

# **AMENDMENT REPORT**

## **CUSC Proposed Amendments CAPs025-040**

## "Housekeeping" Amendments

The purpose of this report is to assist the Authority in their decision of whether to implement Amendment Proposals CAPs025-040

Amendment Ref	CAP
Issue	1.0
Date of Issue	3 October 2002
Prepared by	National Grid

#### I DOCUMENT CONTROL

#### a National Grid Document Control

Version	Date	Author Change Reference					
0.1		National Grid	Draft for internal comment				
0.2		National Grid	Draft for Industry comment				
1.0		National Grid	Formal version for submission to the Authority				

#### b Document Location

National Grid Website:

http://www.nationalgrid.com/uk/indinfo/cusc

#### c Distribution

Name	Organisation
The Gas and Electricity Markets Authority	Ofgem
CUSC Parties	Various
Panel Members	Various
National Grid Industry Information Website	

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#### 1.0 SUMMARY AND RECOMMENDATION

- 1.1 CAPs025-040 propose to amend the CUSC in order to correct a number of minor errors such as incorrect paragraph numbering, spelling errors and incorrect cross-references.
- 1.2 Amendment Proposals CAP025 to CAP040 were proposed by National Grid and submitted for consideration to the CUSC Amendments Panel Meeting on 16<sup>th</sup> August 2002. At the meeting the Panel determined that National Grid should initiate a period of wider industry consultation on the issues raised by CAPs025-040.
- 1.3 The Consultation Paper for CAPs025-040 was published by National Grid on 23 August 2002, placed on the CUSC website and copies sent to Core Industry Document Owners and CUSC Parties. Responses were invited by 20<sup>th</sup> September 2002.
- 1.4 National Grid received a total of 7 responses to the consultation for CAPs025-040 all of which supported the Proposed Amendments. One respondent (British Energy) described to National Grid an Alternative Amendment for CAP026 which is discussed in Section 9 of this Amendment Report. National Grid supports this Alternative Amendment and proposes it to replace the original CAP026 Amendment proposal. One respondent (Scottish Power) indicated that similar revised wording to that proposed in CAP040 for Paragraphs 8.21.8 & 8.23.5 was already in place in Paragraph 8.17.1 and National Grid have therefore drawn up and propose an alternative amendment to CAP040. A summary of all responses to the CAPs025-040 industry consultation is contained in Section 10 of this document. Copies of all responses to the CAPs 025-040 consultation are included at Annex 3.

#### National Grid Recommendation

- 1.5 National Grid recommends that CAP025, CAPs027-039 and the Alternative Amendments for CAP026 and CAP040 be approved for implementation.
- 1.6 It is recommended that the CUSC be modified in line with CAP025, CAPs027-039 and the Alternative Amendments proposed for CAP026 and CAP040 on the following basis:
  - i) Amendments approved by the Authority before the 31<sup>st</sup> October will be implemented into the CUSC on 30<sup>th</sup> November;
  - ii) Amendments approved by the Authority between 1<sup>st</sup> and 30 November will be implemented into the CUSC on 31<sup>st</sup> December; and
  - iii) Amendments approved by the Authority thereafter will be implemented on 31<sup>st</sup> December or 10 days after the Authority's decision whichever is the later.

#### 2.0 PURPOSE AND SCOPE OF THE REPORT

- 2.1 This Amendment Report has been prepared and issued by National Grid under the rules and procedures specified in the Connection and Use of System Code (CUSC) as designated by the Secretary of State. It addresses issues relating to proposed housekeeping amendments to the CUSC.
- 2.2 Further to the submission of Amendment Proposals CAPs025-040 (see Annex 1) and the subsequent wider industry consultation that was undertaken by National Grid, this document is addressed and furnished to the

Gas and Electricity Markets Authority ("the Authority") in order to assist them in their decision whether to implement Amendment Proposals CAPs025-040.

- 2.3 This document outlines the nature of the CUSC changes that are proposed. It incorporates National Grid's recommendations to the Authority concerning the Amendments. Copies of all representations received in response to the consultation have been also been included and a 'summary' of the representations received is also provided. Copies of each of the responses to the consultation are included as Annex 3 to this document.
- 2.4 This Amendment Report has been prepared in accordance with the terms of the CUSC. An electronic copy can be found on the National Grid website, at <a href="http://www.nationalgrid.com/uk/indinfo/cusc">http://www.nationalgrid.com/uk/indinfo/cusc</a>

#### 3.0 THE PROPOSED AMENDMENTS

#### Background

3.1 At the CUSC Amendment Panel Meeting held on 22<sup>nd</sup> March 2002 National Grid indicated that, in response to requests from CUSC Parties, a register of potential housekeeping amendments would be added to the monthly CUSC Amendments Progress Report. This register has appeared in the Progress Report since then. National Grid also indicated that the housekeeping amendments would be put to the Panel for consideration at approximately six monthly intervals. CAPs025-040 represent the first batch of such housekeeping amendments.

