be scheduled at a time where there are at least 95% of the **Power Park Units** within the **Power Park Module** in service. There should be sufficient MW resource forecasted in order to generate at least 65% of **Maximum Capacity** of the **Power Park Module**.
- ECP.A.6.6.2 The frequency controller shall be in Frequency Sensitive Mode or Limited Frequency Sensitive Mode as appropriate for each test. Simulated frequency deviation signals shall be injected into the frequency controller setpoint/feedback summing junction. If the injected frequency signal replaces rather than sums with the real system frequency signal then the additional tests outlined in ECP.A.6.6.6 shall be performed with the Power Park Module or Power Park Unit in normal Frequency Sensitive Mode monitoring actual system frequency, over a period of at least 10 minutes. The aim of this additional test is to verify that the control system correctly measures the real system frequency for normal variations over a period of time.
- ECP.A.6.6.3 In addition to the frequency response requirements it is necessary to demonstrate the **Power Park Module** ability to deliver a requested steady state power output which is not impacted by power source variation as per ECC.6.3.9. This test shall be conducted in **Limited Frequency Sensitive Mode** at a part-loaded output for a period of 10 minutes as per ECP.A.6.6.6.

Preliminary Frequency Response Testing

ECP.A.6.6.4 Prior to conducting the full set of tests as per ECP.A.6.6.6, **Generators** are required to conduct the preliminary set of tests below to confirm the frequency injection method is correct and the plant control performance is within expectation. The test numbers refer to Figure 1 below. The test should be conducted when sufficient MW resource is forecasted in order to generate at least 65% of **Maximum Capacity** of the **Power Park Module**. The following frequency injections shall be applied when operating at module load point 4.

Test No (Figure1)	Frequency Injection	Notes
8	• Inject -0.5Hz frequency fall over 10 sec	
	Hold for a further 20 sec	
	• At 30 sec from the start of the test, Inject a +0.3Hz	
	frequency rise over 30 sec.	
	Hold until conditions stabilise	
	Remove the injected signal as a ramp over 10 seconds	
13	Inject - 0.5Hz frequency fall over 10 sec	
	Hold until conditions stabilise	
	Remove the injected signal as a ramp over 10 seconds	
14	Inject +0.5Hz frequency rise over 10 sec	
	Hold until conditions stabilise	
	Remove the injected signal as a ramp over 10 seconds	
Н	Inject - 0.5Hz frequency fall as a stepchange	
	Hold until conditions stabilise	
	Remove the injected signal as a stepchange	
	Inject +0.5Hz frequency rise as a stepchange	
	Hold until conditions stabilise	
	Remove the injected signal as a stepchange	

ECP.A.6.6.5 The recorded results (e.g. Finj, MW and control signals) should be sampled at a minimum rate of 1 Hz to allow **The Company** to assess the plant performance from the initial transients (seconds) to the final steady state

conditions (5-15 minutes depending on the plant design). This is not witnessed by **The Company**. The **Generator** shall supply the recordings including data to **The Company** in an electronic spreadsheet format. Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

Full Frequency Response Testing Schedule Witnessed by The Company.

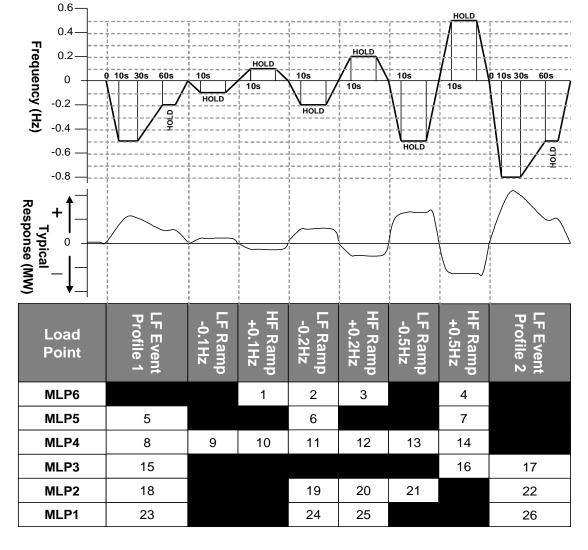
ECP.A.6.6.6 The tests are to be conducted at a number of different Module Load Points (MLP). In the case of a **Power Park Module** the module load points are conducted as shown below unless agreed otherwise by **The Company**.

Module Load Point 6	100% MEL
(Maximum Export Limit)	
Module Load Point 5	90% MEL
Module Load Point 4	80% MEL
(Mid point of Operating Range)	
Module Load Point 3	MRL+20%
Module Load Point 2	MRL+10% or
Lower of MRL +10% or Minimum Stable Operating Level	MSOL
Module Load Point 1	MRL
(Minimum regulating level)	

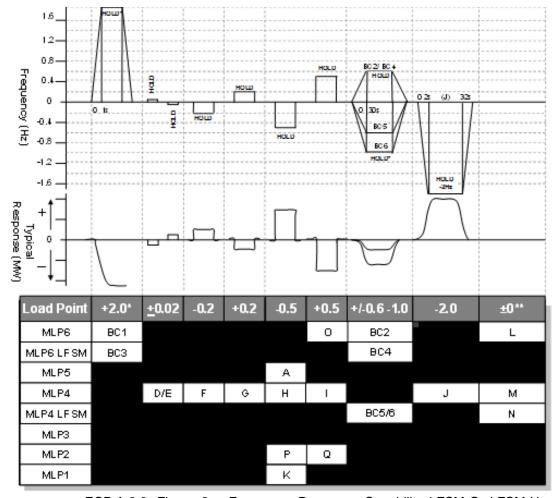
#### ECP.A.6.6.7 The tests are divided into the following two types;

- (i) Frequency response compliance and volume tests as per ECP.A.6.6. Figure 1. These tests consist of frequency profile and ramp tests and adjustments to target frequency setpoint as per ECP.A.6.6 Figure 3.
- (ii) System islanding and step response tests as shown by ECP.A.6.6. Figure 2.
- (iii) Frequency response tests in **Limited Frequency Sensitive Mode (LFSM)** to demonstrate **LFSM-O** and **LFSM-U** capability as shown by ECP.A.6.6 Figure 2.