#### **The Proposed Amendments**

3.2 The following table is a summary of the Amendment Proposals represented by CAPs025-040:

CAP Ref No	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address
CAP025	Paragraph 3.6.8 remove "is" and replace with "it"	Minor text error in Paragraph 3.6.8
CAP026	Delete unnecessary full stop after "could .". In addition Paragraph 1.2.3 should be part of 1.2.2. 1.2.3 can be described as "Not Used" to avoid renumbering subsequent Paragraphs	Paragraph 1.2.2 ends mid-word and erroneously begins new paragraph 1.2.3
CAP027	Section 11 definition of "Use of System Supply Offer and Confirmation Notice" contains incorrect reference to Exhibit J. Definition should reference "Exhibit G"	Incorrect reference to Exhibit J
CAP028	Section 11 definition of "Operational Notification" contains incorrect cross-reference to Paragraph 3.2.7. Definition should reference Paragraph 3.2.6,	Incorrect cross reference to Paragraph 3.2.7 (which does not exist in the CUSC)
CAP029	Exhibit F Part B Use of System Application. Change cross reference from "Paragraph [6.14]" to "Paragraph 6.17"	Incorrect cross reference - Paragraph 6.14 does not deal with data requirements
CAP030	Exhibit O Interface Agreements. Correct numbering of Paragraph 12.1.4.3, should be 12.1.4 (c)	Error in paragraph numbering of Paragraph 12.1.4.3
CAP031	Change references in Paragraph 4.1.2.7 to OC5.5.1.6 & OC5.5.1.7 to OC5.5.4 and OC5.5.5 respectively	The references to OC5.5.1.6 and OC5.5.1.7 are now incorrect following a change to the Grid Code
CAP032	Change the cross-reference from Paragraph 9.3 to Paragraph 11.3 (Definitions)	The reference to "Paragraph 9.3" in Paragraph 4.5.2.7 is incorrect and should reference "Paragraph 11.3" (Definitions)
CAP033	Schedule 2 Exhibit 3 – Construction Agreement, amend paragraph numbering of Paragraph 5.5.2	Minor error in paragraph numbering
CAP034	Change the reference in Paragraph 9.10.4.7(a)(i) from "9.10.4.2" to "9.10.4.4"	The reference to paragraph 9.10.4.2 is incorrect, the correct reference is 9.10.4.4

CAP035	Delete part (iii) of Paragraph 6.3.3 and associated definition of "Additional Scheduling Data" in Section 11	The Grid Code no longer contains any references to "Additional Scheduling Data" which became redundant with the introduction of NETA
CAP036	Insert "X = 1 unless the circumstances in sub- paragraphs (a) through to (d) apply" after "I = defined in paragraph 3 below" in paragraph 2 of Appendix 1 of Schedule 3	There is a codification error in paragraph 2 of Appendix 1 of Schedule 3 relating to the value of X. The MCUSA (Schedule 5, Appendix 1) contained the line "subject always to sub- paragraph 2.5 of this Schedule, in respect of all Settlement Periods thereafter, 1.00 (subject as provided below);" and this was omitted in error during its codification to CUSC.
CAP037	Exhibit B Connection Application, correct paragraph numbering of Section B, which should begin with paragraph No 1	Minor error in paragraph numbering
CAP038	Delete "Department for Education and Employment "Employment Gazette""" and replace with "Office for National Statistics "Business Monitor MM23"	The source of the RPI index has been changed from the Department of Education and Employment's "Employment Gazette" to the Office for National Statistics "Business Monitor MM23".
CAP039	Delete reference to Paragraph 8A2.1.3 and replace with reference to 8A1.1.3	The reference to 8A2.1.3 is incorrect
CAP040	Insert "achievement of" after "facilitate" and before "the Applicable CUSC Objectives" in both Paragraphs	Incorrect terminology in references to Applicable CUSC Objectives

#### 4.0 IMPLEMENTATION AND TIMESCALES

4.1 CAPs025-040 do not have material impact outside the scope of the CUSC. It is therefore proposed that implementation takes place in a controlled manner on the basis proposed in paragraph 1.6 above.

#### 5.0 IMPACT ON THE CUSC

- 5.1 The Proposed Amendments would require amendment to those areas of the CUSC detailed in the table contained in Paragraph 3.2 above.
- 5.2 The text required to give effect to the Proposed Amendment is contained at Annex 2 of this document.

#### 6.0 ASSESSMENT AGAINST APPLICABLE CUSC OBJECTIVES

6.1 Amendment of the CUSC on the basis of the housekeeping amendments represented by CAPs025-040 would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the Transmission Licence.

#### 7.0 IMPACT ON CUSC PARTIES

7.1 No impact has been identified on CUSC Parties from the Proposed Amendments

#### 8.0 IMPACT ON CORE INDUSTRY DOCUMENTS

8.1 Neither the Proposed Amendments nor the Alternative Amendment for CAP026 will have any impact on Core Industry Documents or other industry

documentation or require any changes to computer systems established under Core Industry Documents.

#### 9.0 ALTERNATIVE AMENDMENTS

#### **Description of Alternative Amendments (CAP026 & CAP040)**

- 9.1 It was pointed out to National Grid by British Energy informally in response to the consultation report that the Connection Application form contained in Exhibit B of the CUSC contained an incorrect reference to Paragraph 1.2.4 of the CUSC (the reference should be to Paragraph 1.2.5). Adopting the approach in CAP026 of labelling the current Paragraph 1.2.3 as "Not Used" under the Amendment Proposal would perpetuate the incorrect reference in Exhibit B. However, by adopting an Alternative Amendment Proposal to CAP026 which deletes paragraph 1.2.3 and renumbers the subsequent paragraphs after the corrected 1.2.2 (rather than indicating that Paragraph 1.2.3 was "Not Used" and then continuing with the existing numbering) the reference to Paragraph 1.2.4 in Exhibit B would then be correct.
- 9.2 Scottish Power pointed out in their response that similar revised wording to that proposed in CAP040 for Paragraphs 8.21.8 & 8.23.5 was already in place in Paragraph 8.17.1. National Grid agrees and have therefore drawn up and propose an alternative amendment to CAP040 employing the revised wording already in Paragraph 8.17.1

#### Assessment Against Applicable CUSC Objectives

9.2 The Alternative Amendment for CAP026 would deal with a further incorrect reference in Exhibit B (Connection Application) without the need for a separate Amendment Proposal. It would avoid confusion with reference to Paragraph 1.2.2 of CUSC and also to the Connection Application Form for Exhibit B and therefore would better facilitate achieving the applicable CUSC objectives. The Alternative Amendment for CAP040 would be consistent with existing wording in Paragraph 8.17.1. Both Alternative Amendments are supported by National Grid.

#### **10.0 VIEWS AND REPRESENTATIONS**

10.1 This Section contains a summary of the views and representations made by consultees during the consultation period in respect of the Proposed Amendments.

#### Views of Panel Members

10.2 No formal responses have been submitted during the consultation by members of the Amendments Panel.

#### View of Core Industry Document Owners

10.3 No responses have been received from Core Industry Document Owners noting any impact of CAPs025-040 on Core Industry Documents.