# There should be sufficient time allowed between tests for control systems to reach steady state (depending on available power resource). Where the diagram states 'HOLD' the current injection should be maintained until the **Active Power** (MW) output of the **Power Park Module** has stabilised. All frequency response tests should be removed over the same timescale for which they were applied. **The Company** may require repeat tests should the response volume be affected by the available power, or if tests give unexpected results.



ECP.A.6.6. Figure 1 – Frequency Response Capability FSM Ramp Response tests



ECP.A.6.6. Figure 2 – Frequency Response Capability LFSM-O, LFSM-U, FSM Step Response tests

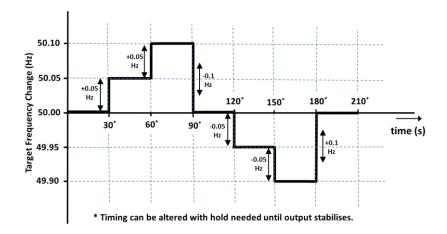
\* This will generally be +2.0Hz unless an injection of this size causes a reduction in plant output that takes the operating point below **Minimum Stable Operating Level** in which case an appropriate injection should be calculated in accordance with the following:

For example 0.9Hz is needed to take an initial output 65% to a final output of 20%. If the initial output was not 65% and the **Minimum Stable Operating Level** is not 20% then the injected step should be adjusted accordingly as shown in the example given below

Initial Output	65%
Minimum Stable Operating Level	20%
Frequency Controller Droop	4%
Frequency to be injected = $(0.65-0.20)x0.04x50 =$	0.9Hz

\*\* Tests L and M in Figure 2 shall be conducted if in this range of tests the system frequency feedback signal is replaced by the injection signal rather than the injection signal being added to the system frequency signal. The tests will consist of monitoring the **Power Park Module** in **Frequency Sensitive Mode** during normal system frequency variations without applying any injection. Test N in Figure 2 shall be conducted in all cases. All three tests should be conducted for a period of at least 10 minutes.

ECP.A.6.6.9 The target frequency adjustment facility should be demonstrated from the normal control point within the range of 49.9Hz to 50.1Hz by step changes to the target frequency setpoint as indicated in ECP.A.6.6 Figure 3.



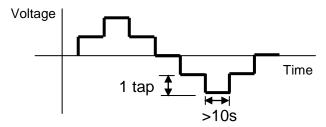
ECP.A.6.6. Figure 3 – Target Frequency setting changes

#### ECP.A.6.7 Fault Ride Through Testing

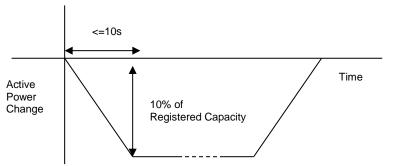
- ECP.A.6.7.1 This section describes the procedure for conducting fault ride through tests on a single **Power Park Unit** as required by ECP.7.2.2(d).
- ECP.A.6.7.2 The test circuit will utilise the full **Power Park Unit** with no exclusions (e.g. in the case of a wind turbine it would include the full wind turbine structure) and shall be conducted with sufficient resource available to produce at least 95% of the **Maximum Capacity** of the **Power Park Unit**. The test will comprise of a number of controlled short circuits applied to a test network to which the **Power Park Unit** is connected, typically comprising of the **Power Park Unit** transformer and a test impedance to shield the connected network from voltage dips at the **Power Park Unit** terminals.
- ECP.A.6.7.3 In each case the tests should demonstrate the minimum voltage at the **Power Park Unit** terminals or **High Voltage** side of the **Power Park Unit** transformer which the **Power Park Unit** can withstand for the length of time specified in ECP.A.6.7.5. Any test results provided to **The Company** should contain sufficient data pre and post fault in order to determine steady state values of all signals, and the power recovery timescales.
- ECP.A.6.7.4 In addition to the signals outlined in ECP.A.4.2. the following signals from either the **Power Park Unit** terminals or **High Voltage** side of the **Power Park Unit** transformer should be provided for this test only:
  - (i) Phase voltages
  - (ii) Positive phase sequence and negative phase sequence voltages
  - (iii) Phase currents
  - (iv) Positive phase sequence and negative phase sequence currents
  - (v) Estimate of **Power Park Unit** negative phase sequence impedance
  - (vi) MW **Active Power** at the power generating module.
  - (vii) MVAr **Reactive Power** at the power generating module.
  - (viii) Mechanical Rotor Speed
  - (ix) Real / reactive, current / power Setpoint as appropriate
  - (x) Fault ride through protection operation (e.g. a crowbar in the case of a doubly fed induction generator)
  - (xi) Any other signals relevant to the control action of the fault ride through control deemed applicable for model validation.

At a suitable frequency rate for fault ride through tests as agreed with **The Company**.

- ECP.A.6.7.5 The tests should be conducted for the times and fault types indicated in ECC.6.3.15 as applicable.
- ECP.A.6.8 Reactive Power Transfer / Voltage Control Tests for Offshore Power Park
  Modules
- In the case of an Offshore Power Park Module which provides all or a portion of the Reactive Power capability as described in ECP.6.3.2.5.2 or ECP.6.3.6.3 and / or voltage control requirements as described in ECC.6.3.8.5 to enable an Offshore Transmission Licensee to meet the requirements of STC Section K, the testing, will comprise of the entire control system responding to changes at the onshore Interface Point. Therefore the tests in this section ECP.A.6.8 will not apply. The Generator shall cooperate with the relevant Offshore Transmission Licensee to facilitate these tests as required by The Company. The testing may be combined with testing of the corresponding Offshore Transmission Licensee requirements under the STC. The results in relation to the Offshore Power Park Module will be assessed against the requirements in the Bilateral Agreement.
- ECP.A.6.8.2 In the case of an Offshore Power Park Module which does not provide part of the Offshore Transmission Licensee Reactive Power capability the following procedure for conducting Reactive Power transfer control tests on Offshore Power Park Modules and / or voltage control system as per CC6.3.2(e)(i) and CC6.3.2(e)(ii) apply. These tests should be carried out prior to 20% of the Power Park Units within the Offshore Power Park Module being synchronised, and again when at least 95% of the Power Park Units within the Offshore Power Park Module in service. There should be sufficient power resource forecast to generate at least 85% of the Maximum Capacity of the Offshore Power Park Module.
- ECP.A.6.8.3 The **Reactive Power** control system shall be perturbed by a series of system voltage changes and changes to the **Active Power** output of the **Offshore Power Park Module**.
- ECP.A.6.8.4 System voltage changes should be created by a series of multiple upstream transformer taps. The **Generator** should coordinate with **The Company** or the relevant **Network Operator** in order to conduct the required tests. The time between transformer taps should be at least 10 seconds as per ECP.A.6.8 Figure 1.
- ECP.A.6.8.5 The active power output of the **Offshore Power Park Module** should be varied by applying a sufficiently large step to the frequency controller Setpoint/feedback summing junction to cause a 10% change in output of the **Maximum Capacity** of the **Offshore Power Park Module** in a time not exceeding 10 seconds. This test does not need to be conducted provided that the frequency response tests as outlined in ECP.A.6.6 are completed.
- ECP.A.6.8.6 The following diagrams illustrate the tests to be completed:



ECP.A.6.8 Figure 1 – Transformer tap sequence for reactive transfer tests



ECP.A.6.8 Figure 2 – Active Power ramp for reactive transfer tests

#### APPENDIX 7

#### COMPLIANCE TESTING FOR HVDC EQUIPMENT

#### ECP.A.7.1 SCOPE

- ECP.A.7.1.1 This Appendix outlines the general testing requirements for HVDC System Owners to demonstrate compliance with the relevant aspects of the Grid Code, Ancillary Services Agreement and Bilateral Agreement. The tests specified in this Appendix will normally be sufficient to demonstrate compliance however The Company may:
  - agree an alternative set of tests provided The Company deem the alternative set of tests sufficient to demonstrate compliance with the Grid Code, Ancillary Services Agreement and Bilateral Agreement; and/or
  - ii) require additional or alternative tests if information supplied to **The Company** during the compliance process suggests that the tests in this Appendix will not fully demonstrate compliance with the relevant section of the **Grid Code**, **Ancillary Services Agreement** or **Bilateral Agreement**; and/or
  - <u>iii)</u> require additional tests if control functions to improve damping of power system oscillations and/or subsynchronous resonance torsional oscillations required by the **Bilateral Agreement** or included in the control scheme and active: and/or
  - agree a reduced set of tests for subsequent HVDC Equipment following successful completion of the first HVDC Equipment tests in the case of an installation comprising of two or more HVDC Systems or DC Connected Power Park Modules which The Company reasonably considers to be identical.

If:

- (a) the tests performed pursuant to ECP.A.7.1.1(iv) in respect of subsequent HVDC Systems or DC Connected Power Park Modules do not replicate the full tests for the first HVDC Equipment, or
- (b) any of the tests performed pursuant to ECP.A.7.1.1(iv) do not fully demonstrate compliance with the relevant aspects of the Grid Code, Ancillary Services Agreement and / or Bilateral
- ECP.A.7.1.2 The HVDC System Owner is responsible for carrying out the tests set out in and in accordance with this Appendix and the HVDC System Owner retains the responsibility for the safety of personnel and plant during the test. The **HVDC System Owner** is responsible for ensuring that suitable arrangements are in place with the Externally Interconnected System Operator to facilitate testing. The Company will witness all of the tests outlined or agreed in relation to this Appendix unless The Company decides and notifies the HVDC System Owner otherwise. Reactive Capability tests if required, may be witnessed by The Company remotely from the The Company control centre. For all on site The Company witnessed tests the HVDC System Owner must ensure suitable representatives from the HVDC System Owner and / or HVDC **Equipment** manufacturer (if appropriate) are available on site for the entire testing period. In all cases and in addition to any recording of signals conducted by The Company the HVDC System Owner shall record all relevant test signals as outlined in ECP.A.4.