#### **Responses to Consultation**

10.4 The following table provides an overview of the representations received. Copies of the representations are attached as Annex 3.

Reference	Company	Supportive	Comments
CAPs025-040- CR-01	PowerGen	Yes	
CAPso25-040- CR-02	Scottish Power Generation Ltd. & Scottish Power Energy Retail Ltd.	Yes	Suggested Alternative Amendment for CAP040 which National Grid supports
CAPso25-040- CR-03	London Electricity Group	Yes	
CAPso25-040- CR-04	TXU Group	Yes	
CAPso25-040- CR-05	British Energy	Yes	Informally suggested Alternative Amendment Proposal for CAP026 which National Grid supports.
CAPso25-040- CR-06	British Gas	Yes	Minor corrections to CAP027 and CAP032 suggested and incorporated.
CAPso25-040- CR-07	Innogy	Yes	

- 10.5 National Grid received a total of 7 responses to the industry consultation on CUSC Amendment Proposals CAPs025-040. All the responses supported the proposed amendments. Informally, British Energy identified an alternative amendment for CAP026 which National Grid supports.
- 10.6 Both Scottish Power and British Gas noted that National Grid was proposing a number of different implementation dates, depending upon the date any decision was received from the Authority and indicated they did not understand the basis for the different dates and believed that a common period of 10 days after the Authority decision should be adequate. National Grid provided the following explanation to Scottish Power and British Gas for the different dates as follows:

" ....regarding implementation timescales, we tried to come up with a formula which could deal efficiently with a range of possible scenarios for the timing of Ofgem decisions on the Amendment Proposals. One possibility is that Ofgem might decide to provide a steady stream of decisions on the Amendment Proposals (eg: several each month) or they could save them all up and issue decisions all in one go. There are several other scenarios you could envisage. We think the formula we have come up with can probably deal efficiently with a wide range of such scenarios. For example, if Ofgem did issue decisions on the amendment Proposals haphazardly then our approach would bring some order into the process of when the various Amendments would be implemented and would avoid confusion."

10.7 National Grid amended the proposed implementation dates to reflect further discussion with Scottish Power and British Gas.

#### **National Grid View**

10.8 National Grid is grateful to all those who responded to this consultation. All respondents supported the proposed "housekeeping amendments" and the consultation process led to National Grid devising an alternative amendment for CAP026 after discussions with British Energy that National Grid can

support. All the Amendment Proposals represented by CAP025, CAPs027-039 inclusive and the alternative amendments for CAP026 and CAP040 are straightforward and raise no issues of principle.

#### 11.0 NATIONAL GRID RECOMMENDATION

11.1 National Grid recommends that Amendment Proposals CAPs025 and 027-040 inclusive and the alternative amendment for CAP026 should be approved for implementation to avoid confusion and improve clarity and hence enable National Grid to more efficiently discharge its licence obligations.

#### 12.0 COMMENTS ON DRAFT AMENDMENT REPORT

12.1 National Grid received 1 response following the publication of the draft Amendment Report from British Gas. A copy of the representation is attached at Annex 4. British Gas had concerns with CAP038 in the context of the correct reference to the data source for the RPI information. The Office of National Statistics (ONS) confirmed that the correct reference was to "Business Monitor MM23" and not to MM22, a possibility suggested by British Gas in response to the consultation report. In a further note provided later to British Gas there was still some ambiguity in the correct reference to this source, which stemmed partly from the ONS itself referring to the source by different names. However, National Grid have re-confirmed with the ONS that the correct reference in CAP 038 is to "Business Monitor MM23" and that this is a sufficiently generic description to ensure that the correct source is identified. British Gas also noted that the drafting for the Alternative Amendment Proposal for CAP 040 did not actually follow the suggested drafting by Scottish Power in their response to the consultation report. National Grid agree and have aligned the drafting for the Alternative Amendment to reflect Scottish Power's proposal.

#### Annex 1 - Amendment Proposal Form

#### **CUSC** Amendment Proposal Form

Details of Proposer: Organisation's Name:	The National Grid Company plc
Capacity in which the Amendment is being	
proposed:	
(i.e. CUSC Party, BSC Party or	CUSC Party*
"energywatch")	
Details of Proposer's Representative:	
Name:	Andy Balkwill
Organisation:	National Grid Company plc
Telephone Number:	024 76423198
Email Address:	andy.balkwill@uk.ngrid.com
Details of Proposer's Alternate:	
Name:	Emma Groves
Organisation:	National Grid Company plc
Telephone Number:	024 76423053
Email Address:	emma.groves@uk.ngrid.com

- + For the avoidance of doubt, National Grid as Proposer of the above Amendments and as a CUSC
- + Party, has granted the licence and given the warranty as required by Paragraph 8.15.7 of the CUSC.

CAP Ref No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the CUSC	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by CUSC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives
CAP 025	CUSC 3.6.8 - Minor Text Amendment	Paragraph 3.6.8 remove "is" and replace with "it"	Minor text error in Paragraph 3.6.8	Minor text change to Paragraph 3.6.8 - "Where the Supplier supplying the Connection Site has informed NGC that is it has received"	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment of Paragraph 3.6.8 would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.
CAP 026	CUSC 1.2.2 - Merge with 1.2.3	Delete unnecessary full stop after "could .". In addition Paragraph 1.2.3 should be part of 1.2.2. 1.2.3 can be described as "Not Used" to avoid renumbering subsequent Paragraphs	Paragraph 1.2.2 ends mid-word and erroneously begins new paragraph 1.2.3	Delete unnecessary Full stop in Paragraph 1.2.2 and merge existing paragraphs 1.2.2 and 1.2.3. Paragraph 1.2.3 becomes "Not Used"	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment of Paragraph 1.2.2 would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.
CAP 027	CUSC 11.3 - Correction to Definition	Section 11 definition of "Use of System Supply Offer and Confirmation Notice" contains incorrect reference to Exhibit J. Definition should reference "Exhibit G"	Incorrect reference to Exhibit J	Change to Section 11 definition of "Use of System Supply Offer" to reference "Exhibit G" of the CUSC	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment of this definition would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.