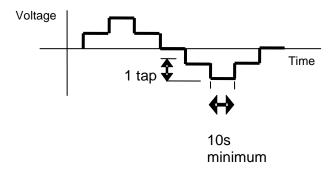
- ECP.A.7.1.3 In addition to the dynamic signals supplied in ECP.A.4 the **HVDC System**Owner shall inform **The Company** of the following information prior to the commencement of the tests and any changes to the following, if any values change during the tests:
  - (i) All relevant transformer tap numbers.
- ECP.A.7.1.4 The **HVDC System Owner** shall submit a detailed schedule of tests to **The Company** in accordance with CP.6.3.1, and this Appendix.
- Prior to the testing of HVDC Equipment the HVDC System Owner shall complete the Integral Equipment Tests procedure in accordance with OC.7.5
- ECP.A.7.1.6 Full **HVDC Equipment** testing as required by ECP.7.2 is to be completed as defined in ECP.A.7.2 through to ECP.A.7.5
- The Company will permit relaxation from the requirement ECP.A.7.2 to ECP.A.7.5 where an Equipment Certificate for HVDC Equipment has been provided which details the characteristics from tests on a representative installation with the same equipment and settings and the performance of the HVDC Equipment can, in The Company's opinion, reasonably represent that of the installed HVDC Equipment at that site. The relevant Equipment Certificate must be supplied in the Users Data File structure.
- ECP.A.7.2 Reactive Capability Test
- ECP.A.7.2.1 This section details the procedure for demonstrating the reactive capability of HVDC Equipment. These tests should be scheduled at a time where there are sufficient MW resource forecasted in order to import and export full Maximum Capacity of the HVDC Equipment.
- ECP.A.7.2.2 The tests shall be performed by modifying the voltage set-point of the voltage control scheme of the **HVDC Equipment** by the amount necessary to demonstrate the required reactive range. This is to be conducted for the operating points and durations specified in ECP.A.7.2.5.
- ECP.A.7.2.3 Embedded HVDC System Owners should liaise with the relevant Network Operator to ensure the following tests will not have an adverse impact upon the Network Operator's System as per OC.7.5. In situations where the tests have an adverse impact upon the Network Operator's System The Company will only require demonstration within the acceptable limits of the Network Operator. For the avoidance of doubt, these tests do not negate the requirement to produce a complete HVDC Equipment performance chart as specified in OC2.4.2.1
- ECP.A.7.2.4 In the case where the **Reactive Power** metering point is not at the same location as the **Reactive Power** capability requirement, then an equivalent **Reactive Power** capability for the metering point shall be agreed between the **HVDC System Owner** and **The Company**.
- ECP.A.7.2.5 The following tests shall be completed for both importing and exporting of Active Power for a **DC Converter**:
  - (i) Operation at **Maximum Capacity** and maximum continuous lagging **Reactive Power** for 60 minutes.
  - (ii) Operation at **Maximum Capacity** and maximum continuous leading **Reactive Power** for 60 minutes.

- (iii) Operation at 50% **Maximum Capacity** and maximum continuous leading **Reactive Power** for 60 minutes.
- (iv) Operation at 50% **Maximum Capacity** and maximum continuous lagging **Reactive Power** for 60 minutes.
- (v) Operation at **Minimum Capacity** and maximum continuous leading Reactive Power for 60 minutes.
- (vi) Operation at **Minimum Capacity** and maximum continuous lagging **Reactive Power** for 60 minutes.
- For the avoidance of doubt, lagging Reactive Power is the export of Reactive Power from the HVDC Equipment to the Total System and leading Reactive Power is the import of Reactive Power from the Total System to the HVDC Equipment.
- ECP.A.7.3 Not Used

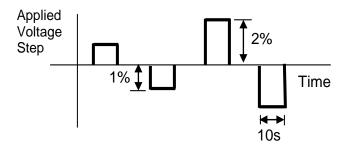
#### ECP.A.7.4 Voltage Control Tests

- ECP.A.7.4.1 This section details the procedure for conducting voltage control tests on HVDC Equipment. These tests should be scheduled at a time where there are sufficient MW resource in order to import and export Maximum Capacity of the HVDC Equipment. An Embedded HVDC System Owner should also liaise with the relevant Network Operator to ensure all requirements covered in this section will not have a detrimental effect on the Network Operator's System.
- ECP.A.7.4.2 The voltage control system shall be perturbed with a series of step injections to the **HVDC Equipment** voltage Setpoint, and where possible, multiple upstream transformer taps.
- ECP.A.7.4.3 For steps initiated using network tap changers the **HVDC System Owner** will need to coordinate with **The Company** or the relevant **Network Operator** as appropriate. The time between transformer taps shall be at least 10 seconds as per ECP.A.7.4 Figure 1.
- ECP.A.7.4.4 For step injection into the **HVDC Equipment** voltage Setpoint, steps of ±1% and ±2% shall be applied to the voltage control system Setpoint summing junction. The injection shall be maintained for 10 seconds as per ECP.A.7.4 Figure 2.
- ECP.A.7.4.5 Where the voltage control system comprises of discretely switched plant and apparatus additional tests will be required to demonstrate that its performance is in accordance with **Grid Code** and **Bilateral Agreement** requirements.
- ECP.A.7.4.6 Tests to be completed:

(i)



(ii)