CAP Ref No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the CUSC	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by CUSC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives
CAP 028	CUSC 11.3 - Correction to paragraph reference	Section 11 definition of "Operational Notification" contains incorrect cross-reference to Paragraph 3.2.7. Definition should reference Paragraph 3.2.6,	Incorrect cross reference to Paragraph 3.2.7 (which does not exist in the CUSC)	Amendment of Section 11 definition of "Operational Notification" to reference Paragraph 3.2.6	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment of the definition of "Operational Notification" would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.
CAP 029	CUSC Exhibit F, Part B - Correction to paragraph reference	Exhibit F Part B Use of System Application. Change cross reference from "Paragraph [6.14]" to "Paragraph 6.17"	Incorrect cross reference - Paragraph 6.14 does not deal with data requirements	Minor text change to Exhibit F Use of System Application to change cross reference to Paragraph [6.17]	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment to Exhibit F would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.
CAP 030	CUSC Exhibit O Paragraph 12.1.4.3 - Correction to paragraph numbering	Exhibit O Interface Agreements. Correct numbering of Paragraph 12.1.4.3, should be 12.1.4 (c)	Error in paragraph numbering of Paragraph 12.1.4.3	Minor text change to re-number Paragraph " <del>12.1.4.3 (c)</del> is engaged as an…"	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment to Exhibit O would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.

CAP Ref No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the CUSC	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by CUSC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives
CAP 031	CUSC 4.1.2.7 - Corrections to Grid Code References	Change references in Paragraph 4.1.2.7 to OC5.5.1.6 & OC5.5.1.7 to OC5.5.4 and OC5.5.5 respectively	The references to OC5.5.1.6 and OC5.5.1.7 are now incorrect following a change to the Grid Code	Amend the references to OC5 as indicated.	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment to Paragraph 4.1.2.7 would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.
CAP 032	CUSC 4.5.2.7 - Correction to paragraph reference	Change the cross- reference from Paragraph 9.3 to Paragraph 11.3 (Definitions)	The reference to "Paragraph 9.3" in Paragraph 4.5.2.7 is incorrect and should reference "Paragraph 11.3" (Definitions)	Amend the reference in 4.5.2.7 from 9.3 to 11.3	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment to Paragraph 4.5.2.7 would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.
CAP 033	CUSC- Schedule 2, Exhibit 3 - Correction to paragraph numbering	Schedule 2 Exhibit 3 – Construction Agreement, amend paragraph numbering of Paragraph 5.5.2	Minor error in paragraph numbering	Minor change to paragraph numbering – change "5.5.2" to "5.6".	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment to Schedule 3, Exhibit 2 would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.

CAP Ref No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the CUSC	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by CUSC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives
CAP 034	CUSC 9.10.4.7 - Correction to paragraph reference	Change the reference in Paragraph 9.10.4.7(a)(i) from "9.10.4.2" to "9.10.4.4"	The reference to paragraph 9.10.4.2 is incorrect, the correct reference is 9.10.4.4	Amend the reference in 9.10.4.7(a)(i) from "9.10.4.25" to "9.10.4.4"	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment to Paragraph 9.10.4.7 would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.
CAP 035	CUSC 6.3.3 (iii) - Deletion of redundant paragraph and associated definition	Delete part (iii) of Paragraph 6.3.3 and associated definition of "Additional Scheduling Data" in Section 11	The Grid Code no longer contains any references to "Additional Scheduling Data" which became redundant with the introduction of NETA	Deletion of part (iii) of Paragraph 6.3.3 and the definition of "Additional Scheduling Data" in Section 11	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment to Paragraph 6.3.3 (iii) would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.
CAP 036	CUSC Schedule 3, Appendix 1 - Clarification of value of X	Insert "X = 1 unless the circumstances in sub-paragraphs (a) through to (d) apply" after "I = defined in paragraph 3 below" in paragraph 2 of Appendix 1 of Schedule 3	There is an error in paragraph 2 of Appendix 1 of Schedule 3 relating to the value of X. It should state that X = 1 except where the provisions of sub-paragraphs (a) to (d) apply	Insert the proposed definition of X = 1 in paragraph 2 of Appendix 1 to Schedule 3	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment to Schedule 3, Appendix 1 would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.

CAP Ref No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the CUSC	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by CUSC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives
CAP 037	CUSC Exhibit B, Connection Application - Correction to paragraph numbering	Exhibit B Connection Application, correct paragraph numbering of Section B, which should begin with paragraph No 1	Minor error in paragraph numbering	Minor change to paragraph numbering of Section B to start at paragraph No 1 – through to paragraph No 11.	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment to Exhibit B would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.
CAP 038	CUSC 4.5.2.2 - Amend Reference to "Employment Gazette"	Delete "Department for Education and Employment "Employment Gazette""" and replace with "Office for National Statistics "Business Monitor MM23"	The source of the RPI index has been changed from the Department of Education and Employment's "Employment Gazette" to the Office for National Statistics "Business Monitor MM23".	Delete the old reference and insert the new reference into paragraph 4.5.2.2	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment to Paragraph 4.5.2 would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.
CAP 039	CUSC 8A2.1.2 – Correction to paragraph reference	Delete reference to Paragraph 8A2.1.3 and replace with reference to 8A1.1.3	The reference to 8A2.1.3 is incorrect	Delete reference to "8A2.1.3" and replace with "8A1.1.3"	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment to Paragraph 8A2.1.2 would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.

CAP Ref No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the CUSC	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by CUSC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives
CAP 040	CUSC 8.21.8 & 8.23.5 - Amend references to Applicable CUSC Objectives	Insert "achievement of" after "facilitate" and before "the Applicable CUSC Objectives" in both Paragraphs	Incorrect terminology in references to Applicable CUSC Objectives	Insert "achievement of" in Paragraphs 8.21.8 & 8.23.5	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment to Paragraphs 8.21.8 & 8.23.5 would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.