ECP.A.7.4 Figure 2 – Step injection sequence for voltage control tests

#### ECP.A.7.5 <u>Frequency Response Tests</u>

- ECP.A.7.5.1 This section describes the procedure for performing frequency response testing on HVDC Equipment. These tests should be scheduled at a time where there are sufficient MW resource in order to import and export full Maximum Capacity of the HVDC Equipment. The HVDC System Owner is responsible for ensuring that suitable arrangements are in place with the Externally Interconnected System Operator to facilitate the active power changes required by these tests
- Frequency Sensitive Mode as appropriate for each test. Simulated frequency deviation signals shall be injected into the frequency controller Setpoint/feedback summing junction. If the injected frequency signal replaces rather than sums with the real system frequency signal then the additional tests outlined in ECP.A.7.5.6 shall be performed with the HVDC Equipment in normal Frequency Sensitive Mode monitoring actual system frequency, over a period of at least 10 minutes. The aim of this additional test is to verify that the control system correctly measures the real system frequency for normal variations over a period of time.
- ECP.A.7.5.3 In addition to the frequency response requirements it is necessary to demonstrate the **HVDC Equipment** ability to deliver a requested steady state power output which is not impacted by power source variation as per ECC.6.3.9. This test shall be conducted in **Limited Frequency Sensitive Mode** at a part-loaded output for a period of 10 minutes as per ECP.A.7.5.6.

Preliminary Frequency Response Testing

ECP.A.7.5.4 Prior to conducting the full set of tests as per ECP.A.7.5.6, **HVDC System Owners** are required to conduct a preliminary set of tests below to confirm the frequency injection method is correct and the plant control performance is within expectation. The test numbers refer to Figure 1 below. These tests should be scheduled at a time where there are sufficient MW resource in order to export full **Maximum Capacity** from the **HVDC Equipment**. The following frequency injections shall be applied when operating at module load point 4.

Test No	Frequency Injection						
(Figure1)							
8	• Inject -0.5Hz frequency fall over 10 sec						
	Hold for a further 20 sec						
	<ul> <li>At 30 sec from the start of the test, Inject a +0.3Hz frequency rise over 30 sec.</li> <li>Hold until conditions stabilise</li> </ul>						
	Remove the injected signal as a ramp over 10						
	seconds						
13	Inject - 0.5Hz frequency fall over 10 sec						
	Hold until conditions stabilise						
	• Remove the injected signal as a ramp over 10						
	seconds						
14	• Inject +0.5Hz frequency rise over 10 sec						
	Hold until conditions stabilise						
	• Remove the injected signal as a ramp over 10						
ļ	seconds						
Н	Inject - 0.5Hz frequency fall as a stepchange						
	Hold until conditions stabilise						
<u> </u>	Remove the injected signal as a stepchange						
	Inject +0.5Hz frequency rise as a stepchange						
	Hold until conditions stabilise						
	Remove the injected signal as a stepchange						

ECP.A.7.5.5 The recorded results (e.g. Finj, MW and control signals) should be sampled at a minimum rate of 1 Hz to allow **The Company** to assess the plant performance from the initial transients (seconds) to the final steady state conditions (5-15 minutes depending on the plant design). This is not witnessed by **The Company**. The **HVDC System Owner** shall supply the recordings including data to **The Company** in an electronic spreadsheet format. Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

Full Frequency Response Testing Schedule Witnessed by The Company

ECP.A.7.5.6 The tests are to be conducted at a number of different Module Load Points (MLP). In the case of **HVDC Equipment** the load points are conducted as shown below unless agreed otherwise by **The Company**.

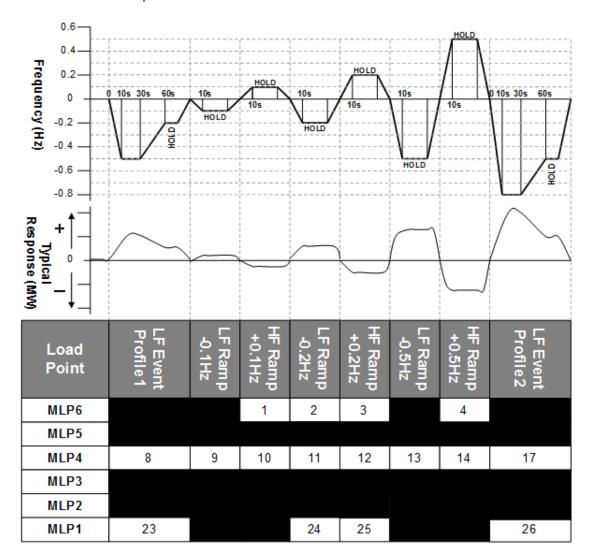
Module Load Point 6	100% MEL
(Maximum Export Limit)	
Module Load Point 5	90% MEL
Module Load Point 4	80% MEL
(Mid point of Operating Range)	
Module Load Point 3	MRL+20%
Module Load Point 2	MRL+10%
Module Load Point 1	MRL
(Minimum regulating level)	