#### Annex 2 – Proposed Text to modify CUSC

#### Part A - Text to give effect to the Proposed Amendment

**Conformed Version** 

#### CAP025

3.6.8 "Where the **Supplier** supplying the **Connection Site** has informed **NGC** that its has received an order or direction...."

#### **CAP026**

- 1.2.2 The different categories of connection and/or use reflect the types of utilisation which can exist. For example a **User** could have a directly connected **Power Station** and also be acting as a **Supplier**. In that case that **User** will need to comply in relation to two categories of connection and/or use, and its obligations in relation to each will differ.
- 1.2.3 <u>Not used.</u> In that case that **User** will need to comply in relation to two categories of connection and/or use, and its obligations in relation to each will differ.

#### CAP027

Section 11

"Use of System Supply Offer and Confirmation Notice"

the notice which combines the offer and confirmation notice in relation to the **NGC Transmission System** by a **Supplier**, in the form set out in Exhibit  $\frac{1}{2}$  to the **CUSC**;

#### **CAP028**

Section 11

"Operational Notification"

the notice of that name given to the **User** by **NGC** under Paragraph 3.2.<u>67</u>;

#### **CAP029**

Exhibit F – Use of System Application

#### **B** DATA REQUIREMENTS

Please provide the Data required under Paragraph [6.147] of the CUSC as appropriate upon which our terms of **Offer** of use of system will be based.

#### CAP030

Exhibit O - Interface Agreements

12.1.4.3(c) is engaged as an agent of or adviser to.....

#### CAP031

4.1.2.7 .... in accordance with **Grid Code OC** 5.5.1.6.4 and (where applicable) **OC** 5.5.1.7,.5, the **User** shall immediately advise **NGC** of the revised capability ....

#### CAP032

4.5.2.7 For the avoidance of doubt, the provisions of Paragraph 9.<u>11</u>.3 with regard to determination ....

#### CAP033

Schedule 2, Exhibit 3 - Construction Agreement

5.5.2 <u>6</u> If embedded not later than 3 months prior ....

#### CAP034

9.10.4.7(a)(i) .... In the **initial Demand Reconciliation Statement** provided in accordance with Paragraph 9.10.4.2 <u>4</u>;

#### CAP035

6.3.3(iii) (in the case of NGC) the Grid Code imposes any obl*i*gation on NGC to make available Additional Scheduling Data (as defined in the Grid Code) before 31 December 1990.

#### CAP036

Schedule 3, Appendix 1, Paragraph 2. Utilisation Payment

Where

- I = Defined in paragraph 3 below:
- X = 1 (unless the circumstances in sub-paragraphs (a) through to (d) apply)

And where X shall be 0.2 in all Settlement Periods from ....

#### CAP037

#### CUSC Exhibit B, Connection Application

#### B. <u>THE PROPOSED POINT OF CONNECTION</u>

5.1. Please identify (preferably by reference to an extract from an ....

- 6. <u>2.</u> Please provide a plan or plans of the proposed ....
- 7. <u>3.</u> Give details of the intended legal estate in the ....
- 8. <u>4.</u> Who occupies the **Connection site** in so far as ....
- 9. <u>5.</u> If you believe that a new sub-station will be needed ....
- 10. <u>6.</u> If you are prepared to make available to **NGC** the land ....
- 11. <u>7.</u> Is space available on the **Connection Site** for working ....
- 12. <u>8.</u> Please provide details (including copies of any surveys ...
- 13. <u>9.</u> Please give details and provide copies of all ....
- 14. <u>10.</u> Is access to or use of the **Connection Site** for the ....
- 15. <u>11.</u> If you are aware of them, identify by reference to a plan ....

#### CAP038

4.5.2.2 The source of the RPI index is to be the monthly Department of Education and Employment's "Employment Gazette." Office for National Statistics "Business Monitor MM23."

#### CAP039

8A.2.1.2 Subject to Paragraph 8A.2.1.3 8A1.1.3, each User may nominate one candidate for election by giving notice to NGC.

#### CAP040

- 8.21.8 .... whether any **Alternative Amendment** could better facilitate achievement of the **Applicable CUSC Objectives** in respect of the subject matter....
- 8.23.5 .... whether any **Alternative Amendment** could better facilitate achievement of the **Applicable CUSC Objectives** in respect of the subject matter....

#### **Clean Version**

#### CAP025

3.6.8 "Where the **Supplier** supplying the **Connection Site** has informed **NGC** that it has received an order or direction...."

#### CAP026

1.2.2 The different categories of connection and/or use reflect the types of utilisation which can exist. For example a User could have a directly connected Power Station and also be acting as a Supplier. In that case that User will need to comply in

relation to two categories of connection and/or use, and its obligations in relation to each will differ.

1.2.3 Not used.

#### CAP027

Section 11

"Use of System Supply Offer and Confirmation Notice"	the notice which combines the offer and confirmation notice in relation to the <b>NGC Transmission System</b> by a <b>Supplier</b> , in the form set out in Exhibit G to the <b>CUSC</b> ;
CAP028	

Section 11

"Operational Notification"

the notice of that name given to the **User** by **NGC** under Paragraph 3.2.6;

#### CAP029

Exhibit F – Use of System Application

#### **B** DATA REQUIREMENTS

Please provide the Data required under Paragraph [6.17] of the **CUSC** as appropriate upon which our terms of **Offer** of use of system will be based.

#### CAP030

Exhibit O – Interface Agreements

12.1.4.(c) is engaged as an agent of or adviser to.....

#### CAP031

4.1.2.7 .... in accordance with **Grid Code OC** 5.5.4 and (where applicable) **OC** 5.5.5, the **User** shall immediately advise **NGC** of the revised capability ....