#### ECP.A.7.5.7 The tests are divided into the following two types;

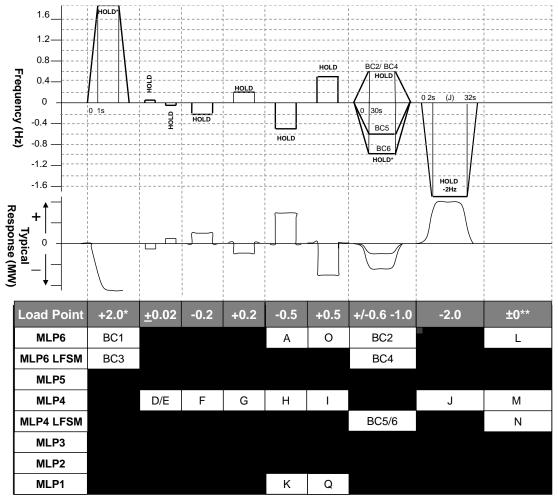
- (i) Frequency response compliance and volume tests as per ECP.A.7.5. Figure 1. These tests consist of frequency profile and ramp tests and adjustments to target frequency setpoint as per ECP.A.7.5 Figure 3
- (ii) System islanding and step response tests as shown by ECP.A.7.5 Figure 2

ECP.A.7.5. Fig 1 and 2 are shown for the Importing of Active Power, simulated frequency polarity should be reversed when exporting Active Power.

ECP.A.7.5.8 There should be sufficient time allowed between tests for control systems to reach steady state (depending on available power resource). Where the diagram states 'HOLD' the current injection should be maintained until the **Active Power** (MW) output of the **HVDC Equipment** has stabilised. All frequency response tests should be removed over the same timescale for which they were applied. **The Company** may require repeat tests should the response volume be affected by the available power, or if tests give unexpected results.



ECP.A.7.5. Figure 1 – Frequency Response Capability FSM Ramp Response tests



ECP.A.7.5. Figure 2 – Frequency Response Capability LFSM-O, LFSM-U, FSM Step Response tests

\* This will generally be +2.0Hz unless an injection of this size causes a reduction in plant output that takes the operating point below **Minimum Capacity** in which case an appropriate injection should be calculated in accordance with the following:

For example 0.9Hz is needed to take an initial output 65% to a final output of 20%. If the initial output was not 65% and the **Minimum Capacity** is not 20% then the injected step should be adjusted accordingly as shown in the example given below

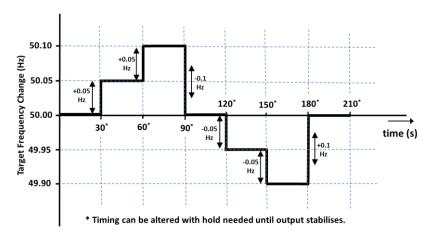
Initial Output 65% Minimum Capacity 20% Frequency Controller Droop 4%

Frequency to be injected = (0.65-0.20)x0.04x50 = 0.9Hz

\*\* Tests L and M in Figure 2 shall be conducted if in this range of tests the system frequency feedback signal is replaced by the injection signal rather than the injection signal being added to the system frequency signal. The tests will consist of monitoring the **HVDC Equipment** in **Frequency Sensitive Mode** during normal system frequency variations without applying any injection. Test N in Figure 2 shall be conducted in all cases. All three tests should be conducted for a period of at least 10 minutes.

ECP.A.7.5.9 The target frequency adjustment facility should be demonstrated from the normal control point within the range of 49.9Hz to 50.1Hz by step changes to

the target frequency setpoint as indicated in ECP.A.7.5 Figure 3.



ECP.A.7.5. Figure 3 – Target Frequency setting changes

### APPENDIX 8 SIMULATION STUDIES AND COMPLIANCE TESTING FOR NETWORK OPERATORS AND NON-EMBEDDED CUSTOMERS PLANT AND APPARATUS

- ECP.A.8.1 <u>Compliance testing for disconnection and reconnection of Network</u>
  Operator's Plant and Apparatus
- ECP.A.8.1.1 **Network Operators** shall comply with the following applicable requirements in respect of **EU Grid Supply Points**:
  - (i) Demand disconnection schemes;
  - (ii) Synchronising; and/or
  - (iii) low frequency demand disconnection;
- ECP.A.8.1.2 The requirements for demand disconnection, other than low frequency demand disconnection, are pursuant to the requirements of the **Bilateral Agreement.** Any requirements for testing shall be agreed with the **User** where such requirements are applicable.
- ECP.A.8.1.3 The requirements for synchronising (where applicable) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.2.3.10. Any requirements for testing (as applicable) shall be agreed with the **User** and carried out during the commissioning process.
- ECP.A.8.1.4 **Network Operators** who are **EU Code Users** must demonstrate compliance with the low frequency demand disconnection requirements of ECC.6.4.3, ECC.A.5 and OC.6.6 for their entire distribution **System**.
- ECP.A.8.1.5 An equipment certificate may be submitted to **NGET** instead of part of the tests provided for in ECP.A.8.1.1.
- ECP.A.8.2 Compliance testing for operational metering at EU Grid Supply Points
- ECP.A.8.2.1 The requirements for operational metering (where required) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.5.6. Any applicable requirements for testing shall be agreed with the **User** and carried out during the commissioning process. An **Equipment Certificate** may be used for this purpose where agreed with **NGET**.
- ECP.A.8.3 <u>Compliance testing for disconnection and reconnection of Non-</u> Embedded Customers Plant and Apparatus
- ECP.A.8.3.1 **Non-Embedded Customers** shall comply with the following requirements where applicable:
  - (i) Demand disconnection schemes;
  - (ii) Synchronising; and/or
  - (iii) low frequency demand disconnection:
- ECP.A.8.3.2 The requirements for demand disconnection, other than low frequency demand disconnection, are pursuant to the requirements of the **Bilateral Agreement.** Any requirements for testing shall be agreed with the **User**.

- ECP.A.8.3.3 The requirements for synchronising (where applicable) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.2.3.10. Any requirements for testing (as applicable) shall be agreed with the **User** and carried out during the commissioning process.
- ECP.A.8.3.4 **Non-Embedded Customers** who are **EU Code Users** must demonstrate compliance with the low frequency demand disconnection requirements of ECC.6.4.3, ECC.A.5 and OC.6.6 of their **System**.
- ECP.A.8.3.5 An equipment certificate may be submitted to **NGET** instead of part of the tests provided for in ECP.A.8.3.1.
- ECP.A.8.4 <u>Compliance testing for operational metering on Non-Embedded</u>
  Customers Plant and Apparatus
- ECP.A.8.4.1 The requirements for operational metering (where required)) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.5.6. Any applicable requirements for testing shall be agreed with the **User** and carried out during the commissioning process. An **Equipment Certificate** may be used for this purpose where agreed with **NGET**.

#### ECP.A.8.5 <u>Common Provisions on Compliance Simulations</u>

- ECP.A.8.5.1 **Users** are required to provide simulation studies or equivalent information to the satisfaction of **NGET** in the following circumstances.
  - (i) a new connection to the **Transmission System** is required forming part of an **EU Grid Supply Point**;
  - (ii) a Substantial Modification takes place at an EU Grid Supply
  - (iii) NGET becomes aware of a potential non-compliance by the Network Operator or Non-Embedded Customer at an EU Grid Supply Point.
- ECP.A.8.5.2 Notwithstanding the requirements of ECP.A.8.5.1, **NGET** shall be entitled to:-
  - (a) Allow the **Network Operator** or **Non-Embedded Customer** to carry out an alternative set of simulations (or equivalent information) provided that they demonstrate that the **Network Operators** or **Non-Embedded Customers Plant** and **Apparatus** is capable of satisfying the applicable requirements of the **Data Registration Code**.
  - (b) Require the **Network Operator** or **Non-Embedded Customer** to carry out additional or alternative simulations (or equivalent information) to those specified in ECP.A.8.5.1 where they would otherwise be insufficient to demonstrate compliance.

- (c) NGET may check that the Network Operator or Non-Embedded Customer complies with the requirements of the Grid Code by carrying out its own compliance simulations based on the simulation reports, models and test measurements submitted under the Data Registration Code.
- ECP.A.8.5.3 **NGET** will supply (under PC.A.8) upon request to the **Network Operator** or **Non-Embedded Customer**, data to enable the **Network Operator** or **Non-Embedded Customer** to carry out the required simulations or supply the equivalent information required under the **Data Registration Code**.
- ECP.A.8.6 Compliance simulations for EU Grid Supply Points
- ECP.A.8.6.1 Networks Operators who are also EU Code Users, are required to provide simulation studies (or make available equivalent information) at each EU Grid Supply Point to demonstrate compliance with the Reactive Power capability requirements set out in ECC.6.4.5. The study or equivalent information provided shall include a steady state simulation model under both maximum and minimum demand conditions. In addition, the model or equivalent information provided shall include the conditions when the Reactive Power export is at an Active Power flow of less than 25% of the Maximum Import Capability as detailed under ECC.6.4.5.2. In all cases the models or equivalent information submitted shall be agreed and approved with NGET.
- ECP.A.8.7 <u>Compliance simulations for Non-Embedded Customers Plant and Apparatus</u>
- Reactive Power capability requirements set out in ECC.6.4.5. The study or equivalent information provided shall include a steady state simulation model under both maximum and minimum demand conditions and with and without on-site generation. In all cases the models or equivalent information submitted shall be agreed and approved with NGET.
- ECP.A.8.8 Compliance monitoring at EU Grid Supply Points
- ECP.A.8.8.1 To satisfy the requirements of ECC.6.4.5, **EU Code Users** who are either **Network Operators** or **Non-Embedded Customers** shall ensure their **Plant** and **Apparatus** is equipped (where applicable) with the necessary equipment to measure the **Active Power** and **Reactive Power**, at each **EU Grid Supply Point**. The requirement for and time frame for compliance monitoring shall be agreed between **NGET** and the **EU Code User** for each **EU Grid Supply Point**.