#### CAP032

4.5.2.7 For the avoidance of doubt, the provisions of Paragraph 11.3 with regard to determination ....

#### CAP033

Schedule 2, Exhibit 3 - Construction Agreement

5.6 If embedded not later than 3 months prior ....

#### CAP034

9.10.4.7(a)(i) .... In the **initial Demand Reconciliation Statement** provided in accordance with Paragraph 9.10.4.4;

#### CAP035

(Text deleted)

#### CAP036

Schedule 3, Appendix 1, Paragraph 2. Utilisation Payment

Where

I	=	Defined in paragraph 3 below:
Х	=	1 (unless the circumstances in sub-paragraphs (a) through to (d) apply)

And where X shall be 0.2 in all Settlement Periods from ....

#### CAP037

#### CUSC Exhibit B, Connection Application

#### B. THE PROPOSED POINT OF CONNECTION

- 2. Please provide a plan or plans of the proposed ....
- 3. Give details of the intended legal estate in the ....
- 4. Who occupies the **Connection site** in so far as ....
- 5. If you believe that a new sub-station will be needed ....
- 6. If you are prepared to make available to **NGC** the land ....
- 7. Is space available on the **Connection Site** for working ....
- 8. Please provide details (including copies of any surveys ...

9. Please give details and provide copies of all ....

- 10. Is access to or use of the **Connection Site** for the ....
- 11. If you are aware of them, identify by reference to a plan ....

#### CAP038

4.5.2.2 The source of the RPI index is to be the monthly Office for National Statistics "Business Monitor MM23."

#### CAP039

8A.2.1.2 Subject to Paragraph 8A1.1.3, each **User** may nominate one candidate for election by giving notice to **NGC**.

#### CAP040

- 8.21.8 .... whether any **Alternative Amendment** could better facilitate achievement of the **Applicable CUSC Objectives** in respect of the subject matter....
- 8.23.5 .... whether any **Alternative Amendment** could better facilitate achievement of the **Applicable CUSC Objectives** in respect of the subject matter....

#### Part B - Text to give effect to the Alternative Amendments

#### CAP026

#### **Conformed Version**

1.2.2 The different categories of connection and/or use reflect the types of utilisation which can exist. For example a User could - have a directly connected Power Station and also be acting as a Supplier. In that case that User will need to comply in relation to two categories of connection and/or use, and its obligations in relation to each will differ.

#### <del>1.2.3</del>

- 1.2.43Section 1, Sections 5 to 8 and Sections 10 and 11 of the CUSC apply to all categories of connection and/or use, and therefore should be complied with by all Users, subject as specifically provided in those Sections. Section 4 of the CUSC applies to Users who provide Balancing Services to NGC, and contains its own provisions on applicability to such Users.
- 1.2.54 In relation to Sections 2, 3 and 9 the following table sets out the applicability of those Sections in addition to those Sections referred to in Paragraph 1.2.3:

	Categories	Applicable Sections
1.	Power Station directly connected to the NGC Transmission System	2 and 3
2.	Non-Embedded Customer Site	2 only
3.	<b>Distribution System</b> directly connected to the <b>NGC Transmission System</b>	2 only
4.	Suppliers	3 only
5.	Embedded Power Station	3 only
6.	Small Power Station Trading Parties	3 only
7.	Interconnector User	9 Part II only
8.	Interconnector Error Administrator	9 Part II only
9.	Interconnector Owner	9 Part I only
10.	Distribution Interconnector Owner	3 Only

**Users**, when making a **Connection Application** or **Use of System Application** (in each case in the form of the relevant exhibit), should identify the category for which they are applying.

- 1.2.65Each Bilateral Agreement, Use of System Supply Confirmation Notice or Use of System Interconnector Confirmation Notice, will set out the category of connection and/or use to which it relates.
- 1.2.76 Where a Paragraph states a category of connection and/or use, or type of **User**, to which that Paragraph (or part of that Paragraph) applies, the application of that Paragraph (or part of Paragraph) shall be limited to the **User** in relation to that category of connection and/or use, or type of **User**, described.
- 1.2.87 Where a Paragraph does not state a category of connection and/or use, or type of **User**, to which that Paragraph (or some part of that Paragraph) applies, that Paragraph (or part of the Paragraph) shall apply to all types of **Users** and categories of connection and/or use.
- 1.2.98 Where a Paragraph is stated "as between NGC and that User", rights and obligations under that Paragraph shall arise only between NGC and each User individually to whom that Paragraph applies. Accordingly, no User shall enjoy any rights nor incur any obligations against any other User pursuant to the terms of any such Paragraph.

#### **Clean Version**

- 1.2.2 The different categories of connection and/or use reflect the types of utilisation which can exist. For example a **User** could have a directly connected **Power Station** and also be acting as a **Supplier**. In that case that **User** will need to comply in relation to two categories of connection and/or use, and its obligations in relation to each will differ.
- 1.2.3 Section 1, Sections 5 to 8 and Sections 10 and 11 of the CUSC apply to all categories of connection and/or use, and therefore should be complied with by all Users, subject as specifically provided in those Sections. Section 4 of the CUSC applies to Users who provide Balancing Services to NGC, and contains its own provisions on applicability to such Users.
- 1.2.4 In relation to Sections 2, 3 and 9 the following table sets out the applicability of those Sections in addition to those Sections referred to in Paragraph 1.2.3:

	Categories	Applicable Sections
1.	Power Station directly connected to the NGC Transmission System	2 and 3
2.	Non-Embedded Customer Site	2 only
3.	<b>Distribution System</b> directly connected to the <b>NGC Transmission System</b>	2 only
4.	Suppliers	3 only
5.	Embedded Power Station	3 only
6.	Small Power Station Trading Parties	3 only

	Categories	Applicable Sections
7.	Interconnector User	9 Part II only
8.	Interconnector Error Administrator	9 Part II only
9.	Interconnector Owner	9 Part I only
10.	Distribution Interconnector Owner	3 Only

**Users**, when making a **Connection Application** or **Use of System Application** (in each case in the form of the relevant exhibit), should identify the category for which they are applying.