#### **APPENDIX 8**

### SIMULATION STUDIES AND COMPLIANCE TESTING FOR NETWORK OPERATORS AND NON-EMBEDDED CUSTOMERS PLANT AND APPARATUS

- ECP.A.8.1 Compliance testing for disconnection and reconnection of Network Operator's Plant and Apparatus
- ECP.A.8.1.1 **Network Operators** shall comply with the following applicable requirements in respect of **EU Grid Supply Points**:
  - (iv) Demand disconnection schemes:
  - (v) Synchronising; and/or
  - (vi) low frequency demand disconnection;
- ECP.A.8.1.2 The requirements for demand disconnection, other than low frequency demand disconnection, are pursuant to the requirements of the **Bilateral Agreement**. Any requirements for testing shall be agreed with the **User** where such requirements are applicable.
- ECP.A.8.1.3 The requirements for synchronising (where applicable) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.2.3.10. Any requirements for testing (as applicable) shall be agreed with the **User** and carried out during the commissioning process.
- ECP.A.8.1.4 **Network Operators** who are **EU Code Users** must demonstrate compliance with the low frequency demand disconnection requirements of ECC.6.4.3, ECC.A.5 and OC.6.6 for their entire distribution **System**.
- ECP.A.8.1.5 An equipment certificate may be submitted to **NGET** instead of part of the tests provided for in ECP.A.8.1.1.
- ECP.A.8.2 Compliance testing for operational metering at EU Grid Supply Points
- ECP.A.8.2.1 The requirements for operational metering (where required) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.5.6. Any applicable requirements for testing shall be agreed with the **User** and carried out during the commissioning process. An **Equipment Certificate** may be used for this purpose where agreed with **NGET**.
- ECP.A.8.3 <u>Compliance testing for disconnection and reconnection of Non-Embedded Customers Plant and Apparatus</u>
- ECP.A.8.3.1 **Non-Embedded Customers** shall comply with the following requirements where applicable:
  - (iv) Demand disconnection schemes;
  - (v) Synchronising; and/or
  - (vi) low frequency demand disconnection;
- ECP.A.8.3.2 The requirements for demand disconnection, other than low frequency demand disconnection, are pursuant to the requirements of the **Bilateral Agreement.**Any requirements for testing shall be agreed with the **User**.
- ECP.A.8.3.3 The requirements for synchronising (where applicable) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.2.3.10. Any requirements for testing (as applicable) shall be agreed with the **User** and carried out during the commissioning process.

- ECP.A.8.3.4 **Non-Embedded Customers** who are **EU Code Users** must demonstrate compliance with the low frequency demand disconnection requirements of ECC.6.4.3, ECC.A.5 and OC.6.6 of their **System**.
- ECP.A.8.3.5 An equipment certificate may be submitted to **NGET** instead of part of the tests provided for in ECP.A.8.3.1.
- ECP.A.8.4 <u>Compliance testing for operational metering on Non-Embedded Customers</u>
  Plant and Apparatus
- ECP.A.8.4.1 The requirements for operational metering (where required)) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.5.6. Any applicable requirements for testing shall be agreed with the **User** and carried out during the commissioning process. An **Equipment Certificate** may be used for this purpose where agreed with **NGET**.

#### ECP.A.8.5 Common Provisions on Compliance Simulations

- ECP.A.8.5.1 **Users** are required to provide simulation studies or equivalent information to the satisfaction of **NGET** in the following circumstances.
  - (iv) a new connection to the **Transmission System** is required forming part of an **EU Grid Supply Point**:
  - (v) a Substantial Modification takes place at an EU Grid Supply Point
  - (vi) NGET becomes aware of a potential non-compliance by the Network Operator or Non-Embedded Customer at an EU Grid Supply Point.
- ECP.A.8.5.2 Notwithstanding the requirements of ECP.A.8.5.1, **NGET** shall be entitled to:-
  - (d) Allow the Network Operator or Non-Embedded Customer to carry out an alternative set of simulations (or equivalent information) provided that they demonstrate that the Network Operators or Non-Embedded Customers Plant and Apparatus is capable of satisfying the applicable requirements of the Data Registration Code.
  - (e) Require the Network Operator or Non-Embedded Customer to carry out additional or alternative simulations (or equivalent information) to those specified in ECP.A.8.5.1 where they would otherwise be insufficient to demonstrate compliance.
  - (f) **NGET** may check that the **Network Operator** or **Non-Embedded Customer** complies with the requirements of the **Grid Code** by carrying out its own compliance simulations based on the simulation reports, models and test measurements submitted under the **Data Registration Code**.
- ECP.A.8.5.3 **NGET** will supply (under PC.A.8) upon request to the **Network Operator** or **Non-Embedded Customer**, data to enable the **Network Operator** or **Non-Embedded Customer** to carry out the required simulations or supply the equivalent information required under the **Data Registration Code**.
- ECP.A.8.6 Compliance simulations for EU Grid Supply Points
- ECP.A.8.6.1 Networks Operators who are also EU Code Users, are required to provide simulation studies (or make available equivalent information) at each EU Grid Supply Point to demonstrate compliance with the Reactive Power capability requirements set out in ECC.6.4.5. The study or equivalent information

provided shall include a steady state simulation model under both maximum and minimum demand conditions. In addition, the model or equivalent information provided shall include the conditions when the **Reactive Power** export is at an **Active Power** flow of less than 25% of the **Maximum Import Capability** as detailed under ECC.6.4.5.2. In all cases the models or equivalent information submitted shall be agreed and approved with **NGET**.

ECP.A.8.7 ECP.A.8.7.1 Compliance simulations for Non-Embedded Customers Plant and Apparatus None Embedded Customers who are also EU Code Users are required at each EU Grid Supply Point to provide simulation studies (or equivalent information) to demonstrate compliance with the Reactive Power capability requirements set out in ECC.6.4.5. The study or equivalent information provided shall include a steady state simulation model under both maximum and minimum demand conditions and with and without on-site generation. In all cases the models or equivalent information submitted shall be agreed and approved with NGET.

#### ECP.A.8.8 Compliance monitoring at EU Grid Supply Points

**Grid Supply Point.** 

ECP.A.8.8.1 To satisfy the requirements of ECC.6.4.5, **EU Code Users** who are either **Network Operators** or **Non-Embedded Customers** shall ensure their **Plant** and **Apparatus** is equipped (where applicable) with the necessary equipment to measure the **Active Power** and **Reactive Power**, at each **EU Grid Supply Point**. The requirement for and time frame for compliance monitoring shall be agreed between **NGET** and the **EU Code User** for each **EU** 

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