- 1.2.5 Each Bilateral Agreement, Use of System Supply Confirmation Notice or Use of System Interconnector Confirmation Notice, will set out the category of connection and/or use to which it relates.
- 1.2.6 Where a Paragraph states a category of connection and/or use, or type of **User**, to which that Paragraph (or part of that Paragraph) applies, the application of that Paragraph (or part of Paragraph) shall be limited to the **User** in relation to that category of connection and/or use, or type of **User**, described.
- 1.2.7 Where a Paragraph does not state a category of connection and/or use, or type of **User**, to which that Paragraph (or some part of that Paragraph) applies, that Paragraph (or part of the Paragraph) shall apply to all types of **Users** and categories of connection and/or use.
- 1.2.8 Where a Paragraph is stated "as between **NGC** and that **User**", rights and obligations under that Paragraph shall arise only between NGC and each **User** individually to whom that Paragraph applies. Accordingly, no **User** shall enjoy any rights nor incur any obligations against any other **User** pursuant to the terms of any such Paragraph.

#### CAP040

#### **Conformed Version**

- 8.21.8 .... whether any **Alternative Amendment** could better facilitate achieving the **Applicable CUSC Objectives** in respect of the subject matter....
- 8.23.5 .... whether any **Alternative Amendment** could better facilitate achieving the **Applicable CUSC Objectives** in respect of the subject matter....

#### **Clean Version**

#### CAP040

8.21.8 .... whether any **Alternative Amendment** could better facilitate achieving the **Applicable CUSC Objectives** in respect of the subject matter....

8.23.5 .... whether any **Alternative Amendment** could better facilitate achieving the **Applicable CUSC Objectives** in respect of the subject matter....

#### Annex 3 – Copies of Representations Received to Consultation

This Annex includes copies of any representations received following circulation of the Consultation Document (circulated on 23 August 2002, requesting comments by close of business on 20 September 2002).

No.	Company	File Number
1	PowerGen	CAPs025-040 CR-01
2	Scottish Power Generation Ltd. & Scottish Power Energy Retail Ltd.	CAPs025-040 CR-02
3	London Electricity Group	CAPs025-040 CR-03
4	TXU Europe	CAPs025-040 CR-04
5	British Energy	CAPs025-040 CR-05
6	British Gas	CAPs025-040 CR-06
7	Innogy	CAPs025-040 CR-07

Representations were received from the following parties:

Reference	CAPs025-040-CR-01
Company	PowerGen UK plc

Christiane Sykes Strategy and Regulation



Richard Dunn Commercial Development The National Grid Company plc National Grid House Kirby Corner Road Coventry CV4 8JY

2 September 2002 Reference CAP021

Dear Richard

#### Reference: CAP 25-40 housekeeping Amendments

Powergen is in favour of the above housekeeping amendments as they improve the efficiency of the amendment procedures and so facilitate the achievement of the applicable CUSC objectives.

Yours sincerely,

Glæ

Christiane Sykes.

Reference	CAPs025-040-CR-02
Company	Scottish Power Generation Ltd. & Scottish Power Energy Retail Ltd.

#### **CUSC** Amendment Consultation

16th September

To: Richard Dunn 2002 Commercial National Grid Company plc National Grid House Kirby Corner Road Coventry CV4 8JY

CAP025 to CAP040: "Housekeeping" Amendments

Dear Richard,

Many thanks for the opportunity to consider the consultation document in respect of CUSC Amendment Proposals CAP025 to CAP040. This response is provided on behalf of Scottish Power Generation Limited and ScottishPower Energy Retail Limited.

We agree with the proposed changes as better facilitating the Applicable CUSC Objective allowing NGC to efficiently discharge its Licence obligations. However, please note the following comment:

**CAP040** – for the sake of consistency, the same change ("achievement of") is required in Paragraph 8.17.1 (two references).

As NGC has highlighted that the timing of these changes is of no material impact, we are puzzled as to why they cannot be implemented 10 days after an Authority decision, whenever that is delivered, instead of a full month or more later (as indicated in 4.1 of the consultation document).

If you wish to discuss the content of this response, please do not hesitate to contact me.

Yours sincerely,

Abid Sheikh Commercial Analyst (0141 568 3113)

Reference	CAPs025-040-CR-03
Company	London Electricity Group

#### From: Cecil Dick [Dick.Cecil@le-group.co.uk]

Sent:	18 September 2002 16:28
To:	Dunn, Richard
Subject:	Consultation Response -CAP025 to CAP040

# Consultation Response -CAP025 to CAP040 'Housekeeping' Amendments

This response from London Electricity Group is on behalf of all the groups CUSC Parties.

We are in favour of each of Amendment CAP025 to CAP040 inclusive. We consider the respective CUSC Objectives would be better met with the approval and implementation of these Amendments.

In particular, where codification error has occurred we considered it is a requirement to correct it.

Dick Cecil London Electricity Group

Reference	CAPs025-040-CR-04
Company	TXU Europe

#### Richard Dunn Energy Trading Ltd National Grid Company plc Kirby Corner Road

#### TXU Europe

Wherstead Park Wherstead Ipswich Suffolk IP9 2AQ

19<sup>th</sup> September 2002

#### CAP025-040 Consultation Response

Dear Richard

Coventry

CV4 8JY

We confirm that we support the Amendment proposals as drafted.

Yours sincerely

Philip Russell Market Development Manager For and on behalf of the 21 TXU CUSC Parties

# British Energy

18<sup>th</sup> September 2002

Emma Groves Commercial Development The National Grid Company plc Kirby Corner Road COVENTRY CV4 8JY

Dear Emma,

#### <u>CUSC Consultation Document CAPs 025-040:</u> <u>Housekeeping Amendments</u>

In consideration of the above CAPs, we would advise that we SUPPORT the approval and implementation of all of the CAPs 025 to 040 inclusive.

If you have any queries associated with this response, please do not hesitate to contact me to discuss further

Yours faithfully,

Steve Phillips

Senior Trading Consultant Market Development Power & Energy Trading

Reference	CAPs025-040-CR-06
Company	British Gas



energy management group

Charter Court 50 Windsor Road Slough Berkshire SL1 2HA

Tel. (01753) 758051 Fax (01753) 758170

Our Ref. Your Ref. 03 October 2002

Dear Richard.

- Commercial

#### Re: CAP025 – 040 "Housekeeping" Amendments

Thank you for the opportunity to comment on the above referenced Amendment Proposals. British Gas Trading (BGT) offers the following comments and views.

CAP025 – Change supported

National Grid Company plc

For the Attention of Richard Dunn

National Grid House

Kirby Corner Road

Coventry

CV4 8JY

CAP026 – Change supported

CAP027 – Change supported in principle. Note typographical error in definition "confirmation"

CAP028 – Change supported

CAP029 - Change supported

CAP030 – Change supported

CAP031 - Change supported

CAP032 – Change supported in principle. However, we believe the cross-reference should be to Paragraph 11.3

CAP033 – Change supported

CAP034 – Change supported

CAP035 - Change supported

CAP036 – Change supported

CAP037 – Change supported

CAP038 – Change supported in principle. Please confirm whether reference should be to MM22 rather than MM23.

CAP039 – Change supported

CAP040 – Change supported

We note, in Section 4 of the report, that NGC are proposing a number of different implementation dates, depending upon the date any decision is received from the Authority. BGT do not understand the basis for the difference in dates and believe that a common period of 10 days after the Authority decision should be adequate (particularly as under NGC proposals a decision received on 1<sup>st</sup> December would be

implemented on the 11<sup>th</sup> December, whilst one made on the 1<sup>st</sup> November wouldn't be made until 31<sup>st</sup> December!).

Should you require clarification of any of our comments, please do not hesitate to contact me.

Yours sincerely,

Simon Goldring Transportation Manager

Reference	CAPs025-040-CR-07
Company	Innogy

From:Bill Reed [BILL.REED@INNOGY.COM]Sent:23 September 2002 09:50To:Dunn, RichardSubject:RE: CUSC Mods Cap25 - 40



Form - CAPs0...

Please find this late response on behalf of Innogy plc, npower

Limited,

Innogy Cogen Trading Limited, Innogy Cogen Limited, npower Direct Limited, npower Northern Limited, npower Yorkshire Limited

<<Proforma Response Form - CAPs025-040.doc>> Bill

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### Proforma Response Form

CAP Ref No	Title of Amendment Proposal	Do you support the Amendment Proposal (yes/no)?	Comments (with reference to the Applicable CUSC Objectives)
CAP025	CUSC 3.6.8 - Minor Text Amendment	Yes	
CAP026	CUSC 1.2.2 - Merge with 1.2.3	Yes	
CAP027	CUSC 11.3 - Correction to Definition	Yes	
CAP028	CUSC 11.3 - Correction to paragraph reference	Yes	
CAP029	CUSC Exhibit F, Part B - Correction to paragraph reference	Yes	
CAP030	CUSC Exhibit O Paragraph 12.1.4.3 - Correction to paragraph numbering	Yes	
CAP031	CUSC 4.1.2.7 - Corrections to Grid Code References	Yes	
CAP032	CUSC 4.5.2.7 - Correction to paragraph reference	Yes	
CAP033	CUSC- Schedule 2, Exhibit 3 - Correction to paragraph numbering	Yes	
CAP034	CUSC 9.10.4.7 - Correction to paragraph reference	Yes	
CAP035	CUSC 6.3.3 (iii) - Deletion of redundant paragraph and associated definition	Yes	
CAP036	CUSC Schedule 3, Appendix 1 - Clarification of value of X	Yes	
CAP037	CUSC Exhibit B, Connection Application - Correction to paragraph numbering	Yes	
CAP038	CUSC 4.5.2.2 - Amend Reference to "Employment Gazette"	Yes	
CAP039	CUSC 8A2.1.2 – Correction to paragraph reference	Yes	
CAP040	CUSC 8.21.8 & 8.23.5 - Amend references to Applicable CUSC Objectives	Yes	This appears to allow facilitation of the achievement of the CUSC objectives, which, as set out in the licence in C7F 1(b) are to facilitate competition – is this facilitating facilitation?

# Annex 4 – Copies of Comments received on the Draft Amendment Report

This Annex includes copies of any representations received following circulation of the Draft Amendment Report (circulated on 25 September 2002), requesting comments by close of business on 2 October 2002).

Representations were received from the following parties:

No.	Company	File Number
1	British Gas	CAPs 025-040-AR-01

Reference	CAPsAR-1
Company	British Gas



energy management group

Charter Court 50 Windsor Road Slough Berkshire SL1 2HA

Tel. (01753) 758051 Fax (01753) 758170

Our Ref. G:transp/cusc/supp Your Ref. 03 October 2002

National Grid House Kirby Corner Road Coventry CV4 8JY

National Grid Company plc

For the Attention of Richard Dunn – Commercial

Dear Richard,

#### Re: CAPs025-040 – "Housekeeping" Amendments

Thank you for the opportunity to comment on the draft Amendment Report for these Amendment Proposals.

We offer the following comments:

- a) In respect of our previous response on implementation timescales, we note your proposals and agree that these address our concerns
- b) We have now received your clarification in respect of CAP038 as to the correct reference to the data source. However as per our separate note we do not believe that this is correct and we therefore cannot support this AP at this time.
- c) We concur with the proposed Alternative for CAP026 in respect of the renumbering of the clauses in 1.2.
- d) For CAP040 we note and agree with the intention to align the drafting of 8.21.8 and 8.23.5 with the drafting already in 8.17.1. However, the drafting proposed by NGC as an Alternative AP, neither achieves this intention nor makes any sense. BGT would support an Alternative that does align these paragraphs, either by deleting "could better facilitate the " and inserting "better facilitates achieving the" in 8.21.8 and 8.23.5; or, changing the drafting as proposed by Scottish Power in their response to the initial consultation.

We trust that you find our comments useful. Should you require clarification on any issue please do not hesitate to contact me.

Yours sincerely,

Simon Goldring Transportation Manager